Calculation of Vertical Stability in an Inverse Aspect-Ratio Expanded Tokamak Plasma Equilibrium

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I.   INTRODUCTION

It is well known that increasing the net toroidal plasma current in a tokamak leads to an increase in both the maximum stable β and the energy confinement time.[1,2] The conventional method of maximizing the total plasma current, without degrading the stability of the plasma to non-axisymmetric magnetohydrodynamical (MHD) modes, is to modify the plasma's poloidal cross-section such that it is both vertically elongated and triangular.[3] Unfortunately, tokamak plasmas possesing strong cross-section shaping are subject to severe axisymmetric instabilities.[4,5] Such instabilities involve bulk vertical motion of the plasma on an Alfvénic timescale (i.e., 10^{-7} s), which results in the sudden and violent termination of the plasma discharge when it comes into contact with the first wall. It is possible to stabilize an axisymmetric mode by placing a perfectly conducting wall around the plasma. The mode remains unstable if the wall possesses finite electrical conductivity,[6] but its growth time is increased from an Alfvénic timescale to the much longer characteristic L/R time of the wall.[7-9] Usually, the vacuum vessel plays the role of the wall, and has an L/R time in excess of 10^{-3} s. Such a time is much shorter than the length of the plasma discharge, but is still long enough to allow active feedback stabilization of the axisymmetric mode with practical power supplies.[10]

Ref. 11 describes the TJ toroidal tearing mode code, which calculates the stability of an inverse-aspect ratio expanded tokamak plasma equilibrium to *non-axisymmetric* tearing modes via asymptotic matching techniques. Ref. 12 describes a generalization of the TJ code that permits it to calculate the stability of the plasma to non-axisymmetric ideal modes in the presence of a perfectly conducting wall that surrounds the plasma. The aim of this paper is to describe a further generalization the TJ code that allows it to calculate the stability of the plasma to *axisymmetric* modes in the presence of a resistive wall that surrounds the plasma. It might be hope that, in order to investigate axisymmetric modes, we could simply take the existing TJ code and set the toroidal mode number, n, to zero. Unfortunately, this simple scheme does not work, as evidenced by the large number of terms involving n^{-1} in the analysis of Ref. 11. Hence, as described in this paper, it is necessary to redo much of the TJ analysis for the special case $n = 0$.

II. GENERAL PLASMA EQUILIBRIUM

All lengths in this paper are normalized to the major radius of the plasma magnetic axis, R_0. All magnetic field-strengths are normalized to the toroidal field-strength at the magnetic axis, B_0. All current densities are normalized to $B_0/(\mu_0 R_0)$. All plasma pressures are normalized to B_0^2/μ_0. All energies are normalized to $B_0^2 R_0^3/\mu_0$.

Let R, ϕ, Z be right-handed cylindrical coordinates whose Jacobian is $(\nabla R \times \nabla \phi \cdot \nabla Z)^{-1} = R$. Note that $|\nabla \phi| = 1/R$. Let r, θ, ϕ be right-handed flux-coordinates whose Jacobian is [13,14]

$$\mathcal{J}(r,\theta) \equiv (\nabla r \times \nabla \theta \cdot \nabla \phi)^{-1} \equiv R \left(\frac{\partial R}{\partial \theta} \frac{\partial Z}{\partial r} - \frac{\partial R}{\partial r} \frac{\partial Z}{\partial \theta} \right) = r R^2. \tag{1}$$

Note that $r = r(R, Z)$ and $\theta = \theta(R, Z)$. The magnetic axis corresponds to $r = 0$. The plasma-vacuum interface corresponds to $r = a$. The inboard mid-plane corresponds to $\theta = 0$.

Consider an axisymmetric tokamak equilibrium whose magnetic field takes the form

$$\mathbf{B}(r,\theta) = f(r) \nabla \phi \times \nabla r + g(r) \nabla \phi = f \nabla (\phi - q \theta) \times \nabla r, \tag{2}$$

where

$$q(r) = \frac{r g}{f} \tag{3}$$

is the safety-factor (i.e., the inverse of the rotational transform). Note that $\mathbf{B} \cdot \nabla r = 0$, which implies that r is a magnetic flux-surface label. We require $g = 1$ on the magnetic axis in order to ensure that the normalized toroidal magnetic field-strength at the axis is unity.

It is easily demonstrated that [11]

$$B^r = \mathbf{B} \cdot \nabla r = 0, \tag{4}$$

$$B^\theta = \mathbf{B} \cdot \nabla \theta = \frac{f}{r R^2}, \tag{5}$$

$$B^\phi = \mathbf{B} \cdot \nabla \phi = \frac{g}{R^2}, \tag{6}$$

$$B_r = \mathcal{J} \nabla \theta \times \nabla \phi \cdot \mathbf{B} = -r f \nabla r \cdot \nabla \theta, \tag{7}$$

$$B_\theta = \mathcal{J} \nabla \phi \times \nabla r \cdot \mathbf{B} = r f |\nabla r|^2, \tag{8}$$

$$B_\phi = \mathcal{J} \nabla r \times \nabla \theta \cdot \mathbf{B} = g. \tag{9}$$

The Maxwell equation (neglecting the displacement current, because the plasma velocity perturbations due to axisymmetric modes are far smaller than the velocity of light in vacuum) $\mathbf{J} = \nabla \times \mathbf{B}$ yields

$$\mathcal{J} J^r = \frac{\partial B_\phi}{\partial \theta} = 0, \tag{10}$$

$$\mathcal{J} J^\theta = -\frac{\partial B_\phi}{\partial r} = -g', \tag{11}$$

$$\mathcal{J} J^\phi = \frac{\partial B_\theta}{\partial r} - \frac{\partial B_r}{\partial \theta} = \frac{\partial}{\partial r}\left(r\,f\,|\nabla r|^2\right) + \frac{\partial}{\partial \theta}\left(r\,f\,\nabla r \cdot \nabla \theta\right), \tag{12}$$

where $\mathbf{J}$ is the equilibrium current density, $' \equiv d/dr$, and use has been made of Eqs. (7)–(9).

Equilibrium force balance requires that

$$\nabla P = \mathbf{J} \times \mathbf{B}, \tag{13}$$

where $P(r)$ is the equilibrium scalar plasma pressure. Here, for the sake of simplicity, we have neglected the small centrifugal modifications to force balance due to subsonic plasma rotation.[15,16] It follows that

$$P' = \mathcal{J}(J^\theta\,B^\phi - J^\phi\,B^\theta) = -g'\,\frac{g}{R^2} - \frac{f}{r\,R^2}\left[\frac{\partial}{\partial r}\left(r\,f\,|\nabla r|^2\right) + \frac{\partial}{\partial \theta}\left(r\,f\,\nabla r \cdot \nabla \theta\right)\right], \tag{14}$$

where use has been made of Eqs. (4)–(6), and (10)–(12). The other two components of Eq. (13) are identically zero.

Equation (14) yields the *inverse Grad-Shafranov equation*:[14]

$$\frac{f}{r}\frac{\partial}{\partial r}\left(r\,f\,|\nabla r|^2\right) + \frac{f}{r}\frac{\partial}{\partial \theta}\left(r\,f\,\nabla r \cdot \nabla \theta\right) + g\,g' + R^2\,P' = 0. \tag{15}$$

It follows from Eqs. (3), (12), and (15) that

$$\mathcal{J} J^\phi = -q\,g' - \frac{r\,R^2\,P'}{f}. \tag{16}$$

It is clear from Eqs. (11) and (16) that $g' = P' = 0$ in the current-free "vacuum" region surrounding the plasma, $r > a$. We shall also assume that $g' = P' = 0$ at the plasma-vacuum interface, so as to ensure that the equilibrium plasma current density is zero at the interface, $r = a$.

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III.   AXISYMMETRIC PLASMA PERTURBATION

A.   Derivation of Axisymmetric Ideal-MHD P.D.E.s

Let us assume that all perturbed quantities are independent of the toroidal angle, ϕ. The perturbed plasma equilibrium satisfies the linearized, marginally-stable, ideal-MHD equations [14,17,18]

$$\mathbf{b} = \nabla \times (\boldsymbol{\xi} \times \mathbf{B}), \tag{17}$$

$$\nabla p = \mathbf{j} \times \mathbf{B} + \mathbf{J} \times \mathbf{b}, \tag{18}$$

$$\mathbf{j} = \nabla \times \mathbf{b}, \tag{19}$$

$$p = -\boldsymbol{\xi} \cdot \nabla P, \tag{20}$$

where $\boldsymbol{\xi}(r, \theta)$ is the plasma displacement, $\mathbf{b}(r, \theta)$ the perturbed magnetic field, $\mathbf{j}(r, \theta)$ the perturbed current density, and $p(r, \theta)$ the perturbed scalar pressure.

