

# PDE and Jitter modelling of SPAD Devices.

Rémi Helleboid<sup>1</sup>, Denis Rideau<sup>1</sup>, Norbert Moussy<sup>3</sup>, Olivier Saxod<sup>3</sup>, Jeremy Grebot<sup>1</sup>, Isobel Nicholson<sup>2</sup>, Antonin Zimmerman<sup>1</sup>, Sara Pellegrini<sup>2</sup>, and Matthieu Sicre<sup>1</sup>

<sup>1</sup>ST Microelectronics, Crolles, France

<sup>2</sup>ST Microelectronics, Edinburgh, UK

<sup>3</sup>CEA LETI, Grenoble, France

## Abstract

In this paper we present a full 3D simulation methodology to extract Photon Detection Probability (PDP) and Jitter of Single-Photon Avalanche Diode (SPAD) Devices. The simulation results are compared with measurements on devices and show good agreement with the experiments.

**Keywords**— single-photon avalanche diode (SPAD), photon detection probability (PDP), jitter, avalanche breakdown probability, breakdown voltage

## 1 Introduction

Single Photon Avalanche Diodes (SPAD) are key optoelectronic detectors for medical imaging, camera ranging and automotive laser imaging detection and ranging (LiDAR) applications. Currently, the device leading the market is a micrometric silicon (Si) PN junction associated to a proximity CMOS electronics biasing the system above the breakdown voltage. Si-SPADs present low noise and relatively high photon detection probability (PDP), but their sensitivity is limited to photon wavelengths lower than 1100 nm, while class 1 eye-safety devices would require wavelengths larger than 1400 nm.

## 2 Device structure and TCAD simulation

Silicon based SPAD with n-on-p structure is considered as depicted on Fig.1.a. The architecture is composed of n- and p-wells as multiplication region and n- and p-guard rings which prevent premature peripheral breakdown. Passivation at interfaces constrains surface states. Deep-trench isolation (DTI) ensures isolation with environment. A high-k insulator on top limits corrosion, lowers surface dangling bonds and its optical properties ensure optimal photons absorption. The device structure and its doping profile were implemented in Sentaurus Process. The electric field (F) within the SPAD is calculated by solving the Poisson equation. The carrier density ( $\rho$ ) and the recombination-generation processes (R-G) are computed by resolving current continuity equations consistently with drift-diffusion transport model. As indicated on Fig. , the spatial discretization and TCAD outputs were extracted from Sentaurus Device allowing to interpolate physical fields at each vertex.

## 3 Avalanche breakdown probability

The avalanche breakdown probability is computed by the means of the well known McIntyre model [1]. We briefly recall the model derivation : Let  $P_e(x)$  be the probability that an electron starting at x in the depletion layer triggers an avalanche and  $P_h(x)$  the same probability for an hole starting at x. Straightforwardly, the probability that neither an hole nor an electron starting at x trigger an avalanche is given by  $(1 - P_e(x))(1 - P_h(x))$ . Thus, the probability that either the hole or the electron trigger

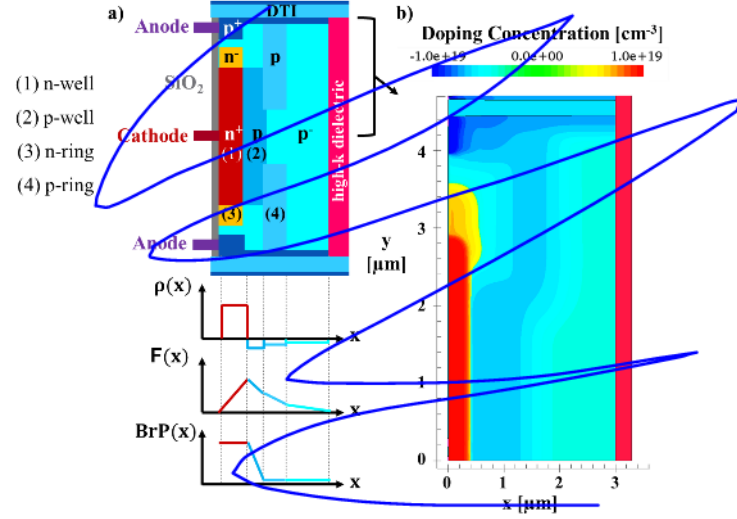


Figure 1: SPAD architecture

an avalanche, noted  $P_{pair}$  is :

$$\begin{aligned} P_{pair}(x) &= 1 - (1 - P_e(x))(1 - P_h(x)) \\ &= P_e + P_h - P_e P_h \end{aligned}$$

