# MSSB GENERIC TRANSACTION FILE LAYOUT

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **MSSB GENERIC Transaction file** | | | | | |
| **Record Length = 608** | | | | | |
| **Contents** | **Start** | **End** | **Length** | **Example** | **Notes** |
| Header Record | 1 | 608 | 608 |  | The first record in file will be header. The header record is modified to make it consistent across all layouts. Delimiters and routing code are removed.  Increased Header record length to match the data record length |
| Header Description | 1 | 14 | 14 | HEADERTRAN |  |
| Header Date | 15 | 24 | 10 | 2010-02-02 |  |
| Blank | 25 | 608 | 584 |  |  |
| Transaction Record |  |  |  |  | All records except header will be in this format |
| Routing Code | 1 | 6 | 6 | AAPALM | New Field added as client identifier for consolidators. Contains Client id. Contains Client Id |
| Account | 7 | 15 | 9 | 25461929 | MS Account number is 9 bytes alphanumeric. |
| CUSIP | 16 | 27 | 12 | 061874103001 |  |
| Security Description | 28 | 63 | 36 | BANK DEPOSIT PROGRAM |  |
| Tran Code | 64 | 73 | 10 | 42202IFT |  |
| Tran Date | 74 | 83 | 10 | 2010-02-03 | Tran date will be populated for both trade and non-trade transactions.  Tran Date is the date the transaction was booked. |
| Trade Date | 84 | 93 | 10 | 2010-02-03 | The Trade Date is when the trade was booked it is NOT always the same as the Tran-Date (As-Of trades). The Trade Date will be blank for non-trade transactions. |
| Settle Date | 94 | 103 | 10 | 2010-02-03 | Settlement Date |
| Quantity | 104 | 123 | 20 | +000000036017.120000 | This field displays trade date quantity.  Increased length from 18 to 20 |
| Price | 124 | 140 | 17 | +00000010.0000000 |  |
| Accrued Interest | 141 | 159 | 19 | +000000000000100.00 | Increased length from 13 to 19 |
| Other Fee base | 160 | 173 | 14 | +0000001000.00 |  |
| Other Fee local | 174 | 187 | 14 | +0000001000.00 | Ignore this field. All values based on US dollar |
| Comm base | 188 | 201 | 14 | +0000001000.00 |  |
| Comm local | 202 | 215 | 14 | +0000001000.00 | Ignore this field. All values based on US dollar |
| Total amount | 216 | 235 | 20 | +0000000000000005.20 | This field represents the final net amount of the transaction. |
| Broker | 236 | 245 | 10 | 0015 |  |
| Fx rate | 246 | 262 | 17 | +0000000005.20000 | Reserved for future use |
| Secondary Fee base | 263 | 276 | 14 | +0000000005.20 |  |
| Secondary Fee local | 277 | 290 | 14 | +0000000005.20 | May ignore this field. All values based on US dollar. |
| Original face | 291 | 310 | 20 | +0000000000000005.20 |  |
| Factor | 311 | 323 | 13 | 0000.00000520 | This field will display factor info for FI securities with paydowns. For Options this field will be used to carry underlying number of shares. |
| Coupon | 324 | 337 | 14 | +00.0000000520 |  |
| Issue Date | 338 | 347 | 10 | 2005-07-15 |  |
| Maturity Date | 348 | 357 | 10 | 2015-07-15 |  |
| Current order | 358 | 372 | 15 | 2005-07-1512345 | This field is applicable only in case of cancel & re-bills transactions. |
| Previous order | 373 | 387 | 15 | 2005-07-1512345 | This field is applicable only in case of cancel & re-bills transactions. |
| Cancel indicator | 388 | 388 | 1 | C | Domain value: C |
| Buy Sell indicator | 389 | 389 | 1 | B | Domain values: S, B |
| Symbol | 390 | 410 | 21 | CYQ 100717C00028000 | Combined 2 symbol fields (eqty and Option) into one field. |
| Exchange | 411 | 412 | 2 |  | This field will display the 2 digit primary Exchange code. For example, 28 for NYSE. |
| Security Code | 413 | 414 | 2 | EQ | Domain values:  EQ  FI  TB  MU  CD  CP  OP  UI  MB  MF  IN  OT  CO  SY  AI  LP  LF  OM (Mini Options) |
| Security No | 415 | 423 | 9 | EKE55U103 | This field displays internal MS security number. |
| Postage amount | 424 | 430 | 7 | +0005.00 |  |
| Foreign tax | 431 | 438 | 8 | +0005.00 | Existing field . The new transaction entry “4214 TAX” will contain the amount of the tax withheld in the Foreign Tax field (position 423) of the transaction but will NOT contain **anything in the** quantity or amount **fields.** |
