

# **Gossamer: A novel programming paradigm for rack-wide applications**

by

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## **THESIS**

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A brief dedication to someone you care about. For example, “Dedicated to my cats, Neo and Trinity, who are purrfect in every way.”.

An example of “Dedication” can be found on page 15 of the thesis manual<sup>1</sup>.

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<sup>1</sup>[http://grad.uic.edu/sites/default/files/pdfs/ThesisManual\\_rev\\_06Oct2016.pdf](http://grad.uic.edu/sites/default/files/pdfs/ThesisManual_rev_06Oct2016.pdf)

## **ACKNOWLEDGMENT**

A page or two so of shout-outs to people you appreciate. Don't forget your advisor and committee members!

NA

## **CONTRIBUTIONS OF AUTHORS**

This section should give a rough overview of each chapter in the thesis, highlighting your contributions. Most importantly, for each one of your papers you are quoting, this section should briefly describe what each author's role / contribution was.

An example of “Contribution of Authors” section is on page 3 of the University’s guide to iThenticate<sup>1</sup>.

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<sup>1</sup>[http://grad.uic.edu/sites/default/files/pdfs/Introduction\\_to\\_Screening\\_Your\\_Thesis\\_or\\_Dissertation\\_using\\_iThenticate-final\\_a.pdf](http://grad.uic.edu/sites/default/files/pdfs/Introduction_to_Screening_Your_Thesis_or_Dissertation_using_iThenticate-final_a.pdf)

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## **SUMMARY**

One to two page summary of the entire work. Like a long abstract.

# CHAPTER 1

## INTRODUCTION

Safe access to shared objects is fundamental to many multi-threaded programs. Conventionally, this is achieved through *locking*, or in some cases through carefully designed lock-free data structures, both of which are implemented using atomic compare-and-swap (CAS) operations. By their nature, atomic instructions do not *scale* well: atomic instructions must not be reordered with other instructions, often starving part of today’s highly parallel CPU pipelines of work until the instruction has retired. This effect is exacerbated when multiple cores are accessing the same object, resulting in the combined effect of frequent cache misses and cores waiting for each other to release the cache line in question, while the atomic instructions prevent them from doing other work.

Delegation (1; 2; 3; 4; 5; 6; 7; 8; 9; 10), also known as message-passing or light-weight remote procedure calls (LRPC), offers a highly scalable alternative to locking. Here, each shared object<sup>1</sup> is placed in the care of a single core (*trustee* below). Using a shared-memory message passing protocol, other cores (clients) issue requests to the trustee, specifying operations to be performed on the object.

Compared to locking, where threads typically contend for access, and may even suspend execution to wait for access, delegation requests from different clients are submitted to the

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<sup>1</sup>Here, we use *object* to mean a data structure that would be protected by a single lock.

trustee in parallel and without contention. This dramatically reduces the cost of coordination for congested objects. The operations/critical sections are applied sequentially in both designs: by each thread using locks, or by the trustee using delegation; here delegation may benefit from improved locality at the trustee. Together, this translates to much higher maximum per-object throughput with delegation vs. locking.

However, under medium or low contention, classical delegation struggles to compete with locking: the latency and overhead of request transmission, request processing and response transmission are insignificant compared to the cost of contending for a lock, but can be substantial compared to the cost of acquiring an *uncontended* lock.

The main contributions of this dissertation are summarized below:

- Trust<T>: a model for efficient, multi-threaded, delegation-based programming with shared objects leveraging the Rust type system.
- Gossamer: an extension of Trust<T> that enables a normal delegation based application to run on and utilize the resources of a rack with minimal changes to application code.

The rest of this chapter introduces both of these and then outlines the rest of this dissertation.

### 1.1 *Trust*<*T*>

We present *Trust*<*T*> (pronounced *trust-tee*), a programming abstraction and runtime system which provides safe, high-performance access to a shared object (or *property*) of type *T*. Briefly, a *Trust*<*T*> provides a family of functions of the form:

$$\text{apply}(c : \text{FnOnce}(\&\text{mut } T) \rightarrow U) \rightarrow U,$$

which causes the closure *c* to be safely applied to the property (of type *T*), and returns the return value (of type *U*) of the closure to the caller. Here, *FnOnce* denotes a category of Rust closure types, and *&mut* denotes a mutable reference. (A matching set of non-blocking functions is also provided, which instead executes a callback closure with the return value.)

Critically, access to the property is only available through the *Trust*<*T*> API, which taken together with the Rust ownership model and borrow checker eliminates any potential for race conditions, given a correct implementation of *apply*. Our implementation of *Trust*<*T*> uses pure delegation. However, the design of the API also permits lock-based implementations, as well as hybrids.

Beyond the API, *Trust*<*T*> provides a runtime for scheduling request transmission and processing, as well as lightweight user threads (*fibers* below). This allows each OS thread to serve both as a Trustee, processing incoming requests, and a client. Multiple outstanding requests can be issued either by concurrent synchronous fibers or an asynchronous programming

style. *Trust*< $T$ > achieves performance improvements up to  $22\times$  vs. the best locks on congested micro-benchmarks and up to  $9\times$  on benchmarking workloads vs. stock `memcached`.

## 1.2 Gossamer

Shared memory enables programmers to write multi-threaded applications, making them perform better but also increase the programming complexity. The same is true when scaling applications from a single machine to a distributed setting. Here, lack of shared memory means that not all of the compute units (threads/processes) can access all of the shared objects, which also complicates the synchronization mechanisms. Distributed applications, historically, rely on message passing to share data. Remote Procedural Calls (RPCs) is one such framework where threads can send requests to where data is located and receive responses upon completion (11; 12; 13; 14; 15; 16; 17; 18; 19; 20; 21). This is very similar to how delegation works.

*Gossamer* extends *Trust*< $T$ > to build a rack-wide programming model. *Gossamer* aims to provide a high performance and easy to program in, framework that can take advantage of the increased resources available in a rack. Using the API provided by *Gossamer* programmers can code their applications like a normal delegation application with very few changes and the applications have the illusion of running on the same machine. Most of the distribution of work is handled behind the scenes, but programmers have the option to customize where the worker threads should run and where data should be held in the rack if they so choose. This can be achieved by mapping the server memory to a client's address space using RDMA. This means that various things that are normally only possible in shared memory can be done in

a distributed setting. Some examples include spawning a fiber, joining a fiber and accessing a shared object.

One inherent problem that restricts performance in distributed settings is the higher latency caused by network traversal. *Gossamer* overcomes that by using RDMA along with taking advantage of fibers. RDMA provides sub-micro second one way latency that is comparable to that of permanent storage on single machine. While RDMA solves the problem with high latency, it does not scale well with increasing number of connections per machine. To solve this *Gossamer* establishes only one connection per hardware thread for each remote machine and uses many fibers to utilize the available throughput to full extent.

### **1.3 Dissertation Organization**

The remainder of this dissertation is organized as follows. Chapter 2 introduces *Trust<T>*, a delegation based programming abstraction that provides safe access to shared data. Chapter 3 presents *Gossamer*, an extension of *Trust<T>*, that allows single-machine, multi-threaded applications built using *Trust<T>*, to run on a rack consisting of many machines with minimal changes. Chapter 4 discusses future work and provides a conclusion.

## CHAPTER 2

### *Trust<T>*

We present *Trust<T>*, a general, type- and memory-safe alternative to locking in concurrent programs. Instead of synchronizing multi-threaded access to an object of type T with a lock, the programmer may place the object in a *Trust<T>*. The object is then no longer directly accessible. Instead a designated thread, the object’s *trustee*, is responsible for applying any requested operations to the object, as requested via the *Trust<T>* API.

Locking is often said to offer a limited throughput *per lock*. *Trust<T>* is based on delegation, a message-passing technique which does not suffer this per-lock limitation. Instead, per-object throughput is limited by the capacity of the object’s trustee, which is typically considerably higher.

