

TBD

- Tiered approach presented in Fig 8 is to show how to allocate new default values when the data is insufficient, adding it in methods can overload the methods section
- Open question

- I think we need to describe the tiered approach a bit better. Fig 8 was not very clear and I tried to simplify (see attachment "tieredapproach"). This should be integrated then in the methods section. One open question is how to assess the embodied emissions when only default values are available (tbd).

- The matching algorithm was only relevant for the John data, but for a regular user this would not be relevant. Therefore, I would move this part to the SI.
- The part where you describe the user input is very cryptic. I suggest you rewrite this, move Fig 3 to the SI and insert in the main paper a table where you have the various parameters that can be modified as well as example entries (and maybe the previous default values) for your 12 buildings (in the columns)

Done

Done

To review table  
(with Sasha)

- Table 1 is better than before, but I am still a bit puzzled as I think it is not complete (does not include the parameters you need for modeling, e.g. the heating system in the building property data, the building geometry derived from the Lidar data (which I assume also gives the surfaces of building components to you), or the list of u-values for materials, which would be another row. Also, I suggest to start with building property data, then with material data (which is also building data), then material property data (which are the u-values), then site data...)

- You need to be careful with terminology – in the LCA part you often use wrong terms, confusing e.g. inventory and impacts. Maybe Sasha can also help you there in the next "iteration". For the impacts, you chose to only look at GHG (which is fine), but we should be careful then calling this a full "LCA". The easiest solution would be to say that you illustrate the model application here with this one impact category, but others can be added in a similar fashion.

Fixed

To review further with  
Sasha

- The u-values look good, but the heat demand predictions are for some buildings still much higher than the reported data. For a minenergy P buildings, one needs to show that predicted energy demand is much lower than what we get for getting the label. This makes some of the results implausible and we need to check what happened there.

TBD

TBD: We would need a matching everytime, the detailed method for the "imperfect" matching is anyways described in SI.

# Combined material-energy building environmental footprint model: assessment of future renovation scenarios

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## ARTICLE INFO

Keywords:  
Buildings  
Life cycle assessment  
Embodied impacts  
Operational impacts  
User Tool  
Scenarios

Done

depends on  
and  
XX

## ABSTRACT

### TODO

- > Residential buildings have a significant impact on the global emissions.
- > There are many studies evaluating operational and embodied impacts of buildings separately.
- > But not many integrate them, especially while considering the impacts of the materials on both embodied and in-use impacts.
- > Thus we develop a tool where this material data can be integrated with models estimating in-use demands, giving a final LCA of buildings.
- > We further add scenarios to estimate the maintenance phase of the building as well.
- > Our results show clearly that our model helps estimate the in-use emissions better (more accurate).

> scenarios show that energy source change is effective but insulation addition is not always as effective to save building's impacts. XX

XX

↳ you had rather new buildings, for older ones this would look different

## 1. Introduction

→ nice!

Nearly one third of total global final energy consumption can be attributed to the buildings and buildings construction sectors (IEA, 2022). Together these two sectors are responsible for a large share of globally emitted greenhouse gases (GHG). The potential for emissions reduction presents a great opportunity for both newly engineered as well as already existing building stock (Jennings, Hirst, Gambhir et al., 2011).

GHG emissions are released throughout all lifecycle stages of buildings starting from construction, and ending with final demolition. Researchers distinguish between ~~operational, or operational~~ emissions from energy demand for space heating/cooling, lighting, ventilation and the use of appliances, and *embodied emissions* arising from extraction and processing of raw materials, manufacturing and transportation of building components, buildings construction, maintenance and renovation (Ramesh, Prakash and Shukla, 2010). Past studies estimated a rather small magnitude of embodied emissions compared to operational emissions accumulated over the complete life span of buildings, with the respective shares being 10-30% and 70-90% (Ramesh et al., 2010; Adalberth, 1997; Utama and Gheewala, 2009). However, nowadays, due to ~~more energy efficient appliances~~, better insulation ~~materials~~, enhanced building designs, and higher environmental performance of energy sources, the operational emissions have been decreasing, while the shares of embodied greenhouse gas (GHG) emissions increased (Chastas, Theodosiou, Kontoleon and Bikas, 2018). For these reasons, there is a shift from studies with a sole focus on operational energy demand and towards more comprehensive assessments that include both operational and embodied impacts (Ibn-Mohammed, Greenough, Taylor, Ozawa-Meida and Acquaye, 2013).

Assessment of energy demand, GHG of residential buildings, and potential mitigation pathways is possible by means of building stock models (Nägeli, Camarasa, Jakob, Catenazzi and Ostermeyer, 2018). They are commonly categorized into top-down and bottom-up approaches (Swan and Ugursal, 2009; Kavgić, Mavrogianni, Mumovic, Summerfield, Stevanović and Djurović-Petrović, 2010; Keirstead, Jennings and Sivakumar, 2012; Reinhart and Davila, 2016; Sun, Haghigat and Fung, 2020). The former analyze aggregate energy consumption of the entire residential sector, and use historical data to understand future trends as a function of broader technological and econometric factors, such as income, fuel prices, technological advancements, and others. However, top-down models do not

Done

Have you considered  
electricity recycling? ↗ Frost (and that would be in line with previous work) delete

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Done

allow detailed analysis of buildings' environmental performance. In contrast, the bottom-up methods investigate characteristics of individual buildings and quantify their energy consumption and environmental impact depending on building properties. This allows for explicit modeling of renovation scenarios.

Buffat, Froemelt, Heeren, Raubal and Hellweg (2017) introduced a bottom-up model to estimate building space heating demands based on large-scale geographic information systems (GIS), and with high temporal resolution. By employing light detection and ranging (LiDAR) data in combination with digital elevation models and building footprint data, the authors were able to derive ~~fine~~ building geometries and ~~more accurate~~ better estimate building volumes. At the same time, digital surface models with 30-minute temporal resolution of spatial climate data, allowed to evaluate solar gains through windows and shading effects while accounting for the surrounding topology. This study showed that in computing the spatially-explicit heating demand, the most sensitive parameters are room temperature and thermal transmittance (U-values) of materials in building components such as floors and walls. Despite recognizing the importance of the U-values, they have been artificially sampled for each building from a generic probability distribution constructed according to the building type and age range (in Buffat et al. (2017) approach). This approach lead to low accuracy of the U-values. A more comprehensive analysis requires primary data on the material composition of the buildings components. Done

For instance, material data obtained from building owners and architects can be helpful in modeling existing buildings stocks. John (2012) provided material compositions for 12 residential buildings in Switzerland and their life cycle assessment (LCA) impacts. This study contains rich level of detail in the material data due to the collected information from architectural sources; at the same time, it does not account for spatial and temporal site conditions. This resulted in higher reliability of the computed embodied impacts compared to the operational emissions estimates.

The aim of this study is to provide a framework that pays equal attention to more accurate estimation of both embodied and operational emissions of residential buildings, and apply it as a case study for Swiss buildings. To this end, we (1) improve the GIS-based bottom-up energy demand model from (Buffat et al., 2017) by converting it to a tool that allows integration of external material data sources and user inputs; (2) replace the generic probabilistic U-values with building specific material composition data (John, 2012); (3) incorporate life cycle inventory (LCI) data to assess building impacts from operational emissions, given more precise space heating estimates, and compute embodied emissions; (4) compare various renovation scenarios and their potential benefits and impacts; (5) finally, validate U-values and space heating demands with measured data. The primary output of this work is a tool that allows data inputs from users in case material data is available, and where different scenarios can be tested to estimate the LCA of buildings. Note that through this paper, the LCA of building includes the construction, maintenance and operational phases of the building (and not the demolition and recycling phase).

## 2. Methodology

GHG

provides an overview of the model data.

Done

quantifying

Done

This section outlines the methodology developed in this paper, for more accurate estimation of the operational and embodied impacts of buildings. Figure 1 showcases this methodology, which comprises of two main sections; first one showcasing the data and methods used in the model by Buffat et al. (2017), and the second one showcasing how the data inputs on the material composition and building properties can help in estimation of the overall LCA of the buildings. As shown in this figure, multiple data parameters like the building data (building footprints, construction year, etc.) and site data (temperature, radiation, etc.) is used to estimate the final building overall emissions. It meant building area. fixed

In the following subsections, we list all the different data parameters used in the model with their respective data sources, (Section 2.1) and then we explain how some of these data parameters, e.g. the material composition data, are further processed (Section 2.2). Next, we showcase our model explaining how to improve the bottom-up model of (Buffat et al., 2017) such that it takes the processed data as an input and also further estimate the LCA of buildings (Section 2.3). The detailed steps to conduct the complete analysis are provided in Supporting Information (SI) Section XX, whereas the code to reproduce the results is given as a GitHub repository<sup>1</sup>.

### 2.1. Data Description

The data used in this model can be divided into five main categories, as listed below. The overview of datasets with their sources and their data parameters can be seen in Table 1 (detailed data ranges in Section SI XX).

<sup>1</sup><https://github.com/rhythimashinde/building-model-shef>

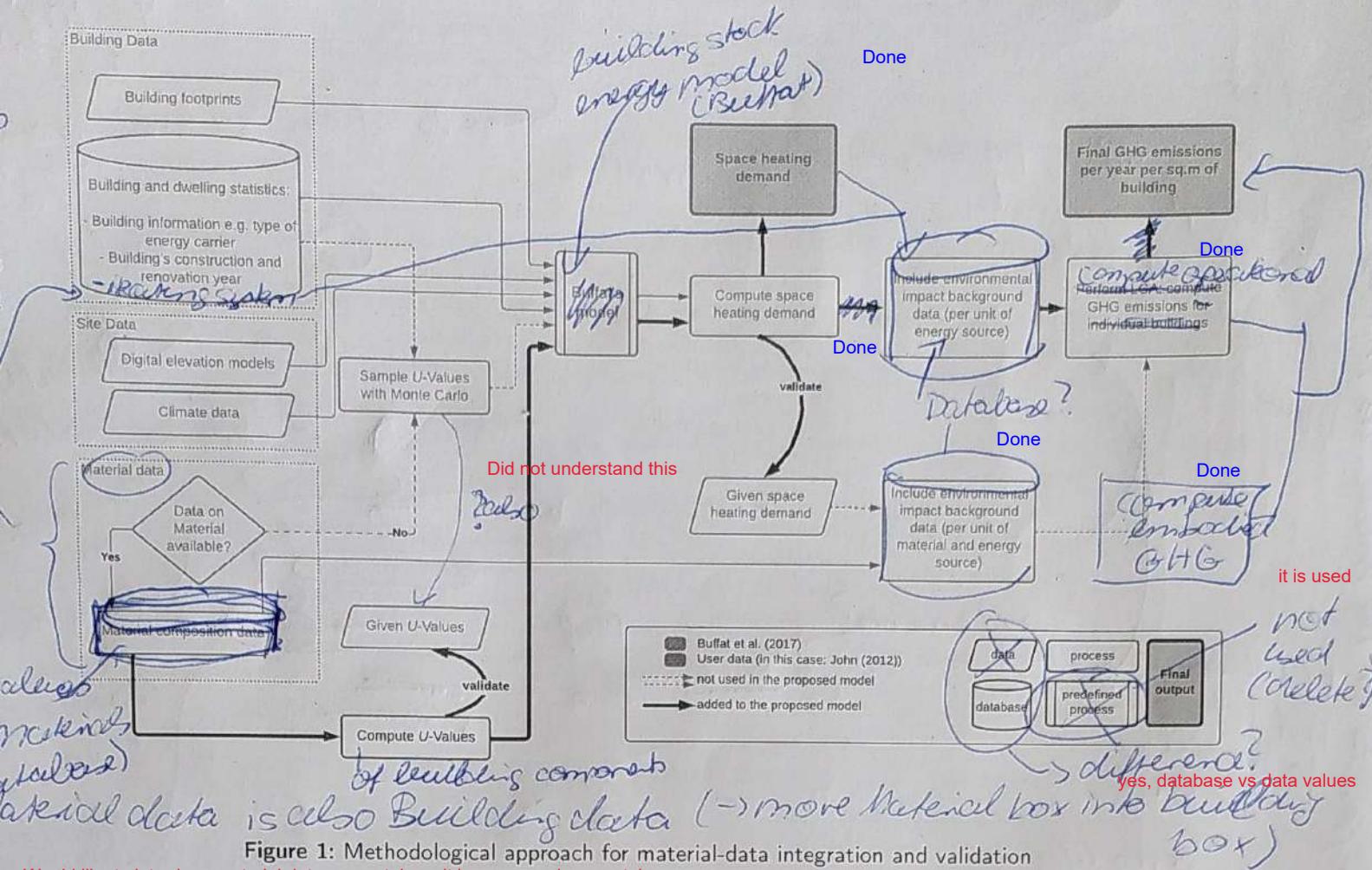


Figure 1: Methodological approach for material-data integration and validation

Would like to introduce material data separately as it is processed separately

**Building Data:** This data includes the information on buildings construction, renovation phases and its physical built e.g. the construction year, number of floors, building location, shape, area, heating location, etc. Such data for Swiss buildings can be obtained from the existing building datasets such as Federal Register of Building and Dwelling (FRBD) (FSO, 2022). FRBD contains detailed information for the residential buildings in terms of their (1) physical parameters e.g. the number of floors, the building size, the roof type, window details, type of energy carrier, etc. , (2) construction and renovation details e.g. when was it constructed, renovated, etc. , and (3) energy related information e.g. the energy carrier for heating and warm water.

Should we not give example here?  
or just call "system"

in the case of Switzerland Done

**Site data:** This data comprises of the building site information and parameters affecting buildings' environmental impact e.g. the temperature, radiation, digital elevation/ shading effect, etc. This is important dataset for estimating the emissions of a building as the building's location and physical environment ('site') affects the outdoor temperatures and conditions, affecting the heating demand of the building. Here, we use the weather and radiation data from Swissmeteo aggregated on a monthly basis. Additionally, we use the data from the Swiss Federal Office of Topography (swisstopo) (cite) which provides the location of the building to maximum accuracy, and this helps in estimating the building's neighborhood and its impact on the shading effects (Buffat et al., 2017) furthering the effect of the outdoors on the final heat demand. The swisstopo also gives the physical 3D structure of the building, allowing to derive the building's topographic features like the roof structure, etc.

Done

and amounts in

**Material data:** This data includes all the information on material composition of the building e.g. the thickness of the concrete in the walls, the layers of insulation, etc. To enable the use of detailed & updated data on material composition (if available), we transform the model so that it can be used as a tool for architects and building owners in such a way that users can update the existing building datasets or add new information to the datasets. In particular users can

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Sorry, cant read this

Data category	Dataset (Sources)	Data parameters
Done property Building data	Done Building information (FRBD, 2018; John, 2012)	Type of construction bfsnr Canton Location (we know) Year of construction Number of floors Number of accommodation units Built surface area [m <sup>2</sup> ] Altitude h [m] Energy reference area [sq.m.] Attic details
Site data	Building structure (Swisstopo) GIS/ geological data (Swissmeteo)	Location data Roof type Done Weather data Radiation data
Building material composition data	Material composition for construction and insulation in building (John, 2012; User inputs)	Materials used for construction Materials used for Façade Floor covering & construction Materials used for ceiling covering Roof covering Windows construction details
Environmental impact (background) data	LCI databases (ecoinvent, KBOB)	Energy impact factors() Material impact factors(KBOB)
Validation data	Energy demand and embodied emissions (John, 2012)	U values Heat demand LCA

Table 1  
All datasets used in the model: An overview

✓ done  
→ geometry  
Surfaces  
Building components?  
TBD, To do  
Partial implement  
and amounts?  
(thickness + surface?)  
Unclear  
or modify

enter data on the building properties and the material composition of the building. In this paper we use a specific dataset from John (2012) to illustrate how material data can be specified and used in the model. We also introduce a new dataset of thermal conductivities of different materials found in our case study. The material data provided by John contains the material used and thickness, especially for these components of the building: Façade, Inner wall covering and construction, floor covering and construction, and ceiling and roof covering. The material data is needed for the calculation of the embodied impacts and to estimate U-Values, as input to the energy demand model.

I think here the data is the LCI data as the emissions are the results

GHG emissions

Environmental impact data: This data mainly comprises the life cycle inventory (LCI) i.e. the emissions factors of the different material and energy sources used in the building during its different phases (construction, maintenance, and operation). In this paper, for the material impact factors, KBOB (Koordinationsgremium der Bauorgane des Bundes)<sup>2</sup> is used, as this dataset contains fairly accurate estimations of impacts of construction and insulation materials used in buildings components (e.g. facade, roof, windows, etc.) for Swiss residential buildings. For the energy sources, we use ecoinvent 3.6<sup>3</sup> which helps in calculating the impact associated to each material and the heating system energy source found in the buildings.

as background database

Validation Data: This data includes the measured annual heat demands of the building, the thermal resistance of the building components (U values), and the total greenhouse gas emissions of the building (IPCC GWP 100a, kg CO<sub>2</sub> eq.). In this paper, we use the heat demand (operational emissions) and the LCA impacts (embodied emissions) from John's data for validation, which helps in finally evaluating the model developed here and also the estimates from Buffat's model. Done

measured Done

hole-values, GHG Done

<sup>2</sup><https://www.kbob.admin.ch/kbob/de/home/die-kbob/plattform-ekobilanzdaten-im-baubereich.html>

<sup>3</sup><https://ecoinvent.org/the-ecoinvent-database/data-releases/ecoinvent-3-6/>

Added it in the end here, because this data helps in calculating the final impacts, instead of other way around

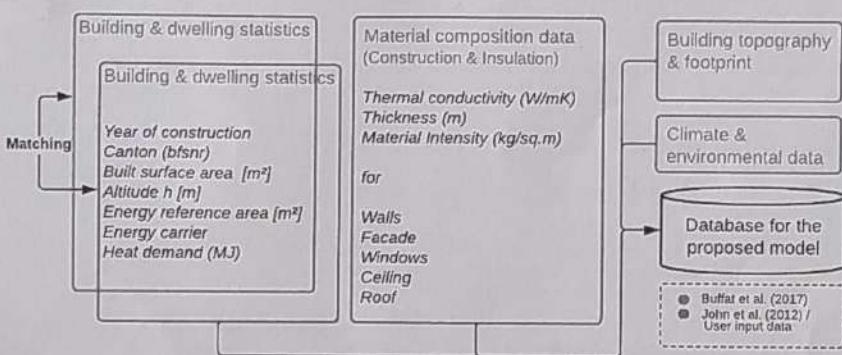
First Motivate choice of GHG as impact category considered  
R. Shinde, A. Kim, S. Hellweg: Preprint submitted to Elsevier and reference source here (IPCC)  
please be careful with terminology

→ usually people well know 'their' building → only for John He location  
material-energy building environmental footprint model needed to be found

## 2.2. Data Processing

The data entered by users (e.g. material data from John) need to be preprocessed to match the other types of existing datasets in the model (e.g. the FRBD building data). In this paper, we use case study of 12 Swiss buildings to showcase the data processing steps to the final results. Figure 2 shows all the data parameters used from the different data and models in this model and arranged together in this paper. Following steps are used for preprocessing in this case study:

1. The first step is to match the buildings across various datasets so that all the building parameters can be linked to the same building ID. For the same, we match the characteristic building parameters, which are: the year of construction, canton & municipality, building area, height of the building, and occupancy. These parameters are chosen because they are provided in most of the databases and are least likely to be measured wrong or affected by the renovations or changes in the building over its lifetime.
  2. If we could not find a perfect match of the buildings a "least distance approach" is applied (discussed in Section SI XX) for finding the closest match of building across different datasets. In our paper, we match the 12 buildings from John's data to the FRBD dataset to allocate the material data to the buildings. The mapping of the buildings across datasets is shown in SI Table XX.
  3. After material data is added to each component of the building, based on the material composition of each component, the respective U Values are calculated (Section ??).
  4. All these U Values replace the generic U Values from Buffat's model. Finally the model is run to calculate the new heat demand.



**Figure 2:** Data parameters and datasets used in the current model

### 2.3. Model

In this section, we showcase how to input the data for the Buffat model by including the material composition of the building (Section 2.3.1). This helps in estimating the U Values of the components of the building (e.g. the walls, the windows, etc.) which calculates the energy lost through the building's components. By including the other data parameters like the building and site information, with the derived U Values, we can estimate the heating demand of the buildings better (Section 2.3.2). Finally, with an accurate material composition of the building, we are able to estimate the embodied impacts better and thus we are able to perform LCA of the buildings (Section 2.3.3). To showcase the impacts of maintenance phase of the building, we introduce few scenarios of renovation and check the impacts on the LCA of building (Section 2.3.4).

### *2.3.1. User Input*

The model developed here allows users to input ~~the~~ data for one or many parameters in the model at the same time e.g. the material data for various building components. If no entry is made, the model takes default values. The following steps and the Figure 3 shows an example of the input tool.

- #### 1. List the building details

Edited

**Edited** (override Rocksoft data)

R. Shinde, A. Kim, S. Hellweg: Preprint submitted to Elsevier

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- List the building basic properties
- List the building components
- List the component material composition and details
- List the U Values of the materials if known

- ~~2. Create the material database for each component, allocate it to their thickness and U Values (lookup the U values if they are not provided from the database created)
  3. Create the building database with their components listed
  4. Add each new building to this database and calculate default values for thermal conductivity (U Values) based on the building typologies (construction, renovation year, location of the building, etc.)~~

## 1. Input of data

```

In [1]: # Build an input file for a building based on user input
input_building = input('Does the user want to add a new building? (Y/N)')
if input_building == 'Y':
    # general information on the building
    input_year = int(input('What is the construction year of the building? '))
    # building components and their properties
    all_building_components = ('exterior wall', 'window', 'floor', 'basement')
    list_all_components = []
    for building_component in all_building_components:
        input_building_component = int(input('How many '+building_component+' are there? [INT VALUES ONLY]'))
        list_building_component = [building_component+'_'+str(i+1) for i in range(input_building_component)] # e.g. exterior wall_1, exterior wall_2, ...
        list_all_components+=list_building_component
    properties = ('Area(m2)', 'Given U-Value(W/mK)')
    dict_properties={}
    dict_properties_all={}
    dict_materials={}
    dict_materials_all={}

    for component in list_all_components:
        print('\n',component)
        for property_component in properties:
            input_component = input('What is '+property_component+' of '+component+'?')#e.g. area of wall_1: 100
            dict_properties[property_component] = input_component
            dict_properties_all[component] = dict_properties
            dict_properties={}

    input_materials=int(input('How many materials are there in '+component+'? [INT VALUES ONLY]'))
    for m in list(range(input_materials)):
        input_material = input('What is the name of the material_'+str(m+1)+'?')
        input_thickness = input('What is the thickness(m) of the material_'+str(m+1)+'?')
        dict_materials[input_material]=input_thickness
        dict_materials_all[component]=dict_materials
        dict_materials={}

    # print('\n')
    # print('All components: ',list_all_components) #e.g. (wall1,wall2,floor1,...)
    # print('All properties of components: ',dict_properties_all)
    # print('All materials of components: ',dict_materials_all)

```

```

Does the user want to add a new building? (Y/N)Y
What is the construction year of the building? 2000
How many exterior wall are there? [INT VALUES ONLY]1
How many window are there? [INT VALUES ONLY]1
How many floor are there? [INT VALUES ONLY]1
How many basement are there? [INT VALUES ONLY]2

exterior wall_1
What is Area(m2) of exterior wall_1? 17100
What is Given U-Value(W/mK) of exterior wall_1? 17.9
How many materials are there in exterior wall_1? [INT VALUES ONLY]1
What is the name of the material_1? S

```

Figure 3: Example of user input

TBD -  
Added one table with example entries..

Question Default | 41 | 11?

suggest to make  
Table with  
example  
entries

→ conclusion the John values

U values and the associated components allocated in Buffat's model (Ref)

Notation in model	Component of building
u_fe	Floor against outside air
u_fu	Floor against unheated spaces
u_fg0	Floor against earth with component heating
u_wg0	wall to ground
u_ru	Ceiling against unheated space
u_we	Wall against outside air
u_wu	Wall against unheated spaces
u_wh	Wall against adjacent heated space
u_re	roof against outside air

Ref

### 2.3.2. Heating demand

Table 2 shows all the U values which are updated for each building in this model. These values are estimated in Buffat's model based on the construction and renovation years of the building (Section SI XX) which means that all the buildings in this model which are constructed and renovated in the same duration get the exact same values. Thus our model works on this gap by trying to allocate a more correct estimation of the U Values by using more accurate material composition of the buildings.

Each component of the building, e.g. the wall, roof, etc., is built of many layers of different materials with varying thicknesses. Each material has its own thermal conductivity. Based on the material used, their thickness and their thermal conductivities, the U Value for each component of the building is calculated using the equation 1. Note that if we have multiple components of same type, e.g. multiple walls, for the sake of model simplification, we select the median U values for that component of the building (an example for this is showcased in Section SI XX).

