

# Day 2, AM Session

## From raw reads to filtered variants

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Addition on yesterday's discussion and  
downloading script for today's lesson

# GitHub

- Online service for software development and code storage
- Navigate to <https://github.com/>
- Find rflamio
- Repositories > sockeye\_GWAS
  - README.md files provide instructions to the reader about repository contents
  - Can include R Markdowns, scripts, datasets (including intermediate files), etc.

# GitHub and R Markdown

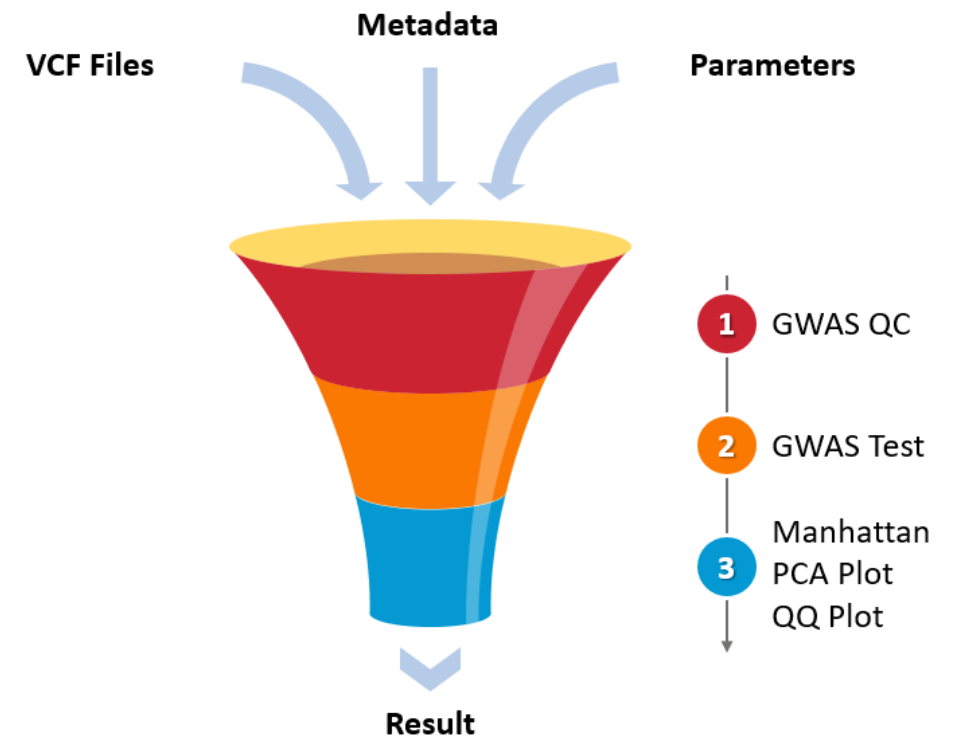
- Download 'SockeyeVariantCalling.Rmd' and open in R Studio
- Observe prelude, non-chunks, and chunks
- Observe Table of Contents on right-hand side

If you have an R server, you can run R and Bash scripts at the same time without performing the functions in separate windows.

# Variant calling and filtration

# First, some background

- This course sets the student up for conducting a genome-wide association study (GWAS)
- Retrieving genomic data from many individuals and correlating variation between individuals with a changing phenotypic trait



# Metadata

- Any data associated with an individual
- Sex, age, population, phenotypic trait of interest, etc.
- Usually data tables stored in .csv or .txt file
  - I create mine in Microsoft Excel

HiSeq_ID	PIT_Floy_tag	Alias	Species	MU	Wild_Hatchery	Inc1_Exc0	Date	River	RM	Length	Weight	Sex	Lat	Long
RSP_001	4704550E5B	GPM-048	PLS	GPMU	w	1	5/6/19	Yellowstone	6.6	1354		M	47.89594	-103.95837
RSP_002	444334021A	MOS-053	PLS	GPMU	w	1	5/7/19	Yellowstone	5.7	1440		M		
RSP_003	1F557B2071	MOS-065	PLS	GPMU	w	1	6/9/19	Yellowstone	4	1417		M	47.93047	-103.96272
RSP_004	1F4A143350	SA-002	PLS	GPMU	w	1	5/28/19	Yellowstone	4.6	1375		M	47.92253	-103.96469
RSP_007	003C06F43B	MOS-062	PLS	GPMU	w	1	6/19/19	Yellowstone	6	1450		M	47.90357	-103.95621
RSP_009	7F7B023408	GPM-045	PLS	GPMU	w	1	6/13/19	Yellowstone	6.3	1475			47.90007	-103.95642
RSP_011	220D4E6A57	MOS-042	PLS	GPMU	w	1	6/19/19	Yellowstone	6	1455		M	47.90357	-103.95621
RSP_012	41475D3C5D	MOS-110	PLS	GPMU	w	1	6/13/19	Yellowstone	6.3	1442		M	47.90007	-103.95642
RSP_015	115669540A	MOS-106	PLS	GPMU	w	1	6/13/19	Yellowstone	6.3	1360		M	47.90007	-103.95642

# In this lesson we will focus on producing and filtering VCF files

VCF = Variant Call Format

- Stores variant calls for individuals
- Ideal to have a joint file where many individuals are in the same file with their corresponding variants

