Group actions, part 2!

Spencer Bagley

With many thanks to Matthew Macauley, http://www.math.clemson.edu/~macaule/

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Big ideas

- An action ϕ : $G \to \text{Perm}(S)$ endows S with an algebraic structure.
- Action graphs are to G-sets, like how Cayley graphs are to groups.

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	local (about an s or a g)	global (about the whole action ϕ)		
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Notation

Throughout, we'll denote identity elements by $1 \in G$ and $e \in Perm(S)$.

Local: orbits, stabilizers, fixators

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- (i) The orbit of $s \in S$ is the connected component containing s.
- (ii) The stabilizer of $s \in S$ are the group elements whose paths start and end at s; "loops."

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- (iii) The fixator of $g \in G$ are the elements in S that don't move when we press the g-button.

Three local features: orbits, stabilizers, and fixators

Here's the action graph of our running example of D_4 acting on S the set of binary squares.

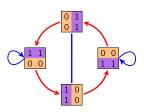
Find the orbit and stabilizer of each binary square, and the fixator of each element of D_4 .

"Group switchboard"







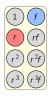


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The orbits of our running example are the 3 connected components.

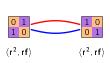
Each node is labeled by its stabilizer.

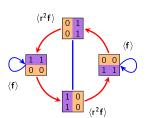












The fixators are fix(1) = S, and

$$\operatorname{fix}(r) = \operatorname{fix}(r^3) = \left\{ \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \right\}$$

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Local duality: stabilizers vs. fixators

Consider the following table, where a checkmark at (g, s) means g fixes s.

	0 0 0	0 1 1 0	1 0 0 1	0 0 1 1	0 1 0 1	1 1 0 0	1 0 1 0
1	✓	✓	✓	✓	✓	✓	✓
r	✓						
r^2	✓	✓	✓				
r^3	✓						
f	✓			✓		✓	
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- the stablizers can be read off the columns: group elements that \underline{fix} $s \in S$
- the fixators can be read off the rows: set elements fixed by $g \in G$.

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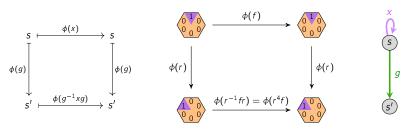
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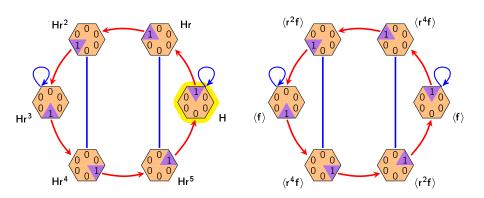
Here are several ways to visualize what this means and why.



In other words, if x is a loop from s, and $s \stackrel{g}{\longrightarrow} s'$, then $g^{-1}xg$ is a loop from s'.

Here is another example of an action (or G-set), this time of $G=D_6$ acting on these six "Pacman hexagons."

Let s be the highlighted hexagon, and H = stab(s).



labeled by destinations

labeled by stabilizers

Global: fixed points and the kernel

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$$\operatorname{Ker}(\phi) = \big\{ k \in G \mid \phi(k) = e \big\} = \big\{ k \in G \mid s.\phi(k) = s \text{ for all } s \in S \big\}.$$

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$$Ker(\phi) = \bigcap_{s \in S} stab(s),$$

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Proposition (global duality: fixed points vs. kernel)

Suppose that G acts on a set S via $\phi: G \to Perm(S)$. Then

$$\operatorname{Ker}(\phi) = \bigcap_{s \in S} \operatorname{stab}(s),$$
 and $\operatorname{Fix}(\phi) = \bigcap_{g \in G} \operatorname{fix}(g).$

Our last two features are properties of the action ϕ , rather than of specific elements.

The first definition is new, and the second is an familiar concept in this new setting.

Definition

Suppose that G acts on a set S via ϕ : $G \rightarrow Perm(S)$.

(iv) The kernel of the action is the set

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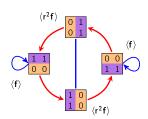
Let's also write $Orb(\phi)$ for the set of orbits of ϕ .

