

# Two-layer Federated Learning with Heterogeneous Model Aggregation for 6G Supported Internet of Vehicles

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# Introduction

1. **Innovative Framework:** The paper presents a new two-layer federated learning approach for 6G vehicular networks.
2. **Enhanced Data Handling:** It introduces a unique model that effectively combines local and global data for improved learning efficiency.
3. **Superior Performance:** The model shows better accuracy in intelligent object detection, outperforming existing methods in dense vehicular environments.

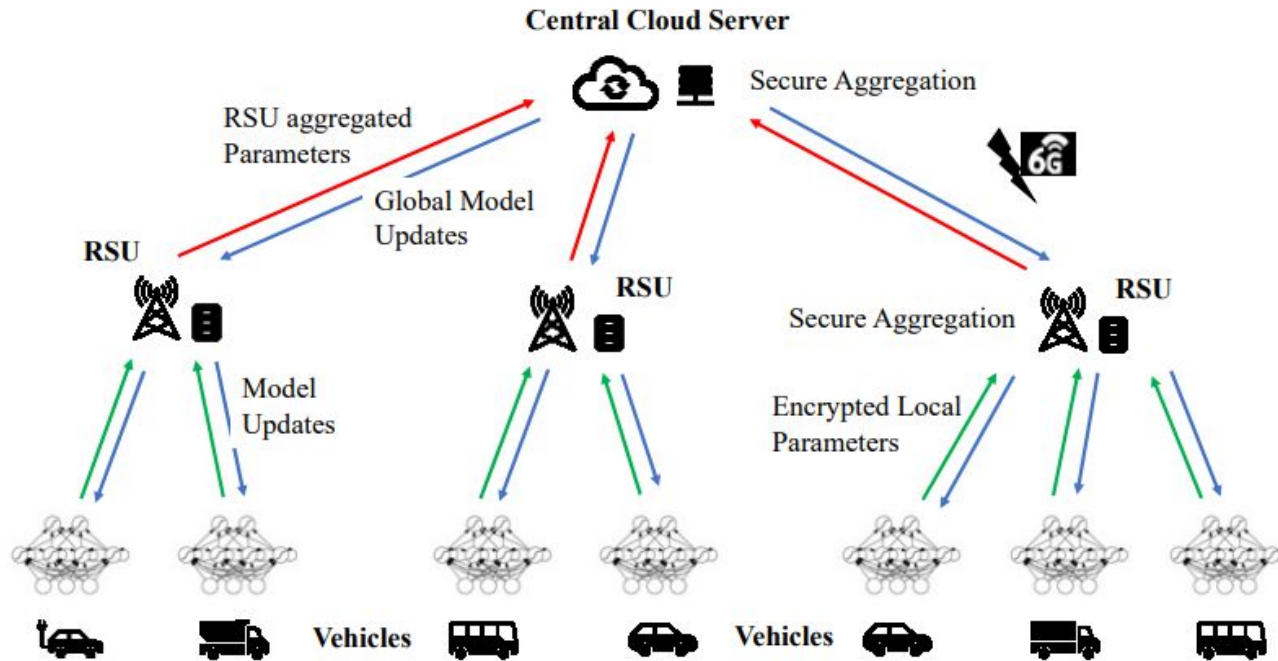
# Challenges

1. **Data Privacy and Security:** It tackles concerns related to the privacy and security of data in distributed vehicular environments.
2. **Communication Efficiency:** The model is designed to reduce the communication overhead typically associated with federated learning systems.
3. **Handling Heterogeneous Data:** Introduces a solution for efficiently aggregating and processing heterogeneous data from various local and global sources.
4. **Need for Distributed Machine Learning:** Distributed machine learning methods that can efficiently utilize the vast and diverse data generated at the edge of massive interconnected networks.

# Applications

1. **Intelligent Transportation Systems:** Enhances object detection for safer and more efficient traffic management.
2. **6G Vehicular Networks:** Offers a practical model for data processing in next-generation vehicular communication systems.
3. **Driving and Traffic Enhancements:** The technology aids in recognizing and sharing roadside information like traffic signs and parking details, leading to improvements in autonomous driving, traffic management, and route planning.

