# **Beyond Reverse Bayesianism:**

### **Awareness Growth in Bayesian Networks**

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We examine Steele and Stefánsson's case against Reverse Bayesianism, a popular theory that addresses the problem of awareness growth. We show that Steele and Stefánsson's counterexamples have limited applicability but agree with their skepticism toward Reverse Bayesianism. We strengthen their argument by providing a simpler counterexample that is less prone to objections. In addition, we submit that the problem of awareness growth cannot be tackled in an algorithmic manner, because subject-matter assumptions need to be made explicit. Thanks to their ability to express probabilistic dependencies, we sketch how Bayesian networks can help to model awareness growth in the Bayesian framework.

### 1 Introduction

- Learning is modeled in the Bayesian framework by the rule of conditionalization. This rule
- posits that the agent's new degree of belief in a proposition H after a learning experience E
- should be the same as the agent's old degree of belief in H conditional on E. That is,

$$\mathsf{P}^E(H) = \mathsf{P}(H|E),$$

- where P() represents the agent's old degree of belief (before the learning experience E) and
- $_{6}$   $\mathsf{P}^{E}()$  represents the agent's new degree of belief (after the learning experience E).
- Both E and H belong to the agent's algebra of propositions. This algebra models the
- 8 agent's awareness state, the propositions taken to be live possibilities. Conditionalization never
- 9 modifies the algebra and thus makes it impossible for an agent to learn something they have
- never thought about. Even before learning about E, the agent must already have assigned a

- degree of belief to any proposition conditional on E. This picture commits the agent to the
- specification of their 'total possible future experience' (Howson, 1976), as though learning was
- confined to an 'initial prison' (Lakatos, 1968).
- But, arguably, the learning process is more complex than what conditionalization allows.
- Not only do we learn that some propositions that we were entertaining are true or false, but
- 6 we may also learn new propositions that we did not entertain before. Or we may entertain
- new propositions—without necessarily learning that they are true or false—and this change
- 8 in awareness may in turn change what we already believe. How should this more complex
- 9 learning process be modeled by Bayesianism? Call this the problem of awareness growth.

The algebra of propositions need not be so narrowly construed that it only contains propositions that are presently under consideration. The algebra may also contain propositions which, though outside the agent's present consideration, are still the object, perhaps implicitly, of certain dispositions to believe. But even this expanded algebra will have to be revised sooner or later. The algebra of propositions could in principle contain anything that could possibly be conceived, expressed, thought of. Such a rich algebra would not need to change at any point, but this is an implausible model of ordinary agents with bounded resources such as ourselves.

Critics of Bayesianism and sympathizers alike have been discussing the problem of awareness growth under different names for quite some time, at least since the eighties. This problem arises in a number of different contexts, for example, new scientific theories (Chihara, 1987; Earman, 1992; Glymour, 1980), language changes and paradigm shifts (Williamson, 2003), and theories of induction (Zabell, 1992). A proposal that has attracted considerable scholarly attention in recent years is Reverse Bayesianism (Bradley, 2017; Karni & Vierø, 2015; Wenmackers & Romeijn, 2016). The idea is to model awareness growth as a change in the algebra while ensuring that the proportions of probabilities of the propositions shared between the old and new algebra remain the same in a sense to be specified.

Let  $\mathscr{F}$  be the initial algebra of propositions and let  $\mathscr{F}^+$  the algebra after the agent's awareness state has grown. Both algebras contain the contradictory and tautologous propositions  $\bot$  and  $\bot$ , and they are closed under connectives such as disjunction  $\lor$ , conjunction  $\land$  and negation  $\lnot$ . Denote by X and  $X^+$  the subsets of these algebras that contain only basic propositions, namely

<sup>&</sup>lt;sup>1</sup>Roussos (2021) notes that, for the sake of clarity, the problem of awareness growth should only address propositions which agents are *truly* unaware of (say new scientific theories), not propositions that were temporarily forgotten or set aside. This is a helpful clarification to keep in mind, although the recent literature on the topic does not make a sharp a distinction between true unawareness and temporary unawareness.

- those without connectives. **Reverse Bayesianism** posits that the ratio of probabilities for any
- basic propositions A and B in both X and  $X^+$ —the basic propositions shared by the old and
- new algebra—remain constant through the process of awareness growth:

$$\frac{\mathsf{P}(A)}{\mathsf{P}(B)} = \frac{\mathsf{P}^+(A)}{\mathsf{P}^+(B)},$$