"Group switchboard"







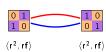


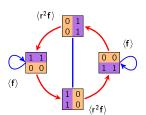
In terms of the action graph











In terms of the action graph

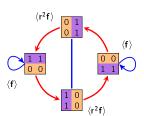
(iv) The kernel of ϕ are the paths that are "loops from every $s \in S$."

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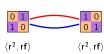
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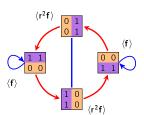
- (iv) The kernel of ϕ are the paths that are "loops from every $s \in S$."
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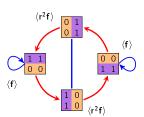
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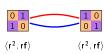
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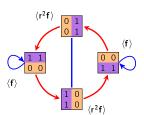
(iv) The kernel of ϕ are the "broken buttons"; those $g \in G$ that have no effect on any s.











In terms of the action graph

- The kernel of ϕ are the paths that are "loops from every $s \in S$."
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In terms of the group switchboard analogy

- The kernel of ϕ are the "broken buttons"; those $g \in G$ that have no effect on any s.
- The fixed points of ϕ are those $s \in S$ that are not moved by pressing any button.

Global duality: fixed points vs. kernel

Consider the following table, where a checkmark at (g,s) means g fixes s.

	0 0 0	0 1 1 0	1 0 0 1	0 0 1 1	0 1 0 1	1 1 0 0	1 0 1 0
1	✓	✓	✓	√	✓	√	✓
r	✓						
r^2	✓	✓	✓				
r^3	✓						
f	✓			\checkmark		\checkmark	
rf	✓	✓	✓				
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- the fixed points consist of columns with all checkmarks: set elts fixed by everything
- the kernel consists of the rows with all checkmarks: group elements that <u>fix</u> everything.

Theorems!

Our binary square example gives us some key intutition about group actions.

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Qualitative observations

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S. Bagley (Westminster) Group actions, part 2!

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We can also determine the number of conjugacy classes from the orbit-counting theorem.

Orbit-stabilizer theorem

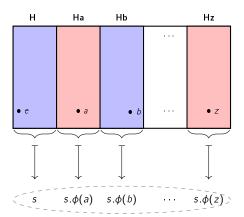
For any group action $\phi \colon G \to \mathsf{Perm}(S)$, and any $s \in S$,

$$|\operatorname{orb}(s)| \cdot |\operatorname{stab}(s)| = |G|$$

Equivalently, the size of the orbit containing s is $|\operatorname{orb}(s)| = [G : \operatorname{stab}(s)]$.



applying to $s \in S$ anything in this coset of stab(s)...



[G: stab(s)] cosets

... yields this element in orb(s)

orb(s) elements

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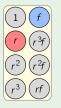
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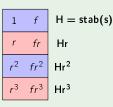
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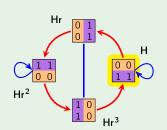
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Note that $s.\phi(g)=s.\phi(k)$ iff g and k are in the same right coset of H in G.

Proof (cont.)

Throughout, let $H = \operatorname{stab}(s)$.

"⇒" If two elements send s to the same place, then they are in the same coset.

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If we have instead, a left group action, the proof carries through but using left cosets.

Our second theorem on orbits

Orbit-counting theorem

Let a finite group G act on a set S via $\phi: G \to \mathsf{Perm}(S)$. Then

$$|\operatorname{\mathsf{Orb}}(\phi)| = rac{1}{|G|} \sum_{g \in G} |\operatorname{\mathsf{fix}}(g)|.$$

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This says that the "average number of checkmarks per row" is the number of orbits:

	0 0 0 0	0 1 1 0	1 0 0 1	0 0 1 1	0 1 0 1	1 1 0 0	1 0 1 0
1	√	✓	✓	✓	✓	✓	√
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By the orbit-stabilizer theorem, we can replace each |stab(s)| with |G|/|orb(s)|:

$$\sum_{s \in S} |\operatorname{stab}(s)|$$

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Let's express this sum over all disjoint orbits $S=\mathcal{O}_1\cup\dots\cup\mathcal{O}_k$ separately:

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Equating this last term with the first term gives the desired result.

S. Bagley (Westminster)

Groups acting on themselves!

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Theorems that we have observed but haven't been able to prove yet will fall in our lap!