Now, the probability that an electron starting at  $x + dx$  triggers an avalanche is : The probability that the electron reaches the position  $x$  and triggers an avalanche in  $x$  plus the probability that it triggers an avalanche between  $x$  and  $x + dx$  less the probability of the intersection of the two previous events. It writes :

$$\begin{aligned} P_e(x + dx) &= P_e(x) + \alpha_e(x)dxP_{pair}(x) - P_e(x)\alpha_e dxP_{pair}(x) \\ &= P_e(x) + \alpha_e(x)dx(P_e(x) + P_h(x) - P_e(x)P_h(x)) \\ &\quad - P_e(x)\alpha_e(x)dx(P_e(x) + P_h(x) - P_e(x)P_h(x)) \\ &= P_e(x) + dx\alpha_e(x)(P_e(x) + P_h(x) - P_e(x)P_h(x))(1 - P_e(x)) \end{aligned}$$

Where  $\alpha_e$  is the electron linear ionization rate : the probability by length that an electron create an impact ionization event.

One can rearrange the terms to obtain :

$$\frac{P_e(x + dx) - P_e(x)}{dx} = \alpha_e(x)(P_e(x) + P_h(x) - P_e(x)P_h(x))(1 - P_e(x))$$

Which leads to the first ordinary differential equation :

$$\frac{dP_e}{dx} = (1 - P_e)\alpha_e(P_e + P_h - P_e P_h)$$

The same reasoning applies to the probability that an hole starting at  $x - dx$  triggers an avalanche. Which leads to the second ordinary differential equation :

$$\frac{dP_h}{dx} = -(1 - P_h)\alpha_h(P_e + P_h - P_e P_h)$$

Therefore we can draw up the McIntyre system :

$$\begin{cases} \frac{dP_e}{dx} = (1 - P_e)\alpha_e(P_e + P_h - P_e P_h) \\ \frac{dP_h}{dx} = -(1 - P_h)\alpha_h(P_e + P_h - P_e P_h) \end{cases} \quad (1)$$

So the algorithm will first solve the linear system :

$$\mathbf{F}'(\mathbf{s}^k)\boldsymbol{\xi} = -\mathbf{F}(\mathbf{s}^k) \quad (9)$$

And then simply do :

$$\mathbf{s}^{k+1} = \mathbf{s}^k + \boldsymbol{\xi} \quad (10)$$

Let  $\mathbf{N}_{\mathcal{M}}$  be the following discrete differential operator :

$$\mathbf{N}_{\mathcal{M}}\mathbf{y}_i = \frac{y_{i+1} - y_i}{h_i} - \frac{1}{2} (f(x_{i+1}, y_{i+1}) + f(x_i, y_i))$$

Then

$$\mathbf{F}(\mathbf{s}) = (\mathbf{N}_{\mathcal{M}}\mathbf{y}_1, \mathbf{N}_{\mathcal{M}}\mathbf{y}_1, \dots, \mathbf{N}_{\mathcal{M}}\mathbf{y}_N, g(y_1, y_{N+1}))$$

We set

$$\boldsymbol{\xi} = (\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_N, \mathbf{w}_{N+1})$$

So that the Newton method iteration becomes :

$$\frac{\mathbf{w}_{i+1} - \mathbf{w}_i}{h_i} - \frac{1}{2} [A(x_{i+1})\mathbf{w}_{i+1} + A(x_i)\mathbf{w}_i] = -\mathbf{N}_{\mathcal{M}}\mathbf{y}_i^k \quad 1 \leq i \leq N \quad (11)$$

$$B_a w_1 + B_b w_{N+1} = -g(y_1^m, y_{N+1}^m) \quad (12)$$

Where  $A$  is the following matrix :

$$A(x_j) := \frac{\partial f}{\partial y}(x_j, \mathbf{y}_j^k)$$

And with

$$B_a = \frac{\partial g(\mathbf{y}_1^k, \mathbf{y}_{N+1}^k)}{\partial \mathbf{u}} = 1, \quad B_b = \frac{\partial g(\mathbf{y}_1^k, \mathbf{y}_{N+1}^k)}{\partial \mathbf{v}} = 1$$

