

May 6, 2014

Doug Funkhouser, Attorney at Law
729 S. Third St.
Columbus, OH 43206

Re: Clinical Alcohol Assessment for Thomas Carton Jr

Dear Mr. Funkhouser:

I performed a Clinical Alcohol Assessment for Mr. Carton in reference to his January 2014 OVI charge. This assessment included the administration of: a SASSI-3, a MAST, an AUDIT, an anxiety/depression screening, and a clinical interview. The following are my findings and recommendations:

SASSI-3: no scores indicative of an alcohol use disorder at any level (mild, moderate, or severe)

MAST: score =0; indicates no apparent problem with alcohol

AUDIT: score =3; scores of "8" or more indicate hazardous use of alcohol

DSM/Other Substance Related Concerns: denies subtle indicators of problem alcohol use including mild hangovers, mild memory loss after use, occasional use to relieve emotional or work stress, or use despite negative impact to social relationships

Anxiety/Depression Screening: no clinically significant concerns reported

Substance Related Legal History Reported: denies any previous history of alcohol or substance related arrests/legal charges

Clinical Interview/Summary: Mr. Carton's written screenings provided no indications of an alcohol use disorder of any kind. He reports his normal use of alcohol as 2-3 standard drinks on 3-5 occasions per month. He also acknowledged exceptions to that norm on 4-5 occasions per year while on vacations or at special celebrations with a standard drink range of 3-5 drinks but appears to avoid problem use as indicated by DSM-5 criteria. Mr. Carton showed evidence of meeting only one DSM-5 criteria (use beyond intention) but did not meet sufficient criteria to warrant an alcohol use disorder diagnosis. Since January 2014 Mr. Carton reported that he had reduced his alcohol use to 1-2 standard drinks on occasions that he consumes alcohol. He noted no difficulty in maintaining his reduced alcohol consumption. The incident which gave rise to the assessment request does not appear to be indicative of a pattern of problem alcohol use but may represents a rare departure from his normally responsible and moderate use of alcohol.

DSM-5 DIAGNOSIS in reference to substances: V79.01 none/Nil

Recommendations: 1) There are no clinical recommendations at this time. If, however, another negative consequence is incurred as a result of any alcohol use within the next year, it is recommended Mr. Carton seek further evaluation and follow any treatment recommendations.

Mr. Carton has signed a release to communicate the results of this assessment to you. Feel free to contact me should you have any questions about this assessment.

Sincerely,

Ryan P. Smith

Ryan P. Smith, LISW-S, SAP, LCDC-III

cc: Thomas Carton JR.