Al-based Automated Test Case Generation for REST APIs with EvoMaster

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In this talk

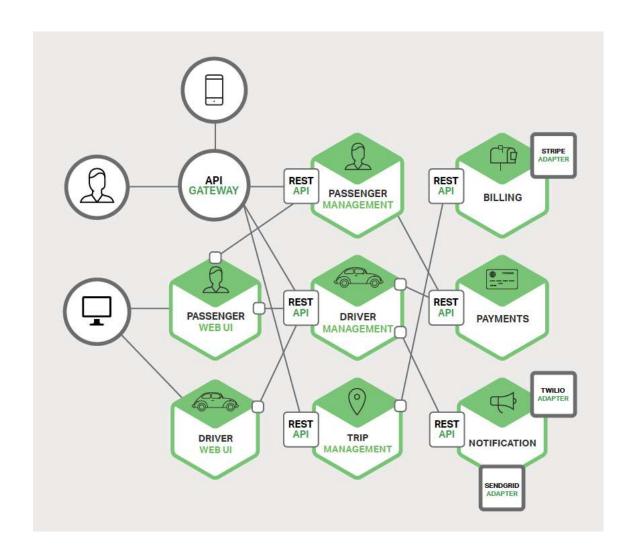
- 1. REST web services
- 2. Search Algorithms
- 3. EvoMaster tool
- 4. Demo

RESTful APIs

- Most common type of web services
 - others are SOAP, GraphQL and RPC
- Access of set of resources using HTTP
- REST is not a protocol, but just architectural guidelines on how to define HTTP endpoints
 - hierarchical URLs to represent resources
 - HTTP verbs (GET, POST, PUT, DELETE, etc.) as "actions" on resources

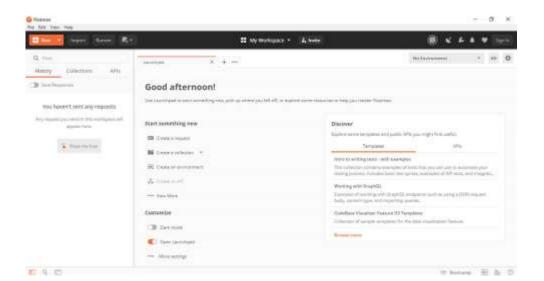
REST in Microservices

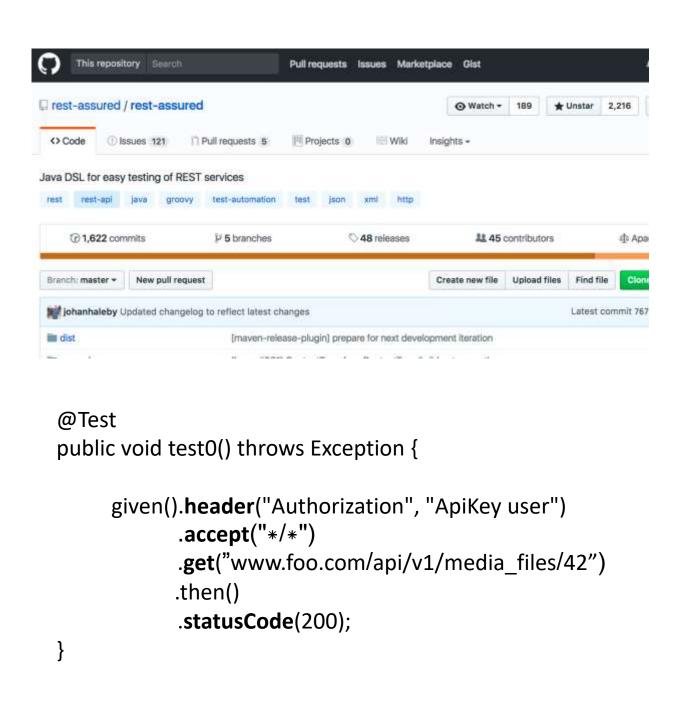
- Common trend in enterprises
- Split application in many small web services, often REST
- Easier to scale and maintain



