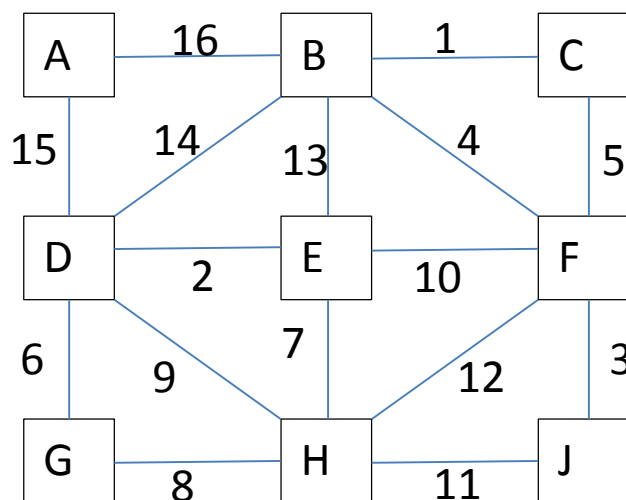


MST

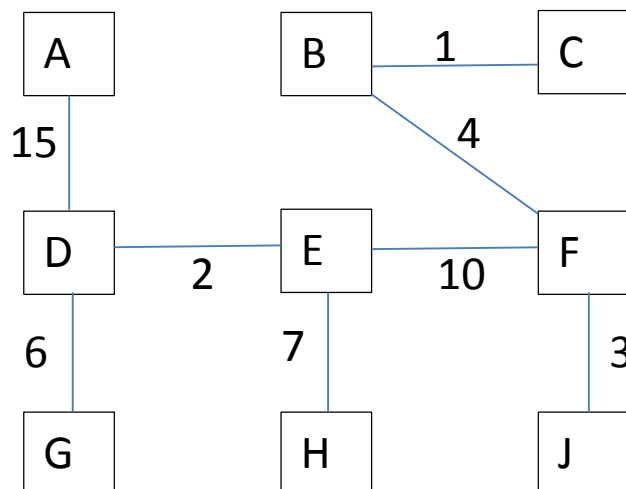
Minimum Spanning Tree:

Given a connected weighted undirected graph, find a minimum-weight set of edges that forms a subtree that connects all the vertices of the graph

Example:



MST:



Prim's algorithm for finding a MST of undirected graph G:

choose a start vertex;

H = new MinHeap();

for each vertex v {

 if (v==start) cost[v] = 0;

 else cost[v] = ∞ ;

 H.insert (v, cost[v]); // cost[v] is the key

}

while (! H.isEmpty()) {

 x = H.removeMin();

 for each vertex y such that (x,y) is an edge in graph G

 if (y is in H and weight(x,y) < cost[y]) {

 cost[y] = weight(x,y);

 parent[y] = x;

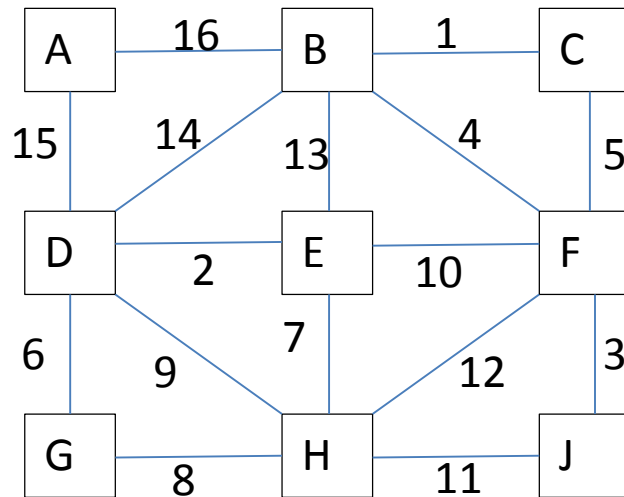
 H.decreaseKey (y, cost[y]);

 // swap y up the heap as necessary

 }

}

Trace Prim's algorithm:



Choose start vertex = A

A, 0

B, ∞

C, ∞

D, ∞

E, ∞

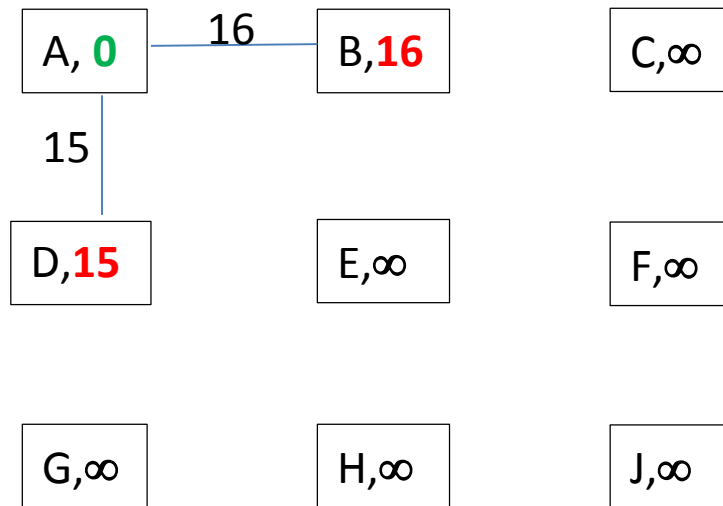
F, ∞

G, ∞

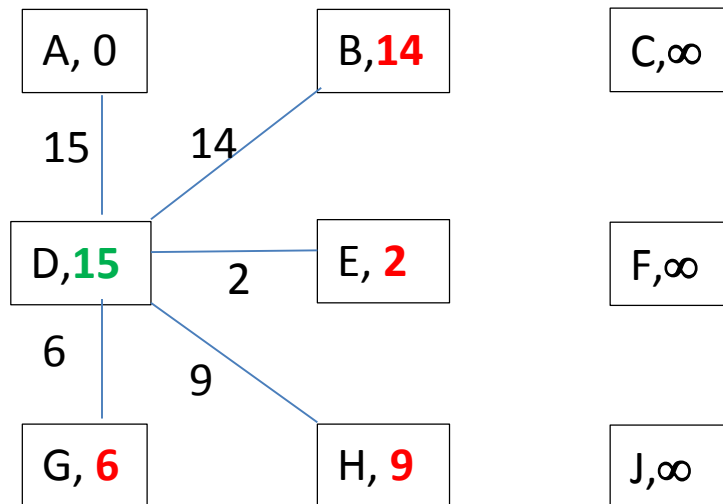
H, ∞

J, ∞

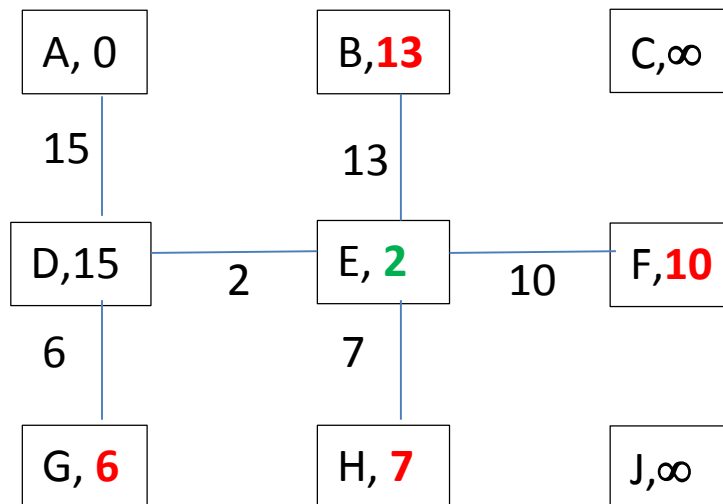
RemoveMin: A



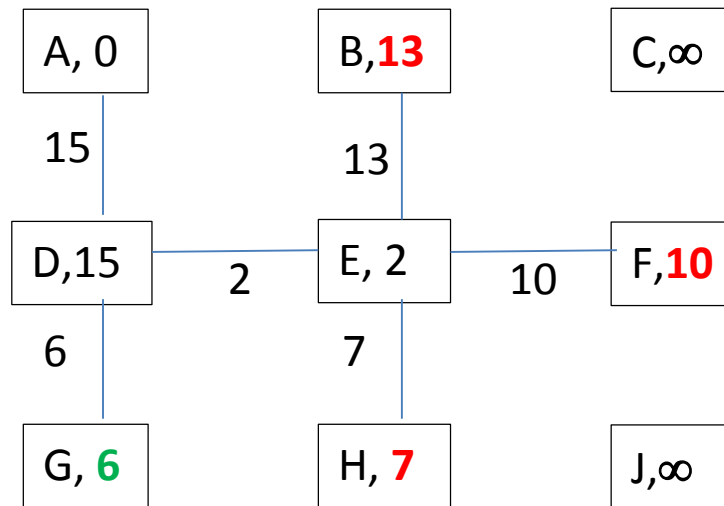
RemoveMin: D



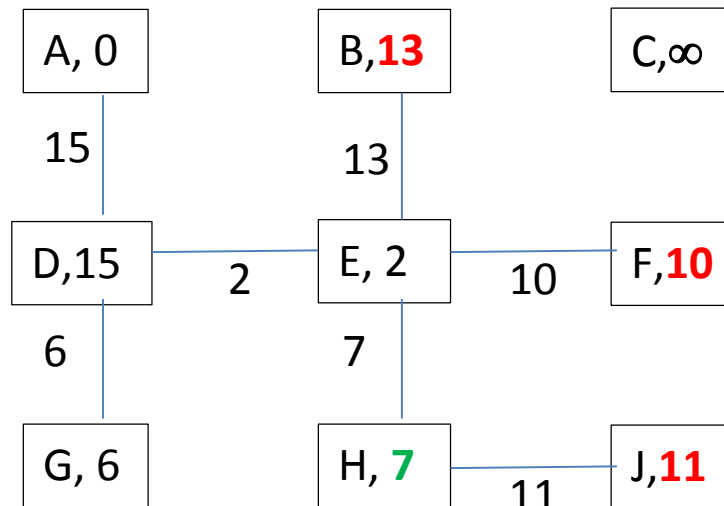
RemoveMin: E



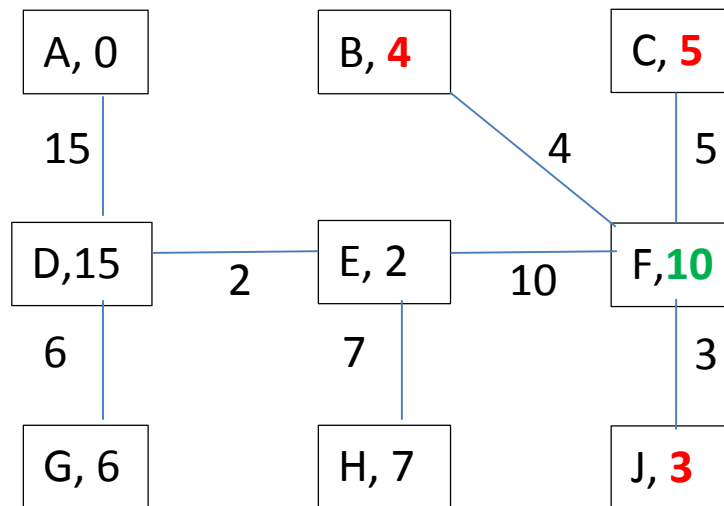
RemoveMin: G



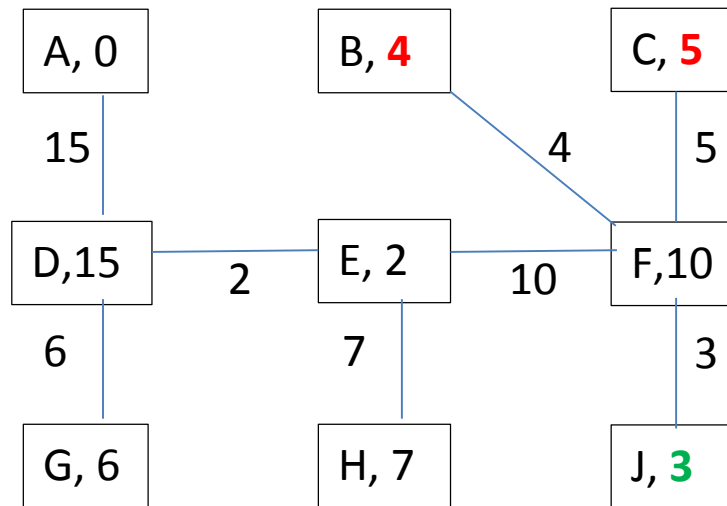
RemoveMin: H



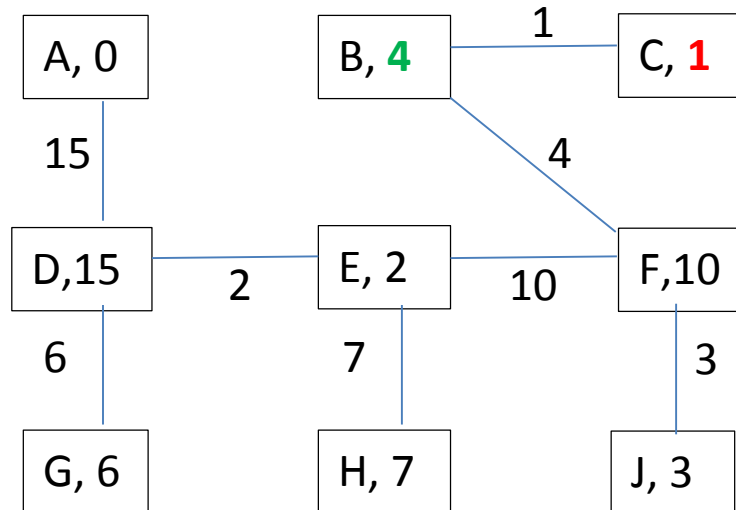
RemoveMin: F



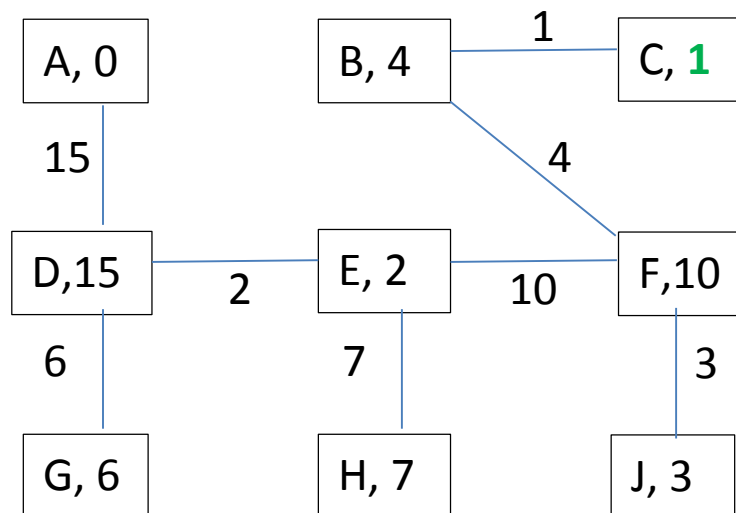
RemoveMin: J



RemoveMin: B



RemoveMin: C



Recall Dijkstra's algorithm for finding a shortest paths tree in (undirected or directed) graph G:

```
choose a start vertex;
H = new MinHeap( );
for each vertex v {
    if (v==start) cost[v] = 0;
    else cost[v] =  $\infty$ ;
    H.insert (v, cost[v]);          // cost[v] is the key
}
while (! H.isEmpty( )) {
    x = H.removeMin( );
    for each vertex y such that (x,y) is an edge in graph G
        if (cost[x] + weight(x,y) < cost[y]) {
            cost[y] = cost[x] + weight(x,y);
            parent[y] = x;
            H.decreaseKey (y, cost[y]);
            // swap y up the heap as necessary
        }
}
```

Note: algorithm is same as Prim's algorithm except for "**cost[x] +**"

Implementation and analysis of Prim's algorithm:
Same as for Dijkstra's algorithm

- (i) Use adjacency lists representation for graph G
- Time to find all edges (x,y) in G is $\theta(n+m)$ time

Use binary heap H

- n inserts, each takes $\theta(\lg n)$ time
- n removeMins, each takes $\theta(\lg n)$ time
- $\leq m$ decreaseKeys, each takes $\theta(\lg n)$ time
- Time for all heap operations is $\theta((2n+m) \lg n)$ time

