

October 8th, 2014

Mark C. Collins, Attorney at Law The Law Offices of Mark C. Collins Co., LPA 492 S. High St. 3rd Floor Columbus, OH 43215

Re: Clinical Alcohol Assessment for Anthony Wunder

Dear Mr. Collins:

I performed a Clinical Alcohol Assessment for Mr. Wunder in reference to his pending September 2014 criminal trespassing charge. This assessment included the administration of: a SASSI-3, a MAST, an AUDIT, an anxiety/depression screening, and a clinical interview. The following are my findings and recommendations:

SASSI-3: no scores indicative of an alcohol use disorder at any level (mild, moderate, or severe); client's DEF score (defensiveness) was elevated beyond the norm which increases the likelihood of a missed moderate to severe substance use disorder; may also reflect situational factors **MAST:** score =4; indicates early to middle stage problem drinking; lifetime frame of reference **AUDIT:** score =11; scores of "8" or more indicate hazardous alcohol use; past year frame of reference

DSM/Other Substance Related Concerns: denies subtle indicators of problem alcohol use including recurrent use to relieve emotional or work stress, previous legal consequences, previous treatment, or conflict with significant others during or shortly after use of alcohol **Anxiety/Depression Screening:** no clinically significant concerns reported **Substance Related Legal History Reported:** denies any previous history of alcohol or substance related arrests/legal charges

Clinical Interview/Summary: Mr. Wunder's written screenings provided mixed indications of an alcohol use disorder diagnosis. He reports his normal use of alcohol as 8-10 standard drinks on 2-3 occasions per week. He also acknowledged exceptions to that norm on several occasions per year which would involve consumption range of 12-15 standard drinks. The clinical interview revealed enough symptoms from use to indicate a mild alcohol use disorder but did not indicate a moderate to severe alcohol use disorder diagnosis. I am recommending alcohol education and outpatient counseling with a substance abuse professional.

DSM 5 DIAGNOSIS in reference to substances: 305.00 mild alcohol use disorder; Rule out 303.90 moderate alcohol use disorder

Recommendations: 1) Education, recommend 9 hrs. of Ohio Health's Drug/Alcohol Awareness Series (contact 614-566-3700); 2) Counseling, recommend 6-8 sessions with a professional substance abuse counselor; 3) Monitoring, recommend 6 months of monitoring with same counselor after initial counseling completed, meeting frequency at discretion of counselor.

Mr. Wunder has signed a release to communicate the results of this assessment to you. Feel free to contact me should you have any questions about this assessment.

Sincerely,

Ryan Smith, LISW-S, SAP, LCDC-III

cc: Anthony Wunder

Ryan P. Snith

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.