Testing of REST APIs

- Do HTTP calls, read responses
- Setup database states
- Specialized libraries, eg in Java the popular RestAssured
- Specific tools like Postman





REST Testing Challenges

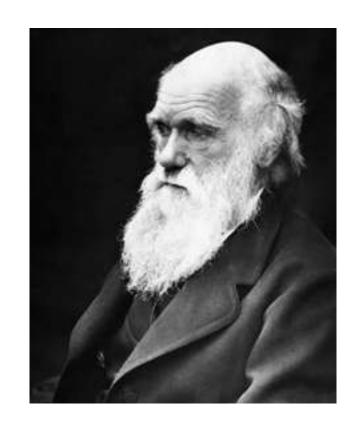
- How to choose query and path parameters?
- How to prepare body payloads (e.g. JSON)?
- How to choose data to insert into SQL databases?
- Goals:
 - Finding faults (eg crashes)
 - Maximize code coverage (eg, regression tests)
- Writing high coverage tests by hand for every single endpoint is time consuming

What about **Automated Test Generation** for RESTful APIs?

- Automatically write all the test cases
- Not just execution, but choice of all the inputs
- Hard, complex problem
- Using AI techniques

Search-Based Software Testing (SBST)

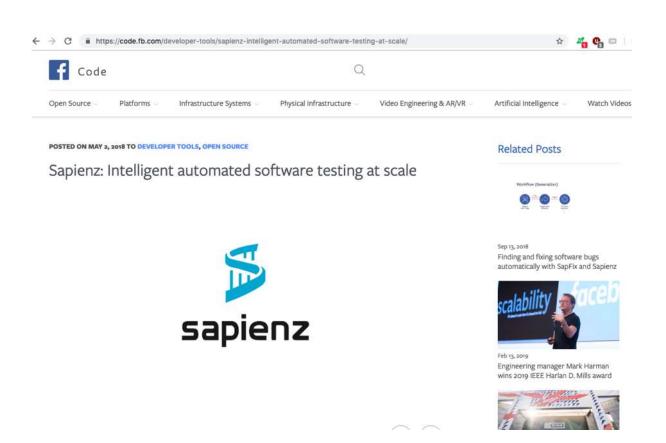
- Biology meets Software Engineering (SE)
- Casting SE problems into *Optimization Problems*
- Genetic Algorithms: one of most famous optimization algorithm, based on theory of evolution
- Evolve test cases



Success Stories: Facebook

Facebook uses SBST for automatically testing their software, especially their mobile apps

• eg, tools like Sapienz and SapFix



Properties of Optimization Problems

- 2 main components: Search Space and Fitness Function
- **Goal**: find the best solution from the search space such that the fitness function is minimized/maximized

Search Space

- Set X of all possible solutions for the problem
- If a solution can be represented with 0/1 bit sequence of length N, then search space is all possible bit strings of size N
 - any data on computer can be represented with bitstrings
- Search space is usually huge, eg 2^N
 - Otherwise use brute force, and so would not be a problem

Fitness Function

- f(x)=h
- Given a solution x in X, calculate an heuristic h that specifies how good the solution is
- Problem dependent, to minimize or maximize:
 - Maximize code coverage
 - Maximize fault finding
 - Minimize test suite size
 - etc.