# Basic Model Architecture



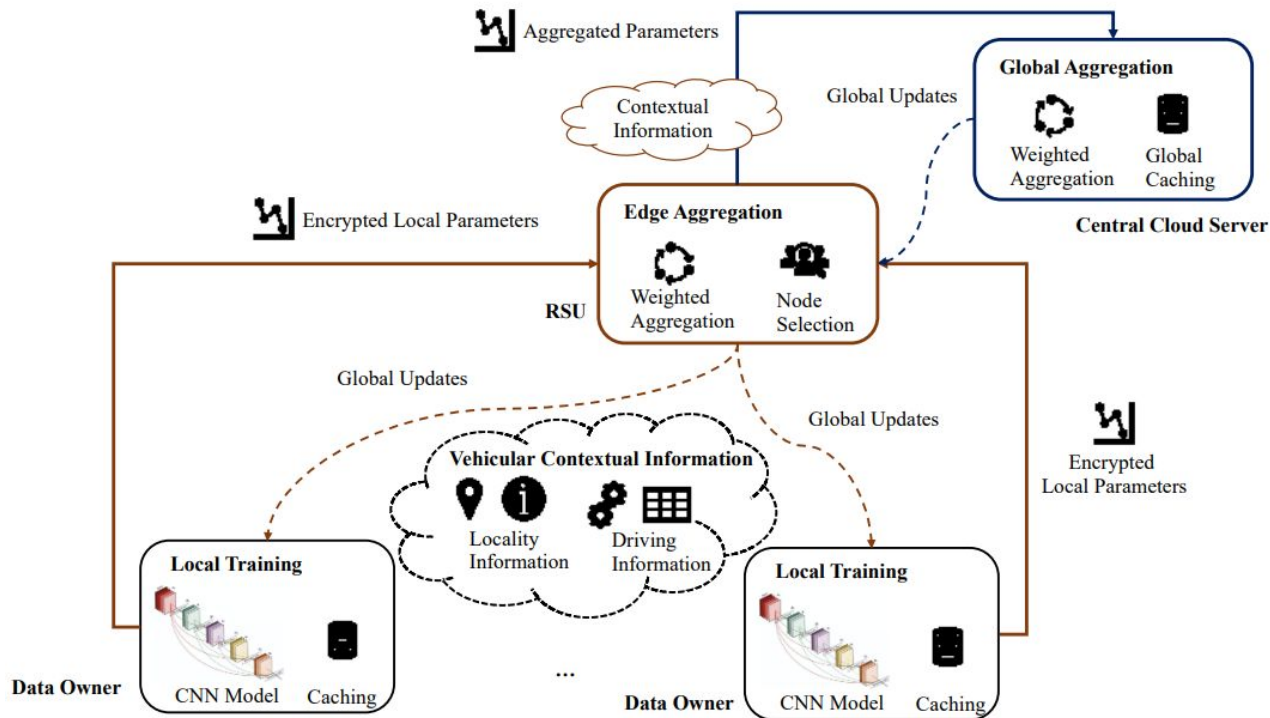
# Continued.

1. **Top Layer (Central Cloud Server):** Offers high-performance computing and higher-level knowledge sharing for global caching and aggregation tasks.
2. **Middle Layer (Roadside Units - RSUs):** RSUs with limited caching and computing capabilities supervise vehicles in their coverage, aggregating learning parameters and contextual information like vehicle locations.
3. **Bottom Layer (Vehicles):** Vehicles generate raw data and perform light computing tasks like training models for object detection. They operate under a unified deep learning model and contribute to the federated learning system while ensuring privacy and efficient knowledge sharing.

# Problem Definition

- A global deep learning model  $\mathbf{M} = \mathbf{h}(\mathbf{x}, \boldsymbol{\omega})$  is trained on the distributed dataset  $\mathbf{D}_{total}$  across the 6G supported vehicular network.
- The goal of the proposed framework is to recognize the objects or road signs during the real-time autonomous driving scenario based on samples in  $\mathbf{D}_{total}$ .
- Parameters computed via local training by  $\mathbf{v}_i$  need to be encrypted and then sent to the corresponding RSU.

# CNN Based Two-Layer Federated Learning





# Continued

CNN model, which is introduced to perform an object detection task can be represented as the hypothesis  $h(\mathbf{x}_i, \boldsymbol{\omega})$  and trained locally by the data owner  $\mathbf{v}_i$ .

$$h(x_i, \omega) = \text{FC}(\text{Pool}(\text{Conv}(x_i, \omega_{\text{Conv}}), \omega_{\text{Pool}}), \omega_{\text{FC}})$$

The result of  $h(\mathbf{x}_i, \boldsymbol{\omega})$  is predicted by a SoftMax classifier as follows.

$$y^{\text{predict}} = \text{SoftMax}(h(x_i, \omega))$$

# Cost Function

We define the cost function as follows.

$$J_i(\omega) = -\frac{1}{k} [\sum_{q=1}^k \sum_{p=1}^l \{y_i = p\} \log(\frac{e^{\omega_p^T x_i}}{\sum_{q=1}^k e^{\omega_q^T x_i}})]$$

where we assume there are ***k*** samples for data owner ***v<sub>i</sub>***.

