

# INFERENCE OF HEATING PROPERTIES FROM “HOT” NON-FLARING PLASMAS IN ACTIVE REGION CORES I. SINGLE NANOFLARES

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## ABSTRACT

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### 1. INTRODUCTION

Observations of the magnetically closed solar corona from the *Hinode* (Kosugi et al. 2007) and Solar Dynamics Observatory (SDO) (Pesnell et al. 2012) spacecraft have led, for the first time, to quantitative studies of the distribution of coronal plasma as a function of temperature, and preliminary deductions about the heating process (see papers in De Moortel & Browning 2015). The key to this has been the ability to make measurements of the corona over a wide range of temperatures from the EUV Imaging Spectrometer (EIS) (Culhane et al. 2007) and X-Ray Telescope (XRT) (Golub et al. 2007) instruments on *Hinode*, and the Atmospheric Imaging Assembly (AIA) (Lemen et al. 2012) on SDO. Underpinning this work is the concept of nanoflare heating of the corona. Nanoflares (e.g. Parker 1988) are small bursts of energy release, though, despite the implication in their name, the magnitude and duration are unknown. While commonly attributed to small-scale magnetic reconnection, nanoflares can occur in other heating scenarios (e.g. Ofman et al. 1998).

One example of this approach has been studies of active region core loops (Warren et al. 2011, 2012; Winebarger et al. 2011; Tripathi et al. 2011; Schmelz & Pathak 2012; Bradshaw et al. 2012; Reep et al. 2013; Del Zanna & Mason 2014). These are the brightest structures in ARs, spanning the magnetic polarity line, and are observed over a wide range of temperatures. An important result has been the determination of the emission measure distribution as a function of temperature ( $EM(T) \sim n^2 dh$ ) along a line of sight. These workers showed that the emission measure peaked at  $T = T_m = 10^{6.5} - 10^{6.6}$  K with  $EM(T_m)$  of order  $10^{27} - 10^{28} \text{ cm}^{-5}$ . Below  $T_m$  a relation of the form  $EM \propto T^a$  was found, with  $2 < a < 5$ . This distribution can be understood by a combination of radiative cooling of the corona to space and an enthalpy flux to the TR (e.g. Bradshaw & Cargill 2010b,a) and is a significant result for nanoflare heating. Defining low and high frequency (LF and HF) nanoflares by the ratio of the average time between nanoflares on a magnetic strand

or sub-loop ( $\langle T_N \rangle$ ) to the plasma cooling time from the peak emission measure ( $\tau_{cool}$ ), LF (HF) nanoflares have  $\langle T_N \rangle > (<) \tau_{cool}$  respectively. LF nanoflares have  $a \sim 2 - 3$  so do not account for many of the observations. In fact, Cargill (2014) argued that these results implied a heating mechanism with  $\langle T_N \rangle$  of order 1000 - 2000 s between nanoflares, with the value of  $T_N$  associated with each nanoflare being proportional to its energy. Such intermediate frequency (IF) nanoflares have different energy build-up requirements from the commonly assumed LF scenario (Cargill 2014).

A second outcome of AR studies is the detection of a “hot” non-flaring coronal component characterised by plasma with  $T > T_m$ , a long-predicted consequence of nanoflare heating (Cargill 1994, 1995). This has been identified from *Hinode* and SDO data (Testa & Reale 2012; Reale et al. 2009), and retrospectively from data obtained by the X-Ray Polychrometer (XRP) instrument flown on the Solar Maximum Mission (Del Zanna & Mason 2014). While characterising this emission is difficult (e.g. Winebarger et al. 2012), a similar scaling,  $EM \propto T^{-b}$  has been claimed (e.g. Warren et al. 2012), with  $b$  of order 7 - 10, though Del Zanna & Mason find larger values. Warren et al. quote typical errors of  $\pm 2.5 - 3$  on these values due to the very limited data available above  $T_m$  and Winebarger et al. have noted that the paucity of data from *Hinode* at these temperatures could be missing significant quantities of plasma with  $T > T_m$ .

More recent data has come from rocket flights. The Focusing Optics X-ray Solar Imager (FOXSI) (Krucker et al. 2011) first flew in November 2012 and observed an AR. A joint study with the *Hinode* EIS and XRT instruments by Ishikawa et al. (2014) suggested that while hot plasma existed up to 10 MK, the *Hinode* instruments over-estimated the amount of plasma there. A rocket flight reported by Brosius et al. (2014) identified emission in an Fe XIX line with peak formation temperature of  $10^{6.95}$  K and reported an emission measure that was 0.59 times the emission formed at  $10^{6.2}$  K. More recently, a pair of rocket flights gave observations from the Amptek X123-SDD soft X-ray spectrometer (Caspi et al. 2015). This provided comprehensive coverage of the 3 -

60 Å wavelength range. Caspi et al. demonstrated that the emission in this range could be fit by an emission measure with a power-law distribution, slope of roughly  $b = 6$ .

While the distribution of temperature and density above  $T_m$  are likely to be determined by nanoflare heating and conductive cooling, there are several complications arising from the low density and high temperature present there. These are (i) the breakdown of the usual Spitzer description of thermal conduction which leads to slower conductive cooling, (ii) recognition that in cases of heating in a weakly collisional or collisionless plasma, electrons and ions need not have the same temperature since when one is heated preferentially the time for the temperature to equilibrate is longer than the electron conductive cooling time, and (iii) a lack of ionisation equilibrium that can underestimate the quantity of the plasma with a given electron temperature.

Thus the aim of the present and following paper, Barnes et al. (2016, in preparation) (Paper II, hereafter), is to investigate this high temperature regime with the aim of obtaining information that can be of use in the interpretation of present and future observations. In this paper we focus on single-nanoflare simulations and build up an understanding of the role of the different pieces of physics. Paper II addresses the properties of nanoflare trains. Given the limitations of present observations, the results of both papers are in part predictive for a future generation of instruments. Section 2 addresses our methodology, including simple outlines of the physics expected from conductive cooling, the preferred heating of different species, and ionization non-equilibrium. Section 3 shows results for our single- and two-fluid models, and Section 4 provides discussion of the main points of our results.

