

# Advances toward substrate-independent minds

Neural Interfaces, Neural Prostheses  
and Whole Brain Emulation

Randal A. Koene  
[carboncopies.org](http://carboncopies.org)

Self-directed evolution to SIM

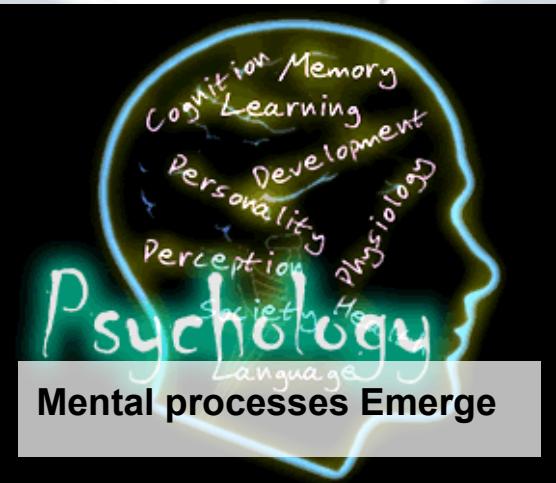
via Whole Brain Emulation,  
neural prostheses & neural  
interfaces

methodology at [carboncopies.org](http://carboncopies.org)

most significant development:  
a true Neural Interfacing  
Platform for BMI, cognitive  
neural prostheses and WBE

# What and Why

See: <http://koene.carboncopies.org>



Objective:  
**Substrate-Independent Mind (SIM)**

Feasible method:  
**Whole Brain Emulation (WBE)**

Bio to WBE transfer:  
**“Mind Uploading”**

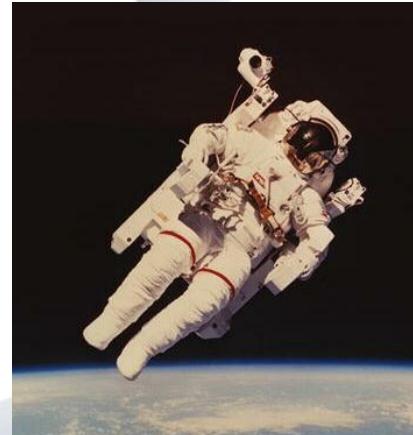


Generate in other substrate:

Supporting evidence from  
neuro-prosthetic development

Mind independent of substrate  
(or platform)

1001 100100  
010 0100  
0110  
010 1001  
1001

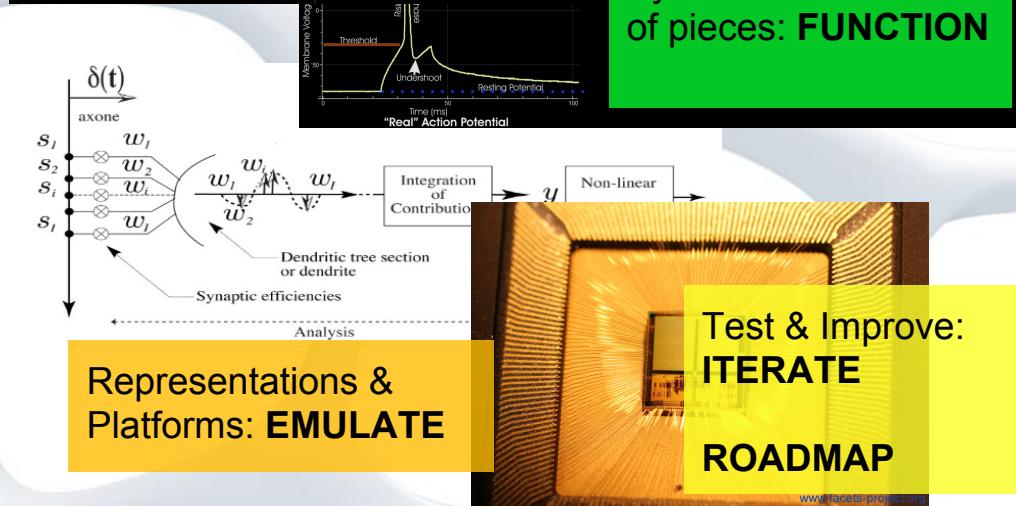
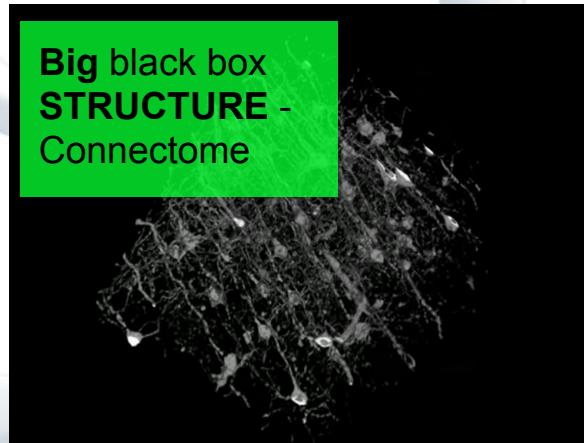
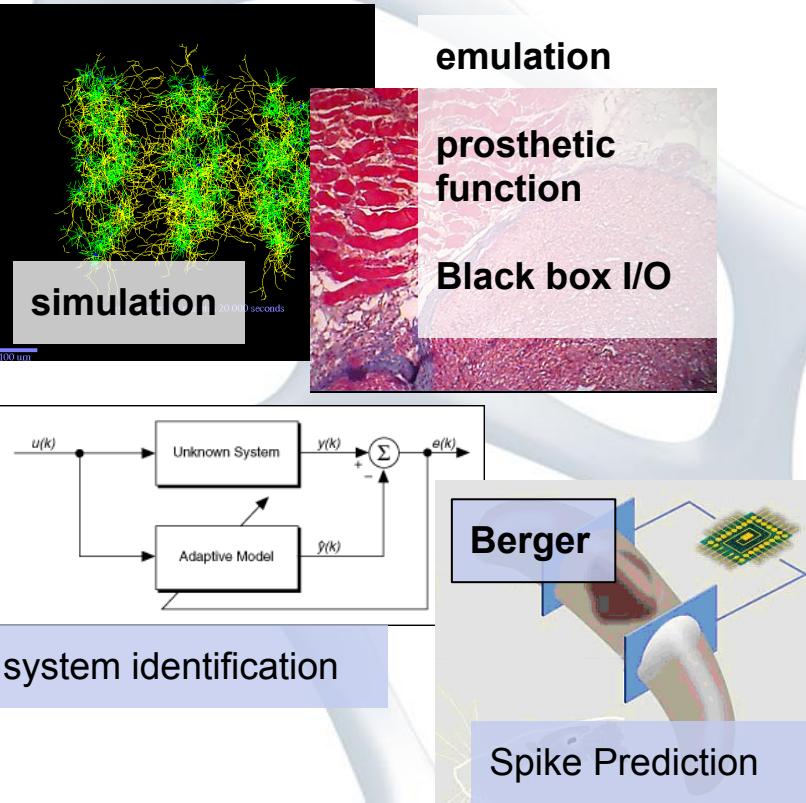


