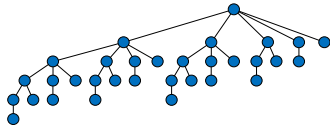


# Lecture 1. Course Overview, Motivation, Fundamental Concepts

**CpSc 8400: Algorithms and Data Structures**  
**Brian C. Dean**



**School of Computing**  
**Clemson University**  
**Spring, 2016**

## Introductions


- **Instructor:** Dr. Brian C. Dean
  - Ph.D. from MIT in 2005.
  - **Email:** bcdean@clemson.edu
  - **Office:** McAdams 205
  - **Office Hours:** See syllabus.
  - **Research Interests:** Algorithms (optimization, data mining and analytics, randomization, data structures, heuristics, matching, geometry) and their applications (medical informatics, networking, scheduling).
  - **Educational Interests** in algorithmic CS education and problem-solving at the high-school level; director of USA Computing Olympiad (usaco.org).

## Course Overview

- This course provides a fun, fast-paced, modern theory-oriented study of algorithms.
- We will learn:
  - Algorithm design techniques  
*Divide and conquer, greedy algorithms, dynamic programming, iterative refinement, randomized incremental construction, ...*
  - Common algorithms for common algorithmic problems  
*Sorting and selection, graph problems, FFTs, optimization, ...*
  - Mathematical tools for algorithm analysis  
*Probability theory, amortized analysis, recurrences, ...*
  - Interesting algorithmic subfields in which you may want to pursue further research/study.  
*Computational geometry, cryptography, approximation and randomized algorithms, data structures, bioinformatics, ...*

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## Why Learn Algorithms?...

- Algorithms are the heart and sole of computing!
  - Algorithmic computing now plays a key role in nearly everything – proficiency opens many doors.
- 
- ```
graph LR; S[Science] --> ACS["(Algorithmic) CS"]; E[Engineering] --> ACS; A[Arts] --> ACS; ACS --> C[Commerce]; ACS --> H[Health]; ACS --> S2[Society];
```
- The diagram illustrates the central role of (Algorithmic) CS in various fields. It features a central box labeled "(Algorithmic) CS" with arrows pointing to it from three boxes on the left: "Science", "Engineering", and "Arts". Similarly, arrows point from the central box to three boxes on the right: "Commerce", "Health", and "Society".
- Algorithmic proficiency differentiates a true “computer scientist” from a run-of-the-mill “programmer”, and provides the foundation for a long-term career in computing that can thrive as technology changes.
  - Theory meets practice. Algorithms have motivated the development of some truly elegant theoretical results in mathematics, for those who appreciate mathematics.
  - Algorithms are fun!

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## Programming ≠ Algorithmic Problem Solving

- “Computer Science is no more about computers than astronomy is about telescopes” – folklore, sometimes attributed to E. Dijkstra.
- Programming and software engineering are certainly an important part of most computing projects and careers.
- Problem-solving skills and programming skills are different, but re-inforce each-other.
- Both are crucial for success as a computing expert.

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## Course Details

- **Prerequisites**
  - A reasonable amount of mathematical maturity.
  - Enthusiasm, willingness to challenge yourself and ask questions, and a good work ethic!
- **Course Materials**
  - *Algorithms Explained*, currently being written by the instructor – portions may be made available electronically on Blackboard (don't redistribute!)
  - Lecture slides and videos to appear on blackboard.
  - I can suggest supplemental reading, if interested.
  - I may also post prominent research papers relevant to the course content.

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## Assignments and Grades

- **Homework (35%)**
  - Typically focused on mathematical analysis of algorithms, not implementation.
  - Solutions must be typeset and submitted as a PDF file using [handin.cs.clemson.edu](mailto:handin.cs.clemson.edu) by email *before the start of class on the day they are due*.
- **2 Quizzes (2 x 20%) and a Final (25%)**
  - All quizzes/exams are cumulative.
- Appropriate letter grade cutoffs set by instructor at end of semester.

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## Course Conduct

- **Academic Integrity:**
  - Do not cheat.
  - Do not plagiarize (pass off the work of others as your own without appropriate attribution).
- **Collaboration:**
  - Highly encouraged, but each student should write up final solutions independently. Please list your collaborators.
  - Do not consult homework solutions from previous semesters, and do not use the web for anything but general reference.
- **Feedback:**
  - Please feel welcome to ask for feedback at any time. The instructor always appreciates constructive feedback.

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## A Good Algorithm (or Data Structure)...

- Always terminates and produces **correct** output.
  - A “close enough” answer is sometimes fine.
  - Some types of randomized algorithms can fail, but only with miniscule probability.
- Makes **efficient** use of computational resources.
  - Minimizes running time, memory usage, processors, bandwidth, power consumed, heat produced.
- Is **simple** to describe, understand, analyze, implement, and debug.

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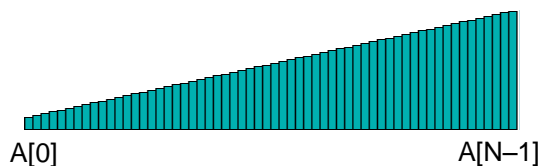
## Example: Searching an Array

- **Linear** search: runs in  $N$  “steps” in the worst case.

```
for i = 0..N-1:  
    if target = A[i], found it!
```

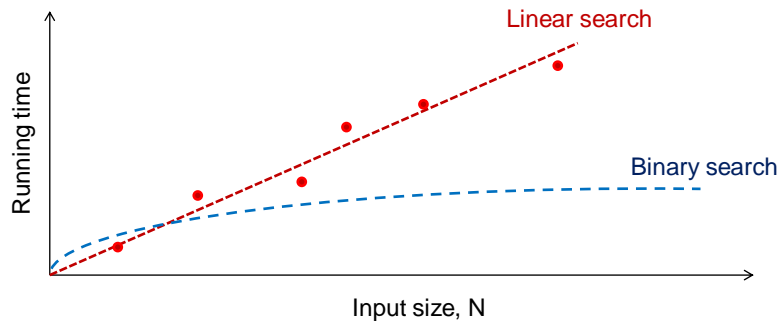
- **Binary** search:  $\leq \log_2 N$  “steps” in worst case.  
(requires our array to be sorted).

```
if target = middle element, found it!  
else recursively search first or second half  
array, as appropriate.
```



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## Empirical Performance Testing



- Choose inputs carefully, since often some inputs are much easier than others.
- Do you want to measure “average case” or “worst-case” performance...?

