

March 31, 2015

Thurston County District Court 2000 Lakeridge Drive S.W. Olympia, WA 98502

Re: Clinical Alcohol Assessment for Christian Guaderrama

Dear Court Representative:

I performed a Clinical Alcohol Assessment for Mr. Guaderrama in reference to his DUI charge in Pearce County in November of 2014. This assessment included the administration of: a Michigan Alcohol Screening Test (MAST), an Alcohol Use Disorder Identification Test (AUDIT), an anxiety/depression screening, and a clinical interview by telephone. The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

MAST: *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use **AUDIT:** score = 2 / *NEGATIVE SCREEN*; scores above 8 indicate hazardous use, above 13 indicates moderate to severe disorder present

DSM/Other Substance Related Concerns: clinical interview revealed *1 of 11* DSM 5 substance related concerns present

Anxiety/Depression Screening: no clinically significant concerns reported Substance Related Legal History Reported: denies any previous history of alcohol or substance related arrests/legal charges

Clinical Interview/Summary: Mr. Guaderrama's written screenings (both negative for signs of an alcohol use disorder) were somewhat discrepant with the clinical interview. He reported his normal use of alcohol as 2-3 drinks on 2 occasions per month, with the same amount of drinks on special occasions (weddings, birthdays, etc.) or about 5-6 times per year. Mr. Guaderrama and I discussed the DUI incident and his general use of alcohol at length. During the interview, he stated that he did not feel intoxicated when he was pulled over on the evening of his charges. However, with his high test BAC (.11), I am concerned that there may be an issue of tolerance that should be further assessed. Although I was unable to find his overall history and presentation indicative of someone experiencing a DSM 5 alcohol use disorder *diagnosis*, my clinical opinion is that some clarification around the possibility of tolerance would be an advantageous way for him to avoid alcohol related incidents going forward.

DSM 5 DIAGNOSIS in reference to substances: V71.09 (none found)

Treatment Recommendations:

- 1) <u>Counseling</u>, recommend 2-3 outpatient sessions with a professional substance abuse counselor; update recommendations at session three;
- 2) If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Guaderrama seek further evaluation and follow all treatment recommendations.

Thank you for the opportunity to assist the court, Mr. Guaderrama and yourself in this important matter.

Sincerely,

Anita McLeod, LCSW, SAP cc: Christian Guaderrama

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.

