

September 8, 2016

Brad Koffel, Attorney at Law
The Koffel Law Firm
1801 Watermark Drive Suite 350
Columbus, Ohio 43219

Re: Clinical Alcohol Assessment for Thomas Jessep (DOB – 01/07/1964)

Dear Mr. Koffel:

I performed a Clinical Alcohol Assessment for Mr. Jessep in reference to his July 2016 OVI charge in Franklin County. This assessment included a 50-minute clinical interview, and the administration of:

- A Substance Abuse Subtle Screening Inventory (SASSI-3)
- A Michigan Alcohol Screening Test (MAST)
- An Alcohol Use Disorder Identification Test (AUDIT)
- A general anxiety/depression screening

The following items provide a summary of the screening results, my diagnostic opinion and professional recommendations:

SASSI-3: scores indicated an overall *low probability* of a moderate to severe alcohol use disorder diagnosis; screening had an elevated DEF score (defensiveness) which increases the likelihood of a missed moderate to severe substance use disorder but may also reflect situational factors

MAST: score = 1 / *NEGATIVE SCREEN*; no direct or indirect indication of problem alcohol use (screen relative to lifetime use of alcohol)

AUDIT: score = 2 / *NEGATIVE SCREEN*; scores of 8 or more indicate *hazardous use*, scores of 15 or more in men indicate *moderate to severe* disorder present (screen relative to use of alcohol within the past 24 months)

Alcohol Use Risk Factors:

- ☐ legal history – denies previous history
- ☐ severity of incident – NA
- ☐ anxiety/depression/mental health – NA
- ☐ general health issues – NA
- ☐ exposure to trauma – NA
- ☐ use of liquor – NA
- ☐ other substance use – NA

- ☐ contraindicated prescription use – NA
- ☒ family history – one immediate family member with problem substance use
- ☐ prior treatment history – NA
- ☐ negative peer influence – NA
- ☐ at-risk work environment – NA
- ☐ current stress (relational, work, etc.) – NA

Summary - 1 of 13 concerns

Clinical Interview/Summary:

ALCOHOL – Mr. Jessep's written screenings provided no indication of an alcohol use disorder at any level (mild, moderate or severe). He reported his normal use of alcohol prior to his July 2016 charge as 1-2 drinks (i.e. domestic beer or glasses of wine) on 3-4 occasions per month. He also acknowledged use of 2-5 drinks on 3-5 special occasions (weddings, birthdays, etc.) per year.

Mr. Jessep and I discussed his July 2016 OVI incident, his historical use of alcohol, and low risk alcohol consumption standards as set forth by the World Health Organization and the National Institute of Alcoholism and Alcohol Abuse. Mr. Jessep's clinical interview revealed 1 positive DSM-5 symptom (see below), but I do not find him meeting full DSM-5 criteria for an alcohol use disorder.

Summary – At present Mr. Jessep does not meet DSM-5 criteria for an alcohol use disorder. I am recommending a standard alcohol education intervention for educational and preventative purposes.

DSM-5 Alcohol Use Symptoms:

- ☒ Recurrent use beyond intention
- ☐ Persistent desire or unsuccessful efforts to reduce/quit use
- ☐ Substantial time spent obtaining, using, or recovering from use
- ☐ Strong craving/desire
- ☐ Recurrent failure to fulfill a major role (work, home, school)
- ☐ Continued use despite recurrent social/interpersonal problems
- ☐ Important social, occupational, recreational activities relinquished
- ☐ Recurrent physically hazardous use
- ☐ Continued use despite knowledge of contraindicated physical or psychological condition
- ☐ Tolerance
- ☐ Withdrawal symptoms

Summary - 1 of 11 symptoms (Mild 2-3 | Moderate 4-5. Severe 6+)

DSM-5 DIAGNOSIS in Reference to Substances: Z03.89 (suspected substance use condition not found)

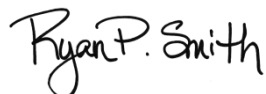
Treatment Recommendations:

- 1) 72 Hour Driver Intervention Program – complete program and provide documentation to the court; recommend Wellness Driver (contact 614-791-8300) or Second Chance Counseling (contact 614-635-9011).

If you or the court possess additional information about Mr. Jessep's use of alcohol that might impact the outcome of this assessment, I would be happy to review it and consider appropriate modifications or amendments to the present report.

Thank you for the opportunity to assist the court, Mr. Jessep, and yourself in this important matter.

Sincerely,



Ryan P. Smith MSW, LISW-S, LICDC, SAP
SW Lic. #I.1000155-S, CD Lic. #101182
cc: Thomas Jessep

Prohibition Against Re-Disclosure: This information has been disclosed to you from records protected by Federal Confidentiality rules. The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 C.F.R Part 2. A general authorization for the release of medical or other information is not sufficient for this purpose. The Federal rules restrict any use of information to criminally investigate or prosecute any alcohol or drug abuse client. Drug abuse patient records are also protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.R.R parts 160 & 165. (These conditions apply to every page disclosed and a copy of this authorization will accompany every disclosure.)

Diagnostic Conclusions / Limitations: Directions Counseling Group provides Clinical Alcohol Assessments based on objective and standardized screenings and interviewing methods as well as self-reported information. Clinical conclusions are made to the best of the professional's ability with data on hand at the time of the assessment. Expanded assessments which involve additional background research, collateral information and third party verification can be provided as an additional service upon request.