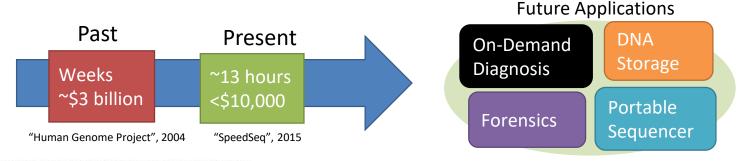
# Accelerating Genomic Sequence Alignment Workload with Scalable Vector Architecture

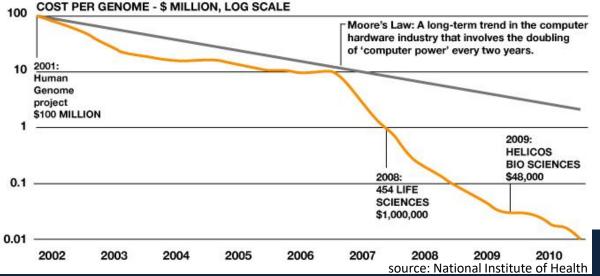
Dong-hyeon Park, Jon Beaumont, Trevor Mudge

University of Michigan, Ann Arbor



### Genomics





# Human Genome: 3.2 billion base pairs

Need to sample at 30-50x coverage



### Whole Genome Sequencing Pipeline

10-20k in length



reference gene



reconstructed sequence



Read/Extract Sequences



- Reading fragment samples of whole genome
- Signal/Image processing
- Matching overlaps across multiple sequences
- Dynamic vs heuristic algorithm

Assembly



Analysis

- Reconstructing the original sequence
- de-novo vs mapping assembly
- Identifying gene variants and abnormalities
- Pattern matching, HMM, DNN



### Target Architecture:

# Scalable Vector Extension (SVE)



# ARM's Scalable Vector Extension (SVE)

- Designed to complement existing SIMD architecture (NEON)
- Key Features:
  - Scalable Vector Length (128 2048-bits)
  - Per-lane Predication (32 SIMD Reg. + 16 Predicate Reg.)
  - Gather-load and scatter-store
  - Horizontal vector operations



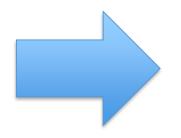
**Vector Length Agnostic Code** 



# ARM's Scalable Vector Extension (SVE)

- Genomic sequences are sampled at different lengths depending on the device used for sampling:
  - Illumina HiSeq System: 30-300 bps

• Sanger 3730xl: 400-900 bps



Vector-Length Agnostic Code can be used to **Dynamically Choose the Optimal SIMD Width** 





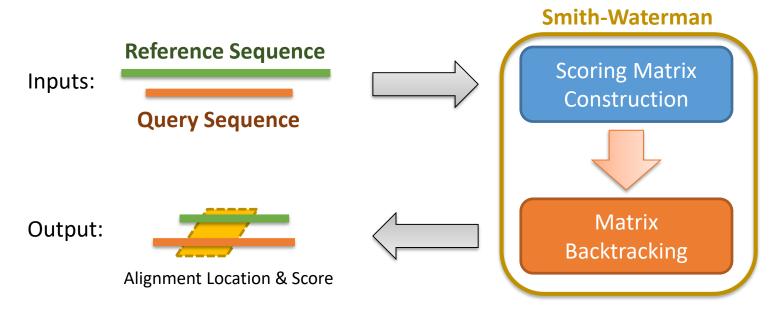
# Target Algorithm:

Smith-Waterman Sequence Alignment



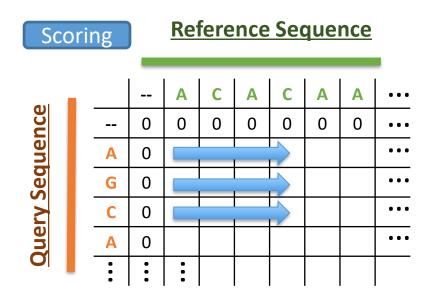
# Smith-Waterman Algorithm

Local sequence alignment algorithm developed in 1981





# **Scoring Matrix Construction**



$$H(m,n) = max \begin{cases} E(m,n) \\ F(m,n) \\ H(m-1,n-1) + S(a_m,b_n) \end{cases}$$

$$E(m,n) = \max \begin{cases} H(m,n-1) - g_o \\ E(m,n-1) - g_e \end{cases}$$

$$F(m,n) = \max \begin{cases} H(m-1,n) - g_o \\ F(m-1,n) - g_e \end{cases}$$



# **Scoring Matrix Construction**

# 

$$H(m,n) = max \begin{cases} E(m,n) \\ F(m,n) \\ H(m-1,n-1) + S(a_m,b_n) \end{cases}$$