### 3.2 Application to field lines

The McIntyre model is a fully 1D model where the electron and holes path are assumed to be a straight line, often took from the bottom to the top of the device. In this work we wish to have accurate values of breakdown probability in all the device volume. To this purpose we use electric field streamline to model the carriers transport inside the device. While this modelization might be less accurate than a drift-diffusion model, it would not be consistent with the McIntyre model, where electrons and holes are assumed to follow the same path. The field lines are computed straightforwardly using simple Euler scheme with adaptive step to ensure a good distribution of points along the line.

$$\frac{dX(s)}{ds} = \vec{F}_{electric} \quad (13)$$

Then the streamline is

$$\{X(s) \text{ for } s \in [s_0, s_f]\}$$

Our Euler method then reads :

$$\frac{X(s+ds) - X(s)}{ds} = \vec{F}_{electric}(X(s)) \quad (14)$$

$$\Rightarrow X(s+ds) = X(s) + \underbrace{ds \vec{F}_{electric}(X(s))}_{dX} \quad (15)$$

So with the discretization, calling  $X^k$  the approximation of  $X(k \cdot ds)$  we have :

$$\frac{X^{k+1} - X^k}{ds} = \vec{F}_{electric}(X^k) \quad (16)$$

$$\Rightarrow X^{k+1} = X^k + \underbrace{ds \vec{F}_{electric}(X^k)}_{dX^k} \quad (17)$$

This operation is computed both forward (hole motion) and backward (electron motion). We then interpolate the electric field on the line. We set one extremity of the line as it's beginning and the other end as it's end. We can now obtain a function  $E(x)$  where  $x$  is the distance from the beginning of the line and  $E(x)$  is the norm of the electric field at this point. The streamline and this function are represented in figures ?? and 2.

We can now compute the impact ionization coefficients, required to compute the McIntyre's model, in this work we choose the local coefficient from Van Overstraeten and De Man [4].

We can compute the breakdown probability over multiple streamlines starting from multiple points inside the device and plot them to have an idea of the breakdown probability inside the device, see figure .

for  $0 \leq x \leq W$ .

Adding the couple of boundary value conditions :

$$\begin{cases} P_e(x=0) = 0 \\ P_h(x=W) = 0 \end{cases} \quad (3)$$

$$\begin{cases} P_e(x=0) = 0 \\ P_h(x=W) = 0 \end{cases} \quad (4)$$

we have a full 1D coupled and non-linear boundary value problem. Since we have to extract this value at a large number of points, we use a self-made solver, embedded in a C++ program. This solver uses finite difference method coupled with a Newton's method to care of the non-linearity of the problem [2]. The algorithm is different from those implemented in MatLab routine (bvp4c) or SciPy function (solve\_bvp) [3] but the comparison with these tools show no difference.

We set the following notations :

$$Y(x) = \begin{pmatrix} P_e(x) \\ P_h(x) \end{pmatrix}$$

$$f(Y, x) = \begin{pmatrix} (1 - Y_1(x))\alpha_e(Y_1(x) + Y_2(x) - Y_1(x)Y_2(x)) \\ -(1 - Y_2(x))\alpha_h(Y_1(x) + Y_2(x) - Y_1(x)Y_2(x)) \end{pmatrix}$$

$$g(s_1, s_2) = \begin{pmatrix} s_1 \\ s_2 \end{pmatrix}$$

The problem hence reads :

$$\begin{cases} Y'(x) = f(Y, x) \\ g(Y(0), Y(w)) = 0 \end{cases} \quad (5)$$

$$\begin{cases} Y'(x) = f(Y, x) \\ g(Y(0), Y(w)) = 0 \end{cases} \quad (6)$$

### 3.1 Newton's method

The numerical method relies on a finite difference method approach to discretize the problem. Let  $\mathcal{M}$  be the mesh on which we work. It is given by the streamlines construction. So we have :

$$\mathcal{M} : 0 = x_1 < x_2 < x_3 < \dots < x_N < x_{N+1} = w$$

The approximated solution on mesh  $\mathcal{M}$  is  $Y_{\mathcal{M}} = (y_1, y_2, \dots, y_N, y_{N+1})$ , where  $y_i$  is the approximation of  $Y(x_i)$ .