Our evaluation shows *Trust<T>* consistently and considerably outperforming locking where lock contention exists, with up to 22× higher throughput in microbenchmarks, and 5–9 × for a home grown key-value store, as well as `memcached`, in situations with high lock contention. Moreover, *Trust<T>* is competitive with locks even in the absence of lock contention.

#### **2.1 Introduction**

#### **2.2 Background and Motivation**

Locking suffers from a well-known scalability problem: as the number of contending cores grows, cores spend more and more of their time in contention, and less doing useful work.

Consider a classical, but idealized lock, in which there are no efficiency losses due to contention. Here, the *sequential* cost of each critical section is the sum of (a) any wait for the lock to be released, (b) the cost of acquiring the lock, (c) executing the critical section, and (d) releasing the lock. Not counting any re-acquisitions on the same core, this must be at minimum one cache miss per critical section, in sequential cost. To make matters worse, this cache miss is incurred by an atomic instruction, effectively stallign the CPU until the cache miss is resolved (and in the case of a spinlock, until the lock is acquired).

Two main solutions to this problem exist. First, where the data structure permits, fine-grained locking can be used to split the data structure into multiple independently locked objects, thus increase parallelism, reduce lock contention and wait times. With the data structure split into sufficiently many objects, and *accesses distributed uniformly*, a fine-grained locking approach tends to offer the best available performance.

The second solution is various forms of delegation, where one thread has custody of the object, and applies critical sections on behalf of other threads. Ideally, this minimizes the sequential cost of each critical section without changing the data structure: there are no sequential cache misses, ideally no atomic instructions, but of course the critical sections themselves still execute sequentially.

*Combining* (22; 5; 1; 4; 6; 7; 8), is a flavor of delegation in which threads temporarily take on the role of *combiner*, performing queued up critical sections for other threads. Combining can scale better than locking in congested settings, but does not offer the full benefits of delegation as it makes heavy use of atomic operations, and moves data between cores as new threads take

on the *combiner* role. Most recently, TClocks (23) offers a fully transparent combining-based replacement for locks, by capturing and restoring register contents, and automatically prefetching parts of the stack. TClocks claims substantial benefits for extremely congested locks, and the backward compatibility is of course quite attractive. However, a cursory evaluation in §?? reveals that TClocks substantially underperform regular locks beyond extremely high contention settings, and never approaches  $Trust< T >$  performance.

Beyond *combining*, delegation has primarily been explored in proof-of-concept or one-off form, with relatively immature programming abstractions. We propose  $Trust< T >$ , a full-fledged delegation API for the Rust language, which presents delegation in a type-safe and familiar form, while substantially outperforming the fastest prior work on delegation.

While delegation offers much higher throughput for congested shared objects, it does suffer higher latency than locking in uncongested conditions. To hide this latency, and make delegation competitive in uncongested settings,  $Trust< T >$  exposes additional concurrency to the application via asynchronous delegation requests and/or light-weight, delegation-aware user threads (*fibers*).

Lacking modularity is another common criticism of delegation: in FFWD (10), an early delegation design, delegated functions must not perform any blocking operations, which includes any further delegation calls. In  $Trust< T >$ , this constraint remains for the common case, as this typically offers the highest efficiency. However,  $Trust< T >$  offers several options for more modular operation. First, asynchronous/non-blocking delegation requests are not subject to this constraint - these requests may be safely issued in any context. Second, leveraging our

light-weight user threads, we offer the option of supporting blocking calls in delegated functions, on an as-needed basis.

Finally, prior work on delegation has required one or more cores to be dedicated as delegation servers. While *Trust*<T> offers dedicated cores as one option, the *Trust*<T> runtime has every core act as a delegation server, again leveraging light-weight user threads. Beyond easing application development and improving load balancing, having a delegation server on every core allows us to implement *Trust*<T> without any use of atomic instructions, instead relying on delegation for all inter-thread communication. Beyond potential performance advantages, this also makes *Trust*<T> applicable to environments where atomic operations are unavailable.

### 2.3 *Trust*<T>: The Basics

```

1 let ct = local_trustee().entrust( 17 );           // ct: Trust<i32>
2 ct.apply( |c| *c+=1 );                          // c: &mut i32
3 assert!(ct.apply( |c| *c ) == 18 );

```

Figure 1: Minimal *Trust*<T> example. An entrusted counter, referenced by `ct` is initialized to 17, then incremented once. The comments on the right indicate the types of the variables.

The objective of *Trust*<T> is to provide an intuitive API for safe, efficient access to shared objects. Naturally, our design motivation is to support delegation, but the *Trust*<T> API can in principle also be implemented using locking, or a combination of locking and delegation. Below, we first introduce the basic *Trust*<T> programming model, as well as the key terms

*trust*, *property*, *trustee* and *fiber* in the  $Trust< T >$  context, before digging deeper into the design of  $Trust< T >$ .

### 2.3.1 Trust: a reference to an object

A  $Trust< T >$  is a thread-safe reference counting smart-pointer, similar to Rust's  $Arc< T >$ . To create a  $Trust< T >$ , we clone an existing  $Trust< T >$  or entrust a new object, or property of type  $T$ , that is meant to be shared between threads. Once entrusted, the property can only be accessed by *applying* closures to it, using a trust. Figure Figure 1 illustrates this through a minimal Rust example. Line 1 entrusts an integer, initialized to 17, to the local trustee - the trustee fiber running on the current kernel thread. Line 2 applies an anonymous closure to the counter, via the trust. The closure expected by `apply` takes a mutable reference to the property as argument, allowing it unrestricted access to the property, in this case, our integer. The example closure increments the value of the integer. The assertion on line 3 is illustrative only. Here, we apply a second closure to retrieve the value of the entrusted integer<sup>1</sup>.

In the example in Figure 2a the counter is instead incremented by two different threads. Here, the `clone()` call on `ct` (line 2) clones the trust, but not the property; instead a reference count is incremented for the shared property, analogous to `Arc::clone()`. On line 3, a newly spawned thread takes ownership of `ct2`, in the Rust sense of the word, then uses this to apply a closure (line 4). When the thread exits, `ct2` is dropped, decrementing the reference count,

<sup>1</sup>A note on ownership: While the passed-in closure takes only a reference to the property, the Rust syntax `*c` denotes an explicit dereference, essentially returning a copy of the property to the caller. This will pass compile-time type-checking only for types that implement `Copy`, such as integers.

```

1 let ct = local_trustee().entrust(17);
2 let ct2 = ct.clone();
3 let thread = spawn(move || {
4     ct2.apply(|c| *c+=1);
5 });
6 ct.apply(|c| *c+=1);
7 thread.join()?;
8 assert!(ct.apply(|c| *c) == 19);

```

```

1 let cm = Arc::new(Mutex::new(17));
2 let cm2 = cm.clone();
3 let thread = spawn(move || {
4     *(cm2.lock()?) += 1;
5 });
6 *(cm.lock()?) += 1;
7 thread.join()?;
8 assert!(*cm.lock()? == 19);

```

(a) Example using *Trust<T>*.

(b) The same program using standard Rust primitives.

Figure 2: Minimal multi-threaded *Trust<T>* example. Reference counting ensures that the property remains in memory until the last *Trust<T>* referencing the property drops.

by means of a delegation request. When the last trust of a property is dropped, the property is dropped as well.

For readers unfamiliar with Rust, Figure 2b illustrates the rough equivalent of Figure 2a, but using conventional Rust primitives instead of *Trust<T>*. Note the similarity in terms of legibility and verbosity.

### 2.3.2 Trustee - a thread in charge of entrusted properties

In our examples above, *Trust<T>* is implemented using delegation. Here, a *property* is *entrusted* to a *trustee*, a designated thread which executes applied closures on behalf of other threads. In the default *Trust<T>* runtime environment, every OS thread in use already has a trustee user-thread (*fiber*) that shares the thread with other fibers. When a fiber applies a closure to a trust, this is sent to the corresponding trustee as a message. Upon receipt, the trustee executes the closure on the property, and responds, including any closure return value.

This may sound complex, yet the produced executable code substantially outperforms locking in congested settings.