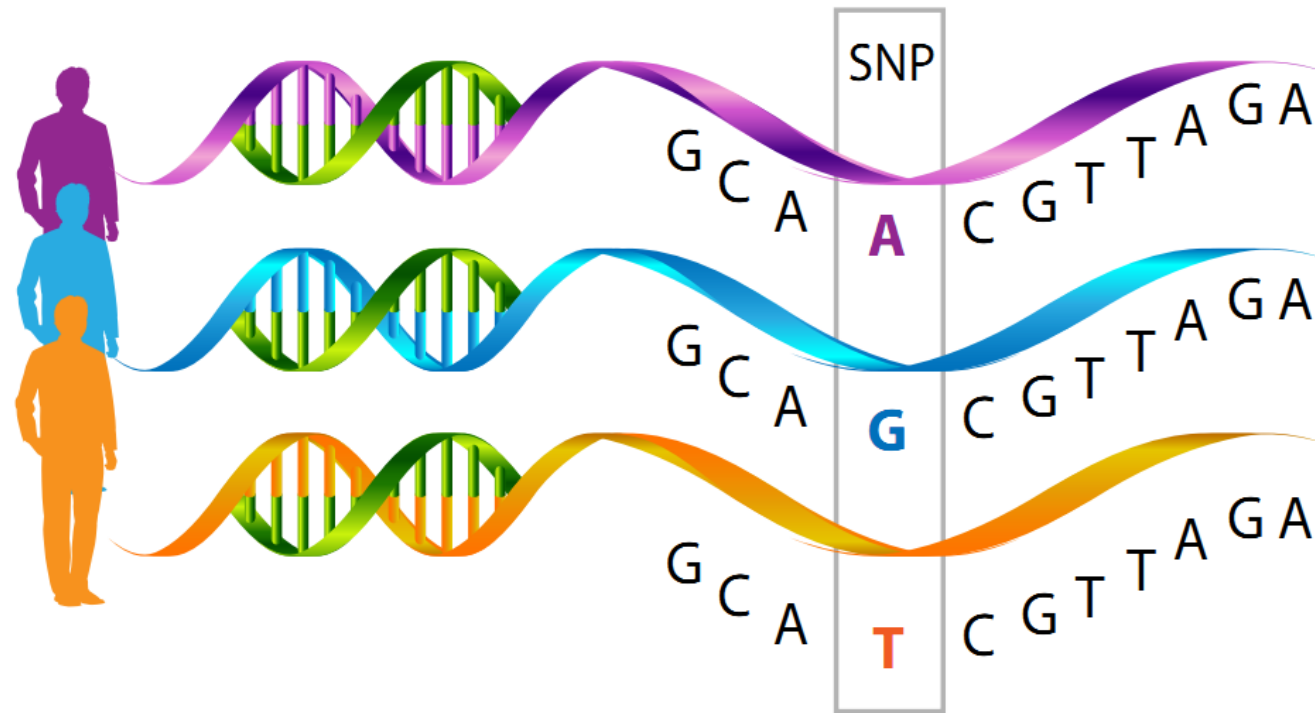
```
##fileformat=VCFv4.2
##contig=<ID=2,length=51304566>
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
```

#CHROM	POS	ID	REF	ALT	QUAL	FILTER	INFO	FORMAT	SAMPLE1	SAMPLE2	SAMPLE3	SAMPLE4	SAMPLE5	SAMPLE6	SAMPLE7
2	81170	.	C	T	.	.	AC=9;AN=7424	GT:DP:GQ	0/0:4:12	0/0:3:9	0/1:1:3	0/1:9:24	1/0:4:12	0/0:5:15	0/0:4:12
2	81171	.	G	A	.	.	AC=6;AN=7446	GT:DP:GQ	0/1:4:12	0/0:3:9	0/0:1:3	0/0:9:24	0/1:4:12	0/1:5:15	0/0:4:12
2	81182	.	A	G	.	.	AC=5;AN=7506	GT:DP:GQ	0/0:5:15	0/0:4:12	0/0:5:15	0/0:9:24	0/0:4:12	0/0:4:12	0/0:4:12
2	81204	.	T	G	.	.	AC=2;AN=7542	GT:DP:GQ	1/0:5:15	0/0:9:27	0/0:10:30	0/0:15:39	0/0:9:27	1/0:13:39	0/1:14:42



# Single nucleotide polymorphism (SNP)

Changes at a specific letter (A,T,C,G) at a position (locus) in the genome



# Insertion-deletion mutations (indels)

Insertions or deletion at a locus

wild-type sequence

ATCTTCAGCCATAAAAGATGAAGTT

3 bp deletion

ATCTTCAGCCAAAGATGAAGTT

4 bp insertion (orange)

ATCTTCAGCCATATGTGAAAGATGAAGTT

# What type of sequencing is useful for GWAS?

- Any sequencing platform that produces data encompassing hundreds of thousands of variants
- Whole-genome coverage versus directed chromosome approach
- Dense genomic sampling and sufficient sample size are key
- Common sequencing platforms are whole genome sequencing (WGS) and SNP microarrays
  - Microarrays are specifically useful for humans where much genomic information is known

So you have sequenced the whole genome of many individuals with whole genome resequencing? How do you produce a VCF file from raw reads?

# Genome Analysis Toolkit (GATK)

- A set of tools that allows variant discovery of high-throughput sequencing data
- <https://gatk.broadinstitute.org/hc/en-us>
- Please navigate through the above site as I explain the next couple of slides



# Genome Analysis Toolkit

Variant Discovery in High-Throughput Sequencing Data



Developed in the Data Sciences Platform at the [Broad Institute](#), the toolkit offers a wide variety of tools with a primary focus on variant discovery and genotyping. Its powerful processing engine and high-performance computing features make it capable of taking on projects of any size. [Learn more](#)

Find answers to your questions. Stay up to date on the latest topics. Ask questions and help others.



## Getting Started

Best practices, tutorials, and other info to get you started



## Technical Documentation

Algorithms, glossary, and other detailed resources



## Announcements

Blog and events



## Tool Index

Purpose, usage and options for each tool



## Forum

Ask our team for help and report issues



## GATK Showcase on Terra

Check out these fully configured workspaces



## DRAGEN-GATK

Learn more about DRAGEN-GATK



## Download latest version of GATK

The GATK package download includes all released GATK tools



## Run on Cloud



## Run on HPC

# Getting Started

## Best Practices Workflows

### [Getting started with GATK4](#)

GATK — properly pronounced "Gee-ay-tee-kay" (/dʒi-eɪ-ti-keɪ/) and not "Gat-ka...

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### [About the GATK Best Practices](#)

This document provides important context information about how the GATK Best ...

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### [GATK Best Practices for Structural Variation Discovery on Single Samples](#)

GATK-SV is a structural variation discovery pipeline for Illumina short-read ...