TBD

$$U_c = 1/(R_1 + R_2 \dots + R_n)$$

where

c = component of the building (e.g. wall)

$$R_i = t/K$$

i = the material of the component (e.g. cement in the wall)

t = thickness of the material

k = thermal conductivity of the material

For estimating the final heating demand, in our model we use the effects of various types of data listed in the Section 2.1. The building and site data helps in understanding the effects of physiological building aspects like the building area, roof structure, etc. to estimate the energy needed to heat up the space. Combining this with the material related impacts e.g. due to the insulation of the building, updates the heating space demand for the building. This put together with the environmental impact (LCI) data helps to calculate the final impacts for the building. As the input data in our model is updated e.g. the material composition and the U Values, the new input data is passed to the existing Buffat's model updating the final heating space energy demand.

### 2.3.3. LCA

For Life Cycle Assessment (LCA) of the building, in this paper, we consider the construction, maintenance and the operational phase of the building (and not the demolition and recycling). We use the IPCC GWP 100a method, which calculates the greenhouse gas emissions (kg CO<sub>2</sub> eq.) for the buildings. We use the brightway tool to allocate the final impact factors to the material and energy sources of the building. All materials of the building are coupled with background LCI data from ecoinvent and KBOB to calculate the embodied impacts of each component and building. For the operational phase, the calculated heat demand and the data on heating systems were also combined with the background LCI data to estimate the operational GHG emissions. These calculations were done in Brightway.

### 2.3.4. Scenarios

Or GHG score? This would not be CO<sub>2</sub>! Done in Brightway

As the renovations have a significant impact on the building-related emissions (in their lifespan), two sets of scenarios are introduced in this model to estimate the potential savings in the emissions of the building. In our model,

While here we only calculate GHG emissions, the tool could also be used to monitor other env. indicators.

Not clear

The enviro data is on background inventory

Not sure if this fits there  
check the edits

# How did you "connect" Brightway and the building model?

Explanation in SI, where the whole code is explained in steps.  
Should we discuss this in the main text too?

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Table 3

Basic information on 12 Swiss buildings used in this model (as case study to showcase model results)

	Canton	Construction Year	Accommodation units	Built surface area [m <sup>2</sup> ]	Altitude h [m]	Energy standard	Done
mfh01	Zurich	2012 (8022)	111	2350	402.7	MINERGIE	→ previous reference for these labeled as factors to the table
mfh02	Schwyz	2011 (8022)	2	190.2	606	MINERGIE-ECO	
mfh03	Bern	2011 (8022)	3	163.4	593.33	MINERGIE-P	
mfh04	Zurich	2010 (8021)	4	240	411.49	SIA 380	
mfh05	Zurich	2007 (8021)	132	2218	457.75	MINERGIE-P-ECO	
mfh06	Bern	2006 (8021)	3	777	500	MINERGIE-P-ECO	
mfh07	Zurich	2011 (8022)	89	1810	418.1	MINERGIE	
mfh08	Lucerne	2011 (8022)	6	375.3	498	MINERGIE-P-ECO	
mfh09	St Gallen	2008 (8021)	4	135.15	805	MINERGIE-P-ECO	
mfh10	Zurich	2012 (8022)	10	411	440	MINERGIE	
mfh11	Bern	2012 (8022)	22	665	565	MINERGIE-P-ECO	
mfh12	Lucerne	2008 (8021)	10	168.75	556		

scenarios directly update the building information data which enter the model and thus update the final operational and/or embodied emissions. The scenarios are explained below with steps for model modifications.

- "Insulation" scenario: A common practice to reduce the heat demand of the building (and thus the operational emissions and also the energy bills) is to improve the thermal resistance of the buildings. This is usually done by insulating the buildings further with adding insulation layers. We consider two types of insulation layers addition following the refurbishment scenarios laid out by Ostermeyer, Nägeli, Heeren and Wallbaum (2018):
  - Standard refurbishment: to transition the resulting U-value of the refurbished building components between the legal minimum (EnDK 2008) (cite) and the MINERGIE standard (cite) which means the U Values for walls < 0.15 W/(m<sup>2</sup>K), for windows < 1 W/(m<sup>2</sup>K) (cite).
  - Efficiency refurbishment: to transition towards a passive-house or MINERGIE-P (cite) which means the U Values for walls approx. 0.10 W/(m<sup>2</sup>K), for roof < 0.15 W/(m<sup>2</sup>K), for floor < 0.15 W/(m<sup>2</sup>K), for windows < 0.6 W/(m<sup>2</sup>K) (cite)
- Change in heating source: This includes the transition to the next best heating source based on their environmental impact. The following order is followed for the upgrade of the heating source - whenever possible the heating system is upgraded to a (brine water) heat pump.

The first two scenarios are applied based on the initial build of the building. In other words, let's take that a building is already ~~a~~ MINERGIE, then the standard refurbishment wouldn't add any benefit in terms of the insulation to the building, and thus the efficiency refurbishment is used. The protocol followed here is based on the building archetypes defined by Ostermeyer et al. (2018) based on the age and initial build of the building (important building component and the important building material). Similarly, the heating source is upgraded as per the existing heating source. ~~Final~~ ~~also~~ ~~the~~ users of the tool developed here (e.g. building owners, policy makers, etc.) can make dummy datasets to develop scenarios for building renovations (e.g. changing further the insulation material of the building), to check the changes in the building impact.

Done

Note that these scenarios are performed for illustrating the capabilities of the tool developed here. All buildings from in Table 3 are relatively new and well insulated and in reality they would probably not (yet) be candidates for energy related renovation.

**3. Results and Validation**  
 In the following section, based on the methods discussed above, the results are laid out for the estimated U values (section 3.1) and heat demands (section 3.2) for impacts in operational phase of (for case study of 12 Swiss) buildings are laid out. Then, to incorporate the impacts of all the construction, maintenance and operational phase, the LCA results with the scenario results are discussed (Section 3.3 and Section 3.4). Before we discuss the results, the basic characteristics of these buildings are laid out in the Table 3 below (detailed in Table SI XX).

### 3.1. U values

In this section, we will present how the U values calculated in our model vary compared to the measured U values for the 12 Swiss buildings. The following Figure 4 shows an example with the calculated (in our model) and measured reported U values (from John's data) for two building components (roof and external walls) of few buildings. As can be seen in the graph, the calculated and the given values are not significantly different, which help in correctly estimating the final heat demand. In the Section SI XX we also show these U values for all the building components for all the buildings, compared to the measured U Values and the existing U Values which are probabilistically estimated for these buildings by the Buffat et al. (2017) model.