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## Asymptotic Analysis

- **Linear** search:  $O(N)$  time.
- **Binary** search:  $O(\log N)$  time.
- $O(f(N))$  means “upper-bounded by a constant times  $f(N)$  as  $N$  grows large”.
- Provides an asymptotic upper bound on running time, where constant factors and lower-order terms don’t matter.
- Captures what usually matters most about algorithm performance: how worst-case running time scales with input size.
- However, this can lose important information...  
(e.g., sequential vs. non-sequential linear search)

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## Asymptotic Notation

- $O()$  provides an asymptotic upper bound.
- $\Omega()$  provides an asymptotic lower bound.
- $\Theta()$  means both a lower and upper bound.  
(think of these as “ $\leq$ ”, “ $\geq$ ”, and “ $=$ ”)

Usage examples:

- “The running time of our algorithm is  $O(n^2)$ .”
- “The worst-case running time of our algorithm is  $\Theta(n^2)$ .”
- “This algorithm uses  $\Omega(n^2)$  memory”.
- “ $17n^2 - 5n + 200 = \Theta(n^2)$ ”
- “Consider the polynomial  $5x^{10} - 3x^9 + O(x^8)$ .”

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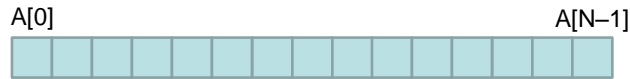
## Running Times

- We almost always focus on **worst case** running times. Why?
- Common running times:
  - Constant:  $O(1)$
  - Logarithmic:  $O(\log n)$
  - Linear:  $O(n)$
  - Polynomial:  $O(n \log n)$ ,  $O(n^2)$ ,  $O(n^3)$ ,  $O(n^{100})$ , ...
  - Exponential:  $O(2^n)$ ,  $O(3^n)$ , ...
  - Worse than exponential:  $O(n!)$ ,  $O(n^n)$ .
- Logs: base doesn't matter in  $O()$ , as long as not in an exponent. By  $\log n$  we usually mean  $\log_2 n$ .

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## Fundamental Data Structures

### Arrays



- Retrieve or modify any element in  $O(1)$  time.
- Insert or delete in middle of list:  $O(N)$  time. ☹️
- Insert or delete from ends:  $O(1)$  time
  - Be careful not to run over end of allocated memory

### Linked Lists



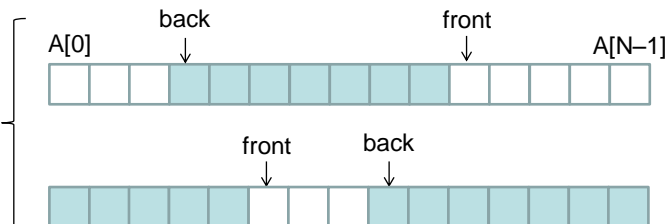
(sometimes doubly linked, or ending with a sentinel instead of NULL)

- Seek to any position in list:  $O(N)$  time. ☹️
- Then insert or delete element:  $O(1)$  time.
- Insert or delete from ends:  $O(1)$  time.

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## Fundamental Data Structures

### Queues



- First-In, First-Out (FIFO).
- $O(1)$  enqueue & dequeue, implemented using arrays or linked lists (often implemented using circular arrays).

### Stacks



- Last-In, First-Out (LIFO).
- $O(1)$  push & pop, implemented using arrays or linked lists.

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## An Important Distinction...

**Specification** of a data structure in terms of the operations it needs to support.

(sometimes called an *abstract data type*)

A concrete approach for **implementation** of the data structure that fulfills these requirements.

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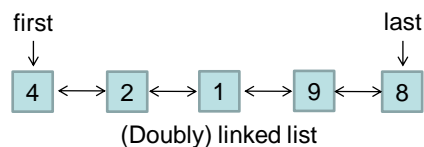
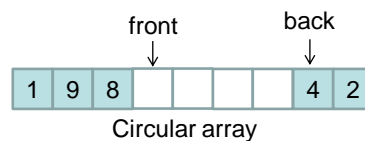
## Example: Queues

**Abstract data type:**  
queue

**Must support these operations:**

- *Insert(k)* a new key  $k$  into the structure.
- *Remove* the least-recently-inserted key from the structure.  
(so FIFO behavior)

Choices for concrete implementation:



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## Enforcing Abstraction in Code

**Abstract data type:**  
queue

**Concrete implementation:**  
queue.cpp

queue.h:

```
class Queue {
private:
    int *A;
    int front, back, N;

public:
    Queue();
    ~Queue();
    void insert(int key);
    int remove(void);
};
```



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## Enforcing Abstraction in Code

**Abstract data type:**  
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public:
    Queue();
    ~Queue();
    void insert(int key);
    int remove(void);
};
```

```
Queue q;
q.insert(6);
x = q.remove();
```



```
int Queue::remove(void)
{
    int result = A[back];
    back = (back+1) % N;
    return result;
}
```

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