Now, [11]

$$(\boldsymbol{\xi} \times \mathbf{B})_\theta = \mathcal{J} \left(\xi^\phi \, B^r - \xi^r \, B^\phi \right) = -\mathcal{J} \, B^\phi \, \xi^r, \tag{21}$$

$$(\boldsymbol{\xi} \times \mathbf{B})_\phi = \mathcal{J} \left(\xi^r \, B^\theta - \xi^\theta \, B^r \right) = \mathcal{J} \, B^\theta \, \xi^r, \tag{22}$$

where use has been made of the fact that $B^r = J^r = 0$. [See Eqs. (4) and (10).] Combining Eqs. (17) and (22), we obtain

$$\mathcal{J} \, b^r = \frac{\partial}{\partial \theta} \left(\mathcal{J} \, B^\theta \, \xi^r \right). \tag{23}$$

Thus, Eqs. (1), (3), and (5) give

$$r \, R^2 \, b^r = \frac{\partial y}{\partial \theta}, \tag{24}$$

where

$$y(r, \theta) = f \, \xi^r. \tag{25}$$

The constraint $\nabla \cdot \mathbf{b} = 0$, which follows from Eq. (17), immediately yields

$$r \, R^2 \, b^\theta = -\frac{\partial y}{\partial r}. \tag{26}$$

Note that the preceding expression is radically different from the expression, (54), for b^θ given in Ref. 11. Thus, it is at this stage that our analysis starts to diverge from that of Ref. 11.

According to Eq. (20),

$$p = -P' \, \nabla r \cdot \boldsymbol{\xi} = -P' \, \xi^r. \tag{27}$$

So, the perturbed force balance equation, (18), yields

$$-\frac{\partial (P' \, \xi^r)}{\partial r} = (\mathbf{j} \times \mathbf{B})_r + (\mathbf{J} \times \mathbf{b})_r, \tag{28}$$

$$-\frac{\partial (P' \, \xi^r)}{\partial \theta} = (\mathbf{j} \times \mathbf{B})_\theta + (\mathbf{J} \times \mathbf{b})_\theta, \tag{29}$$

$$0 = (\mathbf{j} \times \mathbf{B})_\phi + (\mathbf{J} \times \mathbf{b})_\phi, \tag{30}$$

giving [11]

$$-\frac{\partial (P' \, \xi^r)}{\partial r} = r \, R^2 \, (j^\theta \, B^\phi - j^\phi \, B^\theta) + r \, R^2 \, (J^\theta \, b^\phi - J^\phi \, b^\theta), \tag{31}$$

$$-\frac{\partial (P' \, \xi^r)}{\partial \theta} = r \, R^2 \, (j^\phi \, B^r - j^r \, B^\phi) + r \, R^2 \, (J^\phi \, b^r - J^r \, b^\phi), \tag{32}$$

$$0 = r \, R^2 \, (j^r \, B^\theta - j^\theta \, B^r) + r \, R^2 \, (J^r \, b^\theta - J^\theta \, b^r), \tag{33}$$

where use has been made of Eq. (1). Thus, according to Eqs. (4)–(6), (10), (11), and (16),

$$-\frac{\partial (P' \, \xi^r)}{\partial r} = f \, (q \, j^\theta - j^\phi) - g' \, b^\phi + \left(q \, g' + \frac{r \, R^2 \, P'}{f} \right) b^\theta, \tag{34}$$

$$-\frac{\partial (P' \, \xi^r)}{\partial \theta} = -r \, g \, j^r - \left(q \, g' + \frac{r \, R^2 \, P'}{f} \right) b^r, \tag{35}$$

$$0 = f \, j^r + g' \, b^r. \tag{36}$$

It follows from Eqs. (24) and (36) that

$$r \, R^2 \, j^r = -\alpha_g \, \frac{\partial y}{\partial \theta}, \tag{37}$$

where

$$\alpha_g(r) = \frac{g'}{f}. \tag{38}$$

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Note that Eq. (35) is trivially satisfied. Hence, of the three components of the perturbed force balance equation, only Eq. (34) remains to be solved.

Equation (19) yields[11]

$$r\,R^2\,j^r = \frac{\partial b_\phi}{\partial \theta}, \tag{39}$$

$$r\,R^2\,j^\theta = -\frac{\partial b_\phi}{\partial r}, \tag{40}$$

$$r\,R^2\,j^\phi = \frac{\partial b_\theta}{\partial r} - \frac{\partial b_r}{\partial \theta}, \tag{41}$$

where use has been made of Eq. (1). It follows from Eqs. (37), (39), and (40) that

$$b_\phi = -\alpha_g\,y, \tag{42}$$

$$r\,R^2\,j^\theta = \frac{\partial(\alpha_g\,y)}{\partial r}. \tag{43}$$

Note that $\nabla \cdot \mathbf{j} = 0$, in accordance with Eq. (19).

Now,

$$\mathbf{b} = b_r\,\nabla r + b_\theta\,\nabla\theta + b_\phi\,\nabla\phi, \tag{44}$$

so

$$b^r = \mathbf{b} \cdot \nabla r = |\nabla r|^2\,b_r + (\nabla r \cdot \nabla\theta)\,b_\theta, \tag{45}$$

$$b^\theta = \mathbf{b} \cdot \nabla\theta = (\nabla r \cdot \nabla\theta)\,b_r + |\nabla\theta|^2\,b_\theta, \tag{46}$$

$$b^\phi = \mathbf{b} \cdot \nabla\phi = \frac{b_\phi}{R^2}. \tag{47}$$

Equations (1), (45), and (46) can be rearranged to give[11]

$$b_r = \left(\frac{1}{|\nabla r|^2}\right) b^r - \left(\frac{\nabla r \cdot \nabla\theta}{|\nabla r|^2}\right) b_\theta, \tag{48}$$

$$b^\theta = \left(\frac{\nabla r \cdot \nabla\theta}{|\nabla r|^2}\right) b^r + \left(\frac{1}{r^2\,R^2\,|\nabla r|^2}\right) b_\theta. \tag{49}$$

Let

$$\mathcal{Z}(r,\theta) = |\nabla r|^2\,r\,\frac{\partial y}{\partial r} + r\,\nabla r \cdot \nabla\theta\,\frac{\partial y}{\partial \theta}. \tag{50}$$

Equations (24), (26), (42), (48) and (49) yield

$$b_r = \frac{1}{r\,|\nabla r|^2\,R^2}\,\frac{\partial y}{\partial \theta} + \frac{\nabla r \cdot \nabla\theta}{|\nabla r|^2}\,\mathcal{Z}, \tag{51}$$

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$$b_\theta = -\mathcal{Z}, \tag{52}$$

$$b^\phi = -\frac{\alpha_g}{R^2}\, y. \tag{53}$$

Equations (41), (51), and (52) give

$$r\, R^2\, j^\phi = -\frac{\partial \mathcal{Z}}{\partial r} - \frac{\partial}{\partial \theta}\left[\frac{1}{r\,|\nabla r|^2\, R^2}\,\frac{\partial y}{\partial \theta} + \frac{\nabla r \cdot \nabla \theta}{|\nabla r|^2}\,\mathcal{Z}\right]. \tag{54}$$

It follows from Eqs. (25), (26), (34), (43), (53), and (54) that

$$-\frac{\partial}{\partial r}\left(\frac{P'}{f}\, y\right) = \frac{f\, q}{r\, R^2}\,\frac{\partial(\alpha_g\, y)}{\partial r} + \frac{f}{r\, R^2}\,\frac{\partial \mathcal{Z}}{\partial r}$$
$$+ \frac{f}{r\, R^2}\,\frac{\partial}{\partial \theta}\left[\frac{1}{r\,|\nabla r|^2\, R^2}\,\frac{\partial y}{\partial \theta} + \frac{\nabla r \cdot \nabla \theta}{|\nabla r|^2}\,\mathcal{Z}\right]$$
$$+ \frac{g'\,\alpha_g}{R^2}\, y - \left(q\, g' + \frac{r\, R^2\, P'}{f}\right)\frac{1}{r\, R^2}\,\frac{\partial y}{\partial r}. \tag{55}$$

Hence,

$$-\left[(\alpha_f\,\alpha_p + r\,\alpha_p')R^2 + q\, r\,\alpha_g' + r^2\,\alpha_g^2\right] y = r\,\frac{\partial \mathcal{Z}}{\partial r} + \frac{\partial}{\partial \theta}\left[\frac{1}{|\nabla r|^2\, R^2}\,\frac{\partial y}{\partial \theta} + \frac{r\,\nabla r \cdot \nabla \theta}{|\nabla r|^2}\,\mathcal{Z}\right], \tag{56}$$

where

$$\alpha_p(r) = \frac{r\, P'}{f^2}, \tag{57}$$

$$\alpha_f(r) = \frac{r^2}{f}\,\frac{d}{dr}\left(\frac{f}{r}\right). \tag{58}$$

Finally, Eqs. (50) and (56) yield the *axisymmetric ideal-MHD partial differential equations (p.d.e.s)*:

$$r\,\frac{\partial y}{\partial r} = \frac{\mathcal{Z}}{|\nabla r|^2} - \frac{r\,\nabla r \cdot \nabla \theta}{|\nabla r|^2}\,\frac{\partial y}{\partial \theta}, \tag{59}$$

$$r\,\frac{\partial \mathcal{Z}}{\partial r} = -\left[(\alpha_f\,\alpha_p + r\,\alpha_p')R^2 + q\, r\,\alpha_g' + r^2\,\alpha_g^2\right] y - \frac{\partial}{\partial \theta}\left(\frac{1}{|\nabla r|^2\, R^2}\,\frac{\partial y}{\partial \theta}\right)$$
$$- \frac{\partial}{\partial \theta}\left(\frac{r\,\nabla r \cdot \nabla \theta}{|\nabla r|^2}\,\mathcal{Z}\right). \tag{60}$$

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B. Derivation of the Axisymmetric Ideal-MHD O.D.E.s

