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# **POSIX Threads Programming**

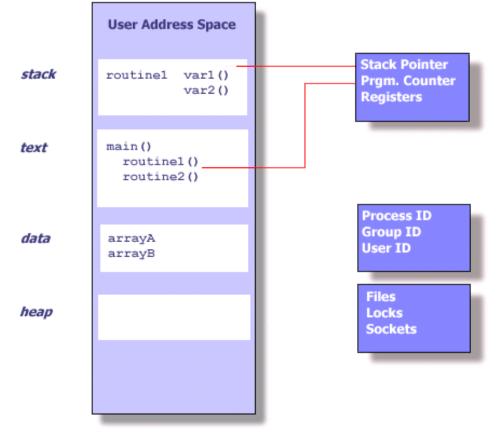
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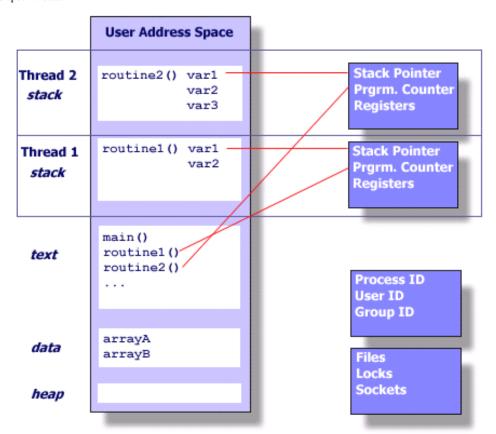
## **Pthreads Overview**

## What is a Thread?

- Technically, a thread is defined as an independent stream of instructions that can be scheduled to run as such by the operating system. But what does this mean?
- In the UNIX environment a thread:
  - Exists within a process and uses the process resources
  - $\circ$  Has its own independent flow of control as long as its parent process exists and the OS supports it
  - o May share the process resources with other threads that act equally independently (and dependently)
  - $\circ$  Dies if the parent process dies or something similar
- To the software developer, the concept of a "procedure" that runs independently from its main program may best describe a thread.
- Understanding what a thread means knowing the relationship between a process and a thread. A process is created by the operating system. Processes
  contain information about program resources and program execution state, including:
  - o Process ID, process group ID, user ID, and group ID
  - o Environment
  - Working directory.
  - o Program instructions
  - Registers
  - Stack
  - Heap
  - o File descriptors
  - Signal actions
  - o Shared libraries
  - o Inter-process communication tools (such as message queues, pipes, semaphores, or shared memory).



- Threads use and exist within these process resources, yet are able to be scheduled by the operating system and run as independent entities within a process.
- A thread can possess an independent flow of control and be schedulable because it maintains its own:
  - o Stack pointer
  - o Registers
  - Scheduling properties (such as policy or priority)
  - Set of pending and blocked signals
  - O Thread specific data.



• A process can have multiple threads, all of which share the resources within a process and all of which execute within the same address space. Within a multi-threaded program, there are at any time multiple points of execution.

- O Changes made by one thread to shared system resources (such as closing a file) will be seen by all other threads.
- Two pointers having the same value point to the same data.
- o Reading and writing to the same memory locations is possible, and therefore requires explicit synchronization by the programmer.

## **Pthreads Overview**

#### What are Pthreads?

- Historically, hardware vendors have implemented their own proprietary versions of threads. These implementations differed substantially from
  each other making it difficult for programmers to develop portable threaded applications.
- In order to take full advantage of the capabilities provided by threads, a standardized programming interface was required. For UNIX systems, this interface has been specified by the IEEE POSIX 1003.1c standard (1995). Implementations which adhere to this standard are referred to as POSIX threads, or Pthreads. Most hardware vendors now offer Pthreads in addition to their proprietary API's.
- Pthreads are defined as a set of C language programming types and procedure calls, implemented with a pthread. h header/include file and a
  thread library though the this library may be part of another library, such as libc.
- There are several drafts of the POSIX threads standard. It is important to be aware of the draft number of a given implementation, because there are
  differences between drafts that can cause problems.

#### **Pthreads Overview**

### Why Pthreads?

- The primary motivation for using Pthreads is to realize potential program performance gains.
- When compared to the cost of creating and managing a process, a thread can be created with much less operating system overhead. Managing threads
  requires fewer system resources than managing processes.

For example, the following table compares timing results for the **fork()** subroutine and the **pthreads\_create()** subroutine. Timings reflect 50,000 process/thread creations, were performed with the time utility, and units are in seconds.