Exceeding  
personal  
limitations

A most Adaptable  
Species beyond  
this tiny sliver of  
Space & Time

# Whole Brain Emulation (WBE): Reverse Engineering of an Individual's Mind

See: <http://koene.carboncopies.org>



# My work through Carboncopies.org

The screenshot shows the homepage of Carboncopies.org. At the top left is the logo "carboncopies" with the tagline "Realistic routes to substrate independent minds". A search bar at the top right contains the placeholder "Search this site". Below the header, there's a large banner featuring a portrait of Dr. Randal A. Koene and the text "JOIN THE CONVERSATION ON SELF-DIRECTED HUMAN EVOLUTION". To the left of the banner is a call-to-action box with the text "Want to join our mission at carboncopies.org?". On the right side of the banner, there's a "In the News:" section with a link to an article from November 13, 2013. The main content area includes links to "Congress Proceedings & Transcripts of GF2045-2013 and A Review of GF2045-2013 in NYC", "Physical Principles for Scalable Neural Recording", and "Mind transfer: human brains in different materials / New Scientist".

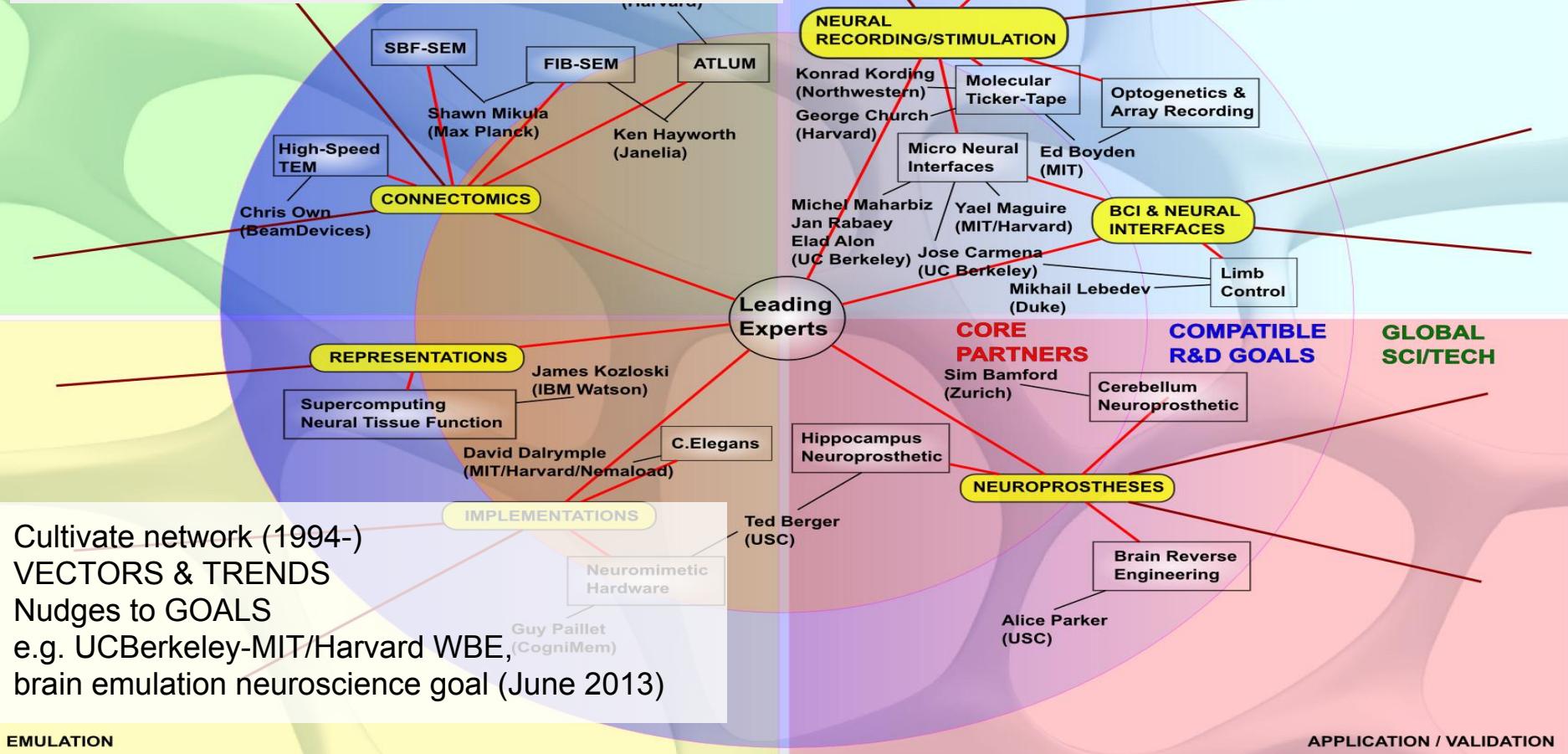
Maintain & Update ROADMAP

Publish & Inform about ROADMAP

Main purpose:  
**Whatever the Trends,  
insure the Goal of SIM is achieved**

- developments **Bottom-Up** combined Feasibly & Robustly for Solutions to **Top-Down** problems
- Encourage solution that **Meet Requirements**
- Identify and Fill **Gaps**
- Involve **Experts**, find Common Interests, create New **Collaborations**, find **People & Resources** for projects

