



**BITS Pilani**  
Pilani Campus

# Data Structures & Algorithms

## Design- SS ZG519

### Lecture - 15

Dr. Padma Murali

# Lecture 15 Topics

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- Graph Traversals- Depth First Search & Breadth First Search
- Minimum Spanning tree problem



# Graph Searching Algorithms

# Graph Searching Algorithms

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- Searching a graph means systematically following the edges of the graph so as to visit the vertices of the graph.
- A graph-searching algorithm can discover much about the structure of a graph.
- Many algorithms begin by searching their input graph to obtain this structural information.

# Searching in a Graph



**Graph searching** : systematically follow the edges of the graph so as to visit the vertices of the graph

Two basic graph searching algorithms:

- Breadth-first search
- Depth-first search
- The difference between them is in the order in which they explore the unvisited edges of the graph

Graph algorithms are typically elaborations of the basic graph-searching algorithms

# Graph Searching Algorithms

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## Depth First Search

- Depth First Search is a powerful technique for solving many graph theory problems
- It is a systematic way of visiting all the vertices of a graph.

# Description of Depth First search Traversal

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1. Assume that a given graph  $G$  with  $V(G) = \{ v_1, v_2, \dots, v_p \}$  is represented by its adjacency lists.
2. Unless indicated otherwise, we assume , in the adjacency list of a given vertex that the vertices adjacent to that vertex are listed in increasing order of their subscripts.
3. In a depth first search of  $G$ , the vertex that is currently visited is designated as the **active vertex**.

# Description of Depth First search Traversal

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4. A depth first search of  $G$  is begun by selecting a first vertex to visit namely  $v_1$ . Vertex  $v_1$  is the first active vertex and is assigned label 1.
5. Next, select a vertex adjacent to 1 (the first vertex on the adjacency list of 1). Label it 2 and this vertex becomes the new active vertex.
6. The edge joining the vertices labelled 1 and 2 is placed in a set  $S$ .



# Description of Depth First search Traversal

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7. In general, let  $n$  denote the label of the current active vertex in the search and suppose that not all vertices in the component of  $G$  containing  $n$  have been visited.

**We proceed as follows:**

- If there are unvisited vertices adjacent to  $n$ , select the first vertex on the adjacency list of  $n$  that has not been visited and label it with the next available label.
- The vertex just labelled becomes the new active vertex.
- The edge joining  $n$  and this newly labelled vertex is placed in the set  $S$ .

# Description of Depth First search Traversal

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- If on the other hand, all the vertices adjacent to  $n$  have been visited, we backtrack (*i.e*) revisit the vertex that was the active vertex before  $n$  was first visited, and designate this vertex as the current active vertex.
- The general step is repeated until every vertex in that component of  $G$  has been visited.
- If not all vertices of  $G$  have been visited, then a vertex not yet visited, say the first such vertex is chosen as the next active vertex and the process continues.

# Description of Depth First search(DFS) Traversal

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- The label assigned to a vertex  $v$  in a graph  $G$  by the depth first search is called the **depth first search index** of  $v$  and is denoted by  $dfi(v)$ .
- When the DFS of  $G$  is completed, the number  $dfi(v)$  is the order in which  $v$  was first visited during the search.
- Since each edge of  $G$  in  $S$  joins two vertices, one of which is being visited for the first time  $\langle S \rangle$  is a spanning forest of  $G$ , called the **Depth First Search Forest**.

# Description of Depth First search(DFS) Traversal

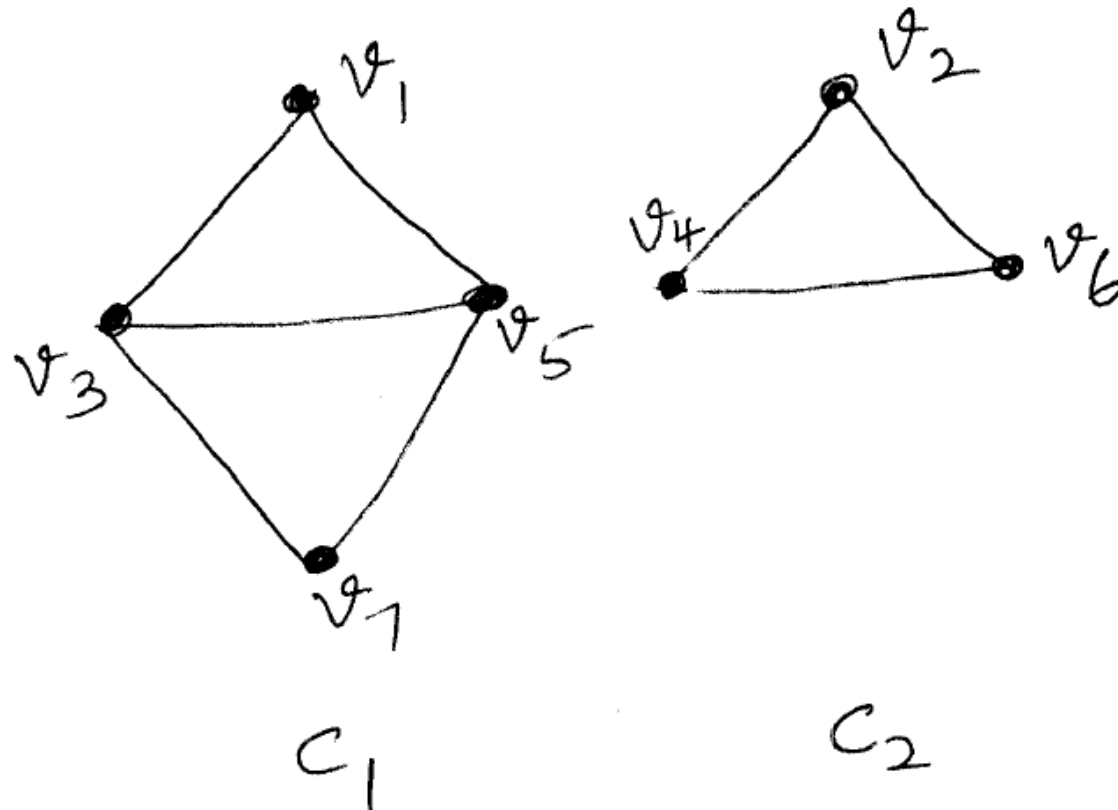
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- If  $G$  is connected, then  $\langle S \rangle$  is a spanning tree, called a **depth first search tree**.
- If  $F$  is a depth first search forest of a graph  $G$ , then each component of  $F$  is a rooted tree in which the root is the first vertex visited in the component.
- Each edge of  $G$  that is not an edge of  $F$  is called a **back edge**.
- Necessarily, each back edge joins two vertices in a component of  $G$  and thus in a component of  $F$ .

# Example

- Find the depth first search tree or forest in the graph G.

G :

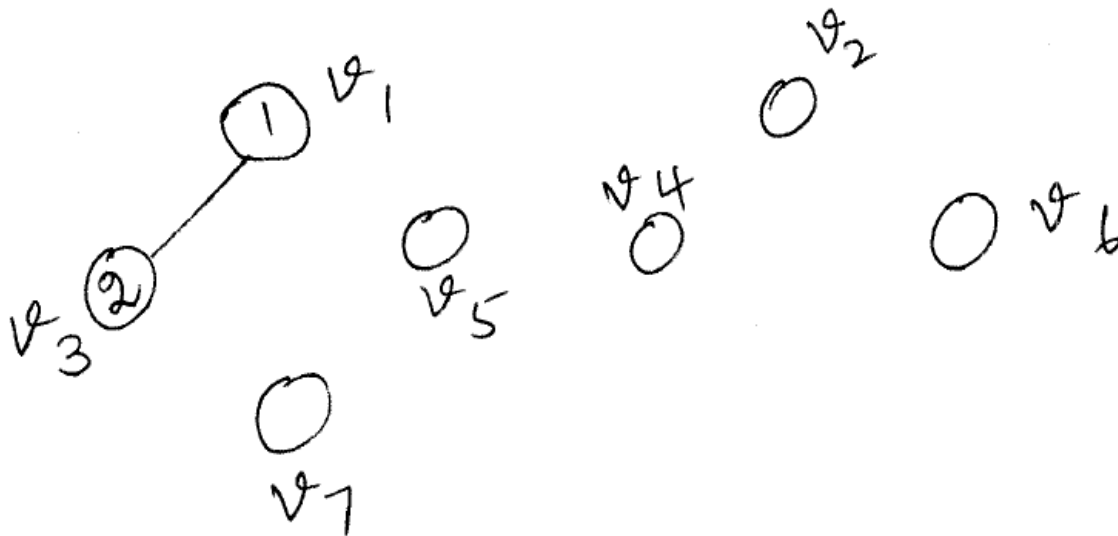


# Solution

$G$  is a disconnected graph with 2 components  $C_1$  and  $C_2$ .

Step 1

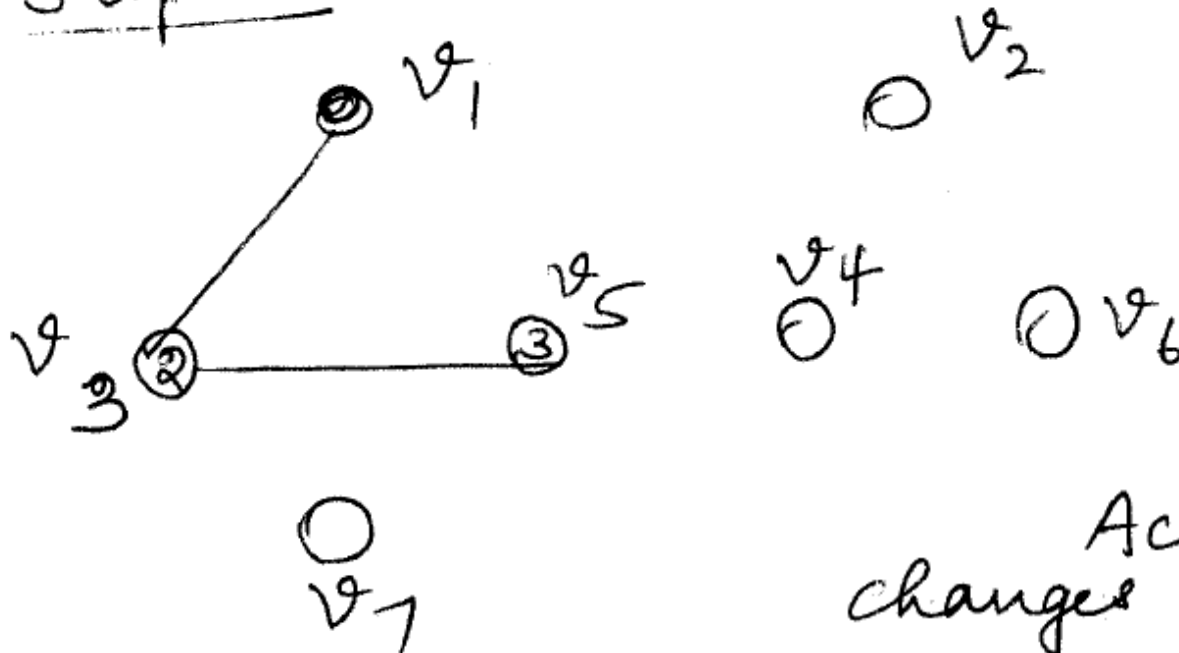
Edges in  $S$  and labels of vertices



Active vertex  
changes  
From To

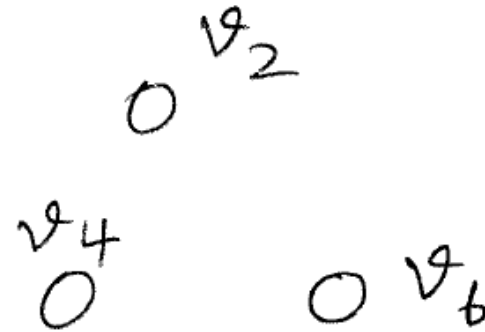
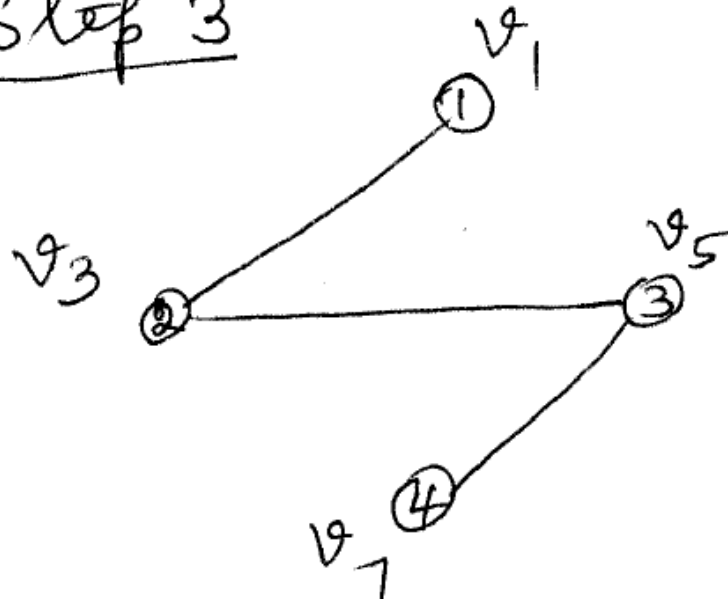
$v_1$   $v_3$

Step 2



Active vertex  
changes From To  
 $v_3$   $v_5$

Step 3

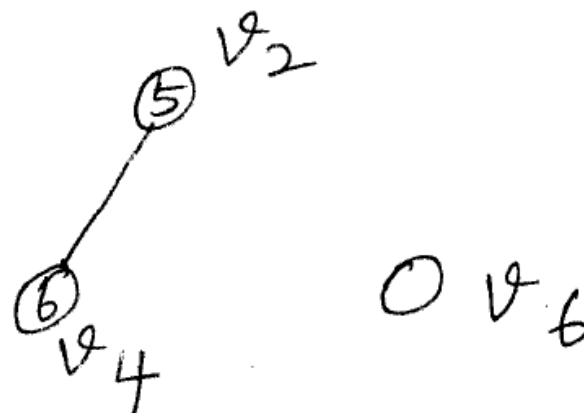
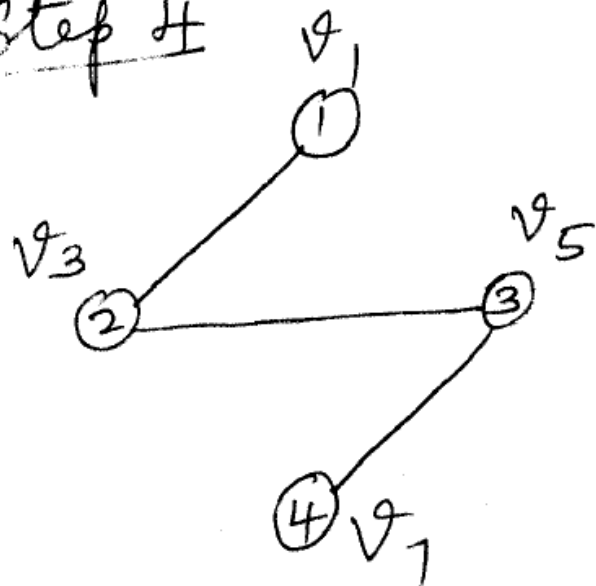


Active vertex  
From  $v_5$  To  $v_7$

- All vertices in component  $C_1$  have been visited;
- $v_2$  is the new active vertex.

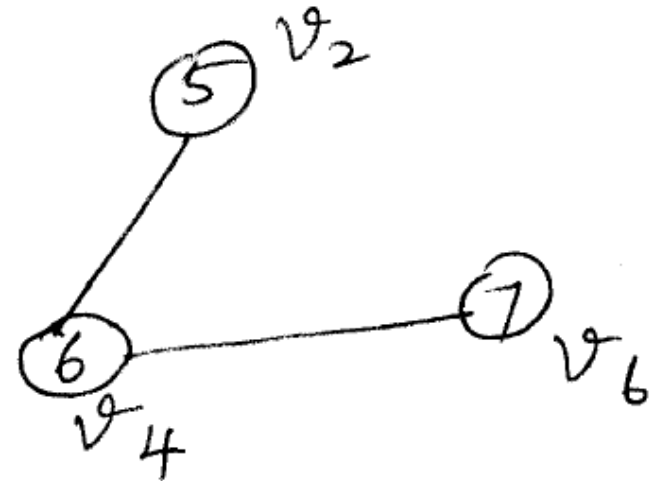
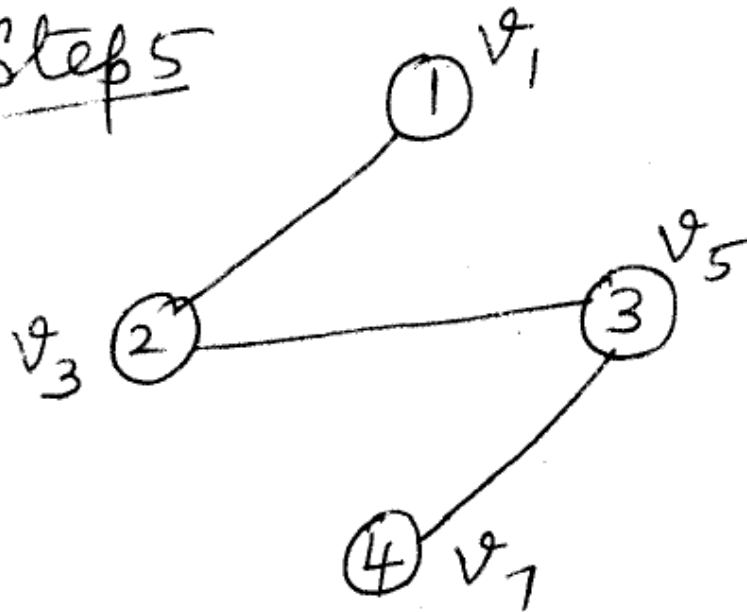


Step 4



Active  
vertex  
From  $T_0$   $T_4$   
 $v_2$   $v_4$

Step 5



Active vertex  
From TO  
 $v_4$   $v_6$

- This is the DFS forest  $F$ .

# Depth First search(DFS) Algorithm

To conduct a depth first search of a graph  $G$  represented by its adjacency lists.

1.  $dfi(v) \leftarrow 0$  for each  $v \in V(G)$   
[ Initially, all vertices are given a depth first search index of 0]
2.  $i \leftarrow 1$   
[ The parameter  $i$  is initialised and will be assigned to the  $i^{th}$  vertex visited during the search.
3.  $S \leftarrow \phi$   
[The set  $S$  is initialised and will be the arc set of the DFS forest.]

# Depth First search(DFS) Algorithm

4. If  $d_{fi}(r) \neq 0$  for all  $r \in V(G)$ , then output  $S$  and stop;  
[ If not all vertices of  $G$  have been visited, a new root is selected from which a depth first search of the component of  $G$  containing that vertex is conducted.]

Otherwise, let  $r$  be the first vertex such that  $d_{fi}(r) = 0$  and let  $w \leftarrow r$ .

5.  $d_{fi}(w) \leftarrow i$
6.  $i \leftarrow i + 1$

# Depth First search(DFS) Algorithm

7. [A search is conducted for a vertex not yet visited.]

7.1 If  $dfi(v) = 0$  for some vertex  $v$  in the adjacency list of  $w$ , then continue; Otherwise go to step 7.4.

7.2  $S \leftarrow S \cup \{(w,v)\}$  and assign  $Parent(v) \leftarrow w$

7.3  $w \leftarrow v$  and return to step 5.

7.4 If  $w \neq r$ , then  $w \leftarrow Parent(w)$  and return to step 7.1; Otherwise, return to step 4.

# Depth First search(DFS) Algorithm

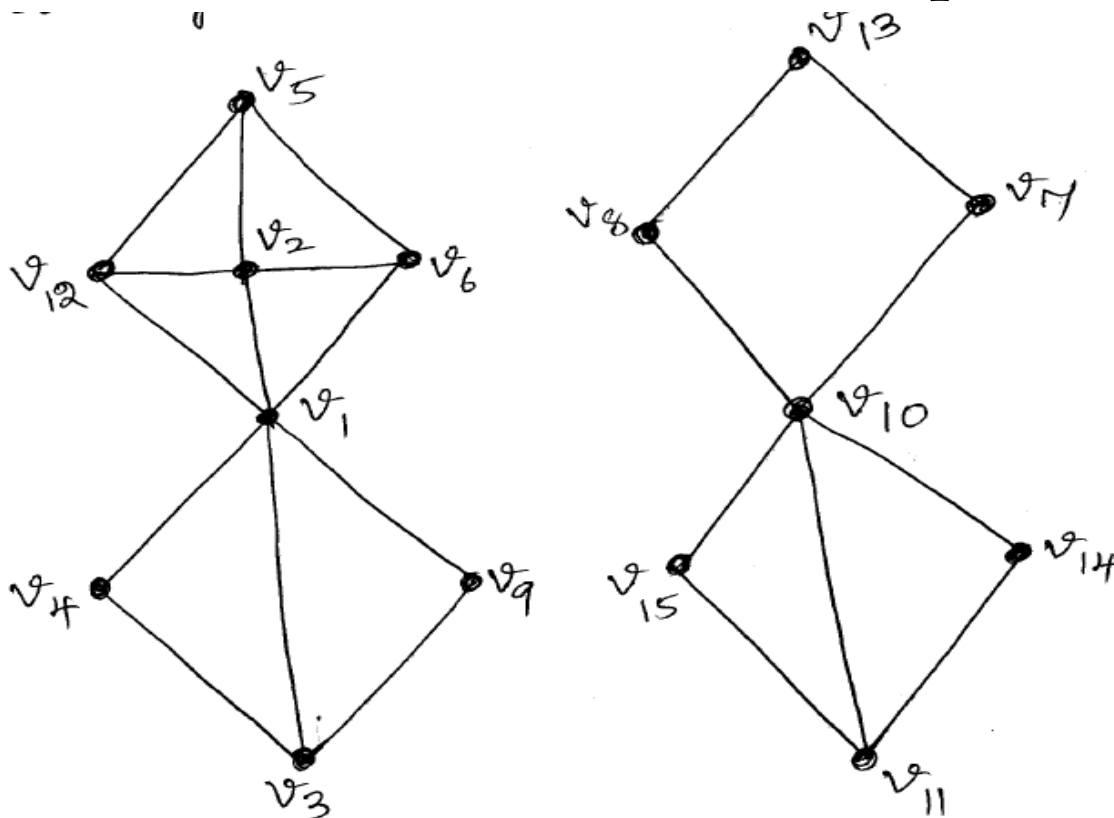
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**Time complexity:**

If  $G$  is a graph with  $|V|$  vertices and  $|E|$  edges, then the complexity of a depth first search of  $G$  is  $\Theta(|V| + |E|)$ .

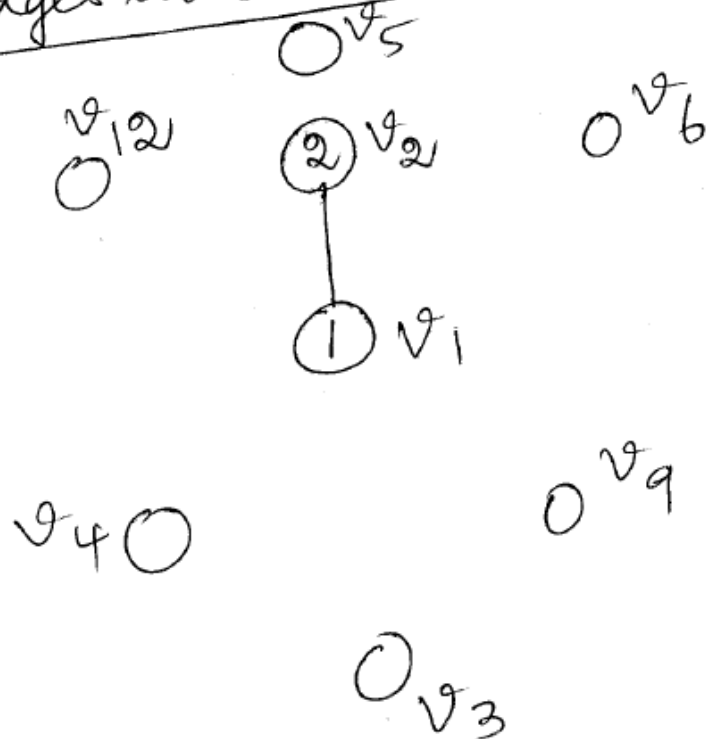
- Example

- Apply a DFS to a graph G and make a table of the DFS index and the corresponding stack.



Step 1

Edges in set S



Active vertex

$v_1$

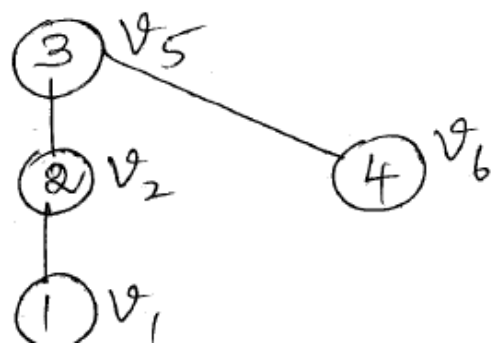


Step 2



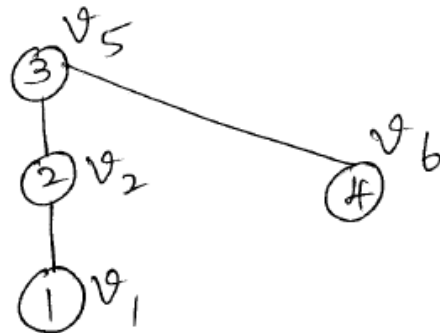
Active vertex  
changes from  
 $v_1$   $v_2$

Step 3



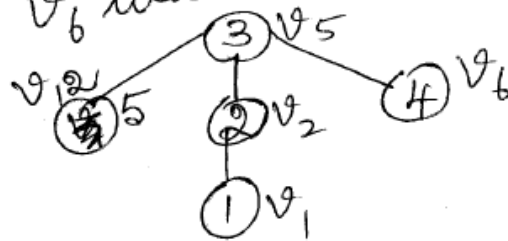
Active  
vertex  
changes from  
 $v_2 \rightarrow v_5$

step 4



Active vertex  
changes from  
 $v_5 \rightarrow v_6$

step 5 since all vertices adjacent to  $v_6$  have been visited, Active vertex is the previous vertex that was the active vertex before  $v_6$  was visited.



Active vertex  
 $v_6 \rightarrow v_5$

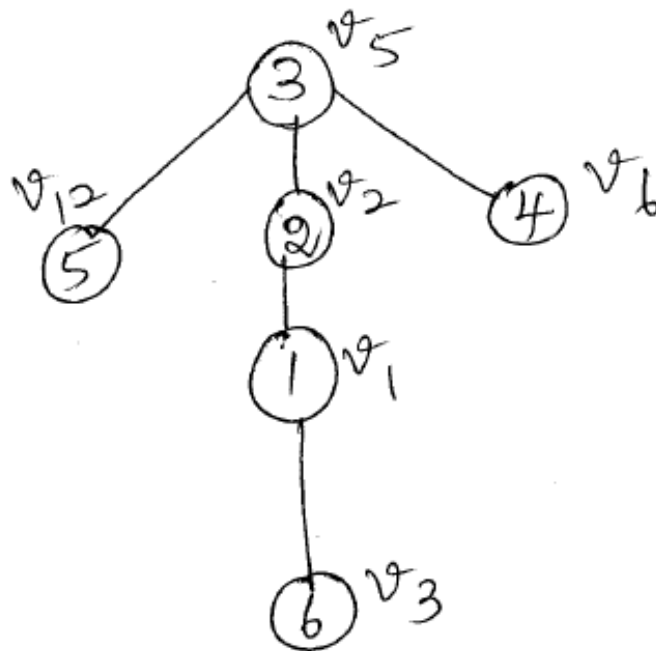
Step 6 Since all vertices adjacent to  $v_{12}$  have been visited, we back track & go to the previous active vertex.  
Active vertex changes from

$v_{12}$        $v_5$

Step 7 same reason as step 6.  
Active vertex changes from  
 $v_5 \rightarrow v_2$

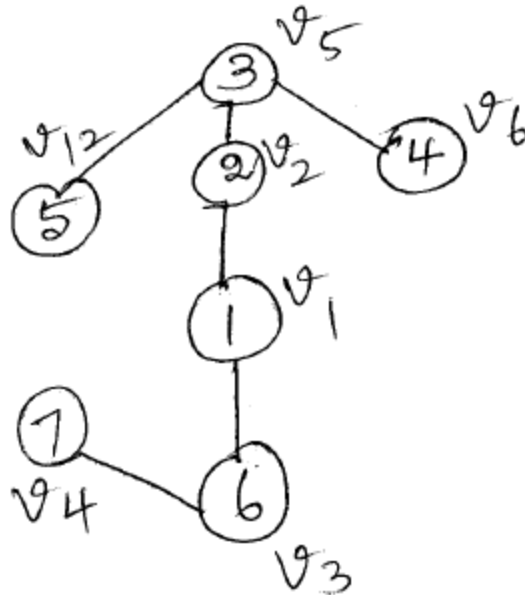
step 7 Active vertex changes from  $v_2$  to  $v_1$ .

Active vertex  
 $v_2 \rightarrow v_1$



... monitor

Step 8



Active vertex  
changes from  
 $v_1 \rightarrow v_3$

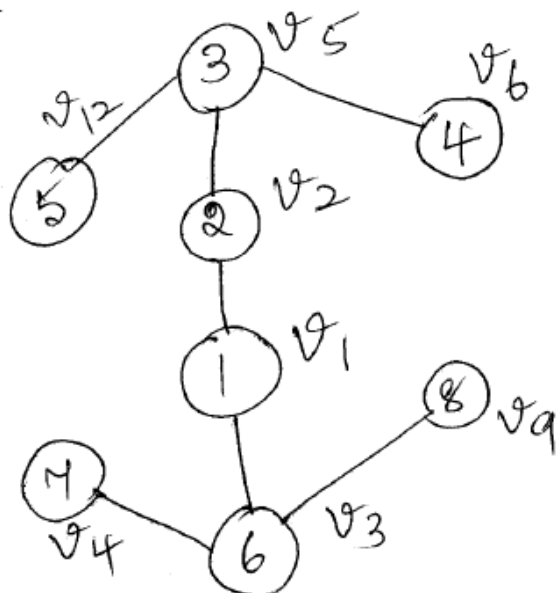
step 9

since all vertices adjacent to  $v_4$  have been visited, we back track & go to the vertex which was the active vertex before  $v_4$ .

Active vertex  
changes from  
 $v_3 \rightarrow v_4$

Step 10

$F_1$ :



Active name  
changes

$v_4 \rightarrow v_3$

h.v

Step 11 since, all vertices adjacent to  $v_9$  have been visited all all vertices in this component of  $G$  have nonzero labels, we move to the next component.

Step 12.

$F_1$

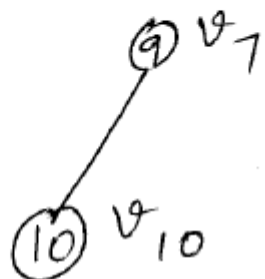
⑨  $v_7$

Active vertex  
changes from  
 $v_3 \rightarrow v_9$

Active vertex  
changes from  
 $v_9 \rightarrow v_7$ .

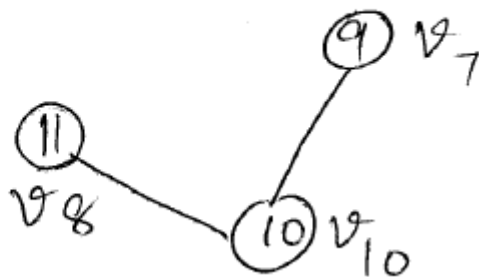


Step 13



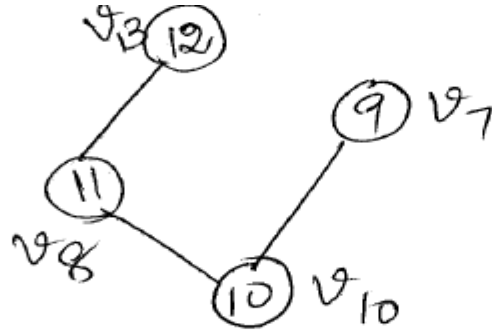
Active vertex  
changes from  
 $v_7 \rightarrow v_{10}$

Step 14



Active vertex  
changes from  
 $v_{10} \rightarrow v_8$

Step 15

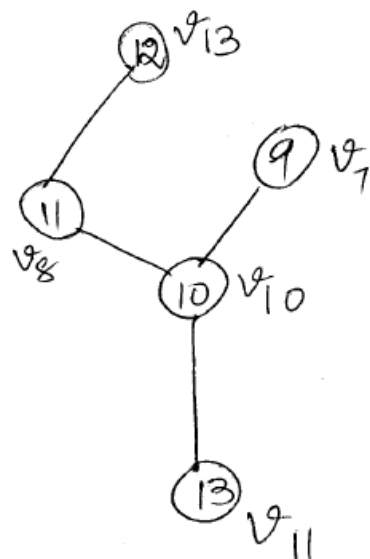


Active vertex  
changes from  
 $v_8 \rightarrow v_{13}$ .

Step 16. Since all vertices adjacent to  $v_{13}$  have been visited, we go to the previous active vertex.  
(ii) active vertex changes from  
 $v_{13} \rightarrow v_8$

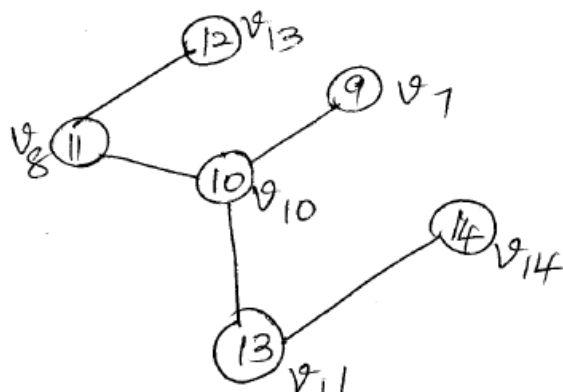
Step 17. Same as step 16. we backtrack  
active vertex changes from  
 $v_8 \rightarrow v_{10}$ .

step 18.



Active vertex  
changes from  
 $v_{10} \rightarrow v_{11}$

step 19



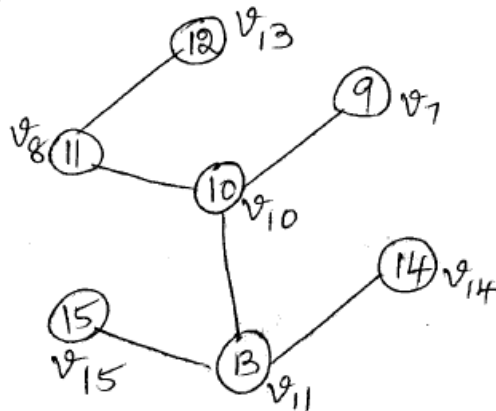
Active vertex  
changes from  
 $v_{11} \rightarrow v_{14}$

Step 20 Since all vertices adjacent to  $v_{14}$  have been visited we back track to the previous active vertex.  
 $\therefore$  Active vertex changes from

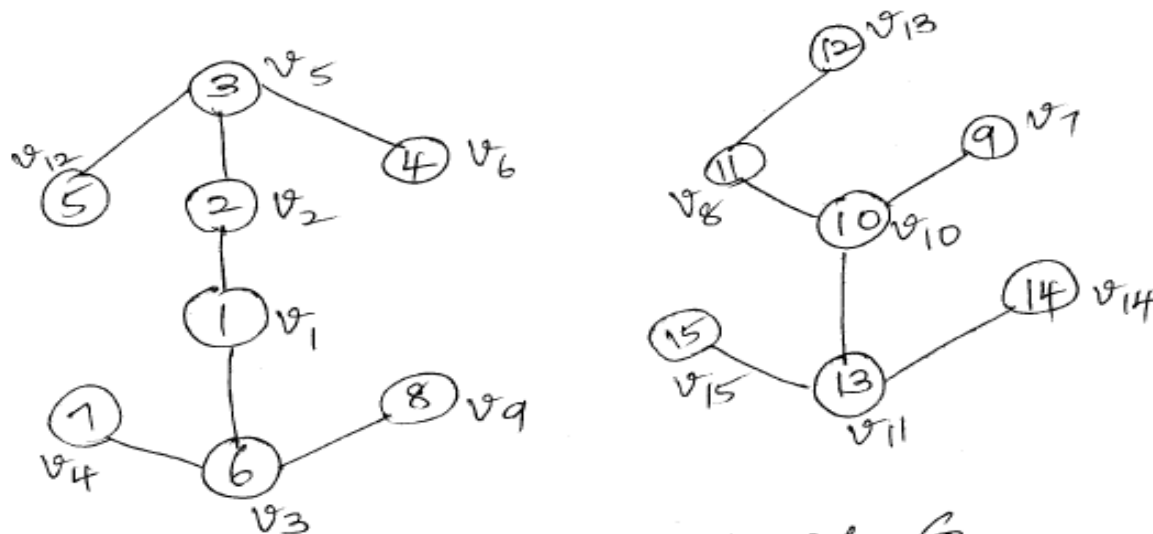
$$v_{14} \rightarrow v_{11}$$

Active vertex changes from  
 $v_{11} \rightarrow v_{15}$

Step 21



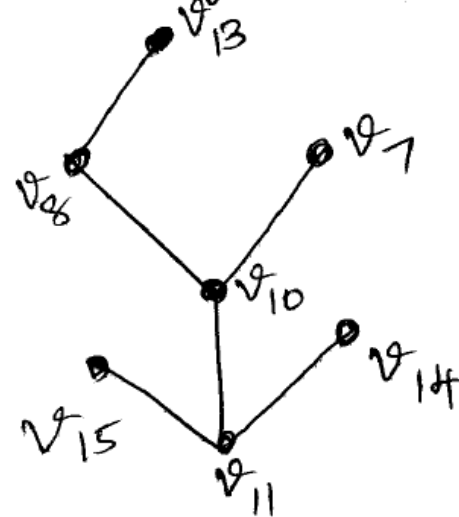
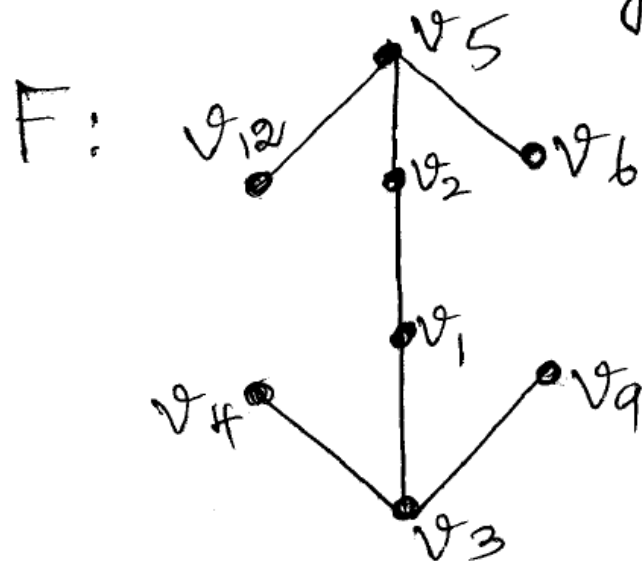
Step 22 Since all vertices adjacent to  $v_{15}$  have been visited & by backtracking we find that all vertices have been visited (have nonzero labels), then DFS is complete & the DFS forest is output.

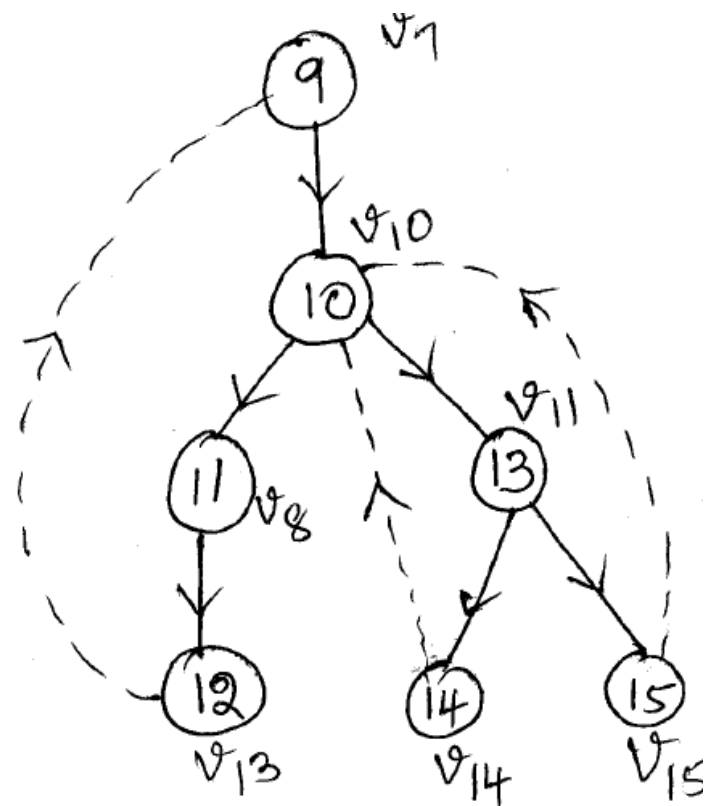
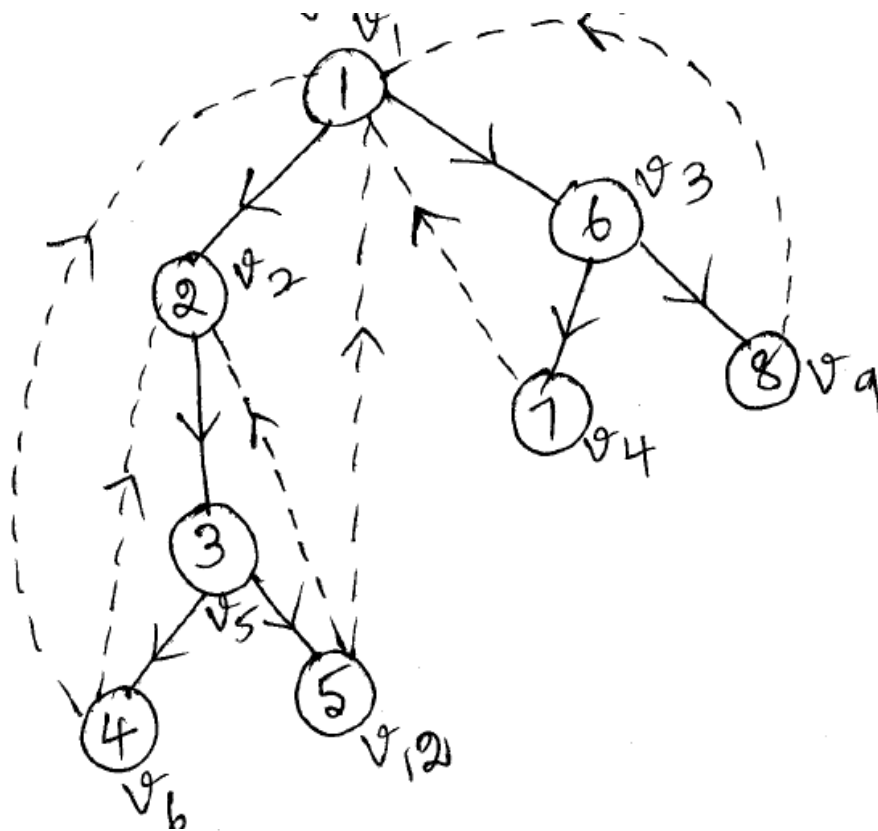


Depth first search forest of  $G$ .

$G$  is a disconnected graph with 2 components.

The resulting DFS forest is





The back edges of  $G$  are shown in the below figure by dotted lines.

$v$	$dfi(v)$
$v_1$	1
$v_2$	2
$v_3$	6
$v_4$	7
$v_5$	3
$v_6$	4
$v_7$	9
$v_8$	11
$v_9$	8
$v_{10}$	10
$v_{11}$	13
$v_{12}$	5
$v_{13}$	12
$v_{14}$	14
$v_{15}$	15

$v_{15}$   
 $v_{14}$   
 $v_{11}$   
 $v_{13}$   
 $v_8$   
 $v_{10}$   
 $v_7$   
 $v_9$   
 $v_4$   
 $v_3$   
 $v_{12}$   
 $v_6$   
 $v_5$   
 $v_2$   
 $v_1$   


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 stack



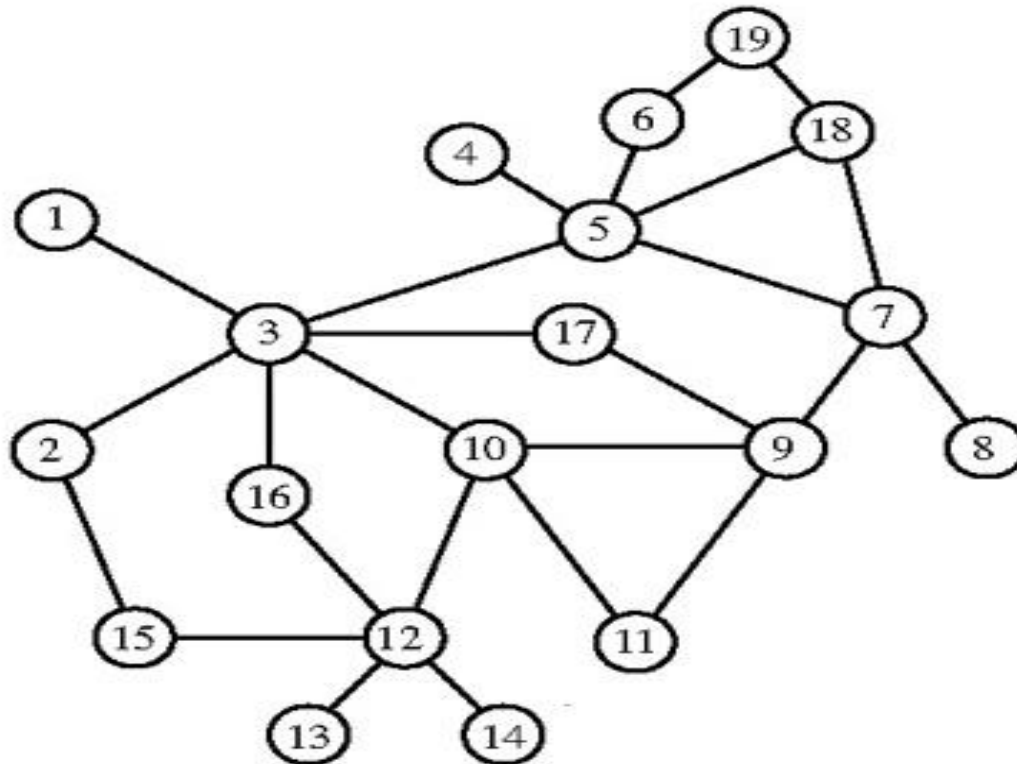
# Applications of Depth First Search

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- To check if a graph is connected or disconnected.
- Finding the components of a disconnected graph
- Other applications include finding cut vertices, bridges and blocks in a graph.