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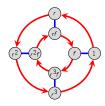
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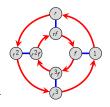


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Cayley's theorem

If |G| = n, then there is an embedding $G \hookrightarrow S_n$.

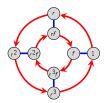
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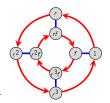
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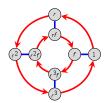
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Since $Ker(\phi) = \langle 1 \rangle$, it is an embedding.

9 Apr 2025

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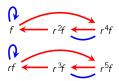
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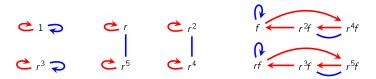
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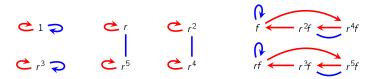
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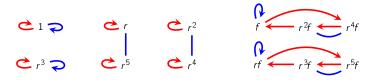
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$$\operatorname{stab}(1) = \operatorname{stab}(r^3) = D_6, \qquad \operatorname{stab}(r^i f) = \langle r^3, r^i f \rangle.$$

Here is the "fixed point table". Note that $Ker(\phi) = Fix(\phi) = \langle r^3 \rangle$.

	1	r	r^2	r^3	r^4	r^5	f	rf	r^2f	r^3f	r ⁴ f	r ⁵ f
1	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
r	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark						
r^2	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark						
r^3	✓	\checkmark	\checkmark	\checkmark	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark
r^4	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark						
r^5	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark						
f	✓			\checkmark			\checkmark			\checkmark		
rf	✓			\checkmark				\checkmark			\checkmark	
r^2f	✓			\checkmark					\checkmark			\checkmark
r^3f	✓			\checkmark			\checkmark			\checkmark		
r^4f	✓			\checkmark				\checkmark			\checkmark	
r^5f	✓			\checkmark					\checkmark			\checkmark

By the **orbit-counting theorem**, there are $|\operatorname{Orb}(\phi)| = 72/|D_6| = 6$ conjugacy classes.

Here are the cosets of all 12 cyclic subgroups in D_6 (some coincide).

r^5 $r^5 f$	r rf	r^5 $r^5 f$	r^3 $r^3 f$	r ⁵ r ⁵ f	r ⁵ f
r^4 $r^4 f$	r^2 $r^2 f$	r^3 $r^3 f$	r^5 $r^5 f$	r ⁴ r ⁴ f	r ⁴ r ⁵ f
r^3 $r^3 f$	r^3 $r^3 f$	r rf	r rf	r^3 r^3f	r ³ r ⁴ f
r^2 $r^2 f$	r ⁴ r ⁴ f	r4 r4 f	r^2 $r^2 f$	r^2 r^2f	r^2 r^3f
r rf	r^5 $r^5 f$	r^2 $r^2 f$	r^4 $r^4 f$	r rf	r r2f
1 f	1 f	1 f	1 f	1 f	1 (rf)
r ⁵ rf	r^5 r^2f	r ⁵ r ³ f	r ⁵ r ⁴ f	r^2f r^5f	r^5 r^5f
r ⁴ f	r ⁴ rf	r^4 r^2f	r^4 $r^3 f$	rf r ⁴ f	r4 r4f
r^3 $r^5 f$	r³ f	r³ rf	r^3 r^2f	f r³f	r ³ r ³ f
r^2 $r^4 f$	r ² r ⁵ f	r ² f	r ² rf	r ² r ⁵	r^2 r^2f
r r³f	r r ⁴ f	r r ⁵ f	r f	r r ⁴	r rf
$1 (r^2f)$	$1 (r^3f)$	1 (r ⁴ f)	1 (r ⁵ f)	$1 (\mathbf{r}^3)$	1 f

Do you see how to deduce from the orbit-counting theorem that there are 6 conjugacy classes?

