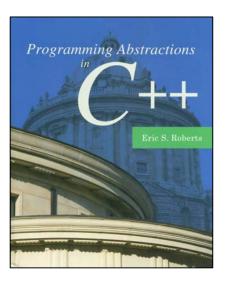
#### CHAPTER 8

## Recursive Strategies

Tactics without strategy is the noise before defeat.

—Sun Tzu,  $\sim 5^{th}$  century BCE



- 5.1 The Towers of Hanoi
- 5.2 The subset-sum problem
- 5.3 Generating permutations
- 5.4 Graphical recursion

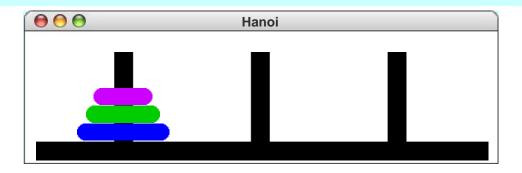
#### The Towers of Hanoi

In the great temple at Benares beneath the dome which marks the center of the world, rests a brass plate in which are fixed three diamond needles, each a cubit high and as thick as the body of a bee. On one of these needles, at the creation, God placed sixty-four disks of pure gold, the largest disk resting on the brass plate and the others getting smaller and smaller up to the top one. This is the Tower of Brahma. Day and night unceasingly, the priests transfer the disks from one diamond needle to another according to the fixed and immutable laws of Brahma, which require that the priest on duty must not move more than one disk at a time and that he must place this disk on a needle so that there is no smaller disk below it. When all the sixty-four disks shall have been thus transferred from the needle on which at the creation God placed them to one of the other needles, tower, temple and Brahmins alike will crumble into dust, and with a thunderclap the world will vanish.

—Henri de Parville, *La Nature*, 1883



#### The Towers of Hanoi Solution



# How many moves does it take to solve Towers of Hanoi using n disks?





Let F(n) = number of moves using n disks.

Recursive definition of function F(n):

$$F(1) = 1.$$

When  $n \geq 2$ :

$$F(n) = F(n-1) + 1 + F(n-1) = 2 * F(n-1) + 1.$$

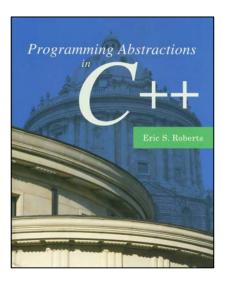


#### CHAPTER 9

## Backtracking Algorithms

Truth is not discovered by proofs but by exploration. It is always experimental.

-Simone Weil, The New York Notebook, 1942



- 9.1 Recursive backtracking in a maze
- 9.2 Backtracking and games
- 9.3 The minimax algorithm

## Solving a Maze

A journey of a thousand miles begins with a single step.

—Lao Tzu, 6<sup>th</sup> century B.C.E.

- The example most often used to illustrate recursive backtracking is the problem of solving a maze, which has a long history in its own right.
- The most famous maze in history is the labyrinth of Daedalus in Greek mythology where Theseus slays the Minotaur.
- There are passing references to this story in Homer, but the best known account comes from Ovid in *Metamorphoses*.

#### Metamorphoses

—Ovid, 1 A.C.E.

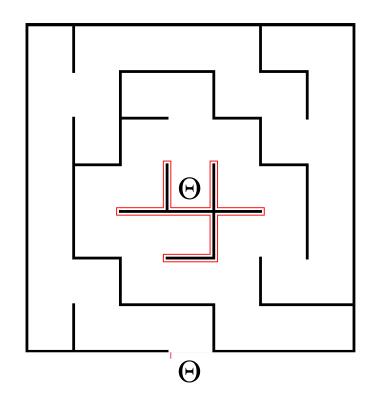
... When Minos, willing to conceal the shame That sprung from the reports of tatling Fame, Resolves a dark inclosure to provide, And, far from sight, the two-form'd creature hide.

