

DATA INNOVATION RISK ASSESSMENT TOOL

Part 7: Decision and rationale for decision

Final Assessment

Based on your answers in Sections 1-7, explain if the risks and resulting harms are disproportionately high compared to the expected positive impacts of this project.

Questions 1.1 – 1.3; 4.2; 6.1-6.2 answered as “Yes” mean that the risk is present.

Questions 2.1 – 2.3; 3.4-3.6; 4.1; 5.1 answered as “No” mean that the risk is present.

If you answered “Don’t Know” to any of the questions, consider it as a “risk factor”. You should not complete this assessment unless all questions are answered “Yes”, “No” or “Not Applicable”.

If you have answered “Not applicable”, you should make sure that you explained why it is not applicable in the Comments column.

If you found any risks, you should assess the likelihood of the risks and likelihood, magnitude and severity of the resulting harms and make sure to mitigate them before the project is undertaken.

If you identify that some of the risks or harms are unclear, or high, then you should perform a more comprehensive Risk, Harms, Benefits Assessment as a Step 2 (as mentioned in the Introduction) and engage data security, privacy and legal experts.

If you have found that the likelihood of risks and harms is very low (or non-existent) in comparison to the probability of the positive impact, you should now proceed with your project. Always bear in mind you should implement as many mitigation measures for the identified risks (even if low).

Review team

Person who performed the assessment

This should be filled out and signed by the lead person responsible for conducting the assessment

Name:

Title:

Sign:

Comments:

People who participated in or reviewed the assessment (e.g. Project Lead, Data Security, Privacy, Legal Expert)

This should be filled out by those who assisted the lead person in making the decision or who have been consulted on specific questions raised above, if any (add additional reviewers, if necessary). You can indicate the specific questions that this person answered. If this person also helped to determine the final outcome of the overall assessment, please indicate in the comments section.

Name:

Title:

Sign:

Comments: