

October 27, 2016

Steven T. Fox, Attorney
The Steven T. Fox Law Firm
1335 Dublin Road, Suite 205-A
Columbus, Ohio 43215-7044

Re: Clinical Alcohol Assessment for James M. Hammons (DOB – 01/03/1963)

Dear Mr. Fox:

I performed a Clinical Alcohol Assessment for Mr. Hammons in reference to his OVI charge in Madison County. This assessment included a clinical interview, and the administration of:

- A Michigan Alcohol Screening Test (MAST)
- An Alcohol Use Disorders Identification Test (AUDIT)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

MAST: score = 2 / *NEGATIVE SCREEN* – 2 points related to OVI history, clarify in clinical interview and mitigate any concern items with educational and/or clinical recommendations

AUDIT: score = 3 / *NEGATIVE SCREEN*; scores of 8 or more indicate *hazardous use*, scores of 15 or more in men indicate *moderate to severe* disorder present

Alcohol Use Risk Factors:

Concerns/Risks

- ☒ previous legal history – 2012 charge without evidence (refusal)
- ☐ severity of incident – '12 & '16 appear to be without aggravating circumstances
- ☐ anxiety/depression/mental health – NA
- ☐ other substance use – NA
- ☐ family history – not found
- ☐ prior treatment history – NA
- ☐ peer influences– NA
- ☐ work environment – NA
- ☐ contraindicated prescription use – NA
- ☐ general health problems – NA
- ☐ current family stress – NA

Summary - 1 of 11 concerns, mitigate concern with educational and/or clinical recommendations

DSM - 5 Alcohol Use Symptoms:

- ☐ Use beyond intention
- ☐ Persistent desire or unsuccessful efforts to reduce/quit use
- ☐ Substantial time spent obtaining, using, or recovering from use
- ☐ Strong craving/desire
- ☐ Failure to fulfill a major role (work, home, school)
- ☐ Use despite recurrent social/interpersonal problems
- ☐ Important social, occupational, recreational activities relinquished
- ☒ Physically hazardous use (operating motor vehicle on more than one occasion)
- ☐ Continued use despite knowledge of contra physical or psychological condition
- ☐ Tolerance
- ☐ Withdrawal symptoms

Summary - 1 of 11 symptoms (does not reach diagnostic threshold)

Clinical Interview/Summary: Mr. Hammons' written screenings provided no clear indications of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol before this charge as 2-3 drinks on 2-3 occasions per week. He reported decreased use if he was consuming alcohol at a special event (1-2 drinks), but only on very rare occasions.

Mr. Hammons and I discussed his OVI incidents and general use of alcohol at length. He acknowledged some excessive alcohol use during his separation and divorce, beginning around 2008, but reported his drinking came back to his normal moderate habits around 2010. While I did not find him meeting DSM-5 alcohol use disorder criteria I did note that his OVI history indicates some pattern towards hazardous use that could be proactively addressed to avoid any problems of a similar nature in the future. Accordingly, I am including some preventative recommendations in the remainder of this report.

DSM-5 DIAGNOSIS in Reference to Substance Use: Z03.89 (suspected substance use condition not found)

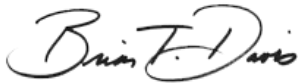
Treatment Recommendations:

- 1) Attend a local MADD Victim Impact Panel, 5900 Roche Dr. #250 Columbus, OH 43229, Phone - 614.885.6233, email - oh.state@madd.org
- 2) Outpatient Counseling – 3-4 outpatient sessions with a professional substance abuse counselor after completion of recommendation #1; update recommendations or discharge as appropriate;
- 3) If another negative consequence is incurred as a result of any alcohol use it is recommended Mr. Hammons seek further evaluation and follow all treatment recommendations.

If you or the court possess additional information about Mr. Hammons' use of alcohol that might impact the outcome of this assessment, I would be happy to review it and consider appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Mr. Hammons, and yourself in this important matter.

Sincerely,



Brian T. Davis, LISW-S, SAP
LIC# I-7948
cc: James M. Hammons

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.