

FORM REVISION HISTORY

VERSION NUMBER:	REASON FOR REVISION	EFFECTIVE DATE
1	New Form	31 MAY 2019
2	Reformatted document. <ul style="list-style-type: none"> Updated electronic fields for supplier responses. Added tables to each section (I – VII). Moved Definitions of Raw Material Sources to end of document. Modernized look and feel. Added Definition of GMO (from the World Health Organization) to page 5. Expanded questions on GMO to include starting materials and processing aids.	08 JUN 2020
3	Correction made to number formatting in "Types of Raw Material Components" section. No edits to wording have been made.	15 JAN 2021
4	Correction made to: <ul style="list-style-type: none"> - Update the version number in the footer. - Update the effective date for previous versions. 	26 FEB 2021
5	Corrections and major changes: <ul style="list-style-type: none"> Merged VI. Single Cell Organism & VII. Ferment Components to VI. Microorganism Components; Added Substrate/Growth Media Table to make it explicit that such information is required. Updated instructions & definitions to support this change. 	30 SEP 2021
6	Updated statement for definitions to reference page 6 instead of page 5 Added "Microorganism" category in drop-down table for Substrate/Growth Media under Microorganism Components	See Document Footer

CERTIFICATION REGARDING SOURCE OF RAW MATERIAL¹

1. I [REDACTED] hold the position of **Manager/Controller** in [REDACTED] (hereinafter, "Vendor"), a company having a principal place of business at [REDACTED], [REDACTED]. I have conducted, or have had others conduct at my direction, a reasonable investigation, both internally and, where necessary, with any third party suppliers, into the source(s) of **CITRIC ACID ANHYDROUS**. I have obtained sufficient information to make the statement in the following certification truthfully and accurately.

2. I have read and understand the "Definitions of Raw Material Sources" attached here to, and hereby certify the following information with respect to the Raw Material identified above, the Starting Material and any Processing Aid used in its manufacture, and all Components:

Estée Lauder Raw Material Code Number: 9999-52-4292

Raw Material Trade Name: CITRIC ACID ANHYDROUS

Please fill out the below tables, listing one Component INCI Name per row. Add rows for additional Components as needed. The Component INCI Name must match the most recently submitted composition statement. Components may appear in more than one table if they are sourced from a combination of categories, e.g., plant/mineral or plant/petroleum. Manufacturing process flow chart must be included with the following information. In the event Components, Starting Materials, and/or Processing Aids are derived from a Genetically Modified Organism (GMO), please indicate this in the relevant table.

I. Petroleum Components

Component INCI Name	Starting Material	Countries of Origin	Processing Aids

¹ Please see definitions of Raw Material Sources on page 6.

Do any of the Processing Aids listed above contain Animal Derived Materials or Animal-Related Materials?

Yes ☐ No ☐

If yes, please list the Processing Aid in Sections IV and V.

II. Plant Components

Component INCI Name	Starting Material	Genus and Species of plant	Parts used	Countries of Origin	Processing Aids	Contains Genetically Modified Organism(s) (GMO) (Y/N)
CITRIC ACID	SUGAR CANE	SACCHARUM OFFICINARUM	STALK	COLOMBIA	ASPERGILLUS NIGER	N

Do any of the Processing Aids listed above contain Animal Derived Materials or Animal-Related Materials?

Yes ☐ No ☒

If yes, please list the Processing Aid in Sections IV and V.

III. Mineral Components

Component INCI Name	Starting Material	Countries of Origin	Processing Aids

Do any of the Processing Aids listed above contain Animal Derived Materials or Animal-Related Materials?

Yes ☐ No ☐

If yes, please list the Processing Aid in Sections IV and V.

IV. Animal Components

Component INCI Name	Starting Material	Genus and Species of animal	Parts used	Countries of Origin	Processing Aids	Contains Genetically Modified Organism(s) (GMO) (Y/N)

Do any of the Processing Aids listed above contain Animal Derived Materials or Animal-Related Materials?

Yes ☐ No ☐

If yes, please list the Processing Aid in Sections IV and V.

V. Animal Related Components

Component INCI Name	Starting Material	Genus and Species of animal	Parts used	Countries of Origin	Processing Aids	Contains Genetically Modified Organism(s) (GMO) (Y/N)

Do any of the Processing Aids listed above contain Animal Derived Materials or Animal-Related Materials?