A TrusteeReference API is also provided. Here, the most important function is `entrust()`, which takes a property of type `T` as argument (by value), and returns a `Trust<T>` referencing the property that is now owned by the trustee. This API allows the programmer to manually manage the allocation of properties to trustees, for performance tuning or other purposes. Alternatively, a basic thread pool is provided to manage distribution of fibers and variables across trustees.

### **2.3.3 Fiber - a delegation-aware, light-weight user thread**

While the `Trust<T>` abstraction has some utility in isolation, it is most valuable when combined with an efficient message-passing implementation and a user-threading runtime. User-level threads, also known as coroutines or *fibers*, share a kernel thread, but each execute on their own stack, enabling a thread to do useful work for one fiber while another waits for a response from a trustee. This includes executing the local trustee fiber to service any incoming requests.

In this default setting, the synchronous `apply()` function suspends the current fiber when it issues a request, scheduling the next fiber from the local ready queue to run instead. The local fiber scheduler will periodically poll for responses to outstanding requests, and resume suspended fibers as their blocking requests complete.

### **2.3.4 Delegated context**

For the purpose of future discussion, we define the term *delegated context* to mean the context where a delegated closure executes. Generally speaking, closures execute as part of a trustee fiber, on the trustee's stack. Importantly, blocking delegation calls are not permitted from within delegated context, and will result in a runtime assertion failure. In §2.4, we describe multiple ways around this constraint.

## **2.4 Core API**

The *Trust<T>* API supports a variety of ways to delegate work, some of which we elide due to space constraints. Below, we describe the core functions in detail. For a full API review, see the technical report and API documentation (?).

### **2.4.1 apply(): synchronous delegation**

```
apply(c: FnOnce(&mut T)->U)->U
```

`apply()` is the primary function for blocking, synchronous delegation as described in earlier sections. It takes a closure of the form `|&mut T| {}`, where `T` is the type of the property. If the closure has a return value, `apply` returns this value to the caller.

Importantly, `apply()` is synchronous, suspending the current fiber until the operation has completed. Often, the best performance with `apply()` is achieved when running multiple application fibers per thread. Then, while one fiber is waiting for its response, another may productively use the CPU.

### 2.4.2 apply\_then(): non-blocking delegation

```
apply_then(c: FnOnce(&mut T)->U,
           then: FnOnce(U))
```

```
1 let ct = trustee.entrust(17);           // create trust for shared counter set to 17
2 ct.apply_then(|c| { *c+=1; *c },        // increment counter and return its value
3                 |val| assert!(val==18)); // check return value once received
```

Figure 3: Asynchronous version of the example in Figure 1. The second closure runs on the client, once the result of the first closure is received from the trustee.

Frequently, asynchronous (or non-blocking) application logic can allow the programmer to express additional concurrency either without running multiple fibers, or in combination with multiple fibers. Here, `apply_then()` returns to the caller without blocking, and does not produce a return value. Instead, the second closure, `then`, is called with the return value from the delegated closure, once it has been received. Figure 3 demonstrates the use of `apply_then()` following the pattern of Figure 1.

The `then`-closure is a very powerful abstraction, as it too is able to capture variables from the local environment, allowing it to perform tasks like adding the return value (once available) to a vector accessible to the caller. Here, Rust's strict lifetime rules automatically catch otherwise easily introduced use-after-free and dangling pointer problems, forcing the programmer to appropriately manage object lifetime either through scoping or reference counted heap storage.

Importantly, as `apply_then()` does not suspend the caller, it may freely be called from within delegated context.

#### **2.4.3 launch(): apply in a trustee-side fiber**

```
launch(c: FnOnce(&mut T)->U)->U

launch_then(c: FnOnce(&mut T)->U,
            then: FnOnce(U))
```

The most significant constraint imposed by  $Trust < T >$  on the closure passed to `apply()` and `apply_then()` is that the closure itself may not block. Blocking in delegated context means putting the trustee itself to sleep, preventing it from serving other requests, potentially resulting in deadlock. In previous work (?), this problem was addressed by maintaining multiple server OS threads, and automatically switching to the next server when one server thread blocks. This avoids blocking the trustee, but imposes high overhead, resulting in considerably lower performance, as demonstrated in (10).

In  $Trust < T >$ , blocking in delegated context is prohibited: attempted suspensions in delegated context are detected at runtime, resulting in an assertion failure. Closures may still use `apply_then()`, but not the blocking `apply()`.<sup>1</sup>

The lack of *nested blocking delegation* can be a significant constraint on the developer, and perhaps the most important limitation of  $Trust < T >$ . Specifically, it affects modularity, as a

<sup>1</sup>Other forms of blocking, such as I/O waits or scheduler preemption, do not result in assertion failures. However, these can significantly impact performance if common, as blocking the trustee can prevent other threads from making progress.

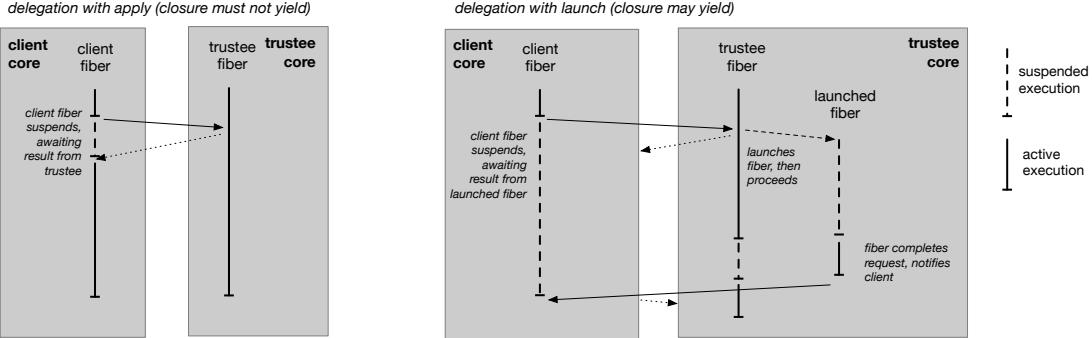


Figure 4: Operation of `launch()` vs `apply()`. `launch()` supports blocking calls, including nested delegation calls in the delegated closure, but incurs a higher minimum overhead. Solid arrows indicate requests, dotted arrows are delegation responses.

library function that blocks internally, even on delegation calls, cannot be used from within delegated context.

To address this, without sacrificing the performance of the more common case, we provide a convenience function: `launch()`, which offers all the same functionality as `apply()`, but without the blocking restriction. Figure 4 describes `launch()` from an implementation standpoint. `launch()` creates a temporary fiber on the trustee's thread, which runs the closure. If this fiber is suspended, the client is notified, and the trustee continues to serve the next request. Once the temporary fiber resumes and completes execution of the closure, it then delivers the return value and resumes the client fiber via a second delegation call. Thus, if a delegated closure fails the runtime check for blocking calls, the developer can fix this by replacing the `apply()` call, with a `launch()` call.

#### **2.4.3.1 Atomicity and `launch()`**

That said, a complicating factor with blocking closures executed by `launch()` is that without further protection, property accesses are no longer guaranteed to be atomic: while the newly created fiber is suspended, another delegation request may be applied to the property, resulting in a race condition. To avoid this risk, `launch()` is implemented only for `Trust<Latch<T>>`. `Latch<T>` is a wrapper type which provides mutual exclusion, analogous to `Mutex<T>` except that it uses no atomic instructions, and thus may only be accessed by the fibers of a single thread.<sup>1</sup>

#### **2.4.3.2 Variable-size and other heap-allocated values**

Rust closures very efficiently and conveniently capture their environment, which `apply()` sends whole-sale to the trustee. However, only types with a size known at compile time may be captured in a Rust closure (or even allocated on the stack).

In conventional Rust code, variable size types, including strings, are stored on the heap, and referenced by a `Box<T>` smart pointer. For the reasons described above (see §??), we do not allow `Box<T>` or other types that include pointers or references to be captured in a closure: only pure values may pass through the delegation channel.

