

(d) the customer is either:

(A) a custodian; or

(B) a nominee of a custodian.

*Note: 'Custodian' and 'nominee of a custodian' are defined in paragraph 4.4.19 of these Rules..*

4.4.6 An AML/CTF program must include appropriate risk-based systems and controls for the reporting entity to determine whether and to what extent, in addition to the KYC information referred to in paragraph 4.4.5, any other