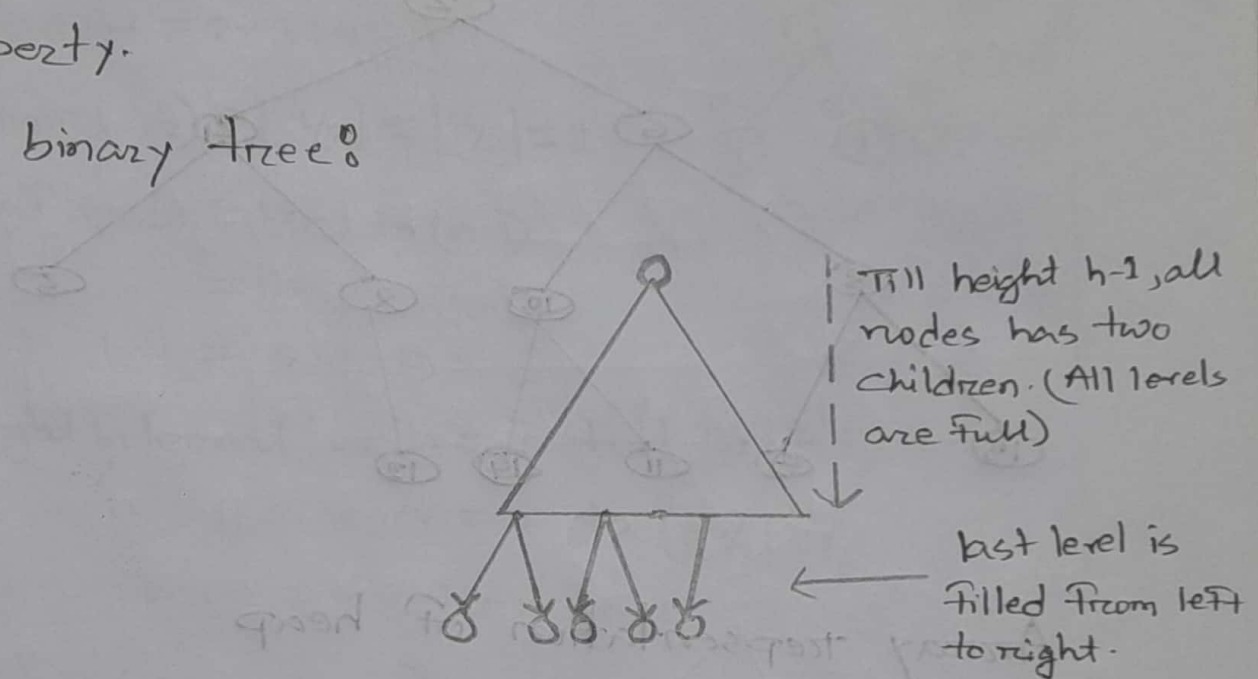


# Heap

What is heap in Data Structure?

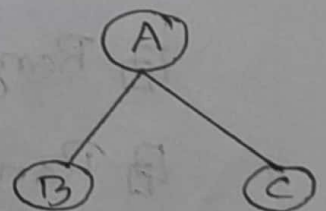
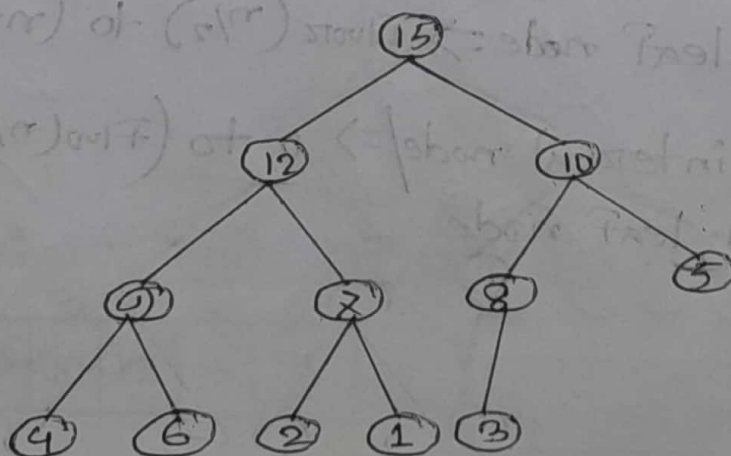
A heap is a complete binary tree or almost complete binary tree structure where each element satisfy a heap property.