Platform	fork()			pthread_create()		
	real	user	sys	real	user	sys
IBM 332 MHz 604e 4 CPUs/node 512 MB Memory AIX 4.3	92.4	2.7	105.3	8.7	4.9	3.9
IBM 375 MHz POWER3 16 CPUs/node 16 GB Memory AIX 5.1	173.6	13.9	172.1	9.6	3.8	6.7
INTEL 2.2 GHz Xeon 2 CPU/node 2 GB Memory RedHat Linux 7.3	17.4	3.9	13.5	5.9	0.8	5.3

Source

fork vs thread.txt

- All threads within a process share the same address space. Inter-thread communication is more efficient and in many cases, easier to use than
  inter-process communication.
- Threaded applications offer potential performance gains and practical advantages over non-threaded applications in several other ways:
  - Overlapping CPU work with I/O: For example, a program may have sections where it is performing a long I/O operation. While one thread
    is waiting for an I/O system call to complete, CPU intensive work can be performed by other threads.
  - o Priority/real-time scheduling: tasks which are more important can be scheduled to supersede or interrupt lower priority tasks.
  - Asynchronous event handling: tasks which service events of indeterminate frequency and duration can be interleaved. For example, a web server can both transfer data from previous requests and manage the arrival of new requests.
- The primary motivation for considering the use of Pthreads on an SMP architecture is to achieve optimum performance. In particular, if an
  application is using MPI for on-node communications, there is a potential that performance could be greatly improved by using Pthreads for on-node

• For example: IBM's MPI provides the MP\_SHARED\_MEMORY environment variable to direct the use of shared memory for on-node MPI communications instead of switch communications. Without this, on-node MPI communications demonstrate serious performance degradation as the number of tasks increase.

However, even with MP\_SHARED\_MEMORY set to "yes", on-node MPI communications can not compete with Pthreads:

- O IBM 604e shared memory MPI bandwidth: @80 MB/sec per MPI task
- IBM POWER3 NH-2 shared memory MPI bandwidth: @275 MB/sec per MPI task
- Pthreads worst case: Every data reference by a thread requires a memory read. In this case, a thread's "bandwidth" is limited by the machine's memory-to-CPU bandwidth:

604e: 1.3 GB/sec

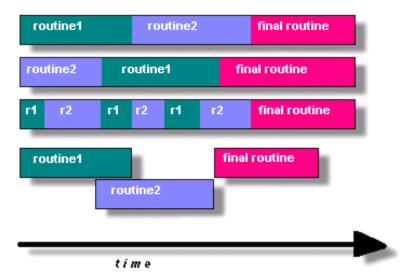
POWER3 NH-2: 16 GB/sec

O Pthreads best case: Data is local to a thread's cache offering much greater cache-CPU bandwidths.

#### **Pthreads Overview**

## **Designing Threaded Programs**

• In order for a program to take advantage of Pthreads, it must be able to be organized into discrete, independent tasks which can execute concurrently. For example, if routine 1 and routine 2 can be interchanged, interleaved and/or overlapped in real time, they are candidates for threading.



- Tasks that may be suitable for threading include tasks that:
  - O Block for potentially long waits
  - Use many CPU cycles
  - O Must respond to asynchronous events
  - Are of lesser or greater importance than other tasks
  - Are able to be performed in parallel with other tasks
- Be careful if your application uses libraries or other objects that don't explicitly guarantee thread-safeness. When in doubt, assume that they are not thread-safe until proven otherwise.
- Several common models for threaded programs exist:
  - Manager/worker: a single thread, the manager assigns work to other threads, the workers. Typically, the manager handles all input and
    parcels out work to the other tasks. At least two forms of the manager/worker model are common: static worker pool and dynamic worker
    pool.
  - Pipeline: a task is broken into a series of suboperations, each of which is handled in series, but concurrently, by a different thread. An
    automobile assembly line best describes this model.
  - o Peer: similar to the manager/worker model, but after the main thread creates other threads, it participates in the work.

## The Pthreads API

- The Pthreads API is defined in the ANSI/IEEE POSIX 1003.1 1995 standard. Unlike MPI, this standard is not freely available on the Web it
  must be <u>purchased from IEEE</u>.
- The subroutines which comprise the Pthreads API can be informally grouped into three major classes:

- Thread management: The first class of functions work directly on threads creating, detaching, joining, etc. They include functions to set/query thread attributes (joinable, scheduling etc.)
- 2. Mutexes: The second class of functions deal with synchronization, called a "mutex", which is an abbreviation for "mutual exclusion". Mutex functions provide for creating, destroying, locking and unlocking mutexes. They are also supplemented by mutex attribute functions that set or modify attributes associated with mutexes.
- 3. *Condition variables:* The third class of functions address communications between threads that share a mutex. They are based upon programmer specified conditions. This class includes functions to create, destroy, wait and signal based upon specified variable values. Functions to set/query condition variable attributes are also included.
- Naming conventions: All identifiers in the threads library begin with pthread\_

Routine Prefix	Functional Group	
pthread_	Threads themselves and miscellaneous subroutines	
pthread_attr_	Thread attributes objects	
pthread_mutex_	Mutexes	
pthread_mutexattr_	Mutex attributes objects.	
pthread_cond_	Condition variables	
pthread_condattr_	Condition attributes objects	
pthread_key_	Thread-specific data keys	