Great Daedalus of Athens was the man
That made the draught, and form'd the wondrous plan;
Where rooms within themselves encircled lye,
With various windings, to deceive the eye. . . .
Such was the work, so intricate the place,
That scarce the workman all its turns cou'd trace;
And Daedalus was puzzled how to find
The secret ways of what himself design'd.

These private walls the Minotaur include,
Who twice was glutted with Athenian blood:
But the third tribute more successful prov'd,
Slew the foul monster, and the plague remov'd.
When Theseus, aided by the virgin's art,
Had trac'd the guiding thread thro' ev'ry part,
He took the gentle maid, that set him free,
And, bound for Dias, cut the briny sea.
There, quickly cloy'd, ungrateful, and unkind,
Left his fair consort in the isle behind . . .

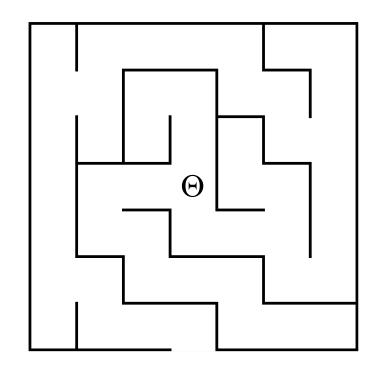
## The Right-Hand Rule

- The most widely known strategy for solving a maze is called the *right-hand rule*, in which you put your right hand on the wall and keep it there until you find an exit.
- If Theseus applies the right-hand rule in this maze, the solution path looks like this.
- Unfortunately, the right-hand rule doesn't work if there are loops in the maze that surround either the starting position or the goal.
- In this maze, the right-hand rule sends Theseus into an infinite loop.



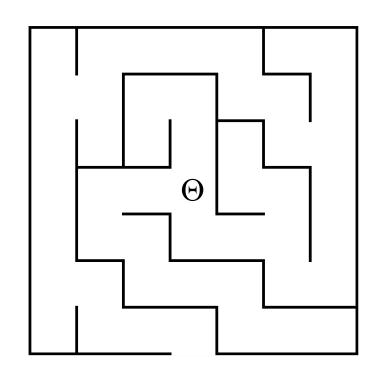
## A Recursive View of Mazes

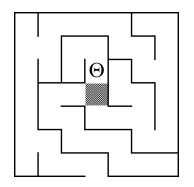
- It is also possible to solve a maze recursively. Before you can do so, however, you have to find the right recursive insight.
- Consider the maze shown at the right. How can Theseus transform the problem into one of solving a simpler maze?
- The insight you need is that a maze is solvable only if it is possible to solve one of the simpler mazes that results from shifting the starting location to an adjacent square and taking the current square out of the maze completely.

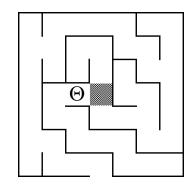


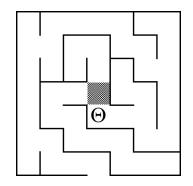
#### A Recursive View of Mazes

- Thus, the original maze is solvable only if one of the three mazes at the bottom of this slide is solvable.
- Each of these mazes is "simpler" because it contains fewer squares.
- The simple cases are:
  - Theseus is outside the maze
  - There are no directions left to try









## Enumerated Types in C++

- It is often convenient to define new types in which the possible values are chosen from a small set of possibilities. Such types are called *enumerated types*.
- In C++, you define an enumerated type like this:

```
enum name { list of element names };
```

• The code for the maze program uses enum to define a new type consisting of the four compass points, as follows:

```
enum Direction {
   NORTH, EAST, SOUTH, WEST
};
```

