

July 10, 2015

State of Kentucky DMV
200 Mero Street
Frankfurt, KY 40622
Attention: Amy

Re: Clinical Alcohol and Drug Assessment for Cody Engnes

Dear DMV Representative:

I performed a Clinical Alcohol and Drug Assessment for Mr. Engnes in reference to his OVI charge in Greenup County in February of 2014. This assessment included the administration of:

- Michigan Alcohol Screening Test (MAST)
- Drug Abuse Screening Test (DAST)
- General anxiety/depression screening
- 50 minute clinical interview by telephone

The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

MAST: *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use (screening appeared valid)

DAST: score = 3 / *NEGATIVE SCREEN*; no direct or indirect indication of problem drug use (screening appeared valid)

DSM/Other Substance Related Concerns: screened for all illicit substances (*marijuana primary*), clinical interview revealed *0 of 11* DSM 5 alcohol or drug related symptoms found.

Anxiety/Depression Screening: no clinically significant concerns reported

Substance Related Legal History Reported: denies any previous history of alcohol or substance related arrests/legal charges

Clinical Interview/Summary: *ALCOHOL:* Mr. Engnes written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol as 2-3 drinks on 1-3 occasions per month. He also acknowledged use of the same amount on special occasions (weddings, birthdays, etc.) or on about 2-4 occasions per year. Mr. Engnes indicated that he stopped all use of alcohol with no plans to resume use after his OVI charge in February of 2014.

DRUG USE: Mr. Engnes' written screenings also provided no indication of a drug use disorder at any level (mild, moderate or severe). He reported that prior to his charges; his regular use of marijuana was one time per week. He also indicated that he has stopped all use of marijuana since his charges with no plans to resume use. Mr. Engnes and I discussed the factors and events of the evening that led to his OVI. He was forthcoming throughout the interview and did admit to marijuana use while in his vehicle. When discussing why he felt that he would be able to remain abstinent from marijuana use as well as why he had chosen abstinence from alcohol, he was able to list positive factors and healthy lifestyle changes he has incorporated on a regular basis. Mr. Engnes seemed to have gained some needed perspective that any regular use of substances would interfere with these lifestyle changes and the obtainable goals he has set for himself. As a result, my clinical opinion is that his desire to attain these goals as well as to maintain these positive changes are a positive deterrent to any future drug related offenses going forward.

It is my understanding that Mr. Engnes has completed a local driver intervention program and has served jail time. I concur with the court's decision regarding this and find this to be sufficient in light of his charges. Accordingly, I have no further treatment recommendations.

DSM 5 DIAGNOSIS in reference to substances: V71.09 (none found)

Treatment Recommendations:

- 1) Abstinence, recommend abstaining from all drug use permanently.
- 2) If another negative consequence is incurred as a result of any alcohol or drug use it is recommended Mr. Engnes seek further evaluation and follow all treatment recommendations.

Thank you for the opportunity to assist the court, Mr. Engnes, and yourself in this important matter.

Sincerely,



Anita McLeod, LCSW, SAP
cc: Cody Engnes

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to

criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.