Caleb Ebuehi, PCI-QSA, PCIP, MBA, CISA, CIPP/IT

Currently in Silicon Valley (Consultant) | Mobile: (480) 809-7356 | caleb.ebuehi@gmail.com

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Highly motivated, certified, Big 4 experienced, degreed, technical and versatile senior Consultant with many years of information security and risk compliance experience in program managing IT risk compliance and improving HIPAA, HITRUST, PCI, IT/business processes and controls.

Responsible for managing projects with contingencies from conception to completion; IT Compliance, HIPAA, SOX ITGC, PCI-DSS assessments, payment applications, technical PCI QSA Compliance/audits, Risk Assessment, SOC, performing IT General Controls, SSAEs, SOX audits, PKI audits, risk assessment, risk management, Disaster Recovery Planning, Business Continuity Planning and data privacy compliance audits.

Also responsible for overseeing staff, budget and project management and involved in documenting, remediation and testing of IT security, business and regulatory-related controls; as well as the interacting with a variety in variety of private and publicly traded Fortune 500 clients in Financial, Banking, Healthcare, Retail, Mortgage, Biotech, Automotive, Prescription Benefit Management, Entertainment, Manufacturing and Technology industries.

Possess skills in building effective and productive working relationships with clients, team and business units. Management skills include project planning, execution, monitoring and supervision of resource and budgets. Open line of communication to management and team members is maintained through the use of writing and soft skills. Additional skills include proficiency with small or large teams by earning their trust and respect. Open to travel

EDUCATION

University of Arizona Scottsdale, AZ & Tucson, AZ
   Master of Business Administration – 2010
University of La Verne   La Verne, CA
   Bachelor of Science: Computer Science – 2003

CERTIFICATIONS

Certified Information Systems Auditor (CISA)
   CISA Certification – 2008
Payment Card Industry Qualified Security Assessor (PCI-QSA)
   PCI QSA certification – 2011 (up for renewal/re-certification)
Certified Information Privacy Professional/Information Technology (CIPP/IT)
   CIPP/IT certification – 2012
Payment Card Industry Professional (PCIP)
   PCIP certification – 2013

QUALIFICATIONS AND SKILLS

▪ Risk Management	▪ PCI-DSS	▪ Network Security
▪ Defense in Depth	▪ C-I-A Tenets/Triad	▪ Threat Analysis
▪ Risk Assessments	▪ Threat Vulnerability Mgmt	▪ IDS/IPS

- Regulatory – SOX, HIPAA, FFIEC, FIPS NERC and GLBA
- Industry – PCI-DSS, PA DSS, ISO 27001/27002, COBIT, COSO, SSAE16, HITRUST, ITIL, SOC and NIST
- Software –   Microsoft (Word, Excel, Access, Visio, Power Point & Project), Protivti Portal, GRC;
- Hardware –   PC, Apple, Open Source, Proprietary and mobile devices
- Operating Systems –   Windows (2000, ME, NT, XP, Server, Vista & 7) Linux (RedHat, CentOS), Mac
- Database –   Oracle, MySQL, Amazon EC2, Cloud and MSSQL
- Network – LAN, WAN, Firewall, Routers, Load balanacers, Switches and TCP/IP
- Applications –   NESSUS, Symantec Enterprise Antivirus, CISCO Pix, RSA, Checkpoint Firewall, VMware, etc
- Web – HTML, PHP, ASP, mobile technologies
- Detail oriented and resourceful, with a strong commitment to superior customer service and team
- Self-motivated with the ability to work and communicate efficiently and effectively in teams or independently, and make well informed decisions

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WORK EXPERIENCE

Zaria Security Group   Phoenix, AZ
Senior Risk Management Consultant   2011 – 2012; 2013 –   Present
Manage complex projects for multiple clients including: Compliance Assessor, Risk Assessment, SOX ITGC, VRM, PCI-DSS Assessment, HIPAA Compliance, Risk Management, Privacy Assessments; Payment Card Industry (PCI) Assessments; Policies, Procedures, Vulnerability Management, Standards & Guidelines development; and Application Security Consultations.

- Conducted SOX, SOX ITGC, PCI, VRM, SSAE-16, SOC 1, SOC 2, SOC 3, PKI, GRC, Cloud Security, HIPAA Security Rule and HiTECH CSF Assessments for Fortune 500 clients.
- Compliance Assessor to internal technology and operations teams in a Trusted Advisor capacity to assist their understanding of internal and external security and audit requirements related to industry and regulatory compliance.
- Assist internal teams on remediation gaps from compliance assessment by providing requirements and design input to technical and process improvements
- Assist in educating affected teams on compliance and how to be compliant; includes providing process improvement recommendations
- Provide subject matter expertise related to compliance requirements to internal development and operations teams to ensure the internal teams are able to maintain regulatory compliance when modifying or implementing applications within compliance environment.
- Performed risk assessment and advised clients on risk assessment remediation.
- Plan, Design, Conduct IT and Comprehensive Risk Assessments show client comprehensive look at their environment comparing to industry and regulatory standards.