- where P() represents the agent's degree of belief before awareness growth and  $P^+()$  represents
- 5 the agent's degree of belief after awareness growth.
- Reverse Bayesianism is an elegant theory that manages to cope with a seemingly intractable
- problem. As the awareness state of an an agent grows, the agent would prefer not to throw
- <sup>8</sup> away completely the epistemic work they have done previously. The agent may desire to retain
- 9 as much of their old degrees of beliefs as possible. Reverse Bayesianism provides a simple
- recipe to do that. It also coheres with the conservative spirit of Bayesian conditionalization
- which preserves the old probability distribution conditional on what is learned.
- Unfortunately, the conservative spirit of Reverse Bayesianism does not deliver the intuitive
  - results in all cases. There is no shortage of counterexamples against it in the recent philosophical
- literature (Mathani, 2020; Steele & Stefánsson, 2021). In addition, attempts to extent traditional
- 15 arguments in defense of Bayesian conditionalization to the case of awareness growth seem to
- hold little promise (Pettigrew, forthcoming). If the consensus in the literature is that Reverse
- Bayesianism is not the right theory of awareness growth, the open question is, what theory (if
- any) should replace it?

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- Here we offer a diagnosis of what is wrong with Reverse Bayesianism and outline an
- alternative proposal. The problem of awareness growth—we hold—cannot be tackled in an
- 21 algorithmic manner because subject-matter assumptions, both probabilistic and structural, need
- 22 to be made explicit. So any theory of awareness growth cannot be a purely formal theory. This
- does not mean, however, we should give up on probability theory altogether in this context.
- Thanks to its ability to express probabilistic dependencies, we think that the theory of Bayesian
- networks can help to model awareness growth in the Bayesian framework. We offer a number
- of illustrations of this claim (§ 2).
- We share Steele and Stefánsson's skepticism toward Reverse Bayesianism, but also believe
- that their counterexamples have limited applicability (§ 5.1). To remedy that, we provide a

- simpler counterexample that is less prone to objections (§ ??).
- At the same time, we conjecture that the problem of awareness growth cannot be tackled in
- an algorithmic manner because subject-matter assumptions, both probabilistic and structural,
- 4 need to be made explicit. Thanks to its ability to express probabilistic dependencies, we think
- 5 that the theory of Bayesian networks can help to model awareness growth in the Bayesian
- 6 framework. We offer two illustrations of this claim (§ 2).

# 2 Structural assumptions

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- 8 Although there is no shortage of counterexamples to Reverser Bayesianism, we begin with our
- 9 own. This will allow us to underscore the role of structural assumptions in theorizing about
- awareness growth. Consider the following scenario:

LIGHTING: You have evidence that favors a certain hypothesis, say a witness saw the defendant around the crime scene. You give some weight to this evidence. In your assessment, that the defendant was seen around the crime scene (your evidence) raises the probability that the defendant was actually there (your hypothesis). But now you wonder, what if it was dark when the witness saw the defendant? Further, in light of your realization that it could have been dark, you wonder whether (and if so how) you should change the probability that you assigned to the hypothesis that the defendant was around the crime scene.

In this scenario, you do not learn anything specific, neither that the lighting conditions were bad nor that they were good. You simply wonder about what they were. So no Bayesian updating takes place in the strict sense, although broadly speaking some new information has been introduced.<sup>2</sup> Something has changed in your epistemic state—you have a more fine-grained assessment of what could have happened—but it is not clear what you should do in this scenario. Since the lighting conditions could have been bad but could also have been good, perhaps you should just stay put until you learn anything specific. In what follows, we illustrate

<sup>&</sup>lt;sup>2</sup>HERE EXPLAIN DIFFERENCE WITH STEELE AND STEFANSSON. The process of awareness growth in LIGHTING adds only one extra variable, lighting conditions, while MOVIES adds two extra variables, language difficulty and whether the owner is simple-minded or not. Further, MOVIES contains a clear-cut case of learning, that the owner *is* simple-minded. This is not so in LIGHTING. Strictly speaking, you are learning that it is *possible* that the lighting conditions were bad. However, you are not conditioning on the proposition 'the lighting conditions were bad' or 'the lighting conditions were good'. So you are not learning about the lighting conditions in the sense in which learning is understood in this paper.

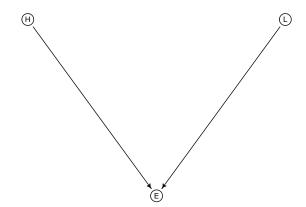
- how Bayesian networks helps to model what is going on in LIGHTING and then show that you
- should not stay put in this scenario and should probably revise downward your confidence in
- 3 the hypothesis that the defendant was around the crime scene.
- A Bayesian network is compact formalism to represent probabilistic dependencies. A
- 5 Bayesian network consists of a direct acyclic graph (DAG) accompanied by a probability
- 6 distribution. The nodes in the graph represent random variables that can take different values.
- We will use 'nodes' and 'variables' interchangeably. The nodes are connected by arrows, but
- 8 no loops are allowed, hence the name direct acyclic graph. Bayesian networks are relied upon
- 9 in many fields, but have been rarely deployed to model awareness growth (the exception is
- Williamson (2003)). We think instead they are a good framework for this purpose. Awareness
- growth can be modeled as a change in the graphical network—nodes and arrows are added or
- erased—as well as a change in the probability distribution from the old to the new network.
- To model LIGHTING with Bayesian networks, we start with this graph, which is the usual hypothesis-evidence idiom:

where H is the hypothesis node and E the evidence node. If an arrow goes from H to E, the probability distribution associated with the Bayesian network should be defined by the prior probabilities for all the states of H, and conditional probabilities of the form P(E=e|H=h), where uppercase letters represent the variables (nodes) and lower case letters represent the values of these variables. Since you trust the evidence, you think that the evidence is more likely under the hypothesis that the defendant was present at the crime scene than under the alternative hypothesis:

$$P(E=seen|H=present) > P(E=seen|H=absent)$$

- 23 The inequality is a qualitative ordering of how plausible the evidence is in light of competing
- 24 hypotheses. No matter the numbers, by the probability calculus, it follows that the evidence
- raises the probability of the hypothesis H=present.
- Now, as you wonder about the lighting conditions, the graph should be amended:

<sup>&</sup>lt;sup>3</sup>A major point of contention in the interpretation of Bayesian networks is is the meaning of the directed arrows. They could be interpreted causally—as though the direction of causality proceeds from the events described by the hypothesis to event described by the evidence—but they need not be; see footnote 10.



- where the node L can have two values, L=good and L=bad. Commonsense as well as psycho-
- 3 logical findings suggest that as visibility deteriorates, people's ability to identify faces worsen.
- 4 So a plausible way to modify your assessment of the evidence is as follows:

$$\mathsf{P}^+(E = seen | H = present \land L = good) > \mathsf{P}^+(E = seen | H = absent \land L = good)$$

$$\mathsf{P}^+(E = seen | H = present \land bad) = \mathsf{P}^+(E = seen | H = absent \land L = bad)$$

Here is what you are thinking: if the lighting conditions were good, you should still trust the

evidence like you did before (first line). But if the lighting conditions were bad, you should

8 regard the evidence as no better than chance (second line). These probabilistic constraints are

9 plausible, but should ultimately be grounded an verifiable empirical regularities.

Despite the change in awareness, you have not learned anything definitive in the strict sense.

Your new stock of evidence does not contain neither the information that the lighting conditions

were bad nor that they were good. But the Bayesian network structure that represents your

epistemic state is now more fine-grained. It contains the new variable L which it did not

contain prior to the episode of awareness growth. In addition—and this is the crucial point—the

new variable bears certain  $structural\ relationships$  with the variables H and E. The graphical

network allows for a direct probabilistic dependency between the lighting conditions l and

the witness sensory experience E, but does not allow for any direct dependency between

the lighting conditions and the fact that the defendant was (or was not) at the crime scene.

This structure of dependencies capture our causal intuitions about the scenario: the lighting

conditions do affect what the witness could see, but do not directly affect what the defendant

21 might have have done.

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The general moral here is this: episodes of awareness growth should not simply be modeled

- by the addition of new propositions that were not previously in the algebra. Since they take
- place against the background of an intuitive causal structure of the world, this structure should
- also be modeled. Bayesian networks offer a tried and tested formal framework that can do
- 4 precisely that. (More on this soon.)
- With a formal model of the scenario at hand, let us now tackle the question whether you
- should assess the evidence at your disposal—that the witness saw the defendant at the crime
- scene—any differently than before. The evidence would have the same value if the likelihood
- 8 ratios associated with it relative to the competing hypotheses were the same before and after
- 9 awareness growth:

$$\frac{\mathsf{P}(E = e|H = h)}{\mathsf{P}(E = e|H = h')} = \frac{\mathsf{P}^+(E = e|H = h)}{\mathsf{P}^+(E = e|H = h')}.$$
 (C)

- But, in changing the probability function from P() to  $P^+()$ , it would be quite a coincidence if
- (C) were true. In our example, many possible probability assignments violate this equality. If
- before awareness growth you thought the evidence favored the hypothesis H=present to some
- extent, after the growth in awareness, the evidence is likely to appear less strong.<sup>4</sup>

$$\frac{\mathsf{P}^+(E=e|H=h)}{\mathsf{P}^+(E=e|H=h')} = \frac{\mathsf{P}^+(E=seen \land L=good|H=present) + \mathsf{P}^+(E=seen \land L=bad|H=present)}{\mathsf{P}^+(E=seen \land L=good|H=absent) + \mathsf{P}^+(E=seen \land L=bad|H=absent)}$$

For concreteness, let's use some numbers:

$$\begin{split} \mathsf{P}(E = seen | H = present) &= \mathsf{P}^+(E = seen | H = present \land L = good) = .8 \\ \mathsf{P}(E = seen | H = absent) &= \mathsf{P}^+(E = seen | H = absent \land L = good) = .4 \\ \mathsf{P}^+(E = seen | H = present \land L = bad) &= \mathsf{P}^+(E = seen | H = absent \land L = bad) = .5. \\ \mathsf{P}^+(L = bad) &= \mathsf{P}^+(L = good) = .5. \end{split}$$