# Example:

- Apply a depth first search to find the DFS tree. Also, write down the stack formed and the table of depth first search index for all vertices.





- Breadth First Search

# Breadth First Search (BFS)

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- Another useful tool- searching technique for a graph
- The BFS visits systematically the vertices of a graph or digraph beginning at some vertex  $r$  of  $G$  also called a root.
- The root is the first active vertex.
- At any stage during the search, all the vertices adjacent from the current active vertex are scanned for vertices that have not yet been visited, that is a “broad” search is performed for unvisited vertices.

# Breadth First Search (BFS)

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- Each time a vertex is visited for the first time, it is labelled and added to the back of a queue.
- Note that a queue is used rather than a stack.
- The current active vertex is the one at the front of the queue.
- As soon as its neighbours have been visited, it is deleted from the queue.

# Breadth First Search (BFS)

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- If the queue is empty and some vertices of the graph or digraph have not yet been visited, we select any unvisited vertex , assign it a label and add it to the queue.
- When all the vertices of the graph have been visited, the search is complete.
- Assume that  $G$  is a graph represented by its adjacency lists.
- Initially, all vertices of  $G$  are labelled 0.

# Breadth First Search (BFS)

- We begin by assigning  $r$ , the label 1 and placing  $r$  on a queue  $Q$ .
- At the next step, we delete  $r$  from  $Q$  and scan its adjacent vertices(if such vertices exist) sequentially in the order in which they appear on the adjacency list for  $r$ .
- The first vertex that appears on the adjacency list for  $r$  is assigned the next available label, namely, 2 and this vertex is then added to the back of the  $Q$ .

# Breadth First Search (BFS)

- We continue to label the vertices adjacent to  $r$  and add them to  $Q$  until the last vertex adjacent with  $r$  is labelled  $\deg(r) + 1$  and is added to  $Q$ .
- We then delete the next vertex from the front of the  $Q$ , say  $w$  and scan its adjacent vertices in the order in which they appear on the adjacency list of  $w$ .
- If a vertex adjacent with  $w$  still has label 0, then we assign it the next available label and add it to  $Q$ ; Otherwise, we do not change its label.



# Breadth First Search (BFS)

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- We continue in this manner until  $Q$  is empty.
- If all the vertices of  $G$  are labelled with a positive integer, we stop.
- Suppose  $G$  still contains vertices labelled 0 which will happen if  $G$  is disconnected.
- Then, we select such a vertex, assign it the next available label and we continue as before.

# Breadth First Search (BFS)

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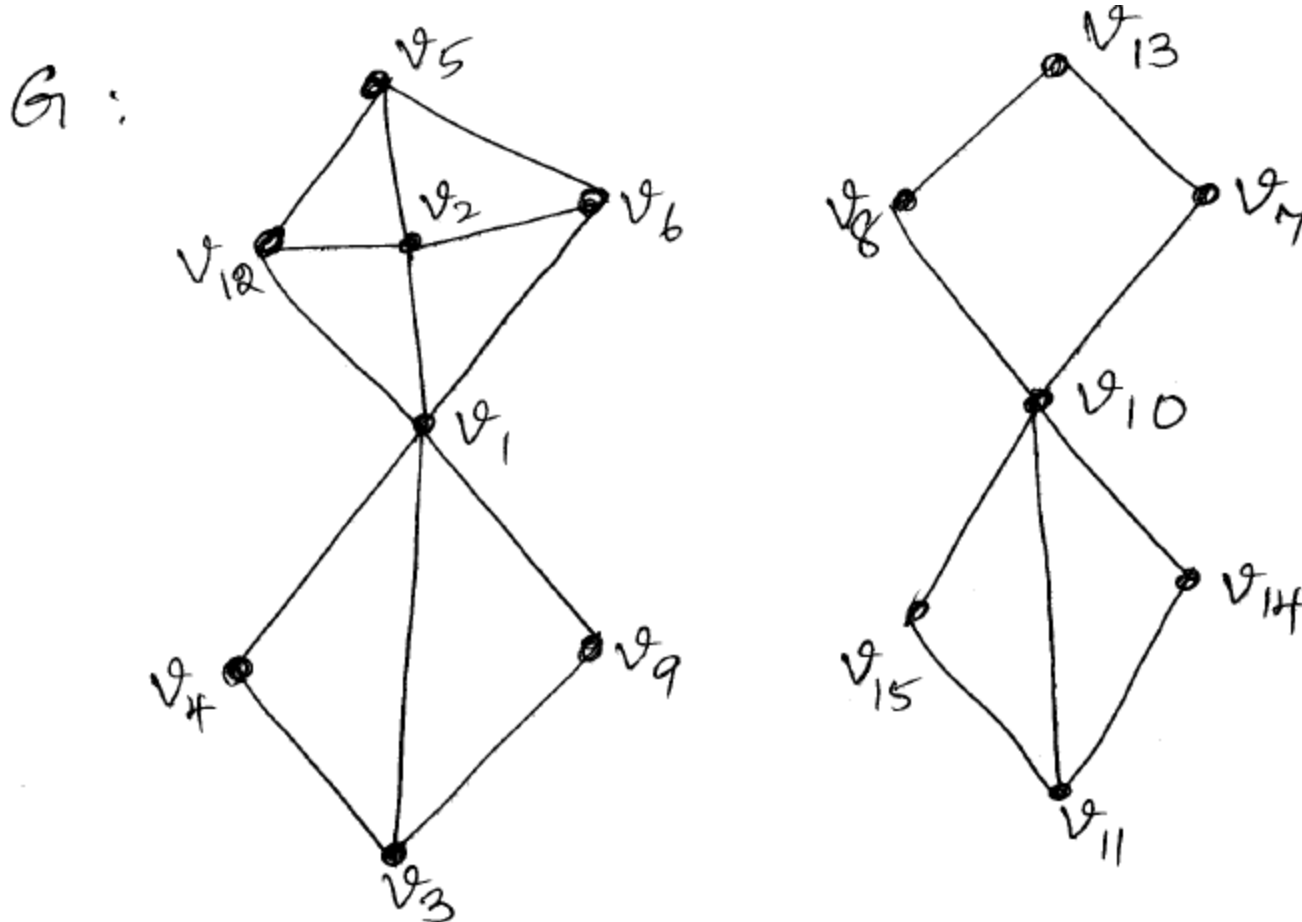
- This breadth first algorithm terminates once every vertex has been assigned a positive integer label.
- This algorithm actually determines a spanning forest  $F$  of  $G$  called a **breadth first search forest** where each component of  $F$  is a rooted tree.
- The root of a component of  $F$  is then the vertex having the smallest label in that component.

# Breadth First Search (BFS)

- Further, an edge  $vw$  of  $G$  is added to  $F$  if either  $v$  is deleted from  $Q$  and  $w$  still has label 0, or  $w$  is deleted and  $v$  still has label 0.
- Time complexity of a breadth first search is  $\Theta(|V|+|E|)$ .

# Example:

- Apply a breadth first search to the graph G.



# Example:

Constructing a BFS forest

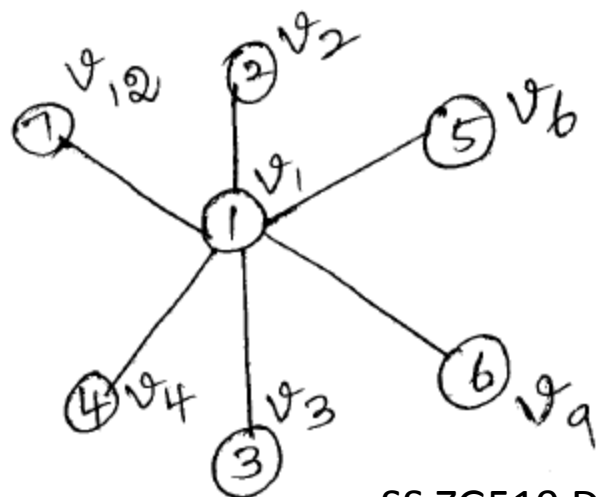
step 1



Queue

$v_1$

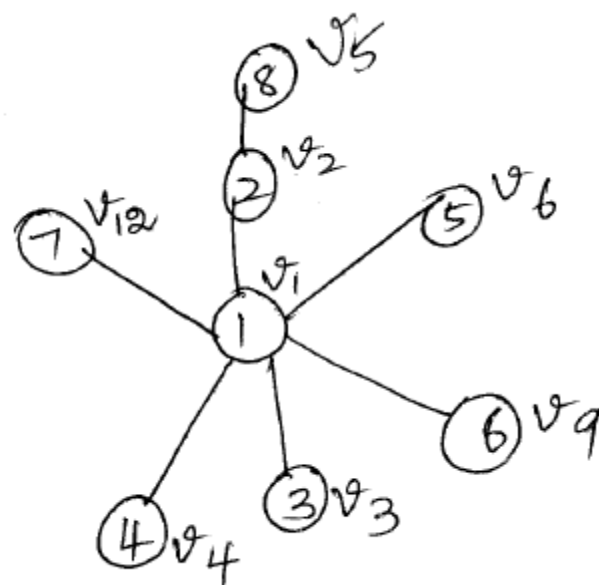
step 2



~~$v_1$~~ ,  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_6$ ,  $v_9$ ,  $v_{12}$

# Example:

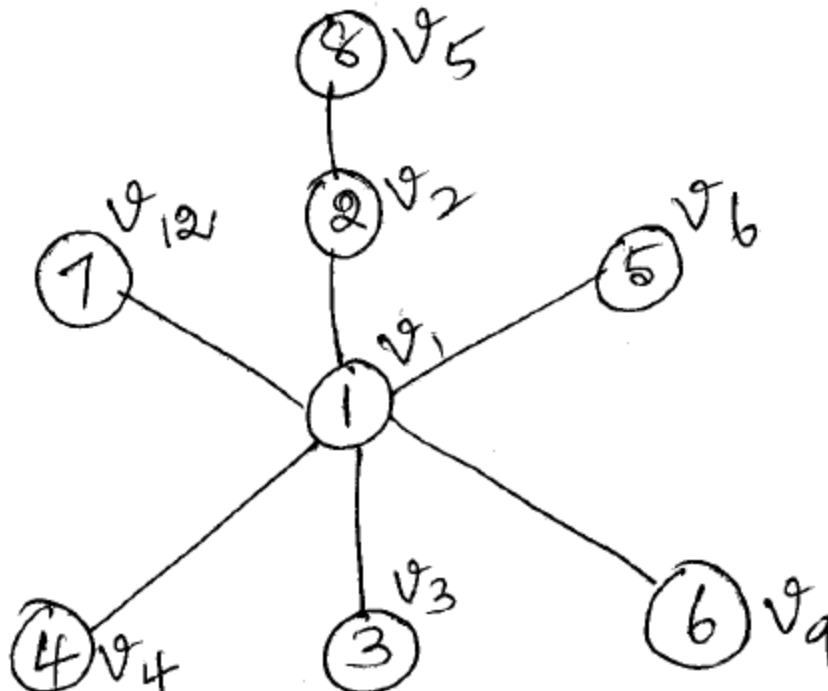
Step 3



~~v2~~ ~~v3~~ ~~v4~~ ~~v6~~ ~~v9~~ ~~v12~~ v5

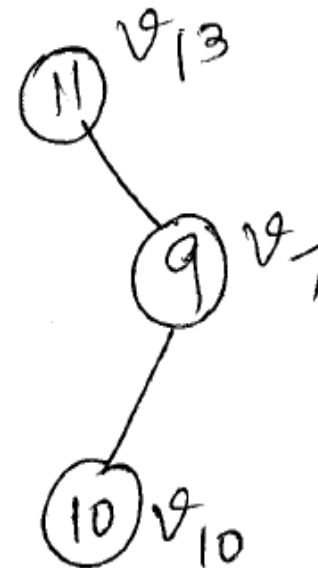
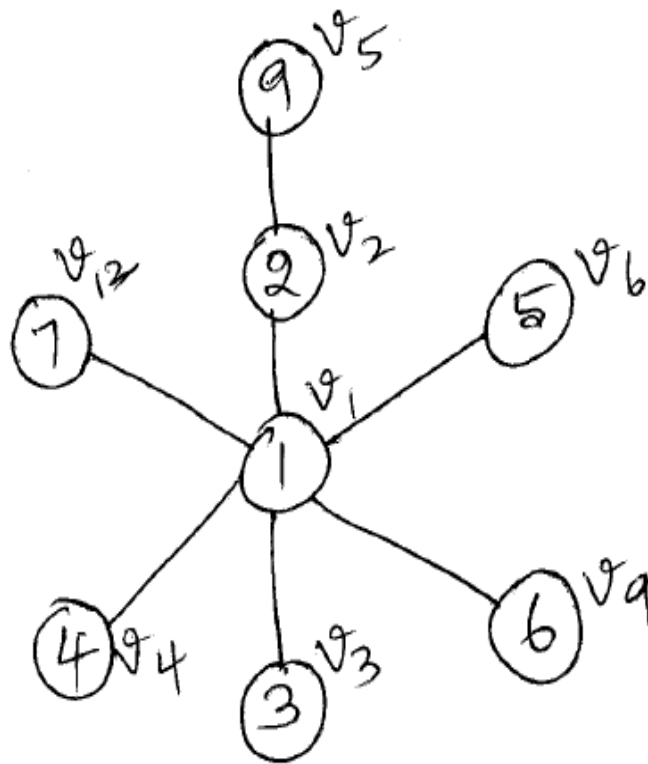
# Example:

Step 4



# Example:

Step 5

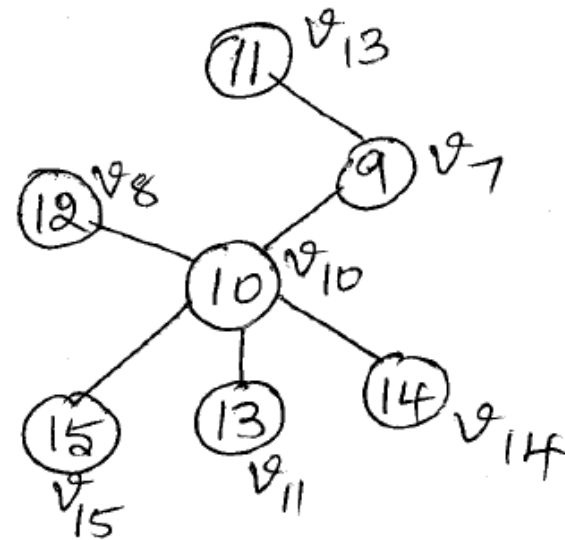
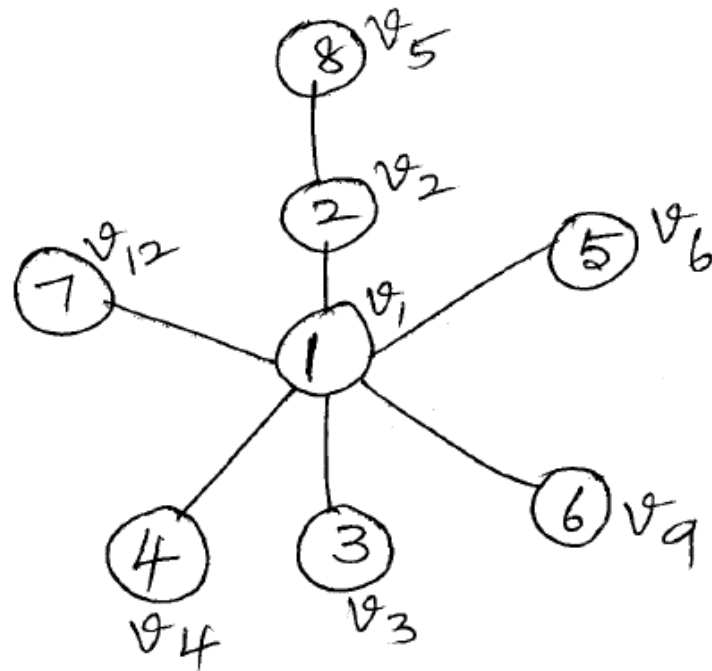


~~v7 v10 v13~~



# Breadth First search forest of G

Step 6

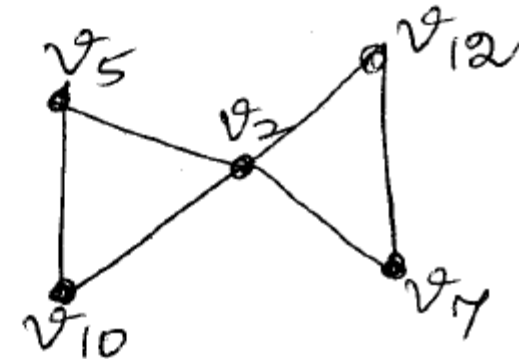
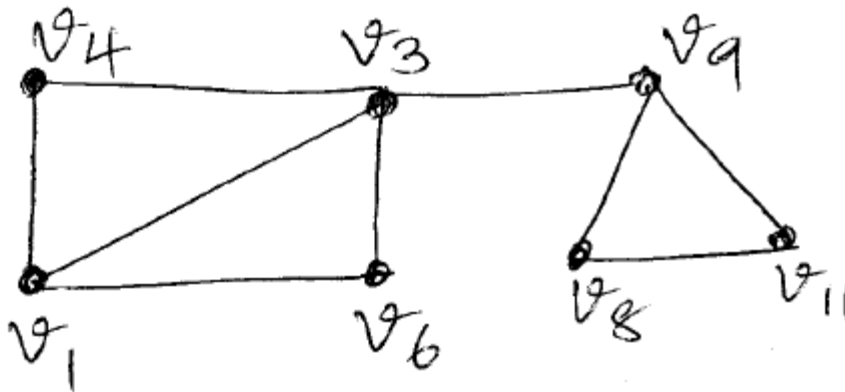


$v_{10} v_{13} v_8 v_{11} v_{14} v_{15}$

# Example

- Apply a breadth first search to the graph G.

G :



# Example

step 1  
constructing a BFS forest

Queue

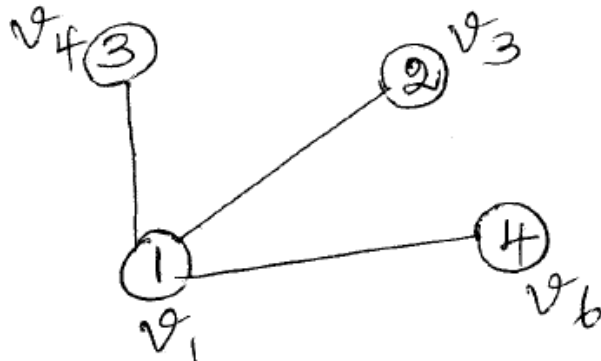
$v_1$

①  $v_1$

step 2

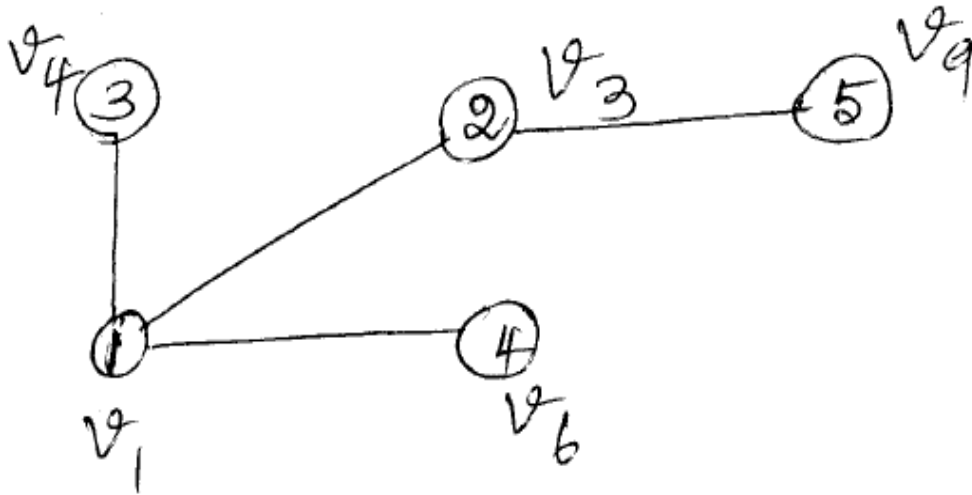
Queue

~~$v_1$~~   $v_3 v_4 v_6$



# Example

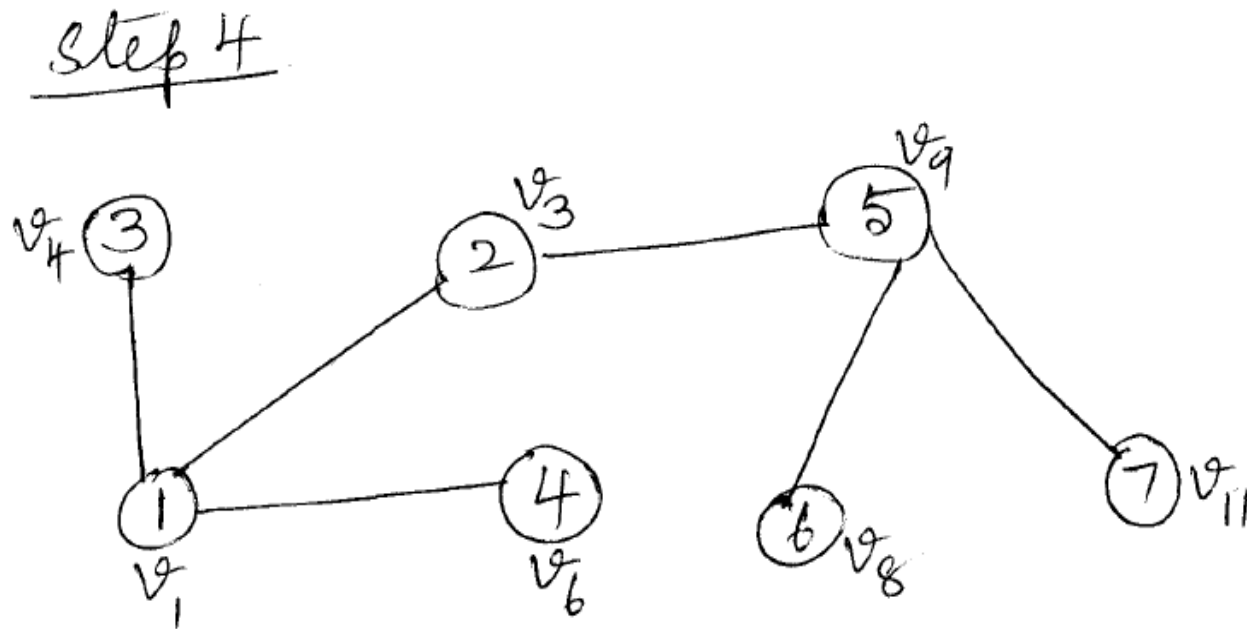
Step 3



Queue

~~v3~~ v4 v6 v9

# Example



Queue

~~v<sub>4</sub>~~ ~~v<sub>6</sub>~~ ~~v<sub>9</sub>~~ ~~v<sub>8</sub>~~ ~~v<sub>11</sub>~~

F<sub>1</sub>

# Example

step 5

F1

⑧  $v_2$

Queue  
 $v_2$



## ■ Minimum Spanning Trees

# Minimum Spanning Trees



- **Kruskal's algorithm**
- **Prim's algorithm**



# Minimum Spanning Trees

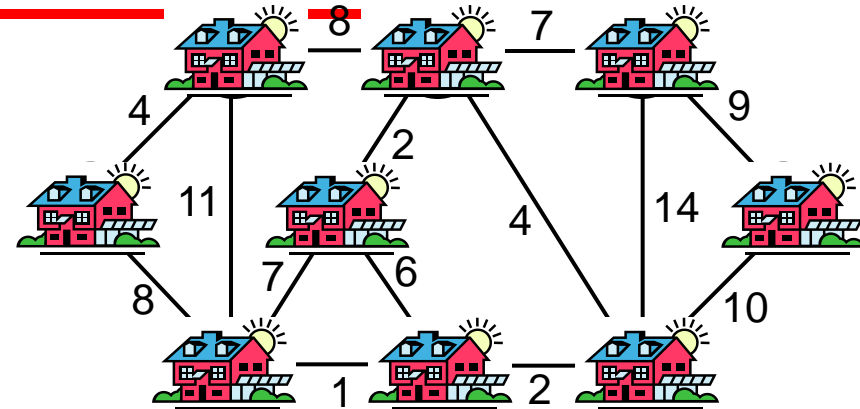
innovate

achieve

lead

## Problem

- A town has a set of houses and a set of roads
- A road connects 2 and only 2 houses
- A road connecting houses  $u$  and  $v$  has a repair cost  $w(u, v)$



**Goal:** Repair enough (and no more) roads such that:

1. Everyone stays connected: can reach every house from all other houses, and
2. Total repair cost is minimum

# Minimum Spanning Trees

innovate

achieve

lead

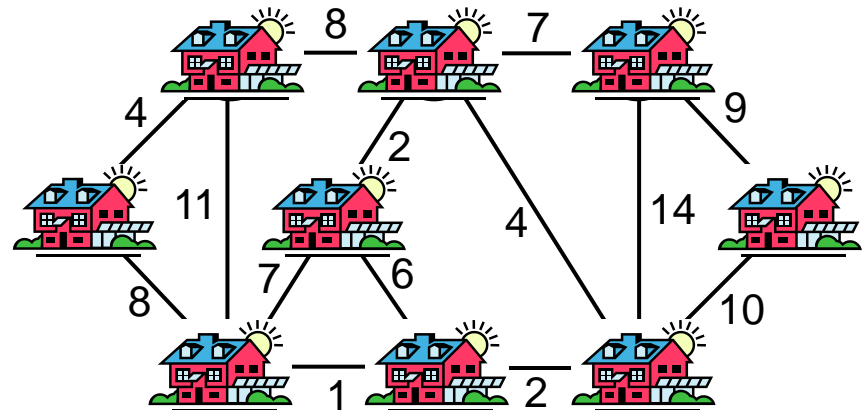
A connected, undirected graph:

- Vertices = houses, Edges = roads

A **weight**  $w(u, v)$  on each edge  $(u, v) \in E$

Find  $T \subseteq E$  such that:

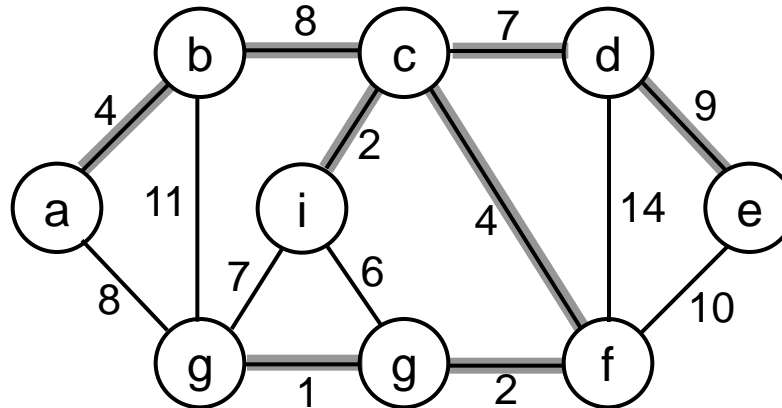
1.  $T$  connects all vertices
2.  $w(T) = \sum_{(u,v) \in T} w(u, v)$  is minimized



# Minimum Spanning Trees



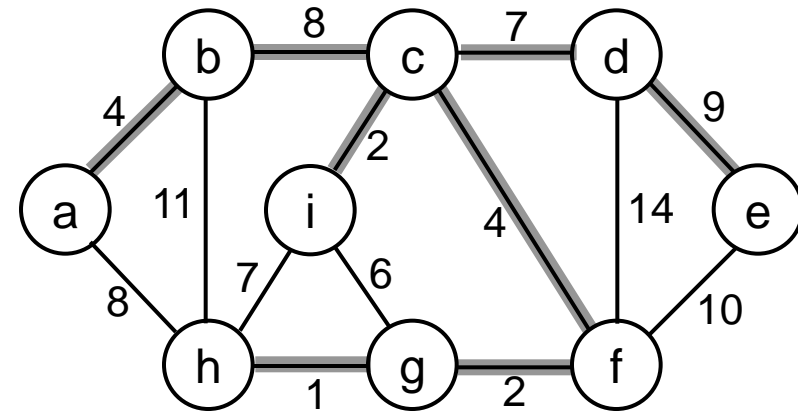
- T forms a tree = **spanning tree**
- A spanning tree whose weight is minimum over all spanning trees is called a ***minimum spanning tree***, or ***MST***.