Complete binary tree:



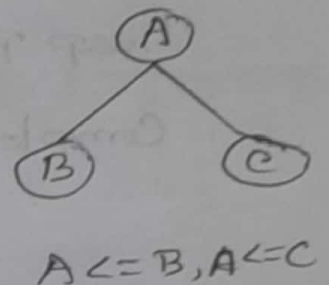
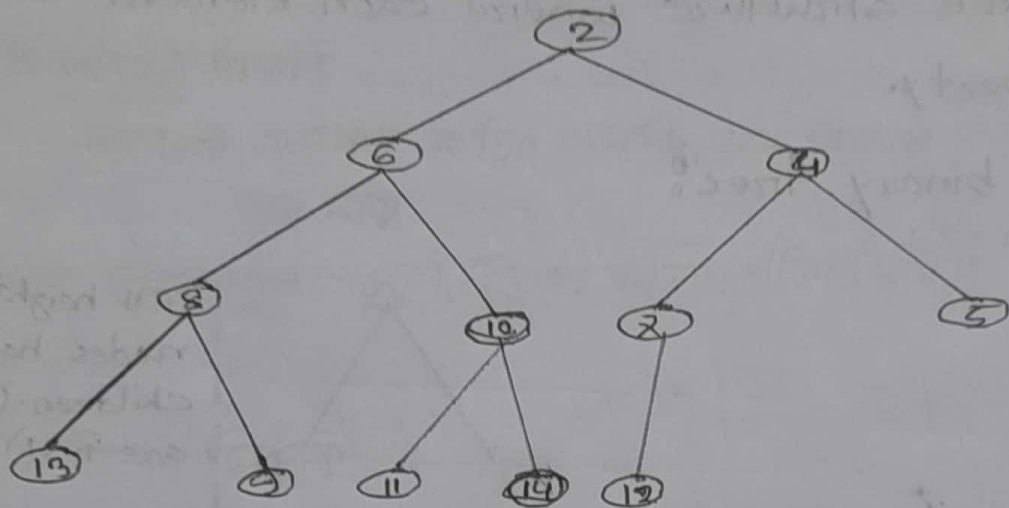
There are two types of heap data structures: Max heap and Min heap.

Max heap: Root node should be greater than all the left and right subtree nodes and it is recursively true all the subtree.



$A \geq B, A \geq C$

Min Heap: Root node should be smaller than all the left & right node subtree nodes and it is recursively true all the subtree.



## Array representation of heap

Root node of the binary heap is stored at  $A[0]$

Given element  $A[i]$

Left child of 'i'  $\text{left}(i) \Rightarrow A[2i+1]$ ; if  $2i+1 < n$

Right child of 'i'  $\text{right}(i) \Rightarrow A[2i+2]$ ; if  $2i+2 < n$

Parent of  $A[i] \Rightarrow A[\text{Floor}(i/2)]$

Range of leaf node  $\Rightarrow \text{Floor}(n/2)$  to  $(n-1)$

Range of internal node  $\Rightarrow 0$  to  $(\text{Floor}(n/2)-1)$   
non-leaf node

$n = 10$

Node = 'D'

Index = 3 = 'i'