# THE STRATEGY OF OUR UNIQUE NETWORK

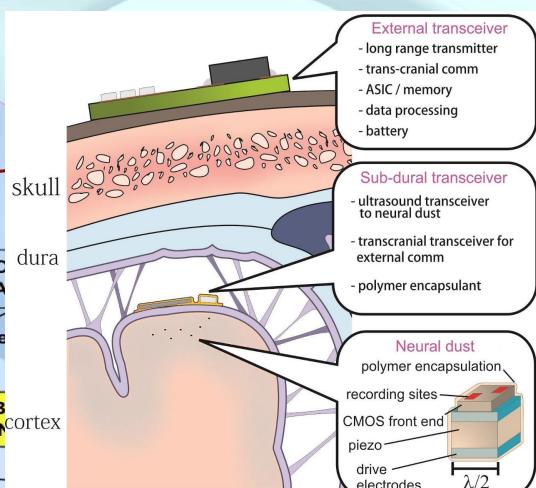
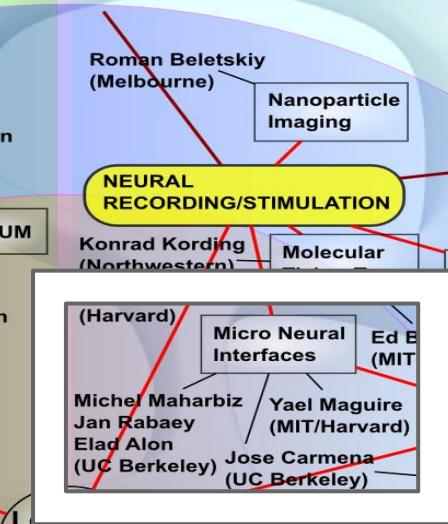


STRUCTURE

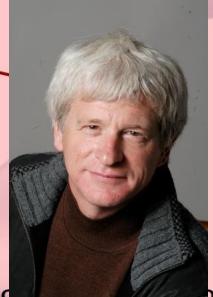
## 2013 Key Focus on FUNCTION: Wireless Micro Neural Interfaces

- **Safe & Effective:** biocompatible (self-contained), no surgery, too small to break tissue
- **Cellular scale & Many regions:** wireless, 1-100um devices, anywhere (tens, thousands,...) = high bandwidth
- **Predictable development:** IC-based, mature industry & talent, integrated on-chip (one piece), Moore's Law

EMULATION



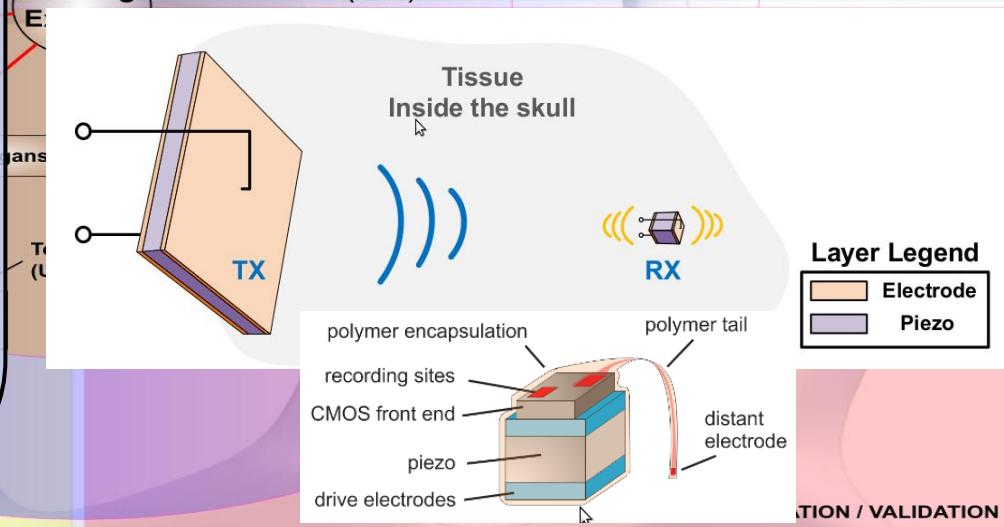
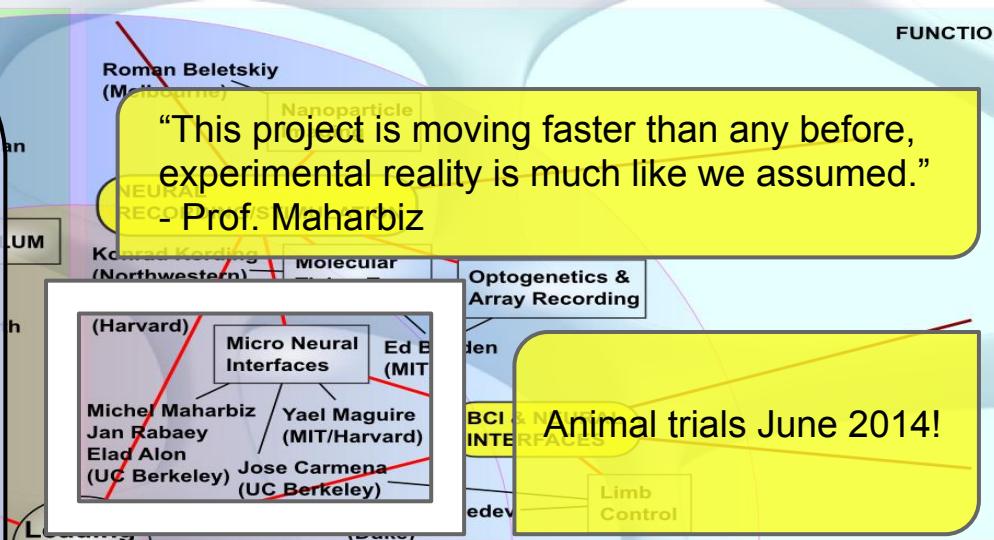
GLOBAL SCI/TECH



APPLICATION VALIDATION

# In the Lab Today

- 100um “Neural Dust” (UC Berkeley): ultrasound
- next: adding 1-5um sensor “tail”
- 1000um wireless data hub (collect, compress, transmit)
- Infrared “RFID” microprobe (MIT/Harvard), testing sensing & power