As a result, variable size objects and other heap-allocated objects must be passed as explicit arguments rather than captured, so that they may be serialized before transmission over the delegation channel. For example, a `Box<[u8]>` (a reference to a heap-allocated variable-sized

<sup>1</sup>In Rust terms, `Latch<T>` does not implement `Sync`.

array of bytes) cannot traverse the delegation channel. Instead, we encode a copy of the variable number of bytes in question into the channel, and pass this value to the closure when it is executed by the trustee. In practice, this takes the form of a slightly different function signature.

```
apply_with(c: FnOnce(&mut T, V)->U, w: V)->U
```

Here, the `w:` argument is any type `V:Serialize+Deserialize`, using the popular traits from the `serde` crate. That is, any type that can be serialized and deserialized, may pass over the delegation channel in serialized form. If more than one argument is needed, these may be passed as a tuple. Thus, to insert a variable-size key and value into an entrusted table, we might use:

```
table_trust.apply_with(|table, (key, value)|  
    table.insert(key, value), (key, value))
```

We use the efficient `bincode` crate internally for serialization. As a result, while passing heap-allocated values does incur some additional syntax, the impact in terms of performance is minimal.

## CHAPTER 3

### GOSSAMER

Delegation has a programming model that works very well for distributed computing. Like distributed systems, delegation does not have to rely on shared memory for operations. This makes it possible to write an application that is designed for a single machine delegated system and then adapt it for a distributed delegation system without significant changes to application code.

We describe the design and implementation of *Gossamer*, a new distributed programming model that uses delegation to distribute computing work. We use RDMA for communication between machines as it provides both low latency and high throughput. Moreover, RDMA offers mechanism to write in a remote machine’s memory without the involvement of CPU on that machine. This is especially useful when implementing a programming model that can seamlessly work on both a single machine and multiple machines.

*Gossamer* allows developers to write applications that span multiple machines as if they would be running on a single machine. Programmers can use the API provided by *Gossamer* to delegate a rust closure that can even be executed on a remote machine. *Gossamer* uses light weight user-space threads called *fibers* for multi-threading on both machines. In our evaluation, we compare the performance of applications running delegation over RDMA that of applications running on a single machine, while using the same number of CPUs.

### **3.1 Background on RDMA**

RDMA is a networking approach that allows one machine to directly access a remote machine's memory. It uses the user-level zero-copy transfers to minimize the involvement of remote machine's operating system and CPU as opposed to traditional TCP/IP stack that involve both on each machine heavily. There are many implementations of this concept (24; 25; 26; 27), most popular of which are InfiniBand, RoCE (RDMA over Converged Ethernet), and iWARP (internet Wide Area RDMA Protocol). The results presented in this paper are obtained by using RoCE.

#### **3.1.1 RDMA API**

RDMA hosts communicate using queue pairs (QPs) that consist of a send queue and a receive queue, and are maintained by the NIC. Applications post operations to these QPs by using functions called *verbs*. For remote access the remote machine first needs to register a memory region with the NIC. The NIC driver pins this region in physical memory. The address and a key related to this region then needs to be exchanged between the machines out of band (i.e. without using RDMA). After this exchange, the remote memory can be accessed without involving either of remote operating system or CPU. This is called *RDMA Memory Semantics*, and uses *verbs* *READ* and *WRITE*.

RDMA also provides *Messaging Semantics* that use *verbs* *SEND* and *RECV*. In this case receiver has to post a *RECV verb* before the sender can send the data. In this regard it is similar to an unbuffered sockets implementation. Just like *Memory Semantics*, *Messaging Semantics*

Verb	RC	UC	UD
SEND/RECV	✓	✓	✓
WRITE	✓	✓	✗
READ	✓	✗	✗

TABLE I: Operations supported by each transport type.

also bypass the remote kernel but unlike *Memory Semantics*, it has to involve remote CPU to post a *RECV*. These *verbs* also have slightly lower latency than *READ* and *WRITE* (28; 29).

### 3.1.2 Transport types

RDMA transports are either connected or unconnected (also called datagram), and either reliable or unreliable. Connected transport require a one-to-one connection between two QPs. If an application wants to communicate with  $N$  machines, it will need to create  $N$  QPs. With unconnected transport one QP can communicate with many QPs. For reliable transport NIC uses acknowledgments to guarantee in-order delivery and return an error code on failure, while unreliable transport does not provide any such guarantee. InfiniBand and RoCE use lossless link layer, so even in case of unreliable transports, losses are pretty rare and happen because of bit error or link failure. In case of connected transport a failure will break the connection. Not all transports provide all of the verbs. Table Table I gives an overview of transport types and the verbs they support. Current implementations of RDMA only provide reliable connected (RC), unreliable connected (UC) and unreliable datagram.

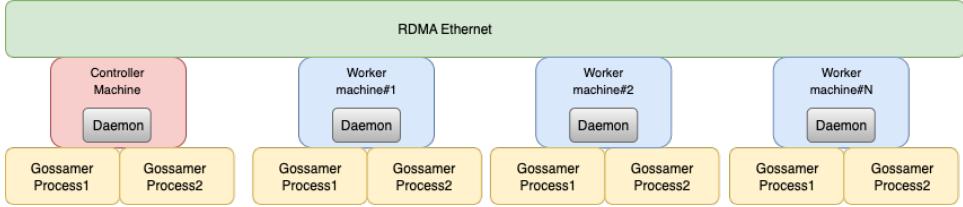


Figure 5: High-level design of Gossamer

### 3.2 Gossamer Design

*Gossamer* allows developers to write rack-wide applications just like single machine applications. Programmers will use the delegation framework to write applications that would be distributed by gossamer with very few changes to the code. Figure 5 shows a high level view of what a rack with multiple *Gossamer* applications would look like. Developers would use the controller machine to interact with the rack and launch applications. Here controller machine is just a normal machine running *Gossamer* processes like any other machine in the rack, the only significance is that this will be the machine that users log into for terminal access. Each machine in the rack would have a daemon running that would always be listening for instructions from the controller machine. The daemon is responsible for determining the topology of the rack and managing the applications. A machine can have multiple applications running on it at the same time. Rack applications that are running simultaneously are isolated from each other like multiple processes on a single machine. The rest of this section describes some design details that are particularly interesting.

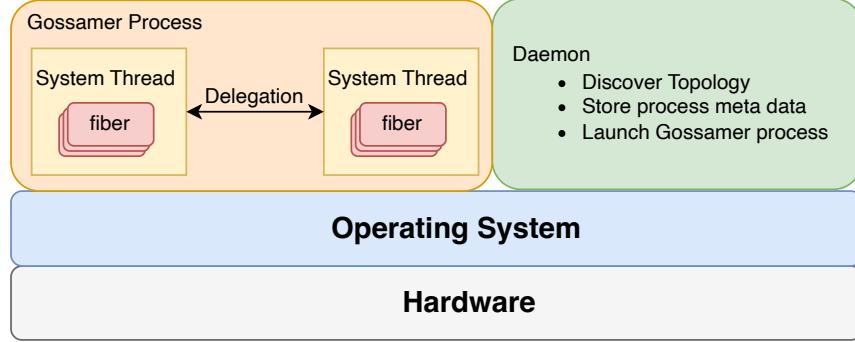


Figure 6: A Gossamer process on one machine

### **3.2.1 Gossamer Daemon**

As shown in Figure 6, each machine has *Gossamer* daemon running. The daemon is responsible for managing any *Gossamer* processes running on the rack at any time. To launch an application, users will connect with the controller machine and tell the daemon to start the process specifying how many kernel threads the process should use. The daemon will contact the remote machines and tell the daemons to launch the process. The daemon will store metadata like gossamer-id, machine-ip etc. The daemon is also responsible for detecting crashes on any local instance of a *Gossamer* process and terminating across the whole rack. This means that *Gossamer* processes are fate sharing in the same way single machine processes crash if a single thread crashes.