Trustwave   Chicago, IL
Information Security Consultant   2012 - 2013
Manage complex projects for multiple clients including: PCI QSA, Risk Assessment, Risk Management, Privacy Assessments; Payment Card Industry (PCI) DSS Assessments; Policies, Procedures, Standards & Guidelines development; and Application Security Consultations.

- Qualified Security Assessor (QSA) to internal technology and operations teams in a Trusted Advisor capacity to assist their understanding of security and PCI requirements related to their applications.
- Assist brands with remediation planning by providing requirements and design input to technical and process improvements
- Assist in educating clients on PCI and how to be compliant; includes providing process improvement recommendations
- Provide subject matter expertise related to PCI requirements to internal development and operations teams to ensure the brands are able to maintain PCI compliance when modifying or implementing applications within the cardholder data environment.
- Plan, Design, Conduct IT and Security Comprehensive Risk Assessments show client comprehensive look at their environment comparing to industry and regulatory standards.
- Review, facilitate, delivery of IT Security Controls around PCI-DSS, SSAE16, Service Organization Controls

Go Daddy Software Inc.   Scottsdale, AZ
IT Auditor   / Manager
Responsible for providing objective assurance, compliance and advisory services to improve the internal control environment and mitigate risk of multi-billion dollar company; Performed Business Continuity and Disaster Recovery Assessment; interacted and led IT, business operation and financial management personnel and achieved enterprise-wide compliance initiative; Assisted with managed security services; Successfully managed regulatory compliance audit (PCI-DSS, QSA, SOX, SAS-70, PKI, GLBA, HIPAA and more) from conception to completion.

WORK EXPERIENCE (Continued…)

- Audited, Assessed, annual audit plan of 300+ key enterprise-wide key areas across multiple business units. Drilled down in specific areas and reviewed over 2000 checkpoints.
- Gathered supporting items in regards to audits: scoped, project planned, interviewed, documented, gap analyzed compared to industry standards.
- Planned and assisted with audit and assessment plans, PCI-DSS, SAS-70 Type I & II, PKI, SOX, examined IT processes, applications, and infrastructure and evaluated and recommended finding to eliminate and reduce security related risks.
- Assisted with internal and external auditor in completing compliance requirements.
- Performed risk assessment established and maintained a unified IT compliance framework to address compliance with internal policies and external regulations.
- Worked in fast paced environment, prepared report findings, and presented recommendations to management and executives on how improve internal control and processes in timely manner.
- Provided innovative control automation techniques to reduce the cost of compliance, by 20%
- Assisted with Intrusion Detection System (IDS) evaluation guide and a supplement to guide clients in determining whether they should consider selection of an Intrusion Prevention System (IPS).
- Reviewed perimeter security configurations, host based security configurations, IDS rules, and provided guidance on a layered security model for company.
- Proactively monitored coming threats against infrastructure; Determined and implemented mitigation strategies when patches not available or applicable.
- Reviewed security configurations, conducted a policy & procedure review, and re-designed the Incident Response Plan
- Reviewed security patches for all systems: Windows, Linux, and third party applications.
- Analyzed networks and planned segmentation where it would most reduce scope of attacks.
- Conducted risk assessments, including data, system, network and personnel.
- Analyzed and recommend technologies and vendors for internal and client environments (Intrusion Detection, etc)
- Communicated weekly reports and held meetings with key department leaders to ensure steady progress on security and compliance initiative

Product Sponsorship Team Manager   2008 – 2010
Assisted Product Manager of the Productivity applications (email, calendar, etc) and assisted with product planning and product marketing, which included managing the product throughout the product lifecycle, gathering and prioritizing product and customer requirements, defining the product vision, and working closely with product and sales team to deliver winning products.

- Created business plan, risk assessment, secure software development plan and influenced buy-in to expand product offering into new revenues of $500 million
- Expanded and redefined the strategy and roadmap of operations products and strategized pricing to meet revenue and profitability goals
- Delivered Market Requirements Documents and Product Requirements Documents with prioritized features and corresponding justification and met with sales, marketing and support to ensure revenue and customer satisfaction goals and met company's overall strategy and goals.
- Developed and motivated and managed two persons high-performing team.