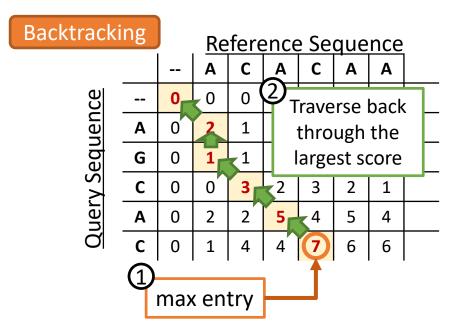
$$E(m,n) = \max \begin{cases} H(m,n-1) - g_o \\ E(m,n-1) - g_e \end{cases}$$

$$F(m,n) = \max \begin{cases} H(m-1,n) - g_o \\ F(m-1,n) - g_e \end{cases}$$



# Backtracking

Finds the best local alignment from the scoring matrix



Step 2.

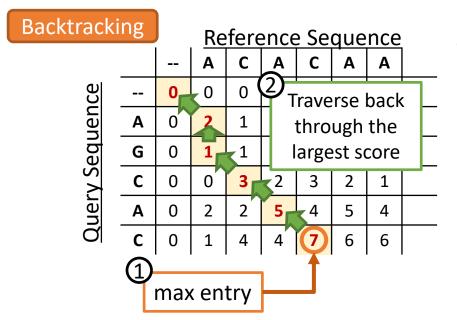
Check the adjacent entries for the next largest score

Move to the entry with the largest score and continue the path



# Backtracking

Finds the best local alignment from the scoring matrix



Step 3.

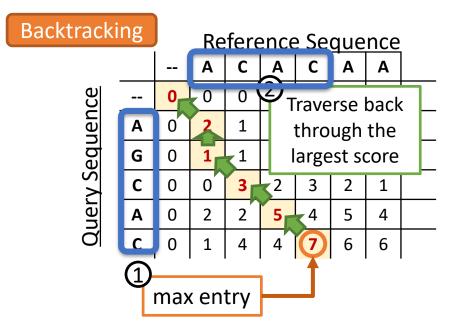
Get the resulting alignment

Path Direction	Alignment
Horizontal	Deletion
Vertical	Insertion
Diagonal	Match



# Backtracking

Finds the best local alignment from the scoring matrix



Step 3.