For numerical approximation we again consider the mesh  $\mathcal{M}$  and denote the vector of approximate solution values at mesh points by  $Y_{\mathcal{M}}$ . The trapezoidal scheme of finite difference methods is given by :

$$\frac{y_{i+1} - y_i}{h_i} = \frac{1}{2} (f(x_{i+1}, y_{i+1}) + f(x_i, y_i)) \quad 1 \leq i \leq N \quad (7)$$

$$g(y_1, y_{N+1}) = 0 \quad (8)$$

Thus we obtain a system of  $2(N+1)$  algebraic equations for the  $2(N+1)$  unknowns  $Y_{\mathcal{M}}$ . Unlike before, though, these equations are non-linear. The number  $N$  depends on the precision we take when we construct the streamlines. We commonly take a range of  $[1nm, 10nm]$ , we then have  $N \sim 5000$ .

Fortunately, the Jacobian matrix of this system is rather sparse, as we shall see below.

We consider a system of equation written in the compact form :

$$\mathbf{F}(\mathbf{s}) = 0$$

We define a function  $\mathbf{G}$  :

$$\mathbf{G}(\mathbf{s}) = \mathbf{s} - [\mathbf{F}'(\mathbf{s})]^{-1} \mathbf{F}(\mathbf{s})$$

with  $\mathbf{F}'(\mathbf{s})^{-1}$  the inverse of the Jacobian matrix of  $\mathbf{F}$  :

$$\mathbf{F}'(\mathbf{s}) = \frac{\partial \mathbf{F}(\mathbf{s})}{\partial \mathbf{s}}$$

Then the newton method iterative method is given by the iteration :

$$\mathbf{s}^{k+1} = \mathbf{G}(\mathbf{s}^k)$$

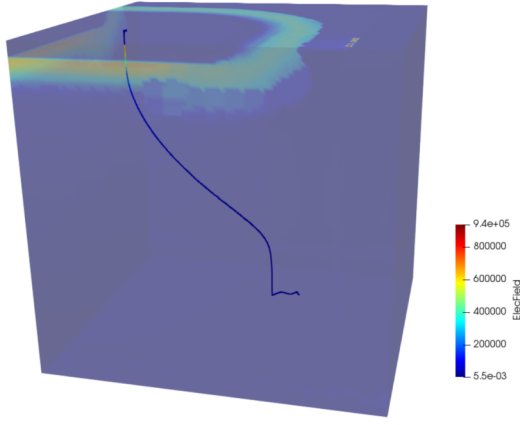


Figure 2: Field line of electric field inside the device

Figure 3: Breakdown Probability computed over multiple streamlines

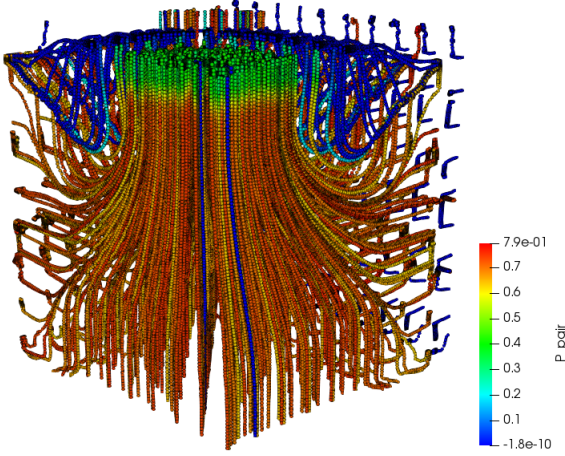


Figure 4: Breakdown Probability computed over multiple streamlines

## 4 Jitter modeling

### 4.1 Drif-Diffusion model

The jitter in SPAD devices is made of multiple phenomena such as depth of photon absorption, carrier transport timing, avalanche build-up, quench circuit statistics etc.

