

- (1) the company exists; and
- (2) in respect to beneficial owners, the reporting entity has complied with the requirements specified in Part 4.12 of these Rules.

*Existence of the company - collection of minimum information*

4.3.3 An AML/CTF program must include a procedure for the reporting entity to collect, at a minimum, the following KYC information about a company:

- (1) in the case of a domestic company:
  - (a) the full name of the company as registered by ASIC;
  - (b) the full address of the company's registered office;
  - (c) the full address of the company's principal place of business, if any;
  - (d) the ACN issued to the company;
  - (e) whether the company is registered by ASIC as a proprietary or public company; and
  - (f) if the company is registered as a proprietary company, the name of each director of the company;
- (2) in the case of a registered foreign company:
  - (a) the full name of the company as registered by ASIC;
  - (b) the full address of the company's registered office in Australia;
  - (c) the full address of the company's principal place of business in Australia (if any) or the full name and address of the company's local agent in Australia, if any;
  - (d) the ARBN issued to the company;
  - (e) the country in which the company was formed, incorporated or registered;
  - (f) whether the company is registered by the relevant foreign registration body and if so whether it is registered as a private or public company or some other type of company; and
  - (g) if the company is registered as a private company by the relevant foreign registration body - the name of each director of the company;