Left child =  $2 \times i + 1 = 2 \times 3 + 1 = 7$

Right child =  $2 \times i + 2 = 2 \times 3 + 2 = 8$

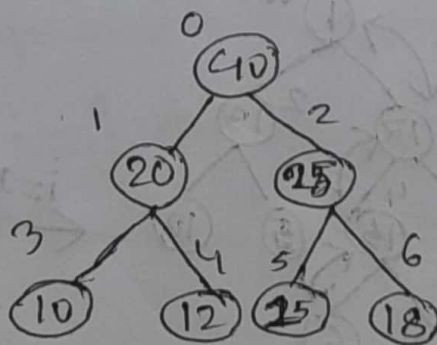
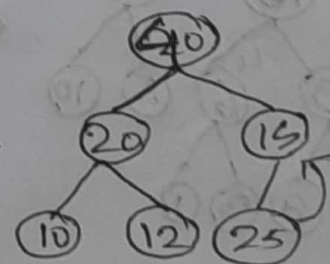
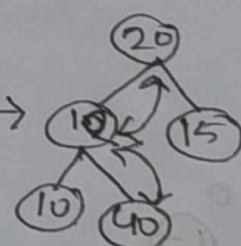
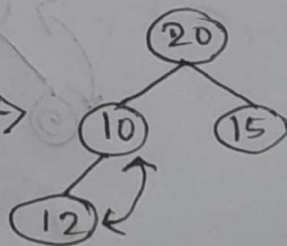
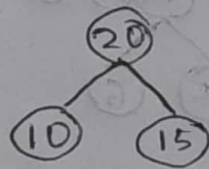
Parent =  $\text{Floor}(i/2) = \lfloor i/2 \rfloor = \lfloor 3/2 \rfloor = 1$

Range of leaf node =  $\lfloor n/2 \rfloor$  to  $(n-1)$   
 $= \lfloor 10/2 \rfloor$  to  $(10-1)$   
 $= 5$  to  $9$

Range of ~~right~~ internal node =  $0$  to  $(\lfloor n/2 \rfloor - 1)$   
 $= 0$  to  $(\lfloor 10/2 \rfloor - 1)$   
 $= 0$  to  $4$

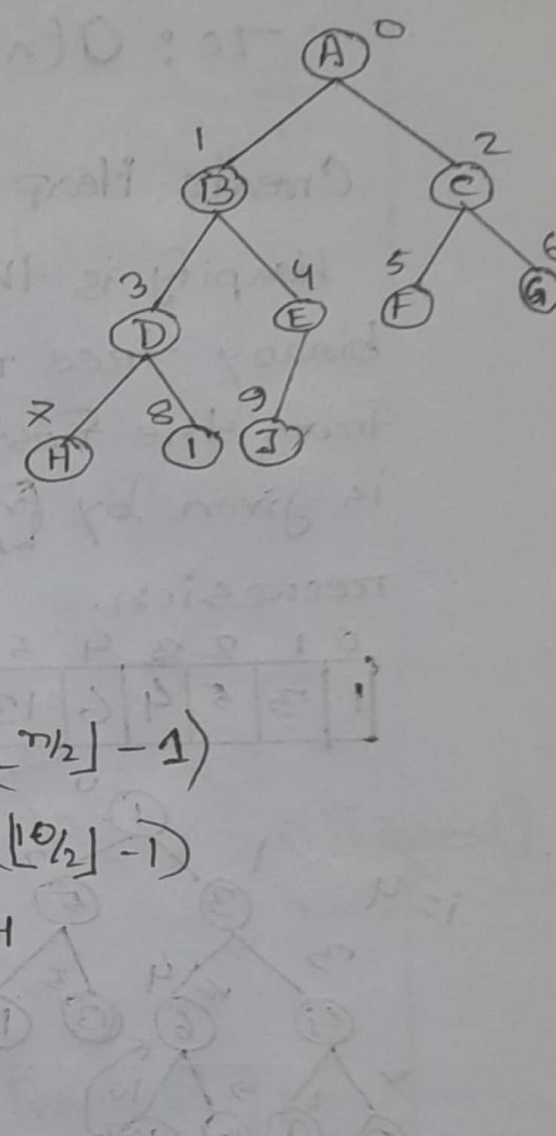
Create Heap (Max heap)

|    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|
| 10 | 20 | 15 | 12 | 40 | 25 | 18 |
|----|----|----|----|----|----|----|