So the ratio  $\frac{P(E=seen|H=present)}{P(E=seen|H=absent)}$  equals 2. After the growth in awareness, the ratio  $\frac{P^+(E=seen|H=present)}{P^+(E=seen|H=absent)}$  will drop to  $\frac{.65}{.45} \approx 1.44$ . The calculations here rely on the dependency structure encoded in the Bayesian network (see starred step below).

$$\begin{split} \mathsf{P}^{+}(E = seen | H = present) &= \mathsf{P}^{+}(E = seen \land L = good | H = present) + \mathsf{P}^{+}(E = seen \land L = bad | H = present) \\ &= \mathsf{P}^{+}(E = seen | H = present \land L = good) \times \mathsf{P}^{+}(L = good | H = present) \\ &+ \mathsf{P}^{+}(E = seen | H = present \land L = bad) \times \mathsf{P}^{+}(L = bad | H = present) \\ &= ^{*}\mathsf{P}^{+}(E = seen | H = present \land L = good) \times \mathsf{P}^{+}(L = good) \\ &+ \mathsf{P}^{+}(E = seen | H = present \land L = bad) \times \mathsf{P}^{+}(L = bad) \\ &= .8 \times .5 + .5 * .5 = .65 \end{split}$$

$$\begin{split} \mathsf{P}^{+}(E{=}seen|H{=}absent) &= \mathsf{P}^{+}(E{=}seen \land L{=}good|H{=}absent) + \mathsf{P}^{+}(E{=}seen \land L{=}bad|H{=}absent) \\ &= \mathsf{P}^{+}(E{=}seen|H{=}absent \land L{=}good) \times \mathsf{P}^{+}(L{=}good|H{=}absent) \\ &+ \mathsf{P}^{+}(E{=}seen|H{=}absent \land L{=}bad) \times \mathsf{P}^{+}(L{=}bad|H{=}absent) \\ &=^{*} \mathsf{P}^{+}(E{=}seen|H{=}absent \land L{=}good) \times \mathsf{P}^{+}(L{=}good) \\ &+ \mathsf{P}^{+}(E{=}seen|H{=}absent \land L{=}bad) \times \mathsf{P}^{+}(L{=}bad) \\ &= .4 \times .5 + .5 * .5 = .45 \end{split}$$

<sup>&</sup>lt;sup>4</sup>By the law of total probability, the right hand side of the equality in (C) should be expanded, as follows:

- Why does this matter? We have seen that, after awareness growth, you should typically
- regard the evidence E=seen as one that favors H=present less strongly. This outcome violates
- Reverse Bayesianism since the ratio of the probabilities of H=present to E=seen, before and
- 4 after awareness growth, has changed:

$$\frac{\mathsf{P}^{E=seen}(H=present)}{\mathsf{P}^{E=seen}(E=seen)} \neq \frac{\mathsf{P}^{+,E=seen}(H=present)}{\mathsf{P}^{+,E=seen}(E=seen)},$$

- where  $P^{E=seen}()$  and  $P^{+,E=seen}()$  represent the agent's degrees of belief, before and after aware-
- ness growth, updated by the evidence  $E=seen.^5$
- Besides counterexamples that can be leveled against Reverse Bayesianism, we think there
- 8 is a more general lesson to be learned to which we have already alluded to. It has to do with
- 9 the importance of formalizing structural assumptions and the role of Bayesian networks in
- modeling awareness growth. To strengthen this point, consider this variation of the LIGHTING
- 11 scenario:
- VERACITY: A witness saw that the defendant was around the crime scene and
- you initially took this to be evidence that the defendant was actually there. But
- then you worry that the witness might be lying or misremembering what happened.
- Perhaps, the witness was never there, made things up or mixed things up. Should
- you reassess the evidence at your disposal? If so, how?
- 17 It might seem that this scenario is no different from LIGHTING. The realization that lighting
- 18 could be bad should make you less confident in the truthfulness of the sensory evidence. And
- the same conclusion should presumably follow from the realization that the witness could be
- 20 lying. But, upon closer scrutiny, things are not that simple. The evidence at your disposal
- 21 in LIGHTING is the sensory evidence—the experience of seeing—and the possibility of bad
- 22 lighting does warrant lowering your confidence in the truthfulness of the visual experience.
- 23 But the possibility of lying in VERACITY does not warrant lowering your confidence in the

$$P^+(H=present|E=seen) \neq P(H=present|E=seen).$$

This argument can be repeated with many other numerical assignments.

