

# AVL Tree

The height of an AVL tree,  $T$ , storing  $n$  items is  
 $O(\log n)$

# Height of an AVL Tree

- Let  $n(h)$  be the minimum number of internal nodes of an AVL tree with height  $h$
- As base cases for a recursive definition, let  $n(1) = 1$ , because an AVL tree of height 1 must have at least one internal node
- $n(2) = 2$ , because an AVL tree of height 2 must have at least two internal nodes

## Find the minimum number of nodes for height, $h$ :

- The root of a tree with height,  $h$  will have as its childrens subtrees as AVL trees
  - What are the possible values for the height of its children subtrees?

- With the minimum number of nodes for height,  $h$ , the root of such a tree will have as its childrens subtrees as AVL trees
  - with the minimum number of nodes for height  $h - 1$  and
  - an AVL tree with the minimum number of nodes for height  $h - 2$
- Why?
- Draw the structure of such an AVL tree.

- In general for  $h \geq 3$ ,  $n(h) = 1 + n(h-1) + n(h-2)$
- The  $n(h)$  values are strictly increasing as  $h$  increases, in a way that corresponds to the Fibonacci sequence
- Note that,  $n(h-1) \geq n(h-2)$ , we approximate here by applying this in the above equation, i.e
- $n(h) \geq n(h-2) + n(h-2) + 1$ 

$$> 2 n(h-2)$$

$$> 4 n(h-4)$$

$$> 8 n(h-6)$$

$$\dots > 2^i n(h - 2i)$$
- When  $i = h/2 - 1$  (even integer),  $n(h) > 2^{(h/2 - 1)} n(2)$
- $n(h) > 2^{h/2}$ , when we substitute  $n(2) = 2$

AVL tree of height  $h$  has at least  $2^{h/2}$  internal nodes (Min),  $\text{Max} = 2^h$

Taking Log on both sides,

$$h/2 < \log n(h)$$

$$h < 2 \log n(h)$$

$$h = O(\log n)$$

$n$  - Number of nodes in an AVL tree

$n(h)$  - Minimum number of internal nodes in an AVL tree of height  $h$

Note that,  $n > n(h)$

$$h = O(\log n)$$

Thus, the height of an AVL tree is,  $O(\log n)$

# Sharper bound on the height of an AVL tree

- ★ Let  $m_h$  be the minimum number of nodes in an AVL tree of height  $h$
- ★ We have  $m_h = 1 + m_{h-1} + m_{h-2}$  (with  $m_0 = 1$  and  $m_1 = 2$ )
- ★ An approximate function is the fibonacci function  $f_i = f_{i-1} + f_{i-2}$
- ★  $m_h = \phi^{h+3} / \sqrt{5} - 1$  where  $\phi$  is  $(1 + \sqrt{5})/2$
- ★  $\log_\phi(m_h) \approx (h + 3) - \log_\phi \sqrt{5}$
- ★  $\log_2(m_h) / \log_2(\phi) \approx (h + 3) - 1.6723$
- ★  $\log_2(m_h) / \log_2(\phi) \approx (h + 3) - 1.6723$
- ★  $\log_2(m_h) / 0.694 \approx (h + 3) - 1.6723$
- ★  $1.44 \log_2(m_h) \approx h + 1.3277$
- ★  $h \approx 1.44 \log_2(m_h) - 1.3277$



# Structure of an AVL tree

Consider an AVL tree on  $n$  nodes.

Consider a leaf node which is closest to the root node.

Suppose this leaf node is at level  $k$ .

We will show that height of the tree is at most  $2k-1$ .  
(considering the ht of the leaf node as 1)

When  $k = 2$ ,  $ht = 3$

$k = 3$ ,  $ht = 5$

$k = 4$ ,  $ht = 7$

## Another Property of an AVL tree

Since the closest leaf is at level  $k$ , all nodes at levels  $k - 2$  have 2 children.

Prove this by contradiction.

Exercise

- In an AVL tree of height  $h$ , the leaf closest to the root is at level at least  $(h+1) / 2$ .
- On the first  $(h-1)/2$  levels the AVL tree is a complete binary tree.
- After  $(h-1)/2$  levels the AVL tree may start thinning out.
- Number of nodes in an AVL tree
  - $2^{(h/2 - 1)} \leq n \leq 2^h$

Smallest AVL tree of height 9:

