

# Introduction

In 1932, Gödel published a brief note demonstrating that the intuitionistic propositional calculus (IPC) cannot be characterized by finite-valued semantics. Although the argument he employed was brilliant, it was presented in an extremely concise manner (merely two paragraphs!), making it challenging to comprehend. In the subsequent discussion, I will endeavor to present it in a more detailed fashion, addressing any gaps with additional or alternative definitions and arguments. Following Gödel's lead, we will denote the intuitionistic propositional calculus as **H**.

## Preliminaries

**(Language)** We will use  $\mathcal{L}$  to denote the set of every wff induced by the unary connective  $\neg$  and the binary connectives  $\wedge, \vee, \rightarrow, \leftrightarrow$  in a countable infinite set of propositional letters  $\{p_0, p_1, \dots\}$ .

**(Matrix)** A matrix for  $\mathcal{L}$  is a tuple  $\mathcal{M} = \langle \mathcal{V}, \mathcal{D}, \mathcal{O} \rangle$  where  $\langle \mathcal{V}, \mathcal{O} \rangle$  is an algebra for  $\mathcal{L}$  and  $\mathcal{D} \subset \mathcal{V}$  is the set of designated values.

**(Valuation function)** Given a matrix  $\mathcal{M} = \langle \mathcal{V}, \mathcal{D}, \mathcal{O} \rangle$ , a valuation in  $\mathcal{M}$  is a function  $v : \mathcal{L} \rightarrow \mathcal{V}$  such that, for every  $n$ -ary connective  $\circ$  and every  $\alpha_1, \dots, \alpha_n \in \mathcal{L}$ ,

$$v(\circ(\alpha_1, \dots, \alpha_n)) = \tilde{\circ}(v(\alpha_1), \dots, v(\alpha_n))$$

where  $\tilde{\circ} \in \mathcal{O}$  is the algebraic counterpart of the connective.

**(Valid formula)** We say that a formula  $\varphi \in \mathcal{L}$  is valid in a matrix  $\mathcal{M} = \langle \mathcal{V}, \mathcal{D}, \mathcal{O} \rangle$  if, and only if, for every valuation function  $v$ ,  $v(\varphi) \in \mathcal{D}$ . We will denote this as  $\mathcal{M} \Vdash \varphi$ .

## The strategy

Suppose there is a matrix for  $\mathcal{L}$  which validates those, and only those, formulas that are provable in **H**. More formally, we are interested in a finite-valued matrix  $\mathcal{M}$  such that,

$$\forall \varphi \in \mathcal{L}, \mathcal{M} \Vdash \varphi \iff \mathbf{H} \vdash \varphi$$

The right-to-left direction ("those") is trivial: just take the usual matrix for classical logic. We are

interested in the left-to-right ("only those") direction, which is equivalent to:

$$\neg \exists \varphi \in \mathcal{L}, \mathcal{M} \Vdash \varphi \wedge \mathbf{H} \nVdash \varphi$$

Therefore, if we find a formula  $\varphi$  valid in  $\mathcal{M}$  and show that this formula is not provable in  $\mathbf{H}$ , we are forced to reject  $\mathcal{M}$  as an adequate characterization of  $\mathbf{H}$ . The strategy of Gödel's argument will be to show that, given any finite-valued matrix strong enough to validate every formula provable in  $\mathbf{H}$ , this matrix will necessarily validate also a formula not provable in  $\mathbf{H}$ , implying that no finite-valued matrix can satisfy only the formulas provable in intuitionistic propositional calculus.

## The formula $F_n$

Let  $n > 1$  be a natural number. Consider the following family of formulas:

$$F_n = \bigvee_{1 \leq i < k \leq n} (p_i \leftrightarrow p_k)$$

where  $p_i$  and  $p_k$  are propositional letters. Here are some examples.

n	$F_n$
2	$p_1 \leftrightarrow p_2$
3	$(p_1 \leftrightarrow p_2) \vee (p_1 \leftrightarrow p_3) \vee (p_2 \leftrightarrow p_3)$
4	$(p_1 \leftrightarrow p_2) \vee (p_1 \leftrightarrow p_3) \vee (p_1 \leftrightarrow p_4) \vee (p_2 \leftrightarrow p_3) \vee (p_2 \leftrightarrow p_4) \vee (p_3 \leftrightarrow p_4)$

**Lemma 1** Let  $n \geq 1$ . For every  $n$ -valued matrix  $\mathcal{M}$  such that  $\mathcal{M} \Vdash (p \leftrightarrow p) \vee q$ , we have that  $\mathcal{M} \Vdash F_{n+1}$ .

**Proof** Let  $\mathcal{M} = \langle \mathcal{V}, \mathcal{D}, \mathcal{O} \rangle$ , where  $\mathcal{V} = \{1, 2, \dots, n\}$ , be a matrix. By definition, a valuation in  $\mathcal{M}$  is a function  $v : \mathcal{L} \rightarrow \mathcal{V}$ . Assume that  $\mathcal{D} \subset \mathcal{V}$  is the set of designated values. We want to show that, for every  $v$ ,  $v(F_{n+1}) \in \mathcal{D}$ . Let  $v'$  be a valuation function. Now, note that  $F_{n+1}$  have  $n + 1$  propositional letters and, by hypothesis, we have only  $n$  truth-values. By the **pigeonhole principle**, we are forced to conclude that there are at least two propositional letters, say  $p_i$  and  $p_j$ , such that  $v'(p_i) = v'(p_j)$  (**A**). Now, let us rearrange the expression in the following way:  $F_{n+1} = (p_i \leftrightarrow p_j) \vee \varphi$  and let  $\beta = (p \leftrightarrow$