### **3.2.2 Gossamer Process**

To launch a *Gossamer* process, the user logs into the controller machine and starts the application there. Since parts of *Gossamer* rely on similar memory layout (as discussed in

3.2.8), each machine in the rack should be populated with the same binary ahead of time. The application needs to have its *main* function call a helper function from the *Gossamer* library and pass a function that would act as the real *main* function along with how many threads the application needs to use across the whole rack. This function will be referred to as *gsm\_main* for the rest of the paper. The process consists of many fibers per kernel thread for concurrent operation as shown in Figure 6. Fibers are lightweight, userspace threads that can spawn on any kernel thread that is part of the *Gossamer* process, and on any machine in the rack. Fibers that need to access shared data make delegation requests consisting of closures that modify the data as needed. From the point of view of the operating system, a single instance of *Gossamer* process behaves like any other normal process. The main difference would be that instead of using system calls like *getpid()*, a *Gossamer* process contacts the daemon to get any metadata needed.

### **3.2.3 Trustee, Trust and Property**

Gossamer builds on the Trust/Trustee concept from (30), which we introduce briefly here. A *Trustee* is a worker fiber that processes delegation requests and sends back the response. The application can entrust some data to a trustee if the delegated work needs access to it and receive a *Trust* that holds relevant metadata. This entrusted data is referred to as *Property*. The Trust can be used to delegate any work that needs access to this property. Figure 7 shows the relationship between Trust, Trustee and Property. A Trust can be cloned and shared with other fibers so that they can also start delegating work that needs access to same property.

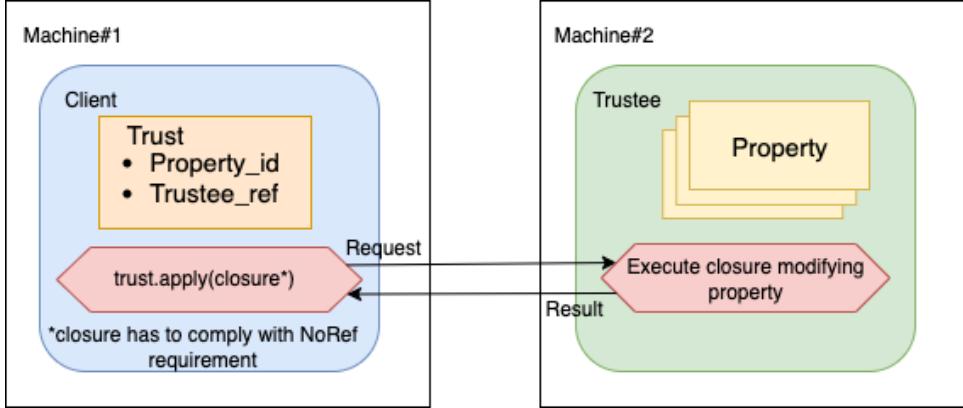


Figure 7: Trustee is a worker and clients can delegate work using a trust that holds information about property and trustee

```

1 let property = HashMap::new();
2 let trust = gossamer::entrust(property);
3 gossamer::spawn(|| {
4     trust.apply(|property| property.insert("First Greeting", "Hello"));
5     trust.apply_then(|property| property.insert("Second Greeting", "Hi"),
6         |_| println!("added second greeting"));
7 });

```

TABLE II: A small example of delegation code

Multiple properties can be entrusted to a single trustee at the same time. Trustees can act as remote or local trustees based on where the fiber trying to access the property lives in the rack.

### 3.2.4 Delegation Workflow

*Gossamer* provides both blocking and nonblocking/asynchronous delegation operations. In case of blocking operations, the delegating fiber will wait for the response from the trustee before continuing. For nonblocking delegation, the delegating fiber will instead provide a callback

closure to be executed upon completion of the request, and continue without waiting. First we will describe the workflow for blocking delegation requests and then specify how that differs from the nonblocking delegation. Each fiber issues a request that is put in a pending queue before voluntarily yielding the runtime. Each thread has a fiber that periodically checks for any incoming responses and sends any requests in the pending queue. As there will be many fibers running on each thread this will allow the polling fiber to send multiple requests as a larger batch, increasing the throughput of the system. On the trustee side, one of the fibers is dedicated to polling for any incoming requests. Since any incoming requests from the same thread will be contiguous in memory, it can complete all of them and then send all of the responses in a single batch. The fiber that polls for responses on the requesting thread will then process the responses and add the corresponding fibers back in to the ready to run queue. The main difference for async delegation is that instead of yielding after enqueueing the request, it just keeps going and enqueues any subsequent requests as well. This fiber will yield the runtime when the pending queue is full, after which the response polling fiber can run and send all of the requests to the respective trustees. Once it receives the responses, it can execute any callback closures the user might have provided. This means that there is no benefit to having multiple fibers per thread that issue requests as that is not needed for batching and the fibers will be yielding far less frequently. Table II shows a small example program that uses both types of delegation.

### 3.2.5 NoRef

Gossamer leverages the Rust type system to prevent the sending of references over delegation channels. One of the first challenges that arise when extending delegation to multiple machines is the fact that any pointers or references captured by a delegated closure will not be valid on a remote machine. This reference or pointer will result in undefined behavior on any machine other than where it originated. To prevent this, *Gossamer* uses a combination of rust features named *auto\_traits* and *negative\_impls*. In rust, traits define the behavior of data types. They inform the compiler what functionality a type has and can be used to restrict which data types can be passed to a generic function. Auto\_traits is a feature that automatically implements a trait for every data type, be it an existing type or user defined. A negative implementation is used to exclude a data type from the auto implementation. *Gossamer* defines an auto trait called *NoRef*. Then all the types that contain a reference or raw pointer are given negative implementation. The function *apply*, that is used to send delegation messages as described in previous section, requires all the closures to comply with the NoRef trait as shown in Figure 7. This however limits severly what type of data can be captured by any delegated closure as many frequently used types like strings and vectors contain pointers internally. To get around that *Gossamer* provides a way to send any data type that can be serialized along with the closure as an extra parameter to the delegated closure. If the programmer makes a mistake and tries to use a closure that has captured a reference for delegation, a custom error message at compile time will inform them what the issue is and direct them to use the serialization method instead.

### **3.2.6 Reference counting for Trusts**

Trusts in *Gossamer* act as rust smart pointers that own the property, since they can be used to access and modify the property behind them. This means that we need to make sure that when all of the trusts are dropped, the property is also dropped and the associated memory is freed so as not to have memory leakage. To achieve that trusts need to be reference counted, but a naive integer count will not suffice due to a combination of the following two issues.

- *Gossamer* does not support a blocking delegation operation when in the middle of another delegation request. For example calling *apply* on a trust from within a closure that itself is used for delegation will cause the system to hang and never finish.
- If the integer used for counting references, let's call it *refcount*, is incremented and decremented asynchronously, i.e. with a nonblocking delegation call, it could lead to use after free bugs. Let's consider the following scenario: Thread A clones a trust with only one reference and sends an async request to increment its *refcount*. The cloned trust is then sent to Thread B that drops it, sending another async request to decrement its *refcount*. While the requests issued by the same fiber are guaranteed to be completed in the same order they are issued, there is no such guarantee across multiple threads or even fibers. It is entirely possible that the decrement request is processed first, making the *refcount* zero, which results in the property being dropped. Thread A however still has a valid trust to this property which it can use, expecting the property to still be available.

Not being able to use async delegation to increment and decrement the refcount leads to not being able to clone a trust from within a delegated context, which can quite restrictive. To get around that, *Gossamer* uses a new way to keep track of how many trusts are active at any time. Each trust is given a unique id at the time of creation, be it a new trust or a clone of an existing one. Instead of just using an integer, *Gossamer* uses a set of these ids associated with each property. Instead of incrementing or decrementing the refcount, clone and drop both issue a delegation request involving a symmetric difference operation. Symmetric difference is defined as adding an element to a set if it is not already a member, and removing it from the set if it is. This way, regardless of the order in which requests originating in clone and drop are processed, the first one will add the trust id to the set and later one will remove it, allowing us to use async delegation for both. This, in turn, enables us to clone a trust within a delegated context.