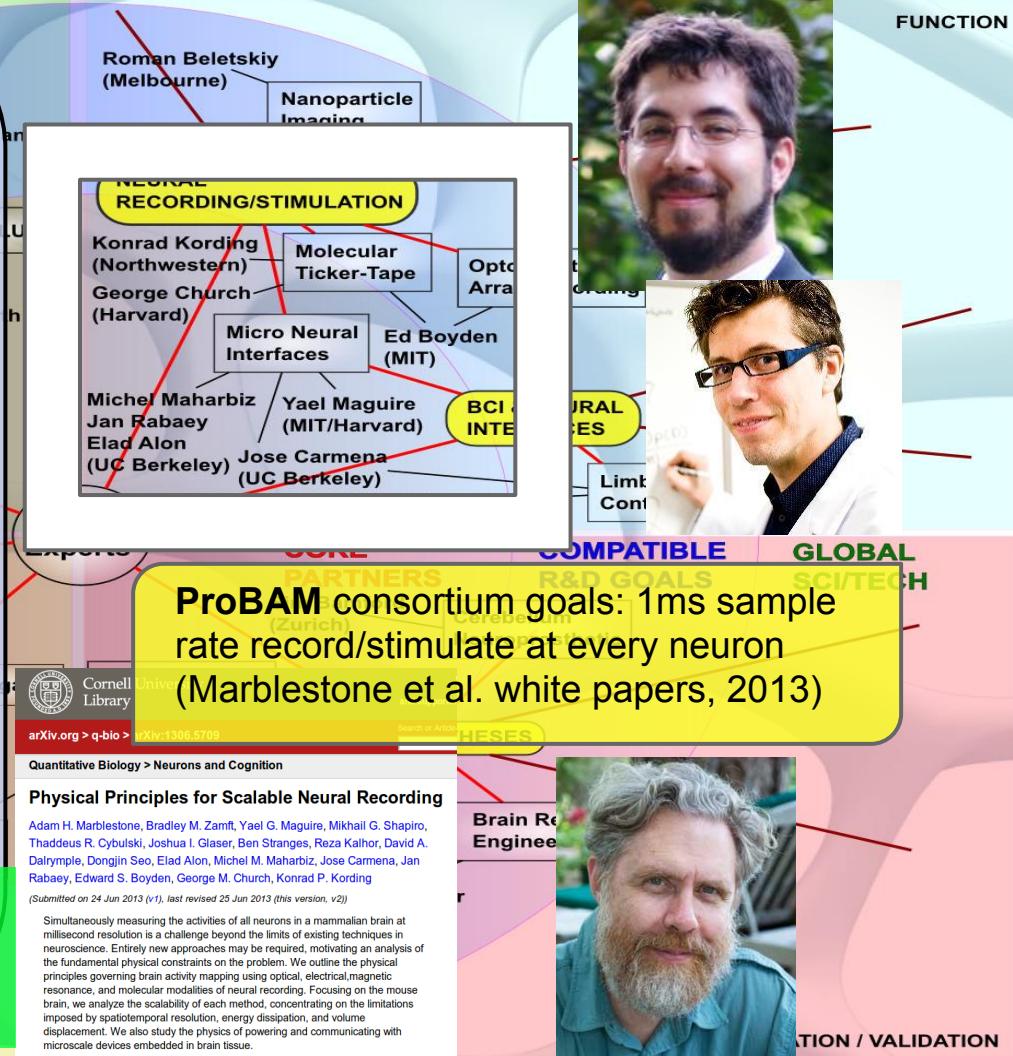


# Revolutionary Brain Machine Interfaces, A New Network

## A NeuraLink PLATFORM

- backbone of the next network revolution (“routers of Internet”)
- become the standard at that point in neurotechnology stack
- first-mover benefit inside demand & development feedback cycle
- at <10um micro neuro interfaces meet requirement for Whole Brain Emulation

Same tech. req. as WBE!  
Need Platform for wide use & rapid iteration



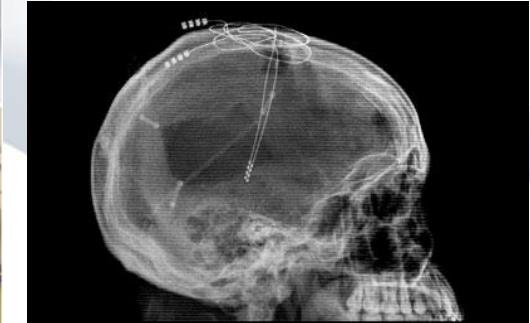
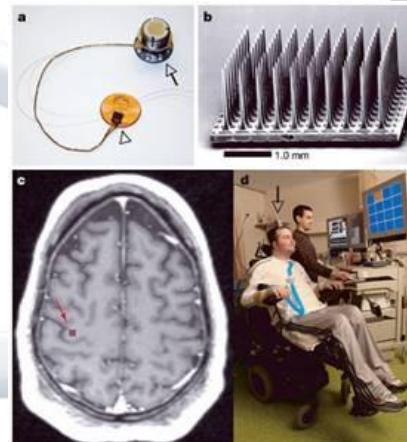
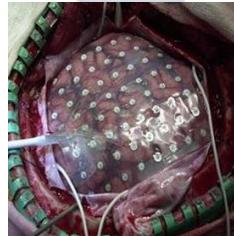
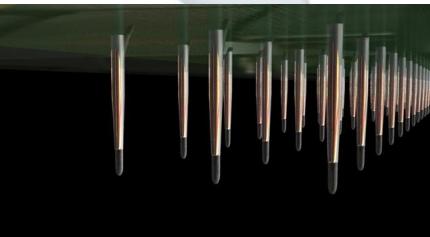
# Micro neural interfaces development program

Platform is component in existing tool stack

→ above: data stream handling, analysis software, results target (DBS, sensory prosthetic, diagnostic monitor, communication for locked-in/ALS, prosthetic limb control, BCI, smart drugs)

→ same level: micro wireless, (opto-) electrical arrays (e.g. BrainGate), deep electrodes (e.g. DBS), ECog/uECog

→ lower stack: signal choice/detection



First-stage program (first year)

- v1.0 based on existing 100um prototype, built with lab infrastructure
- platform for research market - partners become 1st users/customers
- encourage use in similar labs

# Micro neural interfaces development program

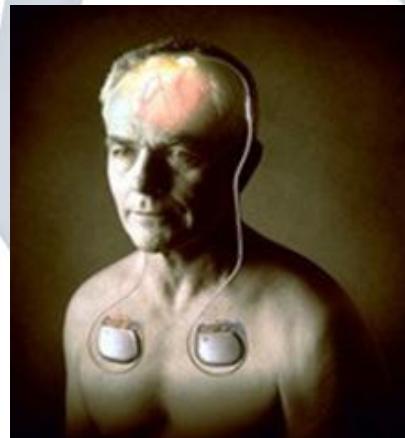
Second-stage program (third year)

- shrink to 10-20um
- combine with infrared high-bandwidth hub
- active delivery system
- redesigned for IC industry standard high volume production



New markets

- demo applications explore horizontal & vertical tool-stack expansion of efforts
- translational approach to clinical market:
  - ◆ product-companies (e.g. DBS, cochlear implants) replace component of tool-stack with micro neural interfaces
  - ◆ they do the heavy lifting with existing motivation, experience & resources in FDA markets