<sup>&</sup>lt;sup>5</sup>The scenario also violates a variant of Reverse Bayesianism, what (Steele & Stefánsson, 2021) call Awareness Rigidity. This requires that  $P^+(A|T^*) = P(A)$ , where  $T^*$  corresponds to a proposition that picks out, from the vantage point of the new awareness state, the entire possibility space before the episode of awareness growth. In LIGHTING, however,  $T^*$  does not change, so Awareness Rigidity would require that  $P^+(A) = P(A)$ , and instead in the scenario, we have

- truthfulness of the visual experience, only in the truthfulness of the *reporting* of that experience.
- <sup>2</sup> The distinction between the visual experience and its reporting is crucial here. Bayesian
- 3 networks help to model this distinction precisely, and then see why LIGHTING and VERACITY
- 4 are structurally different.

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- The graphical network should initially look like the initial DAG for LIGHTING, consisting
- of the hypothesis node H upstream and the evidence node E downstream. As your awareness
- <sup>7</sup> grows, the graphical network should be updated by adding another node *R* further downstream:



- 9 As before, the hypothesis node H bears on the whereabouts of the defendant and has two values,
- $^{10}$  H=present and H=absent. Note the difference between E and R. The evidence node E bears
- on the visual experience had by the witness. The reporting node R, instead, bears on what the
- witness reports to have seen. The chain of transmission from 'visual experience' to 'reporting'
- may fail for various reasons, such as lying or misremembering.
- In VERACITY, the conditional probabilities, P(E = e|H = h) should be the same as  $P^+(E = e|H = h)$
- e|H=h| for any values e and h of the variables H and E that are shared before and after
- <sup>16</sup> awareness growth. In comparing the old and new Bayesian network, this equality falls out
- from their structure, as the connection between H and E remains unchanged. Thus, Reverse
- Bayesianism and Awareness Rigidity are perfectly fine in scenarios such as VERACITY.
- This does not mean that the assessment of the probability of the hypothesis *H*=*present* should
- 20 undergo no change. If you worry that the witness could have lied, this should presumably
- make you less confident about H=present. To accommodate this intuition, VERACITY can
- be interpreted as a scenario in which an episode of awareness refinement takes place together
- with a form of retraction. At first, after the learning episode, you update your belief based on
- the visual experience of the witness. But after the growth in awareness, you realize that your
- learning is in fact limited to what the witness reported to have seen. The previous learning
- episode is retracted and replaced by a more careful statement of what you learned: instead
- of conditioning on E=seen, you should condition on what the witness reported to have seen,
- R=seen-reported. This retraction will affect the probability of the hypothesis H=present.
- 29 Where does this leave us? Refinement cases that might at first appear similar can be
- 30 structurally different in important ways, and this difference can be appreciated by looking

- at the Bayesian networks used to model them. In modeling VERACITY, the new node is
- <sup>2</sup> added downstream, while in modeling LIGHTING, it is added upstream. This difference affects
- 3 how probability assignments should be revised. Since the conditional probabilities associated
- with the upstream nodes are unaffected, Reverse Bayesianism is satisfied in VERACITY.<sup>6</sup> By
- 5 contrast, since the conditional probabilities associated with the downstream node will often
- 6 have to change, Reverse Bayesianism fails in LIGHTING.
- This discussion suggests a conjecture: structural features about how we conceptualize a
- 8 specific scenario are the guiding principles about how we update the probability function
- 9 through awareness growth, not a formal principle like Reverse Bayesianism. We further
- elaborate on this conjecture by drawing on some examples from Anna Mathani.

# 3 Mathani's counterexamples

Mathani (2020) offers two counterexamples to Reverse Bayesianism. The first goes like this:

TENANT: Suppose that you are staying at Bob's flat which he shares with his landlord. You know that Bob is a tenant, and that there is only one landlord, and that this landlord also lives in the flat. In the morning you hear singing coming from the shower room, and you try to work out from the sounds who the singer could be. At this point you have two relevant propositions that you consider possible ... Landlord standing for the possibility that the landlord is the singer, and Bob standing for the possibility that Bob is the singer ... Because you know that Bob is a tenant in the flat, you also have a credence in the proposition Tenant that the singer is a tenant. Your credence in Tenant is the same as your credence in Bob, for given your state of awareness these two propositions are equivalent ... Now let's suppose the possibility suddenly occurs to you that there might be another tenant living in the same flat (Other).

Initially, you thought the singer could either be the landlord or Bob, the tenant. Then you come to the realization that a third person could be the singer, another tenant. Before awareness growth, that Bob is in the shower and that a tenant is in the shower are equivalent descriptions.

<sup>28</sup> After awareness growth, this equivalence breaks down.

<sup>&</sup>lt;sup>6</sup>Note that  $P(H=present|E=seen) \neq P(H=present|R=seen-reported)$ , but since you are conditioning on different propositions, this does not conflict with Reverse Bayesianism.