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### [Mitochondrial short variant discovery \(SNVs + Indels\)](#)

The mitochondrial genome poses several challenges to the identification and u...

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### [Somatic short variant discovery \(SNVs + Indels\)](#)

Identify somatic short variants (SNVs and Indels) in one or more tumor sample...

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### [Germline short variant discovery \(SNPs + Indels\)](#)

Purpose Identify germline short variants (SNPs and Indels) in one or more in...

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[See all articles](#)

## Tutorials

### [\(How to\) Run germline single sample short variant discovery in DRAGEN mode](#)

DRAGEN-GATK introduced several new changes to GATK, including two new tools, ...

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### [\(How to\) Generate an unmapped BAM from FASTQ or aligned BAM](#)

Objective Here we outline how to generate an unmapped BAM (uBAM) from either...

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### [\(Notebook\) Intro to using Mutect2 for somatic data](#)

In this hands-on tutorial — the Terra Workspace of which is available here — ...

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### [\(How to\) Install all software packages required to follow the GATK Best Practices](#)

Objective Install all software packages required to follow the GATK Best Pra...

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### [\(How to\) Map and clean up short read sequence data efficiently](#)

(How to) Map and clean up short read sequence data efficiently In this tut...

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### [\(How to\) Map reads to a reference with alternate contigs like GRCH38](#)

This exploratory tutorial provides instructions and example data to map shor...

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## Computing Platforms

### [GATK on IBM Cloud](#)

Running Cromwell on IBM Cloud IBM Cloud (formerly called IBM Bluemix and IBM...

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### [GATK on the cloud with Terra](#)

Terra (formerly called FireCloud) is a cloud-based bioinformatics platform th...

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### [Running GATK on the cloud \(Overview\)](#)

There are many ways to run GATK for your analyses, and the best option for yo...

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### [GATK on the cloud with Azure](#)

We aim to provide the research community with a range of options for running ...

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### [GATK on local HPC infrastructure](#)

GATK can be deployed on high performance computing (HPC) systems using an HPC...

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### [GATK on Alibaba Cloud](#)

Alibaba Cloud, the largest cloud provider in China, has developed open-source...

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[See all articles](#)

Click on the workflow 'Germline short variant discovery' and glance at the sections of the documentation



# Tool Index

- First choose tool documentation index for specific version of GATK
- Tool index is sorted by analysis type

## Short Variant Discovery

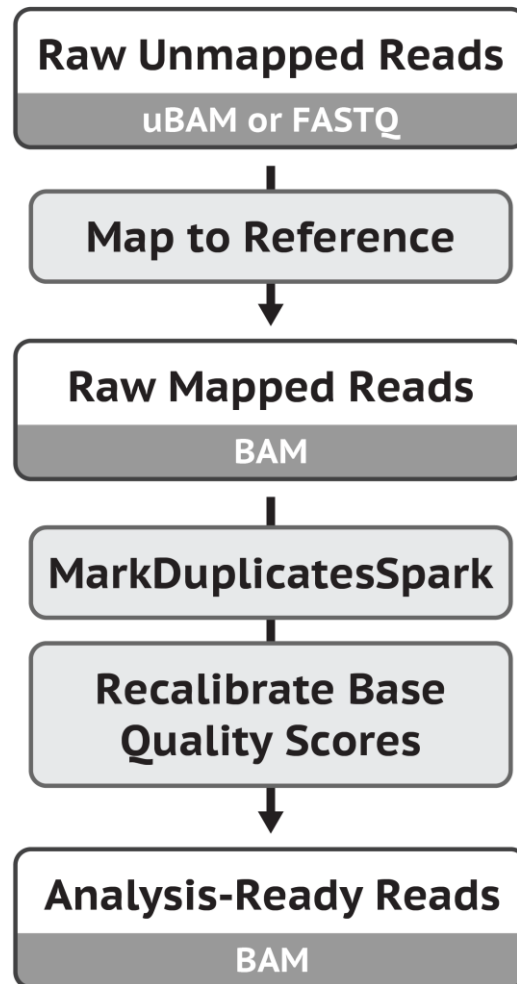
Tools that perform variant calling and genotyping for short variants (SNPs, SNVs and Indels)

Name	Summary
<a href="#">CalibrateDragstrModel</a>	estimates the parameters for the DRAGstr model
<a href="#">CombineGVCFs</a>	Merges one or more HaplotypeCaller GVCF files into a single GVCF with appropriate annotations
<a href="#">GenomicsDBImport</a>	Import VCFs to GenomicsDB
<a href="#">GenotypeGVCFs</a>	Perform joint genotyping on one or more samples pre-called with HaplotypeCaller
<a href="#">GnarlyGenotyper</a>	<b>**BETA**</b> Perform "quick and dirty" joint genotyping on one or more samples pre-called with HaplotypeCaller
<a href="#">HaplotypeBasedVariantRecaller</a>	<b>**EXPERIMENTAL**</b> Calculate likelihood matrix for each Allele in VCF against a set of Reads limited by a set of Haplotypes
<a href="#">HaplotypeCaller</a>	Call germline SNPs and indels via local re-assembly of haplotypes

Click on the HaplotypeCaller tool under tool index 4.4.0.0.

Notice the different sections and arguments.