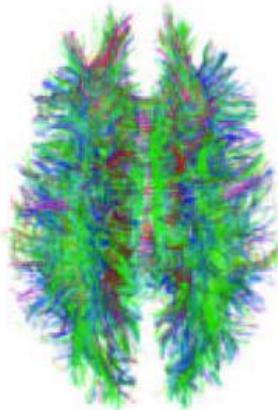


# Significance from Brain PROCESS

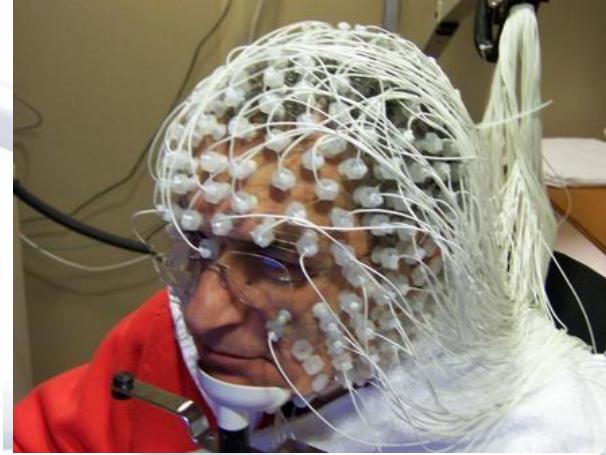
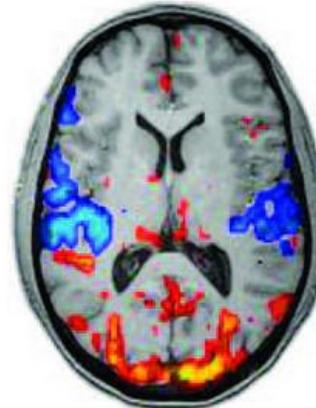
## Perspective

- ❑ Long-term operational interfaces
- ❑ To a very large number of neurons
- ❑ Without harm to the brain

Structural map  
of anatomical pathways



Functional map  
of changes in activity



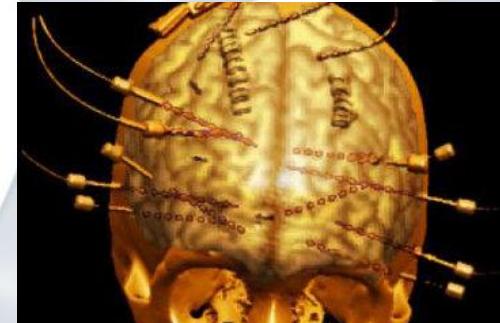
MRI, EEG, etc.  
impractical

low resolution

cannot distinguish  
events

unable to see single  
cell activity

BCI electrodes access few neurons  
Electrodes break down, inflammation &  
infection

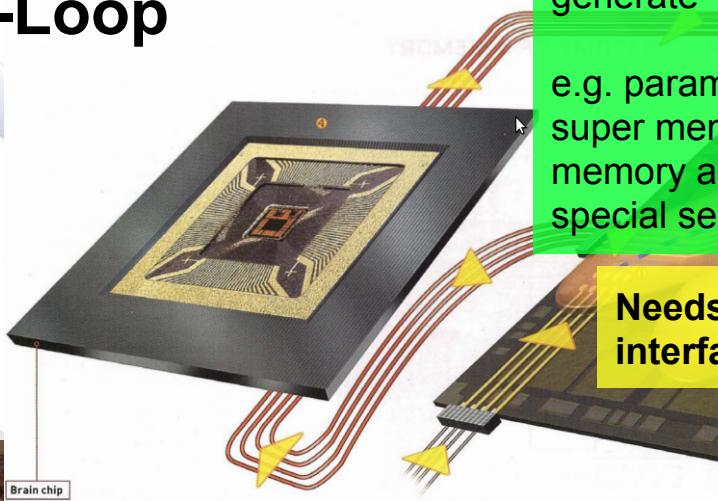


# Significance from APPLICATION

## Perspective High Bandwidth within the Brain-Loop

Low-res BCI (locked-in patients)  
behavioral training,  
neurofeedback

But is EEG > eye-tracking  
for narrow BCI?



### BCI in-loop:

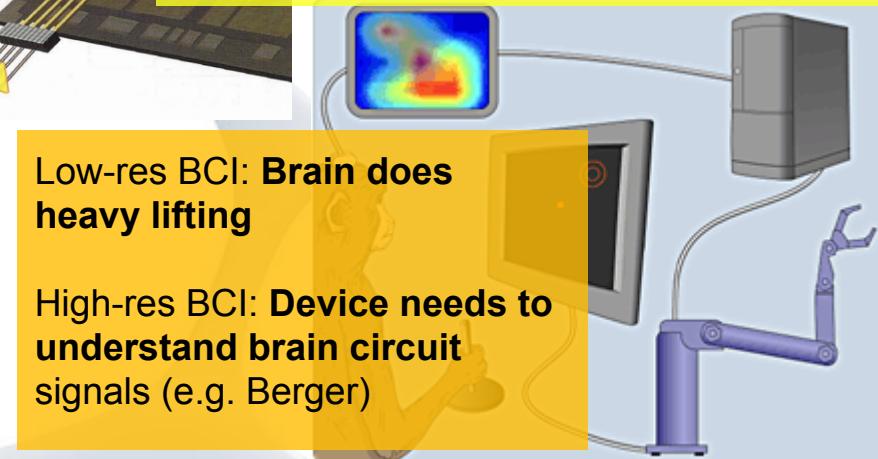
**out:** use data otherwise hard to get  
**in:** deliver data otherwise impossible to generate

e.g. parameter fitting, super object-recognition, super memory-retrieval, selective super memory acquisition, trajectory prediction, special senses (UV, stock market)

Needs large-scale high resolution interfacing

Low-res BCI: Brain does heavy lifting

High-res BCI: Device needs to understand brain circuit signals (e.g. Berger)



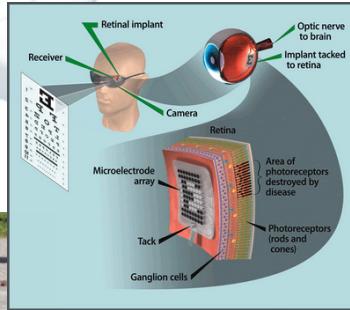
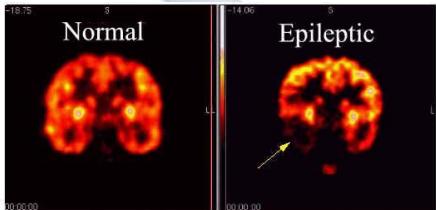
# Products & Application Areas

Small & High Bandwidth

- wireless record/stimulate at cell, ensemble, region level

Patients first

- Diagnostic
- Treatment



Non-Harmful -- Not just for Patients!

- The interfaces increase capabilities without doing harm

A New Network shift in the world!

Trans-human enhancement

- emotion communication
- "telepathic" communication
- retina record/playback
- auditory record/playback
- library record/sort/stimulate of episodic memory cues (hippocampus)
- knowledge portal (Internet, Wikipedia, etc)
- BCI for full-experience
- data access & transition for whole brain emulation

(Most apps unimaginable, like Google etc was unimaginable to 1980s Internet.)