Optimization Algorithms

- Algorithm that explores the search space X
- Only a tiny sample of X can be evaluated
- Use fitness f(x) to guide the exploration to fitter areas of the search space with better solutions
- Stopping criterion: after evaluating K solutions (or K amount of time is passed), return best x among the evaluated solutions
- Many different kinds of optimization algorithms...
 - But as a user, still need to provide the representation and f(x)

Trivial Example

- Search space: ~4 billion values
- Only 1 value cover the if branch
- Covering "OK" at random is extremely unlikely
- Need some heuristics to driver the search

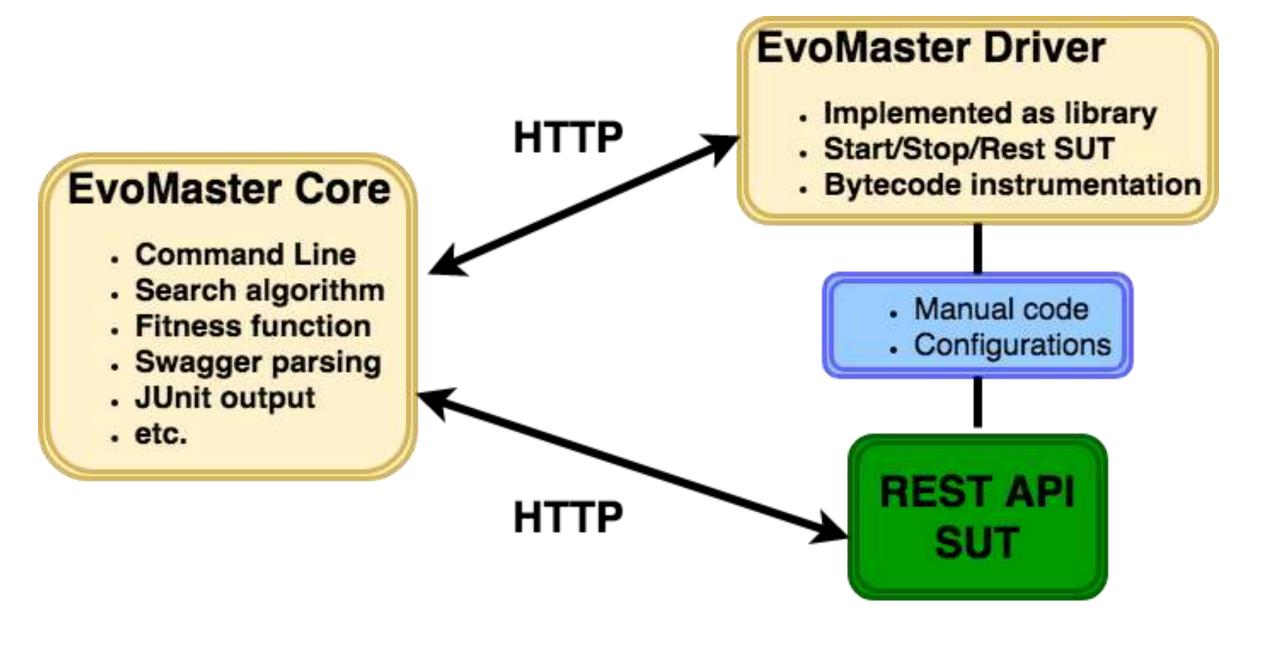
```
public String foo(int x) {
  if(x == 42)
    return "OK";
  return "NOPE";
}
```

SBST Heuristics: Branch Distance

- Standard technique in the SBST literature
- Example: if(x==42)
- Both 5 and 900 do not solve the constraint, but 5 is *heuristically* closer
 - d(x==42)=|x-42|
 - *d* function to minimize
- Not just for integers, but also all other types, eg strings
- Need to instrument the code to calculate those branch distances
- Trivial example, but there are many more sophisticated heuristics

EvoMaster

- Tool to automatically generate tests for REST APIs
- White Box
 - can exploit structural and runtime information of the SUT
 - currently targeting JVM languages (eg Java and Kotlin)
- Black Box
 - can be used regardless of programming language
 - worse performance
- Search-based testing technique (SBST)
 - Evolutionary Algorithms
- Fully automated
- Open-source on GitHub: www.evomaster.org



OpenAPI/Swagger

- REST is not a protocol
- Need to know what endpoints are available, and their parameters
- Schema defining the APIs
- OpenAPI is the most popular one
- Defined as JSON file, or YAML
- Many REST frameworks can automatically generate OpenAPI schemas from code