Let

$$y(r, \theta) = \sum_m y_m(r) \, e^{i m \theta}, \tag{61}$$

$$\mathcal{Z}(r, \theta) = \sum_m Z_m(r) \, e^{i m \theta}. \tag{62}$$

Equations (59) and (60) yield the *axisymmetric ideal-MHD ordinary differential equations (o.d.e.s)*:

$$r \frac{dy_m}{dr} = \sum_{m'} \left(A_m^{m'} Z_{m'} + B_m^{m'} y_{m'} \right), \tag{63}$$

$$r \frac{dZ_m}{dr} = \sum_{m'} \left(C_m^{m'} Z_{m'} + D_m^{m'} y_{m'} \right), \tag{64}$$

where

$$A_m^{m'} = c_m^{m'}, \tag{65}$$

$$B_m^{m'} = -m' f_m^{m'}, \tag{66}$$

$$C_m^{m'} = -m f_m^{m'}, \tag{67}$$

$$D_m^{m'} = -(\alpha_f \, \alpha_p + r \, \alpha_p') \, a_m^{m'} - (q \, r \, \alpha_g' + r^2 \, \alpha_g^2) \, \delta_m^{m'} + m \, m' \, b_m^{m'}, \tag{68}$$

and

$$a_m^{m'}(r) = \oint R^2 \, \exp[-i \, (m - m') \, \theta] \, \frac{d\theta}{2\pi}, \tag{69}$$

$$b_m^{m'}(r) = \oint |\nabla r|^{-2} \, R^{-2} \, \exp[-i \, (m - m') \, \theta] \, \frac{d\theta}{2\pi}, \tag{70}$$

$$c_m^{m'}(r) = \oint |\nabla r|^{-2} \, \exp[-i \, (m - m') \, \theta] \, \frac{d\theta}{2\pi}, \tag{71}$$

$$f_m^{m'}(r) = \oint \frac{i \, r \, \nabla r \cdot \nabla \theta}{|\nabla r|^2} \, \exp[-i \, (m - m') \, \theta] \, \frac{d\theta}{2\pi}. \tag{72}$$

Here, $\delta_m^{m'}$ is a Kronecker delta symbol.

The axisymmetric ideal-MHD o.d.e.s play the same role for axisymmetric perturbations that the outer-region o.d.e.s, (102) and (103) of Ref. 11, play for non-axisymmetric perturbations. The main difference is that there are no singularities in the axisymmetric ideal-MHD

o.d.e.s because an axisymmetric perturbation does not resonate with the plasma (i.e., there are no equilibrium flux-surfaces in the plasma at which $\mathbf{k} \cdot \mathbf{B} = 0$, where $\mathbf{k}$ is the wavevector of the perturbation.)

C. Properties of Axisymmetric Ideal-MHD O.D.E.s

Note that $a_{m'}^m = a_m^{m'*}$, $b_{m'}^m = b_m^{m'*}$, $c_{m'}^m = c_m^{m'*}$, and $f_{m'}^m = -f_m^{m'*}$, which implies that

$$A_{m'}^m = A_{m'}^{m*}, \tag{73}$$

$$B_{m'}^m = -C_{m'}^{m*}, \tag{74}$$

$$C_{m'}^m = -B_{m'}^{m*}, \tag{75}$$

$$D_{m'}^m = D_{m'}^{m*}. \tag{76}$$

It follows from Eqs. (63), (64), and (73)–(76) that

$$r \frac{d}{dr} \left[\sum_m (Z_m\, y_m^* - y_m\, Z_m^*) \right] = 0. \tag{77}$$

D. Perturbed Electric Field

Suppose that all perturbed quantities vary in time as $e^{-i\omega t}$. Let $\mathbf{e}$ be the perturbed electric field, which satisfies

$$\nabla \times \mathbf{e} = i\,\omega\,\mathbf{b}. \tag{78}$$

Hence,

$$e_\phi = i\,\omega\,y, \tag{79}$$

and

$$\frac{\partial e_\theta}{\partial r} - \frac{\partial e_r}{\partial \theta} = -i\,\omega\,r\,\alpha_g\,y, \tag{80}$$

where use has been made of Eqs. (24), (26), and (53). We also expect $\nabla \cdot \mathbf{e} = 0$, which implies that $e_r = e_\theta = 0$ in the vacuum region, $r \geq a$, in which $\alpha_g = 0$.

E.   Toroidal Electromagnetic Torque

The net toroidal electromagnetic torque exerted on the plasma lying within the magnetic flux-surface whose label is r is [11]

$$T_\phi(r) = \oint \oint r\, R^2\, b_\phi\, b^r\, d\theta\, d\phi. \tag{81}$$

It follows from Eqs. (24) and (42) that

$$T_\phi(r) = -\pi\, \alpha_g \oint \left(y^* \frac{\partial y}{\partial \theta} + y\, \frac{\partial y^*}{\partial \theta} \right) d\theta = -\pi\, \alpha_g \oint \frac{\partial |y|^2}{\partial \theta}\, d\theta = 0. \tag{82}$$

We conclude, not surprisingly, that an axisymmetric perturbation is incapable of exerting a net toroidal electromagnetic torque on the plasma.

F.   Electromagnetic Energy Flux

The net flux of electromagnetic energy across the plasma-vacuum interface is

$$\mathcal{E} = \left[\oint \oint (\mathbf{e} \times \mathbf{b}) \cdot \nabla r\, \mathcal{J}\, d\theta\, d\phi \right]_{r=a} = \left[\oint \oint (e_\theta\, b_\phi - e_\phi\, b_\theta)\, d\theta\, d\phi \right]_{r=a}$$

$$= i\, \pi\, \omega \oint (y\, \mathcal{Z}^* - y^*\, \mathcal{Z})_{r=a}\, d\theta,$$

$$= i\, \pi^2\, \omega \sum_m (Z_m^*\, y_m - y_m^*\, Z_m)_{r=a}. \tag{83}$$

Here, use has been made of Eqs. (52), (61), (62), and (79), as well as the fact that $e_\theta = 0$ for $r \geq a$.

G.   Perturbed Plasma Potential Energy

The perturbed plasma potential energy in the region of the plasma lying within the magnetic flux-surface whose label is r is [12,18]

$$\delta W_p = \frac{1}{2} \oint \oint r\, R^2\, \xi^{r*}(-\mathbf{B} \cdot \mathbf{b} + \xi^r\, P')\, d\theta\, d\phi. \tag{84}$$

However,

$$\mathbf{B} \cdot \mathbf{b} - \xi^r\, P' = B^\theta\, b_\theta + B^\phi\, b_\phi - \xi^r\, P' = -\frac{f}{r\, R^2} \left(\mathcal{Z} + q\, \alpha_g\, y + \alpha_p\, R^2 \right), \tag{85}$$

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where use has been made of Eqs. (3)–(6), (25), (42), (52), and (57). Hence, we obtain

$$\delta W_p(r) = \frac{1}{2} \oint \oint y^* \left[\mathcal{Z} + (q\,\alpha_g + \alpha_p\,R^2)\,y \right] d\theta\,d\phi = \pi^2 \sum_m y_m^* \chi_m, \tag{86}$$

where

$$\chi_m(r) = Z_m + q\,\alpha_g\,y_m + \alpha_p \sum_{m'} a_m^{m'}\,y_{m'}. \tag{87}$$

IV. INVERSE ASPECT-RATIO EXPANDED TOKAMAK EQUILIBRIUM

A. Equilibrium Magnetic Flux-Surfaces

Let us assume that the inverse aspect-ratio of the plasma, $\epsilon = a/R_0 = a$ (since R_0 is normalized to unity), is such that $0 < \epsilon \ll 1$. Let $r = \epsilon\,\hat{r}$, $\nabla = \epsilon^{-1}\,\hat{\nabla}$, and $' \to \epsilon^{-1}\,'$. Suppose that the loci of the equilibrium magnetic flux-surfaces can be written in the parametric form: [11,12,14]

$$R(\hat{r}, \omega) = 1 - \epsilon\,\hat{r}\,\cos\omega + \epsilon^2 \sum_{j>0} H_j(\hat{r})\,\cos[(j-1)\,\omega] + \epsilon^2 \sum_{j>1} V_j(\hat{r})\,\sin[(j-1)\,\omega]$$

$$+ \epsilon^3\,L(\hat{r})\,\cos\omega, \tag{88}$$

$$Z(\hat{r}, \omega) = \epsilon\,\hat{r}\,\sin\omega + \epsilon^2 \sum_{j>1} H_j(\hat{r})\,\sin[(j-1)\,\omega] - \epsilon^2 \sum_{j>1} V_j(\hat{r})\,\cos[(j-1)\,\omega]$$

$$- \epsilon^3\,L(\hat{r})\,\sin\omega, \tag{89}$$

where j is a positive integer. Here, $H_1(\hat{r})$ controls the relative horizontal locations of the flux-surface centroids, $H_2(\hat{r})$ and $V_2(\hat{r})$ control the magnitudes and vertical tilts of the flux-surface ellipticities, $H_3(\hat{r})$ and $V_3(\hat{r})$ control the magnitudes and vertical tilts of the flux-surface triangularities, et cetera, whereas $L(\hat{r})$ is a flux-surface re-labelling parameter. Moreover, $\omega(R, Z)$ is a poloidal angle that is distinct from θ. Note that V_1 does not appear in Eq. (89) because such a factor merely gives rise to a rigid vertical shift of the plasma that can be eliminated by a suitable choice of the origin of the flux-coordinate system.

