

1) Create an account

Indiana529

Direct Savings Plan

getting**started**

Setting up your Indiana529 Direct Savings Plan Account

Please have this information ready before you start...



About you: address, birth date, Social Security Number*



About your investments (how your contributions will be invested):

To learn more, see the [Program Description](#)



About your successor (optional — the adult who'll be in charge of the account in the event of your death or inability to continue as the account owner): Birth date



About your bank (if you're going to have contributions taken from a checking or savings account): The account number for your account, routing number for your bank and/or a blank check



About your beneficiary (the person for whom you're saving): birth date, Social Security Number*

If you are opening an UGMA/UTMA account use this [form](#).

*We are required by federal law to obtain, verify, and record information that identifies each person who opens an account. If you don't provide the requested information, we may not be able to open your account. If we are unable to verify your identity, the Plan reserves the right to close your account or take other steps we deem reasonable.

New Account Owners

To open a new account, fill in the information below and click **continue**.

First Name

Last Name

Email

Primary Telephone --

continue ➔

Existing Account Owners

To open additional accounts, sign in then select "Open a New 529 Account". To make it easier we'll prefill your information on the new application.

Username

[I Forgot My User Name](#)

[I Forgot My Password](#)

[Sign up for online access](#)

continue ➔

2) Fill out basic information

step 1 2 3 4 5 6

Steps

- 1 about you
- 2 about your beneficiary
- 3 choose investments
- 4 pick a funding method
- 5 select a delivery option
- 6 complete your account



tips

Who can be an account owner?

You can open a 529 plan account if you:

Are a U.S. citizen or legal resident alien

Are 18 years old or older

Have a Social Security number or Tax ID number (required by federal law)

Are able to provide a street address. You can use P.O. Box for mailing address.



about you (account owner)

First name MI Last name

Permanent address (No P.O. Box)

City State Zip -

☐ Check if your mailing address is the same as your permanent address

Mailing address

City State Zip -

Telephone (The best number to reach you)

- -

Email address

Retype email address

Social Security or Tax Identification number

- -

Birth date

MM DD YYYY

Citizenship

☒ U.S. Citizen ☐ Resident Alien Please Select Country ☐ Non-resident Alien

more about you (optional)

By supplying us with the following information, the Indiana529 Direct Savings Plan can better understand how the Plan is being used. Your individual information will not be shared with any other party.

Income level (choose one)

Select an option

Race (choose one)

Select an option

Education level (choose one)

Select an option

How did you hear about the plan?

Select an option



about your successor account owner (recommended)

The successor account owner will take control of the account in the event of your death.

First name MI Last name

Birth date
MM DD YYYY

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3) Enter your information for the beneficiary

Check the box “Check if the beneficiary address is the same as the account owner address”

Check the box “Higher Education Expenses”

Steps

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The beneficiary is the individual for whom you're saving.

There is no age limit.

You can be your own beneficiary.

You can change your beneficiary to [another eligible family member of the prior beneficiary](#).*



about your beneficiary (student)

First Name MI Last Name State of Residence

Mailing address

City State Zip

☐ Check if the Beneficiary Address is the same as the Account Owner Address

Social Security or
Tax Identification number

Birth date
MM DD YYYY

Citizenship

☒ U.S. Citizen ☐ Resident Alien Please Select Country ☐ Non-resident Alien

Savings Goal(s)

- ☒ Higher Education Expenses
Saving for qualified higher education expenses at any eligible post-secondary school.
- ☐ K-12 Tuition Expenses
Saving for tuition expenses in connection with enrollment or attendance at an elementary or secondary public, private or religious school.
- ☐ Higher Education & K-12 Tuition Expenses
Saving for both qualified higher education expenses and K-12 tuition expenses.

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choose investments

Choose how you'd like your money to be invested from the options below

Click on an icon for information about each investment. You must allocate at least 1% to each investment (to total 100%). Use whole percentages only.

Individual Portfolios

If you feel comfortable about building your own strategy, choose from these options. They will not change automatically, but will remain in these portfolios until you exchange them into other investment options.