- The concept of opaque objects pervades the design of the API. The basic calls work to create or modify opaque objects the opaque objects can be
  modified by calls to attribute functions, which deal with opaque attributes.
- The Pthreads API contains over 60 subroutines. This tutorial will focus on a subset of these specifically, those which are most likely to be immediately useful to the beginning Pthreads programmer.
- The pthread. h header file must be included in each source file using the Pthreads library.
- The current POSIX standard is defined only for the C language. Fortran programmers can use wrappers around C function calls. Also, the IBM
  Fortran compiler provides a Pthreads API. See the XLF Language Reference, located with IBM's Fortran documentation for more information.
- A number of excellent books about Pthreads are available. Several of these are listed in the References section of this tutorial.

### **Thread Management**

## **Creating Threads**

- Initially, your main() program comprises a single, default thread. All other threads must be explicitly created by the programmer.
- Routines:

### pthread create (thread,attr,start routine,arg)

- This routine creates a new thread and makes it executable. Typically, threads are first created from within main () inside a single process. Once created, threads are peers, and may create other threads.
- The pthread\_create subroutine returns the new thread ID via the *thread* argument. The caller can use this thread ID to perform various operations on the thread. This ID should be checked to ensure that the thread was successfully created.
- The attr parameter is used to set thread attributes. You can specify a thread attributes object, or NULL for the default values. Thread attributes are discussed later.
- The *start\_routine* is the C routine that the thread will execute once it is created.
- A single argument may be passed to start\_routine via arg. It must be passed by reference as a pointer cast of type void.
- The maximum number of threads that may be created by a process is implementation dependent.

Question: After a thread has been created, how do you know when it will be scheduled to run by the operating system...especially on an SMP machine?

### **Thread Management**

- There are several ways in which a Pthread may be terminated:
  - The thread returns from its starting routine (the main routine for the initial thread).
  - The thread makes a call to the pthread\_exit subroutine (covered below).
  - The thread is canceled by another thread via the pthread cancel routine (not covered here).
  - The entire process is terminated due to a call to either the exec or exit subroutines.
- Routines:

```
pthread_exit (status)
```

- This routine is used to explicitly exit a thread. Typically, the pthread\_exit() routine is called after a thread has completed its work and is
  no longer required to exist.
- If main() finishes before the threads it has created, and exits with pthread\_exit(), the other threads will continue to execute. Otherwise, they will be automatically terminated when main() finishes.
- The programmer may optionally specify a termination status, which is stored as a void pointer for any thread that may join the calling thread.
- Cleanup: the pthread\_exit () routine does not close files; any files opened inside the thread will remain open after the thread is terminated.
- Recommendation: Use pthread exit() to exit from all threads...especially main().

# **Thread Management**

## **Example: Pthread Creation and Termination**

• This simple example code creates 5 threads with the pthread\_create() routine. Each thread prints a "Hello World!" message, and then terminates with a call to pthread exit().

```
Example Code - Pthread Creation and Termination
#include <pthread.h>
#include <stdio.h>
#define NUM THREADS
                         5
void *PrintHello(void *threadid)
{
  printf("\n%d: Hello World!\n", threadid);
  pthread exit(NULL);
}
int main (int argc, char *argv[])
  pthread t threads[NUM THREADS];
   int rc, t;
   for(t=0;t < NUM THREADS;t++){</pre>
     printf("Creating thread %d\n", t);
      rc = pthread create(&threads[t], NULL, PrintHello, (void *)t);
      if (rc){
         printf("ERROR; return code from pthread create() is %d\n", rc);
         exit(-1);
      }
  pthread exit(NULL);
           Output
 Source
```

## **Thread Management**

- The pthread\_create() routine permits the programmer to pass one argument to the thread start routine. For cases where multiple arguments must be passed, this limitation is easily overcome by creating a structure which contains all of the arguments, and then passing a pointer to that structure in the pthread create() routine.
- All arguments must be passed by reference and cast to (void \*).



Question: How can you safely pass data to newly created threads, given their non-deterministic start-up and scheduling?



## **Example 1 - Thread Argument Passing**

This code fragment demonstrates how to pass a simple integer to each thread. The calling thread uses a unique data structure for each thread, insuring that each thread's argument remains intact throughout the program.



# **Example 2 - Thread Argument Passing**

This example shows how to setup/pass multiple arguments via a structure. Each thread receives a unique instance of the structure.

```
struct thread data{
  int thread id;
  int sum;
  char *message;
};
struct thread data thread data array [NUM THREADS];
void *PrintHello(void *threadarg)
  struct thread data *my data;
  my data = (struct thread data *) threadarg;
  taskid = my_data->thread_id;
  sum = my data->sum;
  hello msg = my data->message;
}
int main (int argc, char *argv[])
  thread data array[t].thread id = t;
  thread data array[t].sum = sum;
  thread data array[t].message = messages[t];
  rc = pthread create(&threads[t], NULL, PrintHello,
```

```
Source Output
```



# **Example 3 - Thread Argument Passing (Incorrect)**

This example performs argument passing incorrectly. The loop which creates threads modifies the contents of the address passed as an argument, possibly before the created threads can access it.