• You can then declare a variable of type Direction and use it along with the constants NORTH, EAST, SOUTH, and WEST.

```
/*
 * Class: Maze
 * This class represents a two-dimensional maze contained in a rectangular
 * grid of squares. The maze is read in from a data file in which the
 * characters '+', '-', and '|' represent corners, horizontal walls, and
 * vertical walls, respectively; spaces represent open passageway squares.
 * The starting position is indicated by the character 'S'. For example,
 * the following data file defines a simple maze:
class Maze {
public:
```

```
/*
* Constructor: Maze
* Usage: Maze maze(filename);
         Maze maze(filename, gw);
* Constructs a new maze by reading the specified data file. If the
* second argument is supplied, the maze is displayed in the center
* of the graphics window.
* /
  Maze(std::string filename);
  Maze(std::string filename, GWindow & gw);
/*
* Method: getStartPosition
* Usage: Point start = maze.getStartPosition();
* Returns a Point indicating the coordinates of the start square.
*/
  Point getStartPosition();
```

```
/*
* Method: isOutside
 * Usage: if (maze.isOutside(pt)) . . .
 * Returns true if the specified point is outside the boundary of the maze.
 */
  bool isOutside(Point pt);
/*
* Method: wallExists
 * Usage: if (maze.wallExists(pt, dir)) . . .
 * Returns true if there is a wall in direction dir from the square at pt.
 * /
  bool wallExists(Point pt, Direction dir);
/*
 * Method: markSquare
 * Usage: maze.markSquare(pt);
 * Marks the specified square in the maze.
 */
  void markSquare(Point pt);
```

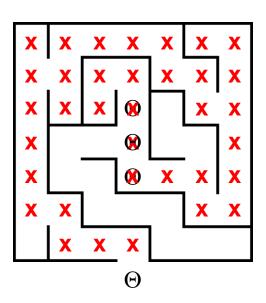
```
/*
 * Method: unmarkSquare
 * Usage: maze.unmarkSquare(pt);
 * Unmarks the specified square in the maze.
 */
  void unmarkSquare(Point pt);
/*
 * Method: isMarked
 * Usage: if (maze.isMarked(pt)) . . .
 * Returns true if the specified square is marked.
 */
  bool isMarked(Point pt);
/* Private section goes here */
};
```

#### The solveMaze Function

```
/*
 * Function: solveMaze
 * Usage: solveMaze(maze, start);
 * Attempts to generate a solution to the current maze from the specified
 * start point. The solveMaze function returns true if the maze has a
 * solution and false otherwise. The implementation uses recursion
 * to solve the submazes that result from marking the current square
 * and moving one step along each open passage.
 * /
bool solveMaze(Maze & maze, Point start) {
   if (maze.isOutside(start)) return true;
   if (maze.isMarked(start)) return false;
  maze.markSquare(start);
   for (Direction dir = NORTH; dir <= WEST; dir++) {</pre>
      if (!maze.wallExists(start, dir)) {
         if (solveMaze(maze, adjacentPoint(start, dir))) {
            return true;
   maze.unmarkSquare(start);
   return false;
```

## Tracing the solveMaze Function

```
bool solveMaze(Maze & maze, Point start) {
 bool solveMaze(Maze & maze, Point start) {
    if (maze.isOutside(start)) return true;
    if (maze.isMarked(start)) return false;
    maze.markSquare(start);
    for (Direction dir = NORTH; dir <= WEST; dir++) {</pre>
       if (!maze.wallExists(start, dir)) {
          if (solveMaze(maze, adjPt(start, dir))) {
              return true;
    maze.unmarkSquare(start);
    return false;
                                              dir
                                     start
                                      (3, 4)
```





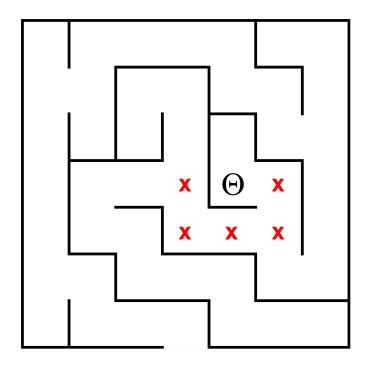
Don't follow the recursion more than one level. Depend on the recursive leap of faith.

#### Reflections on the Maze Problem

- The solveMaze program is a useful example of how to search all paths that stem from a branching series of choices. At each square, the solveMaze program calls itself recursively to find a solution from one step further along the path.
- To give yourself a better sense of why recursion is important in this problem, think for a minute or two about what it buys you and why it would be difficult to solve this problem iteratively.
- In particular, how would you answer the following questions:
  - What information does the algorithm need to remember as it proceeds with the solution, particularly about the options it has already tried?
  - In the recursive solution, where is this information kept?
  - How might you keep track of this information otherwise?