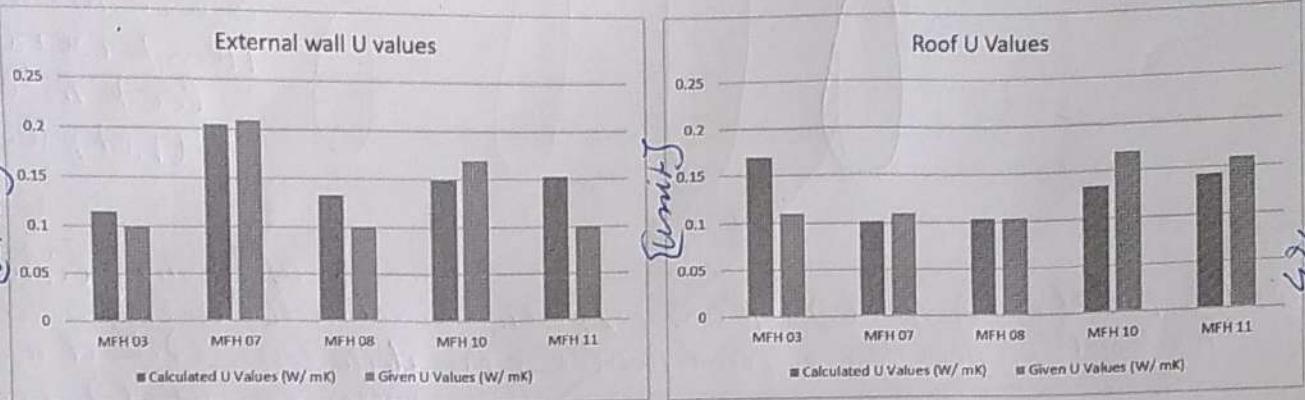


Figure 4: Results of U Values: calculated (in our model) vs measured *reported by John ( )*

Done

### 3.2. Heating demand

After allocating the U values for the building components to the buildings, the heat demands are updated using the Buffat et al. (2017) model. The following Figure 5 shows the calculated vs measured heat demand results. For comparison, in the same graph we also add the "old" calculated space heat demand results (with the old U values). As shown further in the Table 4, it is clear that the current model shows a very high improvement in the final heat demand compared to the old model with probabilistic U values. The buildings with highest improvement in relative error (mfh 03, 06 and 08) are also those where the U Values changed significantly due to the change in material composition. Also note that these are the buildings with some of the highest estimated heating demand (due to the probabilistic allocation of u values instead of more accurate ones based on the material compositions). This provides a scope for our model to correct the "default" u values for these building typologies, correcting the estimations of new buildings' energy demands. Note that though the relative error reduces with the new model, the high error for some of the buildings (compared to the measured values) exist due to multiple reasons including the user behavior, etc. which is not included in the model currently (discussed more in Section 4). *Added but want to check the rationale behind this*

### 3.3. LCA results

Allocating the material impact factor per material used in the building components, we estimate the embodied emissions of the building. This database of the material impacts for the 12 Swiss buildings used in the case study here is shown in the Section SI XX. This impact is added to the space heating demand estimated in the above Section 3.2 giving the Life Cycle Assessment of the building. The Figure 6 shows the Greenhouse Gas (GHG) emissions calculated annually per square meter of the different buildings. The results show that the LCA of the buildings is rather high for the mfh02 and mfh06. One of the reasons explaining this could be the ratio of the building surface area per sq.m being the highest for these two buildings (96 m<sup>2</sup>/ accommodation unit and 259 m<sup>2</sup>/unit, respectively).

### 3.4. Scenarios

For showcasing how the model works with various scenarios, we have introduced two scenarios for two buildings mfh07 and mfh10 as they have a natural gas as a heating system which can be improved further (scenario 2). We keep the same buildings for further improvements in insulation (scenario 1) to study the effects of different scenarios on the It is just something provided by the architects, so it is not really mentioned how and when it is measured

*④ Relying, please check if this was the case. It was the John heat closer measured and what was the measuring period?*

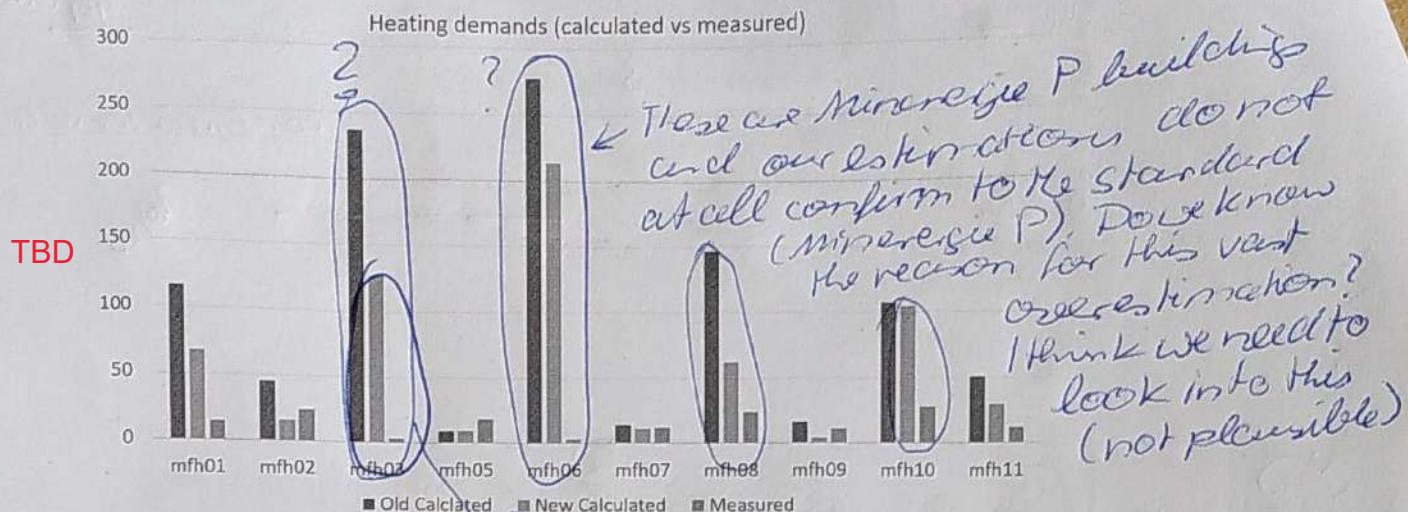


Figure 5: Heat demand estimation and measured data

Done (old) approach by Beijstek (left column), the updated model using accurate material specifications of each building (middle column) and reported values by John (right column)

Table 4

Relative errors for the models in estimation of space heat demand

Building	Decrease in relative error	Relative error old	Relative error new
mfh03	33.22	70.79	37.58
mfh06	19.43	83.24	63.81
mfh08	3.55	5.14	1.58
mfh01	3.37	7.08	3.72
mfh11	1.81	3.27	1.47
mfh02	0.54	0.89	0.35
mfh10	0.11	2.86	2.75
mfh07	0.06	0.15	0.09
mfh12	0.00	1.00	1.00
mfh05	-0.01	0.50	0.51
mfh09	-0.15	0.46	0.61
Average	5.63	15.94	10.32

Now does this fit to the overestimation by more than a factor of 10?  
these errors are relative to the old value, and not the measure value

same building. The changes as per the scenarios in the existing buildings' parameters e.g. the walls' insulation, or the heating systems, can be seen below in the Table 5.

**Scenario 1- Insulation:** For the first scenario of adding a layer of insulation, a new insulation material is added in the building walls which is not already present to effectively change the thermal conductivity of the walls and reduce the U values. This affects the LCA of the building in two ways: the additional material increases the embodied impact of the building, while the additional insulation reduces the energy impact (usage) for heating the building. As shown in Figure 7, the insulation scenario shows for both the rockwool insulation in the mfh07 and cellulose fiber in mfh10 that the insulation is not very effective way for reducing the impacts. It should be noted the energy benefit of adding insulation is only about 5%, comparable to the second scenario of heating system change.

**Scenario 2- Heating source change:** For change in the heating source, we chose to convert the natural gas systems in the two buildings to brine water heat pumps, as they have low impact (district water incinerators have even lower impacts, but they can only be installed based on the location of the building). Figure 7 shows that the change in energy sources help in always reducing the impacts of the building. It should be noted that these are MINERGIE buildings with a very low impact already, and thus the change in the heating source or insulation doesn't necessarily show an improvement, but for other buildings, the case might be different based on their initial construct).

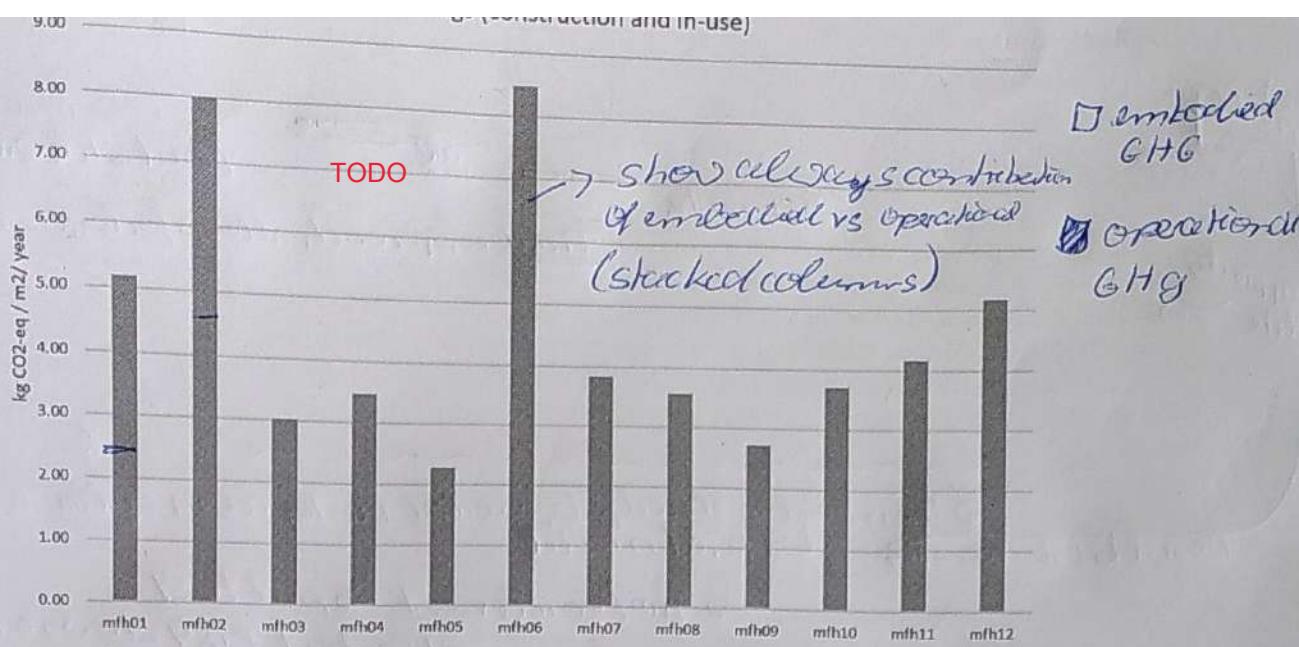


Figure 6: LCA (Greenhouse gas emissions) for all the buildings. Split by embodied and operational GHG. The lifetime of assumed for all buildings was X years.

Done

Table 5

Changes in building parameters introduced as per the scenarios

	Scenario 1: Insulation				Scenario 2: Heating	
	U (We) old	U (We) new	material	+ MI new (kg/m²a)	Base source	New source
mfh07	0.116	0.050	rockwool insulation	0.089	Natural Gas	Heat Pump
mfh10	0.16	0.033	cellulose fiber	0.468	Natural Gas	Heat Pump

Done

LCA of buildings (construction and in-use)

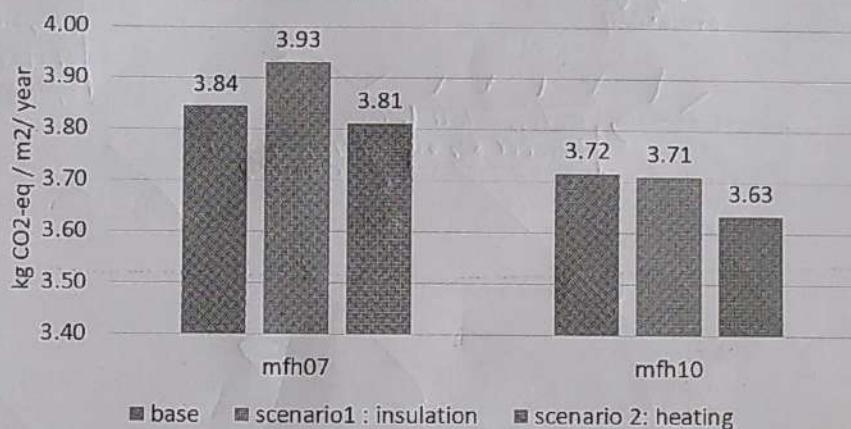


Figure 7: Scenario results: LCA (GHG) for all the buildings

Done

(see Tab 5 for scenarios)

?? only ??