Let

$$J(\hat{r}, \omega) = \frac{1}{\epsilon^2} \left(\frac{\partial R}{\partial \omega} \frac{\partial Z}{\partial \hat{r}} - \frac{\partial R}{\partial \hat{r}} \frac{\partial Z}{\partial \omega} \right) \tag{90}$$

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be the Jacobian of the $\hat{r}$, ω coordinate system. We can transform to the $\hat{r}$, θ coordinate system by writing

$$\theta(\hat{r},\omega) = 2\pi \int_0^\omega \frac{J(\hat{r},\tilde{\omega})}{R(\hat{r},\tilde{\omega})}\, d\tilde{\omega} \bigg/ \oint \frac{J(\hat{r},\omega)}{R(\hat{r},\omega)}\, d\omega, \tag{91}$$

$$\hat{r} = \frac{1}{2\pi} \oint \frac{J(\hat{r},\omega)}{R(\hat{r},\omega)}\, d\omega. \tag{92}$$

This transformation ensures that

$$\frac{\partial\theta}{\partial\omega} = \frac{J}{\hat{r}\,R}, \tag{93}$$

and, hence, that

$$\mathcal{J} \equiv \frac{R}{\epsilon}\left(\frac{\partial R}{\partial\theta}\frac{\partial Z}{\partial\hat{r}} - \frac{\partial R}{\partial\hat{r}}\frac{\partial Z}{\partial\hat{r}}\right) = \epsilon\, R\, J\, \frac{\partial\omega}{\partial\theta} = r\, R^2, \tag{94}$$

in accordance with Eq. (1).

B. Metric Elements

We can determine the metric elements of the flux-coordinate system by combining Eqs. (88)–(92). Evaluating the elements up to $\mathcal{O}(\epsilon)$, but retaining $\mathcal{O}(\epsilon^2)$ contributions to terms that are independent of ω, we obtain,[11,12]

$$L(\hat{r}) = \frac{\hat{r}^3}{8} - \frac{\hat{r}\,H_1}{2} - \frac{1}{2}\sum_{j>1}(j-1)\frac{H_j^2}{\hat{r}} - \frac{1}{2}\sum_{j>1}(j-1)\frac{V_j^2}{\hat{r}}, \tag{95}$$

$$\theta = \omega + \epsilon\,\hat{r}\,\sin\omega - \epsilon\sum_{j>0}\frac{1}{j}\left[H_j' - (j-1)\frac{H_j}{\hat{r}}\right]\sin(j\,\omega)$$

$$+ \epsilon\sum_{j>1}\frac{1}{j}\left[V_j' - (j-1)\frac{V_j}{\hat{r}}\right]\cos(j\,\omega), \tag{96}$$

$$|\hat{\nabla}\hat{r}|^2 = 1 + 2\,\epsilon\sum_{j>0}H_j'\,\cos(j\,\theta) + 2\,\epsilon\sum_{j>1}V_j'\,\sin(j\,\theta)$$

$$+ \epsilon^2\left(\frac{3\,\hat{r}^2}{4} - H_1 + \frac{1}{2}\sum_{j>0}\left[H_j'^2 + (j^2-1)\frac{H_j^2}{\hat{r}^2}\right]\right.$$

$$\left. + \frac{1}{2}\sum_{j>1}\left[V_j'^2 + (j^2-1)\frac{V_j^2}{\hat{r}^2}\right]\right), \tag{97}$$

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$$\hat{\nabla}\hat{r} \cdot \hat{\nabla}\theta = \epsilon \sin\theta - \epsilon \sum_{j>0} \frac{1}{j} \left[H_j'' + \frac{H_j'}{\hat{r}} + (j^2 - 1)\frac{H_j}{\hat{r}^2} \right] \sin(j\,\theta)$$

$$+ \epsilon \sum_{j>1} \frac{1}{j} \left[V_j'' + \frac{V_j'}{\hat{r}} + (j^2 - 1)\frac{V_j}{\hat{r}^2} \right] \cos(j\,\theta), \tag{98}$$

$$R^2 = 1 - 2\,\epsilon\,\hat{r}\,\cos\theta - \epsilon^2 \left(\frac{\hat{r}^2}{2} - \hat{r}\,H_1' - 2\,H_1 \right). \tag{99}$$

Here, $' \equiv d/d\hat{r}$. Moreover, we have made use of the fact that $V_j \propto H_j$, for $j > 1$, because V_j and H_j satisfy the identical differential equations, (105) and (106).

C.   Expansion of Inverse Grad-Shafranov Equation

Let us write [11,12]

$$f(\hat{r}) = \epsilon\,\frac{\hat{r}\,g}{q}, \tag{100}$$

$$g(\hat{r}) = 1 + \epsilon^2\,g_2(\hat{r}) + \epsilon^4\,g_4(\hat{r}), \tag{101}$$

$$P'(\hat{r}) = \epsilon^2\,p_2'(\hat{r}), \tag{102}$$

where q, g_2, g_4, and p_2 are all $\mathcal{O}(1)$. Here, the safety-factor, $q(\hat{r})$, and the second-order plasma pressure gradient, $p_2'(\hat{r})$, are the two free flux-surface functions that characterize the plasma equilibrium.

Expanding the inverse Grad-Shafranov equation, (15), order by order in the small parameter ϵ, making use of Eqs. (97)–(102), we obtain [11,12,17]

$$g_2' = -p_2' - \frac{\hat{r}}{q^2}\,(2 - s), \tag{103}$$

$$H_1'' = -(3 - 2\,s)\,\frac{H_1'}{\hat{r}} - 1 + \frac{2\,p_2'\,q^2}{\hat{r}}, \tag{104}$$

$$H_j'' = -(3 - 2\,s)\,\frac{H_j'}{\hat{r}} + (j^2 - 1)\,\frac{H_j}{\hat{r}^2} \qquad \text{for } j > 1, \tag{105}$$

$$V_j'' = -(3 - 2\,s)\,\frac{V_j'}{\hat{r}} + (j^2 - 1)\,\frac{V_j}{\hat{r}^2} \qquad \text{for } j > 1, \tag{106}$$

$$g_4' = g_2 \left[p_2' - \frac{\hat{r}}{q^2}\,(2 - s) \right] - \frac{\hat{r}}{q}\,\Sigma + p_2' \left(\frac{\hat{r}^2}{2} + \frac{\hat{r}^2}{q^2} - 2\,H_1 - 3\,\hat{r}\,H_1' \right), \tag{107}$$

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where $s = \hat{r}\,q'/q$ is the magnetic shear, and

$$\Sigma = \frac{S_2}{q} - \frac{2-s}{q}\,S_3 \tag{108}$$

$$S_1(\hat{r}) = \frac{1}{2}\sum_{j>0}\left[3\,H_j'^2 - (j^2-1)\frac{H_j^2}{\hat{r}^2}\right] + \frac{1}{2}\sum_{j>1}\left[3\,V_j'^2 - (j^2-1)\frac{V_j^2}{\hat{r}^2}\right], \tag{109}$$

$$S_2(\hat{r}) = \frac{3\,\hat{r}^2}{2} - 2\,\hat{r}\,H_1' + \sum_{j>0}\left[H_j'^2 + 2\,(j^2-1)\frac{H_j'\,H_j}{\hat{r}} - (j^2-1)\frac{H_j^2}{\hat{r}^2}\right]$$

$$+ \sum_{j>1}\left[V_j'^2 + 2\,(j^2-1)\frac{V_j'\,V_j}{\hat{r}} - (j^2-1)\frac{V_j^2}{\hat{r}^2}\right], \tag{110}$$

$$S_3(\hat{r}) = -\frac{3\,\hat{r}^2}{4} + \frac{\hat{r}^2}{q^2} + H_1 + S_1, \tag{111}$$

$$S_4(\hat{r}) = \frac{7\,\hat{r}^2}{4} - H_1 - 3\,\hat{r}\,H_1' + S_1. \tag{112}$$

Note that the relative horizontal shift of magnetic flux-surfaces, $-H_1$, otherwise known as the *Shafranov shift*, is driven by toroidicity [the second term on the right-hand side of Eq. (104)], and plasma pressure gradients (the third term). All of the other shaping terms (i.e., the H_j, for $j > 1$, and the V_j) are driven by axisymmetric currents flowing in external magnetic field-coils.

