

## 4.5 The intermediate value theorem

4.5.1 Intermediate Value Theorem (IVT): Let  $M$  be a metric space and  $K \subset M$  be connected. Let  $f: M \rightarrow \mathbb{R}$  be continuous. Let  $x, y \in K, c \in \mathbb{R}$ , with  $f(x) < c < f(y)$ . Then there exists  $z \in K$  with  $f(z) = c$ .

Proof Suppose there is no such  $z$ . Let  $U := (-\infty, c), V := (c, \infty)$ . By continuity of  $f$ ,  $f^{-1}(U) \cap K$  and  $f^{-1}(V) \cap K$  are open in  $K$  and nonempty, because  $x \in f^{-1}(U), y \in f^{-1}(V)$  and  $x, y \in K$ . Moreover

$$(f^{-1}(U) \cap K) \cap (f^{-1}(V) \cap K) = \emptyset \quad \text{and} \quad (f^{-1}(U) \cap K) \cup (f^{-1}(V) \cap K) = K$$

Hence  $K$  is disconnected  $\downarrow$ .  $\square$

Alternative proof  $K$  connected  $\xRightarrow{4.2.1} f(K)$  connected  $\xRightarrow{\text{Lemma page 3.5.1}} f(K)$  is an interval. Hence  $\forall f(x), f(y) \in f(K), c \in \mathbb{R}$  with  $f(x) < c < f(y)$  it follows  $c \in f(K)$  and so there exists  $z \in K$  with  $f(z) = c$ .  $\square$

Example (i) Let  $f: [0, 1] \rightarrow [0, 1]$  be continuous. Then  $f$  has a fixed point, i.e.  $\exists x \in [0, 1]$  with  $f(x) = x$ .

Proof Set  $g(x) := f(x) - x, x \in [0, 1]$ . Then  $g: [0, 1] \rightarrow [-1, 1]$  is again continuous (use e.g. 4.3.3(i)). Suppose  $g(0) \neq 0$  and  $g(1) \neq 0$  (otherwise we are done). Then  $g(1) < 0 < g(0)$ , hence by the IVT:  $\exists z \in [0, 1]$  with  $g(z) = 0$ .  $\square$

(ii) Let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be a polynomial of degree  $n$ , i.e.

$$f(x) = a_n x^n + \dots + a_1 x + a_0, \quad a_n \neq 0, a_i \in \mathbb{R}, n \in \mathbb{N}$$

If  $n$  is odd, then  $f$  has a real root.

Proof Clearly  $f$  is continuous (use 4.1.4(ii)). For  $x \neq 0$  we have

$$f(x) = a_n x^n \left( 1 + \frac{a_{n-1}}{a_n x} + \frac{a_{n-2}}{a_n x^2} + \dots + \frac{a_0}{a_n x^n} \right)$$

Thus for large  $l \in \mathbb{N}$

$$f(l) \approx a_n \cdot l^n$$

$$f(-l) \approx -a_n \cdot l^n$$

$f(l)$  and  $f(-l)$  have opposite sign  $\Rightarrow \exists x_0 \in [-l, l]$  with  $f(x_0) = 0$ .  $\square$