

controls for the reporting entity to determine:

- (1) what reliable and independent documentation the reporting entity will require for the purpose of verifying the individual's name and date of birth and/or residential address (as the case may be);
- (2) if any other KYC information about an individual is to be verified – what reliable and independent documentation may be used to verify that information;
- (3) whether, and in what circumstances, the reporting entity is prepared to rely upon a copy of a reliable and independent document;
- (4) in what circumstances a reporting entity will take steps to determine whether a document produced about an individual may have been