### **3.2.7 Request size and TCP fallback**

As described in 3.2.4, the requests going from a client thread to the same trustee will be batched and on trustee side the requests coming from a single thread will all be contiguous in memory. This is achieved by the trustee having a pre-allocated space in memory (called `requestSlot`) for incoming requests from each client thread. This limits how many requests a client thread can send in a single batch depending on how much data is being sent with each request. As an example if each request captures 32 bytes of captured data, the total request size is 40 bytes. Next section goes into detail about the format of a *Gossamer* delegation request. Assuming 1kB `requestSlot`, one batch can have at most 25 requests. While this allows

us to optimize for small requests it also places a hard limit on how much data a request can capture i.e. the size of the requestSlot. To work around this limit and support larger requests, *Gossamer* uses TCP to send any captured data larger than that separately while the request header goes in the requestSlot as normal. This degrades the performance severely as TCP is much slower than RDMA. We use TCP here instead of RDMA because, as mentioned in 3.1.1, RDMA needs the memory used to be pre-registered with the NIC. This would also place an upper limit on how much data can be sent using RDMA at once. Since there would be an upper limit anyway and larger requests are not the focus for *Gossamer*, we decided to opt for the simpler design in place at the moment.

### **3.2.8 Request Format**

As discussed in the previous section, the number of requests sent in batch depends on the size of the requests plus the size of any headers sent with the request. The necessary parts to process a *Gossamer* request are as follows:

- The closure. Closures in rust are fat pointers consisting of a vtable that points to where the code is in the text section along with the size of captured data, and a data pointer that points to the captured data.
- The pointer to where the property is located on trustee.
- Length of serialized data.
- The captured data.
- Any serialized data.

While we can't avoid sending the captured data, serialized data and the property pointer, *Gossamer* employs some strategies to minimize the information that needs to be sent inside the request slot. As the data pointer within the closure is not going to be valid on a remote machine, there is no need to include that in the request. Since the same binary is running on all the machines in the system, they all have access to any information known at compile time. This includes all of the information in the vtable, provided the vtable pointer. Here, we make the observation that for the vast majority of the runtime of an application, most of the requests in the request slot will be about a single closure or a few closures at most, meaning we can have a small lookup table for a few vtable pointers in the request slot instead of including one with every request. Combining that with the start point of data received, the trustee can reconstitute the closure locally, removing the need to send the closure in the request slot. If there are indeed more closures in the request slot than will fit in the lookup table, any extras can be sent in the request slot. This will reduce the data being sent in the common case with minimal overhead, but will be no more expensive in the special case. Similarly if the serialized data has a size known at compile time the trustee already has access to it, so the only time it needs to be sent with the request is if it is not known at compile time. One thing to note here is that we rely on same vtable pointer to be the valid on all of the machines which is not the case normally due to linux address space layout randomization (ASLR), making disabling it a necessity for *Gossamer* to function.

### 3.3 Plots

Various plot comparing gossamer with eRPC and graph500 reference code.

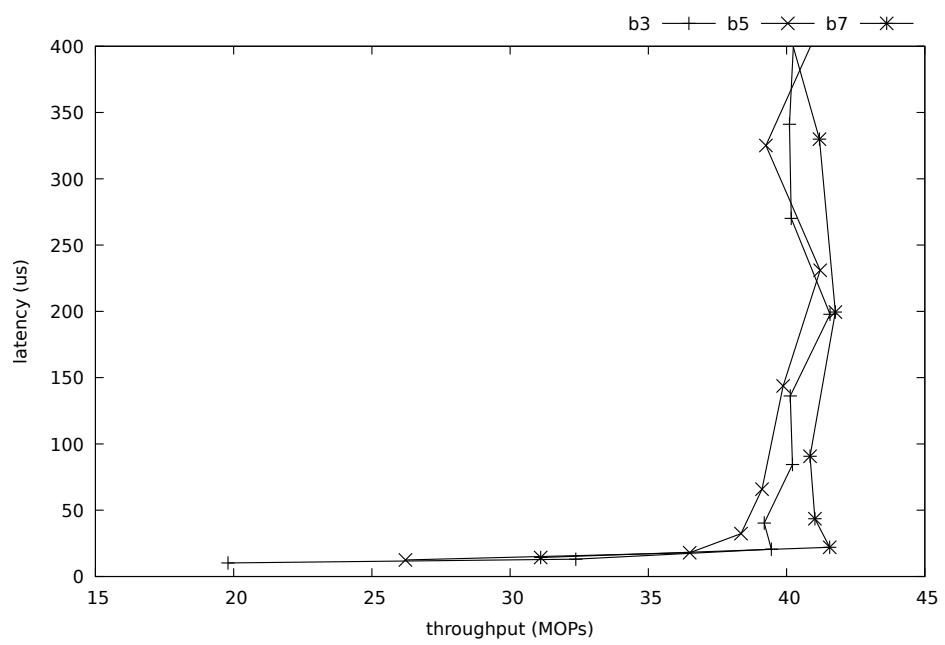


Figure 8: throughput vs latency for different batch sizes for eRPC.

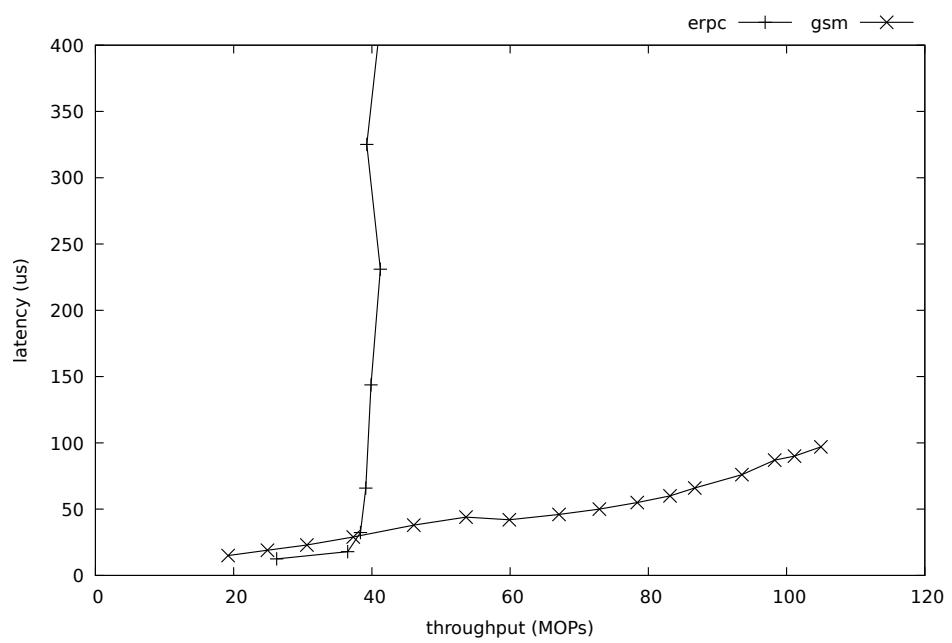


Figure 9: throughput vs latency under load for gsm and eRPC with two machines and 14 threads per machine.

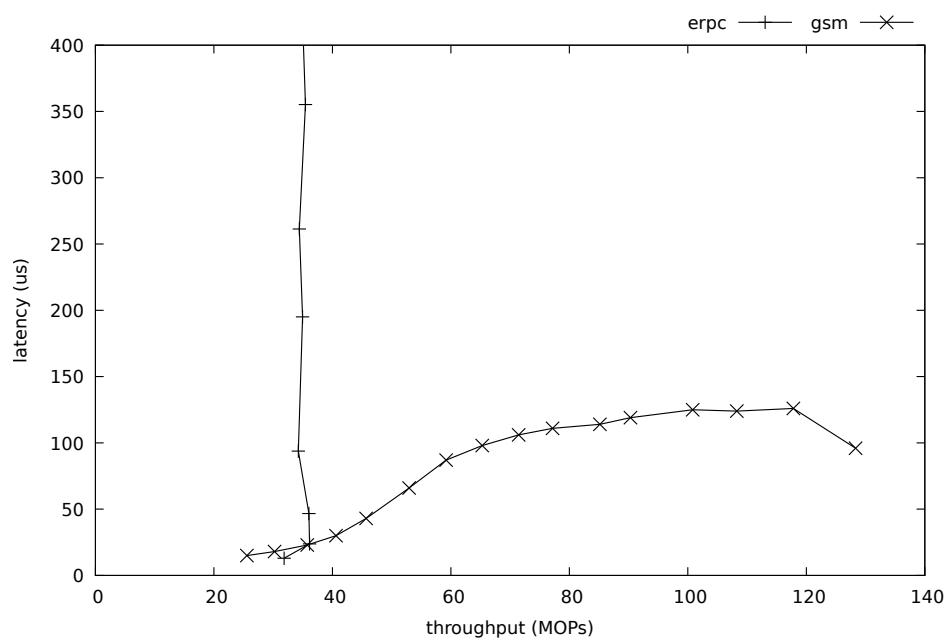


Figure 10: throughput vs latency under load for gsm and eRPC with two machines and 28 threads per machine.