## 2. SUMMARY OF RELEVANT PHYSICS

We begin by assuming that in response to a nanoflare, a coronal loop (or sub-loop) cools by the one-dimensional evolution of a single-fluid plasma ( $T_e = T_i$ ) along a magnetic field line. We deal with the case of electron-ion non-equilibrium in Subsection 2.2. The energy equation is,

$$\frac{\partial E}{\partial t} = -\frac{\partial}{\partial s}[v(E + P)] - \frac{\partial F_c}{\partial s} + Q - n^2\Lambda(T), \quad (1)$$

where  $v$  is the velocity,  $E = p/(\gamma - 1) + \rho v^2/2$ ,  $F_c = -\kappa_0 T^{5/2} \partial T / \partial s$  is the heat flux,  $Q$  is a heating function that includes both steady and time-dependent components,  $\Lambda(T) = \chi T^\alpha$  is the radiative loss function in an optically thin plasma (e.g. Klimchuk et al. 2008) and  $s$  is a spatial coordinate along the magnetic field. These equations are closed by an equation of state  $p = 2nk_B T$ . For a given initial state and  $Q$ , the plasma evolution can then be followed.

Assuming subsonic flows, Equation 1 and the equation of mass conservation are solved for nanoflare energy input with our zero-dimensional hydrodynamic single fluid EBTEL model (see Klimchuk et al. 2008; Cargill et al. 2012a,b, 2015, for derivations). EBTEL treats the corona and transition region (TR) as separate regions, matched at the top of the TR by continuity of conductive and enthalpy fluxes. EBTEL produces spatially-averaged,

time-dependent quantities (e.g.  $\bar{T}(t), \bar{n}(t)$ ) in the corona and can also compute quantities at the loop apex and the corona/TR boundary. The EBTEL equations are,

$$\frac{1}{\gamma - 1} \frac{d\bar{p}}{dt} = \bar{Q} - \frac{1}{L}(\mathcal{R}_C + \mathcal{R}_{TR}), \quad (2)$$

$$\frac{\gamma}{\gamma - 1}(pv) + F_{c,0} + \mathcal{R}_{TR} = 0, \quad (3)$$

$$\frac{d\bar{n}}{dt} = -\frac{\gamma - 1}{2k_B T_0 L \gamma}(F_{c,0} + \mathcal{R}_{TR}). \quad (4)$$

Here an overbar denotes a coronal average,  $F_{c,0} = -(2/7)\kappa_0 T_a^{7/2}/L$  is the heat flux at the top of the TR (see also Subsection 2.1),  $\mathcal{R}_C = \bar{n}^2 \Lambda(\bar{T})L$ , the integrated coronal radiation,  $\mathcal{R}_{TR}$  is the integrated TR radiation, and  $L$  is the loop half-length. The subscript “0” denotes a quantity at the top of the TR and “a” denotes a quantity at the loop apex. Solving this set of equations requires the specification of three (semi-)constants that are defined by  $c_1 = \mathcal{R}_{Tr}/\mathcal{R}_C$ ,  $c_2 = \bar{T}/T_a$  and  $c_3 = T_0/T_a$ .  $c_2$  and  $c_3$  can be taken as constant, with values of 0.9 and 0.6 respectively.  $c_1$  is, in the absence of gravity, 2 for equilibrium, static loops and 0.6 during radiative cooling. Cargill et al. (2012a) discuss the full implementation of  $c_1 = c_1(T_a, L)$ , and how it can model stratification due to solar gravity. Equation 2 is a statement of energy conservation in the combined corona and TR. Equation 3 is the TR energy equation: if the heat flux into the TR is greater (smaller) than its ability to radiate then there is an enthalpy flux into (from) the corona. Equation 4 combines the Equation 3 with that of mass conservation.

### 2.1. Heat Flux Limiters

It is well known that thermal conduction deviates from the well-known Spitzer-Härm formula (Spitzer & Härm 1953) at high temperatures (e.g. Ljepojevic & MacNeice 1989). There is a firm upper limit on the heat flux: the free-streaming limit,  $F_s = (1/2)f n k_B T V_e$ , where  $V_e$  is the electron thermal speed and  $f$ , a dimensionless constant, is determined from a combination of lab experiments, theory and numerical models. We choose  $f = 1/6$  as a typical value. The free-streaming flux is included in EBTEL by a simple modification (Klimchuk et al. 2008),

$$F_{c,0} = \frac{F_c F_s}{\sqrt{F_c^2 + F_s^2}}, \quad (5)$$

where  $F_c$  is the Spitzer-Härm heat flux. Smaller values of  $f$  limit the heat flux to a greater degree. The main aspect of inclusion of a free-streaming limit is to slow down conductive cooling. We do not consider here other conduction models (e.g. the non-local model discussed in the coronal context by Karpen & DeVore 1987; West et al. 2008) since they lead to similar generic results.

### 2.2. Two-fluid Modeling

Additionally, nanoflare heating can also induce electron-ion non-equilibrium if the heating timescale is shorter than the electron-ion equilibration timescale. Interactions between electrons and ions in a fully-ionized hydrogen plasma like the solar corona are governed by binary Coulomb collisions. Thus, the equilibration

timescale is  $\tau_{ei} = 1/\nu_{ei}$ , where  $\nu_{ei}$  is the collision frequency and is given by

$$\nu_{ei} = \frac{16\sqrt{\pi}}{3} \frac{e^4}{m_e m_i} \left( \frac{2k_B \bar{T}_e}{m_e} \right)^{-3/2} \bar{n} \ln \Lambda, \quad (6)$$

where  $T_e$  is the electron temperature,  $m_e, m_i$  are the electron and ion masses respectively and  $\ln \Lambda$  is the Coulomb logarithm (see Eq. 2.5e and Section 3 of Braginskii 1965). For  $n \sim 10^9 \text{ cm}^{-3}$  and  $T \sim 10^7 \text{ K}$ , parameters typical of nanoflare heating,  $\tau_{ei} \approx 800 \text{ s}$ . Thus, any heating that occurs on a timescale less than 800 s, such as a nanoflare with a duration of  $\tau_H \leq 100 \text{ s}$ , will result in electron-ion non-equilibrium. While it is true that chromospheric evaporation will act to increase  $n$  and thus decrease  $\nu_{ei}$ , we maintain that during the early heating phase,  $\tau_{ei} \gg \tau_H$ , with 800 s being an upper bound on  $\tau_{ei}$ .

While it is often assumed that the electrons are the recipients of the prescribed heating function, ion heating in the solar corona should not be discounted as the exact mechanism behind coronal heating is still unknown. For example, ions may be heated via ion-cyclotron wave resonances (Markovskii & Hollweg 2004) or reconnection (Ono et al. 1996; Drake & Swisdak 2014). To address this possibility and include effects due to electron-ion non-equilibrium, we have applied the treatment outlined in Klimchuk et al. (2008) to the two-fluid hydrodynamic equations as given in the appendix of Bradshaw & Cargill (2013). Such an approach allows us to efficiently model a two-component impulsively-heated coronal plasma.