## **Thread Management**

#### **Thread Identifiers**

Routines:

```
pthread_self ()
pthread_equal (thread1,thread2)
```

- The pthread self() routine returns the unique, system assigned thread ID of the calling thread.
- The pthread equal () routine compares two thread IDs. If the two IDs are different 0 is returned, otherwise a non-zero value is returned.
- Note that for both of these routines, the thread identifier objects are opaque and can not be easily inspected. Because thread IDs are opaque objects, the C language equivalence operator == should not be used to compare two thread IDs against each other, or to compare a single thread ID against another value.

## **Thread Management**

## Joining Threads

- "Joining" is one way to accomplish synchronization between threads. Two other ways, mutexes and condition variables will be discussed later.
- Routines:

```
pthread_join (threadid,status)
```

- The pthread\_join() subroutine blocks the calling thread until the specified threadid thread terminates.
- The programmer is able to obtain the target thread's termination return status if specified through pthread\_exit(), in the *status* parameter.
- It is impossible to join a detached thread (discussed next)

#### **Thread Management**

- · When a thread is created, one of its attributes defines whether it is joinable or detached. Detached means it can never be joined.
- Routines

```
pthread_attr_init (attr)

pthread_attr_setdetachstate (attr,detachstate)

pthread_attr_getdetachstate (attr,detachstate)

pthread_attr_destroy (attr)

pthread_detach (threadid,status)
```

- To explicitly create a thread as joinable or detached, the attrargument in the pthread\_create() routine is used. The typical 4 step process is:
  - 1. Declare a pthread attribute variable of the pthread attr t data type
  - 2. Initialize the attribute variable with pthread attr init()
  - 3. Set the attribute detached status with pthread attr setdetachstate()
  - 4. When done, free library resources used by the attribute with pthread attr destroy()
- The pthread detach() routine can be used to explicitly detach a thread even though it was created as joinable. There is no converse routine.
- The final draft of the POSIX standard specifies that threads should be created as joinable. However, not all implementations may follow this.
- Recommendations:
  - If a thread requires joining, consider explicitly creating it as joinable. This provides portability as not all implementations may create threads as joinable by default.
  - If you know in advance that a thread will never need to join with another thread, consider creating it in a detached state. Some system
    resources may be able to be freed.

# **Thread Management**

# **Example: Pthread Joining**



#### **Example Code - Pthread Joining**

This example demonstrates how to "wait" for thread completions by using the Pthread join routine. Since not all current implementations of Pthreads create threads in a joinable state, the threads in this example are explicitly created in a joinable state so that they can be joined later.

```
/* Initialize and set thread detached attribute */
 pthread_attr_init(&attr);
 pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
 for(t=0;t < NUM THREADS;t++)</pre>
    printf("Creating thread %d\n", t);
    rc = pthread create(&thread[t], &attr, BusyWork, NULL);
    if (rc)
    {
       printf("ERROR; return code from pthread create()
              is %d\n", rc);
       exit(-1);
    }
 }
 /* Free attribute and wait for the other threads */
 pthread attr destroy(&attr);
 for(t=0;t < NUM THREADS;t++)</pre>
    rc = pthread_join(thread[t], (void **)&status);
    if (rc)
       printf("ERROR; return code from pthread join()
              is %d\n", rc);
       exit(-1);
    printf("Completed join with thread %d status= %d\n",t, status);
 pthread_exit(NULL);
          Output
Source
```

### **Mutex Variables**

## Overview

- Mutex is an abbreviation for "mutual exclusion". Mutex variables are one of the primary means of implementing thread synchronization and for
  protecting shared data when multiple writes occur.
- A mutex variable acts like a "lock" protecting access to a shared data resource. The basic concept of a mutex as used in Pthreads is that only one thread can lock (or own) a mutex variable at any given time. Thus, even if several threads try to lock a mutex only one thread will be successful. No other thread can own that mutex until the owning thread unlocks that mutex. Threads must "take turns" accessing protected data.
- Mutexes can be used to prevent "race" conditions. An example of a race condition involving a bank transaction is shown below:

Thread 1	Thread 2	Balance
Read balance: \$1000		\$1000
	Read balance: \$1000	\$1000
	Deposit \$200	\$1000
Deposit \$200		\$1000
Update balance \$1000+\$200		\$1200
	Update balance \$1000+\$200	\$1200

• In the above example, a mutex should be used to lock the "Balance" while a thread is using this shared data resource.

update the same variable, the final value is the same as what it would be if only one thread performed the update. The variables being updated belong to a "critical section".