# Data pre-processing for variant discovery



# Step 1: Map raw reads to the reference

- Can use the tool bwa-mem2 (<https://github.com/bwa-mem2/bwa-mem2>)
- 1<sup>st</sup> step is indexing the reference
  - This allows for rapid search and alignment
  - bwa-mem2 index *referencefile*
- 2<sup>nd</sup> step is mapping forward and reverse reads to the reference

# Mapping script

```
#!/usr/bin/env bash

# Map forward and reverse reads to sockeye reference genome.

find -maxdepth 2 -name "*.fastq.gz" -type f |
sed 's/_R[12]\.fastq.gz$//' |
sort -u |
while IFS= read -r f; do
    bwa-mem2 mem -t 20 ../../Reference/GCF_006149115.2_Oner_1.1_genomic.fna ./${f}_R1.fastq.gz ./${f}_R2.fastq.gz | samtools view -b - > ../BAM/${f}_S.bam
done
```

This produces BAM (Binary Alignment/Map) files (\*.bam)

- Compressed binary file format of a SAM (Sequence Alignment/Map) file
- Sequence alignment information of reads

# Next, we sort each BAM file by coordinate

- This is necessary to perform before the MarkDuplicate step
- This uses the SamSort tool
- I created a custom script using a for loop

```
#!/usr/bin/env bash

# Sort BAM files by coordinate.

for f in *_S.bam ; do
    java -jar picard.jar SortSam \
        -I ${f} \
        -O ../SortedBAM/s${f} \
        -SO coordinate ;
done;
```

# Mark and remove duplicates

- The program MarkDuplicates can be used to identify PCR artifacts and optical duplicates
  - Optical duplicates arise from an error in which a sequencing instrument's optical sensor detects multiple reads where there should be just one.
- We can use special options to not only identify the duplicates but also remove them.

# Mark and remove duplicates

```
#!/usr/bin/env bash

# Mark and remove PCR and optical duplicates.

for f in s* ; do
    java -jar picard.jar MarkDuplicates \
        -I ${f} \
        -O ../MarkedDuplicates/m${f} \
        -M ../MarkedDuplicates/m${f}.txt \
        --REMOVE_DUPLICATES \
        --REMOVE_SEQUENCING_DUPLICATES ;
done;

cd /home/richard.flamio@usca.edu/Salmon/Data/MarkedDuplicates

for f in m* ; do
    rename ms m ${f} \
    rename bam.txt txt ${f} ;
done;
```



# Intermediate steps

1. Sort by coordinate again after mark and remove duplicates
2. Fix tags using the Picard tool 'SetNmMdandUqTags'
3. Add read groups using the tool 'AddOrReplaceReadGroups'
  - Read groups allow the researcher to differentiate samples and also includes technical information.
    1. RGLB = DNA library preparation identifier (e.g., lib1)
    2. RGPL = Platform technology (e.g., ILLUMINA)
    3. RGPU = Read group platform unit (e.g., unit1)
    4. RGSM = Sample
    5. RGID = Read group identifier

I set the sample and the read group identifier as the same thing.

# Second round of coordinate sorting

```
#!/usr/bin/env bash

# Sort BAM files in which duplicates have been removed by coordinate.

for f in m*bam ; do
    java -jar picard.jar SortSam \
        -I ${f} \
        -O ../bSortedBAM/b${f} \
        -SO coordinate ;
done;

cd /home/richard.flamio@usca.edu/Salmon/Data/bSortedBAM

for f in b* ; do
    rename bm b ${f} ;
done;
```

# Fixing tags

- Tags are fields in BAM/SAM files
- NM = edit distance to the reference
- MD = mismatched and deleted bases compared to reference
- UQ = Phred likelihood

```
#!/usr/bin/env bash

# Sets the NM, MD, and UQ tags in the coordinate-sorted BAM file.

for f in b* ; do
    java -jar picard.jar SetNmMdAndUqTags \
        -R ../../Reference/GCF_006149115.2_0ner_1.1_genomic.fna.gz \
        -I ${f} \
        -O ../SetTags/t${f} ;
done;

cd /home/richard.flamio@usca.edu/Salmon/Data/SetTags

for f in t* ; do
    rename tb t ${f} ;
done;
```

# Read Groups

```
#!/usr/bin/env bash

# Add read groups to the BAM files.

for f in t* ; do
    java -jar picard.jar AddOrReplaceReadGroups \
        -I ${f} \
        -O ../ReadGroups/g${f} \
        --RGLB lib1 \
        --RGPL ILLUMINA \
        --RGPU unit1 \
        --RGSM ${f} \
        --RGID ${f} ;
done;

cd /home/richard.flamio@usca.edu/Salmon/Data/ReadGroups

for f in g* ; do
    rename gt g ${f} ;
done;
```

# Base Quality Score Recalibration

- Detects systematic errors made by the sequencing machine when it estimates the accuracy of each base call
- Can skip this step if you have a non-model organism with limited resources (no datasets of observable variation)
- First, use BaseRecalibrator (GATK tool) to generate a recalibration table.
- Then, use ApplyBQSR (GATK tool) to apply the recalibration.

# BaseRecalibrator

- Requires the reference to have .fai and .dict files
  - These can be produced by using samtools (<http://www.htslib.org/>)
- Requires a file of known variable sites to avoid during calibration.
  - This file needs to be indexed.
  - If non-model organism, absence of this resource is why this step might need to be skipped.
- Ideally base quality score recalibration does a better job at filtering and retaining variants than hard filtering would do.

# Base Recalibrator

```
#!/usr/bin/env bash

# Generate a recalibration table for base quality score recalibration. We include a VCF of known polymorphic sites at input for the program to skip over.

for f in g* ; do
    gatk BaseRecalibrator \
        -I ${f} \
        -R ../../Reference/GCF_006149115.2_0ner_1.1_genomic.fna.gz \
        --known-sites ../External/Christensen_filter1.vcf.gz \
        -O ../BQSR/${f}.table ;
done;
```

