

PRD 08: Swampland via Modularity Higher-Form Symmetries

Pure Thought AI Challenge 08

Pure Thought AI Challenges Project

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Abstract

This document presents a comprehensive Product Requirement Document (PRD) for implementing a pure-thought computational challenge. The problem can be tackled using only symbolic mathematics, exact arithmetic, and fresh code—no experimental data or materials databases required until final verification. All results must be accompanied by machine-checkable certificates.

Contents

Domain: Quantum Gravity Particle Physics

Timeline: 9-12 months

Difficulty: High

Prerequisites: Modular forms, CFT, algebraic topology, group cohomology

0.1 1. Problem Statement

0.1.1 Scientific Context

The **Swampland Program** aims to identify which low-energy effective field theories (EFTs) can arise from consistent theories of quantum gravity. Not all quantum field theories that appear consistent (unitary, local, Lorentz-invariant) can be UV-completed into quantum gravity—those that cannot are said to lie in the "swampland."

Recent work suggests that **modular invariance** and **higher-form global symmetries** provide powerful constraints:

- **Modular Bootstrap:** In 2D CFTs with central charge c , modular invariance of the partition function $Z()$ on the torus severely restricts the spectrum. For rational CFTs, the modular S-matrix must be unitary and symmetric.
- **Higher-Form Symmetries:** Modern perspectives on symmetry include p -form global symmetries that act on extended objects (strings, membranes). In theories with compact dimensions, these symmetries are tied to the cohomology of the compactification manifold.
- **Anomaly Matching:** 't Hooft anomaly matching for higher-form symmetries places constraints on the IR spectrum. In theories coupled to gravity, certain global symmetries must be absent or gauged (completeness hypothesis).
- **Cobordism Obstructions:** Topological field theories (TFTs) classified by cobordism groups can have 't Hooft anomalies that obstruct their coupling to gravity. The triviality of certain bordism groups in gravitational theories provides swampland constraints.

0.1.2 Core Question

Can we use modular invariance, higher-form symmetry constraints, and cobordism arguments to prove that certain CFT partition functions cannot arise from consistent quantum gravity theories?

Specifically:

- Given a putative 2D CFT partition function $Z()$, can we certify that it violates gravitational consistency?
- Can we enumerate all rational CFTs at low central charge that are compatible with quantum gravity?
- Can we derive swampland bounds on couplings in higher-dimensional EFTs from CFT constraints?

0.1.3 Why This Matters

Theoretical Impact:

- Provides rigorous, computer-verifiable tests of the swampland program
- Connects abstract CFT mathematics to fundamental questions about quantum gravity
- May reveal universal patterns in the landscape of string compactifications

Practical Benefits:

- Establishes automated tools for checking CFT consistency with gravity
- Generates finite databases of "gravity-compatible" CFTs
- Provides constraints for phenomenological model building beyond the Standard Model

Pure Thought Advantages:

- Modular forms have exact symbolic representations
 - Character theory and representation theory are purely algebraic
 - Cobordism groups can be computed exactly
 - No experimental data required—only mathematical consistency
-

0.2 2. Mathematical Formulation

0.2.1 Problem Definition

Given:

- A candidate 2D CFT with central charges (c, \bar{c})
- A partition function $Z(\tau, \bar{\tau})$ on the torus T^2
- Assumed modular invariance: $Z(\gamma \cdot \tau, \gamma \cdot \bar{\tau}) = Z(\tau, \bar{\tau})$ for $\gamma \in \text{SL}(2, \mathbb{Z})$
- Assumed unitarity: spectrum contains only positive norm states

We seek to determine:

Is this CFT consistent with quantum gravity?

This breaks down into several computable checks:

Check 1: Modular Invariance Certificate The partition function must decompose as:

$$Z(\tau, \bar{\tau}) = \sum_{i,j} n_{ij} \chi_i(\tau) \bar{\chi}_j(\bar{\tau})$$

where:

- $\chi_i(\tau)$ are holomorphic Virasoro characters at central charge c
- $\bar{\chi}_j(\bar{\tau})$ are antiholomorphic characters at central charge c
- $n_{ij} \geq 0$ are non-negative integer multiplicities

Under modular S-transformation ($\tau \rightarrow -1/\tau$):

$$\chi_i(-1/\tau) = \sum_k S_{ik} \chi_k(\tau)$$

The S-matrix must be:

- **Unitary:** $S S^\dagger = I$
- **Symmetric:** $S = S^T$
- **Satisfies** $(ST)^3 = S^2$, where T is the T-matrix ($\tau \rightarrow \tau + 1$)

Certificate: Extract the S-matrix from character transformations, verify unitarity and symmetry using exact rational arithmetic.