Get the resulting alignment

**3)** Reference: A-CAC

Query: AGCAC

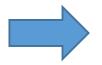
Insertion

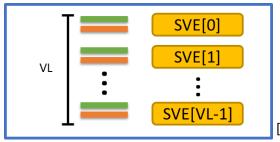
Alignment Score: 7



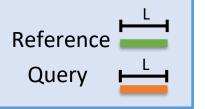


**BATCH:** 



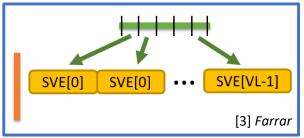


[4] Rognes





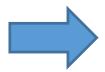


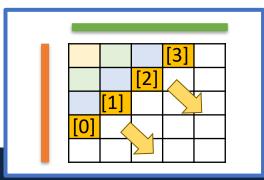




Alignment Location & Score

#### **Wavefront:**

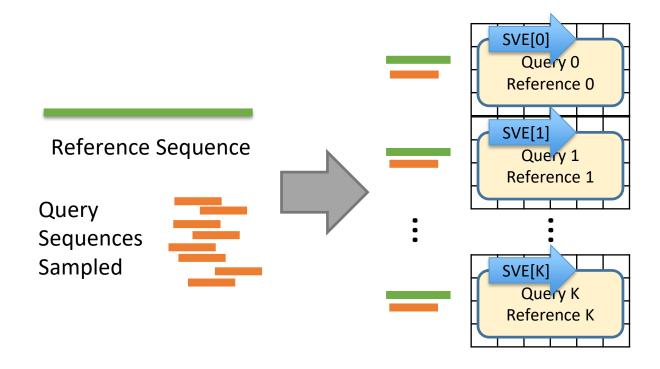




[2] Wozniak et al



### **Batch Smith-Waterman**



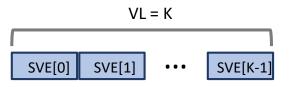
[4] Rognes



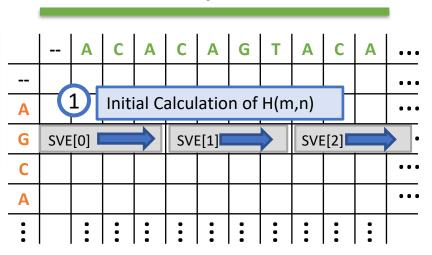
# Sliced Smith-Waterman

(striped)

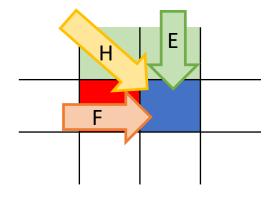
**Query Sequence** 



#### **Reference Sequence**



$$H(m,n) = max \begin{cases} E(m,n) \\ F(m,n) \\ H(m-1,n-1) + S(a_m,b_n) \end{cases}$$



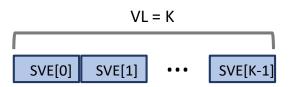
[3] Farrar



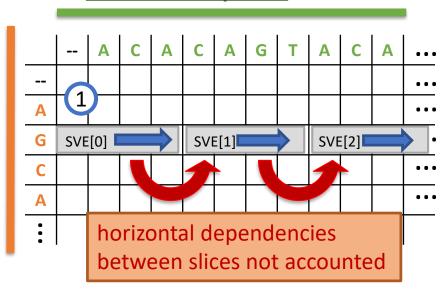
# Sliced Smith-Waterman

(striped)

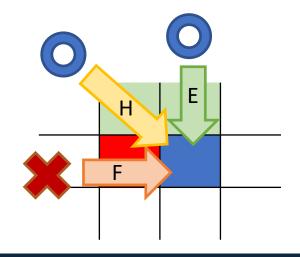
**Query Sequence** 



#### **Reference Sequence**



#### Value of F need to be re-calculated



[3] Farrar



### Sliced Smith-Waterman

(striped)

#### **Reference Sequence**

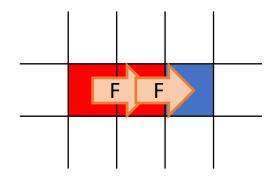
-- A C A C A G T A C A ...

-- A SVE[0] SVE[1] SVE[2]