# ApplyBQSR

```
#!/usr/bin/env bash

# Apply the generated recalibration table for each sample to recalibrate the base qualities.

for f in g* ; do
    gatk ApplyBQSR \
        -I ${f} \
        -R ../../Reference/GCF_006149115.2_Oner_1.1_genomic.fna.gz \
        --bqsr-recal-file ../BQSR/${f}.table \
        -O ../BQSR/r${f} ;
done;

cd /home/richard.flamio@usca.edu/Salmon/Data/BQSR

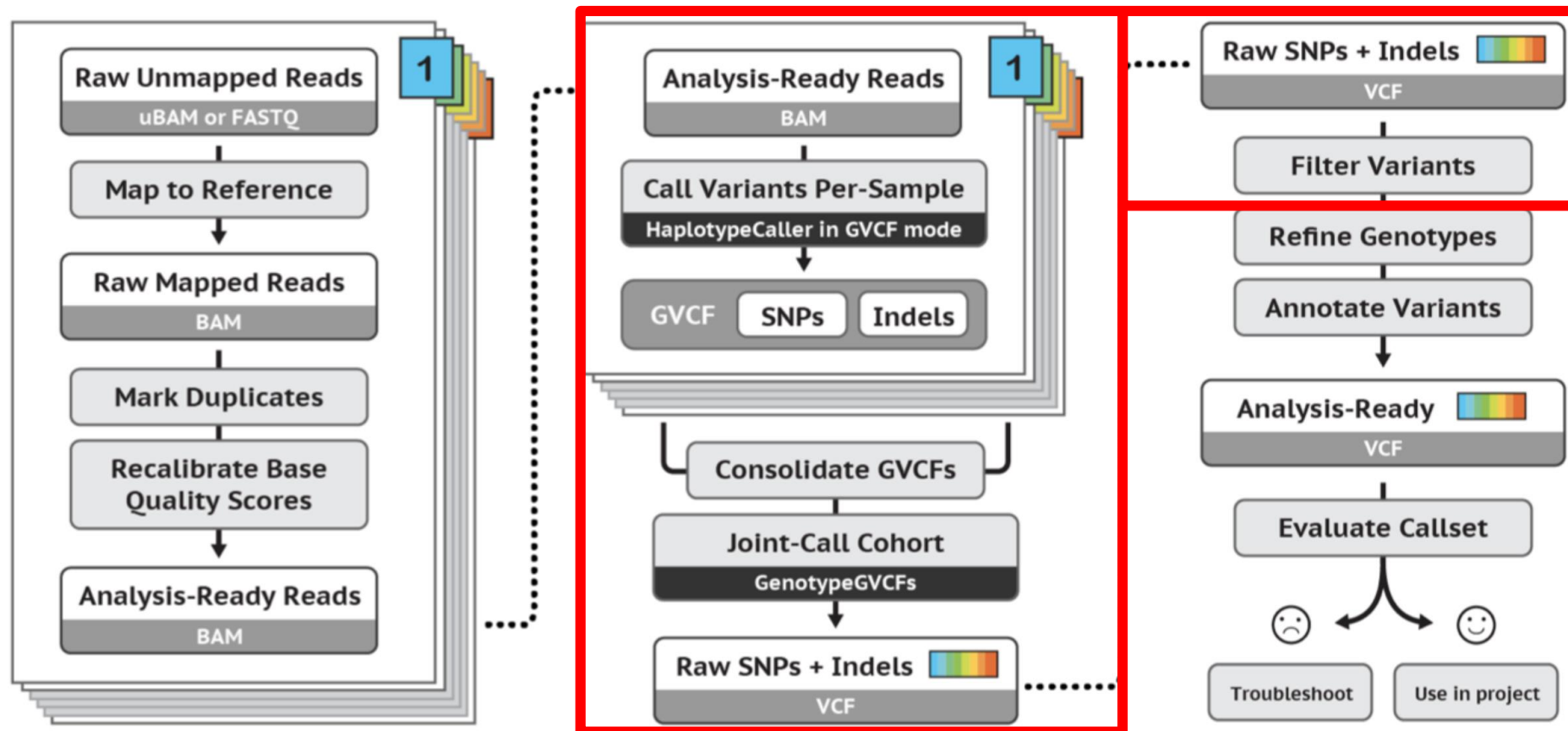
for f in r* ; do
    rename rg r ${f} ;
done;
```



Now we have reads ready for  
variant calling

10 minute break

# Germline short variant discovery (SNPs and Indels)



# Variant Discovery

- Use HaplotypeCaller in GVCF mode to call SNPs and indels per sample
- Why GVCF mode?
  - VCF (variant call format) produces files with only sites in which that sample was variable
  - GVCF (genomic variant call format) produces files with non-variant positions as well within a sample
- You can control what annotations are appended to the GVCF file
  - i.e. MappingQuality score

# HaplotypeCaller

- This process takes a lot of time and is the rate-limiting step in the pipeline.

For example, it took over two weeks at 6hr/sample to perform this step with 24 threads on 49 samples.

- This can change based on the size of your reference genome and how much sequence data you produced.

# HaplotypeCaller

```
#!/usr/bin/env bash

# Calls SNPs and indels from analysis-ready BAM files using HaplotypeCaller in GVCF mode.

for f in r*.bam ; do
    gatk --java-options '-Xmx60g' HaplotypeCaller \
        -I ${f} \
        -R ../../Reference/GCF_006149115.2_Oner_1.1_genomic.fna.gz \
        -O ../../SNPCalling/GVCF/${f}.g.vcf.gz \
        --emit-ref-confidence GVCF \
        -A QualByDepth \
        -A MappingQuality \
        -A MappingQualityRankSumTest \
        -A ReadPosRankSumTest \
        -A FisherStrand \
        -A StrandOddsRatio \
        --native-pair-hmm-threads 24 ;
done;

# Rename GVCF files from rS*bam.g.vcf.gz to S*.g.vcf.gz.

cd /home/richard.flamio@usca.edu/Salmon/SNPCalling/GVCF

rename rS S *.gz \
rename bam.g g *.gz \
rename rS S *.tbi \
rename bam.g g *.tbi ;
done;
```

# Produce a GenomicsDB workspace

- Before joint-calling of variants, we need to produce a GenomicsDB workspace.
- Uses the tool GenomicsDBImport.
- Requires:
  - GVCF files
  - Path to a new database
  - The intervals in which to process the data
    - I did this by creating a bed file in which intervals were the names of the chromosomes in the genome
- This step can be optimized for efficiency
  - Include a path to a location where a large amount of temporary disk storage is available
  - Merging input intervals
  - Bypassing feature reader

# GenomicsDB workspace

Navigate to the consolidate\_S.sh script on my github page and we will look at the script together.