Why is this scenario problematic? Suppose, after you hear singing in the shower, you become sure someone is in there, but you cannot tell who. So P(Landlord) = P(Bob) = 1/2, and since *Bob* and *Tenant* are equivalent, also P(Tenant) = 1/2. Now, *Landlord*, *Bob* and *Tenant* are all propositions that you were originally aware of, and thus Reverse Bayesianisn requires that their assigned probabilities should remain in the same proportion after your awareness grows. But note that *Other* entails *Tenant* and *Bob* and *Other* are disjoint, so it follows that  $P^+(Other)$  must have zero probability. This is an undesired outcome that rules out the possibility that there could be a third person in the shower.

Consider now Mathani's second counterexample:

COIN: You know that I am holding a fair ten pence UK coin which I am about to toss. You have a credence of 0.5 that it will land *Heads*, and a credence of 0.5 that it will land *Tails*. You think that the tails side always shows an engraving of a lion. So you also have a credence of 0.5 that (*Lion*) it will land with the lion engraving face-up: relative to your state of awareness *Tails* and *Lion* are equivalent.... Now let's suppose that you somehow become aware that occasionally ten pence coins have .... an engraving of Stonehenge on the tails side.

Tails and Lion are equivalent propositions prior to awareness growth. Suppose you initially gave Tails and Lion the same credence. Reverse Bayesianism requires that their relative proportions should stay the same after awareness grow. The same applies to Heads and Tails.

But since Lion and Stonehenge are incompatible and the latter entails Tails, you should have P+(Stonehenge) = 0, again an undesirable conclusion.

Mathani notes that COIN has the same structure as TENANT. This is true to some extent, but there is also an interesting asymmetry between the two scenarios. In TENANT, it is natural to assign 1/3 to Landlord, Bob and Other after awareness growth. That someone is singing in

the shower is evidence that someone must be in there, but without any more discriminating evidence, each person should be assigned the same probability. Consequently, a probability of 2/3 should be assigned to *Tenant*. On this picture, the proportion of *Landlord* to *Tenant* 

changes from 1:1 (before awareness growth) to 1:2 (after awareness growth). But, in COIN,

the relative proportion of *Heads* to *Tails* should remain constant throughout, unless evidence

<sup>&</sup>lt;sup>7</sup>If P<sup>+</sup>(*Other*) > 0, the proportion of *Tenant* to *Landlord* or the proportion of *Bob* to *Landlord* should change. 
<sup>8</sup>Awareness Rigidity is no of help either because it would require that P<sup>+</sup>(*Landlord*|*Landlord*  $\vee$  *Tenant*) = P<sup>+</sup>(*Bob*|*Landlord*  $\vee$  *Tenant*) both equal 1/2, thus forcing P<sup>+</sup>(*Other*|*Landlord*  $\vee$  *Tenant*) to zero.

- emerges that the coin is not fair. One might have expected that *Landlord* and *Tenant* would
- behave just like *Heads* and *Tails*, but actually they do not.

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- Bayesian networks can help to model the asymmetry between these two scenarios. Consider
- 4 COIN first. The structure of the scenario is represented by the following graph:



The upstream node *Outcome* has two states, *tails* and *heads*. These two states remain the same throughout. What changes are the states associated with the *Imagine* node downstream. Before awareness growth, the node *Image* has two states: *lions* and *heads-image*. You assume that *Image* = *lions* is true if and only if *Outcome* = *tails* is true. Then, you come to the realization that the imagines for tails include a lion or a stonehenge engraving. So, after awareness growth, the node *Image* contains three states: *lion*, *stonehenge* and *heads-image*. Consider now the other scenario, TENANT. We start with the following graph:



Initially, the upstream node *Person* has two possible states, representing who is in the bathroom singing: *landlord-person* and *bob*. To simplify things, the assumption here is that the evidence of singing has already ruled out the possibility that no one would be in the shower. The downstream node *Role* has also two values, *landlord* and *tenant*. After your awareness grows, the upstream node *Person* should now have one more possible state, *other*.

The difference in modeling the two scenarios is this. In COIN, the states of the upstream node remain fixed, whereas in TENANT, they change. After awareness growth, no new state is added to *Outcome*, but an additional state, *other*, is added to *Person*. Plausible probability distributions for the Bayesian networks associated with the two scenarios are displayed in Table 1. How the networks should be built and which probabilities should shift is based on our background knowledge. This knowledge tells us that the equiprobability of *heads* and *tails* should not be affected by realizing that *stonhenge* is another possible engraving for the tails side. It also tells us that the probabilities of *landlord* and *tenant* should be affected by realizing that a third person could be in the shower.

<sup>&</sup>lt;sup>9</sup>The heads side must have some image, not specified in the scenario.