Equations (38), (57), (58), and (100)–(102) yield[11]

$$\alpha_p(\hat{r}) = \frac{p_2'\,q^2}{\hat{r}}\left(1 - 2\,\epsilon^2\,g_2\right), \tag{113}$$

$$\alpha_g(\hat{r}) = \frac{q}{\hat{r}}\left(g_2' - \epsilon^2\,g_2\,g_2' + \epsilon^2\,g_4'\right), \tag{114}$$

$$\alpha_f(\hat{r}) = -s + \epsilon^2\,\hat{r}\,g_2'. \tag{115}$$

Finally, it follows from Eqs. (98) and (104)–(106) that

$$\hat{\nabla}\hat{r}\cdot\hat{\nabla}\theta = 2\,\epsilon\left[1 - \frac{p_2'\,q^2}{\hat{r}} + (1-s)\frac{H_1'}{\hat{r}}\right]\sin\theta$$

$$- 2\,\epsilon\sum_{j>1}\frac{1}{j}\left[-(1-s)\frac{H_j'}{\hat{r}} + (j^2-1)\frac{H_j}{\hat{r}^2}\right]\sin(j\,\theta)$$

$$+ 2\,\epsilon\sum_{j>1}\frac{1}{j}\left[-(1-s)\frac{V_j'}{\hat{r}} + (j^2-1)\frac{V_j}{\hat{r}^2}\right]\cos(j\,\theta). \tag{116}$$

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D.   Calculation of Coupling Coefficients

Equations (97) and (109) yield

$$|\hat{\nabla}\hat{r}|^{-2} = 1 - 2\,\epsilon \sum_{j>0} H'_j \cos(j\,\theta) - 2\,\epsilon \sum_{j>0} V'_j \sin(j\,\theta) + \epsilon^2 \left(-\frac{3\,\hat{r}^2}{4} + H_1 + S_1 \right), \qquad (117)$$

Equation (99) gives

$$R^{-2} = 1 + 2\,\epsilon\,\hat{r}\,\cos\theta + \epsilon^2 \left(\frac{5\,\hat{r}^2}{2} - \hat{r}\,H'_1 - 2\,H_1 \right). \qquad (118)$$

The previous two equations imply that

$$|\hat{\nabla}\hat{r}|^{-2}\,R^{-2} = 1 + 2\,\epsilon\,\hat{r}\,\cos\theta - 2\,\epsilon \sum_{j>0} H'_j \cos(j\,\theta) - 2\,\epsilon \sum_{>1} V'_j \sin(j\,\theta)$$

$$+ \epsilon^2 \left(\frac{7\,\hat{r}^2}{4} - H_1 - 3\,\hat{r}\,H'_1 + S_1 \right). \qquad (119)$$

Finally, Eqs. (116) and (117) yield

$$\hat{\nabla}\hat{r} \cdot \hat{\nabla}\theta\,|\hat{\nabla}\hat{r}|^{-2} = 2\,\epsilon \left[1 - \frac{p'_2\,q^2}{\hat{r}} + (1-s)\,\frac{H'_1}{\hat{r}} \right] \sin\theta$$

$$- 2\,\epsilon \sum_{j>1} \frac{1}{j} \left[-(1-s)\,\frac{H'_j}{\hat{r}} + (j^2-1)\,\frac{H_j}{\hat{r}^2} \right] \sin(j\,\theta)$$

$$+ 2\,\epsilon \sum_{j>1} \frac{1}{j} \left[-(1-s)\,\frac{V'_j}{\hat{r}} + (j^2-1)\,\frac{V_j}{\hat{r}^2} \right] \cos(j\,\theta), \qquad (120)$$

where use has been made of the fact that $V_j(\hat{r}) \propto H_j(\hat{r})$ for $j > 1$.

Equations (69)–(72), (99), (117), (119), and (120) imply that

$$a_m^{m'} = \delta_m^{m'} - \epsilon\,\hat{r}\,(\delta_{m'-m-1} + \delta_{m'-m+1}) - \epsilon^2 \left(\frac{\hat{r}^2}{2} - \hat{r}\,H'_1 - 2\,H_1 \right) \delta_m^{m'}, \qquad (121)$$

$$b_m^{m'} = \delta_m^{m'} + \epsilon\,\hat{r}\,(\delta_{m'-m-1} + \delta_{m'-m+1}) - \epsilon \sum_{j>0} H'_j\,(\delta_{m'-m-j} + \delta_{m'-m+j})$$

$$- \epsilon \sum_{j>1} i\,V'_j\,(\delta_{m'-m-j} - \delta_{m'-m+j}) + \epsilon^2 \left(\frac{7\,\hat{r}^2}{4} - H_1 - 3\,\hat{r}\,H'_1 + S_1 \right) \delta_m^{m'}, \qquad (122)$$

$$c_m^{m'} = \delta_m^{m'} - \epsilon \sum_{j>0} H'_j\,(\delta_{m'-m-j} + \delta_{m'-m+j}) - \epsilon \sum_{j>1} i\,V'_j\,(\delta_{m'-m-j} - \delta_{m'-m+j})$$

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$$+ \epsilon^2 \left(-\frac{3\,\hat{r}^2}{4} + H_1 + S_1 \right) \delta_m^{m'}, \tag{123}$$

$$f_m^{m'} = -\epsilon \left[\hat{r} - p_2'\, q^2 + (1-s)\, H_1' \right] (\delta_{m'-m-1} - \delta_{m'-m+1})$$

$$+ \epsilon \sum_{j>1} \frac{1}{j} \left[-(1-s)\, H_j' + (j^2 - 1)\, \frac{H_j}{\hat{r}} \right] (\delta_{m'-m-j} - \delta_{m'-m+j})$$

$$+ \epsilon \sum_{j>1} \frac{\mathrm{i}}{j} \left[-(1-s)\, V_j' + (j^2 - 1)\, \frac{V_j}{\hat{r}} \right] (\delta_{m'-m-j} + \delta_{m'-m+j}). \tag{124}$$

If we write

$$\alpha_g = \alpha_g^{(0)} + \epsilon^2\, \alpha_g^{(2)}, \tag{125}$$

$$\alpha_p = \alpha_p^{(0)} + \epsilon^2\, \alpha_p^{(2)}, \tag{126}$$

$$\alpha_f = \alpha_f^{(0)} + \epsilon^2\, \alpha_f^{(2)}, \tag{127}$$

$$a_m^{m'} = 1 + \epsilon\, a_m^{m'\,(1)} + \epsilon^2\, a_m^{m'\,(2)}, \tag{128}$$

$$b_m^{m'} = 1 + \epsilon\, b_m^{m'\,(1)} + \epsilon^2\, b_m^{m'\,(2)}, \tag{129}$$

$$D_m^{m'} = D_m^{m'\,(0)} + \epsilon\, D_m^{m'\,(1)} + \epsilon^2\, D_m^{m'\,(2)}, \tag{130}$$

where $\alpha_g^{(0)}$, $\alpha_g^{(2)}$, et cetera, are $\mathcal{O}(1)$, then it follows from Eq. (68) that

$$D_m^{m\,(0)} = -\alpha_f^{(0)}\, \alpha_p^{(0)} - \hat{r}\, \alpha_p'^{(0)} - q\,\hat{r}\, \alpha_g'^{(0)} + m^2, \tag{131}$$

$$D_m^{m'\,(1)} = - \left[\alpha_f^{(0)}\, \alpha_p^{(0)} + \hat{r}\, \alpha_p'^{(0)} \right] a_m^{m'\,(1)} + m\, m'\, b_m^{m'\,(1)}, \tag{132}$$

$$D_m^{m\,(2)} = - \left[\alpha_f^{(0)}\, \alpha_p^{(0)} + \hat{r}\, \alpha_p'^{(0)} \right] a_m^{m'\,(2)} - \alpha_f^{(0)}\, \alpha_p^{(2)} - \alpha_f^{(2)}\, \alpha_p^{(0)} - \hat{r}\, \alpha_p'^{(2)} - q\,\hat{r}\, \alpha_g'^{(2)}$$

$$- \hat{r}^2 \left[\alpha_g^{(0)} \right]^2 + m^2\, b_m^{m\,(2)}. \tag{133}$$

Finally, Eqs. (69)–(72), (121)–(124), and (131)–(133) give

$$A_m^m(\hat{r}) = 1 + \epsilon^2 \left(-\frac{3\,\hat{r}^2}{4} + H_1 + S_1 \right), \tag{134}$$

$$A_m^{m\pm 1}(\hat{r}) = -\epsilon\, H_1', \tag{135}$$

$$A_m^{m\pm j}(\hat{r}) = -\epsilon\, (H_j' \pm \mathrm{i}\, V_j') \qquad \text{for } j > 1, \tag{136}$$

$$B_m^m(\hat{r}) = 0, \tag{137}$$

$$B_m^{m\pm1}(\hat{r}) = \pm\epsilon\,(m\pm1)\left[\hat{r} - p_2'\,q^2 + (1-s)\,H_1'\right],\tag{138}$$

$$B_m^{m\pm j}(\hat{r}) = \pm\epsilon\,\frac{m\pm j}{j}\left[(1-s)\,(H_j' \pm \mathrm{i}\,V_j') - (j^2-1)\,\frac{H_j \pm \mathrm{i}\,V_j}{\hat{r}}\right] \qquad \text{for } j > 1,\tag{139}$$

$$C_m^m(\hat{r}) = 0,\tag{140}$$

$$C_m^{m\pm1}(\hat{r}) = \pm\epsilon\,m\left[\hat{r} - p_2'\,q^2 + (1-s)\,H_1'\right],\tag{141}$$

$$C_m^{m\pm j}(\hat{r}) = \pm\epsilon\,\frac{m}{j}\left[(1-s)\,(H_j' \pm \mathrm{i}\,V_j') - (j^2-1)\,\frac{H_j \pm \mathrm{i}\,V_j}{\hat{r}}\right] \qquad \text{for } j > 1,\tag{142}$$

$$D_m^m(\hat{r}) = m^2 + q\,\hat{r}\,\frac{d}{d\hat{r}}\left(\frac{2-s}{q}\right) + \epsilon^2\,m^2\,S_4$$

$$+ \epsilon^2\left\{-\hat{r}^2\left(\frac{2-s}{q}\right)^2 + q\,\hat{r}\,\frac{d\Sigma}{d\hat{r}} - \hat{r}\,\frac{d}{d\hat{r}}(\hat{r}\,p_2') - 2\,(1-s)\,\hat{r}\,p_2'\right.$$

$$\left. +2\,\hat{r}\,p_2'\,q^2\left(-2 + \frac{3\,p_2'\,q^2}{\hat{r}}\right) + 2\,H_1'\,q^2\left[\frac{d}{d\hat{r}}(\hat{r}\,p_2') - 4\,(1-s)\,p_2'\right]\right\},\tag{143}$$

$$D_m^{m\pm1}(\hat{r}) = \epsilon\,q^2\left[\frac{d}{d\hat{r}}(\hat{r}\,p_2') - (2-s)\,p_2'\right] + \epsilon\,m\,(m\pm1)\,(\hat{r} - H_1'),\tag{144}$$

$$D_m^{m\pm j}(\hat{r}) = -\epsilon\,m\,(m\pm j)\,(H_j' \pm \mathrm{i}\,V_j') \qquad \text{for } j > 1.\tag{145}$$

E.   Behavior Close to Magnetic Axis

When $\hat{r} \ll 1$, the well-behaved solution of the axisymmetric ideal-MHD o.d.e.s, (63) and (64), that is dominated by the poloidal harmonic whose poloidal mode number is m is such that

$$y_m(\hat{r}) = \hat{r}^{|m|},\tag{146}$$

$$Z_m(\hat{r}) = |m|\,\hat{r}^{|m|},\tag{147}$$

with $y_{m'}(\hat{r}) = Z_{m'}(\hat{r}) = 0$ for $m' \neq 0$.