Final Array:

|    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|
| 0  | 1  | 2  | 3  | 4  | 5  | 6  |
| 40 | 20 | 25 | 10 | 12 | 15 | 18 |





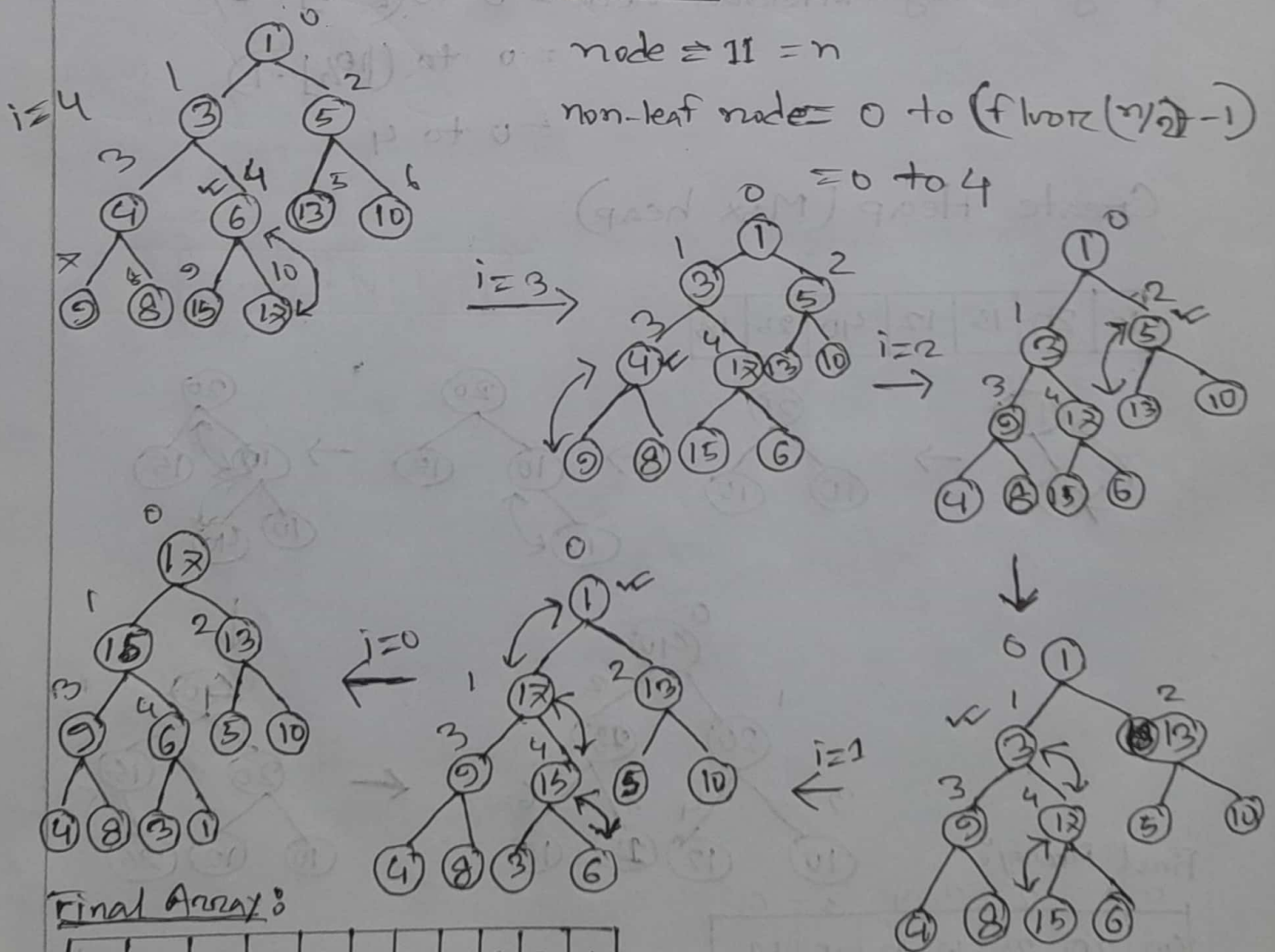
TC:  $O(n \log n)$  [~~Tree length~~] [Tree length]