We conclude with some programmatic remarks. We think that the awareness of agents grows while holding fixed certain material structural assumptions, based on commonsense, semantic stipulations or causal dependency. To model awareness growth, we need a formalism that can express these material structural assumptions. This can done using Bayesian networks, and we offered some illustrations of this strategy. These material assumptions also guide us in formulating the adequate conservative constraints, and these will inevitably vary on a case-by-case basis. The literature on awareness growth from a Bayesian perspective is primarily concerned with a formal, almost algorithmic solution to the problem. Insofar as Reverse Bayesianism is an expression of this formalistic aspiration, we agree with Steele and Stefánsson that we are better off looking elsewhere.

# 4 Towards a general theory

Awareness growth can occur in different ways. The key question is to what extent probability assignments that were made prior to the episode of awareness growth can be retained. There seems to no clear rule that can decide that. We propose the following procedure. Construct a Bayesian network prior to awareness growth and compare it with the new Bayesian network after awareness growth. If the new arrows and nodes are all downstream, the old probabilities table should not be changed. The paradigmatic cases of this are scenarios VERACITY and COIN. If, instead, the new arrows and nodes are upstream, the old probabilities tables should be changed. The paradigmatic examples are LIGHTING and TENANT.

# 5 Counterexamples

- In this section, we rehearse two of the counterexamples to Reverse Bayesianism by Steele and Stefánsson. One example targets awareness expansion and the other awareness refinement (more on this distinction soon). We show why they make a limited case against Reverse Bayesianism and then provide a better counterexample with the aid of Bayesian networks.
- bayesianism and their provide a better counterexample with the aid of Bayesian networks.

<sup>&</sup>lt;sup>10</sup>Arrows in Bayesian networks are often taken to represent causal relationships, but other interpretations exist. Schaffer (2016) discusses an interpretation in which arrows represent grounding relations rather than causality.

P(Image Outcome)		Outcome	
		heads	tails
Image	lion	0	1
	heads-image	1	0
$P^+(Image Outcome)$		Outcome	
		heads	tails
	lion	0	1/2
Image	stonehenge	0	1/2
	heads-image	1	0
$P(Outcome) = P^+(Outcome)$	Outcome		
	heads	tails	
	1/2	1/2	

P(Role Person)		Person		
		landlord-person	bob	
Role	tenant	0	1	
	landlord	1	0	
$P^+(Role Person)$		Person		
		landlord-person	bob	other
Role	tenant	0	1/2	1/2
	landlord	1	0	0
P(Person)	Person			
	landlord-person	bob		
	1/2	1/2		
$P^+(Person)$	Person			
	landlord-person	bob	other	
	1/3	1/3	1/3	

Table 1: Top table displays a plausible probability distribution for COIN and bottom table does the same for TENANT.

#### 5.1 Friends and Movies

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- The difference between expansion and refinement is intuitively plausible, but can be tricky
- 3 to pin down formally. A rough characterization will suffice here. Suppose, as is customary,
- 4 propositions are interpreted as sets of possible worlds, where the set of all possible worlds is
- 5 the possibility space. An algebra of propositions thus interpreted induces a partition of the
- 6 possibility space. Refinement occurs when the new proposition added to the algebra induces a
- more fine-grained partition of the possibility space. Expansion occurs when the new proposition
- 8 is inconsistent with the existing ones, thus making the old partition no longer exhaustive.
- The first counterexample by Steele and Stefánsson targets cases of awareness expansion:

FRIENDS: Suppose you happen to see your partner enter your best friend's house on an evening when your partner had told you she would have to work late. At that point, you become convinced that your partner and best friend are having an affair, as opposed to their being warm friends or mere acquaintances. You discuss your suspicion with another friend of yours, who points out that perhaps they were meeting to plan a surprise party to celebrate your upcoming birthday—a possibility that you had not even entertained. Becoming aware of this possible explanation for your partner's behaviour makes you doubt that she is having an affair with your friend, relative, for instance, to their being warm friends. (Steele & Stefánsson, 2021, sec. 5, Example 2)

Initially, the algebra only contains the hypotheses 'my partner and my best friend met to
have an affair' (*Affair*) and 'my partner and my best friend met as friends or acquaintances'
(*Friends/acquaintances*). The other proposition in the algebra is the evidence, that is, the fact
that your partner and your best friend met one night without telling you (*Secretive*). Given this
evidence, *Affair* is more probable than *Friends/acquaintances*:

$$P(Affair|Secretive) > P(Friends/acquaintances|Secretive).$$
 (>)

- <sup>25</sup> When the algebra changes, a new hypothesis is added which you had not considered before:
- 26 your partner and your best friends met to plan a surprise party for your upcoming birthday

(Surprise). Given the same evidence, Friends/acquaintances is now more likely than Affair:

$$P^{+}(Affair|Secretive) < P^{+}(Friends/acquaintances|Secretive).$$
 (<)