C A Resolve Dependencies

$$F(m,n) = \max \begin{cases} H(m-1,n) - g_o \\ F(m-1,n) - g_e \end{cases}$$

$$H(m,n) = \max(F(m,n), H(m,n))$$

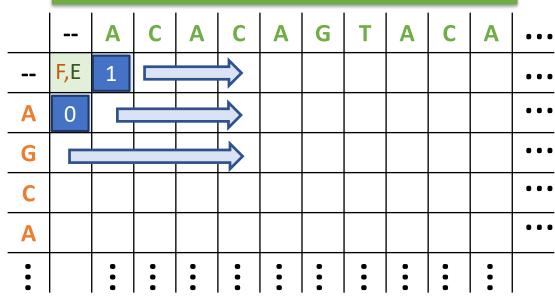


[3] Farrar

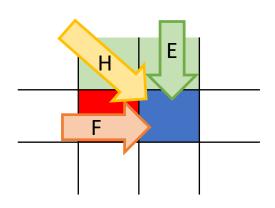


**Query Sequence** 



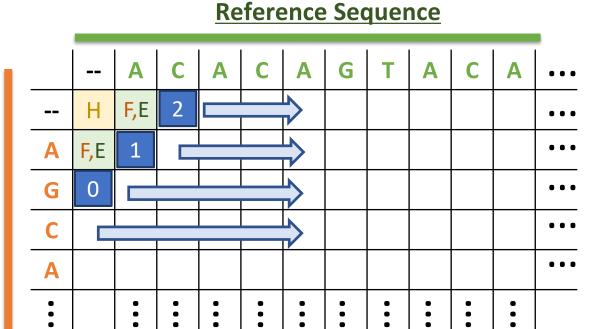


All dependency comes from previous execution

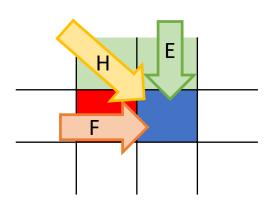




**Query Sequence** 

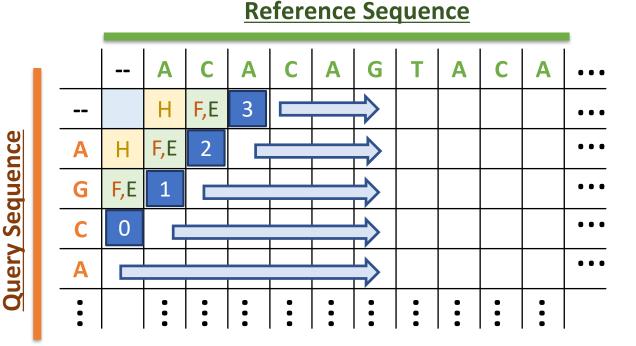


All dependency comes from previous execution

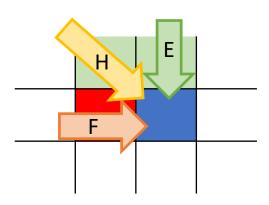


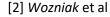
[2] Wozniak et al





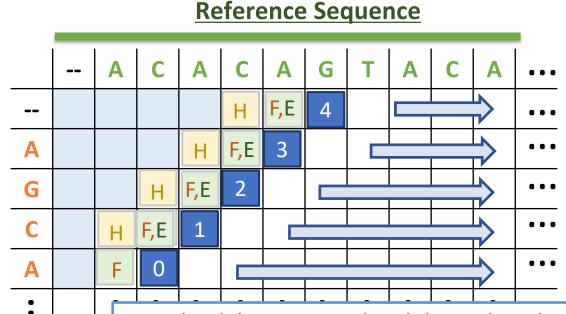
All dependency comes from previous execution



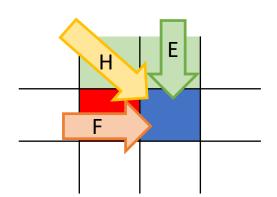




**Query Sequence** 



All dependency comes from previous execution



More book-keeping overhead than other algorithms:

- Keep track of H values of two prev. iterations
- F and E values from prev. iteration

[2] Wozniak et al



**Experimental Evaluation:** 

Smith-Waterman on gem5 w/ SVE



# **Experimental Setup**

### Gem5 Simulator w/ ARM SVE Simulation

Component	Configuration
Core	Single-Core out-of-order 64-bit ARM, 1GHz, 8-issue
	SIMD Width: 128-bit (NEON), 128/256/512/1024-bit (SVE)
Cache	32KB private L1 instruction cache, 2-way associative
	64KB private L1 data cache, 2-way associative
	4MB private L2 inclusive cache, 8-way associative
DRAM	Capacity: 8GB
	Latency: 30 ns
	Memory Controller Bandwidth: 12.8 GB/s



# **Experimental Setup**

### Application:

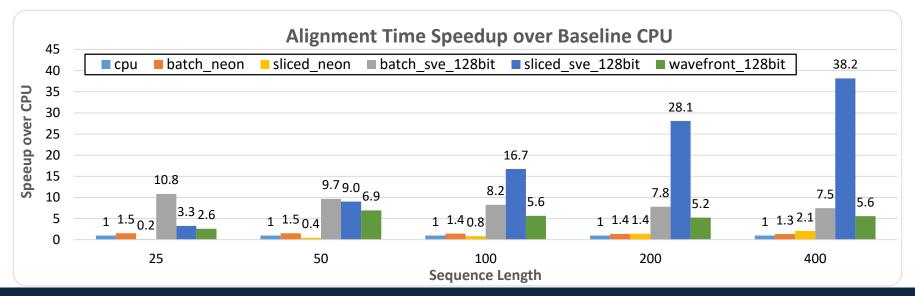
Smith-Waterman – Batch, Sliced, and Wavefront

- Reference :
  - 25-400 bps samples from E. Coli 536 Gene (4.9 Mbps)
- Query:
  - 1000 x 25-400 bps samples through WGSim



# Advantage of SVE over Traditional System

- CPU, NEON implementation written in C. SVE hand-written in assembly.
- SVE outperforms both CPU and NEON implementations by at least 3x
- Batch, Sliced and Wavefront used 32-bit, 16-bit and 64-bit vectors respectively.