Any group G acts on its set S of subgroups by **right-conjugation**:

 $\phi \colon G \longrightarrow \mathsf{Perm}(S)$, $\phi(g) = \mathsf{the} \ \mathsf{permutation} \ \mathsf{that} \ \mathsf{sends} \ \mathsf{each} \ \mathsf{H} \ \mathsf{to} \ g^{-1} \mathsf{H} g.$

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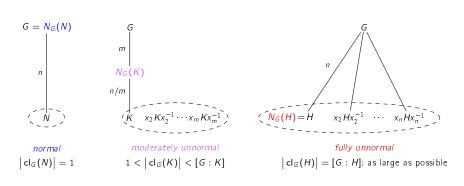
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Here is an example of $G = D_3$ acting on its subgroups.

$$\tau(1) = \langle 1 \rangle \quad \langle r \rangle \quad \langle f \rangle \quad \langle rf \rangle \quad \langle r^2 f \rangle \quad D_3$$

$$\langle r^2 f \rangle = D$$

$$\tau(r) = \langle 1 \rangle$$

$$\langle r \rangle$$

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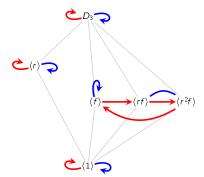
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Observations

Do you see how to read stabilizers and fixed points off of the permutation diagram?

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$$t$$
) $\langle rt \rangle \langle r^2 t \rangle L$

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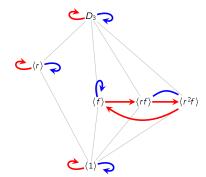
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Observations

Do you see how to read stabilizers and fixed points off of the permutation diagram?

■ $Ker(\phi) = \langle 1 \rangle$ consists of the row(s) with only fixed points.

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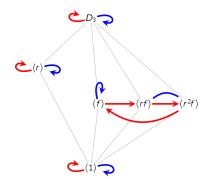
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$$\sim$$
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Observations

Do you see how to read stabilizers and fixed points off of the permutation diagram?

- $Ker(\phi) = \langle 1 \rangle$ consists of the row(s) with only fixed points.
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$$r^2 f\rangle D_3$$

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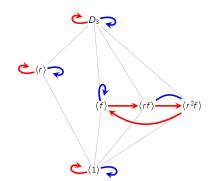
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$$f > (rf) \langle r^2 f \rangle$$



Observations

Do you see how to read stabilizers and fixed points off of the permutation diagram?

- \blacksquare Ker $(\phi) = \langle 1 \rangle$ consists of the row(s) with only fixed points.
- Fix(ϕ) = { $\langle 1 \rangle$, $\langle r \rangle$, D_3 } consists of the column(s) with only fixed points.
- By the orbit-counting theorem, there are $|\operatorname{Orb}(\phi)| = 24/|D_3| = 4$ conjugacy classes.

Consider the partitions of D_3 by the left cosets of its six subgroups:

r ²	r ² f	r ²	r^2f		r ²	r²f	r ²	f	r ²	rf	r ²	r ² f
r	rf	r	rf		r	rf	r	r ² f	r	f	r	rf
1	f	1	f		1	f	1	rf	1	r ² f	1	f
D_3/D_3		D ₃ ,	/(r)	•	D ₃	/ (f)		'(rf)	D ₃ /	$\langle r^2 f \rangle$	D ₃ /	′(1)

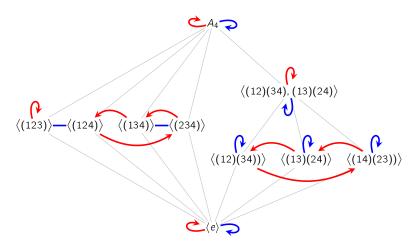
- fix(g) are the subgroups H for which "g appears in a blue coset of H"
- **Ker** (ϕ) are elements that "only appear in blue cosets"
- By the orbit-counting theorem, the subgroups fall into

$$|\operatorname{Orb}(\phi)| = \operatorname{average} \ \# \ \operatorname{checkmarks} \ \operatorname{per} \ \operatorname{row} = rac{\operatorname{total} \ \# \ \operatorname{of \ blue \ entries}}{|\mathcal{G}|}$$

conjugacy classes.

Equivalently: how many full "G-boxes" the blue cosets can be rearranged to fill up.

Here is an example of $G = A_4 = \langle (123), (12)(34) \rangle$ acting on its subgroups.



