PROJECTS HANDLED

Company:	KPMG, India
Client:	Nomura
Department:	Regulatory Reporting Change Management Team
Role:	Consultant/ Business Analyst
Duration:	Jan 2023 – May 2023
Project:	Regulatory project (CFTC Rewrite)
Key Deliverables:	

- Interpreting the ESMA Final Regulatory Technical standards Document
- Understand new changes to Analyse the Impact for Non-Financial Counterpart (NFC) and Financial Counterparty (FC).
- Perform Gap Analysis between Current EMIR Trade and Transaction Reporting fields Versus the new Harmonized field Requirement.
- Bring In all Participants on Grooming together such as Legal (Compliance), Business Stakeholders, Technology Leads, Regulatory Leads to present the Tech Spec and guidance for better decision making
- Working with different Business lines and other Stakeholders to identify the scope of change in requirement such as Products and Asset Classes like Interest Rate, FX, Credit, ETD, Equity, Commodity and Collateral
- Working with the Portfolio Manager to Create Bill of Requirements (BOR) that outlines the necessary features, functions, and constraints of the project.
- Document the BOR requirements in a clear, concise, and easy-to-understand format. Use tables, diagrams, and other visual aids to help stakeholders understand the information.
- Comparing the changes with other Regulations like CFTC Rewrite, JFSA, MIFID II
- Create Swimlane of the Project.
- Participating in DTCC Working Group to Stay updated with new Updates on the requirements.

Company:	KPMG, India
Client:	Nomura
Department:	Regulatory Reporting Change Management Team
Role:	Consultant/ Business Analyst
Duration:	Nov 2021 – Dec 2022
Project:	Regulatory project (CFTC Rewrite)
Key Deliverables:	

- Understanding the Project Requirement for migrate the Payment System to SWIFT
- Post Brexit Changes on the Business entity and Business lines
- Define the Scope of transactions impacted
- Define the Scope of Systems involved in the Project Such as FO Trading System, Static Data systems and Back Office Settlement systems
- Perform Gap analysis between Current State and Future/ Target State
- Working with other scrum teams to prioritize the build work and understand the business issues and data challenges
- Accountable for reviewing and editing requirements, specifications, business processes and recommendations related to proposed solution.
- Conducting front to back analysis and capture data required for further analysis
- Participating in the analysis and planning related to all aspects of the project
- Developing functional specifications and system design specifications and document them
- Managing Project Executions and implementation and provide progress update to Stakeholders
- Writing user stories acceptance criteria and population logic for tech to interpret the requirement and
- raise bugs and RAID items

- ➢ Present the Project Road maps to the stakeholders across different business lines and Compliance
- ➢ Documenting the system Enhancement requirement to generate the Swift messages based on the business event such as MT202, MT103, MT202Cov for Payments, MT320, MT300 for Confirmations...etc
- ➢ Coordinating with Agent Banks for testing the changes
- ➢ Integrate the Settlement platform with other systems such as Static Databases to get the client details such as SSI or SI.
- ➢ Coordinate with Technology to implement the Build requirements
- ➢ Act as a bridge between Tech and Stakeholders to keep the track of project timelines
- ➢ Manage End to End UAT for the system Changes
- ➢ Writing requirement for Exception management and Controls
- ➢ Working on different KANBAN boards to monitor the status of the user stories
- ➢ Communicating with stakeholders, including internal teams, and the scrum team to ensure goals are clear and the vision aligns with business objectives
- ➢ Running scrum ceremony meetings such as sprint planning, sprint review, and backlog grooming

Company:	Morgan Stanley Mumbai, India
Process:	NMS FINRA CAT Regulation
Role:	Business Analyst (Product Owner)
Duration:	Sept 2020- Nov 2021
Key Deliverables:	

- ➢ Understand the project architecture and analyse the final regulatory requirements.
- ➢ Worked with different Dev and operation teams to understand the final user requirements and become the bridge between them
- ➢ Worked on different KANBAN boards to monitor the status of the user stories
- ➢ Communicated with stakeholders, including internal teams, and the scrum team to ensure goals are clear and the vision aligns with business objectives
- ➢ Run scrum ceremony meetings such as sprint planning, sprint review, and backlog grooming
- ➢ Create JIRA, write user stories, acceptance criteria and Population Logic, that the scrum team can easily understand and develop against
- ➢ Worked with SME's to obtain organisational requirements and needs to create a backlog of user stories for project delivery
- ➢ Assigned task to different Dev teams to prioritise the end user requirements
- ➢ Set-up calls with SCRUM Master and stakeholders to discuss the current project progress and fill the gap in order to be on the same page
- ➢ Attended daily check in calls with SCRUM team to answer questions and report back on team progress
- ➢ Groom backlog stories so they can be accomplished in sprint time frame (generally 3 weeks)
- ➢ Organised and overseen user acceptance testing of sprint / project deliverables
- ➢ Planned and run demos of work accomplished after each sprint, obtain approvals of business owners that work demonstrated meets users' needs
- ➢ Created process flow charts and other needed documents for business change management
- ➢ Supported training of users on newly installed workflows and systems
- ➢ Understand how data is structured and how it translates to business process and data migration
- ➢ Participated in creation and execution of cutover plan including but not limited to production testing and data migration.
- ➢ Accountable for linking and tracing BRD with FRD using ALM tool
- ➢ Navigated the competing needs of multiple stakeholder groups
- ➢ Learn the current business process and figures out the scope to improve it
- ➢ Updated project burn ups and present to the senior management