$p) \vee q$ . By hypothesis, for every valuation  $v$  in  $\mathcal{M}$ ,  $v(\beta) \in \mathcal{D}$ . In particular,  $v'(\beta) \in \mathcal{D}$ . By uniform substitution,  $v'(\beta[p_i/p, \varphi/q]) \in \mathcal{D}$  **(B)**. Now, by definition of valuation function,

$$v'(F_{n+1}) = \tilde{\vee}(\tilde{\rightarrow}(v'(p_i), v'(p_j)), v'(\varphi))$$

Using **(A)** and **(B)**, we conclude that  $v'(F_{n+1}) \in \mathcal{D}$ , as desired.

As a consequence of this lemma, we can conclude, for instance, that in the usual 2-valued matrix for classical logic, the formula  $(p \leftrightarrow q) \vee (p \leftrightarrow r) \vee (q \leftrightarrow r)$  is valid. More generally, every  $F_n$  with  $n \geq 3$  is true in classical logic. As a consequence of the next lemma, we will conclude that the same is not the case on IPC.

## $F_n$ is not intuitionistically valid

### The algebra $S_n$

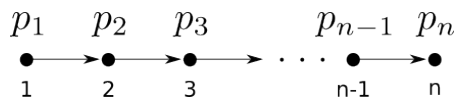
The idea is that, given any index  $n > 1$ , we can construct a Heyting algebra, called  $S_n$ , on which there is a valuation, called the *canonical valuation*  $h$ , where  $h(F_n) \notin \mathcal{D}$ .

**( $S_n$ )** Given some  $n > 1$ , define the universe of the algebra as  $\{1, 2, \dots, n\}$ , which are ordered in the usual way, and set the designated value as 1. Now, define the operators as:

$$\begin{aligned} a \vee b &= \min(a, b) \\ a \wedge b &= \max(a, b) \\ a \rightarrow b &= 1 \text{ for } a \geq b \text{ and } a \rightarrow b = b \text{ for } a < b \\ \neg a &= n \text{ for } a \neq n \text{ and } \neg n = 1 \end{aligned}$$

**(Remark)** To reconstruct the argument using the same algebra as provided by Gödel, we will subtract  $\leftrightarrow$  from our language and redefine  $\varphi \leftrightarrow \psi$  as a notation for  $(\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$ .

**(Canonical valuation)** Let  $h$  be a valuation function in  $S_n$ , such that, for each propositional letter  $p_i$ ,  $h(p_i) = i$ , as illustrated by the following image.



## Recursive $F_n$

We will give an alternative definition of  $F_n$ , which we will call as recursive  $F_n$  (or  $rF_n$ ) that will be useful for the next lemma.

( $rF_n$ ) Given  $n > 1$ , let  $rF_n$  be the formula defined as follows:

$$rF_2 = p_1 \leftrightarrow p_2$$

$$rF_{n+1} = rF_n \vee \bigvee_{1 \leq i < n} (p_i \leftrightarrow p_{n+1})$$

It is not difficult to see that:

**Proposition** For every  $n$ ,  $F_n = rF_n$ .

Now, using this "new" definition of  $F_n$ , we can prove the following lemma by induction.

**Lemma 2** For every  $n > 1$ ,  $h(rF_n) = 2$ .

**Proof** Induction on  $n$ . (**Base case**) By definition of  $h$ ,  $h(p_1) = 1$  and  $h(p_2) = 2$ .

Therefore,  $h(p_1 \leftrightarrow p_2) = \max(h(p_1 \rightarrow p_2), h(p_2 \rightarrow p_1)) = \max(2, 1) = 2$ .

(**Inductive step**) Assume that  $h(rF_n) = 2$ . By definition of  $rF_{n+1}$ ,  $h(rF_{n+1}) = \min(h(rF_n), h(\bigvee_{1 \leq i < n} (p_i \leftrightarrow p_{n+1}))) = \min(2, h(\bigvee_{1 \leq i < n} (p_i \leftrightarrow p_{n+1})))$  (**A**).

Note that, for every  $i, i < n$ . Therefore,  $h(p_i) < h(p_{n+1})$ . By definition of  $h$ ,  $h(p_i \leftrightarrow p_{n+1}) = \max(h(p_i \rightarrow p_{n+1}), h(p_{n+1} \rightarrow p_i)) = \max(n+1, 1) = n+1$ .

Therefore,  $h(\bigvee_{1 \leq i < n} (p_i \leftrightarrow p_{n+1})) = n+1$ . Using (**A**), we get that  $h(rF_{n+1}) = \min(2, n+1) = 2$ .

From this lemma, we can conclude that, for every  $n > 1$ ,  $h(rF_n) \neq 1$ . Therefore,  $h(rF_n) \notin \mathcal{D}$ . Using the Proposition above with Lemma 2, we have that:

**Corollary** For every  $n > 1$ ,  $S_n \not\models F_n$ .

And then, using the completeness of the Heyting algebra  $S_n$  with respect to the intuitionistic propositional calculus, we conclude that:

**Corollary** For every  $n > 1$ ,  $\mathbf{H} \not\vdash F_n$ .

(**Remark**) It is worth noting that, as another interesting consequence of the pigeonhole principle, we can conclude that, for every  $n > 1$ ,  $S_n \models F_{n+1}$ .