## Combined material-energy building environmental footprint model

### 4. Discussion and Outlook

Done

operational GHG emissions  
rather low

#### Takeaways and highlights from the model :

- Not really | > Considering the results, for the case of the 12 buildings (new energy-efficient buildings, only around 10 years old), the embodied emissions clearly show to have a significant impact in the scenarios. → base case Example of minergieP
- Done | > The method improved the energy estimations significantly for most of the buildings (but still greater deviation from real values)
- Done | > The method proposed here also showcased an improved way of calculating the LCA for the buildings, with the possibility for integrating data provided by users. Tired approach allows for large-scale modeling
- App. like | > We can also integrate data from other research in different regions, e.g. an extension of our study can allow integrations of Kleemann, Lederer, Aschenbrenner, Rechberger and Fellner (2016), which used construction data in combination with on-site investigations to characterize material composition of buildings in Austria; as well as Gontia, Nägeli, Rosado, Kalmýkova and Österbring (2018), who created a material intensity database for residential buildings in Sweden that is based on architectural data and densities of construction materials. > Thus this is a truly bottom-up model while in comparison with other bottom up models Trigaux, Allacker and Debacher (2021) ?
- Done | > We also propose a framework to upscale the model by better estimation of U Values (even with missing material data) (Figure 8) → The model is already upscaleable (integrated in earlier sections)

Results: also scenarios

↳ fixed model

#### Limitations and improvements of the model :

- Done | > Uncertainties in matching building → not so relevant → part to SI
- Done | > Uncertainties in thermal conductivity for similar materials → yes, but Fig 4 shows rather good match
- Done | > Electricity mix and hot water source not included in LCA - but can be
- Not clear | > Currently only monthly scale of temporal data is used in the model right now, and this can be modified for different scenarios like solar → needs to be mentioned earlier → above one say 30 minutes
- Done | > User behavior is not included, even though heating consumption estimations from Froemelt, Buffat and Hellweg (2020) are included.

Resource or hot water altogether?

or consumption?

Dynamic update of U Values

For the building

Update building register / Create archetype

## Combined material-energy building environmental footprint model

### 5. Conclusion

- > Buildings are high emitters, in their construction, maintenance and renovation phase. → introduction  
Though these phases are well estimated across various studies, they are not always well connected to each other e.g. the materials impacting the embodies emissions, also affect the final operational emissions → ~~here a model that allows combined analysis~~
  - > Our model creates a tool and a data pipeline to integrate the data from users or studies to better estimate the LCA of buildings.
  - > It also allows estimating LCA better for buildings with missing data.
  - > Our study shows significant improvement in the energy estimations compared to a high resolution energy space heating demand model (Buffat's)
  - > It also shows that not all renovations affect the buildings for lower environmental impact when both the energy and material emissions are considered together → ~~These renovations are hypothetical and not realistic~~
  - > There is a possibility to improve this model further with better data sources from electricity and heating water sources, and user behavior.
  - > XX
  - > XX
  - > XX
  - > XX
  - > XX
- ↓  
tool  
here we need to be  
careful in the  
interpretation

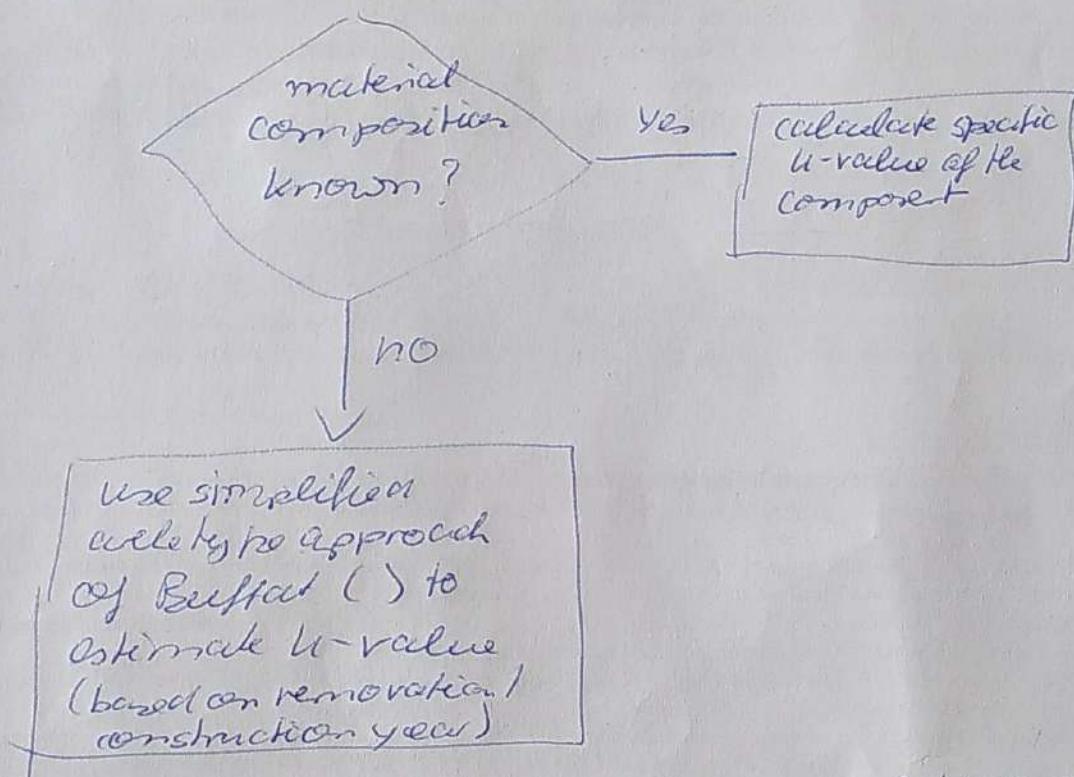
### Acknowledgement

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Tiered approach (instead of Fig 8)

↳ include in Methods section

① for all components:  $U_{fa}$



- ② calculate energy demand as a function of U-values ( $V$ ),  
soil climate (soil, vegetation, temperature), shading,  
building geometry etc follows Buffet  
R. Shinde, A. Kim, S. Hellweg: Preprint submitted to Elsevier Page 14 of 14
- ③ calculate embedded + operational GHG  
(material and background) (heat demand and background CA data)
- ④ calculate scenarios of building thermal source modifications