Create Heap by using Heapify:

Heapify is the process of creating a heap DS from a binary tree represented using an array. It starts from the first index of the non-leaf node whose index is given by  $\lfloor n/2 \rfloor - 1$  (floor  $(n/2) - 1$ ). Heapify uses recursion.

|   |   |   |   |   |    |    |   |   |    |    |
|---|---|---|---|---|----|----|---|---|----|----|
| 0 | 1 | 2 | 3 | 4 | 5  | 6  | 7 | 8 | 9  | 10 |
| 1 | 3 | 5 | 4 | 6 | 13 | 10 | 9 | 8 | 15 | 12 |

~~create~~ Build Max heap




Final Array:

|    |    |    |   |   |   |    |   |   |   |   |
|----|----|----|---|---|---|----|---|---|---|---|
| 12 | 15 | 13 | 9 | 6 | 5 | 10 | 4 | 8 | 3 | 1 |
|----|----|----|---|---|---|----|---|---|---|---|

TC:  $O(n)$

Algorithm:

 Max-Heapify (A, i)

$$l = 2 \times i + 1$$

$$r = 2 \times i + 2$$

if  $l \leq A.\text{heap-size}()$  and  $A[l] > A[i]$

$$\text{largest} = l$$

else

$$\text{largest} = i$$

if  $r \leq A.\text{heap-size}()$  and  $A[r] > A[\text{largest}]$

$$\text{largest} = r$$

if  $\text{largest} \neq i$

swap  $A[i]$  with  $A[\text{largest}]$

Max-Heapify (A, largest)



Build-Max-Heap (A)

$$A.\text{heap-size}() = A.\text{length}$$

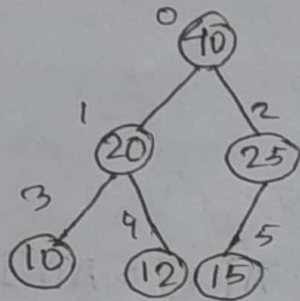
for  $i = \lfloor A.\text{length}/2 \rfloor - 1$  to 0

Max-Heapify (A, i)

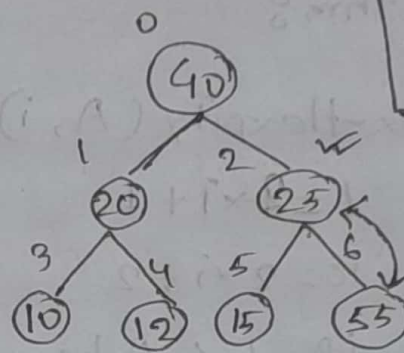
$$\begin{aligned} \therefore TC &= \text{Cost of Max-Heapify} * \text{Cost of Build-Max-Heap} \\ &= \log n * n/2 \approx O(n \log n) \end{aligned}$$

Insert Element in a Heap :

|    |    |    |    |    |    |
|----|----|----|----|----|----|
| 0  | 1  | 2  | 3  | 4  | 5  |
| 40 | 20 | 25 | 10 | 12 | 15 |



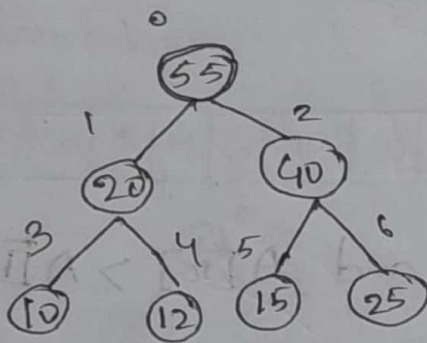
Insert  
55



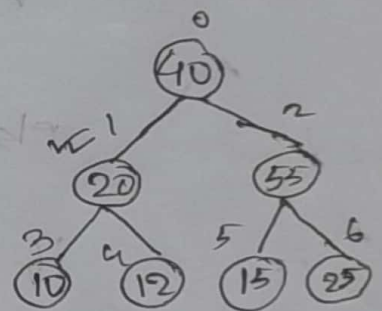
$$\lfloor \frac{6}{2} \rfloor - 1 = 2$$

loop  $\rightarrow 2 \rightarrow 0$

$i \geq 2$   
Build-Max-Heap



$i = 0$   
 $i = 1$   
Same for  $i = 1$



Final Array :