[https://github.com/rflamio/sockeye\\_GWAS/blob/main/VariantCalling/consolidate\\_S.sh](https://github.com/rflamio/sockeye_GWAS/blob/main/VariantCalling/consolidate_S.sh)



# Joint-calling

- We can now joint-call the data to produce one VCF file!
- Uses GenotypeGVCFs tool

```
#!/usr/bin/env bash
```

```
# Perform joint genotyping.
```

```
gatk --java-options "-Xmx4g" GenotypeGVCFs \  
    -R ../../Reference/GCF_006149115.2_0ner_1.1_genomic.fna.gz \  
    -V gendb://sockeye2sockeye_database \  
    -O ../Genotyped/output.vcf.gz
```

# Variant Filtration in GATK

- For model organisms, can use VariantQualityScoreRecalibration (VQSR) similar to BQSR at this step.
- For non-model organisms, you perform hard-filtering.
  - Relies on hard cutoffs.
  - VariantFiltration tool

# Hard Filtering

```
#!/usr/bin/env bash
```

```
# Hard filtering of VCF file.
```

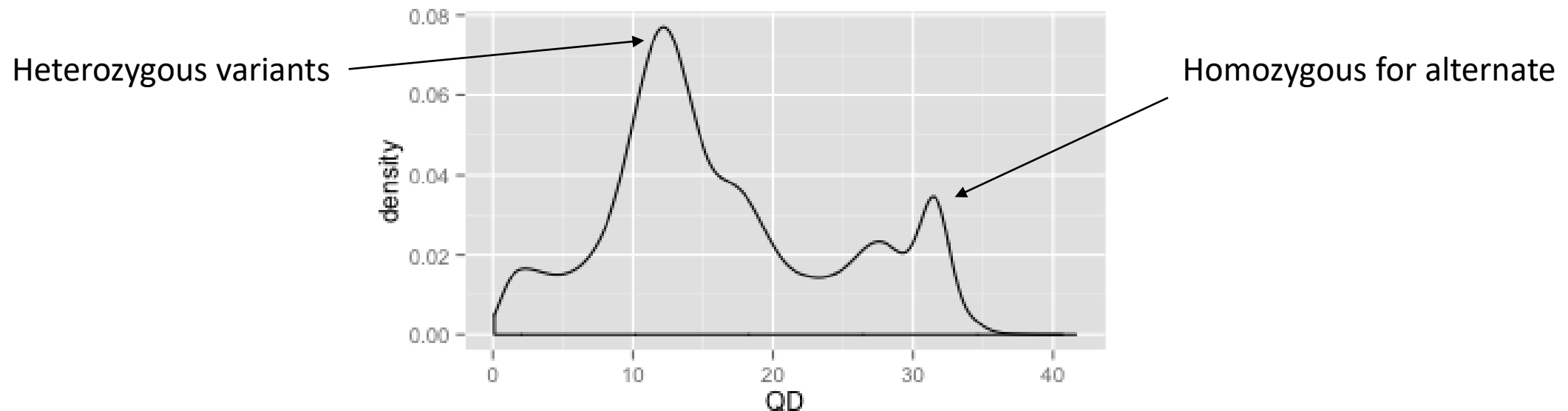
```
gatk VariantFiltration \  
  -R ../../Reference/GCF_006149115.2_Oner_1.1_genomic.fna.gz \  
  -V output.vcf.gz \  
  -O ../Filtered/hardfilt_S.vcf.gz \  
  --filter-name "my_filter1" \  
  --filter-expression "QD < 2.0" \  
  --filter-name "my_filter2" \  
  --filter-expression "MQ < 40.0" \  
  --filter-name "my_filter3" \  
  --filter-expression "FS > 60.0" \  
  --filter-name "my_filter4" \  
  --filter-expression "SOR > 3.0" \  
  --filter-name "my_filter5" \  
  --filter-expression "MQRankSum < -12.5" \  
  --filter-name "my_filter6" \  
  --filter-expression "ReadPosRankSum < -8.0"
```

Common filters:

- QD = Quality by depth
- MQ = Root Mean Square Mapping Quality
- FS = Fisher Strand
- SOR = Strand Odds Ratio
- MQRankSum = Mapping Quality Rank Sum Test
- ReadPosRankSum = Read Position Rank Sum Test

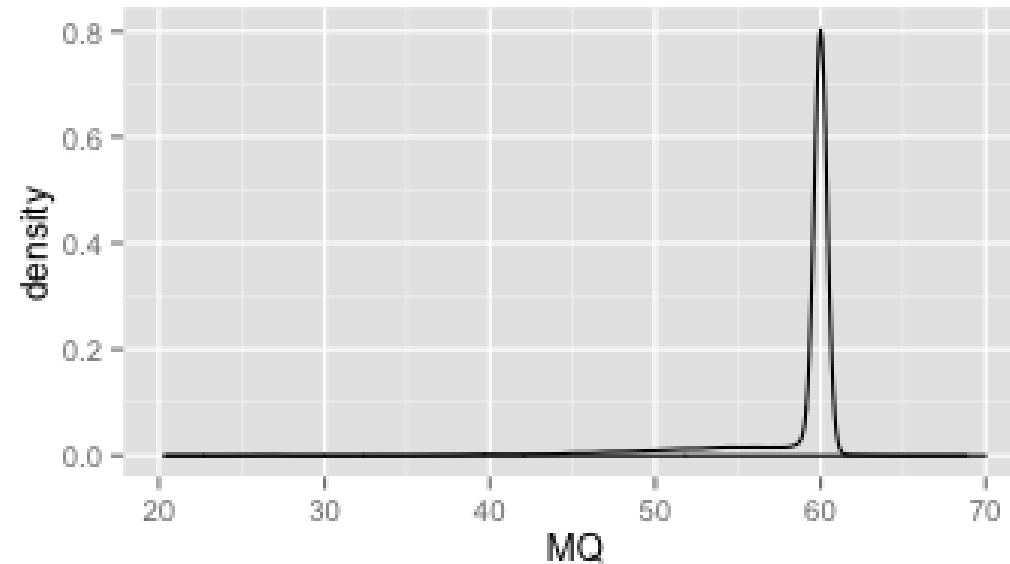
# QualByDepth (QD)

- Variant confidence  $\div$  depth for individuals not homozygous for reference allele
- Recommend filter of  $QD < 2$