In the present work, we focus on the modeling of the carrier transport part. To do so, we put together the streamlines and the advection-diffusion equation with variable velocity and diffusion. Let us consider that a photon absorption at a given point  $x_0$  leads to the creation of an electron-hole pair, we want to simulate the timing for the electron to reach the avalanche zone, we assume that this zone is represented by the location of the maximum electric field, denoted  $x_{E_{max}}$ . Let  $f : (x, t) \mapsto f(x, t)$  the probability distribution of an electron presence. We assume that the electron will drift along the electric field streamline. At time  $t = 0$ ,  $f$  is a Dirac distribution, in order to be able to compute a solution numerically, we start a time  $t_0 = \delta t$  where  $\delta t$  is as small as possible. We then take the assumption that  $D(x) = D(x_0)$  and  $u(x) = u(x_0)$ , the analytical solution of the advection-diffusion applies. Hence,  $f$  verify the following equation :

$$\forall x \in [x_s, x_{max}] \text{ and } t \in [t_0, T]$$

$$\frac{\partial f}{\partial t}(x, t) = -\frac{\partial(u \cdot f)}{\partial x}(x, t) + \frac{\partial}{\partial x} \left( D \cdot \frac{\partial f}{\partial x} \right)(x, t) \quad (18)$$

With the following initial condition :

$$f(x, t = t_0) = \frac{1}{\sqrt{4\pi D(x_0) t_0}} \exp\left(-\frac{(x - v(x_0) t_0)^2}{4D(x_0) t_0}\right) \quad (19)$$

And setting an absorbing boundary condition at  $x_s = x_{E_{max}}$  :

$$\frac{\partial f}{\partial t}(x_s, t) = \frac{\partial}{\partial x} \left( D \cdot \frac{\partial f}{\partial x} \right)(x_s, t) \quad (20)$$

The velocity is computed through a high field saturation model and the diffusion through the Einstein relation  $D = \frac{\mu k_B T}{q}$ , these quantities are represented in figure 4.1. Let  $T_e$  the time for the electron to reach the avalanche region. It is straightforward that the probability that  $T$  is less than  $t$  is:

$$P_r(t < T) = F_{Pr}(t) = 1 - \int_{x_0}^{x_{max}} f(x, t) dx$$

From this cumulative distribution function, we can find back the distribution of  $T$ :

$$f_T(t) = \frac{F_{Pr}(t)}{dt} \quad (21)$$

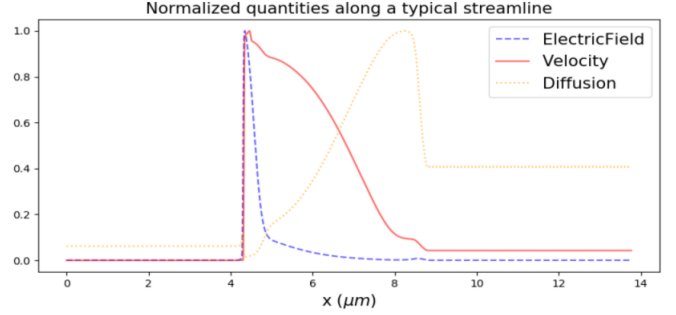


Figure 5: Normalized data along a streamline

### 4.2 Numerical solution

First, we discretize the streamline  $\Omega$  as

$$\Omega_h = \{x_s = x_0, x_1, x_2, \dots, x_{N-1}, x_N = x_{max}\}$$

And time interval as :

$$[t_0, t_{max}] = \{t_0 = t_0, t_1, t_2, \dots, t_{M-1}, t_M = t_{max}\}$$

The approximation of the solution is noted :

$$f(x_i, t_k) \approx f_i^k \text{ for } i \in \{0, \dots, N\} \text{ and } k \in \{0, \dots, M\}$$