<u>City of Phoenix</u>   Phoenix, AZ
Auditor II   2007 – 2008
Conducted complex fiscal PCI-DSS, compliance, operational, IT and security audits of City departments and other governmental units and analyzed process controls and determined compliance with Federal, State and City laws and regulations

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WORK EXPERIENCE (Continued…)

- Planned, organized and conducted audits requiring concurrent coordination with multiple departments;
- Interviewed process owners, tested control processes, documented findings, and showed department heads how to improve processes, controls and risk and saved million.
- Executed strategy to improve operations, decrease turnaround times, streamline work processes, and work cooperatively and jointly to provide quality seamless customer service and increased customer service rating by 30%
- Discovered major issue that went undetected in previous years, saving hundreds of hours in productivity
- Created flowcharts process narratives, risk control matrices for Personnel, Transportation and IT departments.
- Performed Analysis on current environment and business functions systems impacting financial statements
- Prepared planning memo, audit plans test scripts, sampling, implement testing of controls and audit report
- Identified gaps suggest and recommend the remediation of the issue findings

Ernst & Young   Phoenix, AZ
Senior Consultant   2004 – 2007
Consulted, Coordinated and performed compliance (bank reviews and regulatory IT, security audits of a complex nature with Fortune 1000 high-growth clients in Banking, Healthcare, Mortgage, Biotech, Automotive, Prescription Benefit Management, Entertainment, Manufacturing and Technology industries; Showed executives a comprehensive look at their business, security and IT systems and provided recommendations on how they might improve their processes, controls and mitigate risk. Clients included First Data, Echo Star (Dish Network), Amgen and more.

- Selected to assist a Fortune 100 entertainment client identify, contain, eradicate, and recover from a financial systems failure. Guided efforts, developed project strategy, and monitored the execution of the strategy.
- Demonstrated and apply a thorough understanding of complex information systems and identified a major third-party IT error that resulted in client saving $12 million USD annually
- Managed multiple engagements (ITGC, IT SOX, IT Risk Assessment, etc), with multiple clients (healthcare, energy, pharmaceutical, financial services, etc).
- Maintained a strong client focus by effectively serving client needs and developing productive working relationships with client personnel, which increased additional revenue by 30%.
- Delivered high-quality client service by directing the daily progress of fieldwork and informing Leads of engagement status with minimal supervision and was highly commended by management.
- Provided over Sarbanes – Oxley related IT services. These services included: outsourced Project Management, outsourced Internal Audit services, process documentation, process re-design, process design, control identification, control re-design, control design, procedure documentation, procedure training, test plan creation, test plan execution, test management, monitoring, process improvement, and executive reporting
- Identified sales opportunities and developed relationships with clients.
- Performed process walkthroughs; performing internal controls testing
- Evaluated the adequacy of the clients systems and related internal controls
- Assisted in developing remediation plans with key process owners
- Tracked remediation plans and performing follow-up procedures; and provided timely reporting to the management
- Demonstrated a high level of professionalism and the ability to manage multiple projects simultaneously
- Developed and maintained productive relationships through individual contacts and group meetings
- Identified key ITGC Controls for Change Management, Physical and logical security and Operations domains
- Created flowcharts, process narratives, risk control matrices for change management, physical & logical security
- Created flowcharts, process narratives, risk control matrices for Operations and Configuration Management
- Recommend and coordinated IT SOX requirements and supported Implementation

WORK EXPERIENCE (Continued…)

PRG-Schultz Intl   Los Angeles, CA
Recovery Business Analyst   2003 – 2004
Created, customized interactive management reports for Fortune 500 clients in the Retail industry; showing management a detailed look at their business, and IT systems and collective wisdom on how they can improve their business processes and controls.

- Increased bottom-line for major Fortune 500 clients by identifying, forensic analysis, data analysis and documenting overpayments of over $20.5 Million USD;
- Supervised the preparation of over-payment claim correspondence to major vendors and coached staff, which clarified procedures and increased consistency
- Conducted quality control and assurance and control over procure-to-pay and over-payment cycle by comparing and testing data for accuracy ensuring over 98% accurate billing
- Improved company's proprietary software by simplifying/eliminating redundant systems and processes; increased the reliance on our systems by 110%
- Reviewed and analyzed financial reports and transaction databases to assess accuracy and completeness.
- Reviewed internal control over purchase and payment cycle and identified root causes of payment errors.
- Communicated results of audit to management, recommended areas of improvement, and followed up on recommendations to make sure suggestions were implemented.

MEMBERSHIPS
- Arizona Technology Council
- Information Systems Audit and Control Association – ISACA
- Information Systems Security Association – ISSA
- Sonoran Desert Security Users Group
- Infragard – Public Private FBI partnership
- Payment Security Standards Council