## Consider a Specific Example

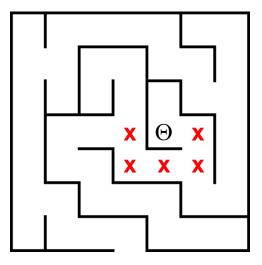
• Suppose that the program has reached the following position:



• How does the algorithm keep track of the "big picture" of what paths it still needs to explore?

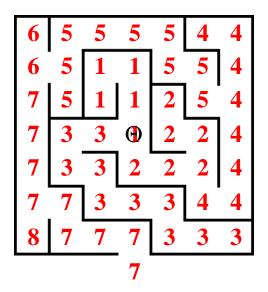
#### Each Frame Remembers One Choice

```
bool solveMaze(Maze & maze, Point start) {
 bool solveMaze(Maze & maze, Point start) {
  bool solveMaze(Maze & maze, Point start) {
   bool solveMaze(Maze & maze, Point start) {
    bool solveMaze(Maze & maze, Point start) {
      bool solveMaze(Maze & maze, Point start) {
         if (maze.isOutside(start)) return true;
         if (maze.isMarked(start)) return false;
         maze.markSquare(start);
         for (Direction dir = NORTH; dir <= WEST; dir++) {</pre>
            if (!maze.wallExists(start, dir)) {
               if (solveMaze(maze, adjPt(start, dir))) {
                  return true;
         maze.unmarkSquare(start);
         return false;
                                                  dir
                                          start
                                           (4, 3)
```



## Recursion and Concurrency

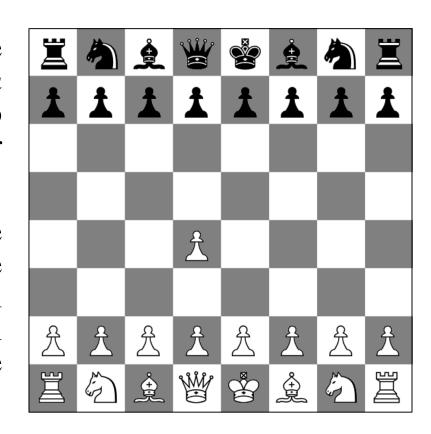
- The recursive decomposition of a maze generates a series of independent submazes; the goal is to solve any one of them.
- If you had a multiprocessor computer, you could try to solve each of these submazes in parallel. This strategy is analogous to cloning yourself at each intersection and sending one clone down each path.



• Is this parallel strategy more efficient?

#### **Recursion and Games**

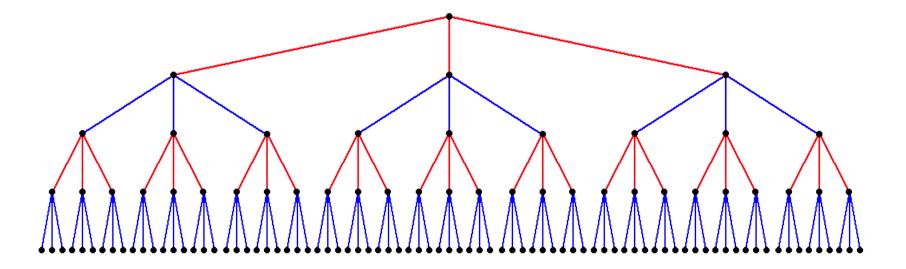
- In 1950, Claude Shannon wrote an article for *Scientific American* in which he described how to write a chess-playing computer program.
- Shannon's strategy was to have the computer try every possible move for white, followed by all of black's responses, and then all of white's responses to those moves, and so on.
- Even with modern computers, it is impossible to use this strategy for an entire game, because there are too many possibilities.



Positions evaluated:  $\sim 10^{53}$  . . . millions of years later . . .