# Repair with brain prostheses - Strategically Part-Wise to Whole Brain Emulation

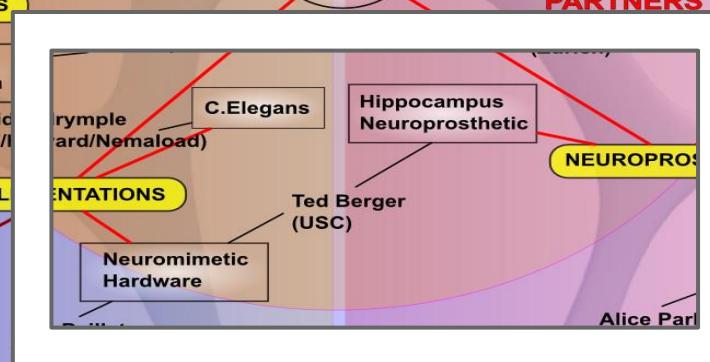
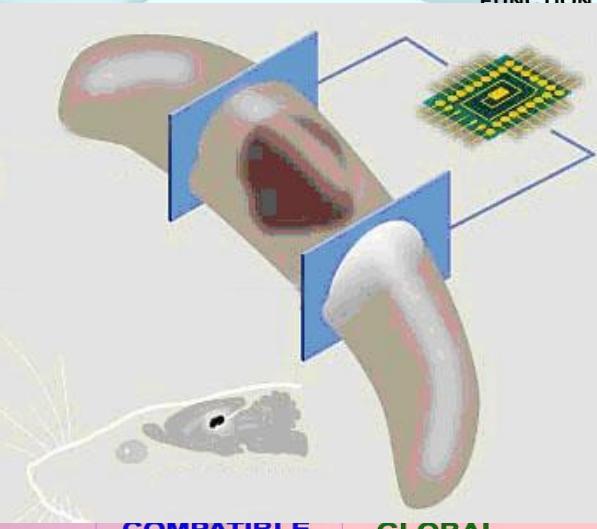
→ First cognitive neural prosthesis: biomimetic hippocampal chip (Ted Berger)

→ Created via system identification (functions for “spike” input - output)

→ Implemented in other substrate: biomimetic chip



Part-Wise to WBE is a likely route!



# Time-Line from APPLICATION Perspective

(2-3 years) hippocampus prosthesis in Human trials  
- experimental treatment of stroke & neurological damage

(5-8 years) prosthesis enables “library of memory”  
collect/identify/tag

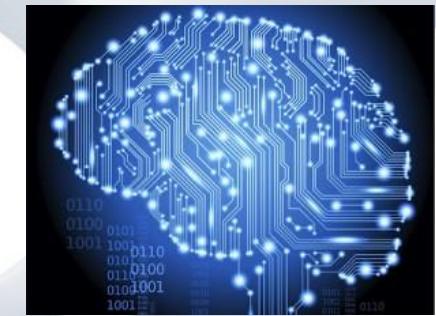
(5-10 years) brain circuit analyses enable machine learning products (AI, compare “deep learning”)

(8-12 years) motor control prostheses - treatments for paralysis

(10-14 years) sensory prostheses - treatments for blindness, deafness, etc  
(compare cochlear implants)

(10-14 years) augmented sensation via prosthetic

(10-20 years) mental co-processors (database-like memory, faster recognition/reflexes, etc)



(10-25 years) prosthetic treatments for deficits in many brain regions

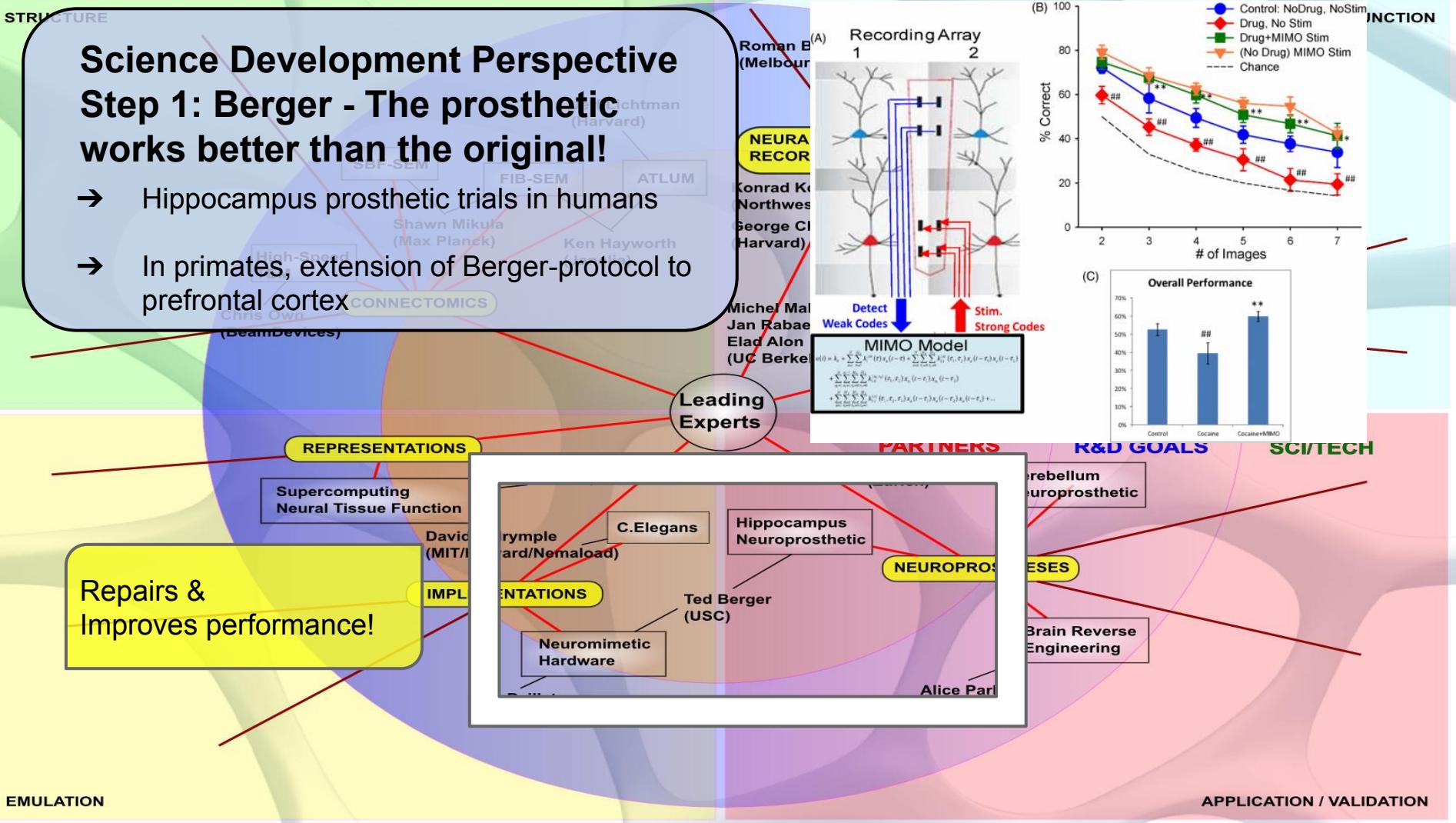
(25+ years) all parts of Whole Brain Emulation with mind transfer protocol (robust & failsafe mind, substrate-independent, long lasting)