V. VACUUM SOLUTION

A. Toroidal Coordinates

Let μ, η, ϕ be right-handed *orthogonal toroidal coordinates* defined such that [11,12,19]

$$R = \frac{\sinh \mu}{\cosh \mu - \cos \eta}, \tag{148}$$

$$Z = \frac{\sin \eta}{\cosh \mu - \cos \eta}. \tag{149}$$

The scale-factors of the toroidal coordinate system are

$$h_\mu = h_\eta = \frac{1}{\cosh \mu - \cos \eta} \equiv h, \tag{150}$$

$$h_\phi = \frac{\sinh \mu}{\cosh \mu - \cos \eta} = h \sinh \mu. \tag{151}$$

Moreover,

$$\mathcal{J}' \equiv (\nabla \mu \times \nabla \eta \cdot \nabla \phi)^{-1} = h^3 \sinh \mu. \tag{152}$$

B. Perturbed Magnetic Field

The curl-free perturbed magnetic field in the vacuum region is written $\mathbf{b} = \mathrm{i}\, \nabla V$, where $\nabla^2 V = 0$. The most general axisymmetric solution to Laplace's equation is [12,20]

$$V(z,\eta) = \sum_m (z - \cos \eta)^{1/2}\, U_m(z)\, \mathrm{e}^{-\mathrm{i}\, m\, \eta}, \tag{153}$$

$$U_m(z) = p_m\, \hat{P}_{|m|-1/2}(z) + q_m\, \hat{Q}_{m-1/2}(z), \tag{154}$$

where $z = \cosh \mu$, the p_m and q_m are arbitrary complex coefficients, and

$$\hat{P}_{|m|-1/2}(z) = \cos(|m|\,\pi)\, \frac{\sqrt{\pi}\,\Gamma(|m|+1/2)\, a^{|m|}}{2^{|m|-1/2}\, |m|!}\, P_{|m|-1/2}(z), \tag{155}$$

$$\hat{Q}_{|m|-1/2}(z) = \cos(|m|\,\pi)\, \frac{2^{|m|-1/2}\, |m|!}{\sqrt{\pi}\,\Gamma(|m|+1/2)\, a^{|m|}}\, Q_{|m|-1/2}(z). \tag{156}$$

Here, the $P_{|m|-1/2}(z)$ and $Q_{|m|-1/2}(z)$ are toroidal functions,[21] and $\Gamma(z)$ is a gamma function.[22]

C. Toroidal Electromagnetic Angular Momentum Flux

The outward flux of toroidal angular momentum across a constant-z surface is [11,12]

$$T_\phi(z) = -\oint\oint \mathcal{J}'\, b_\phi\, b^\mu\, d\eta\, d\phi = 0, \tag{157}$$

because $b_\phi = \mathrm{i}\,\partial V/\partial\phi = 0$. Of course, this has to be the case because the flux of angular momentum across the plasma-vacuum interface is zero. (See Sect. III E.)

D. Electromagnetic Energy Flux

The outward flux of electromagnetic energy flux across a constant-z surface is

$$\mathcal{E}(z) = -\oint\oint \mathcal{J}'\,\mathbf{e}\times\mathbf{b}\cdot\nabla\mu\,d\eta\,d\phi = -\mathrm{i}\,\pi \oint\left(e_\phi\,\frac{\partial V^*}{\partial\eta} - e_\phi^*\,\frac{\partial V}{\partial\eta}\right)d\eta, \tag{158}$$

given that $e_\mu = e_\eta = 0$ in the vacuum. However, $\nabla\times\mathbf{e} = \mathrm{i}\,\omega\,\mathbf{b}$ implies that

$$\frac{\partial e_\phi}{\partial\eta} = -\omega\,h\,\sinh\mu\,\frac{\partial V}{\partial\mu} = -\omega\,h\,\sinh^2\mu\,\frac{\partial V}{\partial z}. \tag{159}$$

Thus,

$$\mathcal{E}(z) = \mathrm{i}\,\pi\oint\left(\frac{\partial e_\phi}{\partial\eta}\,V^* - \frac{\partial e_\phi^*}{\partial\eta}\,V\right)d\eta = -\mathrm{i}\,\pi\,\omega\oint h\,\sinh^2\mu\left(\frac{\partial V}{\partial z}\,V^* - \frac{\partial V^*}{\partial z}\,V\right)d\eta$$

$$= \mathrm{i}\,\pi^2\,\omega\sum_m (p_m\,q_m^* - q_m\,p_m^*)\,(z^2-1)\,\mathcal{W}(P_{|m|-1/2}, Q_{|m|-1/2}), \tag{160}$$

where $\mathcal{W}(f,g) = f\,dg/dz - g\,df/dz$. However,[23]

$$\mathcal{W}(P_{|m|-1/2}, Q_{|m|-1/2}) = \frac{1}{1-z^2}, \tag{161}$$

so

$$\mathcal{E}(z) = -\mathrm{i}\,\pi^2\,\omega\sum_m (p_m\,q_m^* - q_m\,p_m^*). \tag{162}$$

Note that $\mathcal{E}$ is independent of z, as must be the case because there are no energy sources in the vacuum region.

E. Solution in Vacuum Region

In the large-aspect ratio limit, $r \ll 1$, it can be demonstrated that [23]

$$z \simeq \frac{1}{r}, \tag{163}$$

$$z^{1/2} \hat{P}_{-1/2}(z) \simeq \frac{1}{2} \ln(8\,z), \tag{164}$$

$$z^{1/2} \hat{P}_{|m|-1/2}(z) \simeq \frac{\cos(|m|\,\pi)\,(a\,z)^{|m|}}{|m|}, \tag{165}$$

$$z^{1/2} \hat{Q}_{|m|-1/2}(z) \simeq \frac{\cos(|m|\,\pi)\,(a\,z)^{-|m|}}{2}. \tag{166}$$

Note that Eq. (165) only applies to $|m| > 0$.

According to Eq. (24) and (52)

$$\frac{\partial y}{\partial \theta} = \mathcal{J}\,\mathbf{b} \cdot \nabla r = \mathrm{i}\,\mathcal{J}\,\nabla V \cdot \nabla r, \tag{167}$$

$$\mathcal{Z} = -\mathcal{J}\,\nabla\phi \times \nabla r \cdot \mathbf{b} = -\mathrm{i}\,\frac{\partial V}{\partial \theta}. \tag{168}$$

Thus,[12]

$$\underline{V}(r) = \underline{\underline{P}}(r)\,\underline{p} + \underline{\underline{Q}}(r)\,\underline{q}, \tag{169}$$

$$\underline{\psi}(r) = \underline{\underline{R}}(r)\,\underline{p} + \underline{\underline{S}}(r)\,\underline{q}, \tag{170}$$

where $V(r,\theta) = \sum_m V_m(r)\,\mathrm{e}^{\mathrm{i}\,m\,\theta}$, $Z_m(r) = m\,V_m(r)$, $\psi_m(r) = m\,y_m(r)$, $\underline{V}(r)$ is the vector of the $V_m(r)$ values, $\underline{\psi}(r)$ is the vector of the $\psi_m(r)$ values, $\underline{\underline{P}}(r)$ is the matrix of the

$$\mathcal{P}_{mm'}(r) = \oint_r (z - \cos\eta)^{1/2}\,\hat{P}_{|m'|-1/2}(z)\,\exp[-\mathrm{i}\,(m\,\theta + m'\,\eta)]\,\frac{d\theta}{2\pi} \tag{171}$$

values, $\underline{\underline{Q}}(r)$ is the matrix of the

$$\mathcal{Q}_{mm'}(r) = \oint_r (z - \cos\eta)^{1/2}\,\hat{Q}_{|m'|-1/2}(z)\,\exp[-\mathrm{i}\,(m\,\theta + m'\,\eta)]\,\frac{d\theta}{2\pi} \tag{172}$$

values, $\underline{\underline{R}}(r)$ is the matrix of the

$$\mathcal{R}_{mm'}(r) = \oint_r \left\{ \left[\frac{1}{2}\,(z - \cos\eta)^{-1/2}\,\hat{P}_{|m'|-1/2}(z) + (z - \cos\eta)^{1/2}\,\frac{d\hat{P}_{|m'|-1/2}}{dz} \right] \mathcal{J}\,\nabla r \cdot \nabla z \right.$$

