

July 11, 2014

Phoenix Municipal Court  
Sentence Monitoring Unit  
300 Washington St 1st Floor  
Phoenix, AZ 85003

**Re: Clinical Alcohol Assessment for Steve Sroufe**

Dear SMU Representative:

I performed a Clinical Alcohol Assessment for Mr. Sroufe in reference to his pending OVI charge. This assessment included the administration of: a Michigan Alcohol Screening Test (MAST), an Alcohol Use Disorder Identification Test (AUDIT), an anxiety/depression screening, and a 50 minute telephonic clinical interview. The following are my findings and recommendations:

**MAST:** score =4; raw score suggests a possible mild problem alcohol use however, this mitigated by the 30 year gap of time since his previous DUI, which accounted for 2 of the 4 points

**AUDIT:** score = 0 / negative; scores above 8 indicate hazardous use, above 13 indicates moderate to severe disorder present

**DSM/Other Substance Related Concerns:** denies subtle indicators of problem alcohol use including mild hangovers, mild memory loss after use, repeat use to relieve emotional or work stress, previous legal consequences, previous treatment, conflict with significant others during or shortly after use of alcohol, guilt or regret associated with use; 0 of 11 DSM 5 symptoms reported or detected in the clinical interview

**Anxiety/Depression Screening:** no clinically significant concerns reported

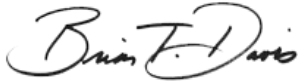
**Substance Related Legal History Reported:** one DUI 30 years prior at the age of 20 with a .08 or .09 BAC; October 2013 DUI included a high BAC (.17)

**Clinical Interview/Summary:** Mr. Sroufe's screenings provided no indication of an alcohol use disorder. He reported his normal use of alcohol as 1-3 drinks on special occasions; less than 3 times per year. We discussed his 10/2013 DUI arrest at length and what he reported as very atypical use of alcohol. Ultimately I was unable to find reporting discrepancies, suspicion or direct signs/ symptoms of a DSM 5 alcohol use disorder. An appropriate preventative recommendation will be provided below.

**DSM 5 DIAGNOSIS in reference to substances:** V71.09 (no diagnosis found)

**Treatment Recommendations:** 1) Preventative Education, recommend a 6-8 hour class; since Mr. Sroufe travels for his work an online alcohol class will be sufficient so long as it is allowed by the court/SMU; 2) If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Sroufe seek further evaluation and follow all treatment recommendations.

Sincerely,



Brian Davis, LISW-S, SAP  
cc: Steve Sroufe

**Prohibition Against Re-Disclosure:** This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

**Diagnostic Conclusions / Limitations:** Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.