Check 2: Global Symmetry Constraints Quantum gravity forbids exact global symmetries. Any apparent global symmetry G must be:

- **Gauged** (becoming a dynamical gauge symmetry), or
- **Explicitly broken** by quantum effects

For a CFT with symmetry group G:

- Compute the 't Hooft anomaly $H^{d+1}(BG, U(1))$
- If $H^{d+1}(BG, U(1))$ is non-trivial, G must be gauged or broken

Certificate: Compute group cohomology $H^*(BG, U(1))$ symbolically. If partition function exhibits exact G-symmetry with non-trivial anomaly, flag as inconsistent.

Check 3: Cobordism Constraints Certain topological phases are obstructed in quantum gravity. For a d-dimensional CFT:

- Compute the bordism group $\Omega_d^{Spin} \text{ relevant to the CFT's symmetry class}$
- Check if the CFT defines a non-trivial element of this group
- If so, verify that this element is trivialized when coupled to gravity

Example: For $d=2$, $\Omega_2^{Spin} \cong \mathbb{Z}$. Non-trivial elements correspond to theories with gravitational anomaly. The

Certificate: Compute bordism invariants using spectral sequences or direct calculation. Extract the relevant characteristic classes from CFT data.

Check 4: Spectrum Positivity For gravity-compatible CFTs:

- The lightest non-vacuum primary must satisfy $h \geq c/12$ (cf. extremality)
- The degeneracy of states at conformal weight h must grow as $\exp(2(ch/6))$
- Twist gaps (J_-) where J_- is the "graviton twist"

Certificate: Extract primary spectrum (h_i, d_i) , compute gaps, verify Cardy growth.

0.2.2 Input/Output Specification

Input:

```

1 class CFTData:
2     c: Fraction          # Central charge (rational)
3     c_bar: Fraction      # Antiholomorphic central charge
4     characters: List[QSeries] # Holomorphic characters as q-series
5     characters_bar: List[QSeries] # Antiholomorphic characters
6     partition_matrix: Matrix[int] # Multiplicities  $n_{ij}$ 
7     symmetry_group: Optional[FiniteGroup] # Global symmetry (if any)

```

Output:

```

1 class SwamplandCertificate:
2     is_consistent: bool
3     violations: List[str] # Human-readable violation messages
4
5     # Certificates
6     s_matrix: Matrix[AlgebraicNumber] # Exact S-matrix
7     s_unitarity_error: Fraction #  $||S - S^\dagger||$ , should be 0
8
9     anomaly_class: Optional[CohomologyElement] # 't Hooft anomaly
10    bordism_class: Optional[BordismElement] # Cobordism invariant
11
12    spectrum: List[Tuple[Fraction, Fraction, int]] # (h, h_bar, degeneracy)
13    min_gap: Fraction # Minimum spectral gap
14
15    # Proof artifacts
16    drat_proof: Optional[Path] # If SAT-based checks used
17    sdp_certificate: Optional[Path] # If SDP used for bounds

```

0.3 3. Implementation Approach

0.3.1 Phase 1: Modular Forms and Character Library (Months 1-2)

Build infrastructure for exact modular arithmetic:

```

1 from sympy import Rational, Symbol, exp, I, pi, sqrt
2 from sympy.abc import q
3 from typing import List, Tuple
4 import mpmath as mp
5
6 class QSeries:

```

```

7      """Power series in  $q = \exp(2\pi i \tau)$  with exact coefficients."""
8
9      def __init__(self, coeffs: List[Rational], offset: Rational):
10         """
11         Represents  $\sum_{n=0}^{\infty} \text{coeffs}[n] q^{(\text{offset} + n)}$ 
12         """
13         self.coeffs = coeffs
14         self.offset = offset # Starting power (can be negative for
15                               # poles)
16
17         def evaluate(self, tau: complex, terms: int = 100) -> complex:
18             """Evaluate at specific  $\tau$  using high precision."""
19             mp.dps = 50
20             q_val = mp.exp(2 * mp.pi * 1j * tau)
21             result = mp.mpc(0)
22             for n, c in enumerate(self.coeffs[:terms]):
23                 result += float(c) * q_val**(float(self.offset) + n)
24             return result
25
26         def modular_transform_S(self) -> 'QSeries':
27             """Apply S:  $\tau \rightarrow -1/\tau$  transformation."""
28             # Implement using Poisson resummation for theta functions
29             # Or lookup table for Virasoro characters
30             raise NotImplementedError
31
32     def virasoro_character(c: Rational, h: Rational, max_terms: int = 200)
33     -> QSeries:
34         """
35         Compute Virasoro character  $\chi_h(q)$  at central charge  $c$ .
36
37          $\chi_h(q) = q^{h - c/24} \text{Tr}_{\mathcal{H}_h}(q^{L_0 - c/24})$ 
38          $= q^{h - c/24} \sum_{n=0}^{\infty} p(n) q^n$ 
39
40         where  $p(q) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$  is Dedekind eta.
41
42         # For minimal models, use Kac table
43         # For general c, use recursion relations for Virasoro descendants
44
45         coeffs = []
46         # Compute using Verma module structure
47         # Include null vector relations for degenerate representations
48
49         return QSeries(coeffs, h - c/24)
50
51     def dedekind_eta_qseries(max_terms: int = 200) -> QSeries:
52         """  $\eta(q) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$  """
53         coeffs = partition_function_coefficients(max_terms)
54         return QSeries(coeffs, Rational(1, 24))

```

Validation: Reproduce known S-matrices for minimal models ($c = 1 - 6/m(m+1)$ for $m=3,4,5,\dots$).

0.3.2 Phase 2: Modular S-Matrix Extraction (Months 2-4)

Implement modular transformations and extract the S-matrix:

```

1 from sympy import Matrix, simplify, algebraic_number
2 from sympy.polys.numberfields import AlgebraicNumber
3
4 def extract_s_matrix(characters: List[QSeries],
5                       c: Rational) -> Matrix[AlgebraicNumber]:
6     """
7     Compute modular S-matrix from character transformations.
8
9      $\chi_i(-1/\tau) = \sum_j S_{ij} \chi_j(\tau)$ 
10
11     Returns exact algebraic S-matrix.
12     """
13     n = len(characters)
14     S = Matrix(n, n, lambda i, j: AlgebraicNumber(0))
15
16     # Evaluate characters at specific values
17     # Use numerical evaluation + PSLQ to find exact algebraic relations
18
19     tau_samples = [
20         mp.mpc(0, 1), #  $\tau = i$ 
21         mp.mpc(0.5, sqrt(3)/2), #  $\tau = \exp(2\pi i/3)$ 
22         # ... more samples
23     ]
24
25     for i, chi_i in enumerate(characters):
26         # Compute  $\chi_i(-1/\tau)$ 
27         transformed_values = [chi_i.evaluate(-1/tau) for tau in
28                               tau_samples]
29
30         # Express as linear combination of  $\chi_j(\tau)$ 
31         char_values = [[chi_j.evaluate(tau) for tau in tau_samples]
32                        for chi_j in characters]
33
34         # Solve linear system to find  $S_{ij}$ 
35         # Use PSLQ to recognize algebraic numbers
36         for j in range(n):
37             S[i, j] = pslq_algebraic(transformed_values, char_values[j])
38
39     return S
40
41 def verify_s_matrix_unitarity(S: Matrix) -> Rational:
42     """
43     Check  $\|S\|_F^2 = n$  using exact arithmetic.
44
45     Returns 0 if S is exactly unitary.
46     """
47     S_dagger = S.conjugate().transpose()
48     product = S * S_dagger
49     identity = Matrix.eye(S.rows)
50
51     error_matrix = product - identity
52     error = sqrt(sum(abs(simplify(x))**2 for x in error_matrix))
53
54     return Rational(0) if error == 0 else error

```

Validation: Check $(ST)^3 = S^2$ for minimal models, verify unitarity exactly.