Company:	BNP Paribas ISPL Mumbai, India
Process:	Regulatory Reporting Control
Department:	Global Market Operations
Role:	Business Analyst (Product Owner)
Duration:	2018- July 2020
Key Deliverables:	- Performing Regulatory reporting control for different Regulatory Regimes (EMIR/ASIC/MAS/HKMA.etc) - Monitoring Trade booking for different asset classes like FX, Credits, Rates and Equity - Ensuring timely reporting of the trades to the regulators - Performing forward and backward reconciliation for all the asset classes to monitor over reporting, under reporting and duplicate reporting of trades to the regulators. - Preparing Equity Short Position Reports for Different Regulatory Regime's like ASIC, HKMA, and KREX…etc. - Investigating and highlighting Short Position breaks and report to the regulators accordingly - Performing unmatched and unlink activity post reconciliation for each asset class. - Monitoring LEI reporting of the clients - Creating manual exit, amendment and suppress file for all the asset classes - Monitoring DTCC updates. - Working on different GTR reports like Submission, valuation and EOD Status reports. - Performing independent control in order to strengthen the regulatory reporting control framework - Performing day-to-day post submission control on the regulatory reports - Resolving and highlighting clients queries related to the reported trades which are unmatched - Communicating breaks to the concern team for the resolution - Working with different IT teams and BA's for process enhancement and automations to avoid operational Risks - Highlighting operational risks associated with current process - Following-up with concerned teams till the resolution of the communicated breaks - Knowledge of different applications used in the market like STAR, MUREX, FXT, KONDOR +, Power, Focus, Calypso

Company:	BNP Paribas ISPL Mumbai, India
Process:	Treasury Operations MEA Region
Duration:	2016-2018
Key Deliverables:	- Checked daily, weekly and monthly reports for syndicated loans and derivatives settlements. - Accountable for validating and monitoring the manual bookings. - Interfaced with Middle Office during sell downs for open FX positions - Helped middle office in identifying the PnL anomaly. - Sound knowledge of RTGS payments and SWIFT messages. - RTGS cash flow reporting. - Helped middle office with PV break. - Highlighted operational risk associated with daily BAU - Coordinated with controls team to resolve FO, MO and BO break. - Verified the proper feed of ROB deals in the BO systems - Trade operation - handled all the operation related to regular financial products like FX (Swaps, Spots, and Outright), MM (Loans and Deposits), Syndicated Loans, Derivatives (CPD, IRS, CCS, and Options) and Government Securities (CD's, T-bills, Debentures, Bonds) - Checked all the products and update the rates for the product (fixing rates, securities pricing)

- ➤ Checked directly with the client for corporate settlements of the trades
- ➤ Approved the Bidding for the T-Bills and CD's, applied by the ALMT Traders
- ➤ Planned, managed and allocated daily production in an efficient manner to ensure smooth operation of the department resources
- ➤ Participated in training and projects and updating procedure, tools and systems.
- ➤ Controlled workflow of CMBO ensuring high productivity and group safety standards
- ➤ Liaison with Capital Market Middle Office (CMMO) to resolve outstanding issues and clarify any doubts.
- ➤ Reviewed incident reported by CMBO and follow up on preventative actions.
- ➤ Validated and controlled that all the EOD tasks are performed
- ➤ Assisted OPC in their control procedures.
- ➤ Created ALM folders for PnL reporting in Back Office systems.

Process Migrations:

- ➤ Migration of Regulatory Reporting Control process from Hong Kong
- ➤ Migration of Treasury Operation process from Bahrain (Monsoon Project)

Business Projects:

- ➤ FINRA NMS CAT Project Delivery for phase 2C
- ➤ OMR Application Migration: Settlement Platform
- ➤ OTC Trade Reporting: APAC Regulatory reporting