Yes ☐ No ☐

If yes, please list the Processing Aid in Sections IV and V.

VI. Microorganism Components

Microorganism

Component INCI Name	Type of Microorganism (e.g., Yeast, Bacteria, etc.)	Genus and Species of Microorganism	Countries of Origin	Processing Aids	Contains Genetically Modified Organism(s) (GMO) (Y/N)

Substrate/Growth Media

Component INCI Name	Starting Material	Category of starting material	Genus and Species	Parts used	Countries of Origin	Processing Aids	Contains Genetically Modified Organism(s) (GMO) (Y/N)
		Choose from the drop-down list					

Do any of the Processing Aids listed above contain Animal Derived Materials or Animal-Related Materials?

Yes ☐ No ☐

If yes, please list the Processing Aids in Sections IV and V.

3. Vendor acknowledges that The Estée Lauder Companies is relying on the truth, accuracy, completeness and correctness of the foregoing statements and any Safety Data Sheet (SDS) supplied with respect to this Raw Material in formulating products containing this Raw Material.

4. I represent that I am authorized to make the statements and accept the obligations provided herein, on behalf of Vendor.

Vendor: 
Signature: _____
Name: 
Title: **Manager/Controller**
Date: **05/08/2025**

Definitions of Raw Material Sources

Basic Terminology

1. Raw Material: a material sold and/or supplied to The Estée Lauder Companies Inc. or its affiliates or subsidiaries, for use in cosmetic, drug or similar finished products.
2. Starting Material (also referred to as "feedstock" or "feedsources"): the material used in the first step of a several-step process used to create an ingredient. E.g., plant-derived stearic acid, which is the starting material for sodium stearate.
3. Country of Origin: the starting materials' place of origin, not the location where the final ingredient is manufactured.
4. Processing Aid: any item used to process, manufacture, or create an ingredient that does not become part of that ingredient. E.g., catalysts, filters, coagulators, solubilizers.
5. Component: a substance recognized by INCI that comprises all or part of a Raw Material.
6. Synthetic Ingredient: a Raw Material formed via a human directed or controlled chemical process. For Synthetic Ingredients, please provide feedstock source (e.g., Petroleum, Plant, Mineral, etc.) and country of origin information.
7. Genetically modified organism (GMO): organisms (i.e., plants, animals, or microorganisms) in which the genetic material (DNA) has been altered in a way that does not occur naturally by mating and/or natural recombination.

Types of Raw Material Components

1. Petroleum Component: a Component that is completely and originally and solely sourced from petroleum or natural gas. E.g., ethylene oxide.
2. Plant Component: a Component that is originally and solely sourced from a plant (defined as any organism generally distinguished by the presence of chlorophyll, a rigid cell wall, and by the absence of the power of locomotion). E.g., spinacia oleracea (spinach) leaf extract.
3. Mineral Component: a Component that is originally and solely sourced from a mineral (defined as inorganic chemical or substance of homogeneous structure found in nature, usually obtained from the non-living constituents of the earth's crust). E.g., iron oxide.
4. Plant/Petroleum Component: a Component combining plant- and petroleum-derived Components. Please list this Component under both the Plant and Petroleum sections of this document. E.g., PEG-100 stearate, where the stearate is plant-derived.
5. Plant/Mineral Component: a Component combining plant-derived and mineral-derived Components. Please list this component under both the Plant and

Mineral sections of this document. E.g., calcium stearate, where the stearate is plant-derived.

6. Animal Derived Component: a substance that contains any material obtained by sacrificing an animal (defined as a living organism that requires oxygen and organic foods, is capable of voluntary movement and sensation, is incapable of photosynthesis and unlimited growth). Animals include but are not limited to *insects, shellfish or other fish, and reptiles*. E.g., stearic acid from tallow.
7. Animal-Related Component: a substance which is originally and solely sourced from materials produced by animals without sacrificing the animal. E.g., beeswax, honey, milk, eggs, and wool.
8. Microorganism Component: a Component that contains any microorganism such as bacteria, yeast, fungi or algae, etc. and/or a Component that results from processes involving metabolic conversion or enzymatic modification by these microorganisms. **Note:** The Source Material for each microorganism and the source of all growth media must be identified.

Notes

1. For all Starting Materials and Processing Aids, Component information must include the exact Source Materials (i.e., the specific type of plant, animal or mineral).