# Using pyjetty

## Reynier Cruz Torres

## November 30, 2020

## Contents

1	Pro	cessing				2
2	2 Merging data root files					2
3	Scal	ing and merging MC root files				2
4	Ana	lysis				3
	4.1	Writing analysis code				3
		4.1.1 Functions the user needs to implement				3
	4.2	Running analysis code				4
	4.3	What happens when you run the analysis code				4
		4.3.1 Unfolding				5
		4.3.2 Unfolding tests				5
		4.3.3 Kinematic efficiency				6
	4.4	Systematic Uncertainties				6
		4.4.1 Track efficiency				7
		4.4.2 Regularization parameter				7
		4.4.3 Priors 1, 2				7
		4.4.4 Truncation				7
		4.4.5 Rinning				7

## 1 Processing

Example on running a local pp data job:

```
python process/user/rey/process_data_energy_drop.py \
-f /rstorage/alice/data/LHC17pq/448/20-06-2020/448_20200619-0610/unmerged/child_1/0001/AnalysisResults.root \
-c config/energy_drop/rey_pp.yaml
```

Example on running a local pp mc job:

```
python process/user/rey/process_mc_energy_drop.py \
  -f /rstorage/alice/data/LHC18b8/449/child_1/TrainOutput/1/282008/0001/AnalysisResults.root \
  -c config/energy_drop/rey_pp.yaml
```

Example on running a slurm data job:

```
cd slurm/sbatch/energy_drop/
sbatch slurm_LHC17pq.sh
```

## 2 Merging data root files

- cd pyjetty/pyjetty/alice\_analysis/slurm/utils/rey
- open the file merge\_data.sh

```
#! /bin/bash
#
# Script to merge output ROOT files
JOB_ID=209383
FILE_DIR="/rstorage/alice/AnalysisResults/rey/$JOB_ID"
FILES=$( find "$FILE_DIR" -name "*.root" )
OUTPUT_DIR=/rstorage/alice/AnalysisResults/rey/$JOB_ID
hadd -f -j 20 $OUTPUT_DIR/AnalysisResultsFinal.root $FILES
```

- edit this file and replace rey with your username and edit the number in JOB\_ID=209383 with the correct run number that you would like to merge
- source merge\_data.sh

## 3 Scaling and merging MC root files

The MC files are produced separate in  $\hat{p}_T$  bins. This is done to focus the generation in different bins and accrue similar amount of statistics even in the bins where the cross section is small. Consequently, these files need to be scaled by the cross section before combining them.

1. hadd all root files corresponding to the same  $\hat{p}_T$  bin. See, for example: slurm\_merge\_LHC18b8.sh and merge\_LHC18b8.sh. Edit both files and replace rey with the appropriate username. Also, modify the number in JOB\_ID=209384 in merge\_LHC18b8.sh to reflect the right job number.

```
sbatch slurm_merge_LHC18b8.sh
```

2. cd into the directory containing the 1/, 2/, ... sub-directories and scale the combined files corresponding to a given  $\hat{p}_T$  bin by the appropriate scale factor. To do so, run scaleHistograms.py (with the correct file path) and config file associated with the simulation, e.g. -c /rstorage/alice/data/LHC18b8/scaleFactors.yaml. Here's an example:

```
python /home/rey/pyjetty/pyjetty/alice_analysis/slurm/utils/rey/scaleHistograms.py \
-c /rstorage/alice/data/LHC18b8/scaleFactors.yaml
```

3. After the histograms have been scaled, you should merge the  $\hat{p}_T$  bins. See for example merge\_pthat.sh. The number in the line JOB\_ID=209384 and paths should be updated. Then do:

```
source merge_pthat.sh
```

## 4 Analysis

### 4.1 Writing analysis code

You need to begin by creating a code in: pyjetty/pyjetty/alice\_analysis/analysis/user/. This code will inherit from /substructure/run\_analysis.py. For an example analysis code see: run\_analysis\_energy\_drop.py.

#### 4.1.1 Functions the user needs to implement

There are three main functions the user needs to implement in the analysis code:

- plot\_single\_result()
- plot\_all\_results()
- plot\_performance()

The function names are self-explanatory.

You also need to edit two functions in analysis/user/substructure/analysis\_utils\_obs.py: formatted\_subobs\_label and prior\_scale\_factor\_obs.

### 4.2 Running analysis code

```
python analysis/user/rey/run_analysis_energy_drop.py -c config/energy_drop/rey_pp.yaml
```

### 4.3 What happens when you run the analysis code

Right away, what the code does is it runs the 'main' function run\_analysis() defined in run\_analysis.py. The first step in this function is to do unfolding (if the user requested it) through the function perform\_unfolding(), also defined in run\_analysis.py.

This function loops over the 'systematic' settings defined in the config file. For each setting, the code sets variables related to inputs and outputs:

```
output_dir = getattr(self, 'output_dir_{\}'.format(systematic))
data = self.main_data
response = self.main_response
main_response_location = os.path.join(getattr(self, 'output_dir_main'), 'response.root')
rebin_response = self.check_rebin_response(output_dir)
```

It the initializes some variables:

```
prior_variation_parameter = 0.
truncation = False
binning = False
R_max = self.R_max
prong_matching_response = False
```

And it finally sets these variables depending on the systematic setting to be unfolded:

```
if systematic == 'trkeff':
  response = self.trkeff_response
elif systematic == 'prior1':
  prior_variation_parameter = self.prior1_variation_parameter
elif systematic == 'prior2':
  prior_variation_parameter = self.prior2_variation_parameter
elif systematic == 'truncation':
  truncation = True
elif systematic == 'binning':
  binning = True
elif systematic == 'subtraction1':
  R_{max} = self.R_{max1}
elif systematic == 'subtraction2':
  R_max = self.R_max2
elif systematic == 'prong_matching':
  prong_matching_response = True
```

Once these variables have been properly set, the code creates an instance of the Roounfold\_Obs class, and subsequently runs the function roounfold\_obs().