- A typical sequence in the use of a mutex is as follows:
  - O Create and initialize a mutex variable
  - Several threads attempt to lock the mutex
  - Only one succeeds and that thread owns the mutex
  - The owner thread performs some set of actions
  - The owner unlocks the mutex
  - Another thread acquires the mutex and repeats the process
  - Finally the mutex is destroyed
- When several threads compete for a mutex, the losers block at that call an unblocking call is available with "trylock" instead of the "lock" call.
- When protecting shared data, it is the programmer's responsibility to make sure every thread that needs to use a mutex does so. For example, if 4
  threads are updating the same data, but only one uses a mutex, the data can still be corrupted.

#### **Mutex Variables**

# **Creating / Destroying Mutexes**

• Routines:

```
pthread_mutex_init (mutex,attr)
pthread_mutex_destroy (mutex)
pthread_mutexattr_init (attr)
pthread_mutexattr_destroy (attr)
```

- Mutex variables must be declared with type pthread\_mutex\_t, and must be initialized before they can be used. There are two ways to
  initialize a mutex variable:
  - Statically, when it is declared. For example: pthread mutex t mymutex = PTHREAD MUTEX INITIALIZER;
  - 2. Dynamically, with the pthread\_mutex\_init() routine. This method permits setting mutex object attributes, attr. The mutex is initially unlocked.
- The *attr* object is used to establish properties for the mutex object, and must be of type pthread\_mutexattr\_t if used (may be specified as NULL to accept defaults). The Pthreads standard defines three optional mutex attributes:
  - Protocol: Specifies the protocol used to prevent priority inversions for a mutex.
  - O Prioceiling: Specifies the priority ceiling of a mutex.
  - O Process-shared: Specifies the process sharing of a mutex.

Note that not all implementations may provide the three optional mutex attributes.

- The pthread\_mutexattr\_init() and pthread\_mutexattr\_destroy() routines are used to create and destroy mutex attribute objects respectively.
- pthread\_mutex\_destroy() should be used to free a mutex object which is no longer needed.

#### **Mutex Variables**

### **Locking / Unlocking Mutexes**

• Routines:

```
pthread_mutex_lock (mutex)
pthread_mutex_trylock (mutex)
pthread_mutex_unlock (mutex)
```

The pthread\_mutex\_lock() routine is used by a thread to acquire a lock on the specified mutex variable. If the mutex is already locked by another thread, this call will block the calling thread until the mutex is unlocked.

with a "busy" error code. This routine may be useful in preventing deadlock conditions, as in a priority-inversion situation.

- pthread\_mutex\_unlock() will unlock a mutex if called by the owning thread. Calling this routine is required after a thread has completed its use of protected data if other threads are to acquire the mutex for their work with the protected data. An error will be returned if:
  - O If the mutex was already unlocked
  - If the mutex is owned by another thread
- There is nothing "magical" about mutexes...in fact they are akin to a "gentlemen's agreement" between participating threads. It is up to the code writer to insure that the necessary threads all make the mutex lock and unlock calls correctly. The following scenario demonstrates a logical error:

Thread 1	Thread 2	Thread 3
Lock	Lock	
A = 2	A = A+1	A = A*B
Unlock	Unlock	



Question: When more than one thread is waiting for a locked mutex, which thread will be granted the lock first after it is released?