Total time for Prim's algorithm using method (i) is $\theta(m \lg n)$

- (ii) Use adjacency matrix representation for graph G
- Time to find all edges (x,y) in G is $\theta(n^2)$ time

Use boolean array in place of heap H:

array[v] = true if v is currently in the heap

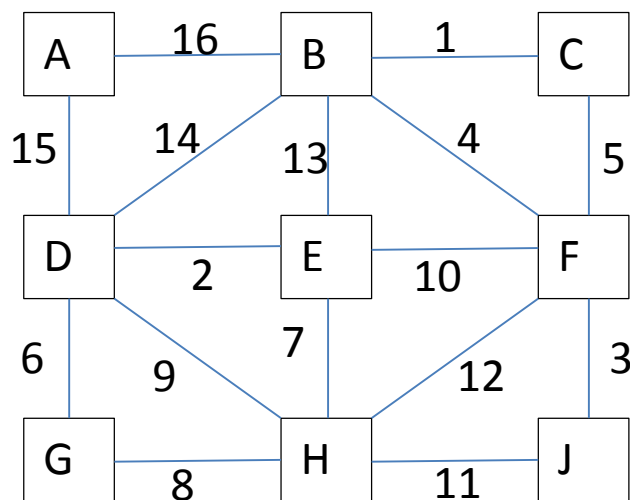
- n inserts, each takes $\theta(1)$ time
- n removeMins, each takes $\theta(n)$ time
- $\leq m$ decreaseKeys, each takes $\theta(1)$ time
- Time for all heap operations is $\theta(n^2)$ time

Total time for Prim's algorithm using method (ii) is $\theta(n^2)$

Kruskal's algorithm for finding a MST:

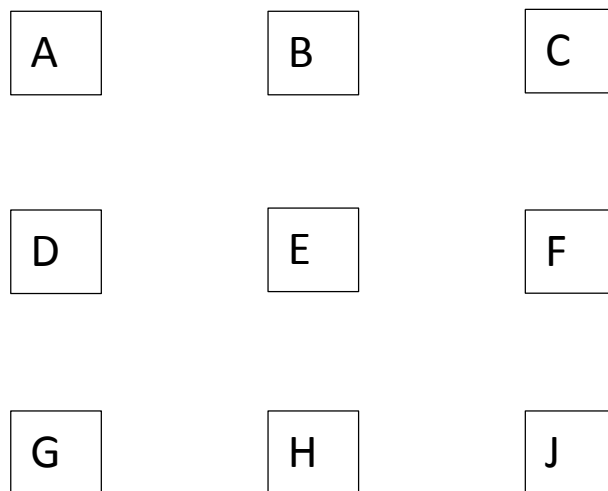
initially place each vertex in its own tree;
sort all edges in ascending order by weights;
for each edge (x,y) in this order
 if (x and y are in different trees)
 add edge (x,y);

Trace Kruskal's algorithm:



Sort edges: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16

MST:



Efficient implementation and analysis of Kruskal:

First sort edge weights using heap sort or merge sort:

$\theta(m \lg m)$ time

But $n-1 \leq m < n^2$ because graph is connected, undirected

$\lg(n-1) \leq \lg m < \lg n^2 = 2 \lg n$, so $\lg m$ is $\theta(\lg n)$

Therefore $\theta(m \lg m) = \theta(m \lg n)$ time

Remainder of the analysis is deferred until our next meeting

Next use disjoint sets to represent the components (trees):

```
for each edge (x,y) in this order {  
    a = Find (x); b = Find (y);  
    if (a != b) {  
        Merge (a, b);  
        add edge (x,y);  
    }  
}
```

Each Find operation takes $O(\lg n)$ time

Each Merge operation takes $O(1)$ time

$2m$ Find operations $\Rightarrow O(m \lg n)$ time

$n-1$ Merge operations $\Rightarrow \theta(n)$ time $\Rightarrow O(m)$ time

Total time for disjoint sets is $O(m \lg n)$ time

So total time for Kruskal's algorithm is $\theta(m \lg n)$