STRUCTURE

# Science Development Perspective

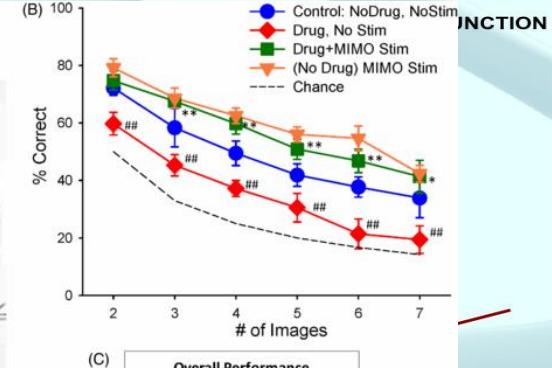
## Step 1: Berger - The prosthetic works better than the original!

- Hippocampus prosthetic trials in humans
- In primates, extension of Berger-protocol to prefrontal cortex



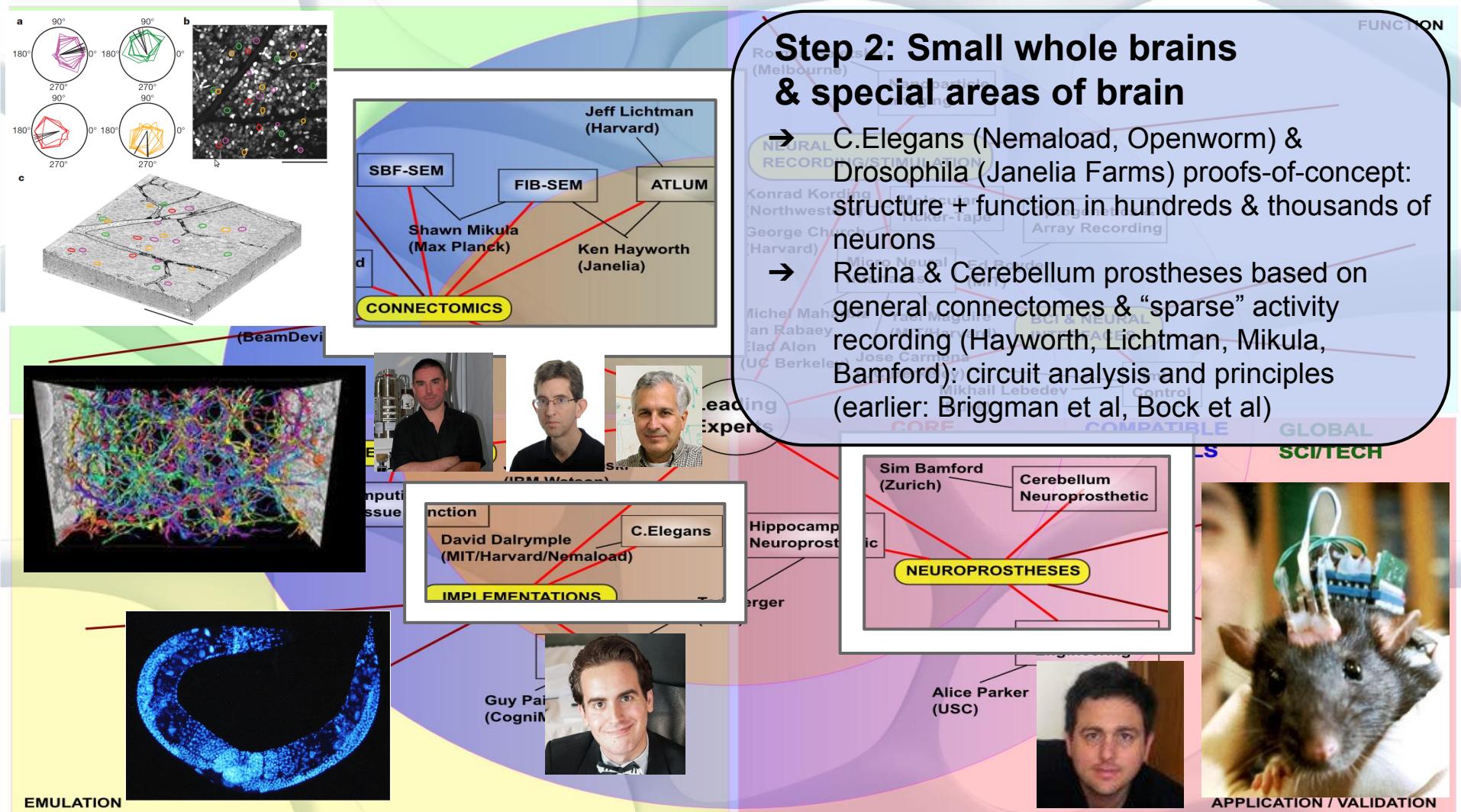
EMULATION

APPLICATION / VALIDATION



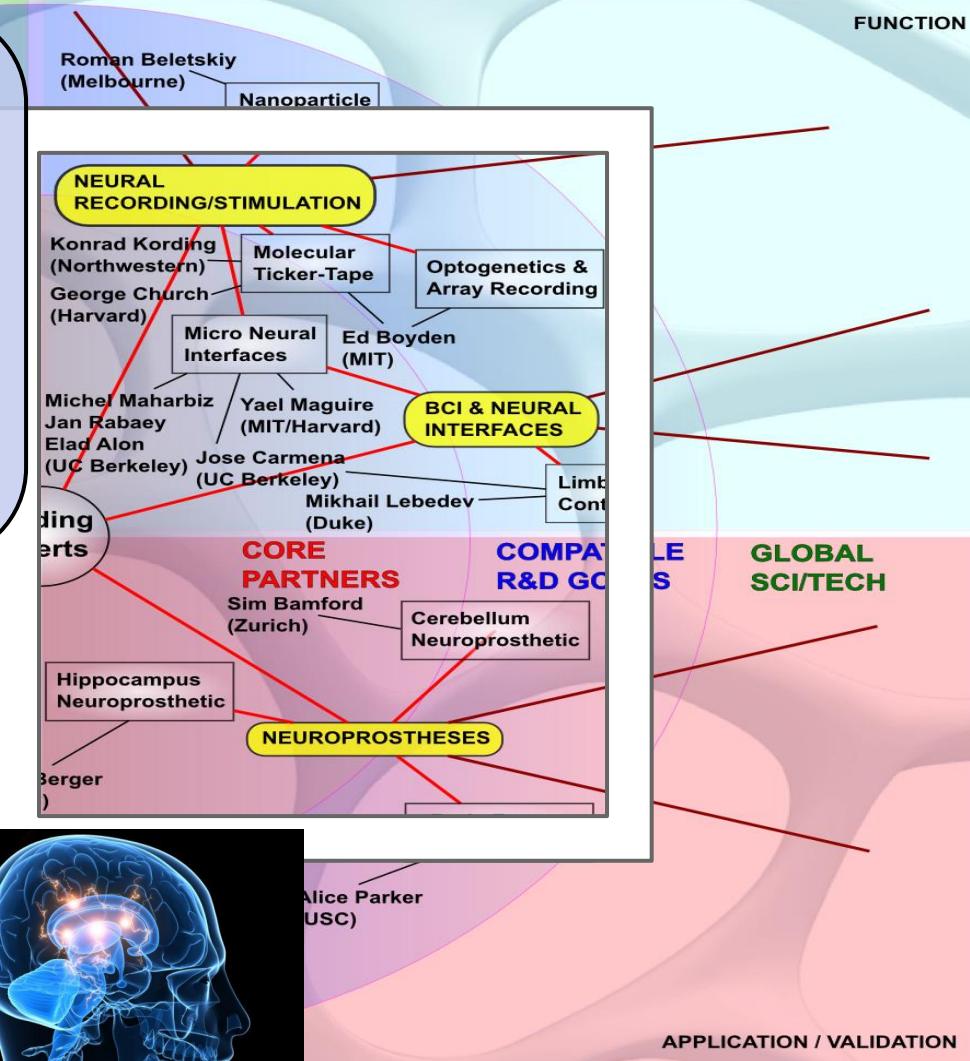
## Step 2: Small whole brains & special areas of brain

- C.Elegans (Nemaload, Openworm) & Drosophila (Janelia Farms) proofs-of-concept: structure + function in hundreds & thousands of neurons
- Retina & Cerebellum prostheses based on general connectomes & “sparse” activity recording (Hayworth, Lichtman, Mikula, Bamford); circuit analysis and principles (earlier: Briggman et al, Bock et al)



## Step 3: New Interfaces make prosthesis of any part possible

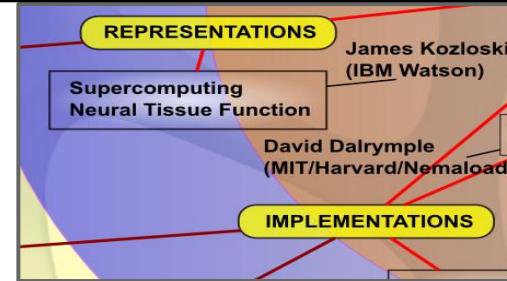
- ProBAM results: new interface platform - extends prosthetic development to systems with personal memories
- Enables Extension Tech: memory capture, off-line memory sorting, sight & sound capture / stimulation



# Step 4: Many parts up to Whole Brain Emulation

→ Generalized process:

- ◆ structure gives interacting sub-circuits
- ◆ function gives sub-circuit system identification
- ◆ math. representation + implementation gives emulated function & brain prosthetic



- Piece-wise neuroprosthetic replacement (circuit by circuit / cell by cell)
- Substrate-Independent Mind

Roman Beletskiy  
(Melbourne)  
Nanoparticle

**NEURAL RECORDING/STIMULATION**

Konrad Kording  
(Northwestern)

George Church  
(Harvard)

Michel Maharbiz  
Jan Rabaey  
Elad Alon  