Let's take a moment to revisit our "three favorite examples" from Chapter 3.

$$N = \langle (12)(34), (13)(24) \rangle, \qquad H = \langle (123) \rangle, \qquad K = \langle (12)(34) \rangle.$$

Here is the "fixed point table" of the action of A_4 on its subgroups.

	(e)	⟨ (123) ⟩	⟨(124)⟩	⟨(134)⟩	((234))	((12)(34))	⟨(13)(24)⟩	((14)(23))	((12)(34). (13)(24))	A4
e	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
(123)	✓	✓							✓	✓
(132)	✓	✓							✓	✓
(124)	✓		✓						✓	✓
(142)	✓		✓						✓	✓
(134)	✓			✓					✓	✓
(143)	✓			✓					✓	✓
(234)	✓				✓				✓	✓
(243)	✓				✓				✓	✓
(12)(34)	✓					✓	✓	✓	✓	✓
(13)(24)	✓					✓	✓	✓	✓	✓
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By the **orbit-counting theorem**, there are $|\operatorname{Orb}(\phi)| = 60/|A_4| = 5$ conjugacy classes.

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 $\phi\colon G\longrightarrow \mathsf{Perm}(S)$, $\phi(g)=$ the permutation that sends each Hx to Hxg .

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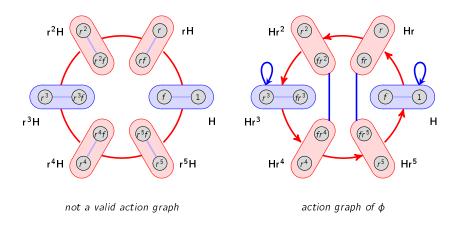
$$Ker(\phi) = \bigcap_{x \in G} stab(x) = \bigcap_{x \in G} x^{-1} Hx.$$

Notice that $\langle 1 \rangle \leq \operatorname{Ker} \phi \leq H$, and $\operatorname{Ker}(\phi) = H$ iff $H \subseteq G$.

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In contrast, this action is the result of collapsing the Cayley graph by the right cosets.



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$$G/K \cong Im(\phi) < S_3$$
.

Proof (contin.)

Thus, there are three cases for this quotient:

$$G/K \cong S_3$$
, $G/K \cong C_3$, $G/K \cong C_2$.

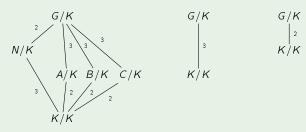
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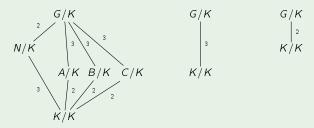


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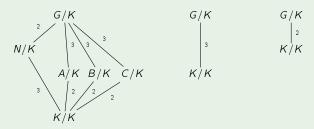
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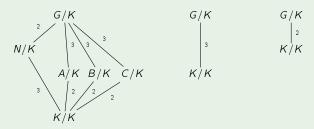
Since G has no index-2 subgroup, only the middle case is possible (Why?).

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Since G has no index-2 subgroup, only the middle case is possible (Why?).

This forces K/K = H/K, and so K = H which is normal for multiple reasons.

S. Bagley (Westminster) Group actions, part 2! 9 Apr 2025

Proposition

Suppose $H \leq G$ and [G:H] = p, the smallest prime dividing |G|. Then $H \subseteq G$.

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Do you see why q = 1?

A summary of our four actions

Thus far, we have seen four important (right) actions of a group G, acting:

- on itself by multiplication
- on itself by conjugation.
- on its subgroups by conjugation.
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set $S =$	G		subgroups of <i>G</i>	right cosets of H
operation	multiplication	conjugation	conjugation	right multiplication
orb(s)	G	$cl_G(g)$	$cl_{G}(H)$	all right cosets
stab(s)	$\langle 1 \rangle$	$C_G(g)$	$N_G(H)$	$x^{-1}Hx$
fix(g)	G or ∅	$C_G(g)$	$\{H\mid g\in N_G(H)\}$	$\left\{ Hx\mid xgx^{-1}\in H\right\}$
$Ker(\phi)$	$\langle 1 \rangle$	Z(G)	$\bigcap_{H\leq G}N_G(H)$	largest norm. subgp. $N \leq H$
$Fix(\phi)$	Ø	Z(G)	normal subgroups	none

The end!