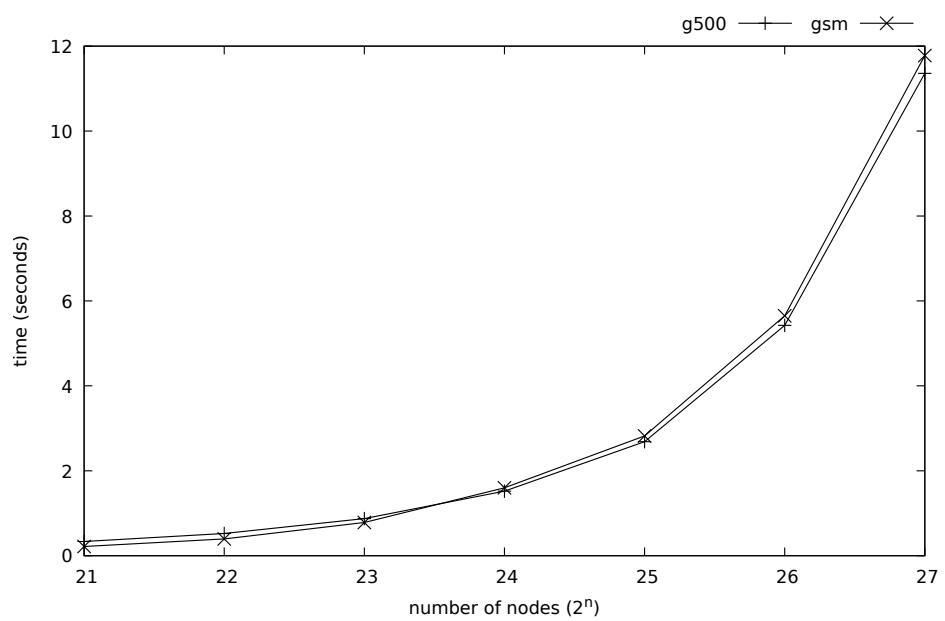


Figure 11: Time taken for compute shortest path on a graph with  $2^{26}$  nodes and an average degree of 32. Each successive point represents an additional machine from 16 Cloudlab xl170 nodes with a total of 160 threads.

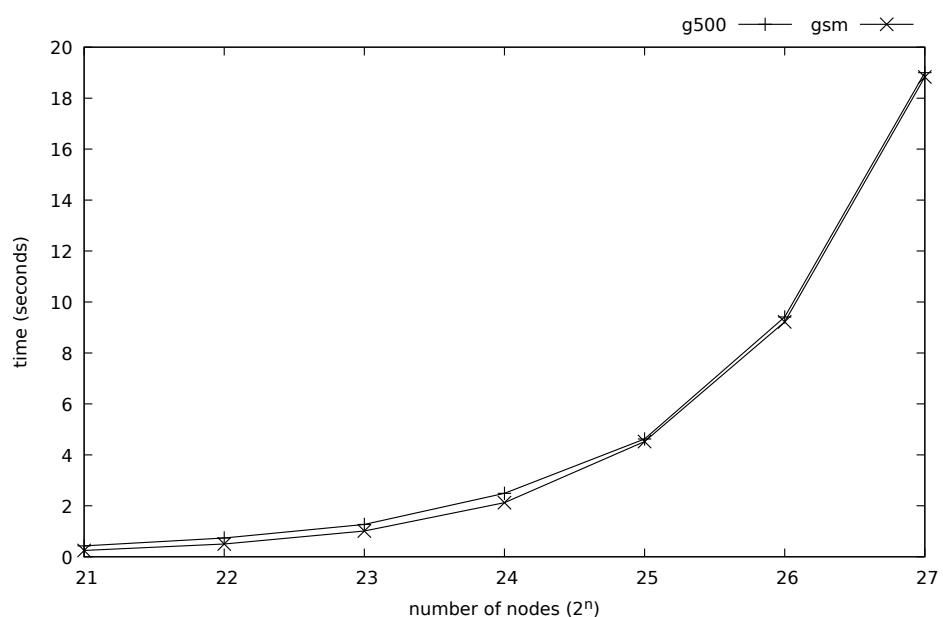


Figure 12: Time taken for compute shortest path on graphs with  $2^n$  nodes and an average degree of 32. 4 machines with a total of 112 threads.

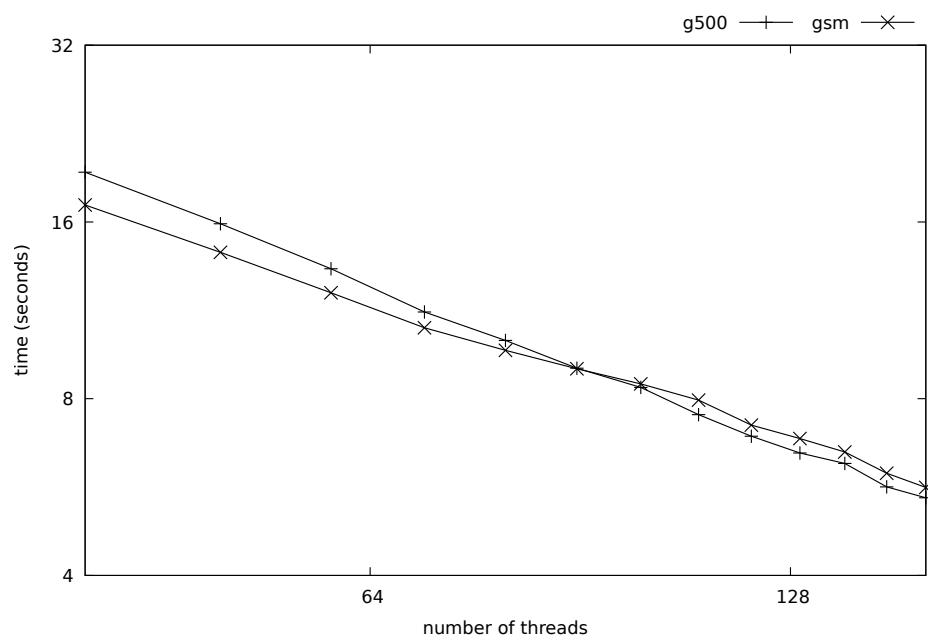


Figure 13: Time taken for compute shortest path on a graph with  $2^{26}$  nodes and an average degree of 32. 16 Cloudlab xl170 nodes with a total of 160 threads.

