

October 3, 2016

Lauren Campoli, Atty.
80 S 8th St.
Minneapolis, MN 55402

Re: Clinical Alcohol Assessment for Kou K. Yang (DOB – 09/11/1987)

Dear Ms. Campoli:

I performed a Clinical Alcohol Assessment for Mr. Yang in reference to his March CVO charge (reduced to a DWI of the 3rd degree) in Anoka County. This assessment included a 55-minute clinical interview by telephone, and the administration of:

- A Michigan Alcohol Screening Test (MAST)
- An Alcohol Use Disorder Identification Test (AUDIT)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion, and professional recommendations:

MAST: score = 0 / *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use (screen relative to lifetime use of alcohol)

AUDIT: score = 1 / *NEGATIVE SCREEN*; scores of 8 or more indicate potential *hazardous use*, whereas scores of 15 or more in men indicate a *moderate to severe* disorder may be present (screen predominantly relative to current use of alcohol)

Alcohol Use Risk Factors:

- ☐ negative peer influence/at-risk work environment – NA
- ☐ current stress (relational, work, financial, etc.) – NA
- ☐ physical health concerns – NA
- ☐ anxiety/depression/mental health – NA
- ☐ exposure to trauma – NA
- ☐ family history – none reported
- ☐ contraindicated prescription use – NA
- ☒ other substance use – daily tobacco use
- ☐ regular use of liquor – NA
- ☐ voluntary treatment history – NA
- ☒ severity of incident – .182 BAC
- ☐ legal history – denies previous history

Summary - 2 of 12 concerns, mitigate concern with clinical recommendations

Clinical Interview/Summary: Mr. Yang's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol before this charge as 1-2 beers on approximately 2 occasions per year. He reported no difference in the amount consumed if he was attending a special event or celebration.

Mr. Yang and I discussed his legal charge and general use of alcohol at length. I did not find him meeting DSM-5 alcohol use disorder criteria for an alcohol use disorder and his drinking habits seem to be within what the NIAAA considers to be "low-risk" for men. I did however note the risks and concerns associated with his specific situation; accordingly, I am including preventative recommendations in the remainder of this report in an effort to rule out an unidentified disorder and prepare Mr. Yang for any future peer pressure he may encounter as it relates to alcohol.

DSM-5 Alcohol Use Disorder Symptoms:

- ☐ Use beyond intention
- ☐ Persistent desire or unsuccessful efforts to reduce/quit use
- ☐ Substantial time spent obtaining, using, or recovering from use
- ☐ Strong craving/desire
- ☐ Failure to fulfill a major role (work, home, school)
- ☐ Use despite recurrent social/interpersonal problems
- ☐ Important social, occupational, recreational activities relinquished
- ☐ Physically hazardous use
- ☐ Continued use despite knowledge of contraindicated physical or psychological condition
- ☐ Tolerance
- ☐ Withdrawal symptoms

Summary - 0 of 11 symptoms (Mild 2-3 | Moderate 4-5 | Severe 6+)

DSM-5 DIAGNOSIS in Reference to Substances: Z03.89 (suspected substance use condition not found)

Treatment Recommendations:

- 1) Outpatient Counseling – 2 outpatient sessions with a professional substance abuse counselor; follow-up provider to consult collateral contacts, update recommendations, and discharge as appropriate;
- 2) Attend a local Victim Impact Panel – Mothers against drunk driving.

If you or the court possess additional information about Mr. Yang's use of alcohol that might impact the outcome of this assessment, I would be happy to review it and consider appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Mr. Yang, and yourself in this important matter.

Sincerely,



Trevor C. Davis, CDCA

Cert.# 150427

cc: Kou K. Yang

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol and Drug Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information, and third party verification can be provided as an additional service upon request.