# Multi-Layer heterogeneous Selection and Aggregation Scheme

Both the **vehicular** and **RSU** contextual information are taken into account in the aggregation process.

The total parameter  $w_j^{RSU}(t)$  by RSU  $r_j$  is aggregated by the following equation:

$$\omega_j^{RSU}(t) = \frac{1}{J} \sum_{i=1}^J \delta_i * \omega_i(t) \quad (4)$$

$w_i(t)$  = parameters gained by data owner  $i$  at iteration  $t \in T$

$J$  = total number of the vehicles supervised by an RSU  $r_j$

$\delta_i$  = weighted coefficient of vehicle  $v_i$

# Multi-Layer heterogeneous Selection and Aggregation Scheme (local aggregation)

$\delta_i$  is applied to measure how the local training result can be influenced by its locality and driving contextual information. It is being calculated based on two factors:

**Distance:** A distance-based measurement between an RSU  $r_j$  and vehicle  $v_i$  is defined to represent the locality attribute of  $v_i$

$$dis(v_i, r_j) = \sqrt{(lat_i - lat_j)^2 + (lon_i - lon_j)^2} \quad (5)$$

**Image quality:** The quality of captured raw data by vehicles may be influenced by the direction and velocity of the moving vehicles

$$drv(v_i) = \frac{\theta_i}{90} * \log(vlc_i) \quad (6)$$

$\theta_i$  = Direction of the velocity of the vehicle

$vlc_i$  = The instantaneous velocity of the vehicle

# Multi-Layer heterogeneous Selection and Aggregation Scheme (local aggregation)

The weighted coefficient  $\delta_i$  of vehicle  $\mathbf{v}_i$  can be calculated using the vehicular contextual information using equation (5) and (6) in the following way:

$$\delta_i = \frac{\text{dis}(\mathbf{v}_i, \mathbf{r}_j)}{\sum_{j=1}^J \text{dis}(\mathbf{v}_i, \mathbf{r}_j)} + \text{drv}(\mathbf{v}_i) \quad (7)$$

\*  $\sum_{i=1}^I \text{dis}(\mathbf{v}_i, \mathbf{r}_j)$  (??)

# Multi-Layer heterogeneous Selection and Aggregation Scheme (global aggregation)

Once the local models are aggregated by individual RSUs, multiple RSUs will upload their aggregated parameters to the central cloud server CS where the global model selection and aggregation will happen.

The weighted coefficient  $\xi_j$  for an RSU  $r_j$  can be calculated based on two factors:

- Computational Power of the RSU :  $P(r_j)$
- Number of vehicles being supervised by  $r_j$  :  $J$

$$\xi_j = \frac{J}{n} * \log\left(\frac{P(r_j)}{P(CS)}\right) \quad (8)$$

$n$  = whole vehicular network capacity

$P(CS)$  = Computational power of Cloud Server

# Intelligent Object Detection Algorithm

Based on the applied horizontal federated learning framework, we can share an identical global model and update the training parameters within the whole 6G supported vehicular network.

We define a gradient descent calculation for parameters in each iteration as follows:

$$\omega(t + 1) = \omega(t) + \nabla(\omega) \quad (9)$$

$\omega$  indicates the aggregated parameter in the global model

# Intelligent Object Detection Algorithm

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**Input:** A set of raw data provided by data owners  $D = \{d_i\}_{i=1}^n$