# Properties of Minimum Spanning Trees



- Minimum spanning trees are not unique
  - Can replace (b, c) with (a, h) to obtain a different spanning tree with the same cost
- MST have no cycles
  - We can take out an edge of a cycle, and still have the vertices connected while reducing the cost
- # of edges in a MST:
  - $|V| - 1$



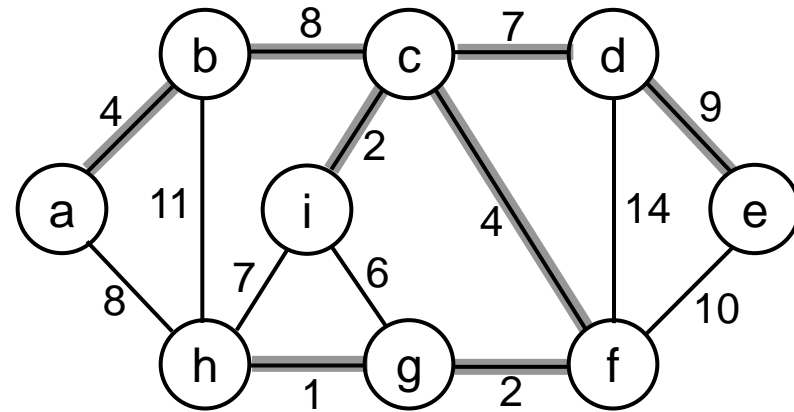
# Growing a MST



- **Minimum-spanning-tree problem:** find a MST for a connected, undirected graph, with a weight function associated with its edges

## A generic solution:

- Build a set  $A$  of edges (initially empty)
- Incrementally add edges to  $A$  such that they would belong to a MST
- An edge  $(u, v)$  is **safe** for  $A$  if and only if  $A \cup \{(u, v)\}$  is also a subset of some MST



We will add only safe edges

# The Minimum Spanning Tree Problem

---

- Suppose that a group of volunteers have entered an under developed country to assist the residents of several villages.
- Telephone lines must be built along some existing roads that connect the villages with each other.
- We wish to erect these telephone lines in such a way that every pair of villages can communicate by telephone.
- Moreover, the total number of miles of telephone line is to be minimised.

# The Minimum Spanning Tree Problem

---

- The problem is to determine along which roads these telephone lines should be erected to produce the desired telecommunication system.
- Constructing such a telecommunications network has obvious graphical overtones.
- We can associate a graph with this situation where each vertex corresponds to a village and an edge between 2 vertices represents a road between the corresponding villages.

# The Minimum Spanning Tree Problem

---

- The length of such a road is indicated in the graph by assigning a weight to the corresponding edge.
- Thus, we have produced a weighted graph  $G$ .
- The weight  $W(H)$  of a subgraph  $H$  of a weighted graph is the sum of the weights of the edges of  $H$ .
- The solution of our problem requires us to find a connected spanning subgraph  $H$  of the weighted graph  $G$  with the least possible weight.



# The Minimum Spanning Tree Problem

---

- The spanning subgraph  $H$  is a tree.
- Thus a desired telecommunications network (having a minimum number of miles of telephone line) corresponds to a spanning tree  $T$  of  $G$  having minimum weight.
- Such a tree is called a **minimum spanning tree**.

# Kruskal's Algorithm

---

- Find a minimum spanning tree(MST) in a connected weighted graph.
- The MST problem was originally stated by Boruvka in 1926 while considering the rural electrification of southern Moravia in Czechoslovakia.
- A number of algorithmic solutions of this problem have been given.

.

# Kruskal's Algorithm

---

- Most famous is due to Kruskal.
- The object of Kruskal's algorithm is to select edges of minimum weight successively from a connected weighted graph without forming cycles until a spanning tree has been produced.
- Running time of Kruskal's algorithm is  $O(|E| \log |V|)$

# Kruskal's Algorithm

## Kruskal's Algorithm

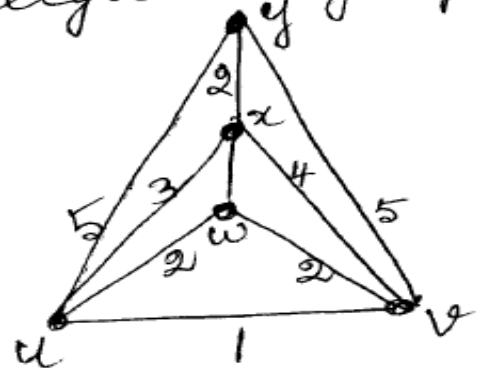
To determine a minimum spanning tree in a nontrivial connected weighted graph  $G$ ,  $(P, w)$

1. [Initialize the set  $S$ , which will consist of the edges of a minimum spanning tree]  
 $S \leftarrow \phi$ .
2. [The set  $S$  is incremented]  
Let  $e$  be an edge of minimum weight such that  $e \notin S$  and  $\langle S \cup \{e\} \rangle$  is acyclic, and let  $S \leftarrow S \cup \{e\}$ .
3. If  $|S| = p-1$ , then output  $S$ ; otherwise return to step 2.

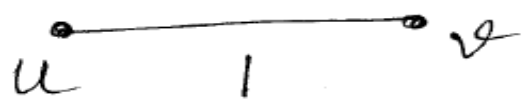
# Example

Example Apply Kruskal's algorithm to the weighted graph  $G$ .

$G$  :



Step 1



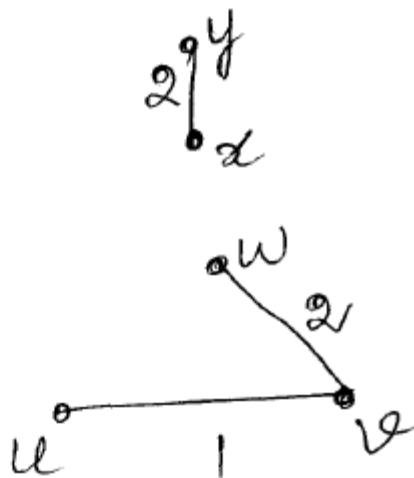
# Example

step 2

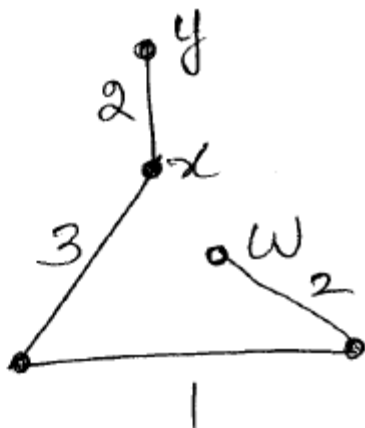


# Example

step 3



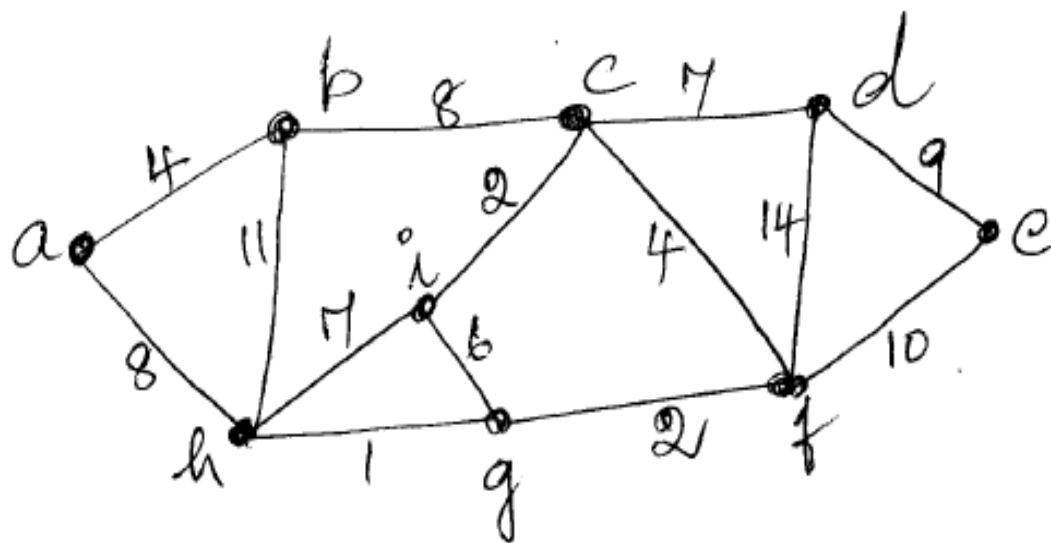
Step 4



is the minimum spanning tree of weight 8.

# Example

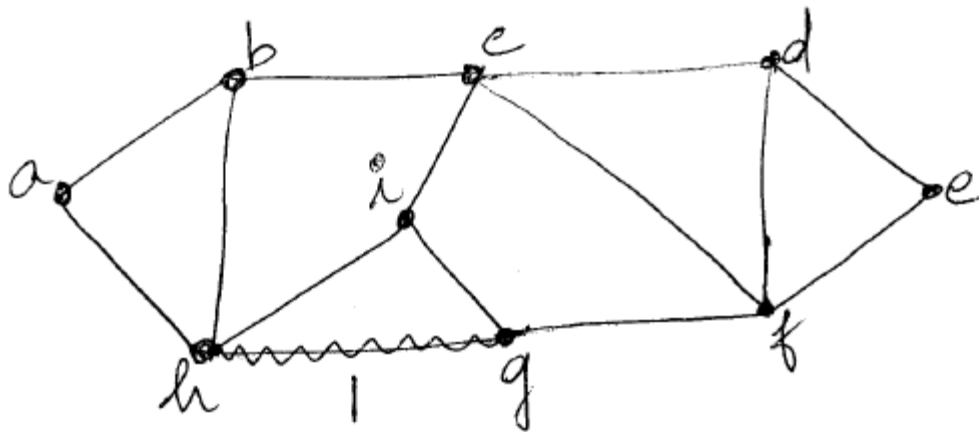
Example Find the minimum spanning tree of the graph  $G$ .



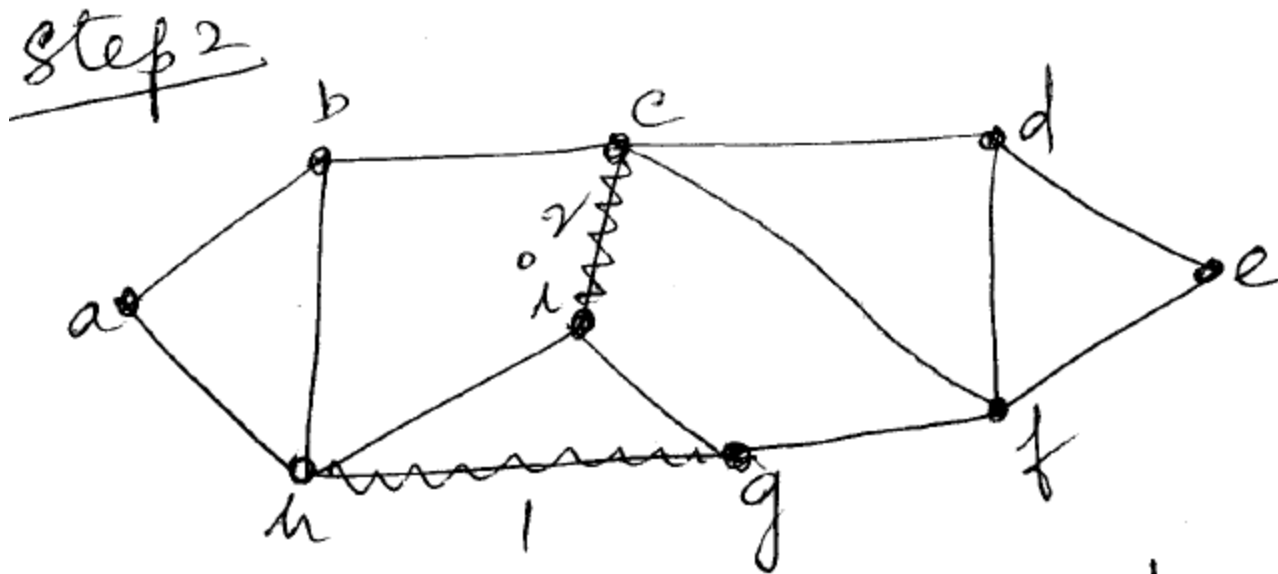


# Example

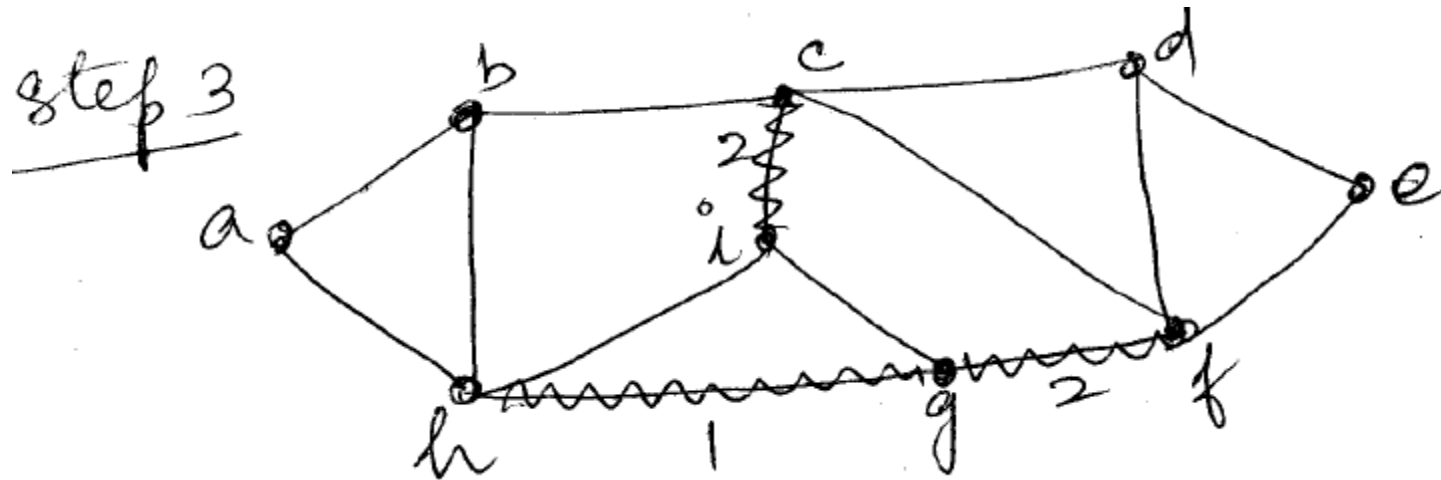
Step 1  
choose  $hg$  which is of least weight



# Example

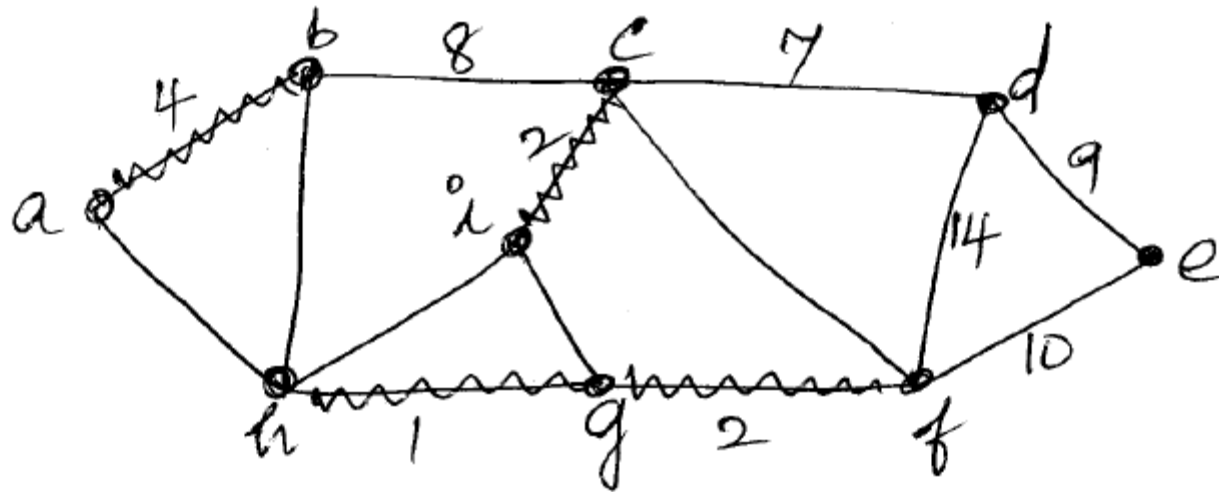


# Example



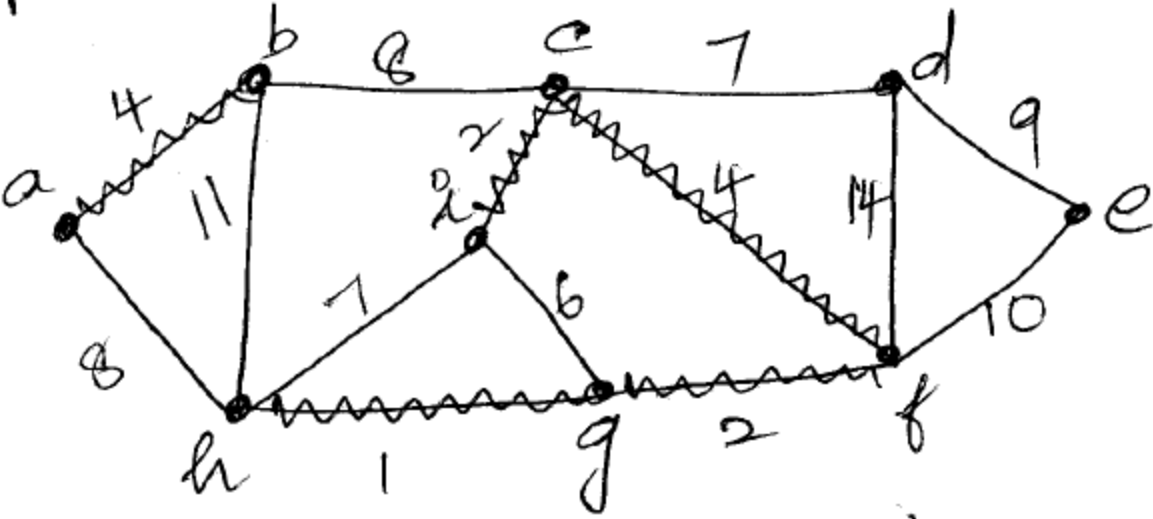
# Example

Step 4



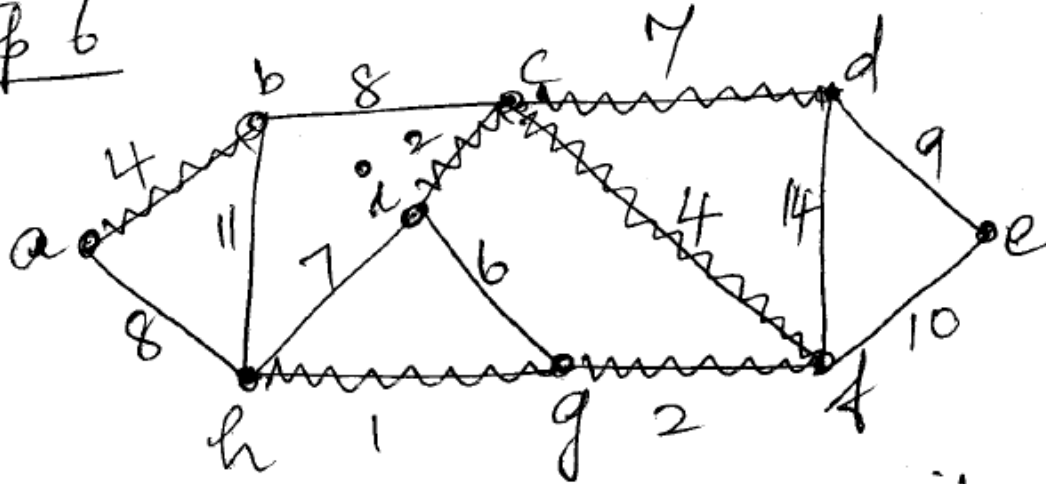
# Example

Step 5



# Example

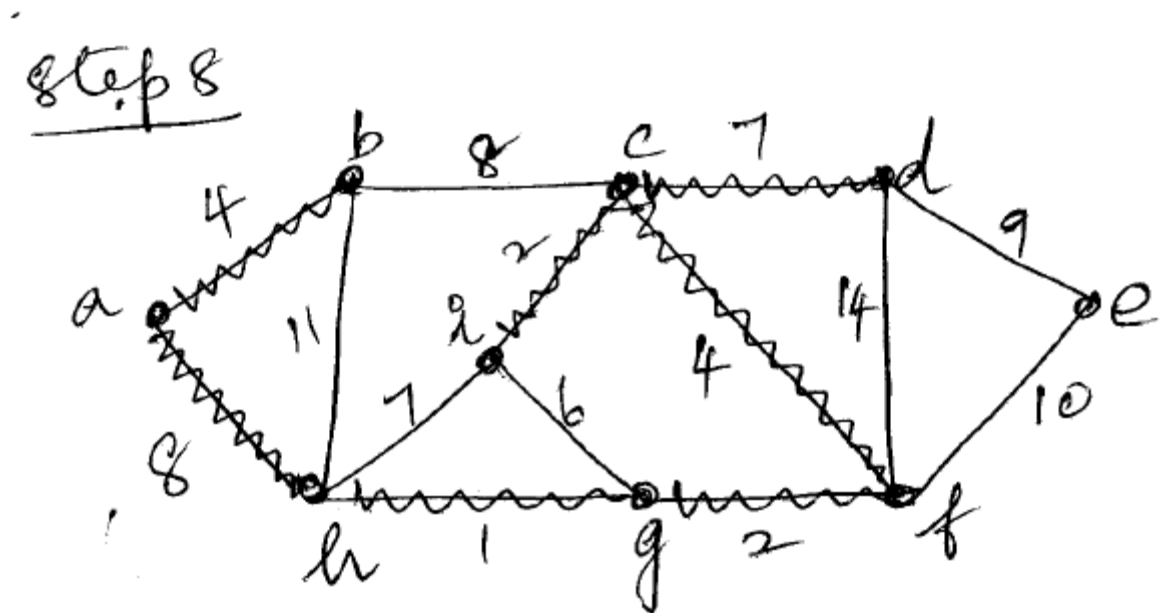
Step 6



ig is not chosen since it forms a cycle with the edges chosen.

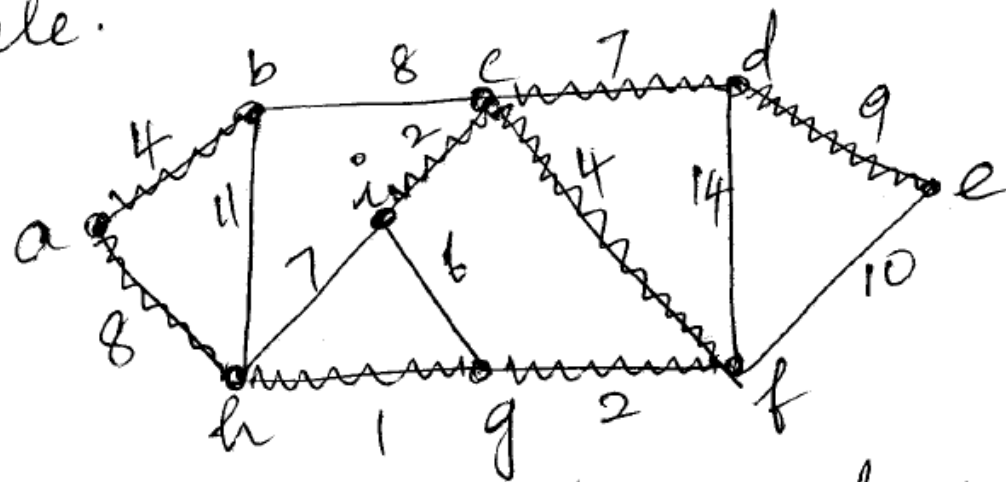
Step 7 cd is chosen. But hi is not chosen since a cycle will be formed.

# Example



# Example

step 9 bc is not chosen since it forms a cycle.



is the minimum spanning tree

since if the other edges like ef, df or bh is chosen, cycle will be formed.  
The weight of the tree is 37.



# Greedy Algorithms

---

- Kruskal's algorithm is a greedy algorithm since it repeatedly selects an edge of minimum weight from the remaining ones, provided no cycle is produced.
- Greedy algorithm is one in which we make the best possible choice at each step, regardless of the subsequent effect of that choice.

# Greedy Algorithms

---

- Choose the best possible solution at every step.
- The choice must be
  1. **Feasible** : it has to satisfy the problem's constraints.
  2. **Locally Optimal**: it has to be the best local choice among all feasible choices available in that iteration.
  3. **Irrevocable**: Once made, the choice cannot be changed on subsequent steps of the algorithm

# Prim's Algorithm

---

- Prim's Algorithm is a minimum spanning tree algorithm.
- To find the MST in a weighted graph.
- This is another example of a greedy algorithm.

# Prim's Algorithm

Prim's algorithm for finding a minimal spanning tree in a weighted connected graph  $G$ .

To get a minimum spanning tree  $T$  starting at a vertex  $u$  of  $G$ .

- (1) Put the vertex  $u$  in  $T$ .
- (2) Now, add edge  $e$  of minimum weight which connects a vertex  $u$  of  $T$  to a vertex which is not in  $T$ .

$$T \leftarrow T + e.$$

- (3) If  $|E(T)| = n - 1$ , output  $T$ , otherwise go to step 2.

# Prim's Algorithm

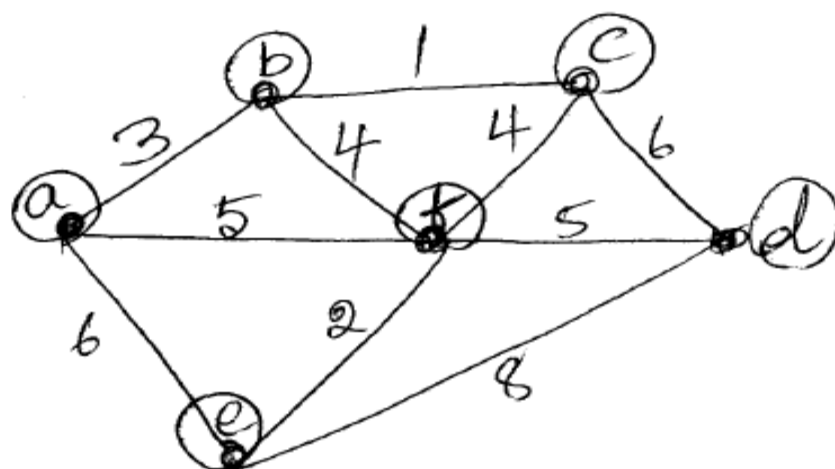
Remark At every stage  $T$  is a tree.

Time complexity :  $O(|E| \log |V|)$

# Example

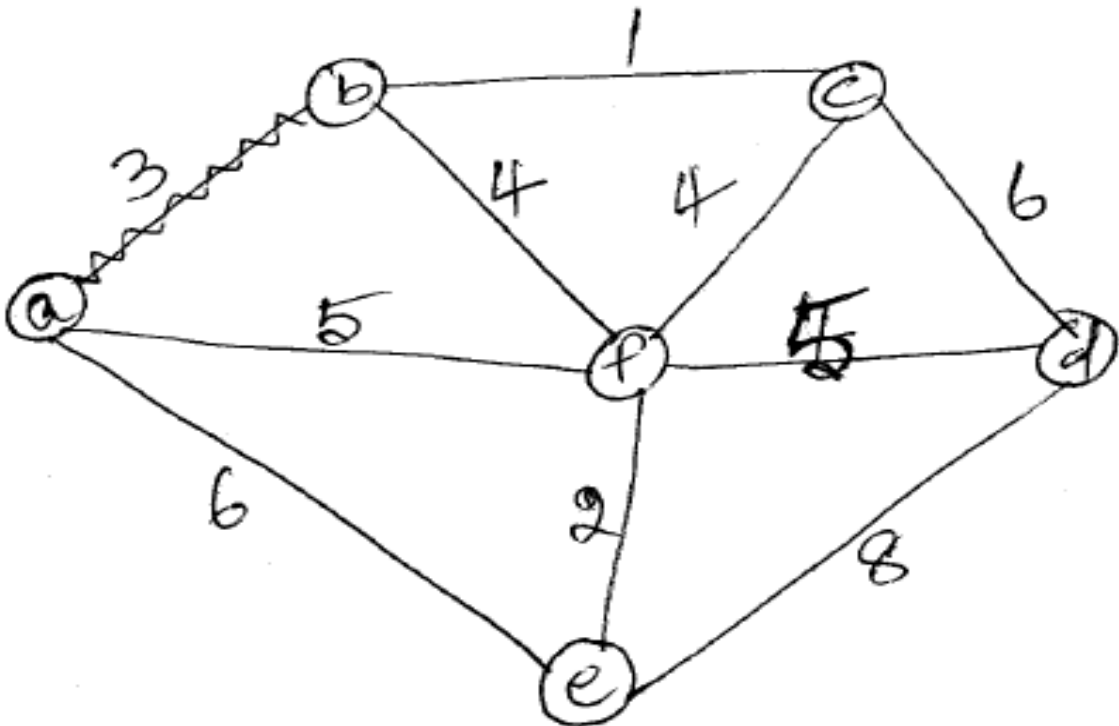
Example : 1

Apply Prim's algorithm to the foll:  
graph.