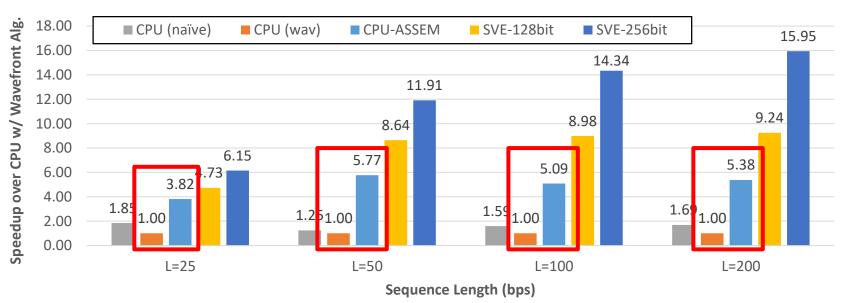




### Impact of Handwritten Assembly

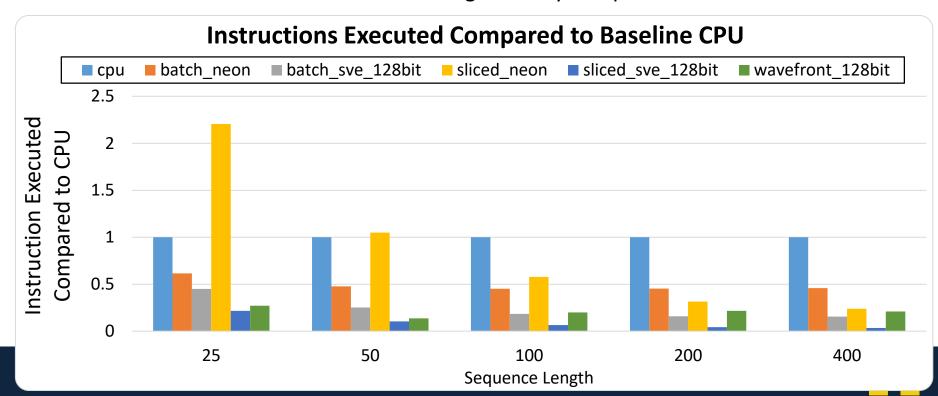
Hand-written assembly code of Wavefront Algorithm has 4-6x speedup over C code.

#### **Speedup of Wavefront Algorithm**



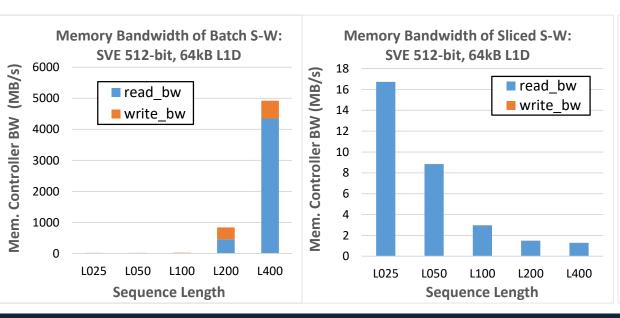
# Advantage of SVE over Traditional System

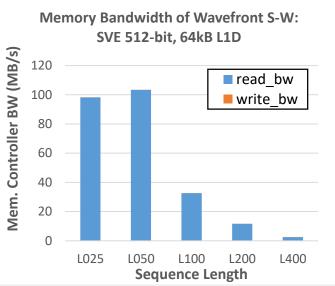
SVE reduces the instruction execution significantly compared to CPU or NEON



### Memory Bandwidth Comparison

 Sliced and Wavefront significantly reduce the memory bandwidth compared to the Batch algorithm