#### 4.3.1 Unfolding

The Roounfold\_Obs class and the roounfold\_obs() function are defined in roounfold\_obs.py.

When the instance of the Roounfold\_Obs class is created, the function create\_output\_dirs() is called. This function creates the following directories: 'RM', 'Data', 'KinematicEfficiency', 'Unfolded\_obs', 'Unfolded\_pt', 'Unfolded\_ratio', 'Unfolded\_stat\_uncert', 'Test\_StatisticalClosure', 'Test\_Refolding', 'Correlation\_Coefficients' and if the variable thermal\_model is true, 'Test\_ThermalClosure'. Also, two directories called 'Test\_ShapeClosure{}' are created. Here, {} corresponds to the prior variation parameters defined at the bottom of the config file (with periods removed). For instance, if the config file has:

prior1\_variation\_parameter: 0.5
prior2\_variation\_parameter: -0.5

then you will get the directories 'Test\_ShapeClosure-05' and 'Test\_ShapeClosure05'.

The unfolding procedure is done two-dimensionally in the observable and in  $p_{\rm T}$ . The response matrix corresponds to:

$$\Lambda = (p_{\text{T.det}}, p_{\text{T.true}}, \text{observable}_{\text{det}}, \text{observable}_{\text{true}}). \tag{1}$$

This matrix is then used to unfold the data using Bayes' theorem:

$$P(T|O,\Lambda) = \frac{P(O|T,\Lambda) \cdot P(T)}{P(O)},\tag{2}$$

where  $P(T|O, \Lambda)$  is the likelihood of the truth (T) occurring given that the observation (O) is true. Similarly,  $P(O|T, \Lambda)$  is the likelihood of O occurring given that T is true. P(T) and P(O) are the marginal probabilities of observing T and O, respectively.

### 4.3.2 Unfolding tests

During the unfolding procedure, three validation tests are carried out:

1. **Refolding test:** the Response Matrix (RM) is multiplied by the unfolded result, and compared to the original detector-level distribution. This is done in roounfold\_obs.py in the refolding\_test function. Before doing the refolding, the kinematic-efficiency correction is reverted. Then, the output plots are created in plot\_obs\_refolded\_slice. In these plots, the folded truth level and the detector-level (i.e. pre-unfolding) data are compared.

#### 2. Statistical closure test:

- MC det-level is smeared by an amount equal to the measured statistical uncertainty
- the smeared det-level MC is then unfolded

• unfolded smeared MC is compared to MC truth-level

This test checks whether the unfolding procedure is insensitive to statistical fluctuations of the measured spectra. This is done in roounfold\_obs.py in the statistical\_closure\_test function. Then, the output plots are created in plot\_obs\_closure\_slice.

#### 3. Shape closure test:

- MC det-level and MC truth-level spectra are scaled
- scaled MC det-level spectrum is unfolded
- MC truth-level and unfolded scaled MC det-level spectra are compared

This test checks whether the unfolding procedure is insensitive to the shape of the measured distribution. This is done in roounfold\_obs.py in the shape\_closure\_test function, which calls the shape\_closure\_test\_single function twice, once with each shape-variation parameter. Then, the output plots are created in plot\_obs\_closure\_slice.

#### 4.3.3 Kinematic efficiency

The kinematic efficiency is calculated in roounfold\_obs.py in the plot\_kinematic\_efficiency function. 1D slices are plotted in plot\_kinematic\_efficiency\_projections. In the case of the jet axis analysis, this is defined as:

$$\varepsilon_{\rm kin}(\Delta R_{\rm true}, p_{\rm T,true}) \equiv \frac{\frac{dN}{d\Delta R_{\rm true}} \left(\Delta R_{\rm det} \in [0, R/2], p_{\rm T,det} \in [10, 80]\right)}{\frac{dN}{d\Delta R_{\rm true}} \left(\Delta R_{\rm det} \in [0, R/2], p_{\rm T,det} \in [0, \infty]\right)}$$
(3)

### 4.4 Systematic Uncertainties

By default, pyjetty extracts the following sources of systematics:

- track efficiency
- regularization parameter
- priors 1, 2
- truncation
- binning

The explanation for each of these systematics is given below.

### 4.4.1 Track efficiency

The uncertainty on the tracking efficiency is approximately 4% for hybrid tracks [1, 2, 3, 4]. To assign a systematic uncertainty that accounts for this effect, we construct a response matrix by randomly rejecting 4% of tracks in jet finding. The resulting response matrix is then used to unfold the data.

- 4.4.2 Regularization parameter
- 4.4.3 Priors 1, 2
- 4.4.4 Truncation
- 4.4.5 Binning

### References

- [1] "ALICE analysis note, Measurement of charged jet cross section in pp collisions at  $\sqrt{s_{NN}} = 5.02$  TeV." https://alice-notes.web.cern.ch/node/534.
- [2] "ALICE analysis note, Measurement of charged jet spectra in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV with ALICE at LHC (update including high interaction Pb-Pb runs)." https://aliceinfo.cern.ch/Notes/node/818.
- [3] "James' analysis note."
- [4] "Ezra's analysis note."