#### Game Trees

- As Shannon observed in 1950, most two-player games have the same basic form:
  - The first player (red) must choose between a set of moves
  - For each move, the second player (blue) has several responses.
  - For each of these responses, red has further choices.
  - For each of these new responses, blue makes another decision.
  - And so on . . .



# A Simpler Game

- Chess is far too complex a game to serve as a useful example. The text uses a much simpler game called *Nim*, which is representative of a large class of two-player games.
- In Nim, the game begins with a pile of coins between two players. The starting number of coins can vary and should therefore be easy to change in the program.
- In alternating turns, each player takes one, two, or three coins from the pile in the center.
- The player who takes the last coin loses.

## A Sample Game of Nim

```
\Theta \Theta \Theta
                                Nim
There are 11 coins left.
I'll take 2.
There are 9 coins left.
Your move: 1
There are 8 coins left.
I'll take 3.
There are 5 coins left.
Your move: 2
There are 3 coins left.
I'll take 2.
There is only one coin left.
You lose.
```



## Good Moves and Bad Positions

- The essential insight behind the Nim program is bound up in the following mutually recursive definitions:
  - A good move is one that leaves your opponent in a bad position.
  - A bad position is one that offers no good moves.
- The implementation of the Nim game is really nothing more than a translation of these definitions into code.

# Coding the Nim Strategy

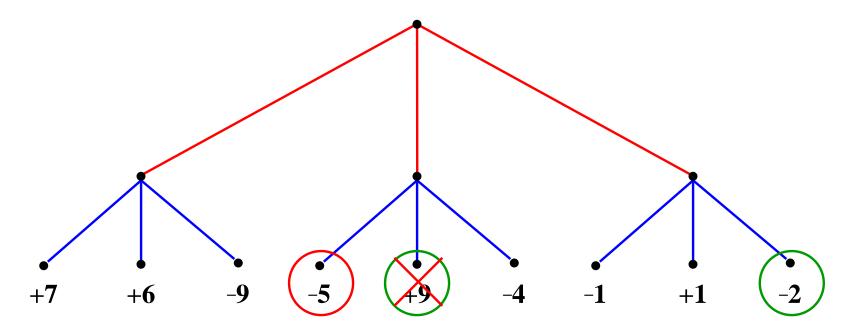
```
/*
 * Looks for a winning move, given the specified number of coins.
 * If there is a winning move in that position, findGoodMove returns
 * that value; if not, the method returns the constant NO GOOD MOVE.
 * This implementation depends on the recursive insight that a good move
 * is one that leaves your opponent in a bad position and a bad position
 * is one that offers no good moves.
 */
   int findGoodMove(int nCoins) {
      int limit = (nCoins < MAX MOVE) ? nCoins : MAX MOVE;</pre>
      for (int nTaken = 1; nTaken <= limit; nTaken++) {</pre>
         if (isBadPosition(nCoins - nTaken)) return nTaken;
     return NO GOOD MOVE;
/*
 * Returns true if nCoins is a bad position. Being left with a single
 * coin is clearly a bad position and represents the simple case.
 */
  bool isBadPosition(int nCoins) {
      if (nCoins == 1) return true;
      return findGoodMove(nCoins) == NO GOOD MOVE;
```

## The Minimax Algorithm

- Games like Nim are simple enough that it is possible to solve them completely in a relatively small amount of time.
- For more complex games, it is necessary to cut off the analysis at some point and then evaluate the position, presumably using some function that looks at a position and returns a *rating* for that position. Positive ratings are good for the player to move; negative ones are bad.
- When your game player searches the tree for best move, it can't simply choose the one with the highest rating because you control only half the play.
- What you want instead is to choose the move that minimizes the maximum rating available to your opponent. This strategy is called the *minimax* algorithm.

## A Minimax Illustration

- Suppose that the ratings two turns from now are as shown.
- From your perspective, the +9 initially looks attractive.
- Unfortunately, you can't get there, since the -5 is better for your opponent.
- The best you can do is choose the move that leads to the -2.





## **The End**