	2042 Enrollment Portfolio	<input type="text"/> %
	2039 Enrollment Portfolio	<input type="text"/> %
	2036 Enrollment Portfolio	<input type="text"/> %
	2033 Enrollment Portfolio	<input type="text"/> %
	2030 Enrollment Portfolio	<input type="text"/> %
	2027 Enrollment Portfolio	<input type="text"/> %
	College Portfolio	<input type="text"/> %
	Active U.S. Equity Portfolio	<input type="text"/> %
	US Equity Index Portfolio	<input type="text"/> %
	Active International Equity Portfolio	<input type="text"/> %
	International Equity Index Portfolio	<input type="text"/> %
	Active Bond Portfolio	<input type="text"/> %
	Bond Index Portfolio	<input type="text"/> %
	Inflation-Protected Portfolio	<input type="text"/> %
	Stable Value Portfolio	<input type="text"/> %
	Savings Portfolio (FDIC-Insured)	<input type="text" value="100"/> %

TOTAL

%

4) Put 100% for
“Savings Portfolio”

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pick a funding method

How do you want to fund your 529 account?

Your initial contribution must be at least \$10.

☐ From my bank account - automatic regular contributions

You can set up an Automatic Investment Plan (AIP) so contributions are made on a regular basis; for as little as \$10 per month/\$30 per quarter.

☒ From my bank account - single one-time contribution

This will be a one-time transfer of at least \$10 from your bank via Electronic Funds Transfer (EFT).

Amount (minimum \$10 initial contribution; maximum \$190,000.00 one-time EBT contribution)

\$

For electronic bank transfer (EBT), your financial institution must be a member of the automated clearing house (ACH). For security purposes, you will not be able to direct redemptions to this bank account for the next 15 calendar days. Contributions made by EBT will not be available for withdrawal for 7 business days.

☐ By adding this account, I acknowledge that my bank or financial institution is located in the U.S. and/or adheres to U.S. banking regulations.

Bank name

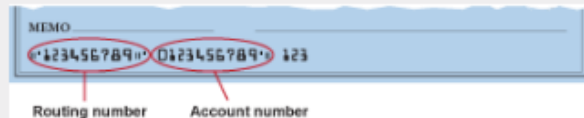
Routing number (9 digits)

Account number (17 digits or less)

Retype Account number

Account type

(Choose) ▼



Note: Your bank's routing number and account number may appear in a different order on your check.

☐ With payroll direct deposit

You can use this method if your employer offers this benefit; minimum is \$10 per pay period. You'll be able to print out a form for this option when you've completed this application.

☐ With a check

You'll be able to print out a form to send in with your check of at least \$10 when you've completed this application.

☐ With a rollover or transfer

Roll over funds from an existing qualified tuition program, withdraw assets from a Coverdell education savings account, or make a redemption of assets from a qualified U.S. savings bond (Series EE or Series I, issued after 1989). You will need to provide appropriate documentation of the principal and earnings portions of your rollover

5) Choose “From my bank account – single one-time contribution” for funding method and contribute \$10 or you can put how much you’ll spend on rent this year

6) Select email delivery (unless you want mailed statements)

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tips

Go green!
Sign up for online account statements and updates.

E-delivery can help you:

- Reduce clutter
- Save paper
- Get faster access to statements and confirmations.



select a delivery option

Choose your delivery method

Quarterly Statements and Program Description Updates

☒ Notify by email for all online statements/updates

☐ Mail all statements/updates

Transaction & Profile Confirmations

☒ Notify by email

☐ Mail confirmations

Tax Forms

☒ Notify by email

☐ Mail tax forms

Note: Requisite tax forms intended for the beneficiary will be mailed to the beneficiary's address on record regardless of the delivery option selected above.

IMPORTANT INFORMATION REGARDING ELECTRONIC DELIVERY

The terms below apply to your election to receive electronically one or more of the following types/categories of documents related to your 529 account(s): tax reporting forms, account statements and Program Description updates, and transaction confirmations. If you don't elect electronic delivery, we will send you paper documents.

How is the consent to electronic delivery applied and how long does it last?
Your consent applies to all documents required for all accounts in Indiana529 Direct registered under your Social Security or taxpayer identification number, including custodial accounts established under the Uniform Gifts to Minors Act or the Uniform Transfers to Minors Act. Your consent will be effective until you revoke it or Indiana529 Direct revokes it. Upon revocation, it may take several weeks before you start receiving information via U.S. mail.