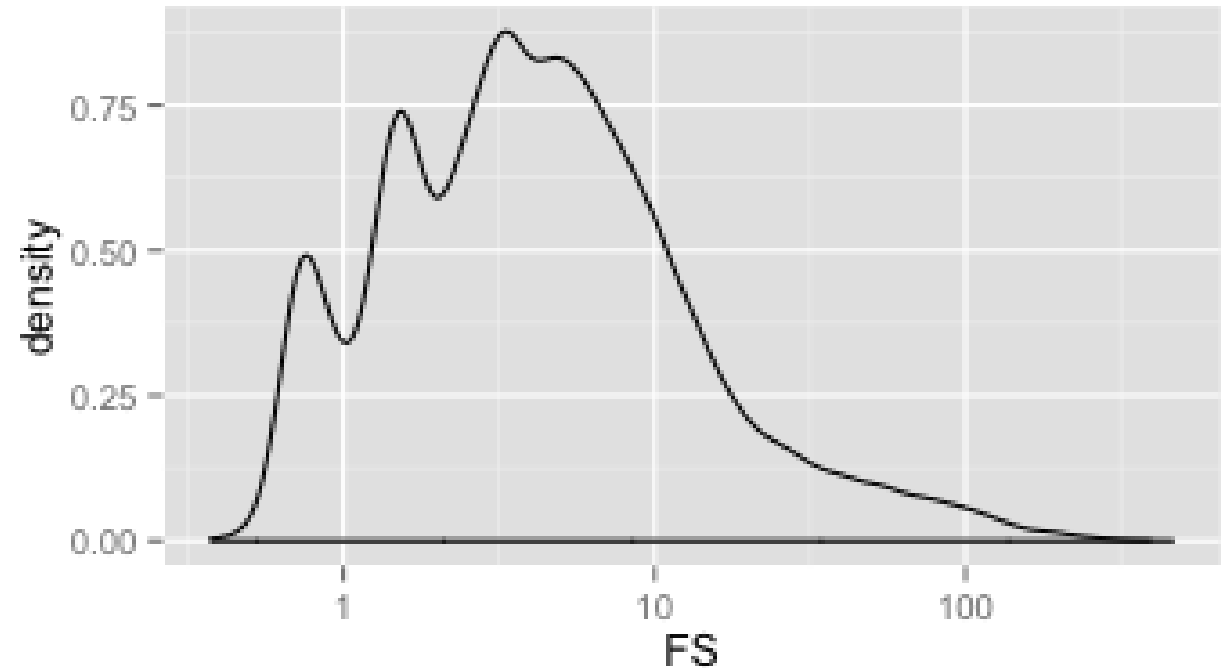
# RMS Mapping Quality (MQ)

- Root mean square of mapping quality of all reads at a locus
- Includes standard deviation thus capturing variation in the dataset
- Good MQ = 60
- Recommendation to remove  $MQ < 40$



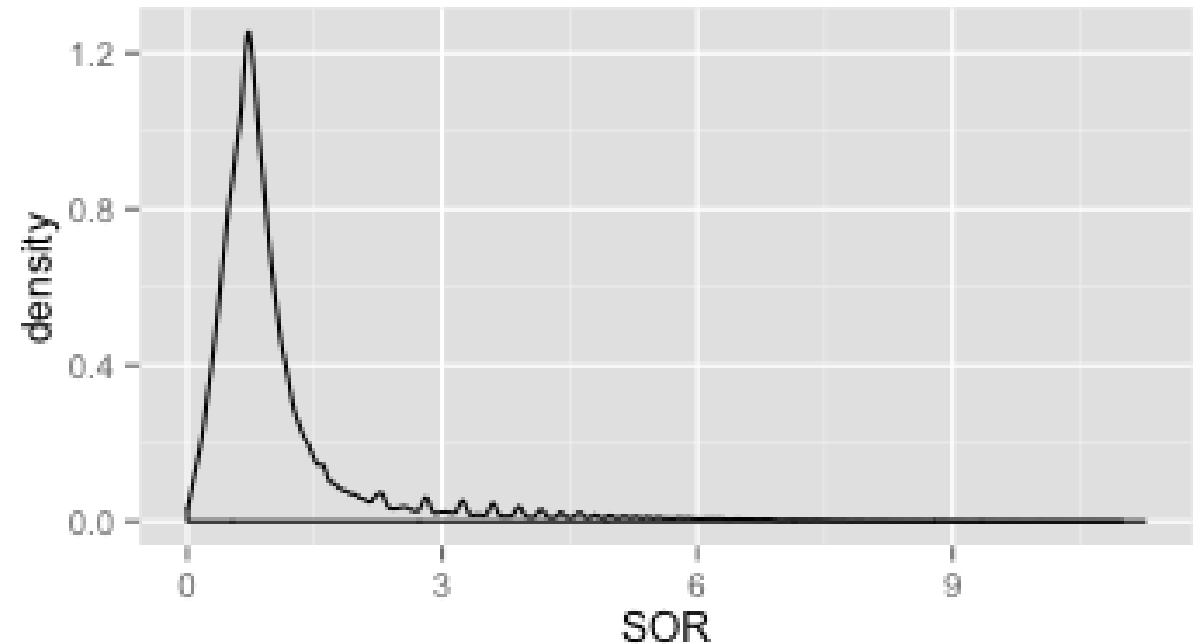
# Fisher Strand

- Phred-scaled probability of strand bias
- Strand bias = when alternate allele is observed at a different frequency on either forward or reverse read compared to reference allele
- FS = 0 indicated little strand bias
- Remove many false positive variants with  $FS > 60$



