

# Bell Inequalities and Quantum Nonlocality

A Pure Thought Approach to Foundations of Quantum Mechanics

PRD 22: Quantum Information Theory

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## Abstract

Bell inequalities provide the mathematical framework for testing quantum mechanics against local hidden variable theories, constituting one of the most profound insights in modern physics. This report presents a comprehensive treatment of Bell's theorem, the CHSH inequality and its Tsirelson bound of  $2\sqrt{2}$ , the NPA hierarchy for computing quantum bounds via semidefinite programming, facet enumeration of the local polytope, and device-independent certification protocols. We develop complete Python implementations for computing local and quantum bounds, generating machine-checkable certificates via SDP duality, and certifying randomness and entanglement dimension from observed Bell violations. This pure thought approach enables rigorous analysis of quantum nonlocality without experimental data, producing certificates verifiable through convex optimization and linear algebra.

## Contents

# 1 Introduction

## Pure Thought Challenge

**Central Challenge:** Given a Bell scenario  $(N, M, d)$  with  $N$  parties,  $M$  measurements per party, and  $d$  outcomes each, enumerate all tight Bell inequalities, compute quantum bounds via the NPA hierarchy, find optimal quantum strategies, and certify device-independent properties from observed correlations—all with machine-checkable certificates.

## 1.1 Historical Context and Physical Motivation

In 1935, Einstein, Podolsky, and Rosen (EPR) published their famous paper questioning the completeness of quantum mechanics. They argued that if quantum mechanics were complete, then “spooky action at a distance” would be required—measurements on one particle could instantaneously affect the state of a distant entangled partner. Their resolution was to postulate the existence of “hidden variables” that predetermine measurement outcomes, restoring both locality and realism.

For nearly three decades, this debate remained philosophical. Then in 1964, John Stewart Bell made one of the most significant contributions to physics: he showed that the predictions of any local hidden variable (LHV) theory must satisfy certain inequalities—now called **Bell inequalities**—while quantum mechanics predicts violations of these constraints.

## Physical Insight

**Bell’s Revolutionary Insight:** The question “Is quantum mechanics complete?” can be transformed into an experimentally testable prediction. Local hidden variable theories predict  $S \leq 2$  for the CHSH parameter, while quantum mechanics predicts  $S \leq 2\sqrt{2} \approx 2.828$ . The difference is measurable!

Experiments by Aspect (1982), and loophole-free tests by Hensen et al. (2015) and Giustina et al. (2015), have definitively confirmed quantum mechanical predictions. The 2022 Nobel Prize in Physics was awarded to Aspect, Clauser, and Zeilinger for their experimental work establishing Bell inequality violations.

## 1.2 Why This Matters

Bell inequalities are not merely of foundational interest. They enable:

1. **Device-Independent Cryptography:** Security proofs that do not trust the measurement devices
2. **Certified Randomness:** Generating random bits guaranteed by the laws of physics
3. **Entanglement Witnesses:** Detecting and quantifying quantum entanglement
4. **Dimension Witnesses:** Lower-bounding the Hilbert space dimension of quantum systems
5. **Self-Testing:** Characterizing quantum states and measurements from correlations alone

### 1.3 Pure Thought Advantages

This problem is ideally suited for pure thought investigation:

- **Certificate-Based:** All bounds come with SDP dual certificates (optimality proofs)
- **Exact Arithmetic:** Bell inequality coefficients are rational; use symbolic computation
- **Convergent Hierarchy:** NPA provides systematic approximation to quantum set
- **Convex Geometry:** Local polytope amenable to vertex/facet enumeration
- **No Experimental Noise:** Pure mathematical analysis avoids detector inefficiencies

## 2 Bell's Theorem and Local Hidden Variables

### 2.1 The Bell Scenario

**Definition 2.1** (Bell Scenario). A **Bell scenario** is specified by a triple  $(N, M, d)$  where:

- $N$  = number of spatially separated parties
- $M$  = number of measurement settings per party
- $d$  = number of outcomes per measurement

Each party  $i$  chooses a measurement  $x_i \in \{0, 1, \dots, M-1\}$  and observes an outcome  $a_i \in \{0, 1, \dots, d-1\}$ .

**Definition 2.2** (Correlation). A **correlation** (or behavior) is a conditional probability distribution:

$$P(a_1, a_2, \dots, a_N | x_1, x_2, \dots, x_N) \in [0, 1] \quad (1)$$

satisfying normalization:

$$\sum_{a_1, \dots, a_N} P(a_1, \dots, a_N | x_1, \dots, x_N) = 1 \quad \forall x_1, \dots, x_N \quad (2)$$

The total number of probabilities in a correlation is  $(Md)^N$ , but normalization reduces the degrees of freedom.

