

November 16, 2016

Integrity Supervision Services, LLC 1060 Cambridge Square, Suite B Alpharetta GA, 30009-1857

Re: Clinical Alcohol and Drug Assessment for Patrick Joseph Serra (DOB – 12/28/1991)

To whom it may concern:

I performed a Clinical Alcohol and Drug Assessment for Mr. Serra in reference to his DUI charge in Fulton County which was reduced to *reckless driving*. This assessment included a clinical interview, and the administration of:

- A Michigan Alcohol Screening Test (MAST)
- A Drug Abuse Screening Test (DAST-10)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

MAST: score = 1 / *NEGATIVE SCREEN*; no direct indication of problem alcohol use, single point related to DUI charge

DAST-10: score = 1 / NEGATIVE SCREEN; scores of 1-2 = low level concern, 3-5 moderate, 6-8 substantial, 9-10 severe

Alcohol Substance Use Risk Factors:

Concerns/Risks
□ previous legal history – denies prior history
□ severity of incident – low severity / .10 BAC with no aggravating circumstances
□ anxiety/depression/mental health – NA
☐ other substance use – NA
□ prior treatment history – NA
□ peer influences– NA
□ work environment – NA
□ contraindicated prescription use – NA
☐ general health problems – NA
☐ current family stress – NA
Summary - 1 of 11 concerns, mitigate concern with educational and/or clinical
recommendations if not already completed

DSM - 5 Alcohol (other substance) Use Symptoms: ☐ Use beyond intention ☐ Persistent desire or unsuccessful efforts to reduce/quit use ☐ Substantial time spent obtaining, using, or recovering from use ☐ Strong craving/desire ☐ Failure to fulfill a major role (work, home, school) ☐ Use despite recurrent social/interpersonal problems ☐ Important social, occupational, recreational activities relinquished ☐ Physically hazardous use ☐ Continued use despite knowledge of contra physical or psychological condition ☐ Tolerance ☐ Withdrawal symptoms Summary - 0 of 11 symptom criteria reported, discovered or suspected in clinical interview

Clinical Interview/Summary:

ALCOHOL – Mr. Serra's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol as 1-2 drinks on 0-1 occasions per week. Since he has not been part of a weekly pool league for the last 3 months he has not consumed any alcohol as that was the normal context for drinking 1-2 beers (12 oz.). He also acknowledged use of 2-3 drinks on rare special occasions, like attending a concert. He specifically identified one occasion (only) such as this in the last 3 years.

Mr. Serra and I discussed his DUI incident and general use of alcohol at length. I did not find him meeting DSM-5 alcohol use disorder criteria but I did note the risk posed by his family history (noted above). I believe this was sufficiently addressed in the 20-hour education program that he completed and has provided proof of to Integrity Supervision.

Mr. Serra also was articulate about a zero-tolerance policy for drinking and driving in the future and has resources on hand which would assure safe travel for himself and the public if he has consumed alcohol in public or needs to travel from his home after consuming alcohol.

NON-PRESCRIPTION DRUG – Mr. Serra acknowledged minimal experimental use of use of marijuana his sophomore year in college (over 3 years ago) but was articulate about his reasons for discontinuing that use. He didn't like that it made him "foggy or tired", and it was not helpful to his academic goals, so he simply stopped accepting when it was offered.

Mr. Serra denied experimentation or use of all other non-prescription substance use categories including: stimulants, hallucinogens, inhalants, opiates, etc. He noted that he has seen the negative outcome for those who have used such substances and expressed that it seemed "idiotic". I found no part of Mr. Serra's report about non-prescription drug use suspect of minimization or deception.



PRESCRIPTION DRUGS COMMONLY MISUSED/ABUSED – Mr. Serra reported the following with regard to prescription use of drugs that are commonly abused.

As a child he was prescribed a variety of stimulants presumably for inattention symptoms. He never liked the effects of these medications and insisted on stopping them by age 13. His parents and physician agreed and he has not used stimulants since then. Furthermore, Mr. Serra noted that he does not believe in prescription medication and therefore avoid use of it in general. He is aware that he will be drug tested before his probation period is complete and he has no concerns or apprehension about taking a drug test. The interview did not reveal hesitation, discrepancy with his written screenings, non-verbal signs of minimization or other suggestions that he was covering a prescription drug use problem.

SUMMARY – In my best clinical judgment, Mr. Serra does not meet criteria for any alcohol or drug use disorder. He reported that he has had no new legal incidents or probation violations which suggest otherwise.

DSM-5 DIAGNOSIS in Reference to Substances: Z03.89 (suspected substance use condition not found)

Treatment Recommendations: Having found no basis for a DSM-5 alcohol or drug use disorder and since Mr. Serra has completed a 20-hour preventative drug and alcohol education program (A-1 Drug and Alcohol in Alpharetta, GA) I have no further recommendations for him at this time.

If you or the court possess additional information about Mr. Serra's use of alcohol or drugs that might impact the outcome of this assessment, I would be happy to review it and consider appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Mr. Serra, and yourself in this important matter.

Sincerely,

Brian T. Davis, LISW-S, SAP

LIC# I-7948

cc: Patrick Joseph Serra

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts

160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.