These expressions, which we will refer to as the two-fluid EBTEL equations, are

$$\frac{d}{dt} \bar{p}_e = \frac{\gamma - 1}{L} [\psi_{TR} - (\mathcal{R}_{TR} + \mathcal{R}_C)] + k_B \bar{n} \nu_{ei} (\bar{T}_i - \bar{T}_e) + (\gamma - 1) \bar{Q}_e, \quad (7)$$

$$\frac{d}{dt} \bar{p}_i = -\frac{\gamma - 1}{L} \psi_{TR} + k_B \bar{n} \nu_{ei} (\bar{T}_e - \bar{T}_i) + (\gamma - 1) \bar{Q}_i, \quad (8)$$

$$\frac{d}{dt} \bar{n} = \frac{c_2(\gamma - 1)}{c_3 \gamma L k_B \bar{T}_e} (\psi_{TR} - F_{ce,0} - \mathcal{R}_{TR}), \quad (9)$$

where  $\psi_{TR}$  is given by Equation A23. This set of equations is closed by the equations of state  $p_e = k_B n T_e$  and  $p_i = k_B n T_i$ . A full derivation of these expressions is given in Appendix A.

### 2.3. Ionization Non-equilibrium

Ionization non-equilibrium has long been known to be an issue in the interpretation of data from the impulsive phase of flares, and more recently it has been discussed in the context of nanoflares (Bradshaw & Cargill 2006; Reale & Orlando 2008). The main issue is that when a tenuous plasma is heated rapidly, it takes a certain time to reach ionization equilibrium so that the ionization states present do not reflect the actual (electron) temperature, assuming that the heating occurs mainly to electrons (see Subsection 2.2 and Subsection 3.3) rather than the heavier ions such as Fe that contribute to the observed radiation. If the heating is sustained, then eventually ionization equilibrium will be reached, and this may

occur in moderate to large flares. However, for nanoflares that may last for anywhere between a few seconds and a few minutes, a different scenario arises in which on termination of heating, rapid conductive cooling arises, so that the high ionisation states may never be attained.

Reale & Orlando (2008) and Bradshaw (2009) have both addressed this point using slightly different approaches, but with similar conclusions, namely that short nanoflares in a low-density plasma are unlikely to be detectable. We now develop this work further to assess how the results in Section 3 are changed. We follow these authors and calculate an “effective temperature” ( $T_{eff}$ ) as a proxy for the deviation from ionization equilibrium. This involves taking a time-series of  $T$  and  $n$  (e.g. from EBTEL) and using the numerical scheme outlined in Bradshaw (2009) to calculate the fractional ionization of as many states of various elements as needed, and in turn this calculates  $T_{eff}$ , a temperature that would be measured based on the actual ionization states. We primarily consider Fe between Fe IX and Fe XXVII, though Ca has also been calculated as a check on these results.

The feature that will prove of great relevance in our results is that despite the different nanoflare durations,  $T_{eff}$  does not exceed 10 MK. There is also an “overshot” of  $T_{eff}$  when it reaches its maximum value: this is saying that collisions are still not strong enough for the adjustment of the ionization state to be instantaneous.

## 3. RESULTS

We now show a series of nanoflare simulations with our zero-dimensional hydrodynamic EBTEL model (Klimchuk et al. 2008; Cargill et al. 2012a,b, 2015) and our modified two-fluid EBTEL model, first for single nanoflares and then in Paper II for nanoflare trains varying frequency.

In our 0D models, the emission measure is calculated using the familiar expression  $EM = n^2(2L)$ , where  $L$  is the loop half-length. We consider a temperature range of  $4.0 \leq \log T \leq 8.5$  with bin sizes of  $\Delta \log T = 0.01$ . At each iteration  $i$ , the coronal temperature range  $[T_0, T_a]$  is calculated from  $\bar{T}$  ( $\bar{T}_e$  for the two-fluid model). For each bin that falls within  $[T_0, T_a]$ ,  $\bar{n}_i^2(2L)$  is added to that bin, where  $\bar{n}_i$  is the spatially-averaged number density at iteration  $i$ . The emission measure in each bin is then averaged over the entire simulation period. When measured observationally,  $EM(T)$  is a line-of-sight quantity. Assuming an aspect ratio (i.e. ratio of loop length to loop width) of 10, we apply a correction factor 1/10 to all calculated EM curves. We do not attempt to apply an advanced forward modeling treatment here and instead reserve such an approach for a future paper.

### 3.1. Single-fluid Parameter Variations

In the first set of results we assume the plasma behaves as a single fluid, and ignore heat flux limiting and ionization non-equilibrium. Figure 1 shows temperature (upper panel) and density (lower panel) as a function of time for a single nanoflare in a loop with  $2L = 80 \text{ Mm}$  for four different pulse durations,  $\tau$ : 500, 200, 50 and 20 s. The heating function takes the form of a triangular pulse. The peak heating rate is varied such that the total energy input is  $10 \text{ ergs cm}^{-3}$  for all cases. The top left panel of Figure 2 shows the corresponding emission measure distributions,  $EM(T)$ . These parameters correspond

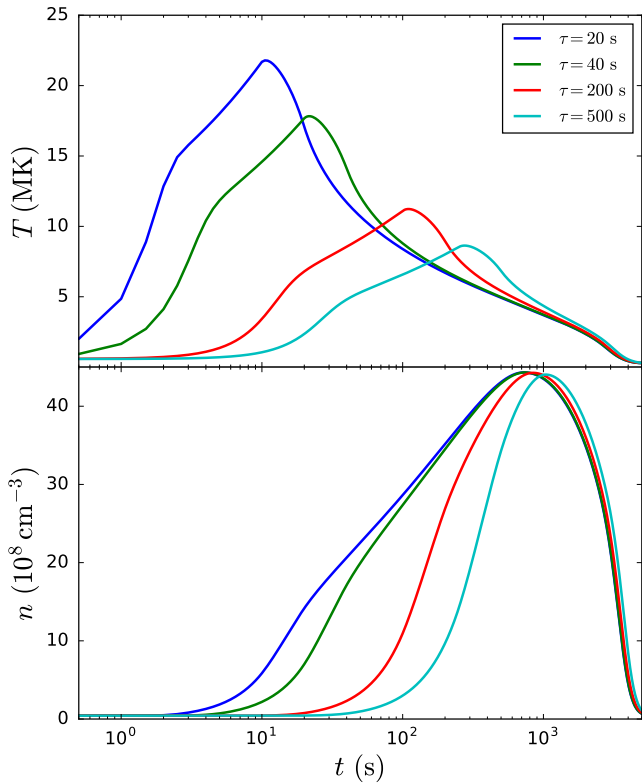


FIG. 1.—  $T$  and  $n$  profiles for  $\tau = 20, 40, 200, 500$  s. The total energy injected into the loop is fixed.

roughly to the bright AR core loops. The temperature and value of the peak emission measure are the same in all cases.