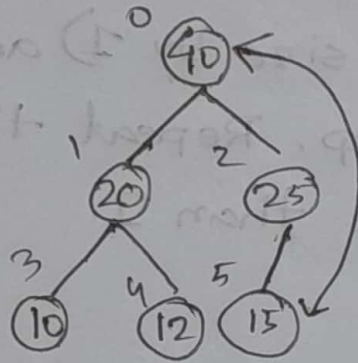
|    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|
| 0  | 1  | 2  | 3  | 4  | 5  | 6  |
| 40 | 20 | 55 | 10 | 12 | 15 | 25 |



## Delete Element in a Heap:

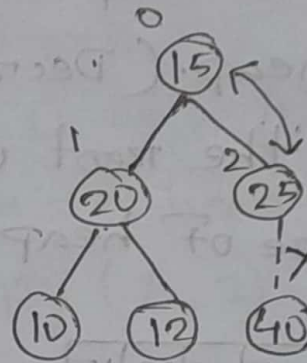
\* Only Delete the root element

|    |    |    |    |    |    |
|----|----|----|----|----|----|
| 0  | 1  | 2  | 3  | 4  | 5  |
| 40 | 20 | 25 | 10 | 12 | 15 |



array size = 6

Delete  
40

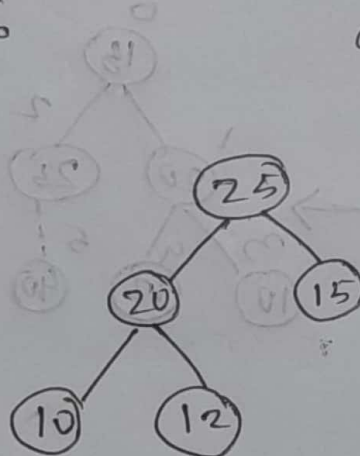


array size = 5

$$\left\lfloor \frac{5}{2} \right\rfloor - 1 \rightarrow 0 \Rightarrow 1 \rightarrow 0$$

i = 1

Build-Max-Heap



Same for

i = 0

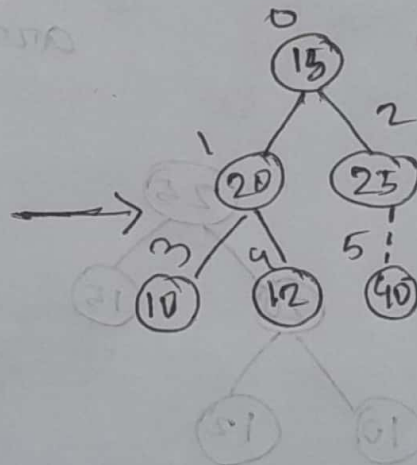
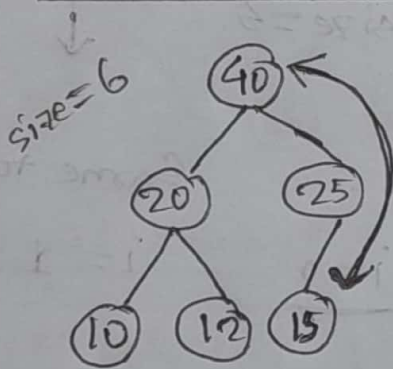
Final Array:

|    |    |    |    |    |
|----|----|----|----|----|
| 25 | 20 | 15 | 10 | 12 |
|----|----|----|----|----|