#### **Mutex Variables**

### **Example: Using Mutexes**



### **Example Code - Using Mutexes**

This example program illustrates the use of mutex variables in a threads program that performs a dot product. The main data is made available to all threads through a globally accessible structure. Each thread works on a different part of the data. The main thread waits for all the threads to complete their computations, and then it prints the resulting sum.

```
#include <pthread.h>
#include <stdio.h>
#include <malloc.h>
The following structure contains the necessary information
to allow the function "dotprod" to access its input data and
place its output into the structure.
*/
typedef struct
   double
               *a;
  double
               *b;
   double
              sum:
   int
           veclen;
} DOTDATA;
/* Define globally accessible variables and a mutex */
#define NUMTHRDS 4
#define VECLEN 100
  DOTDATA dotstr;
   pthread t callThd[NUMTHRDS];
  pthread mutex t mutexsum;
The function dotprod is activated when the thread is created.
All input to this routine is obtained from a structure
```

```
this structure. The benefit of this approach is apparent for the
multi-threaded program: when a thread is created we pass a single
argument to the activated function - typically this argument
is a thread number. All the other information required by the
function is accessed from the globally accessible structure.
*/
void *dotprod(void *arg)
   /* Define and use local variables for convenience */
   int i, start, end, offset, len;
  double mysum, *x, *y;
  offset = (int)arg;
  len = dotstr.veclen;
  start = offset*len;
   end = start + len;
  x = dotstr.a;
  y = dotstr.b;
   /*
   Perform the dot product and assign result
  to the appropriate variable in the structure.
   */
  mysum = 0;
  for (i=start; i < end ; i++)</pre>
     mysum += (x[i] * y[i]);
   /*
  Lock a mutex prior to updating the value in the shared
   structure, and unlock it upon updating.
   */
  pthread mutex lock (&mutexsum);
  dotstr.sum += mysum;
  pthread mutex unlock (&mutexsum);
  pthread exit((void*) 0);
}
The main program creates threads which do all the work and then
print out result upon completion. Before creating the threads,
the input data is created. Since all threads update a shared structure,
we need a mutex for mutual exclusion. The main thread needs to wait for
all threads to complete, it waits for each one of the threads. We specify
a thread attribute value that allow the main thread to join with the
threads it creates. Note also that we free up handles when they are
no longer needed.
*/
int main (int argc, char *argv[])
   int i;
  double *a, *b;
   int status;
  pthread_attr_t attr;
   /* Assign storage and initialize values */
```

```
b = (double*) malloc (NUMTHRDS*VECLEN*sizeof(double));
   for (i=0; i < VECLEN*NUMTHRDS; i++)</pre>
     a[i]=1.0;
    b[i]=a[i];
    }
  dotstr.veclen = VECLEN;
  dotstr.a = a;
   dotstr.b = b;
   dotstr.sum=0;
   pthread mutex init(&mutexsum, NULL);
   /* Create threads to perform the dotproduct */
   pthread attr init(&attr);
   pthread attr setdetachstate(&attr, PTHREAD CREATE JOINABLE);
        for(i=0;i < NUMTHRDS;i++)</pre>
        {
        /*
        Each thread works on a different set of data.
        The offset is specified by 'i'. The size of
        the data for each thread is indicated by VECLEN.
        pthread create( &callThd[i], &attr, dotprod, (void *)i);
        pthread attr destroy(&attr);
        /* Wait on the other threads */
        for(i=0;i < NUMTHRDS;i++)</pre>
        {
          pthread join( callThd[i], (void **)&status);
   /* After joining, print out the results and cleanup */
  printf ("Sum = %f \n", dotstr.sum);
   free (a);
   free (b);
  pthread mutex destroy (&mutexsum);
  pthread exit(NULL);
}
  Source
          Serial version
          Pthreads version
```

### **Condition Variables**

# Overview

- Condition variables provide yet another way for threads to synchronize. While mutexes implement synchronization by controlling thread access to data, condition variables allow threads to synchronize based upon the actual value of data.
- Without condition variables, the programmer would need to have threads continually polling (possibly in a critical section), to check if the condition is met. This can be very resource consuming since the thread would be continuously busy in this activity. A condition variable is a way to achieve the same goal without polling.
- A condition variable is always used in conjunction with a mutex lock.
- A representative sequence for using condition variables is shown below.

#### Main Thread

- Declare and initialize global data/variables which require synchronization (such as "count")
- Declare and initialize a condition variable object
- Declare and initialize an associated mutex
- Create threads A and B to do work

#### Thread A

- Do work up to the point where a certain condition must occur (such as "count" must reach a specified value)
- Lock associated mutex and check value of a global variable
- Call pthread\_cond\_wait() to perform a blocking wait for signal from Thread-B. Note that a call to pthread\_cond\_wait() automatically and atomically unlocks the associated mutex variable so that it can be used by Thread-B.
- When signalled, wake up. Mutex is automatically and atomically locked.
- Explicitly unlock mutex
- Continue

#### Thread B

- Do work
- Lock associated mutex
- Change the value of the global variable that Thread-A is waiting upon.
- Check value of the global Thread-A wait variable. If it fulfills the desired condition, signal Thread-A.
- Unlock mutex.
- o Continue

#### **Main Thread**

Join / Continue

#### **Condition Variables**

## **Creating / Destroying Condition Variables**

Routines:

```
pthread_cond_init (condition,attr)
pthread_cond_destroy (condition)
pthread_condattr_init (attr)
pthread_condattr_destroy (attr)
```

- Condition variables must be declared with type pthread\_cond\_t, and must be initialized before they can be used. There are two ways to initialize a condition variable:
  - Statically, when it is declared. For example: pthread cond t myconvar = PTHREAD COND INITIALIZER;
  - 2. Dynamically, with the pthread\_cond\_init() routine. The ID of the created condition variable is returned to the calling thread through the *condition* parameter. This method permits setting condition variable object attributes, *attr*.
- The optional *attr* object is used to set condition variable attributes. There is only one attribute defined for condition variables: process-shared, which allows the condition variable to be seen by threads in other processes. The attribute object, if used, must be of type pthread\_condattr\_t (may be specified as NULL to accept defaults).

Note that not all implementations may provide the process-shared attribute.

- The pthread\_condattr\_init() and pthread\_condattr\_destroy() routines are used to create and destroy condition variable attribute objects.
- pthread\_cond\_destroy() should be used to free a condition variable that is no longer needed.

