

October 19, 2016

Ed Hasie, Atty.  
Hastie Legal, LLC  
1421 W 3rd Ave.  
Columbus, OH 43212

**Re: Clinical Alcohol Assessment for Kelsey Swift (DOB – 09/08/1989)**

Dear Mr. Hastie:

I performed a Clinical Alcohol Assessment for Ms. Swift in reference to her October Domestic Violence and Assault charges in Fairfield county. This assessment included a 60-minute clinical interview, review of the case discovery, and the administration of:

- Substance Abuse Subtle Screening Inventory (SASSI-3)
- A Michigan Alcohol Screening Test (MAST)
- An Alcohol Use Disorder Identification Test (AUDIT)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion, and professional recommendations:

**SASSI-3:** NEGATIVE-INCONCLUSIVE; Defensiveness score of '8' – "Elevated DEF scores increase the possibility of the SASSI missing individuals with a substance use disorder. Elevated DEF may also reflect situational factors."

**MAST:** score = 3 / *NEGATIVE SCREEN*; no direct or indirect indication of a present alcohol use disorder (screen relative to lifetime use of alcohol)

**AUDIT:** score = 5 / *NEGATIVE SCREEN*; scores of 8 or more indicate potential *hazardous use*, whereas scores of 13 or more in women indicate a *moderate to severe* disorder may be present, mitigate concerns with clinical recommendations (screen predominantly relative to current use of alcohol)

**Alcohol Use Risk Factors:**

- ☐ negative peer influence/at-risk work environment – NA
- ☐ current stress (relational, work, financial, etc.) – NA
- ☐ physical health concerns – NA
- ☐ anxiety/depression/mental health – past anxiety and depression (predominantly isolated, managed)
- ☐ exposure to trauma – NA
- ☐ family history of substance abuse or alcoholism – 1 indirect relative
- ☐ contraindicated prescription use – NA

- ☐ other substance use – NA
- ☐ regular use of liquor – NA
- ☐ voluntary treatment history – NA
- ☐ severity of incident – NA
- ☐ legal history – denies previous history

Summary - 0 of 12 concerns

**Clinical Interview/Summary:** Ms. Swift's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). She reported her normal use of alcohol before this charge as 2-4 beers on 5-7 occasions per month. She also acknowledged use of 6-8 beers on 6-8 special occasions (weddings, birthdays, etc.) per year.

Ms. Swift and I discussed her legal charges and general use of alcohol at length. I did not find her meeting DSM-5 alcohol use disorder criteria for a diagnosis; however, her drinking habits exceed what is considered to be "low-risk" use for women by the NIAAA. Accordingly, I am including proactive treatment recommendations in the remainder of this report.

**DSM-5 Alcohol Use Disorder Symptoms:**

- ☐ Use beyond intention
- ☐ Persistent desire or unsuccessful efforts to reduce/quit use
- ☐ Substantial time spent obtaining, using, or recovering from use
- ☐ Strong craving/desire
- ☐ Failure to fulfill a major role (work, home, school)
- ☐ Use despite recurrent social/interpersonal problems
- ☐ Important social, occupational, recreational activities relinquished
- ☐ Physically hazardous use
- ☐ Continued use despite knowledge of contraindicated physical or psychological condition
- ☐ Tolerance
- ☐ Withdrawal symptoms

Summary - 0 of 11 symptoms (Mild 2-3 | Moderate 4-5 | Severe 6+)

**DSM-5 DIAGNOSIS in Reference to Substances:** Z03.89 (suspected substance use condition not found)

**Treatment Recommendations:**

- 1) Outpatient Counseling – 1-2 outpatient sessions with a professional substance abuse counselor; follow-up provider to update recommendations, consult collateral contacts, and discharge as appropriate (provide this evaluation to counselor);

- 2) Alcohol & Drug Education – 2 hrs. of alcohol/drug education by any of the following means: attend local class (hospital, treatment center, or community facility), AA/NA, MADD victim impact panel, online alcohol/drug education course.

If you or the court possess additional information about Ms. Swift's use of alcohol that might impact the outcome of this assessment, I would be happy to review it and consider appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Ms. Swift, and yourself in this important matter.

Sincerely,



Trevor C. Davis, CDCA  
Certificate # 150427

Reviewed by Brian T. Davis, LISW-S, SAP LIC# I-7948  
cc: Kelsey E. Swift

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**Diagnostic Conclusions / Limitations:** Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information, and third party verification can be provided as an additional service upon request.