(UC Berkeley)

Yael Maguire  
(MIT/Harvard)

Jose Carmena  
(UC Berkeley)

Molecular Ticker-Tape

Micro Neural Interfaces

Ed Boyden  
(MIT)

Optogenetics &  
Array Recording

**BCI & NEURAL  
INTERFACES**

Experts

**CORE  
PARTNERS**

**COMP  
R&D GO**

Sim Bamford  
(Zurich)

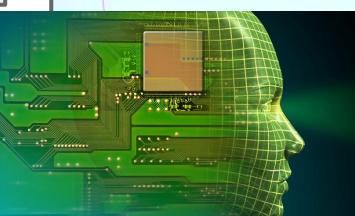
Cerebellum  
Neuroprosthetic

Neuroprostheses

Ted Berger  
(USC)

**NEUROPROSTHESES**

Brain Reverse  
Engineering



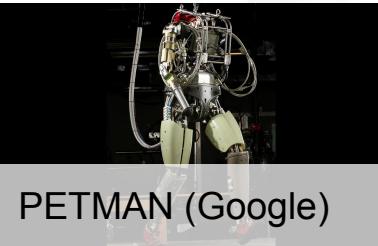
# 2014

Government, Google, Facebook: Machine learning, Robotics

**Machine-centric** -- not self-directed evolution

Futures: human/machine vs human&machine

SIM network: apply study of life, brain & mind to improve human condition



PETMAN (Google)

GOALS, not same as following TRENDS

- where are we in 20, 40, 60 years?
- carboncopies.org & partners: Ensure Goals of SIM

Probability of Success for Complex Long-Term Objective - **Monitor & Nurture explicitly**

- grass-roots among scientists
- awareness of concrete roadmap WBE to public
- bring together experts around common interest in WBE (they didn't even know they shared)
- repurpose a chip foundry to shrink neural interfaces on fab

- ★ 10 years ago: WBE in academia, unmentionable (now accepted goal)
- ★ 6 years ago: Connectomics starts (now proof-of-principle + ramping up)
- ★ 3 years ago: Activity recording Gap! (now ProBAM & BRAIN)
- ★ proto.neural prosthesis
- ★ proto.high-res interface

2014 milestones:

- WBE Coffee Table Book
  - Roadmap Iteration & Visualization + add people
  - High-res Interface PLATFORM (NeuraLink)
  - No single point of failure - Robust financial support
- YOU CAN HELP!**