## Waiting / Signaling on Condition Variables

• Routines:

```
pthread_cond_wait (condition,mutex)
pthread_cond_signal (condition)
pthread_cond_broadcast (condition)
```

- pthread\_cond\_wait() blocks the calling thread until the specified *condition* is signalled. This routine should be called while *mutex* is locked, and it will automatically release the mutex while it waits. Should also unlock *mutex* after signal has been received.
- The pthread\_cond\_signal() routine is used to signal (or wake up) another thread which is waiting on the condition variable. It should be called after *mutex* is locked, and must unlock *mutex* in order for pthread cond wait() routine to complete.
- The pthread\_cond\_broadcast() routine should be used instead of pthread\_cond\_signal() if more than one thread is in a blocking wait state.
- It is a logical error to call pthread cond signal() before calling pthread cond wait().



Proper locking and unlocking of the associated mutex variable is essential when using these routines. For example:

- Failing to lock the mutex before calling pthread cond wait() may cause it NOT to block.
- Failing to unlock the mutex after calling pthread\_cond\_signal() may not allow a matching pthread cond wait() routine to complete (it will remain blocked).

## **Condition Variables**

## **Example: Using Condition Variables**



## **Example Code - Using Condition Variables**

This simple example code demonstrates the use of several Pthread condition variable routines. The main routine creates three threads. Two of the threads perform work and update a "count" variable. The third thread waits until the count variable reaches a specified value.

```
#include <pthread.h>
#include <stdio.h>
#define NUM THREADS 3
#define TCOUNT 10
#define COUNT LIMIT 12
int
        count = 0;
        thread ids[3] = \{0,1,2\};
pthread mutex t count mutex;
pthread cond t count threshold cv;
void *inc count(void *idp)
  int j,i;
  double result=0.0;
  int *my id = idp;
  for (i=0; i < TCOUNT; i++) {
    pthread mutex lock(&count mutex);
    count++;
    /*
```

```
reached. Note that this occurs while mutex is locked.
    if (count == COUNT LIMIT) {
     pthread cond signal (&count threshold cv);
     printf("inc count(): thread %d, count = %d Threshold reached.\n",
             *my id, count);
   printf("inc count(): thread %d, count = %d, unlocking mutex\n",
           *my id, count);
   pthread_mutex_unlock(&count mutex);
    /* Do some work so threads can alternate on mutex lock */
    for (j=0; j < 1000; j++)
     result = result + (double)random();
 pthread_exit(NULL);
void *watch count(void *idp)
 int *my id = idp;
 printf("Starting watch_count(): thread %d\n", *my_id);
 /*
 Lock mutex and wait for signal. Note that the pthread cond wait
 routine will automatically and atomically unlock mutex while it waits.
 Also, note that if COUNT LIMIT is reached before this routine is run by
 the waiting thread, the loop will be skipped to prevent pthread cond wait
 from never returning.
 pthread mutex lock(&count mutex);
 while (count < COUNT LIMIT) {</pre>
   pthread cond wait(&count threshold cv, &count mutex);
   printf("watch count(): thread %d Condition signal
          received.\n", *my id);
 pthread mutex unlock(&count mutex);
 pthread exit(NULL);
int main (int argc, char *argv[])
 int i, rc;
 pthread t threads[3];
 pthread_attr_t attr;
 /* Initialize mutex and condition variable objects */
 pthread mutex init(&count mutex, NULL);
 pthread cond init (&count threshold cv, NULL);
 For portability, explicitly create threads in a joinable state
 so that they can be joined later.
 */
 pthread attr init(&attr);
 pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
 pthread create(&threads[0], &attr, inc count, (void *)&thread ids[0]);
 pthread create(&threads[1], &attr, inc count, (void *)&thread ids[1]);
 pthread create(&threads[2], &attr, watch count, (void *)&thread ids[2]);
  /* Wait for all threads to complete */
  for (i = 0; i < NUM THREADS; i++) {
```

```
}
printf ("Main(): Waited on %d threads. Done.\n", NUM_THREADS);

/* Clean up and exit */
pthread_attr_destroy(&attr);
pthread_mutex_destroy(&count_mutex);
pthread_cond_destroy(&count_threshold_cv);
pthread_exit(NULL);

}

Source Output

Output
```

## **LLNL Specific Information and Recommendations**

This section describes details specific to Livermore Computing's systems.

#### Implementations:

- All LC production systems include a Pthreads implementation that follows draft 10 (final) of the POSIX standard. This is the preferred implementation.
- For compatibility with earlier implementations, the IBM compilers provide a means to use the draft 7 version of Pthreads and the Compaq Tru64 compilers a means to use the draft 4 version.
- Implementation Notes:
  - The three mutex attributes, protocol, prioceiling and process-shared are optional and may not be supported on all platforms.
  - The process-shared condition variable attribute is optional and may not supported on all platforms.
  - Implementations differ in the maximum number of threads that a process may create.