EvoMaster Core

- From OpenAPI schema, defines set of endpoints that can be called
- Test case structure:
 - 1. setup initializing data in DB with SQL INSERTs
 - 2. sequence of HTTP calls toward such endpoints
- HTTP call has many components:
 - Verb (GET, POST, DELETE, etc.)
 - Headers
 - Query parameters
 - Body payload (JSON, XML, etc.)
- Evolutionary algorithm to evolve such sequences and their inputs
- Output: self-contained JUnit tests
- Code language of SUT is irrelevant, as we use HTTP to communicate with it

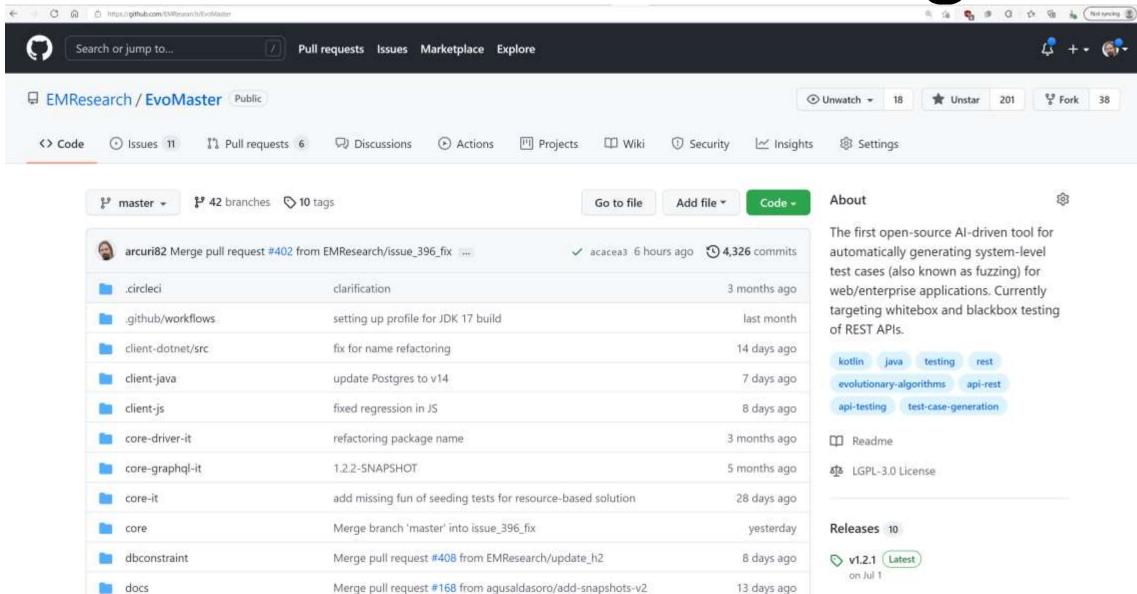
Fitness Function

- Needed to drive the evolution
- Reward code coverage and fault detection
- HTTP return statuses as automated oracles:
 - Eg 2xx if OK, 4xx are user errors, but 5xx are server errors (often due to bugs)
- Need guidance to be able to solve constraints in code predicates
 - "if(x == 123456 && complexPredicate(y))"
- Unlikely to achieve high code coverage with just random inputs
 - using several different kinds of heuristics based on code analysis

Using EvoMaster

- No need to know anything about Search Algorithms nor AI in general
 - those are just internal details
 - but good to have a general idea of how this kind of tools work
- For White-Box Testing need to write a "driver"
 - small class to specify how to start/stop/reset the API
 - if using common frameworks like Spring, it is relatively easy
- Need to specify for how long to run the tool
 - The longer the better results
 - Eg, between 1 and 24 hours

www.evomaster.org



Ongoing Work

- Support for C# and JS
- Support for GraphQL and RPC
- Support for mocking external APIs
- Improve bytecode analysis

Demo