0.3.3 Phase 3: Higher-Form Symmetry Analysis (Months 4-6)

Implement tools for detecting and classifying global symmetries:

```

1 from sympy.combinatorics import PermutationGroup
2 from sympy.polys.polytools import groebner
3
4 class FiniteGroup:
5     """Finite group specified by generators and relations."""
6     def __init__(self, generators: List, relations: List):
7         self.generators = generators
8         self.relations = relations
9
10    def cohomology_class(self, degree: int) -> 'CohomologyElement':
11        """Compute  $H^d(BG, U(1))$  using spectral sequences."""
12        # Implement Lyndon-Hochschild-Serre spectral sequence
13        # Or use explicit cochain complexes for small groups
14        raise NotImplementedError
15
16    def detect_global_symmetry(partition_matrix: Matrix[int],
17                               s_matrix: Matrix) -> Optional[FiniteGroup]:
18        """
19        Detect global symmetry group from partition function structure.
20
21        Symmetries permute primaries while preserving  $n_{ij}$ .
22        """
23        n = partition_matrix.rows
24
25        # Find permutation group preserving partition_matrix
26        # A symmetry satisfies:  $n_{(i), (j)} = n_{i,j}$ 
27
28        generators = []
29        for perm in permutation_candidates(n):
30            if preserves_matrix(perm, partition_matrix):
31                generators.append(perm)
32
33        if not generators:
34            return None
35
36        G = PermutationGroup(generators)
37        return FiniteGroup.from_permutation_group(G)
38
39    def compute_anomaly(G: FiniteGroup, cft_data: CFTData) ->
40        CohomologyElement:
41        """
42        Compute 't Hooft anomaly  $H^3(BG, U(1))$  for 2D CFT.
43
44        The anomaly is the obstruction to gauging G.
45        """
46        # Extract anomaly from partition function on non-trivial G-bundles
47        # Relate to central charge and conformal weights via modular data
48
49        # For abelian G, use Smith normal form
50        # For non-abelian G, use group cohomology spectral sequence
51
52        return CohomologyElement(...)

```

Test Cases:

- Detect symmetry in Ising model ($c=1/2$)
- Compute anomaly for $SU(2)$ Wess-Zumino-Witten model
- Verify triviality of anomaly after gauging

0.3.4 Phase 4: Cobordism Invariants (Months 6-8)

Implement bordism group calculations:

```

1 from sympy.topology import simplicial_complex
2
3 class BordismElement:
4     """Element of bordism group  $\tilde{\{Spin\}}_d$ ."""
5
6     def __init__(self, dimension: int, characteristic_classes: dict):
7         self.dim = dimension
8         self.classes = characteristic_classes
9
10    def arf_invariant(self) -> int:
11        """Compute Arf invariant for  $d=1$  ( $\tilde{\{Spin\}}_1 = \mathbb{Z}_2$ )."""
12        if self.dim != 1:
13            raise ValueError("Arf invariant only defined in  $d=1$ ")
14        # Use quadratic form on  $H_1$ 
15        return self.classes.get('arf', 0)
16
17    def signature(self) -> int:
18        """For  $d=4k$ , signature/8 mod 2 detects  $\tilde{\{Spin\}}_{4k} = \mathbb{Z}_2$ ."""
19        if self.dim % 4 != 0:
20            return 0
21        return self.classes.get('signature', 0)
22
23 def compute_bordism_invariant(cft_data: CFTData) -> BordismElement:
24     """
25     Extract bordism invariant from CFT partition function.
26
27     For  $d=2$ :  $\tilde{\{Spin\}}_2 = \mathbb{Z}_{24}$  detected by gravitational anomaly c
28     mod 24
29     """
30     c = cft_data.c
31     c_bar = cft_data.c_bar
32
33     # Gravitational anomaly:  $c - c_{\text{bar}}$  must be divisible by 24
34     grav_anomaly = (c - c_bar) % 24
35
36     if grav_anomaly != 0:
37         # Non-trivial element of  $\tilde{\{Spin\}}_2$ 
38         return BordismElement(2, {'anomaly': int(grav_anomaly)})
39
40     return BordismElement(2, {'anomaly': 0})
41
42 def check_trivialization_in_gravity(bordism_elem: BordismElement) ->
43     bool:
44     """

```

```

43     Check if bordism obstruction is trivialized when coupled to gravity.
44
45     In pure gravity (no matter), certain bordism groups become trivial.
46     """
47     if bordism_elem.dim == 2:
48         # In 2D quantum gravity,  $c - c = 0$  required (no gravitational
49         # anomaly)
50         return bordism_elem.classes.get('anomaly', 0) == 0
51
52     # Implement checks for higher dimensions
53     return True

```

Validation:

- Verify $Spin\ 2 = 2 \bmod 24$
- Check consistency for (2,2) superconformal theories ($c = c$)
- Test non-compact bosonization ($c=1$)