Participated data owners  $V = \{v_i\}_{i=1}^n$

Participated RSUs  $R = \{r_j\}_{j=1}^m$

**Output:** A trained global object detection model  $M$

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```
1: Initialize model parameter  $\omega$ , maximum iterations  $T$ 
2: for  $t = 1$  to  $T$  do
3:   for each RSU  $r_j \in R$  do
4:     for each data owner  $v_i \in V$  do
5:       if  $v_i$  supervised by  $r_j$  do
6:         Conduct local training on dataset  $d_i$ 
7:         Calculate the contextual information for  $v_i$  by Eq.
           (5), Eq. (6)
8:         Submit the local training parameter  $\omega_i(t)$  to  $r_j$ 
9:       end for
10:    Aggregate the parameters in  $r_j$  by Eq. (4)-(7)
11:    Upload the parameters to server  $CS$ 
12:  end for
13:  Calculate the global parameters  $\omega(t + 1)$  for model  $M$  by
    Eq. (9)
14:  if  $\nabla(\omega)$  reach the convergency threshold goto 17
15:  Broadcast  $\omega(t + 1)$  to the network
16: end for
17: return  $M$ 
```

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# Result Analysis

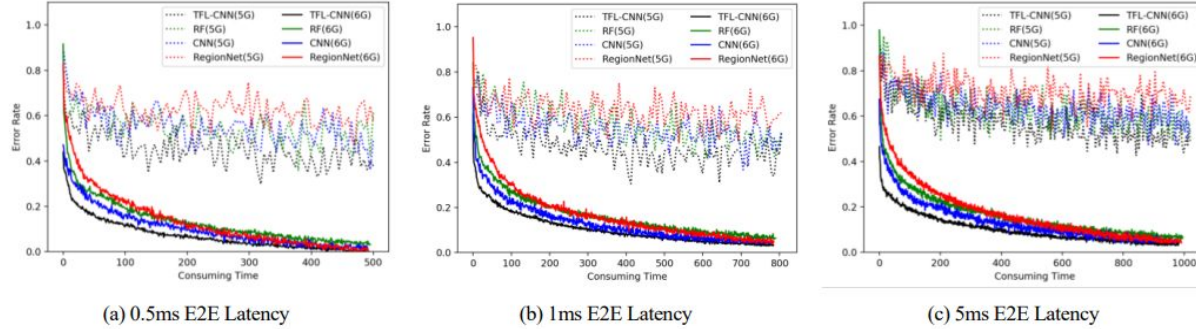


Fig. 4. Error Rate Observation Under 5G/6G Configuration in Federated Learning Process

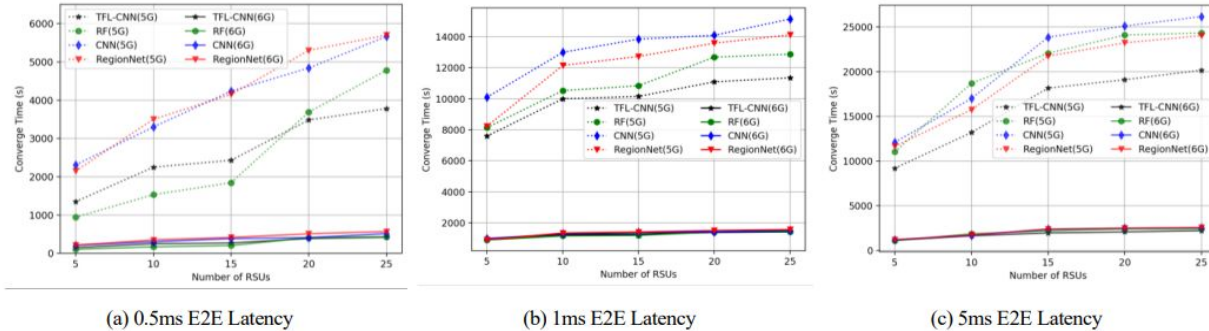


Fig. 5. Total Convergence Time(s) Under 5G/6G Configuration to Meet the Threshold of Error Rate

# Result Analysis

TABLE 2. COMPARISONS ON TRAFFIC SIGN RECOGNITION PERFORMANCE

Methods	Precision	Recall	F1 score
CNN	0.889	0.821	0.854
RegionNet	0.899	0.910	0.904
RF	0.746	0.852	0.795
TFL-CNN	<b>0.906</b>	<b>0.968</b>	<b>0.936</b>

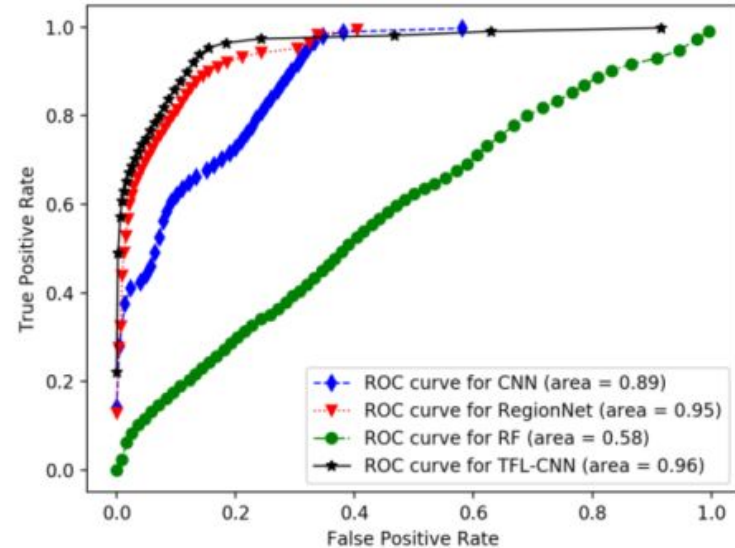


Fig. 6. Performance Evaluation Based on ROC

# Observations!

**Thank You!**