#### Compiling:

- LC maintains a number of compilers, and usually several different versions of each see the LC's Supported Compilers web page.
- The more commonly used compile commands for pthreads codes are listed in the table below.

Platform	Compiler Command	Description	
IBM <sup>1</sup> AIX	xlc_r / cc_r	C (ANSI / non-ANSI)	
	xlC_r	C++	
	xlf_r -qnosave xlf90_r -qnosave	Fortran - using IBM's Pthreads API (non-portable)	
INTEL LINUX	icc -pthread	C / C++	
COMPAQ Tru64	cc -pthread	С	
	сжх -pthread	C++	
	gcc -pthread	GNU C	
All Platforms	g++ -pthread	GNU C++	
	guidec -pthread	KAIC	
	KCC -pthread	KAI C++	

 $oldsymbol{1}$  All LC IBM compilers are aliased to their thread-safe command. For example, xlc really uses xlc\_r.

### Mixing MPI with Pthreads:

• Programs that contain both MPI and Pthreads are common and easy to develop on all LC systems.

- Each MPI process typically creates and then manages N threads, where N makes the best use of the available CPUs/node.
- Finding the best value for N will vary with the platform and your application's characteristics.
- For ASCI White systems with two communication adapters per node, it may prove more efficient to use two (or more) MPI tasks per
- In general, there may be problems if multiple threads make MPI calls. The program may fail or behave unexpectedly. If MPI calls must be
  made from within a thread, they should be made only by one thread.
- Compiling:
  - Use the appropriate MPI compile command for the platform and language of choice
  - O Be sure to include the required flag as in the table above (-pthread or -qnosave)
  - o MPICH is not thread safe
- An example code that uses both MPI and Pthreads is available below. The serial, threads-only, MPI-only and MPI-with-threads versions
  demonstrate one possible progression.
  - o <u>Serial</u>
  - O Pthreads only
  - o MPI only
  - o MPI with pthreads
  - o makefile (for IBM SP)

# **Pthread Library Routines Reference**

Pthread Functions	
Thread Management	pthread_create
	pthread_exit
	pthread_join
	pthread_once
	pthread_kill
	pthread_self
	pthread equal
	pthread_yield
	pthread_detach
Thread Specific Data	pthread_key_create
	pthread_key_delete
	pthread getspecific
	pthread_setspecific
Thread Cancellation	pthread cancel
	pthread_cleanup_pop
	pthread cleanup push
	pthread setcancelstate
	pthread_getcancelstate
	pthread testcancel
Thread Scheduling	pthread_getschedparam
	pthread_setschedparam

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Pthread Attribute Functions				
Basic Management	pthread_attr_init			
	pthread attr_destroy			
Detachable or Joinable	pthread_attr_setdetachstate			
	pthread_attr_getdetachstate			
Specifying Stack	pthread_attr_getstackaddr			
Illormation	pthread_attr_getstacksize			
	pthread_attr_setstackaddr			
	pthread_attr_setstacksize			
Thread Scheduling Attributes	pthread_attr_getschedparam			
Attributes	pthread_attr_setschedparam			
	pthread_attr_getschedpolicy			
	pthread_attr_setschedpolicy			
	pthread_attr_setinheritsched			
	pthread_attr_getinheritsched			
	pthread_attr_setscope			
	pthread_attr_getscope			
Mutex Functions				
Mutex Management	pthread_mutex_init			
	pthread_mutex_destroy			
	pthread_mutex_lock			
	pthread_mutex_unlock			
	pthread_mutex_trylock			
Priority Management	pthread_mutex_setprioceiling			
	pthread_mutex_getprioceiling			
Mutex Attribute Funct	ions			
Basic Management	pthread_mutexattr_init			
	pthread_mutexattr_destroy			
Sharing	pthread_mutexattr_getpshared			
	pthread mutexattr_setpshared			
Protocol Attributes	pthread_mutexattr_getprotocol			
	pthread_mutexattr_setprotocol			
Priority Management	pthread_mutexattr_setprioceiling			

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Condition Variable Functions				
Basic Management	pthread_cond_init			
	pthread cond destroy			
	pthread_cond_signal			
	pthread_cond_broadcast			
	pthread_cond_wait			
	pthread_cond_timedwait			
Condition Variable Attribute Functions				
Basic Management	pthread_condattr_init			
	pthread_condattr_destroy			
Sharing	pthread condattr getpshared			
	pthread_condattr_setpshared			

## **References and More Information**

- "Pthreads Programming". B. Nichols et al. O'Reilly and Associates.
- "Threads Primer". B. Lewis and D. Berg. Prentice Hall
- "Programming With POSIX Threads". D. Butenhof. Addison Wesley www.awl.com/cseng/titles/0-201-63392-2
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- IBM Fortran Compiler Documentation www-4.ibm.com/software/ad/fortran
- IBM C/C++ Compiler Documentation www-4.ibm.com/software/ad/caix

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