| FC Number | 439 | 444 | 6 | 546999 | The field is populated with the FA number associated with the account. |
| Broker Code1-4 | 445 | 448 | 4 | SB | Not populated |
| Broker Code 5-6 | 449 | 450 | 2 | Spaces | Not populated |
| Broker Code 7 | 451 | 451 | 1 | A | Not populated |
| SEDOL | 452 | 458 | 7 | 1234567 | field for security identification |
| ISIN | 459 | 470 | 12 | 12345432ABCD | field for security identification |
| Principal | 471 | 487 | 17 | +0000000000586.00 | This field represents initial money (quantity\*price) and is populated for all trade transactions. |
| Confirm Trailer | 488 | 517 | 30 |  | The confirm trailer field will carry certain trailer info. Field is redefined from **36 chars to 30 chars**. This field will carry VSP 1 information when applicable - Date1 (in MMDDYY format pos 480), Price1 (pos 487) and Qty1 (pos 498) in fixed positions. |
| Filler | 518 | 522 | 5 |  |  |
| VSP-Code | 523 | 523 | 1 |  | “F”      First In/First Out  “L”      Lowest Cost Basis  “I”      Last In/First Out  “H”    Highest Cost Basis  “S”    More than 2 Specific Dates  “P”   One or Two Specific Date |
| Alternate transaction code | 524 | 528 | 5 | DEP Deposits  WITH Withdraws  SELL Sell  SELLC Close PUT  BUY Buy  BUYC Close CALL  INT Interest on Bonds  MFEE Management Fees  XFER Transfer between types and accounts  DEL Free and Vs Money Delivers  REC Free and VS Money Receives  DIV Dividends  etc | Added field per client request to provide transaction code in Centerpiece format |
| Trade Date | 529 | 536 | 8 | MMDDCCYY | Trade date in the format requested by specific vendor (MMDDCCYY) |
| SB Alpha tran code | 537 | 538 | 2 |  | Added field per client request to provide LSB tran code |
| SB source destination | 539 | 545 | 7 |  | Added field per client request to provide LSB source destination. The values could be:  Client    -  Cash coming in or out of an account  $CASH -  Other Activity in the Cash Acct Type  $MARGIN  - Other Activity in the Margin Acct Type  $SHORT    - Other Activity in the Short Acct Type |
| Solicited or Non solicited | 546 | 546 | 1 |  | Added field based on Client request. Solicited/Non solicited indicator with value 'Y' or 'N'; for all non-trades the value will be blank. |
| Sub Category Code | 547 | 549 | 3 |  | This is the trading-ID or Input area for the transaction. During conversion “**SB**” value will be populated for ALL conversion activities on new converted account. |
| Blank | 550 | 559 | 10 |  | Reserved for Linked Acct fields – populated only for specific client. |
| New Symbol field | 560 | 568 | 9 | $Cash | added as per request from client. Will have $Cash – for cash transactions  Security Symbol – for all security transactions that have symbol  Cusip# - for all security transactions that do not have symbol |
| New Security Type | 569 | 570 | 2 |  | To populate LSB security type codes. Security Type 'CA' will be populated for Money Funds instead of 'MF' in this field; Security Type ‘EP’ will be populated for Management Fees instead of ‘MF’ in this field as per request by Schwab. |
| VSP-Date-2 | 571 | 576 | 6 |  | VSP Date2 |
| VSP-Price-2 | 577 | 586 | 10 |  | VSP Price2 |
| VSP-Qnty-2 | 587 | 597 | 11 |  | VSP Quantity2 |
| Alternate Security Indicator | 598 | 599 | 2 | EF | New field added to identify certain security sub-types. This field will replace the Foreign Bond Indicator field. The domain values are:  ‘EF’ - ETFs  ‘TP’ - Treasury Inflation Protected Securities (TIPS)  ‘LP’ - Limited Partnership Securities  ‘LF’ - POD Funds |
| Blank | 600 | 608 | 9 |  | Filler |
| Trailer Record | 1 | 608 | 608 |  | The trailer record is modified to make it consistent across all layouts. Delimiters are removed.  Increased Trailer record length to match the data record length |
| Trailer Description | 1 | 14 | 14 | TRAILRTRAN | Trailer Record is the last record in the file |
| Record Count | 15 | 22 | 8 | 00000006 | Record count will be placed here. |
| Blank | 23 | 608 | 586 |  |  |