0.3.5 Phase 5: Integrated Swampland Checker (Months 8-10)

Combine all checks into a unified verification tool:

```

1  def swampland_check(cft_data: CFTData) -> SwamplandCertificate:
2      """
3      Comprehensive swampland consistency check.
4
5      Returns certificate documenting all checks and violations.
6      """
7      cert = SwamplandCertificate(is_consistent=True, violations=[])
8
9      # Check 1: Modular invariance
10     cert.s_matrix = extract_s_matrix(cft_data.characters, cft_data.c)
11     cert.s_unitarity_error = verify_s_matrix_unitarity(cert.s_matrix)
12
13     if cert.s_unitarity_error != Rational(0):
14         cert.is_consistent = False
15         cert.violations.append(f"S-matrix not unitary: error = {cert.s_unitarity_error}")
16
17     # Check 2: Global symmetries
18     if cft_data.symmetry_group:
19         G = cft_data.symmetry_group
20         cert.anomaly_class = compute_anomaly(G, cft_data)
21
22         if not cert.anomaly_class.is_trivial():
23             # Non-trivial anomaly: must gauge or break
24             cert.violations.append(
25                 f"Exact global symmetry {G} with non-trivial anomaly "
26                 f"{cert.anomaly_class} forbidden in quantum gravity"
27             )
28             cert.is_consistent = False
29
30     # Check 3: Bordism obstructions
31     cert.bordism_class = compute_bordism_invariant(cft_data)

```

```

32
33     if not check_trivialization_in_gravity(cert.bordism_class):
34         cert.is_consistent = False
35         cert.violations.append(
36             f"Non-trivial bordism class {cert.bordism_class} not
              trivialized by gravity"
37         )
38
39     # Check 4: Spectrum positivity
40     cert.spectrum = extract_spectrum(cft_data)
41     cert.min_gap = compute_min_gap(cert.spectrum)
42
43     h_min = min(h for h, h_bar, deg in cert.spectrum if h > 0)
44     if h_min > cft_data.c / 12:
45         cert.violations.append(f"Spectral gap h_min = {h_min} > c/12 =
              {cft_data.c/12}")
46         # This is a warning, not necessarily a hard constraint
47
48     # Check 5: Cardy growth
49     if not verify_cardy_growth(cert.spectrum, cft_data.c):
50         cert.violations.append("Spectrum does not exhibit Cardy growth")
51         cert.is_consistent = False
52
53     return cert
54
55 def extract_spectrum(cft_data: CFTData) -> List[Tuple[Fraction,
Fraction, int]]:
56     """Extract primary spectrum from partition function."""
57     spectrum = []
58
59     # Parse partition matrix to identify primaries
60     for i in range(len(cft_data.characters)):
61         for j in range(len(cft_data.characters_bar)):
62             if cft_data.partition_matrix[i, j] > 0:
63                 h_i = extract_conformal_weight(cft_data.characters[i])
64                 h_bar_j =
                     extract_conformal_weight(cft_data.characters_bar[j])
65                 deg = cft_data.partition_matrix[i, j]
66                 spectrum.append((h_i, h_bar_j, deg))
67
68     return spectrum

```

0.3.6 Phase 6: Database Generation and Publication (Months 10-12)

Generate complete catalogs of gravity-compatible CFTs:

```

1 def enumerate_rational_cfts(c_max: Rational,
2                             max_primaries: int = 10) -> List[CFTData]:
3     """
4     Enumerate all rational CFTs with c      c_max passing swampland
        checks.
5     """
6     candidates = []
7
8     # Iterate over rational central charges
9     for c_num in range(1, int(c_max * 24) + 1):

```

```

10     c = Rational(c_num, 24)
11
12     # Generate candidate partition functions
13     # Use modular invariance to constrain search
14     for partition_matrix in
15         generate_modular_invariant_partitions(c, max_primaries):
16         cft_data = CFTData(
17             c=c, c_bar=c,
18             characters=virasoro_characters_at_c(c),
19             characters_bar=virasoro_characters_at_c(c),
20             partition_matrix=partition_matrix,
21             symmetry_group=detect_global_symmetry(partition_matrix)
22         )
23
24         cert = swampland_check(cft_data)
25         if cert.is_consistent:
26             candidates.append(cft_data)
27
28     return candidates
29
30 def export_database(cfts: List[CFTData], output_path: Path):
31     """Export to JSON with exact arithmetic."""
32     import json
33
34     data = {
35         'timestamp': datetime.now().isoformat(),
36         'count': len(cfts),
37         'cfts': [
38             {
39                 'c': f"{cft.c.p}/{cft.c.q}",
40                 'num_primaries': len(cft.characters),
41                 's_matrix': [[str(x) for x in row] for row in
42                             cft.s_matrix.tolist()],
43                 'spectrum': [(f"{h.p}/{h.q}", f"{hb.p}/{hb.q}", d)
44                             for h, hb, d in extract_spectrum(cft)]
45             }
46             for cft in cfts
47         ]
48     }
49
50     output_path.write_text(json.dumps(data, indent=2))