The values of  $T_m$  and  $EM(T_m)$  are consistent with those found in the studies of core loops. Shorter pulses lead to higher initial temperatures, but the shape of the emission measure below  $T_m$  is independent of the properties of the heating pulse, indicating that this part of the emission measure distribution cannot provide information about the actual nanoflare duration. It is for this reason that we only show  $EM(T)$  for  $\log T > \log T_m$ . All cases show evidence of the heating phase, namely the bump on  $EM(T)$  at  $\log(T) = 6.85, 7, 7.2$  and  $7.3$ , and is most obvious for the longer pulses.

Below these bumps the emission measure scales as  $T^{-5} - T^{-5.5}$  to close to  $T_m$  for all cases, again indicating that information about the heating process is lost. However, detection of such emission above  $T_m$  would be evidence for nanoflare heating, though of undetermined duration. For integration over the lifetime of unresolved structures lying transverse to the line of sight, one can write down an expression  $EM(T) \sim n^2 \tau_{cool}(n, T)$  which simply states that what matters for determining  $EM(T)$  is how long the plasma spends at any given temperature (e.g. Cargill 1994; Cargill & Klimchuk 2004). For an analytic solution for the cooling, one can formally define  $\tau_{cool}(n, T) = (T/(dT/dt))$ . In the absence of a formal solution, order of magnitude scalings can be used: the difference with analytic solutions being a numerical factor. To obtain an expression  $EM(T) \propto T^{-b}$ , one needs to provide a relation between  $T$  and  $n$ . For conductive cooling of the corona, one can write  $\tau_{cool} \sim nL^2T^{-5/2}$ ,

giving  $EM \sim n^3L^2T^{-5/2}$ . In determining the relationship between  $T$  and  $n$ , two limits are those of constant density and constant pressure. The former gives static conductive cooling (e.g. Antiochos & Sturrock 1976) and the latter evaporative cooling with constant thermal energy (e.g. Antiochos & Sturrock 1978), which then lead to  $b = 5/2$  and  $11/2$  respectively. Our results are consistent with the latter.

The top right and bottom left panels of Figure 2 show the effect of varying the peak heating rate  $H_0$  (with fixed  $\tau$  such that the total energy injected increases) and loop length  $L$ . In all cases the pulse length is 200 s and the signature of nanoflare heating around and above 10 MK persists. When the heating amplitude increases, the value of  $T_m$  increases above the values seen in AR cores, suggesting an upper limit on the nanoflare energy (and hence on the time between nanoflares; see Cargill 2014). It is important to note that changing the background density by an order of magnitude retains the hot component. This suggests that even in the intermediate frequency nanoflare regime there should be signatures above  $T_m$ .

### 3.2. Heat Flux Limiter

The bottom right panel of Figure 2 shows the effect of using a flux limiter versus Spitzer conduction on the emission measure distribution. Two values of  $f$ ,  $1/6$  (blue line) and  $1/30$  (green line) are shown.

As expected, inclusion of a limiter extends  $EM(T)$  to higher temperatures, however this is only notable above 10 MK. As the temperature falls to this value, evaporative upflows have increased the coronal density so that the Spitzer description is recovered. Above 10 MK flux limiting gradually becomes important, albeit with a small emission measure. For this case  $\tau_{cool} \sim LT^{-1/2}$  so that the parameter  $b$  lies between  $1/2$  and  $5/2$ , depending on the assumption about  $n$ . For  $f = 1/30$ ,  $b = 5/2$  is found in the bottom right panel of Figure 2. Since the free streaming limit slows conduction cooling relative to that given by Spitzer, the plasma will spend more time at any given temperature, leading to smaller values of  $b$ . Similar conclusions hold for other conduction models (e.g. the non-local model discussed in the coronal context by Karpen & DeVore 1987; West et al. 2008) since they all inhibit conduction. While limiting of conduction is often regarded as an important process in coronal cooling, these results suggest that for nanoflare heating it may not be that important.

### 3.3. Two-fluid Effects

Figure 3 shows temperature and density profiles equivalent to the  $\tau = 20, 500$  s cases shown in Figure 1 except that here only the electrons (left column) or ions (right column) are heated. The solid (dashed) lines show the electron (ion) temperature. Note that in the ion heating case, the electrons do not see temperatures in excess of 10 MK while the ions are heated to temperatures exceeding 50 MK. This effect is exacerbated for short heating durations. Because the loop has less time to fill, the collisional timescale becomes much longer than the heating timescale, allowing the electron and ion populations to deviate farther from equilibrium.

The black curves in Figure 4 show the resulting  $EM(T)$  for  $\tau = 20$  s (left panel) and  $\tau = 500$  s (right panel).



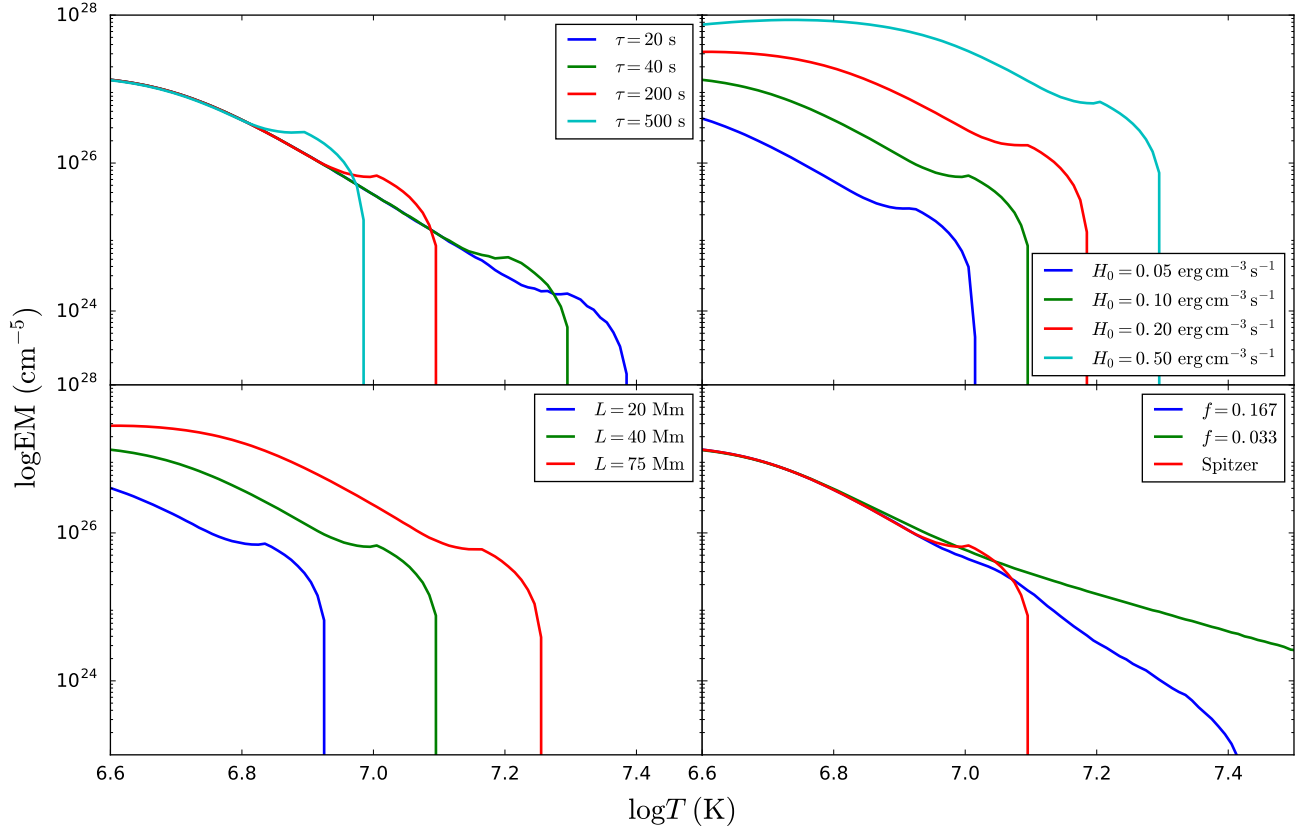


FIG. 2.— EM( $T$ ) for a nanoflare in an AR loop with  $2L = 80$  Mm. The four panels show the variations in  $\tau$ ,  $H_0$ ,  $L$ , and the heat flux model.

In the case of electron heating and  $\tau = 20$  s, in  $6.6 < \log T < 6.8$ , EM( $T$ ) is considerably steeper compared to the single-fluid case. This steepening comes from accelerated cooling due to the Coulomb coupling introduced in our two-fluid model. As the plasma cools through this temperature range, the density has increased sufficiently such that Coulomb coupling and thermal conduction are comparable in efficiency. Because  $T_i < T_e$ , the ions act as a sink and enhance the rate of cooling, leading to a steeper EM( $T$ ) slope in this region. Note that in the case of ion heating and the single-fluid case, where Coulomb coupling is a source or not included, this steepening does not occur.

In the case where the heating pulse duration is long,  $\tau = 500$  s, this steepening is much less exacerbated. Because the electrons are heated slowly, they do not have much time to evolve out of equilibrium with the ions. This in turn heavily dampens the Coulomb exchange term. Additionally, in the case where only the ions are heated, for both  $\tau = 20$  s and  $\tau = 500$  s, EM( $T$ ) is truncated sharply near  $\log T \sim 6.9$ . Because EM( $T$ ) is constructed from the electron temperature, the emission measure never sees  $\log T \geq 7$  because the Coulomb coupling timescale, during the early heating phase where  $T_i \gg T_e$  and the density is low, is much larger than the ion thermal conduction timescale. Thus, by the time the electrons can “see” the ions, they have cooled far below their peak temperature.

### 3.4. Ionization Non-equilibrium

The red curves in the upper (lower) panel of Figure 5 show  $T_{eff}$  for  $\tau = 20$  (500) s for the single-fluid, electron heating, and ion heating cases. The red curves in Figure 4 show the corresponding EM( $T_{eff}$ ). The effect of ionization non-equilibrium is to truncate EM around or below 10 MK. The bump on the distribution characteristic of the heating phase is also relocated to lower temperatures.

There are two effects that lead to temperatures below 10 MK. The first is that for long pulses  $> 200$  s, the heating is in direct competition with thermal conduction, which thus limits the temperature to these values. Secondly, the short pulses reach the higher temperatures too quickly for the ionization state of the plasma to keep up, and this only happens at or below 10 MK. Thus it seems as if the temperature range  $T_m$  up to 10 MK is the optimal one for searching for this hot component as well as direct signatures of the heating. However, it is difficult to “map” what would be seen in such a state of ionization non-equilibrium back to the real system.

## 4. DISCUSSION

In this paper we have looked at the hot plasma component that arises from a single nanoflare. It is shown that this component is ubiquitous and has a distribution of emission measure as a function of temperature consistent with conductive cooling for either Spitzer or free-streaming conduction models. There is a characteristic “bump” on the emission measure at or above 10 MK that is a direct manifestation of the heating process. While both these results suggest quantitative ways

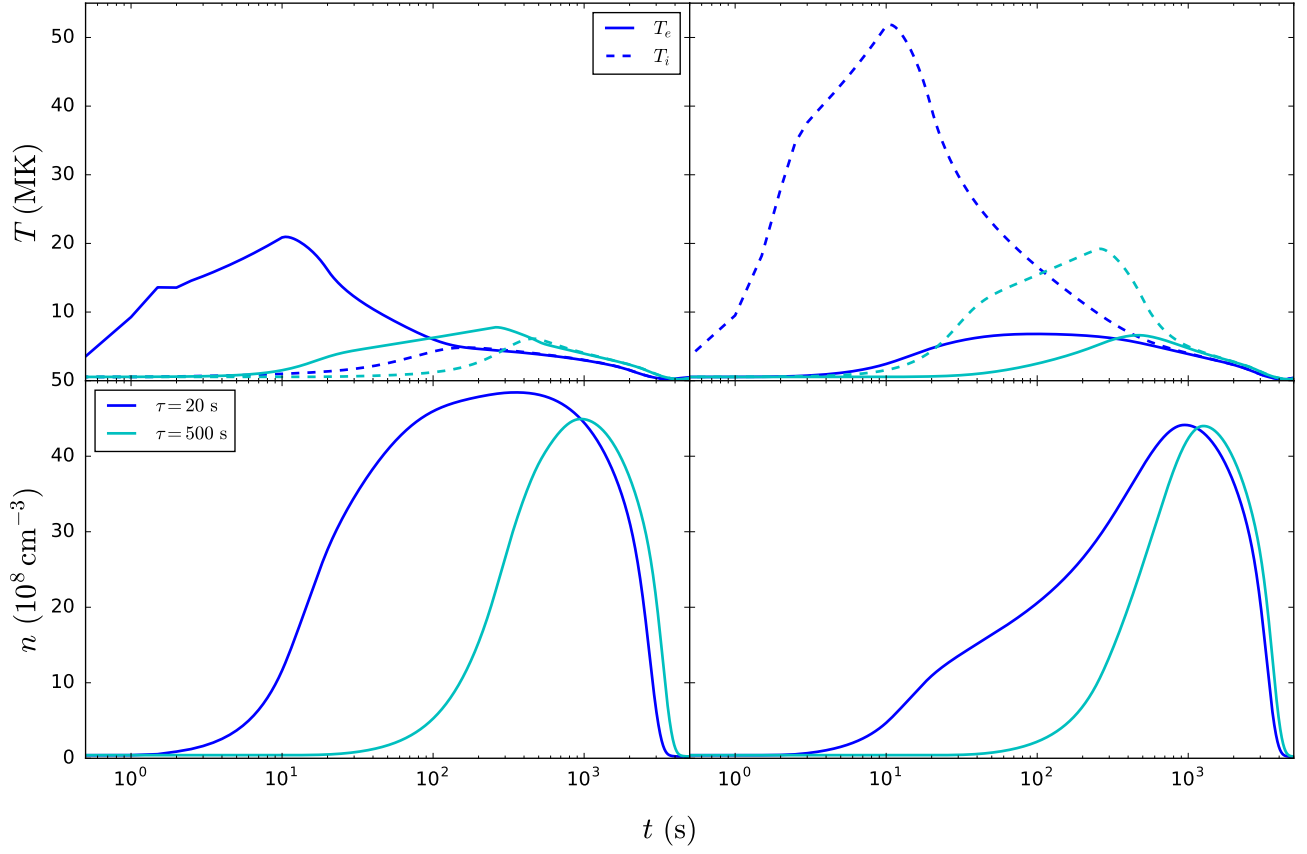


FIG. 3.— Temperature (top row) and density (bottom row) for the cases of electron heating (left column) and ion heating (right column). In each panel, two different heating durations,  $\tau = 20$  s and  $\tau = 500$  s, are shown.

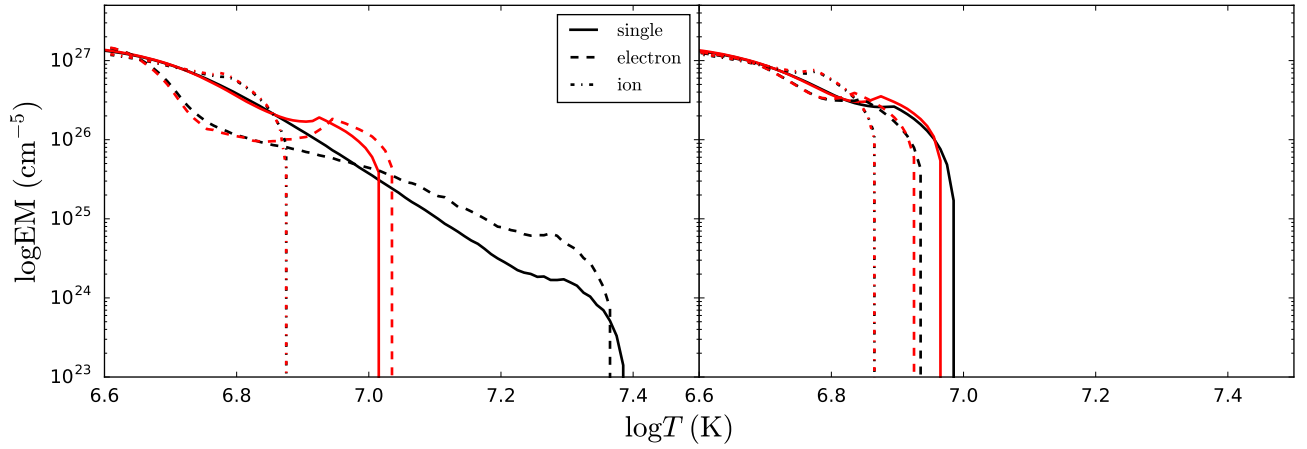


FIG. 4.—  $\text{EM}(T)$  (black) and  $\text{EM}(T_{eff})$  (red) for pulse durations of 20 s (top panel) and 500 s (bottom panel) for the single-fluid (solid), electron heating (dashed), and ion heating (dot-dashed) cases.

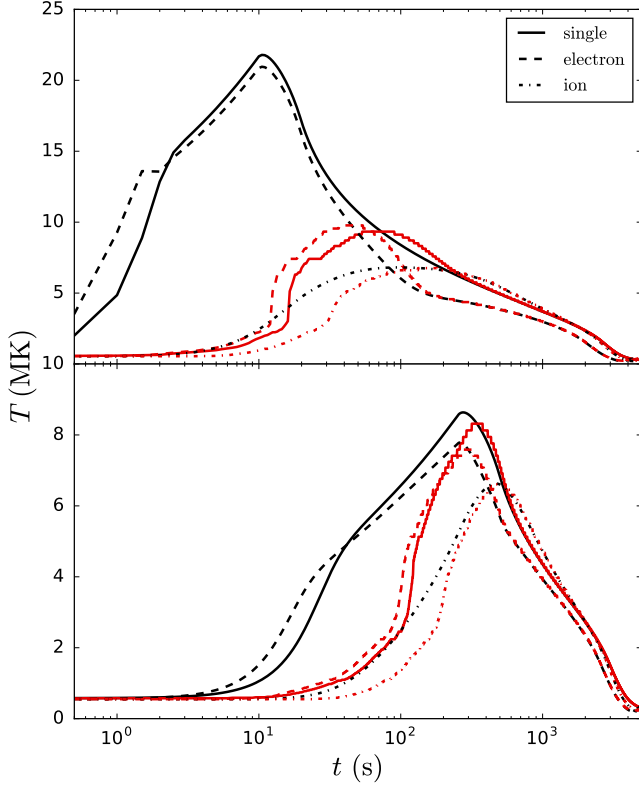


FIG. 5.—  $T$  (black) and  $T_{eff}$  (red) for pulse durations of 20 s (top panel) and 500 s (bottom panel).  $T$  and  $T_{eff}$  are shown for the single-fluid case (solid) as well as the cases where only the electrons (dashed) or only the ions (dot-dashed) are heated.

of measuring this plasma in the future, there is an important caveat. That is the lack of ionization equilibrium leads to a failure to be actually able to observe this hot plasma in most cases.