### 2.2 Local Hidden Variable Models

**Definition 2.3** (Local Hidden Variable (LHV) Model). A correlation  $P$  admits a **local hidden variable model** if there exists:

- A hidden variable  $\lambda$  with probability distribution  $p(\lambda)$
- Local response functions  $P(a_i | x_i, \lambda)$  for each party

such that:

$$P(a_1, \dots, a_N | x_1, \dots, x_N) = \int d\lambda p(\lambda) \prod_{i=1}^N P(a_i | x_i, \lambda) \quad (3)$$

### Physical Insight

**Locality:** Each party's outcome depends only on their measurement choice and the shared hidden variable  $\lambda$ —not on distant parties' choices or outcomes. This captures the intuition that influences cannot propagate faster than light.

**Definition 2.4** (Deterministic Strategy). A **deterministic strategy** assigns a definite outcome for each measurement:

$$D_{a_1 \dots a_N | x_1 \dots x_N} = \begin{cases} 1 & \text{if } a_i = f_i(x_i) \text{ for all } i \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

where  $f_i : \{0, \dots, M-1\} \rightarrow \{0, \dots, d-1\}$  is party  $i$ 's response function.

**Theorem 2.5** (Local Polytope). The set of local correlations  $\mathcal{L}$  forms a convex polytope:

$$\mathcal{L} = \text{conv}\{D : D \text{ is a deterministic strategy}\} \quad (5)$$

The vertices are exactly the deterministic strategies, of which there are  $(d^M)^N$ .

*Proof.* Every LHV correlation can be written as:

$$P = \int d\lambda p(\lambda) \prod_i P(a_i | x_i, \lambda) \quad (6)$$

This is a convex combination over  $\lambda$ . For each fixed  $\lambda$ , the product  $\prod_i P(a_i | x_i, \lambda)$  is itself a convex combination of deterministic strategies (by considering the extreme points of each factor). Thus  $\mathcal{L}$  is the convex hull of deterministic strategies. It is a polytope since there are finitely many such strategies.  $\square$

## 2.3 Bell Inequalities

**Definition 2.6** (Bell Inequality). A **Bell inequality** is specified by:

- Coefficients  $\beta_{a_1 \dots a_N, x_1 \dots x_N} \in \mathbb{R}$
- A local bound  $\beta_L \in \mathbb{R}$

The inequality states:

$$\sum_{a,x} \beta_{a,x} P(a|x) \leq \beta_L \quad \forall P \in \mathcal{L} \quad (7)$$

**Definition 2.7** (Tight (Facet) Bell Inequality). A Bell inequality is **tight** or a **facet** if it defines a facet of the local polytope  $\mathcal{L}$ . This means the inequality is saturated by a maximal set of affinely independent vertices.

**Theorem 2.8** (Bell's Theorem). There exist quantum correlations  $P_Q$  and Bell inequalities such that:

$$\sum_{a,x} \beta_{a,x} P_Q(a|x) > \beta_L \quad (8)$$

That is, quantum mechanics violates Bell inequalities—no LHV model can reproduce all quantum predictions.

Bell's theorem is one of the most profound results in physics. It shows that quantum mechanics is fundamentally incompatible with the worldview of local realism that underlies classical physics.

### 3 The CHSH Inequality

#### 3.1 Definition and Structure

The most famous Bell inequality is the **CHSH inequality** (Clauser-Horne-Shimony-Holt, 1969), applicable to the  $(2, 2, 2)$  scenario: two parties (Alice and Bob), two measurements each, binary outcomes.

**Definition 3.1** (CHSH Correlator). *For binary outcomes  $a, b \in \{0, 1\}$ , define the expectation value:*

$$E(A_x B_y) = \sum_{a, b \in \{0, 1\}} (-1)^{a+b} P(a, b | x, y) \quad (9)$$

*This equals  $P(a = b | x, y) - P(a \neq b | x, y)$ .*

**Definition 3.2** (CHSH Parameter). *The **CHSH parameter** is:*

$$S = E(A_0 B_0) + E(A_0 B_1) + E(A_1 B_0) - E(A_1 B_1) \quad (10)$$

#### Key Theorem

**CHSH Inequality:** For all local hidden variable models:

$$S \leq 2 \quad (11)$$

This bound is tight—it is achieved by deterministic strategies.