How will I know when documents are available online?
You'll receive an email notice whenever a new document is available online.

- Transaction confirmations and clerical confirmations on your account are generally available online on the next business day following the trading or clerical activity.
- Account statements are available online approximately 5 days after the end of each statement period.
- Tax reporting forms will be available from January 31 through October 15 of each calendar year.
- To view any document online, go to www.indiana529direct.com and log in. Once in your account, click on "Profile and Documents" and then "Statements, Confirms and Tax Forms".

How are documents retained?

☒ I agree to receive documents related to my 529 account(s) by the delivery methods as set forth above. If I have chosen to receive documents electronically, I acknowledge that I have carefully read the terms and information regarding electronic delivery and I consent and agree to receive documents electronically as set forth above.

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Create Username and Password

You will be able to use this username and password to access your account.

Username
(Must be 6-25 characters)

Password
(Your password must be at least 8 characters long and contain at least 3 of the 4 following criteria: upper case, lower case, numeric, or special characters such as !, @, #, \$, % etc.)

Retype Password

Security Questions

If you forget your password, you will be asked to answer one of these questions to reset your password. Please enter a unique answer for each of the 3 security questions. The answers must be alphanumeric and at least 2 characters long.

Question

Answer

Retype answer

Question

Answer

Retype answer

Question

Answer

Retype answer

Choose Security Image

Your security image consists of an image and personalized image name that is shared between you and Indiana529 Direct Savings Plan. Your security image will be displayed after you enter your username every time you log in. You should never enter your password without verifying your security image.

Choose an image by clicking on one of the images below, and then enter your personalized, easy-to-recognize name which will display under your image each time you log in.

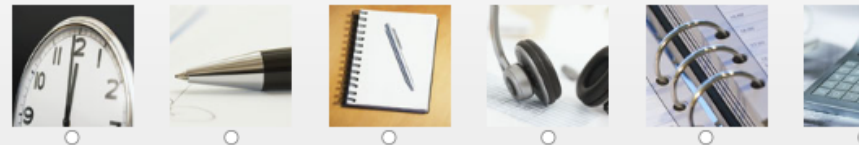


Image Name

Trusted Devices

Should we require a PIN when you log in with this device?

☐ No. This is a "trusted device."

☐ Yes. Please require extra security with this device.

continue 

7) Enter account login and security information

8) Make sure everything is entered correctly and then sign to open an account

By signing below, I hereby apply for an account in Indiana529 Direct. I certify that:

1. I have received, read, and understand the terms and conditions of the Disclosure Booklet. I understand that by signing this **Enrollment Form**, I am agreeing to be bound by the terms and conditions of the Disclosure Booklet. I understand that the **Enrollment Form** shall be construed, governed by, and interpreted in accordance with the laws of the State of Indiana.
2. Except as set forth below, I understand that the Disclosure Booklet and **Enrollment Form** constitute the entire agreement between myself and the Trust (as defined in the *Disclosure Booklet*). No person is authorized to make an oral modification to this agreement.
3. I understand that my Account in Indiana529 Direct is not insured by the State of Indiana or any other governmental entity and neither the principal I contribute nor the investment return is guaranteed by the State of Indiana, the Authority, or any other governmental entity, the Trust, the Program Manager, the Investment Managers, or any of their affiliates (each, as defined in the *Disclosure Booklet*). Notwithstanding the foregoing, the Savings Portfolio is the only investment option in Indiana529 Direct that is insured by the Federal Deposit Insurance Corporation (FDIC), up to limits set by the FDIC. I understand that there is no assurance that my Account under Indiana529 Direct will generate any specific rate of return; in fact, there is no assurance that the Account will not decrease in value (except for the Savings Portfolio as described in the *Disclosure Booklet*).
4. If I have chosen the AIP or EFT option, I authorize the Program Manager and Ascensus Investment Advisors, LLC upon telephone or online request, to pay amounts representing redemptions made by me or to secure payment of amounts invested by me, by initiating credit or debit entries to my Account at the bank named. I authorize the bank to accept any such credits or debits to my Account without responsibility to their accuracy. I acknowledge that the

[Printer Friendly Version](#)

sign 