

May 26, 2016

Janell Wimbley, PO
Franklin County Municipal Court
Department of Probation Services
375 South High Street, 8th Floor
Columbus, OH 43215-4520

**Re: Clinical Alcohol Assessment for Ismael Ramirez Hernandez
(DOB – 08/12/1990)**

Dear Ms. Wimbley:

I performed a Clinical Alcohol Assessment for Mr. Hernandez in reference to his July 2015 OVI charge in Franklin County. This assessment included a 50-minute in-person clinical interview, and the administration of:

- A Substance Abuse Subtle Screening Inventory (SASSI-3)
- A Michigan Alcohol Screening Test (MAST)
- An Alcohol Use Disorder Identification Test (AUDIT)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

SASSI-3: overall *low probability* of a moderate to severe substance use disorder; screening did not indicate further screening for a mild substance use disorder; client's DEF score (defensiveness) was elevated beyond the norm which increases the likelihood of a missed moderate to severe substance disorder but may also reflect situational factors;

MAST: score = 0 / *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use;

AUDIT: score = 2 / *NEGATIVE SCREEN*; scores of 8 or more indicate *hazardous use*, scores of 15 or more in men indicates *moderate to severe* disorder present

Substance Use Risk

Concerns/Risks

- ☒ legal history – previous charge in 2012
- ☐ severity of incident
- ☐ anxiety/depression/mental health
- ☐ other substance use
- ☐ family history

- ☐ prior treatment history
 - ☐ peer influences
 - ☐ work environment
 - ☐ contraindicated prescription use
 - ☐ general health problems
 - ☐ current family stress
- Summary - 1 of 11 concerns, low risk profile

Present Use Status: presently not using alcohol

Anxiety/Depression Screening: no clinically significant concerns reported

Substance Related Legal History Reported: previous alcohol-related legal charge in 2012

Clinical Interview/Summary: Mr. Hernandez's written screenings provided no overt indications of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol before this charge as 3-5 drinks on one occasion per week. He reported an increased use of 6-8 drinks on one occasion per year, his birthday.

Mr. Hernandez and I discussed his OVI incident, his prior alcohol-related charge, and his general use of alcohol at length. Mr. Hernandez did not evidence symptoms of a tolerance condition, loss of control of alcohol use, a withdrawal syndrome, or preoccupation with alcohol consumption. Mr. Hernandez reported that he has been abstaining from alcohol since January 2016 and plans to continue to do so. At present I do not find Mr. Hernandez meeting criteria for an alcohol use disorder, but I am recommending that he attend 10 AA meetings to further encourage his awareness of the potential dangers of alcohol misuse and encourage his decision to abstain from future alcohol consumption.

DSM-5 DIAGNOSIS in Reference to Substances: Z03.89 (suspected substance use condition not found)

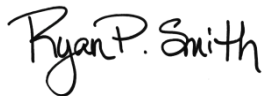
Treatment Recommendations:

- 1) Alcohol & Drug Education – recommend attendance at 10 AA meetings; recommend speaker and/or lead meetings; www.aacentralohio.org;
- 2) If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Hernandez seek an updated evaluation where outpatient counseling is the minimum recommendation.

If the court possesses additional information about Mr. Hernandez's use of alcohol that might impact the outcome of this assessment, I would be happy to review it and consider any appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Mr. Hernandez, and yourself in this important matter.

Sincerely,



Ryan P. Smith MSW, LISW-S, LICDC, SAP
SW Lic. #1.1000155-S, CD Lic. #101182
cc: Ismael Ramirez Hernandez

Prohibition against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.