*Proof.* For any deterministic strategy, Alice outputs  $a_0, a_1 \in \{0, 1\}$  for measurements 0, 1, and Bob outputs  $b_0, b_1 \in \{0, 1\}$ . The correlator becomes:

$$E(A_x B_y) = (-1)^{a_x + b_y} \quad (12)$$

Thus:

$$S = (-1)^{a_0 + b_0} + (-1)^{a_0 + b_1} + (-1)^{a_1 + b_0} - (-1)^{a_1 + b_1} \quad (13)$$

$$= (-1)^{a_0} [(-1)^{b_0} + (-1)^{b_1}] + (-1)^{a_1} [(-1)^{b_0} - (-1)^{b_1}] \quad (14)$$

If  $b_0 = b_1$ : first bracket is  $\pm 2$ , second is 0, so  $|S| = 2$ .

If  $b_0 \neq b_1$ : first bracket is 0, second is  $\pm 2$ , so  $|S| = 2$ .

Thus  $S \in \{-2, +2\}$  for all deterministic strategies. By convexity,  $|S| \leq 2$  for all LHV correlations. Maximum  $S = 2$  is achieved when  $a_0 = a_1 = b_0 = 0, b_1 = 1$  (for example).  $\square$

#### 3.2 Exhaustive Verification of Local Bound

Listing 1: Exhaustive Verification of CHSH Local Bound

```
1 import numpy as np
2 from dataclasses import dataclass
```

```

3 from typing import List, Dict, Tuple
4 import itertools
5
6 @dataclass
7 class BellScenario:
8     """Specification of a Bell scenario."""
9     N: int # Number of parties
10    M: int # Measurements per party
11    d: int # Outcomes per measurement
12
13    @property
14    def num_deterministic_strategies(self) -> int:
15        """Total number of deterministic strategies."""
16        return (self.d ** self.M) ** self.N
17
18    @property
19    def probability_space_dim(self) -> int:
20        """Dimension of probability distribution space."""
21        return (self.M ** self.N) * (self.d ** self.N)
22
23    def decode_deterministic_strategy(scenario: BellScenario,
24                                     strategy_index: int) ->
25                                     List[Dict[int, int]]:
26        """
27        Decode strategy index into response functions.
28        Returns: List of dictionaries {measurement: outcome} for each
29        party.
30        """
31        n_strat_per_party = scenario.d ** scenario.M
32        functions = []
33
34        temp = strategy_index
35        for party in range(scenario.N):
36            party_strat = temp % n_strat_per_party
37            temp //= n_strat_per_party
38
39            # Decode party's function: f(x) for x in {0, ..., M-1}
40            f = {}
41            temp2 = party_strat
42            for x in range(scenario.M):
43                f[x] = temp2 % scenario.d
44                temp2 //= scenario.d
45            functions.append(f)
46
47        return functions
48
49    def compute_chsh_correlator(P: np.ndarray) -> float:
50        """
51        Compute CHSH parameter S from correlation P[a, b, x, y].
52        S = E(A_0 B_0) + E(A_0 B_1) + E(A_1 B_0) - E(A_1 B_1)
53        """
54        def expectation(x: int, y: int) -> float:
55            E = 0.0
56            for a in [0, 1]:
57                for b in [0, 1]:
58                    E += (-1)**(a + b) * P[a, b, x, y]

```

```

59         return E
60
61     S = expectation(0, 0) + expectation(0, 1) + expectation(1, 0) -
        expectation(1, 1)
62     return S
63
64 def verify_chsh_local_bound() -> Dict:
65     """
66     Verify CHSH local bound  $S \leq 2$  by checking all 16 deterministic
        strategies.
67     """
68     scenario = BellScenario(N=2, M=2, d=2)
69
70     all_S_values = []
71     max_S = -np.inf
72     maximizing_strategies = []
73
74     for strat_idx in range(scenario.num_deterministic_strategies):
75         functions = decode_deterministic_strategy(scenario,
            strat_idx)
76
77         # Build deterministic correlation  $P[a, b, x, y]$ 
78         P = np.zeros((2, 2, 2, 2))
79         for x in range(2):
80             for y in range(2):
81                 a_det = functions[0][x] # Alice's output
82                 b_det = functions[1][y] # Bob's output
83                 P[a_det, b_det, x, y] = 1.0
84
85         S = compute_chsh_correlator(P)
86         all_S_values.append(S)
87
88         if S > max_S:
89             max_S = S
90             maximizing_strategies = [(strat_idx, functions)]
91         elif np.isclose(S, max_S):
92             maximizing_strategies.append((strat_idx, functions))
93
94     return {
95         'local_bound': max_S,
96         'all_S_values': all_S_values,
97         'unique_S_values': sorted(set(all_S_values)),
98         'maximizing_strategies': maximizing_strategies,
99         'is_exactly_2': np.isclose(max_S, 2.0),
100         'num_strategies_checked':
            scenario.num_deterministic_strategies
101     }
102
103 # Verification
104 result = verify_chsh_local_bound()
105 print(f"CHSH Local Bound Verification:")
106 print(f"  Max S over all deterministic strategies:
        {result['local_bound']}")
107 print(f"  Unique S values: {result['unique_S_values']}")
108 print(f"  Number achieving S=2:
        {len(result['maximizing_strategies'])}")
109 print(f"  Verified  $S \leq 2$ : {result['is_exactly_2']}")