The equation is solved by the mean of the finite difference method, more precisely we use a modified Crank-Nicholson method. The differentiation of the equation reads :

$$\begin{aligned} \frac{f_i^{k+1} - f_i^k}{dt} = & \frac{1}{2} \left[ -u_i \frac{f_{i+1}^{k+1} - f_{i-1}^{k+1}}{2h} + D_i \frac{f_{i+1}^{k+1} - 2f_i^{k+1} + f_{i-1}^{k+1}}{h^2} \right] \\ & + \frac{1}{2} \left[ -f_i^k \frac{u_{i+1} - u_{i-1}}{2h} + \frac{D_{i+1} - D_{i-1}}{2h} \frac{f_{i+1}^{k+1} - f_{i-1}^{k+1}}{2h} \right] \\ & + \frac{1}{2} \left[ -u_i \frac{f_{i+1}^k - f_{i-1}^k}{2h} + D_i \frac{f_{i+1}^k - 2f_i^k + f_{i-1}^k}{h^2} \right] \\ & + \frac{1}{2} \left[ -f_i^k \frac{u_{i+1} - u_{i-1}}{2h} + \frac{D_{i+1} - D_{i-1}}{2h} \frac{f_{i+1}^k - f_{i-1}^k}{2h} \right] \end{aligned}$$

It is then straightforward that the resulting scheme will be a linear system to solve with a matrix vector product as right hand side member:

$$AF^{k+1} = BF^k$$

## 5 Results and comparisons with experiments

In order to validate our model, we compared the simulation results with experimental measurements performed on several variations of the SPAD design. The measurements are always repeated on several SPADs in order to reduce the variability of the results. We first present

a comparison of the Breakdown Voltage (BV). The BV is extracted from the simulations considering a threshold PDE of  $1e-5$ , as shown in Figure 6.

The Figure 7 shows the correlation between simulations and measurements for breakdown voltage. We observe a very good correlation between the simulations and the measurements on all the tested designs. To compare with experimental data, the breakdown probability has been coupled with optical absorption obtained with Lumerical simulation (not shown) including notably the back end and the micro lenses. For all devices studied here, only the front end is varied and the integrated optical absorption within the full device is found to be 26% at 333K.

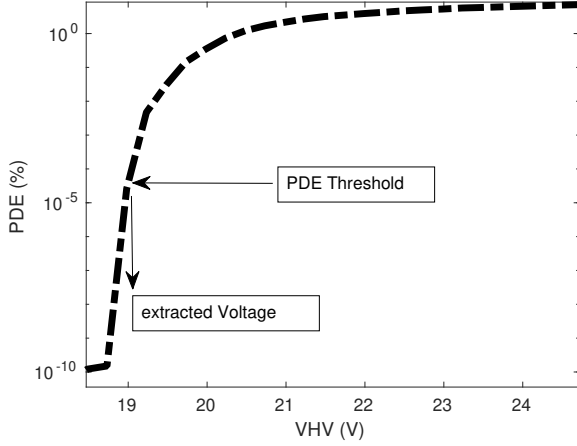


Figure 6: Method for BV extraction

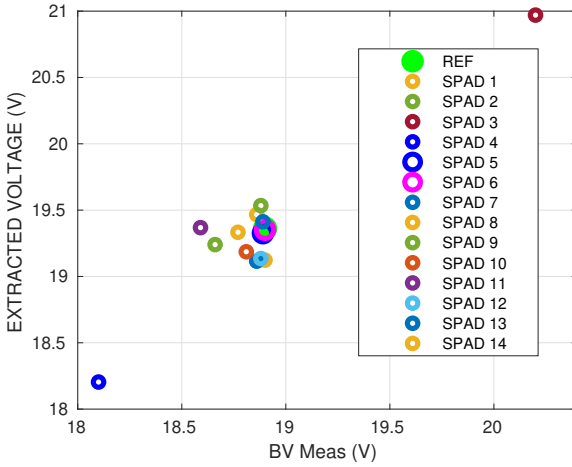


Figure 7: Correlation between simulation and measurements for PDE at BV + 4V

Figure 9 shows the PDE values obtained by simulations and measurements on three different SPADs. The PDE values are obtained by multiplying the breakdown avalanche probability by an average optical absorption, found to be 26% for our architecture.

## References

- [1] W. Oldham, R. Samuelson, and P. Antognetti, "Triggering phenomena in avalanche diodes," *IEEE Transactions on Electron Devices*, vol. 19, pp. 1056–1060, Sept. 1972.
- [2] U. M. Ascher, R. M. M. Mattheij, and R. D. Russell, *Numerical Solution of Boundary Value Problems for Ordinary Differential Equations*. Philadelphia: Society for Industrial and Applied Mathematics, 1st edition ed., Jan. 1987.