$$+ \left[\frac{1}{2} (z - \cos \eta)^{-1/2} \sin \eta - \mathrm{i}\, m' (z - \cos \eta)^{1/2} \right] \hat{P}_{|m'|-1/2}(z) \, \mathcal{J} \, \nabla r \cdot \nabla \eta \Big\}$$

$$\times \exp[-\mathrm{i}\,(m\,\theta + m'\,\eta)] \, \frac{d\theta}{2\pi} \tag{173}$$

values, $\underline{\underline{S}}(r)$ is the matrix of the

$$\mathcal{S}_{mm'}(r) = \oint_r \left\{ \left[\frac{1}{2} (z - \cos \eta)^{-1/2} \hat{Q}_{|m'|-1/2}(z) + (z - \cos \eta)^{1/2} \frac{d\hat{Q}_{|m'|-1/2}}{dz} \right] \mathcal{J} \, \nabla r \cdot \nabla z \right.$$

$$\left. + \left[\frac{1}{2} (z - \cos \eta)^{-1/2} \sin \eta - \mathrm{i}\, m' (z - \cos \eta)^{1/2} \right] \hat{Q}_{|m'|-1/2}(z) \, \mathcal{J} \, \nabla r \cdot \nabla \eta \right\}$$

$$\times \exp[-\mathrm{i}\,(m\,\theta + m'\,\eta)] \, \frac{d\theta}{2\pi} \tag{174}$$

values, $\underline{p}$ is the vector of the p_m coefficients, and $\underline{q}$ is the vector of the q_m coefficients. Here, the subscript r on the integrals indicates that they are taken at constant r.

F.   Energy Conservation

According to Eq. (83), the net flux of electromagnetic energy across the plasma-vacuum interface is

$$\mathcal{E} = \mathrm{i}\,\pi^2\,\omega\,(\underline{V}^\dagger\,\underline{\psi} - \underline{\psi}^\dagger\,\underline{V}). \tag{175}$$

However, this flux must be equal the energy flux through the vacuum region, so Eq. (162) gives

$$\mathcal{E} = -\mathrm{i}\,\pi^2\,\omega\,(\underline{q}^\dagger\,\underline{p} - \underline{p}^\dagger\,\underline{q}). \tag{176}$$

Equations (169), (170), and the previous two equations, yield [12]

$$\underline{\underline{P}}^\dagger\,\underline{\underline{R}} = \underline{\underline{R}}^\dagger\,\underline{\underline{P}}, \tag{177}$$

$$\underline{\underline{Q}}^\dagger\,\underline{\underline{S}} = \underline{\underline{S}}^\dagger\,\underline{\underline{Q}}, \tag{178}$$

$$\underline{\underline{P}}^\dagger\,\underline{\underline{S}} - \underline{\underline{R}}^\dagger\,\underline{\underline{Q}} = \underline{\underline{1}}. \tag{179}$$

It can also be demonstrated that

$$\underline{\underline{Q}}\,\underline{\underline{P}}^\dagger = \underline{\underline{P}}\,\underline{\underline{Q}}^\dagger, \tag{180}$$

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$$\underline{\underline{\mathcal{R}}}\,\underline{\underline{\mathcal{S}}}^\dagger = \underline{\underline{\mathcal{S}}}\,\underline{\underline{\mathcal{R}}}^\dagger. \tag{181}$$

The previous five equations hold throughout the vacuum region.

G. No-Wall Matching Condition

Suppose that the plasma is surrounded by a vacuum region that extends to infinity. In this case,

$$\underline{q} = \underline{0}, \tag{182}$$

because the $\underline{\underline{\mathcal{Q}}}(r)$ solutions blow up in an unphysical manner as $r \to \infty$. [See Eqs. (163) and (166)]. It immediately follows from Eq. (176) that

$$\mathcal{E} = 0. \tag{183}$$

In other words, there is zero net flux of electromagnetic energy out of a plasma surrounded by a vacuum region that extends to infinity. It also follows from Eqs. (169) and (170) that

$$\underline{V}(r = a_+) = \underline{\underline{H}}\,\underline{\psi}(r = a), \tag{184}$$

where

$$\underline{\underline{H}} = \underline{\underline{\mathcal{P}}}_a\,\underline{\underline{\mathcal{R}}}_a^{-1} \tag{185}$$

is termed the *no-wall vacuum matrix*. Here, $\underline{\underline{\mathcal{P}}}_a = \underline{\underline{\mathcal{P}}}(r = a)$, et cetera. Equation (177) ensures that $\underline{\underline{H}}$ is Hermitian.

H. Perfect-Wall Matching Condition

Suppose that the plasma is surrounded by a vacuum region that is bounded by a perfectly conducting wall whose inner surface lies at $r = b_w\,a$, where $b_w \geq 1$. Because the wall is perfectly conducting, $\underline{\psi}(r = b_w\,a) = 0$.[18] In other words, the normal component of the perturbed magnetic field is zero at the inner surface of the wall. It follows from Eq. (170) that

$$\underline{p} = -\underline{\underline{I}}_b\,\underline{q}, \tag{186}$$

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where

$$\underline{\underline{I}}_b = \underline{\underline{\mathcal{R}}}_b^{-1}\,\underline{\underline{\mathcal{S}}}_b \tag{187}$$

is termed the *wall matrix*. Here, $\underline{\underline{\mathcal{R}}}_b = \underline{\underline{\mathcal{R}}}(r = b_w\,a)$, et cetera. Equation (181) ensures that $\underline{\underline{I}}_b$ is Hermitian. It immediately follows from Eq. (176) that

$$\mathcal{E} = 0. \tag{188}$$

In other words, there is zero net electromagnetic energy flux out of a plasma surrounded by a vacuum region that is bounded by perfectly conducting wall.

Making use of Eqs. (169) and (170), the matching condition at the plasma-vacuum interface for a perfectly-conducting wall becomes

$$\underline{V}(r = a_+) = \underline{\underline{G}}\,\underline{\psi}(r = a), \tag{189}$$

where

$$\underline{\underline{G}} = (\underline{\underline{\mathcal{Q}}}_a - \underline{\underline{\mathcal{P}}}_a\,\underline{\underline{I}}_b)\,(\underline{\underline{\mathcal{S}}}_a - \underline{\underline{\mathcal{R}}}_a\,\underline{\underline{I}}_b)^{-1} \tag{190}$$

is termed the *perfect-wall vacuum matrix*. Making use of Eqs. (177)–(179), it is easily demonstrated that

$$\underline{\underline{G}} - \underline{\underline{G}}^\dagger = -[(\underline{\underline{\mathcal{S}}}_a - \underline{\underline{\mathcal{R}}}_a\,\underline{\underline{I}}_b)^{-1}]^\dagger\,(\underline{\underline{I}}_b - \underline{\underline{I}}_b^\dagger)\,(\underline{\underline{\mathcal{S}}}_a + \underline{\underline{\mathcal{R}}}_a\,\underline{\underline{I}}_b)^{-1}. \tag{191}$$

Thus, the vacuum matrix, $\underline{\underline{G}}$, is Hermitian because the wall matrix, $\underline{\underline{I}}_b$, is Hermitian.

I. Perturbed Vacuum Potential Energy

Equation (24) implies that

$$\mathcal{J}\,\nabla r \cdot \nabla V = -\mathrm{i}\,\frac{\partial y}{\partial \theta}. \tag{192}$$

The perturbed potential energy in the vacuum region is [12,18]

$$\begin{aligned}
\delta W_v &= \frac{1}{2}\int_{a_+}^{\infty}\oint\oint \mathbf{b}^* \cdot \mathbf{b}\,\mathcal{J}\,dr\,d\theta\,d\phi \\
&= \frac{1}{2}\int_{a_+}^{\infty}\oint\oint \nabla V^* \cdot \nabla V\,\mathcal{J}\,dr\,d\theta\,d\phi \\
&= -\frac{1}{2}\left(\oint\oint \mathcal{J}\,\nabla r \cdot \nabla V^*\,V\,d\theta\,d\phi\right)_{a_+}
\end{aligned}$$

$$= -\frac{1}{2} \left[\oint \oint \left(-i \frac{\partial y}{\partial \theta} \right)^* V \, d\theta \, d\phi \right]_{a_+}$$

$$= -\pi^2 \left(\sum_m m \, y_m^* \, V_m \right)_{a_+}, \tag{193}$$

where use has been made of the facts that $\nabla^2 V = 0$ and $\nabla r \cdot \nabla V = 0$ at the ideal wall.

VI.   CALCULATION OF IDEAL STABILITY

A.   Perturbed Plasma Potential Energy

Let the $y_{mm'}(r)$ and the $Z_{mm'}(r)$ be linearly independent solutions of the axisymmetric ideal-MHD o.d.e.s, (63) and (64), that are well-behaved at the magnetic axis. Here, m indexes the poloidal harmonic, whereas m' indexes the solution. Let

$$\chi_{mm'}(r) = Z_{mm'} + q \, \alpha_g \, y_{mm'} + \alpha_p \sum_{m''} a_m^{m''} \, y_{m''m'}. \tag{194}$$

The most general solution to the axisymmetric ideal-MHD o.d.e.s is written

$$y_m(r) = \sum_{m'} y_{mm'}(r) \, \alpha_{m'}, \tag{195}$$

$$\chi_m(r) = \sum_{m'} \chi_{mm'}(r) \, \alpha_{m'}, \tag{196}$$

where the α_m are arbitrary complex coefficients.

