

March 20, 2015

Cass County Iowa DMV 5 West 7<sup>th</sup>. Street Atlantic, Iowa 50022

Re: Clinical Alcohol Assessment for License Reinstatement: Alan Lillard

Dear DMV Representative:

I performed a Clinical Alcohol Assessment for Mr. Lillard in reference to his 2010 DUI charge in Cass County. This assessment included the administration of: a Michigan Alcohol Screening Test (MAST), an Alcohol Use Disorder Identification Test (AUDIT), an anxiety/depression screening, and a 50 minute clinical phone interview. The following are my findings and recommendations:

**MAST:** score = 2 / negative screen; no direct or indirect indication of problem alcohol use **AUDIT:** score = 2 / risk level=Zone 1; Zone 1 scores refer to low risk drinking or abstinence **DSM/Other Substance Related Concerns:** clinical interview revealed 0 of 11 DSM 5 symptoms present / denies subtle indicators of problem alcohol use including mild hangovers, mild memory loss after use, repeat use to relieve emotional or work stress, previous treatment, conflict with significant others during or shortly after use of alcohol, guilt or regret associated with use

Anxiety/Depression Screening: no clinically significant concerns

Substance Related Legal History Reported: denies any previous history of alcohol or substance related arrests/legal charges

Clinical Interview/Summary: Mr. Lillard's written screenings provided no indication of an alcohol use disorder. He reported his normal use of alcohol as 1-2 drinks on 1 or less occasions per month. He also acknowledged use of a maximum of 2-3 drinks on special occasions (weddings, birthdays, etc.) or about 1-2 times per year. We discussed Mr. Lillard's DUI incident at length as well as any general habits involving alcohol. He was non- defensive in his demeanor and the interview did not reveal inconsistencies or discrepancies in written or verbal form. My clinical impression is that he does not meet criteria for someone experiencing a DSM 5 alcohol use disorder and it is quite possible that his DUI incident represents an aberration in his normal moderate and low risk drinking behaviors. Accordingly, I have no further professional recommendations.

**DSM 5 DIAGNOSIS in reference to substances**: V71.09 (none found)

## **Treatment Recommendations:**

 If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Lillard seek further evaluation and follow all treatment recommendations.

Thank you for the opportunity to assist the court, Ms. Lillard, and yourself in this important matter.

Sincerely,

Anita McLeod, LCSW, SAP

cc: Alan Lillard

**Prohibition Against Re-Disclosure**: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

**Diagnostic Conclusions / Limitations**: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self- reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.