From the single nanoflare results for a single fluid, it appears that it is not possible to say anything about the

plasma in excess of 10 MK. If the electrons are heated, this plasma exists, with electron temperature in excess of 10 MK, but nothing can be said about it. It is effectively a “dark” hot plasma. The case of proton heating is even more complicated. On the other hand, the bump on  $EM(T)$  associated with the heating does seem to be shifted down in temperature.

Current instrumentation does not allow for a determination of the partition of energy between electron and ion populations by the as yet undetermined coronal heating mechanism based on  $EM(T)$  alone. For  $T < T_M$ , the density is sufficiently high such that  $\tau_{ei} \rightarrow 0$  and the two populations are always in equilibrium; any information about which species was preferentially heated has been lost. In order to discriminate between the heating of one species over another, greater constraints must be placed on  $EM(T)$  for  $T > T_M$ . Here, for example, a steep drop after  $T_M$  and an extended tail near  $10^7$  K is a possible signature of electron heating while a sharp cutoff before  $10^7$  K could be a signature of ion heating. As seen in Figure 4, these signatures are exaggerated the more impulsive the heating and ionization non-equilibrium does not preclude a determination of the energy partition.

A full discussion of where this leads for present and future measurements will be deferred to Paper II where we discuss results for nanoflare trains, including the important “intermediate frequency” nanoflare case. But one can conclude (i) present day observations do not seem capable of making quantitative statements about the “hot” component, though they are highly suggestive of its existence and (ii) future measurements should be concentrated in the temperature regime  $10^{6.6} - 10^7$  K rather than at higher temperatures. The MaGIXS instrument, due to fly in 2017, is well positioned to do this. Finally, the rocket results of Caspi et al. (2015) pose a problem in that if only quiescent coronal plasma were being observed, it is difficult to understand how an emission measure distribution characteristic of Spitzer conduction can arise. It seems possible that a microflare or small flare occurred during the observations.

## APPENDIX

The two-fluid field-aligned hydrodynamic mass and energy equations, as given by Bradshaw & Cargill (2013), are

$$\frac{\partial \rho}{\partial t} = -\frac{\partial(\rho v)}{\partial s} \quad (A1)$$

$$\frac{\partial E_e}{\partial t} + \frac{\partial}{\partial s}[(E_e + p_e)v] = v \frac{\partial p_e}{\partial s} - \frac{\partial F_{ce}}{\partial s} + \frac{1}{\gamma - 1} k_B n \nu_{ei} (T_i - T_e) - n^2 \Lambda(T_e) + Q_e, \quad (A2)$$

$$\frac{\partial E_i}{\partial t} + \frac{\partial}{\partial s}[(E_i + p_i)v] = -v \frac{\partial p_e}{\partial s} - \frac{\partial F_{ci}}{\partial s} + \frac{1}{\gamma - 1} k_B n \nu_{ei} (T_e - T_i) + \frac{\partial}{\partial s} \left( \frac{4}{3} \mu_i v \frac{\partial v}{\partial s} \right) + \rho v g_{\parallel} + Q_i, \quad (A3)$$

where

$$E_e = \frac{p_e}{\gamma - 1}, \quad (A4)$$

$$E_i = \frac{p_i}{\gamma - 1} + \frac{\rho v^2}{2}, \quad (A5)$$

and we assume closure through the ideal gas law,  $p_e = k_B n T_e$ ,  $p_i = k_B n T_i$ . Note that we have assumed quasi-neutrality such that  $n_e = n_i = n$  and  $v_e = v_i = v$ . It then follows that  $\rho = m_e n_e + m_i n_i \approx m_i n$ .

Plugging in these expressions for  $E_e$  and  $E_i$  and using the assumptions of sub-sonic flows ( $v < C_s$ ) and loops shorter than a gravitational scale height ( $L < 150$  Mm) as outlined in Klimchuk et al. (2008), the two-fluid field-aligned hydrodynamic energy equations can be written,

$$\frac{1}{\gamma-1} \frac{\partial p_e}{\partial t} + \frac{\gamma}{\gamma-1} \frac{\partial}{\partial s}(p_e v) = v \frac{\partial p_e}{\partial s} - \frac{\partial F_{ce}}{\partial s} + \frac{1}{\gamma-1} k_B n \nu_{ei} (T_i - T_e) - n^2 \Lambda(T_e) + Q_e, \quad (\text{A6})$$

$$\frac{1}{\gamma-1} \frac{\partial p_i}{\partial t} + \frac{\gamma}{\gamma-1} \frac{\partial}{\partial s}(p_i v) = -v \frac{\partial p_e}{\partial s} - \frac{\partial F_{ci}}{\partial s} + \frac{1}{\gamma-1} k_B n \nu_{ei} (T_e - T_i) + Q_i. \quad (\text{A7})$$

Notice that we have dropped the ion viscous and gravitational terms from Equation A3 as well as the kinetic energy term from Equation A5.  $Q_e$  and  $Q_i$  represent the electron and ion heating terms, respectively.  $F_{ce}$  and  $F_{ci}$  are the electron and ion heat flux terms, respectively. In the case of Spitzer conduction,  $\kappa_{0,e} = 7.8 \times 10^{-7}$  and  $\kappa_{0,i} = 3.2 \times 10^{-8}$ .