According to Eq. (86), the net perturbed plasma potential energy is

$$\delta W_p = \pi^2 \, \underline{y}^\dagger \, \underline{\chi}, \tag{197}$$

where $\underline{y}$ is the vector of the $y_m(a)$ values and $\underline{\chi}$ the vector of the $\chi_m(a_-)$ values. It follows that

$$\delta W_p = \pi^2 \, \underline{\alpha}^\dagger \, \underline{\underline{y}}^\dagger \, \underline{\underline{\chi}} \, \underline{\alpha}, \tag{198}$$

where $\underline{\alpha}$ is the vector of the α_m values, $\underline{\underline{y}}$ the matrix of the $y_{mm'}(a)$ values, and $\underline{\underline{\chi}}$ the matrix of the $\chi_{mm'}(a_-)$ values. If

$$\underline{\underline{\chi}} = \underline{\underline{W}}_p \, \underline{\underline{y}}. \tag{199}$$

then

$$\delta W_p = \pi^2 \, \underline{\alpha}^\dagger \, \underline{y}^\dagger \, \underline{\underline{W}}_p \, \underline{y} \, \underline{\alpha}. \tag{200}$$

Equations (83), (183), and (188) imply that $\underline{\underline{W}}_p$ is Hermitian. Here, we have made use of the fact that $Z_m(a_-) = \chi_m(a_-)$ because $\alpha_g(a) = \alpha_p(a) = 0$. [See Eq. (87).]

B. Perturbed Vacuum Potential Energy

According to Eq. (193), the perturbed vacuum potential energy is

$$\delta W_v = -\pi^2 \sum_m m \, y_m^*(a) \, V_m(a_+). \tag{201}$$

However, Eqs. (184) and (189) imply that

$$V_m(a_+) = \sum_{m'} H_{mm'} \, m' \, y_{m'}(a) \tag{202}$$

in the no-wall case, and

$$V_m(a_+) = \sum_{m'} G_{mm'} \, m' \, y_{m'}(a) \tag{203}$$

in the perfect-wall case. Hence, we can write

$$\delta W_v = \pi^2 \, \underline{y}^\dagger \, \underline{\underline{W}}_v \, \underline{y}, \tag{204}$$

where $\underline{\underline{W}}_v$ is the matrix of the $-m \, H_{mm'} \, m'$ values in the no-wall case, and the $-m \, G_{mm'} \, m'$ values in the perfect-wall case. Given that $H_{mm'}$ and $G_{mm'}$ are Hermitian, we deduce that $\underline{\underline{W}}_v$ is Hermitian. It follows that

$$\delta W_v = \pi^2 \, \underline{\alpha}^\dagger \, \underline{y}^\dagger \, \underline{\underline{W}}_v \, \underline{y} \, \underline{\alpha}. \tag{205}$$

C. Total Perturbed Potential Energy

The total perturbed potential energy is

$$\delta W = \delta W_p + \delta W_v = \pi^2 \, \underline{\alpha}^\dagger \, \underline{y}^\dagger \, \underline{\underline{W}} \, \underline{y} \, \underline{\alpha}, \tag{206}$$

where

$$\underline{\underline{W}} = \underline{\underline{W}}_p + \underline{\underline{W}}_v. \tag{207}$$

Given that $\underline{\underline{W}}_p$ and $\underline{\underline{W}}_v$ are both Hermitian, we deduce that $\underline{\underline{W}}$ is Hermitian.

D. Ideal Stability

The fact that $\underline{\underline{W}}$ is Hermitian allows us to write

$$\underline{\underline{W}}\,\underline{\underline{\beta}} = \underline{\underline{\beta}}\,\underline{\underline{\Lambda}}, \tag{208}$$

$$\underline{\underline{\beta}}^\dagger\,\underline{\underline{\beta}} = \underline{\underline{1}}, \tag{209}$$

where $\underline{\underline{\beta}}$ is real, and $\underline{\underline{\Lambda}}$ is the diagonal matrix of the real λ_m values. If $\underline{\hat{\alpha}} = \underline{\underline{\beta}}^\dagger\,\underline{\underline{y}}\,\underline{\alpha}$ then

$$\delta W = \pi^2\,\underline{\hat{\alpha}}^\dagger\,\underline{\underline{\Lambda}}\,\underline{\hat{\alpha}} = \pi^2 \sum_m |\hat{\alpha}_m|^2\,\lambda_m. \tag{210}$$

Thus, if any of the λ_m are negative then solutions exist for which δW is negative, and the plasma is consequently unstable to an axisymmetric ideal mode.[18]

Suppose that $\sum_m |\hat{\alpha}_m|^2 = 1$. The ideal energy of the mth mode, for which $\hat{\alpha}_{m'} = \delta_{mm'}$, is

$$\delta W_m = \pi^2\,\lambda_m. \tag{211}$$

However,

$$\underline{\underline{\Lambda}} = \underline{\underline{\beta}}^\dagger\,\underline{\underline{W}}\,\underline{\underline{\beta}}, \tag{212}$$

Thus, the diagonal components of $\underline{\underline{\beta}}^\dagger\,\underline{\underline{W}}_p\,\underline{\underline{\beta}}$ and $\underline{\underline{\beta}}^\dagger\,\underline{\underline{W}}_v\,\underline{\underline{\beta}}$ are the plasma and vacuum contributions to the λ_m, respectively. The eigenfunction of the mth mode is conveniently normalized such that $y_{m'}(a) = \beta_{m'm}$.

VII. RESISTIVE WALL STABILITY

The unnormalized minor radius of the wall is $b = b_w\,\bar{a}$, where $\bar{a} = \epsilon\,R_0$ is the unnormalized minor radius of the plasma. Suppose that the wall is resistive, and possesses an (unnormalized) electrical conductivity σ_w, as well as an (unnormalized) uniform radial thickness d. Consider a particular ideal mode for which the total perturbed potential energy in the absence of a wall, δW_{nw}, is negative, but the total perturbed potential energy in the presence of a perfectly conducting wall, δW_{pw}, is positive. In this case, the no-wall ideal mode is unstable (because $\delta W_{nw} < 0$). In the absence of a the wall, this mode would grow very

rapidly on an Alfvénic timescale. On the other hand, the mode is completely stabilized if the wall is perfectly conducting (because $\delta W_{pw} > 0$). However, because the wall is not perfectly conducting, the unstable ideal mode is instead converted into a much more slowly growing resistive wall mode. Let the (unnormalized) growth-rate of the resistive wall mode be

$$\gamma = \frac{\hat{\gamma}}{\tau_w}, \tag{213}$$

where

$$\tau_w = \mu_0 \, \sigma_w \, b \, d \tag{214}$$

is the (unnormalized) L/R time of the wall. The normalized growth-rate of the resistive wall mode is specified by [24,25]

$$\sqrt{\frac{\hat{\gamma}}{\delta_w}} \tanh\left(\sqrt{\delta_w \, \hat{\gamma}}\right) = -\frac{\delta W_{nw}}{\alpha_w \, \delta W_{pw}}, \tag{215}$$

where $\delta_w = d/b$, and

$$\alpha_w = \frac{(1/2) \int |\mathbf{A}_{nw} \times \mathbf{n}_w|^2 \, dS_w}{\epsilon \, b_w \, (\delta W_{v\,pw} - \delta W_{v\,nw})}. \tag{216}$$

Here, $\delta W_{v\,nw}$ is the vacuum potential energy in the absence of a wall, whereas $\delta W_{n\,pw}$ is the vacuum energy in the presence of a perfectly conducting wall. Moreover, dS_w is an element of the inner surface of the wall, and the integral is over the whole inner surface. Furthermore, the perturbed magnetic field in the vacuum region, in the no-wall case, is written $\nabla \times \mathbf{A}_{nw}$. It is easily demonstrated from Eqs. (24) and (26) that $\mathbf{A}_{nw} = \sum_m y_{m\,nw}(r) \, \mathrm{e}^{\mathrm{i}\,m\,\theta} \, \nabla\phi$, where the $y_{m\,nw}(r)$ are the y-components of the no-wall eigenfunction of the mode in question. Finally, $dS_w = \mathcal{J} \, d\theta \, d\phi$. Hence, we deduce that

$$\alpha_w = \frac{\pi^2 \left(\sum_{m\neq 0} |y_{m\,nw}|^2\right)_{\hat{r}=b_w}}{\delta W_{v\,pw} - \delta W_{v\,nw}}. \tag{217}$$

Here, we have made use of the observation that the unstable ideal mode is characterized by $y_{0\,nw}(r) = 0$ everywhere.

Given that $\underline{q} = \underline{0}$ for a no-wall solution, Eq. (170) yields

$$\underline{\underline{m}} \, \underline{y}_b = \underline{\underline{\mathcal{R}}}_b \, \underline{\underline{\mathcal{R}}}_a^{-1} \, \underline{\underline{m}} \, \underline{y}_a, \tag{218}$$

which enables us to determine the $y_{m\neq0\,nw}(\hat{r} = b_w)$ from the $y_{m\neq0\,nw}(\hat{r} = 1)$. Here, $\underline{\underline{m}}$ is the diagonal matrix of the poloidal mode numbers.

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DATA AVAILABILITY STATEMENT

The digital data used in the figures in this paper can be obtained from the author upon reasonable request.

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