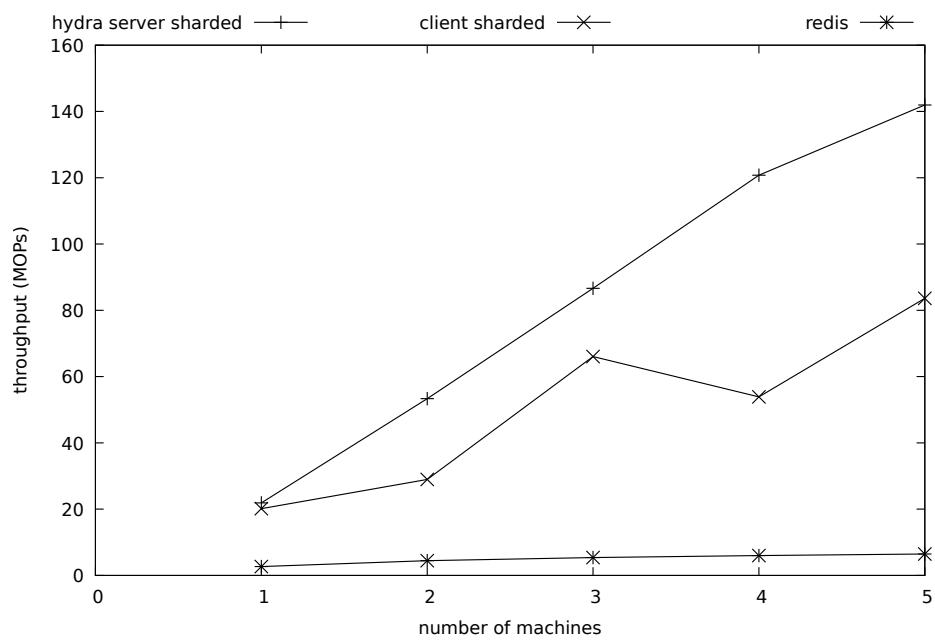


Figure 14: Throughput of hydra vs redis. Each server machine has 6 trustees in case of hydra and 6 instances of redis store.

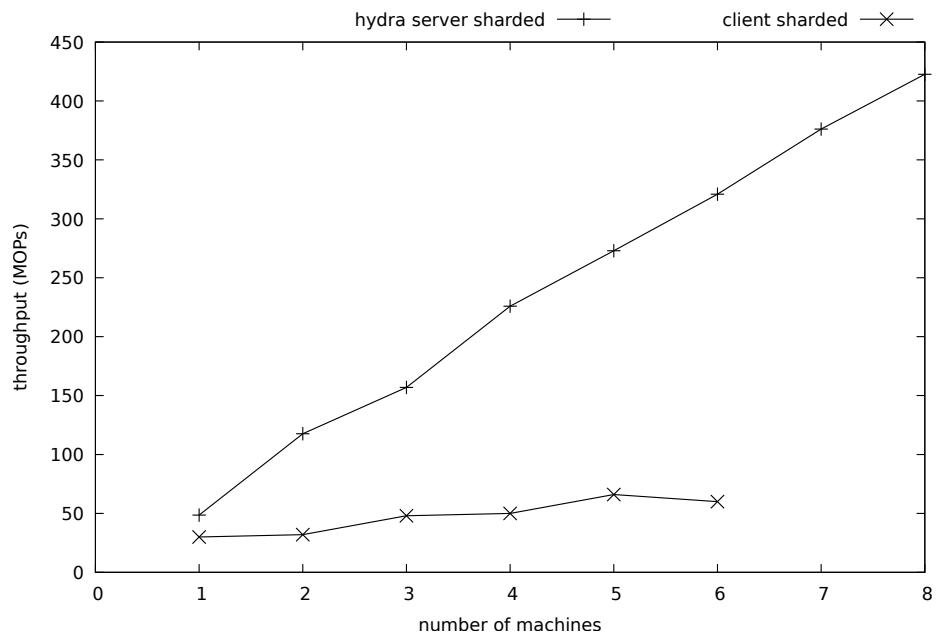


Figure 15: Throughput of the key-value store. The number of servers is varied here with each one having 6 dedicated trustee and 26 threads to handle incoming client queries. The clients are 4 machines with 768 threads over 64 cores. This experiment was conducted on cloudblab r6615 nodes.

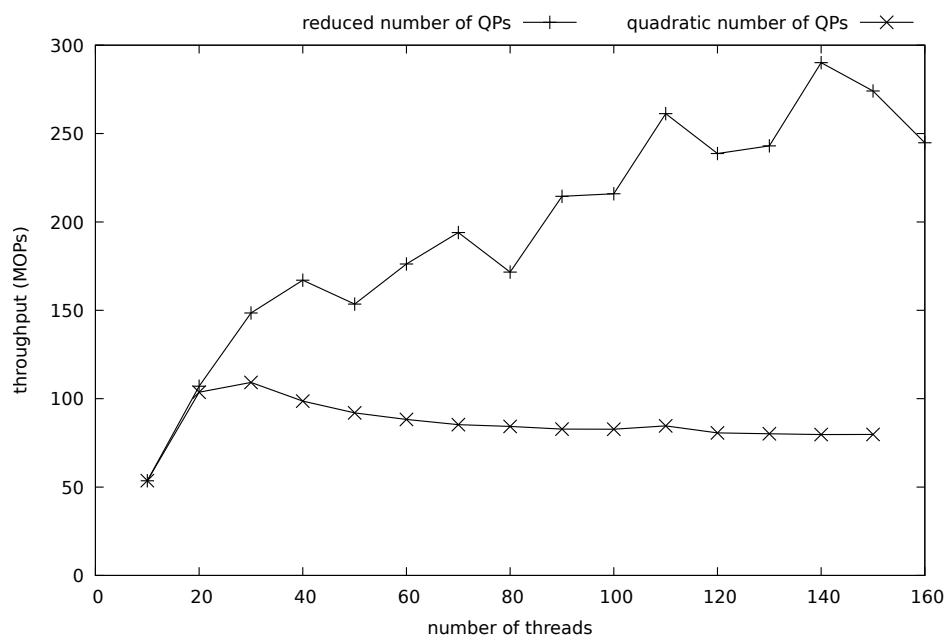


Figure 16: Throughput of RDMA writes in MOPs for quadratic and reduced number of QPs. Each write is 32 Bytes. and th experiment was conducted on 5 r6615 machines on cloudblab.

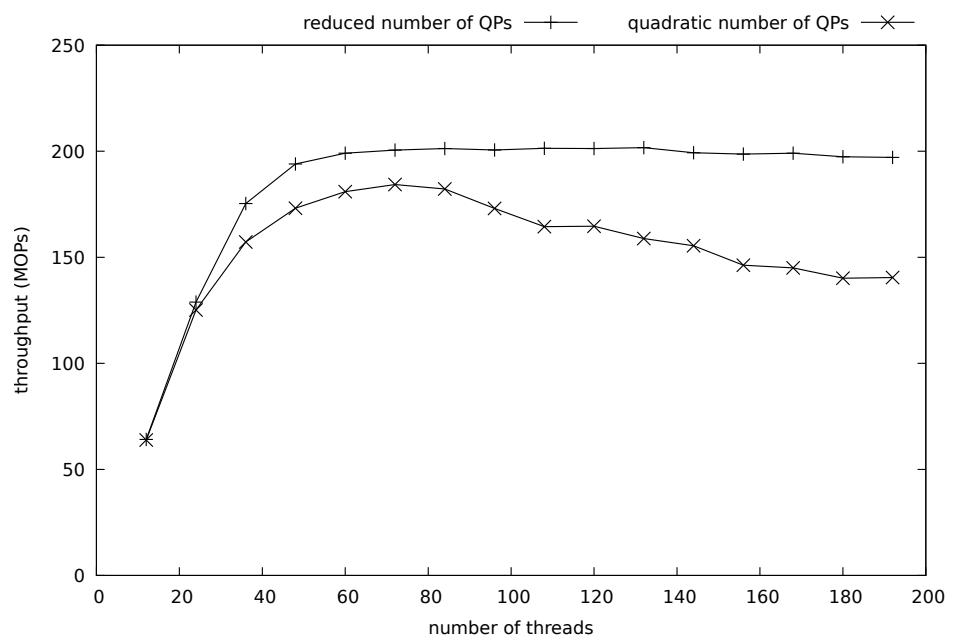


Figure 17: Throughput of RDMA writes in MOPs for quadratic and reduced number of QPs. Each write is 256 Bytes. and th experiment was conducted on 6 r6615 machines on cloudblab.

## **CHAPTER 4**

### **CONCLUSION**

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<b>PUBLICATIONS</b>	