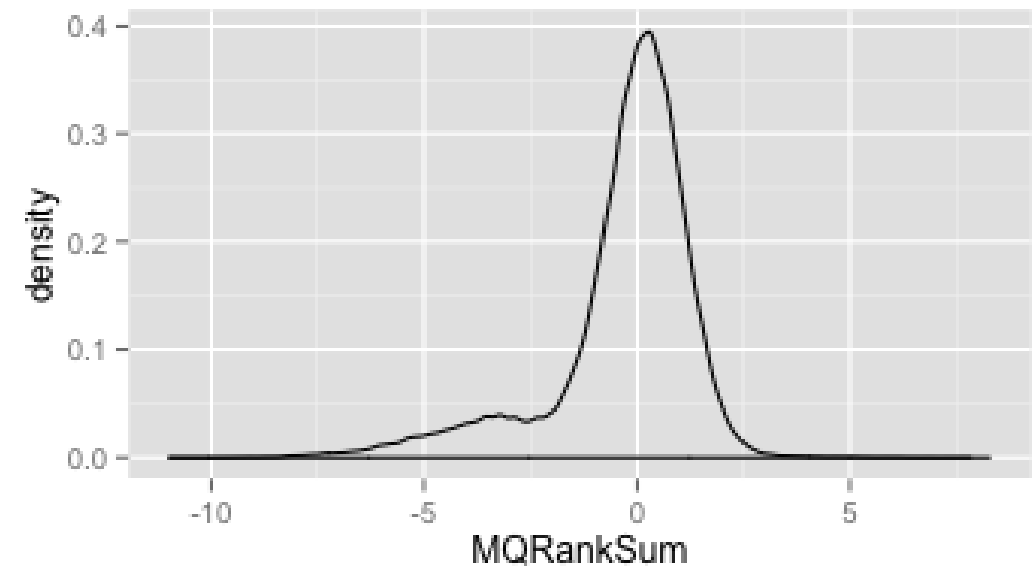
# Strands Odd Ratio (SOR)

- Another measure of strand bias but does not penalize variants at ends of exons
  - Variants at ends of exons usually represented by only forward or reverse read
- This measure looks at the ratio of reads with each allele
- Recommend to filter out  $SOR > 3$ 
  - Most data is below an SOR of 3



# Mapping Quality Rank Sum Test

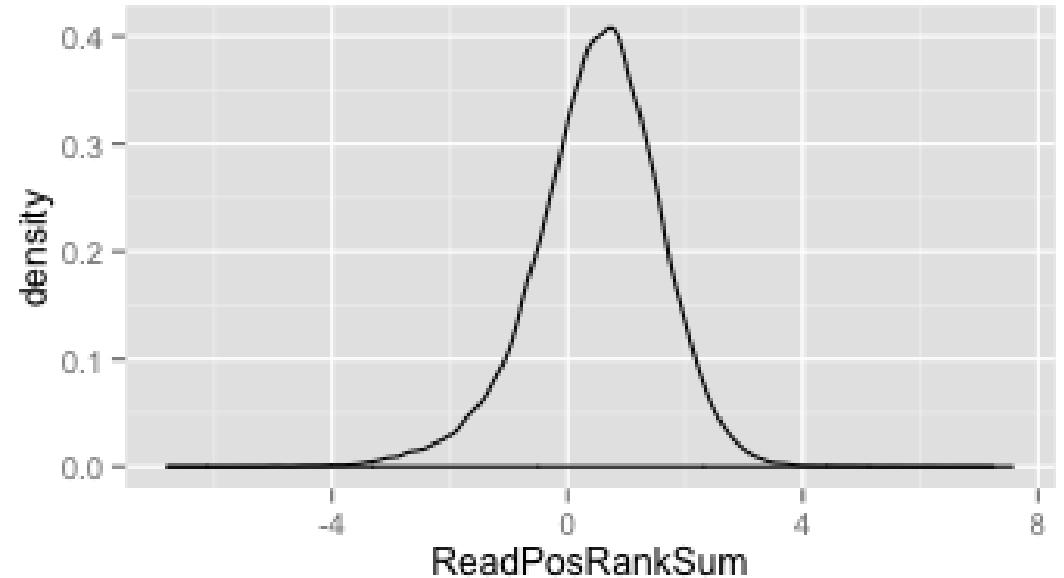
- Compares mapping qualities of reads for reference and alternate alleles
- 0 = ideal, no difference
- $< 0$  = reference allele mapping qualities are better than alternate allele mapping qualities
- $> 0$  = alternate allele mapping qualities are better than reference allele mapping qualities
- Recommended filter of MQRankSum  $< -12.5$ 
  - Why no filter in opposite direction?





# Read Position Rank Sum Test

- Compares position of reference and alternate alleles in the read
- 0 = ideal, no difference
- $< 0$  = alternate read found more often on end of read
- $> 0$  = reference allele found more often on end of read
- Recommended filter of `ReadPosRankSumTest < -8`



# Additional VCF filtering programs

- VCFtools
  - [https://vcftools.github.io/man\\_latest.html#SITE%20FILTERING%20OPTIONS](https://vcftools.github.io/man_latest.html#SITE%20FILTERING%20OPTIONS)
- BCFtools
  - <https://samtools.github.io/bcftools/bcftools.html>

# Some BCF capabilities

- bcftools *command options*
- bcftools view
  - Filter VCF files
- Count number of variants in dataset

```
bcftools view -H vcf_filename | wc -l
```

- Retain only biallelic SNPs

```
bcftools view -m2 -M2 -v snps vcf_filename > filtered_filename
```

where H = no header, m and M = min and max number of alleles allowed, v = variant type

# Some VCF capabilities

- `vcftools --vcf filename options --out filename`
- Navigate to [https://vcftools.github.io/man\\_latest.html#SITE%20FILTERING%20OPTIONS](https://vcftools.github.io/man_latest.html#SITE%20FILTERING%20OPTIONS)
- Example: `vcftools --vcf all_sim.vcf --remove males.txt --recode --recode-INFO-all --out females_sim`

# Exercise:

Given the VCF file `hardfilter_S.vcf.gz`

1. Count the number of variants in the dataset.
2. Retain only biallelic SNPs.
3. Remove sites with a  $MAF < 0.2$ . Recode.
  1. How many sites were removed?
  2. How many sites remain?
4. Remove individual tS01. Recode.
5. Remove variants with  $> 5\%$  missing data. Recode.
6. How many SNPs and individuals are in the final dataset?