Next, assuming symmetry about the loop apex, we integrate Equation A6 and Equation A7 over the coronal loop half-length  $L$ ,

$$\frac{L}{\gamma-1} \frac{d\bar{p}_e}{dt} = \frac{\gamma}{\gamma-1} (p_e v)_0 + F_{ce,0} + \psi_C - \mathcal{R}_C + L\bar{E}_{H,e}, \quad (\text{A8})$$

$$\frac{L}{\gamma-1} \frac{d\bar{p}_i}{dt} = \frac{\gamma}{\gamma-1} (p_i v)_0 + F_{ci,0} - \psi_C + L\bar{E}_{H,i}, \quad (\text{A9})$$

where we have assumed the enthalpy flux and heat flux go to zero at the loop apex,  $R_C = \int_C ds n^2 \Lambda(T_e)$  and,

$$\psi_C = \int_C ds v \frac{\partial p_e}{\partial s} + \int_C ds \frac{k_B}{\gamma-1} n \nu_{ei} (T_i - T_e). \quad (\text{A10})$$

Similarly, integrating over the TR portion of the loop,

$$\frac{\gamma}{\gamma-1} (p_e v)_0 = -F_{ce,0} + \psi_{TR} - \mathcal{R}_{TR}, \quad (\text{A11})$$

$$\frac{\gamma}{\gamma-1} (p_i v)_0 = -F_{ci,0} - \psi_{TR}. \quad (\text{A12})$$

Letting the TR have length  $\ell$ , following Klimchuk et al. (2008), because  $\ell \ll L$ , we have neglected terms involving  $\ell$ . Additionally, we have assumed that the enthalpy flux and heat flux go to zero at the top of the chromosphere,  $R_{TR} = \int_{TR} ds n^2 \Lambda(T_e)$  and

$$\psi_{TR} = \int_{TR} ds v \frac{\partial p_e}{\partial s} + \int_{TR} ds \frac{k_B}{\gamma-1} n \nu_{ei} (T_i - T_e). \quad (\text{A13})$$

Plugging Equation A11 (Equation A12) into Equation A8 (Equation A9),

$$\frac{L}{\gamma-1} \frac{d\bar{p}_e}{dt} = \psi_{TR} + \psi_C - (\mathcal{R}_C + \mathcal{R}_{TR}) + L\bar{Q}_e, \quad (\text{A14})$$

$$\frac{L}{\gamma-1} \frac{d\bar{p}_i}{dt} = -(\psi_C + \psi_{TR}) + L\bar{Q}_i. \quad (\text{A15})$$

Just as in the single-fluid case, we find that the spatially-integrated coronal density evolution is described by,

$$L \frac{d\bar{n}}{dt} = (nv)_0. \quad (\text{A16})$$

Using Equation A11 and the equation of state for  $p_e$ , the above equation can be written as

$$(nv)_0 = \frac{(p_e v)_0}{k_B T_{e,0}} = \frac{c_2(\gamma-1)}{c_3 \gamma k_B \bar{T}_e} (-F_{ce,0} - \mathcal{R}_{TR} + \psi_{TR}), \quad (\text{A17})$$

$$L \frac{d\bar{n}}{dt} = \frac{c_2(\gamma-1)}{c_3 \gamma k_B \bar{T}_e} (-F_{ce,0} - \mathcal{R}_{TR} + \psi_{TR}). \quad (\text{A18})$$

To recover Equation 7, Equation 8, and Equation 9, we need to find expressions for  $\psi_C$  and  $\psi_{TR}$ . Consider the first integral in the definition of  $\psi_C$ . Using integration by parts,

$$\int_C ds v \frac{\partial p_e}{\partial s} = (p_e v) \Big|_{\text{"0"}}^{\text{"a"}} - \int_C dv p_e = -(p_e v)_0 - \int_C dv p_e \approx -(p_e v)_0 - \bar{p}_e \int_C dv = -(p_e v)_0 + \bar{p}_e v_0 \approx 0. \quad (\text{A19})$$

Thus, we can express  $\psi_C$  as

$$\psi_C \approx \frac{k_B L}{\gamma-1} \bar{n} \nu_{ei} (\bar{T}_i - \bar{T}_e), \quad (\text{A20})$$



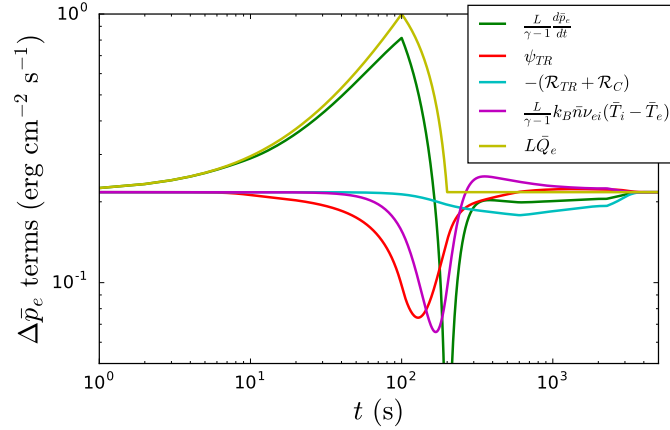


FIG. 6.— Relative contributions by terms in Equation 7 scaled by  $(\gamma - 1)/L$ . The y-axis has been scaled such that the loss terms can be shown on a  $\log_{10}$  scale. The simulation shown is equivalent to that of the  $\tau = 200$  s case (red curve) in Figure 1.

where  $\nu_{ei} = \nu_{ei}(\bar{T}_e, \bar{n})$ . To find an expression for  $\psi_{TR}$ , we first note that, using the equation of state for both the electrons and the ions and the quasi-neutrality condition ( $n_e = n_i$ ),

$$\frac{p_e v}{p_i v} = \frac{T_e}{T_i}. \quad (\text{A21})$$

Evaluating this expression at the TR-corona interface (denoted by “0”), plugging in Equation A11 and Equation A12,

$$\frac{-F_{ce,0} + \psi_{TR} - \mathcal{R}_{TR}}{-F_{ci,0} - \psi_{TR}} = \xi, \quad (\text{A22})$$

where  $\xi \equiv T_{e,0}/T_{i,0}$ . Solving for  $\psi_{TR}$ , we find,

$$\psi_{TR} = \frac{1}{1 + \xi} (F_{ce,0} + \mathcal{R}_{TR} - \xi F_{ci,0}). \quad (\text{A23})$$

Figure 6 shows the relative contributions of the different terms in Equation 7 for the  $\tau = 200$  s case (red curve) in Figure 1. It is clear that  $\psi_{TR}$  makes a non-negligible contribution to the evolution of  $p_e$ , particularly during the heating and conductive cooling phase.

Plugging Equation A20 and Equation A23 into Equation A14, Equation A15, and Equation A18 gives us our set of two-fluid EBTEL equations as given in Equation 7, Equation 8, and Equation 9.

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