

March 22, 2015

Lindsay Kowalski, Pre-Admission and Re-Enrollment Review Coordinator
Penn State Office of Student Conduct
120 Boucke Building
University Park, PA 16802

Re: Clinical Alcohol Assessment for Nicholas Fraunfelter

Dear Ms. Kowalski:

I performed a Clinical Alcohol Assessment for Mr. Fraunfelter in reference to his dismissal from The Ohio State University in April of 2013. This assessment included the administration of: a Michigan Alcohol Screening Test (MAST), an Alcohol Use Disorder Identification Test (AUDIT), an anxiety/depression screening, and a clinical interview by telephone. The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

MAST: *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use

AUDIT: score = 1 / *NEGATIVE SCREEN*; scores above 8 indicate hazardous use, above 13 indicates moderate to severe disorder present

DSM/Other Substance Related Concerns: clinical interview revealed 0 of 11 DSM 5 symptoms present.

Anxiety/Depression Screening: no clinically significant concerns reported

Substance Related Legal History Reported: acknowledges 2013 underage drinking charge

Clinical Interview/Summary: Mr. Fraunfelter's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported that *prior* to November of 2014; his normal use of alcohol was 3-10 drinks on 3-4 occasions per week. However, Mr. Fraunfelter indicated that he has been abstinent from all alcohol use as of November, 2014. Mr. Fraunfelter and I discussed the incident and circumstances related to his dismissal from OSU at length. My clinical opinion is that social factors and peer relationships were a large contributor to his excess drinking behaviors during his time at OSU. I had the general impression that Mr. Fraunfelter was remorseful over the many losses he has suffered personally, academically and as a former employee of the university as a result of this incident. After discussing his general use of alcohol at length and the positive changes that he has made as a result, my opinion is that he has made substantial and sustainable lifestyle changes that will serve to lower his risks of any alcohol related incidents going forward.

DSM 5 DIAGNOSIS in reference to substances: V71.09 (none found)

Treatment Recommendations:

- 1) Counseling, recommend continuation of counseling services with Mr. Fraunfelter's currently established treatment provider for ongoing support as needed;
- 2) If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Fraunfelter seek further evaluation and follow all treatment recommendations.

Thank you for the opportunity to assist Mr. Fraunfelter and yourself in this important matter.

Sincerely,



Anita McLeod, LCSW, SAP
cc: Nicholas Fraunfelter

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.