

July 17, 2014

Christopher A. Connelly, P.A.
101 N. McDowell Street, Suite 104
Charlotte, NC 28204

Re: Clinical Alcohol Assessment for Sean Sullivan

Dear Mr. Connelly:

I performed a Clinical Alcohol Assessment for Mr. Sullivan in reference to his pending DUI charge. This assessment included the administration of: a Substance Abuse Subtle Screening Inventory (SASSI-3), a Michigan Alcohol Screening Test (MAST), an Alcohol Use Disorder Identification Test (AUDIT), an anxiety/depression screening, and a 45 minute face-to-face videoconferenced clinical interview, a review of his Texas driving history (most recent 3 years), and a review of his North Carolina citation (11/14/2013). The following are my findings and recommendations:

AUDIT: score=1 negative screening; scores over 8 indicate hazardous use

MAST: score = 0 negative screening; no direct or indirect indication of problem alcohol use

DSM/Other Substance Related Concerns: denies subtle indicators of problem alcohol use including mild hangovers, mild memory loss after use, repeat use to relieve emotional or work stress, previous legal consequences, previous treatment, conflict with significant others during or shortly after use of alcohol, guilt or regret associated with use

Anxiety/Depression Screening: no clinically significant concerns reported

Substance Related Legal History Reported: denies any previous history of alcohol or substance related arrests/legal charges; incidentally Mr. Sullivan reported that his BAC was somewhere between .10 and .13 for this one and only DUI charge (couldn't recall exact BAC).

Texas Driving Abstract: (last 3 years) 1 item- speeding 10% above posted limit on 2/14/14

Clinical Interview/Summary: Mr. Sullivan's screenings provided no indication of an alcohol use disorder at any level (mild, moderate, or severe). He reported his normal use of alcohol as 1-2 drinks on one occasion (or less) per month. We discussed his DUI incident at length and the circumstances surrounding his choices. Mr. Sullivan appeared to take full responsibility for his actions. He denied any history of alcohol related legal charges and I was unable to find inconsistencies, suspicions or other indications that he met any DSM 5 alcohol use disorder symptoms. An appropriate professional recommendation for him is found below.

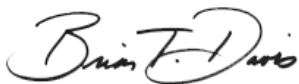
DSM 5 DIAGNOSIS in reference to substances: V71.09 (none found)

Treatment Recommendations:

- 1) **Preventative Education**, recommend completion of a Victim Impact Panel (MADD or other sponsored) or basic alcohol education class if he cannot attend a local Victim Impact Panel; successful completion of an online VIP or basic alcohol class would be sufficient if the court will accept such a resource;
- 2) **If another negative consequence** is incurred as a result of alcohol use it is recommended Mr. Sullivan seek further evaluation and follow all treatment recommendations.

Thank you for the opportunity to assist Mr. Sullivan and the court in this important matter. If you have any additional questions about his condition, please request a signed release of information and I will be glad to assist further.

Sincerely,



Brian Davis, LISW-S, SAP
cc: Sean Sullivan

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.