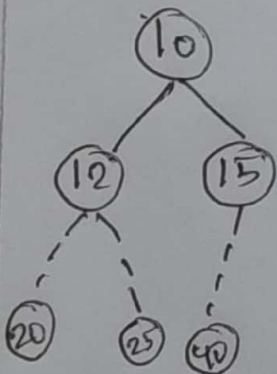
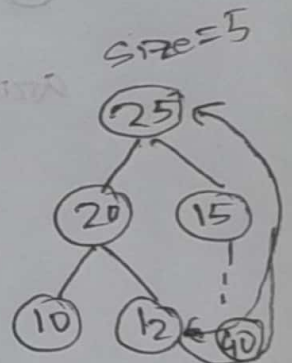
## Heap Sort

First convert the array into heap DS using heapify, then one by one delete the root node of the max heap and replace it with the last node in the heap. (Decrease heap size by 1) and then heapify the root of the heap. Repeat the process until size of heap is greater than 1.

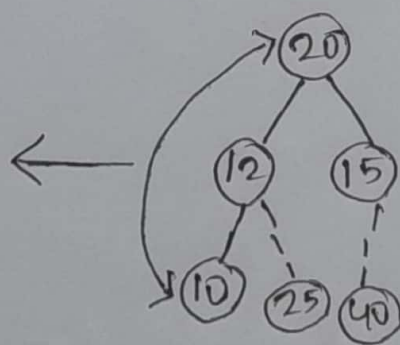
|    |    |    |    |    |    |
|----|----|----|----|----|----|
| 40 | 20 | 25 | 10 | 12 | 15 |
|----|----|----|----|----|----|



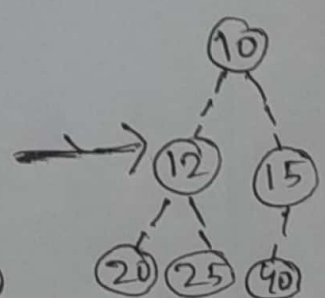
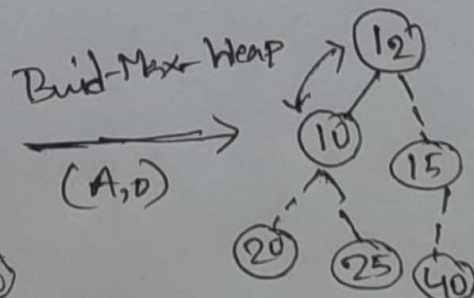
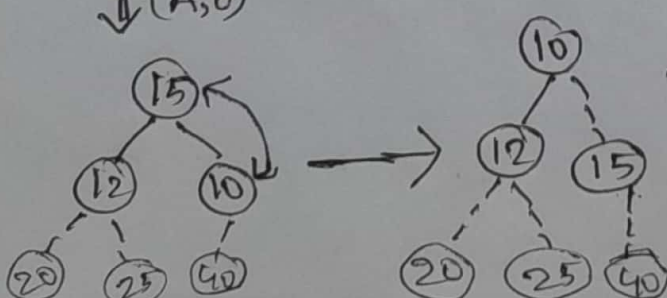
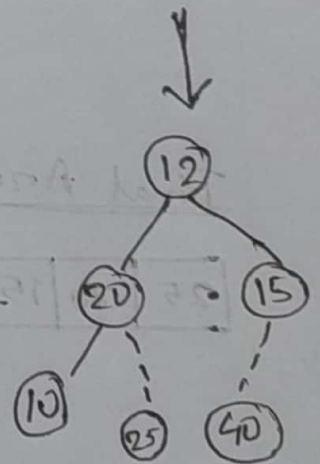
Build-Max-Heapify (A, 0)



Build-Max-Heapify (A, 0)



Build-Max-Heapify (A, 0)





Final Array : 

|    |    |    |    |    |    |
|----|----|----|----|----|----|
| 10 | 12 | 15 | 20 | 25 | 40 |
|----|----|----|----|----|----|

Algorithm :

⌘ HeapSort(A)

For  $i = A.length$  down to 2

~~Swap~~ ~~[A[i]]~~ with

Swap  $A[i]$  with  $A[1]$

$A.heap-size = A.heap-size - 1$

Max-Heapify(A, 1)