This holds assuming that hypothesis *Surprise* is more likely than the hypothesis *Affair*:

$$P^{+}(Surprise|Secretive) > P^{+}(Affair|Secretive),$$

- and, in addition, that Surprise implies Friends/acquaintances. After all, in order to prepare a
- 4 surprise party, your partner and best friend have to be at least acquaintances.
- The conjunction of (>) and (<) violates Reverse Bayesianism since Friends/acquaintances
- and Affair are basic propositions that do not contain any connectives. But, as Steele and
- <sup>7</sup> Stefánsson admits, Reverse Bayesianism can still be made to work with a slightly different—
- 8 though quite similar in spirit—condition, called Awareness Rigidity:

$$\mathsf{P}^+(A|T^*) = \mathsf{P}(A),$$

- $^{9}$  where  $T^{*}$  corresponds to a proposition that picks out, from the vantage point of the new
- awareness state, the entire possibility space before the episode of awareness growth. In our
- running example, the proposition  $\neg Surprise$  picks out the entire possibility space in just this
- way. And conditional on  $\neg Surprise$ , the probability of Affair does not change. Thus,

$$\mathsf{P}^+(\mathit{Affair}|\mathit{Secretive} \& \neg \mathit{Surprise}) > \mathsf{P}^+(\mathit{Friends/acquaintances}|\mathit{Secretive} \& \neg \mathit{Surprise}).$$

- Awareness Rigidity is satisfied. Reverse Bayesianism—the spirit of it, not the letter—stands.
- This is not the end of the story, however. Steele and Stefánsson offer another counterexample
- that also works against Awareness Rigidity, this time targeting a case of refinement:
- MOVIES: Suppose you are deciding whether to see a movie at your local cinema.
- You know that the movie's predominant language and genre will affect your
- viewing experience. The possible languages you consider are French and German
- and the genres you consider are thriller and comedy. But then you realise that,
- due to your poor French and German skills, your enjoyment of the movie will
- also depend on the level of difficulty of the language. Since it occurs to you that

- the owner of the cinema is quite simple-minded, you are, after this realisation,
  much more confident that the movie will have low-level language than high-level
  language. Moreover, since you associate low-level language with thrillers, this
  makes you more confident than you were before that the movie on offer is a thriller
  as opposed to a comedy. (Steele & Stefánsson, 2021, sec. 5, Example 3)
- 6 This is a case of refinement. For you initially categorized movies by just language and genre,
- and then you refined your categorization by adding another variable, level of difficulty. Without
- 8 considering language difficulty, you assigned the same probability to the hypotheses Thriller
- 9 and Comedy. But learning that the owner was simple-minded made you think that the level
- of linguistic difficulty must be low and the movie most likely a thriller rather than a comedy
- 11 (perhaps because thrillers are simpler—linguistically—than comedies). So, against Reverse
- Bayesianism, Movies violates the condition  $\frac{P(\textit{Thriller})}{P(\textit{Comedy})} = \frac{P^+(\textit{Thriller})}{P^+(\textit{Comedy})}$
- The counterexample also violates Awareness Rigidity. For consider a proposition that picks out the entire possibility space, for example,  $Thriller \lor Comedy$ . Awareness Rigidity would require that  $P(Thriller) = P^+(Thriller|Thriller \lor Comedy)$ . But MOVIES does not satisfy this equality since the probability of Thriller has gone up.
- How good of a counterexample is this? Steele and Stefánsson consider an objection:
- It might be argued that our examples are not illustrative of ... a simple growth in awareness; rather, our examples illustrate and should be expressed formally as complex learning experiences, where first there is a growth in awareness, and then there is a further learning event ... In this way, one could argue that the awareness-growth aspect of the learning event always satisfies Reverse Bayesianism.
- Admittedly, MOVIES can be split into two episodes. In the first, you entertain a new variable besides language and genre, namely the language difficulty of the movie. In the second episode, you learn something you did not consider before, namely that the owner is simple-minded. Could Reserve Bayesianism still work for the first episode, but not the second? Steele and Stefánsson do not address this question explicitly, but insist that no matter the answer both episodes are instances of awareness growth. We agree with them on this point. Awareness growth is both *entertaining* a new proposition not in the initial awareness state of the agent

<sup>&</sup>lt;sup>11</sup>Since MOVIES is a case of refinement, *Thriller*  $\lor$  *Comedy* picks out the entire possibility space both before and after awareness growth.

- and *learning* a new proposition. Nonetheless, many could still wonder. Is the second episode
- 2 (learning something new) necessary for the counterexample to work together with the first
- episode (mere refinement without learning)?
- Suppose the counterexample did work only in tandem with an episode of learning something
- new. If that were so, defenders of Reverse Bayesianism or Awareness Rigidity could still
- 6 claim that their theory applies to a large class of cases. It applies to cases of awareness
- refinement without learning and also to cases of awareness expansion. For recall that the
- 8 first putative counterexample featuring awareness expansion—FRIENDS—did not challenge
- 9 Reverse Bayesianism insofar as the latter is formulated in terms of its close cousin, Awareness
- Rigidity. So the force of Steele and Stefánsson's counterexamples would be rather limited.

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