SQL INTERVIEW PREPARATION PART 4

WINDOWS FUNCTIONS

Window functions perform calculations across a set of table rows that are related to the current row, but unlike aggregate functions, they do not group the rows into a single output. These are often used with the OVER() clause to define the window or subset of rows for computation.

1. ROW_NUMBER()

Assigns a unique number to each row within a partition.

Example Table: Employees

| EmployeeID | Department | Salary |
|------------|------------|--------|
| 1 | HR | 5000 |
| 2 | HR | 4500 |
| 3 | IT | 7000 |
| 4 | IT | 7200 |

Query:

SELECT EmployeeID, Department, Salary, ROW_NUMBER() OVER(PARTITION BY Department ORDER BY Salary DESC) AS RowNum FROM Employees;

Result:

| EmployeeID | Department | Salary | RowNum |
|------------|------------|--------|--------|
| 1 | HR | 5000 | 1 |
| 2 | HR | 4500 | 2 |
| 4 | IT | 7200 | 1 |
| 3 | IT | 7000 | 2 |

2. RANK()

Assigns a rank to rows in a partition, with gaps for tied values.

Query:

SELECT EmployeeID, Department, Salary, RANK() OVER(PARTITION BY Department ORDER BY Salary DESC) AS Rank FROM Employees;

Result:

| EmployeeID | Department | Salary | Rank |
|------------|------------|--------|------|
| 1 | HR | 5000 | 1 |
| 2 | HR | 4500 | 2 |
| 4 | IT | 7200 | 1 |
| 3 | IT | 7000 | 2 |

3. DENSE_RANK()

Similar to RANK() but without gaps for tied values.

Query:

SELECT EmployeeID, Department, Salary,
DENSE_RANK() OVER(PARTITION BY Department ORDER BY Salary DESC) AS DenseRank
FROM Employees;

Result:

| EmployeeID Department | | Salary | DenseRank |
|-----------------------|----|--------|-----------|
| 1 | HR | 5000 | 1 |
| 2 | HR | 4500 | 2 |
| 4 | IT | 7200 | 1 |
| 3 | IT | 7000 | 2 |

4. NTILE()

Divides rows into a specified number of buckets and assigns a bucket number.

Query:

SELECT EmployeeID, Department, Salary, NTILE(2) OVER(ORDER BY Salary DESC) AS Bucket FROM Employees;

Result:

| EmployeeID | Department | Salary | Bucket |
|------------|------------|--------|--------|
| 4 | IT | 7200 | 1 |
| 3 | IT | 7000 | 1 |
| 1 | HR | 5000 | 2 |
| 2 | HR | 4500 | 2 |

5. LAG()

Fetches the value of a column from the previous row.

Query:

SELECT EmployeeID, Department, Salary, LAG(Salary) OVER(ORDER BY Salary DESC) AS PrevSalary FROM Employees;

Result:

| EmployeeID | veeID Department | | PrevSalary |
|------------|------------------|------|------------|
| 4 | IT | 7200 | NULL |
| 3 | IT | 7000 | 7200 |
| 1 | HR | 5000 | 7000 |
| 2 | HR | 4500 | 5000 |

6. LEAD()

Fetches the value of a column from the next row.

Query:

SELECT EmployeeID, Department, Salary, LEAD(Salary) OVER(ORDER BY Salary DESC) AS NextSalary FROM Employees;

Result:

| EmployeeID | Department | Salary | NextSalary |
|------------|------------|--------|------------|
| 4 | IT | 7200 | 7000 |
| 3 | IT | 7000 | 5000 |
| 1 | HR | 5000 | 4500 |
| 2 | HR | 4500 | NULL |

7. SUM()

Calculates a running total within a partition.

Query:

SELECT EmployeeID, Department, Salary, SUM(Salary) OVER(PARTITION BY Department ORDER BY Salary) AS RunningTotal FROM Employees;

Result:

| EmployeeID Department | | Salary | RunningTotal |
|-----------------------|----|--------|--------------|
| 2 | HR | 4500 | 4500 |
| 1 | HR | 5000 | 9500 |
| 3 | IT | 7000 | 7000 |

| EmployeeID | Department | Salary | RunningTotal |
|------------|------------|--------|--------------|
| 4 | IT | 7200 | 14200 |

8. AVG(), MIN(), MAX()

Calculates average, minimum, or maximum over a partition.

Query:

SELECT EmployeeID, Department, Salary,
AVG(Salary) OVER(PARTITION BY Department) AS AvgSalary,
MIN(Salary) OVER(PARTITION BY Department) AS MinSalary,
MAX(Salary) OVER(PARTITION BY Department) AS MaxSalary
FROM Employees;

Result:

| EmployeeID | Department | Salary | AvgSalary | MinSalary | MaxSalary |
|------------|------------|--------|-----------|-----------|-----------|
| 1 | HR | 5000 | 4750 | 4500 | 5000 |
| 2 | HR | 4500 | 4750 | 4500 | 5000 |
| 3 | IT | 7000 | 7100 | 7000 | 7200 |
| 4 | IT | 7200 | 7100 | 7000 | 7200 |

Difference Between ROW NUMBER(), RANK(), and DENSE RANK()

These SQL window functions are used to assign rankings or numbers to rows within a partition of data. The key differences lie in how they handle tied values.

1. ROW_NUMBER()

Assigns a unique number to each row in a partition, even for tied values.

- No gaps in numbering.
- Tied values get unique ranks.

2. RANK()

Assigns a rank to rows within a partition.

- Tied values get the same rank.
- Gaps appear in numbering for tied values.

3. DENSE_RANK()

Assigns a rank to rows within a partition.

- Tied values get the same rank.
- No gaps in numbering.

Example Table: Sales

| SaleID | SaleID Product | |
|--------|----------------|-----|
| 1 | А | 500 |
| 2 | В | 300 |
| 3 | С | 500 |
| 4 | D | 200 |
| 5 | E | 300 |

Query

SELECT SaleID, Product, Amount,
ROW_NUMBER() OVER(ORDER BY Amount DESC) AS RowNumber,
RANK() OVER(ORDER BY Amount DESC) AS Rank,
DENSE_RANK() OVER(ORDER BY Amount DESC) AS DenseRank
FROM Sales;

Result

| SaleID | Product | Amount | RowNumber | Rank | DenseRank |
|--------|---------|--------|-----------|------|-----------|
| 1 | А | 500 | 1 | 1 | 1 |
| 3 | С | 500 | 2 | 1 | 1 |
| 2 | В | 300 | 3 | 3 | 2 |
| 5 | E | 300 | 4 | 3 | 2 |
| 4 | D | 200 | 5 | 5 | 3 |

Key Differences

1. ROW NUMBER()

- No ties; assigns a unique number to each row.
- Example: RowNumber 3 and 4 for tied values of 300.

2. **RANK()**

- Tied values get the same rank, but skips numbers for ties.
- o Example: Rank 3 (for 300), skips 4, and next rank is 5.

3. DENSE_RANK()

- o Tied values get the same rank, but no gaps in numbering.
- o Example: DenseRank 2 (for 300), next rank is 3.

Conclusion

- Use ROW_NUMBER() when you need unique sequential numbers.
- Use RANK() when you need rankings with gaps for ties.
- Use DENSE RANK() when you need rankings without gaps for ties.