January 2, 2014

Lake Havisu City Court
2801 College Dr. Ste. 148
Lake Havasu City, AZ 86403

Re: Clinical Alcohol Assessment for Chad Shaffer

Dear Lake Havisu Court Clerk:

I performed a Clinical Alcohol Assessment for Mr. Shaffer in reference to his disorderly conduct charge in Mohave County. This assessment included the administration of: a Michigan Alcohol Screening Test (MAST), an Alcohol Use Disorder Identification Test (AUDIT), an anxiety/depression screening, and a 50 minute in-person clinical interview by telephone. The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

MAST: score =0; *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use
AUDIT: score = 2/ *NEGATIVE SCREEN*; scores above 8 indicate hazardous use, above 13 indicates moderate to severe disorder present
DSM/Other Substance Related Concerns: denies subtle indicators of problem alcohol use including mild hangovers, mild memory loss after use, repeat use to relieve emotional or work stress, previous treatment, conflict with significant others during or shortly after use of alcohol, guilt or regret associated with use
Anxiety/Depression Screening: no clinically significant concerns reported
Substance Related Legal History Reported: denies any previous history of alcohol or substance related arrests, legal charges or DUIs

Clinical Interview/Summary: Mr. Shaffer's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol as 1-2 drinks on 1-2 occasions per month. We discussed Mr. Shaffer's disorderly conduct charge incident at length as well as his general history of alcohol use. I did not find the incident or his overall history and presentation indicative of someone experiencing DSM 5 alcohol use disorder symptoms and found no discrepancies or contradictions suggesting otherwise. Further, I believe he has learned a valuable lesson from this experience and reasonable clinical indications suggest he is at low risk at this time.

DSM 5 DIAGNOSIS in reference to substances: V 71.09 (none found)

Treatment Recommendations: Because I found no indications of an alcohol use disorder or significant risk for one in the near future I have no additional professional recommendations for Mr. Shaffer. If he incurs another legal charge or significant

negative consequence as a result of use of alcohol it is recommend that he obtain an updated assessment and follow all recommendations.

Thank you for the opportunity to assist the court, Mr. Shaffer in this important matter.

Sincerely,

Brian Davis, LISW-S, SAP
cc: Chad Shaffer

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.