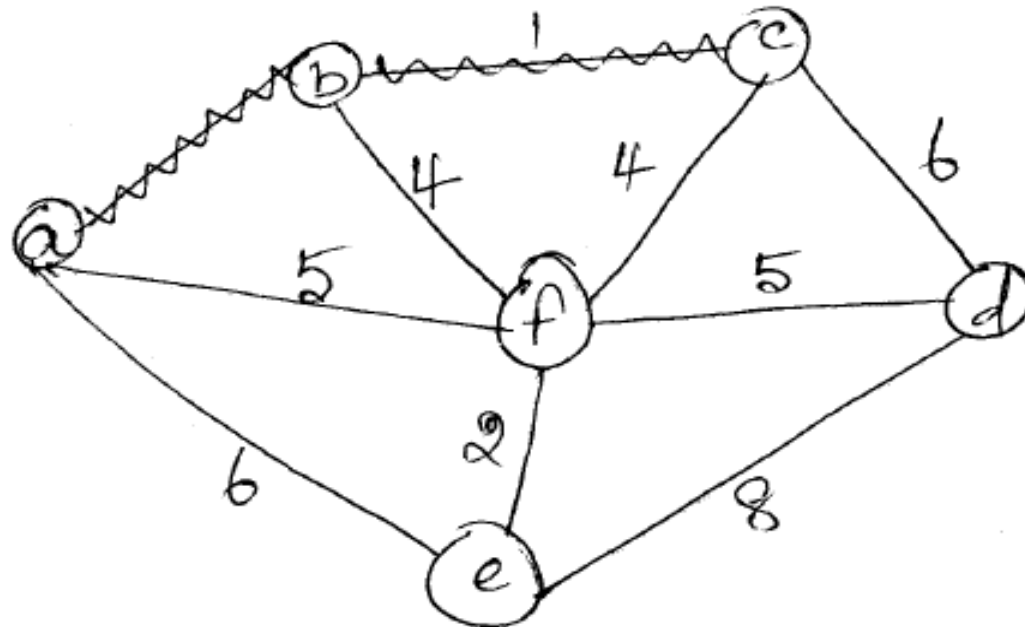
# Example

Step 1



# Example

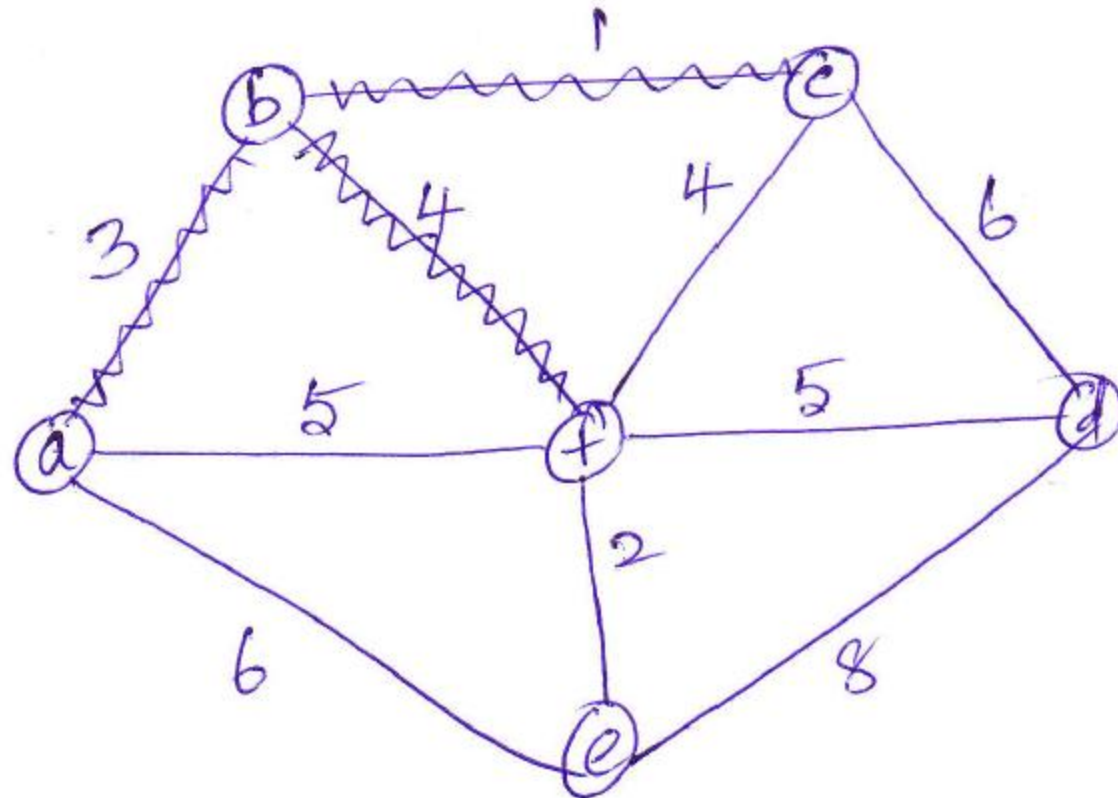
step 2





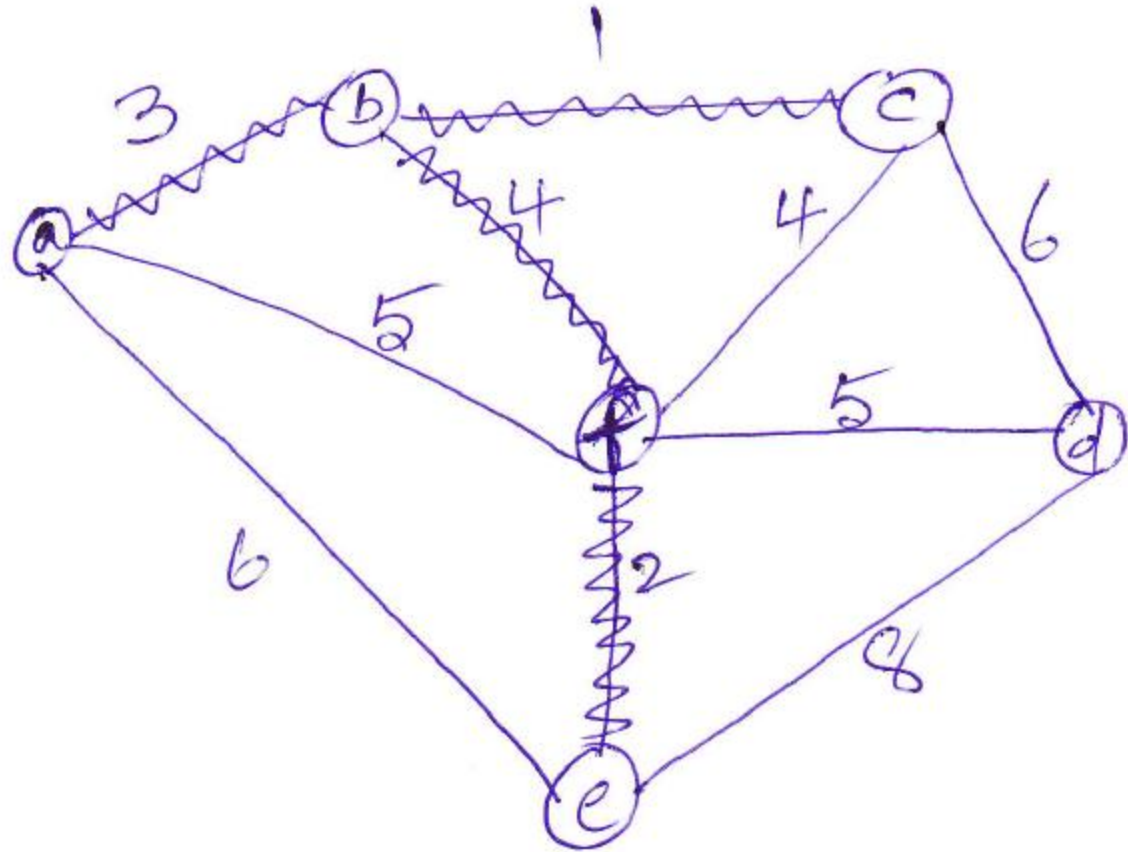
# Example

Step 3



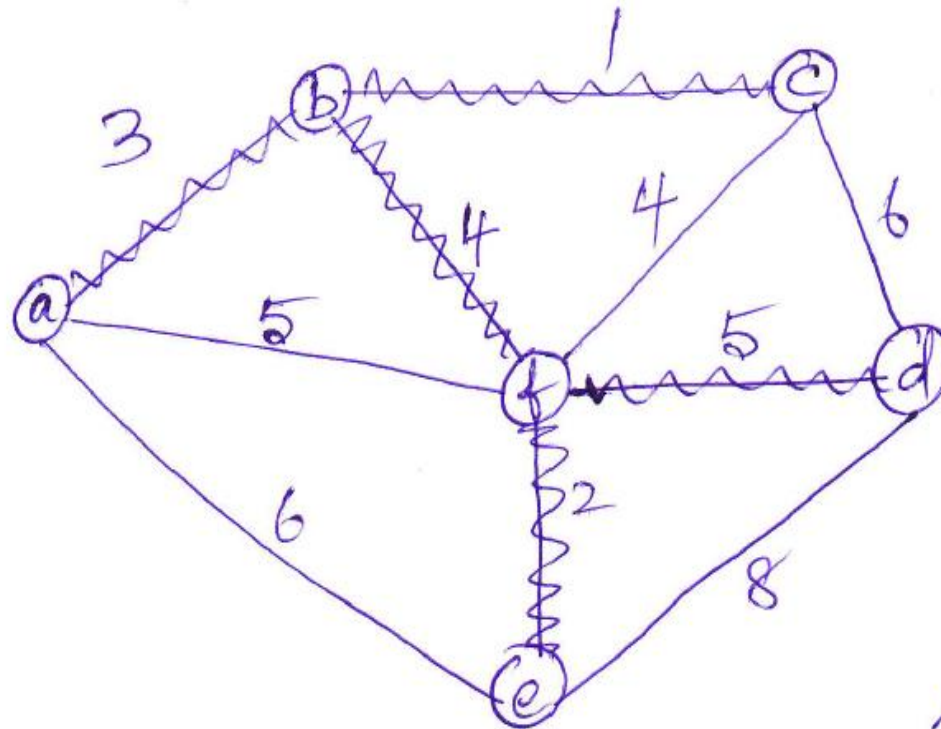
# Example

Step 4



# Example

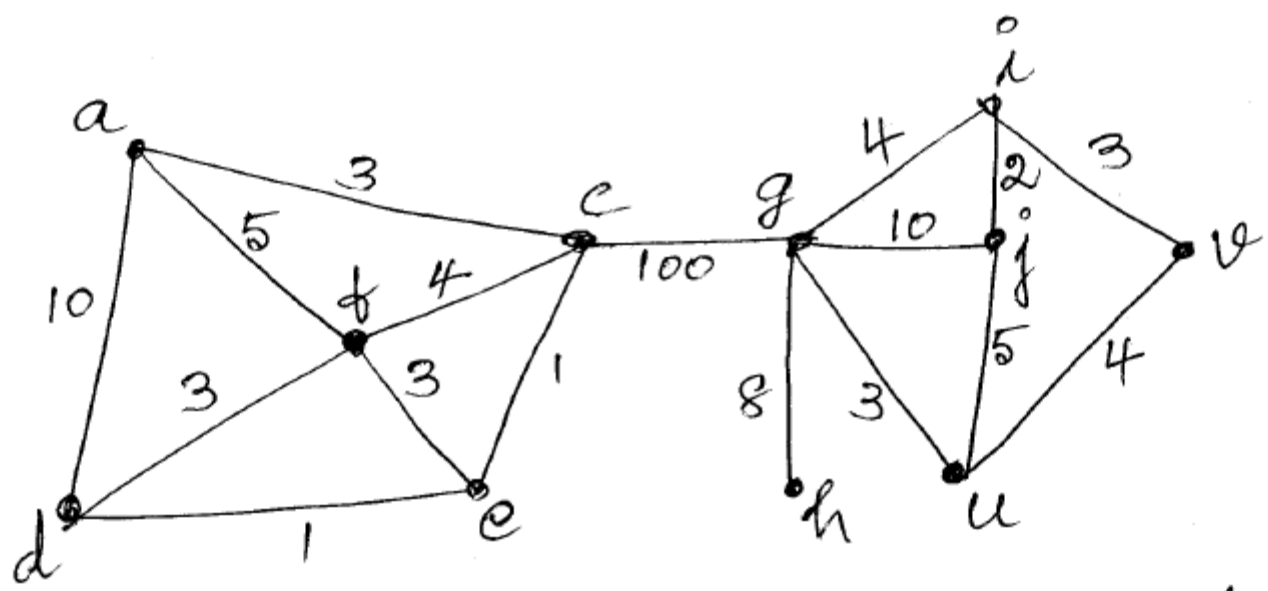
Step 5



MST:  
of weight  
15.

# Example

Example - 2



Find a minimum spanning tree T starting at vertex j.

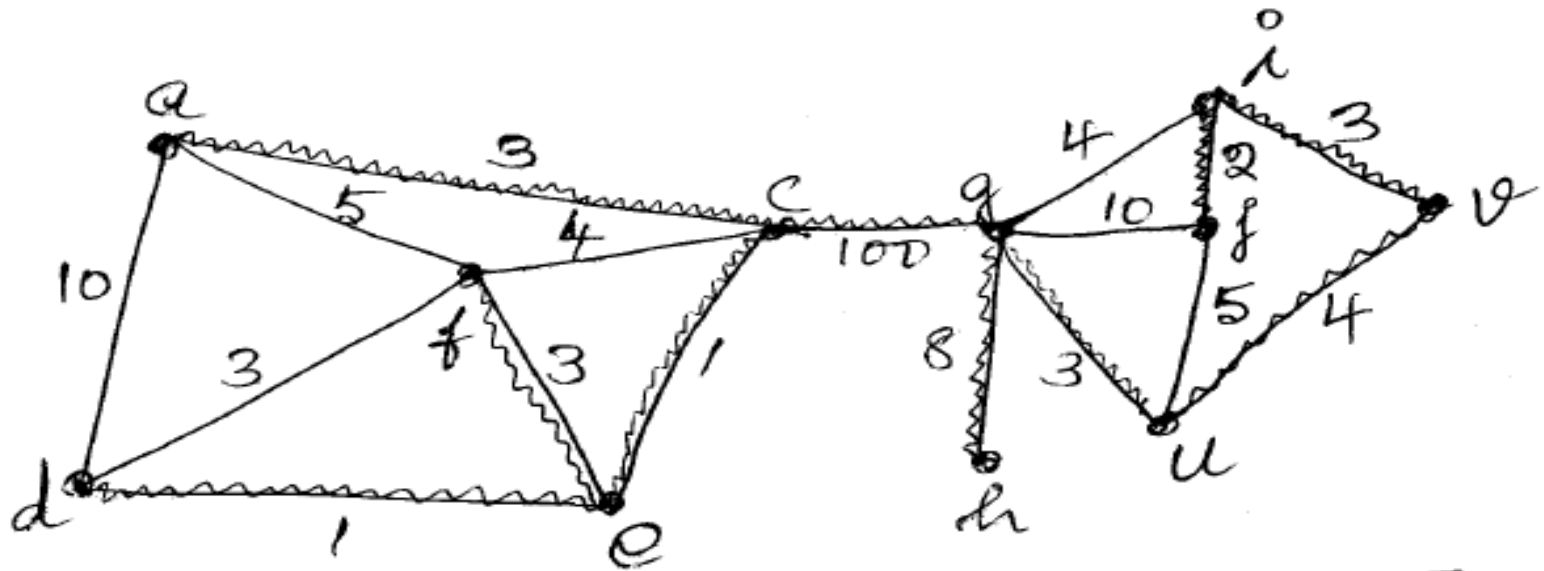
# Example

Iteration	Edge set (T)	Weight of Tree T
<u>I</u>	$\{ji\}$	2
<u>II</u>	$\{ji, iv\}$	$2+3=5$
<u>III</u>	$\{ji, iv, vu\}$	$5+4=9.$
<u>IV</u>	$\{ji, iv, vu, ug\}$	12
<u>V</u>	$\{ji, iv, vu, ug, gh\}$	20
<u>VI</u>	$\{ji, iv, vu, ug, gh, gc\}$	120
<u>VII</u>	$\{ji, iv, vu, ug, gh, gc, ce\}$	121

# Example

<u>VIII</u>	{ ji, iv, vu, ug, gh, gc, ce, ed }	122
<u>IX</u>	{ ji, iv, vu, ug, gh, gc, ce, ed, ef }	125
<u>X</u>	{ ji, iv, vu, ug, gh, gc, ce, ed, ef, ca }	128

# Example



Minimum spanning tree T.