```

0.4 4. Example Starting Prompt

```

1 You are a theoretical physicist specializing in quantum gravity and
2 conformal field theory.
3 Your task is to implement a swampland consistency checker for 2D CFTs
4 using modular
5 invariance, higher-form symmetries, and cobordism obstructions.
6
7 OBJECTIVE: Determine which rational CFTs at central charge c = 2 are
8 consistent with
9 quantum gravity.

```

```

7
8 PHASE 1 (Months 1-2): Build modular forms library
9 - Implement exact Virasoro character computations using q-series
10 - Code Dedekind eta function and modular transformations
11 - Validate against minimal models ( $c = 1 - 6/(m(m+1))$ ) for  $m = 3, 4, 5$ 
12
13 PHASE 2 (Months 2-4): Extract modular S-matrices
14 - Implement S-transformation ( $\tau \mapsto -1/\tau$ ) for characters
15 - Use PSLQ to recognize exact algebraic S-matrix entries
16 - Verify unitarity:  $||S - S^\dagger|| = 0$  using exact arithmetic
17 - Check modular identities:  $(ST)^3 = S$ 
18
19 PHASE 3 (Months 4-6): Analyze higher-form symmetries
20 - Detect global symmetry groups from partition function structure
21 - Implement group cohomology computations for  $H^3(BG, U(1))$ 
22 - Compute 't Hooft anomalies and check gauging obstructions
23
24 PHASE 4 (Months 6-8): Compute cobordism invariants
25 - Calculate gravitational anomaly:  $(c - c_{grav}) \bmod 24$ 
26 - Determine bordism class in  $\Omega_{Spin}^{-1} = \mathbb{Z}_2$ 
27 - Verify trivialization when coupled to gravity
28
29 PHASE 5 (Months 8-10): Integrate all checks
30 - Build unified swampland_check(cft_data) function
31 - Generate SwamplandCertificate with all verification data
32 - Export certificates as JSON with exact arithmetic
33
34 PHASE 6 (Months 10-12): Generate databases
35 - Enumerate all rational CFTs with  $c \leq 2$ 
36 - Apply swampland checks to filter gravity-compatible theories
37 - Export complete database with S-matrices and spectra
38
39 SUCCESS CRITERIA:
40 - MVR: Successfully reproduce S-matrices for minimal models, verify
    unitarity
41 - Strong: Detect and classify global symmetries, compute anomalies for
     $c \leq 1$ 
42 - Publication: Complete database of gravity-compatible CFTs at  $c \leq 2$ 
    with certificates
43
44 VERIFICATION:
45 - All S-matrices verified unitary using exact arithmetic (error = 0)
46 - All anomalies and bordism invariants computed symbolically
47 - Certificates exported as JSON with rational/algebraic numbers
48 - Results cross-checked against known CFT classifications
49
50 Use only symbolic computation (sympy, mpmath with 100+ digit
    precision). Generate
51 machine-checkable certificates for all consistency checks.

```

0.5 5. Success Criteria

0.5.1 Minimum Viable Result (MVR)

Within 2-4 months, the system should:

- **Modular Bootstrap Library:**
- Compute Virasoro characters for $c = 1/2, 7/10, 4/5, 1$ (minimal models)
- Extract exact S-matrices for Ising ($c=1/2$), 3-state Potts ($c=4/5$)
- Verify S-unitarity with error = 0 using rational arithmetic
- **Basic Swampland Checks:**
- Detect $U(1)$ symmetry in Ising model
- Verify $(c - \bar{c}) \bmod 24 = 0$ for diagonal theories
- Flag theories with exact global symmetries
- **Validation:**
- Reproduce 5+ known minimal model S-matrices from literature
- All unitarity checks pass with exact zero error

Deliverable: `swampland_minimal.py` with basic checks, JSON output for 5 validated CFTs

0.5.2 Strong Result

Within 6-8 months, add:

- **Higher-Form Symmetry Analysis:**
- Compute $H^3(\text{BG}, U(1))$ for cyclic groups $G = \mathbb{Z}/n\mathbb{Z}$
- Detect and classify anomalies in all minimal models ($c < 1$)
- Verify anomaly trivialization for gauged theories (e.g., orbifolds)
- **Extended Database:**
- Enumerate all rational CFTs with $c \leq 1.5$
- Apply full swampland checks to 50+ candidate theories
- Export database with S-matrices, spectra, anomaly classes
- **Cobordism Calculations:**
- Compute Arf invariants for all minimal models
- Verify gravitational anomaly constraints for (2,2) SCFTs
- Implement checks for non-diagonal modular invariants

Metrics:

- Database contains 50+ verified gravity-compatible CFTs
- All anomaly classes computed exactly (symbolic cohomology)
- Cross-validation: reproduce Gaiotto-Johnson-Freyd classification results

0.5.3 Publication-Quality Result

Within 9-12 months, achieve:

- **Complete $c = 2$ Classification:**
 - Enumerate all modular-invariant partition functions at $c = 2$
 - Apply swampland checks to 200+ candidates
 - Identify novel gravity-compatible CFTs not in existing literature
- **Non-Abelian Symmetries:**
 - Extend anomaly calculations to non-abelian groups (A, S, etc.)
 - Compute anomalies for WZW models at low levels
 - Verify completeness hypothesis for finite gauge groups
- **Higher-Dimensional Extensions:**
 - Generalize to 3D CFTs via F-maximization and a-maximization
 - Implement 4D cobordism checks ($\mathcal{C}_4^{Spin} =$)
- Connect to EFT positivity bounds in $d > 2$
- **Formal Verification:**
 - Translate key theorems to Lean/Isabelle
 - Formally verify S-matrix unitarity and modular identities
 - Generate computer-checkable proofs of swampland constraints

Publication Potential:

- "Computational Classification of Gravity-Compatible 2D CFTs"
- "Modular Bootstrap Meets the Swampland: Exact Results at $c = 2$ "
- "Automated Swampland Checks via Higher-Form Symmetries"

Impact: Provides first complete, computer-verified catalog of 2D CFTs consistent with quantum gravity.

0.6 6. Verification Protocol

0.6.1 Automated Checks

```

1 def verify_swampland_certificate(cert: SwamplandCertificate) -> bool:
2     """
3     Verify all claims in swampland certificate using independent checks.
4     """
5     checks_passed = []
6
7     # Check 1: S-matrix unitarity
8     S = cert.s_matrix
9     unitarity_check = (S * S.conjugate().transpose() ==
10                        Matrix.eye(S.rows))
11     checks_passed.append(('S-unitarity', unitarity_check))
12
13     # Check 2: Modular identities
14     # Compute T-matrix from character transformations +1
15     T = extract_t_matrix(cert)
16     st_cubed_check = ((S * T)**3 == S**2)
17     checks_passed.append(('(ST)^3 = S^2', st_cubed_check))
18
19     # Check 3: Anomaly calculation
20     if cert.anomaly_class:
21         # Recompute anomaly independently
22         anomaly_recomputed = compute_anomaly_independent(cert)
23         checks_passed.append(('Anomaly', cert.anomaly_class ==
24                                anomaly_recomputed))
25
26     # Check 4: Bordism invariant
27     bordism_recomputed =
28         compute_bordism_invariant_from_spectrum(cert.spectrum)
29     checks_passed.append(('Bordism', cert.bordism_class ==
30                            bordism_recomputed))
31
32     # Check 5: Cardy formula
33     if cert.spectrum:
34         cardy_check = verify_cardy_asymptotic(cert.spectrum, cert.c)
35         checks_passed.append(('Cardy growth', cardy_check))
36
37     print("Verification Results:")
38     for check_name, passed in checks_passed:
39         status = "PASS" if passed else "FAIL"
40         print(f" {status}: {check_name}")
41
42     return all(passed for _, passed in checks_passed)

```

0.6.2 Cross-Validation

Compare against known results:

- Minimal Models: ADE classification (Cappelli-Itzykson-Zuber)
- WZW Models: Kac-Moody S-matrices (Kac-Peterson)
- Orbifolds: Check against Dixon-Ginsparg-Harvey results

- **Swampland Literature:** Verify constraints from McNamara-Vafa, Ooguri-Vafa

0.6.3 Exported Artifacts

For each verified CFT:

- **Certificate JSON:**

```

1 {
2   "cft_id": "minimal_m3_Ising",
3   "c": "1/2",
4   "num primaries": 3,
5   "s_matrix": [
6     ["1/2", "1/2", "1/sqrt(2)"],
7     ["1/2", "1/2", "-1/sqrt(2)"],
8     ["1/sqrt(2)", "-1/sqrt(2)", "0"]
9   ],
10  "unitarity_error": "0",
11  "symmetry_group": "Z2",
12  "anomaly_class": "trivial",
13  "bordism_class": "0 in Z2",
14  "spectrum": [
15    {"h": "0", "h_bar": "0", "degeneracy": 1, "label": "vacuum"},
16    {"h": "1/16", "h_bar": "1/16", "degeneracy": 1, "label":
17      "sigma"},
18    {"h": "1/2", "h_bar": "1/2", "degeneracy": 1, "label":
19      "epsilon"}
20  ],
21  "is_consistent": true,
22  "violations": []
23 }
```

- **S-Matrix Verification Certificate** (symbolic proof of unitarity)
- **Cohomology Computation Log** (spectral sequence pages for anomaly)
- **Comparison Report** (vs. known literature results)

0.7 7. Resources Milestones

0.7.1 Key References

Modular Bootstrap:

- Cardy (1986): "Operator Content of Two-Dimensional Conformally Invariant Theories"
- Cappelli, Itzykson, Zuber (1987): "Modular Invariant Partition Functions"
- Friedan, Shenker (2024): "2D Modular Bootstrap"

Higher-Form Symmetries:

- Gaiotto, Kapustin, Seiberg, Willett (2015): "Generalized Global Symmetries"
- Córdova, Dumitrescu, Intriligator (2019): "Exploring 2-Group Global Symmetries"

Swampland Program:

- Vafa (2005): "The String Landscape and the Swampland"
- Ooguri, Vafa (2006): "Non-supersymmetric AdS and the Swampland"
- McNamara, Vafa (2019): "Cobordism Classes and the Swampland"

Cobordism and TFT:

- Freed, Hopkins (2021): "Reflection Positivity and Invertible Topological Phases"
- Johnson-Freyd (2020): "Topological Mathieu Moonshine"

0.7.2 Common Pitfalls

- **Numerical Precision in Modular Transformations:**
 - Problem: S-matrix extraction requires high-precision evaluation
 - Solution: Use mpmath with 100+ digits, PSLQ for exact recognition
- **Group Cohomology for Large Groups:**
 - Problem: $H^3(BG, U(1))$ is hard to compute for non-abelian G
 - Solution: Use Lyndon-Hochschild-Serre spectral sequence, implement in GAP
- **Partition Function Enumeration:**
 - Problem: Exponentially many candidate partition matrices
 - Solution: Use modular invariance to prune search space, SAT solver for constraints
- **Spectral Sequence Convergence:**
 - Problem: Cohomology spectral sequences may not stabilize quickly
 - Solution: Bound spectral sequence pages using representation theory

0.7.3 Milestone Checklist

Month 2: Modular forms library complete, 5 minimal models validated
 Month 4: S-matrix extraction working, unitarity verified for 10+ CFTs
 Month 6: Anomaly calculations for cyclic groups, database of 20 CFTs
 Month 8: Cobordism invariants computed, borderline cases identified
 Month 10: Integrated swampland checker, 50+ CFTs verified
 Month 12: Complete $c = 2$ classification, publication draft ready

0.8 8. Extensions and Open Questions**0.8.1 Immediate Extensions**

- **3D CFTs and F-Maximization:** Extend swampland checks to supersymmetric 3D CFTs using exact results from localization

- **4D EFT Positivity Bounds:** Connect CFT swampland constraints to Wilson coefficient bounds in 4D effective field theories
- **Machine Learning for Partition Function Search:** Train models to predict modular-invariant partition matrices

0.8.2 Research Frontiers

- **Non-Rational CFTs:** Can we extend swampland checks to non-rational CFTs (irrational central charge)?
- **Holographic Duality:** What is the AdS gravity dual interpretation of swampland constraints?
- **Quantum Error Correction:** Do gravity-compatible CFTs have special properties as quantum codes?

0.8.3 Long-Term Vision

Build a Swampland Database covering:

- 2D CFTs (rational and non-rational)
- 3D SCFTs
- 4D $N=2$ SCFTs
- 6D (2,0) theories

All verified by automated modular bootstrap and higher-form symmetry checks, providing a computational foundation for the swampland program.

End of PRD 08