

April 14, 2016

Benjamin L. Luftman, Attorney  
Luftman, Heck & Associates LLP  
580 East Rich Street, Floor 2  
Columbus, OH 43215-5335

**Re: Clinical Alcohol Assessment for Kristopher W. Shannon (DOB – 12/08/1983)**

Dear Mr. Luftman:

I performed a Clinical Alcohol Assessment for Mr. Shannon in reference to his OVI and related charges (4/10/2016) in Franklin County. This assessment included a 50-minute in-person clinical interview, and the administration of:

- A Michigan Alcohol Screening Test (MAST)
- An Alcohol Use Disorder Identification Test (AUDIT)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

**MAST:** score = 2 / *NEGATIVE SCREEN*; mitigate any identified concerns with clinical recommendations

**AUDIT:** score = 6 / *NEGATIVE SCREEN*; scores of 8 or more indicate *hazardous use*, scores of 15 or more in men indicate *moderate to severe* disorder present, mitigate identified concerns with clinical recommendations

**Alcohol Use Risk Factors:**

*Concerns/Risks*

- legal history – 2008 high test
- severity of incident – OVI charge with accident and uninjured child
- anxiety/depression/mental health – no outstanding history
- other substance use – NA
- family history – 2 blood relatives reported
- prior treatment history – NA
- peer influences – NA
- work environment – NA
- contraindicated prescription use – NA
- general health problems – NA
- current family stress – NA

Summary - 3 of 11 concerns, mitigate concern with clinical recommendations

**Clinical Interview/Summary:** Mr. Shannon's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol before this charge as 2-4 drinks on 0-1 occasions per week. He also acknowledged use of 4-6 drinks over an extended period of time on 2-3 special occasions per year (weddings, birthdays, etc.). Mr. Shannon was visibly upset about the incident and reported that he has been abstaining from alcohol use since this charge with uncertainty about whether he will drink in the future.

Mr. Shannon and I discussed his OVI incidents and general use of alcohol at length. While I was unable to identify him meeting DSM-5 alcohol use disorder criteria I expressed concern about his previous high test OVI and 2 family members having a history of problem alcohol use. Accordingly, I am recommending that he complete some preventative alcohol education and meet with a substance abuse professional for further consultation, assessment and prevention planning for the future.

**DSM-5 DIAGNOSIS in Reference to Substances:** Z03.89 (suspected substance use condition not found)

**Treatment Recommendations:**

- 1) Preventative Education – 9 hrs. of Ohio Health's Drug/Alcohol Awareness Series (Riverside Methodist Hospital contact 614-566-3700);
- 2) Outpatient Counseling – 4-6 outpatient sessions with a professional substance abuse counselor; update recommendations at session 4 or plan for discharge as clinically appropriate;
- 3) If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Shannon seek an increased level of treatment or extended outpatient substance abuse counseling as appropriate.

If you or the court possess additional information about Mr. Shannon's use of alcohol that might impact the outcome of this assessment, I would be happy to review it and consider appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Mr. Shannon, and yourself in this important matter.

Sincerely,



Brian T. Davis, LISW-S, SAP  
LIC# I-7948  
cc: Kristopher W. Shannon

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**Diagnostic Conclusions / Limitations:** Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.