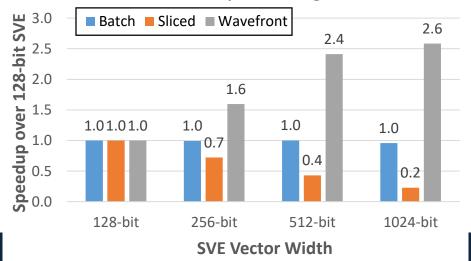




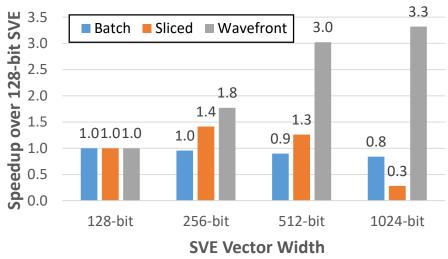
### Vector Scaling of Different Algorithms

- Batch and Sliced show marginal improvement with increasing vector length
- Difficult to keep up with increased memory demand
- Need to resolve dependencies.

Vector Performance Scaling of Batch, Sliced, and Wavefront at Sequence Length of L=100

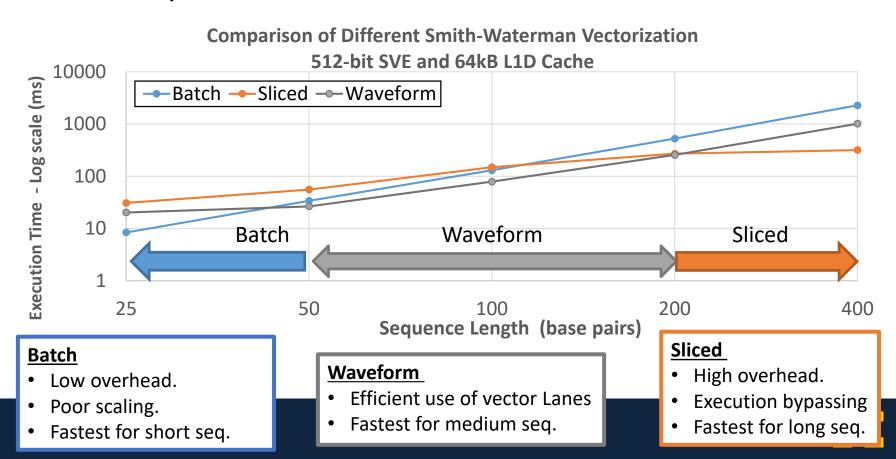


Vector Performance Scaling of Batch, Sliced and Wavefront at Sequence Length of L=400





### Fixed HW Options: Batch vs Sliced vs Waveform @512-bit



### HW with Variable Vector Length

Given freedom to choose the hardware for each sequence length,
 we can establish a set of optimal algorithm-hardware pair.

Read Length	Algorithm	Vector Length	Speedup Over 512-bit Wavefront
< 50 bps	Batch	128-bit	2.77
50-100 bps	Wavefront	1024-bit	1.03
100-400 bps	Sliced	256-bit	1.23-3.06



### Conclusion

#### Smith-Waterman on SVE:

- + Select Optimal Vector Length & Algorithm depending on Input
- **+** Lower Instruction Footprint
- Improvements to memory controller can lead to improved performance
- Wavefront algorithm use 64-bit vectors due to limitations on gather-scatter instruction addressing.



# Key References

- [1] Smith TF, Waterman MS, "Identification of common molecular subsequences" J Mol Biol 147
- [2] Wozniak A. "Using video-oriented instructions to speed up sequence comparison" Comput Appl Biosci. 1997
- [3] Farrar M, "Striped Smith-Waterman speeds database searches six times over other SIMD implementations" Bioinformatics, Vol 23, Issue 2, 15 January 2007
- [4] Rognes T, "Faster Smith-Waterman database searches with inter-sequence SIMD parallelization" Bioinformatics 2011
- [5] Zhao M, Lee W, Garrison E., Marth G. "SSW Library: An SIMD Smith-Waterman C/C++ Library for Use in Genomic Applications"
- [6] Li H, Durbin R. "Fast and accurate short read alignment with Burrows-Wheeler transform" Bioinformatics 25
- [7] Steinfadt S. "SWAMPT+: Enhanced Smith-Waterman Search for Parallel Models"



# Questions?