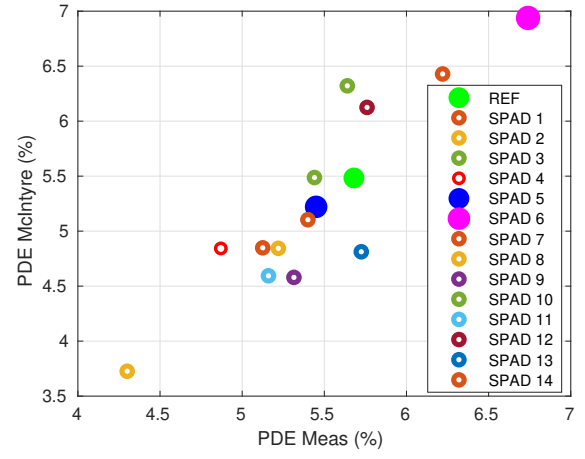


Figure 8: Correlation between simulation and experiment for Breakdown Voltage

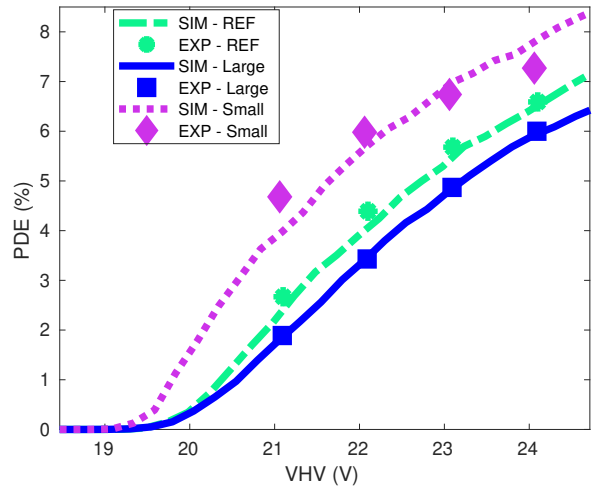


Figure 9: Comparison of PDE measured and simulated on three architecture variations

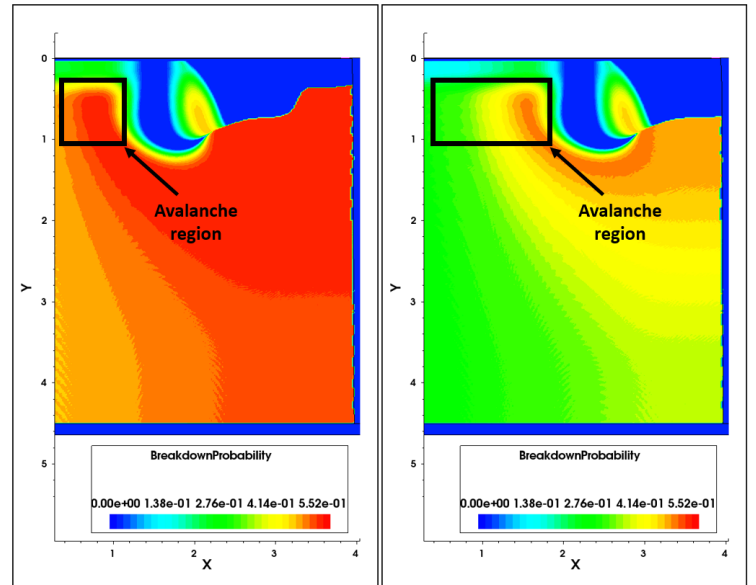


Figure 10: Maps of breakdown avalanche probability from two design variations with a larger and a smaller avalanche region

- [3] J. Kierzenka and L. F. Shampine, "A BVP solver based on residual control and the Maltab PSE," *ACM Transactions on Mathematical*

*Software*, vol. 27, pp. 299–316, Sept. 2001.

- [4] R. Van Overstraeten and H. De Man, “Measurement of the ionization rates in diffused silicon p-n junctions,” *Solid-State Electronics*, vol. 13, pp. 583–608, May 1970.