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### **Prior Work**

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Introduction

This work was heavily inspired by the philosophy revived by Hinton et al. in the transforming auto-encoder, which introduced "capsule" units. In that work, a linearly covariant representation is learned by the capsules by providing the network with the true latent states as implicit targets. Our work allows us to move to a more unsupervised setting in which the true latent states are not only unknown, but represent completely arbitrary qualities. This was made possible with two assumptions: (1) that temporally adjacent samples also correspond to neighbors in the latent space, (2) predictions of future samples can be formulated as *linear* operations in the latent space. In theory, the representation learned by our method is very similar to the representation learned by the "capsules"; in that the representation has a locally stable -"what" component and locally linear, or covariant - "where" component.

**Linearization of Temporal Trajectories via Prediction** 

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Abstract

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#### Offset Pooling

Assume that the dataset is parameterized by a temporal index t so the dataset is described by the sequence  $X = \{..., x^{t-1}, x^t, x^{t+1}, ...\}$  with a corresponding feature map sequence  $Z = \{..., x^{t-1}, x^t, x^{t+1}, ...\}$  $\{\dots, z^{t-1}, z^t, z^{t+1}, \dots\}$ . Each feature map  $z^t$  can be partitioned into  $\bar{k}$  potentially overlapping neighborhoods. We define a soft version of the max operator within each pool group. Although we can define an arbitrary topology in feature space, for now assume that we have the familiar threedimensional spatial feature map representation where each activation is a function z(f, x, y), where x and y correspond to the spatial location, and f is the feature map index. Assuming that the feature activations are positive, we define our soft "max-pooling" operator for the  $k^{th}$  neighborhood  $N_k$ 

$$m_k = \int_{N_k} z(f, x, y) \frac{e^{\beta z(f, x, y)}}{\int_{N_k} e^{\beta z(f', x', y')} dv'} dv \approx \max_{N_k} z(f, x, y)$$
 (1)

Where  $\beta > 1$  and dv = df dx dy. Assuming that the activation pattern within each neighborhood is approximately unimodal, we can define a soft versions of the argmax operator. The vector  $\mathbf{p}_k$  approximates the location in the feature topology at which the max activation value occurred. Assuming that pooling is done volumetrically, that is, spatially and across features,  $p_k$  will have

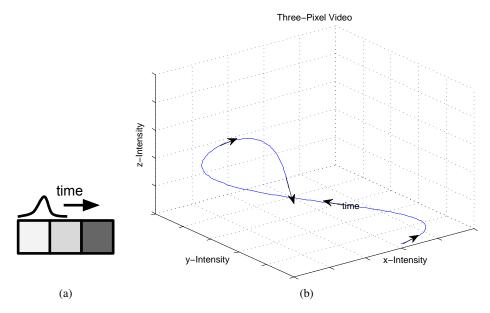


Figure 1: (a) A video generated by translating a Gaussian intensity bump over a three pixel array (x,y,z), (b) the corresponding manifold parametrized by time in three dimensional space

three components. In general, the number of components in  $\mathbf{p}_k$  is equal to the dimension of the topology of our feature space induced by the pooling neighborhood. The dimensionality of  $\mathbf{p}_k$  also has the interpretation as being the *maximal intrinsic dimension of the data*. If we define a local standard coordinate system in each pooling volume which is bounded between -1 and +1, the soft "argmax - pooling" operator is defined by the vector-valued integral:

$$\mathbf{p}_{k} = \int_{-1}^{1} \begin{bmatrix} f \\ x \\ y \end{bmatrix} \frac{e^{\beta z(f,x,y)}}{\int_{N_{k}} e^{\beta z(f',x',y')} dv'} dv \approx \underset{N_{k}}{\operatorname{arg max}} z(f,x,y)$$
 (2)

To motivate the definition of these operations consider a video generated by translating a Gaussian "intensity bump" over a three pixel array at constant speed. The video corresponds to a one dimensional manifold in three dimensional space, i.e. a curve parameterized by time. Next, assume that some feature detector activates when centered on such a bump. Applying the max-pooling operator over this region reveals that the Gaussian bump is present in somewhere in this region (i.e. the what). Applying the argmax pooling operator over the region returns the position (i.e. the where) with respect to a local coordinate frame defined over the pooling region. This position variable varies linearly with respect to time, and locally parameterizes the data manifold. More generally offset-pooling can potentially locally parametrize arbitrary transformations if the features we pool over are learned. Moreover, linear predictions can be formulated in the parameter space provided that there is a mapping from the parameter space back to the input space (decoder).