```

## 4 Tsirelson Bound $2\sqrt{2}$

### 4.1 Quantum Correlations

**Definition 4.1** (Quantum Correlation). *A correlation  $P$  is **quantum** if there exists:*

- A Hilbert space  $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B$  (for bipartite)
- A quantum state  $\rho$  on  $\mathcal{H}$
- POVM elements  $\{M_a^{(x)}\}$  for Alice and  $\{N_b^{(y)}\}$  for Bob

such that:

$$P(a, b|x, y) = \text{tr} \left( \rho \cdot M_a^{(x)} \otimes N_b^{(y)} \right) \quad (15)$$

**Definition 4.2** (Quantum Set). *The set of quantum correlations is denoted  $\mathcal{Q}$ . We have the strict inclusions:*

$$\mathcal{L} \subsetneq \mathcal{Q} \subsetneq \mathcal{NS} \quad (16)$$

where  $\mathcal{NS}$  is the no-signaling set (correlations respecting no faster-than-light communication).

### 4.2 The Tsirelson Bound

#### Key Theorem

**Tsirelson's Theorem (1980):** For all quantum correlations:

$$S \leq 2\sqrt{2} \approx 2.828 \quad (17)$$

This bound is tight—it is achieved by the singlet state with optimal measurements.

*Proof.* Consider projective measurements  $A_x = A_x^\dagger$  with  $A_x^2 = I$  (outcomes  $\pm 1$ ). Then:

$$E(A_x B_y) = \langle \psi | A_x \otimes B_y | \psi \rangle \quad (18)$$

Define the CHSH operator:

$$\mathcal{B} = A_0 \otimes (B_0 + B_1) + A_1 \otimes (B_0 - B_1) \quad (19)$$

Compute  $\mathcal{B}^2$ :

$$\mathcal{B}^2 = A_0^2 \otimes (B_0 + B_1)^2 + A_1^2 \otimes (B_0 - B_1)^2 \quad (20)$$

$$+ A_0 A_1 \otimes (B_0 + B_1)(B_0 - B_1) + A_1 A_0 \otimes (B_0 - B_1)(B_0 + B_1) \quad (21)$$

$$= I \otimes (2I + \{B_0, B_1\}) + I \otimes (2I - \{B_0, B_1\}) \quad (22)$$

$$+ \{A_0, A_1\} \otimes [B_0, B_1] \quad (23)$$

Using  $A_x^2 = B_y^2 = I$  and  $(B_0 + B_1)^2 = 2I + \{B_0, B_1\}$ :

$$\mathcal{B}^2 = 4I \otimes I + \{A_0, A_1\} \otimes [B_0, B_1] \quad (24)$$

Since  $\|\{A_0, A_1\}\| \leq 2$  and  $\|[B_0, B_1]\| \leq 2$ :

$$\|\mathcal{B}^2\| \leq 4 + 4 = 8 \implies \|\mathcal{B}\| \leq 2\sqrt{2} \quad (25)$$

Thus  $S = \langle \psi | \mathcal{B} | \psi \rangle \leq 2\sqrt{2}$ .  $\square$



### 4.3 Optimal Quantum Strategy: The Singlet State

**Definition 4.3** (Singlet State). *The **singlet state** (or Bell state  $|\Phi^-\rangle$ ) is:*

$$|\psi^-\rangle = \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle) \quad (26)$$

**Theorem 4.4** (Optimal CHSH Strategy). *The Tsirelson bound  $S = 2\sqrt{2}$  is achieved by:*

- *State:*  $|\psi^-\rangle$
- *Alice's measurements:*  $A_0 = \sigma_z, A_1 = \sigma_x$
- *Bob's measurements:*  $B_0 = \frac{\sigma_z + \sigma_x}{\sqrt{2}}, B_1 = \frac{\sigma_z - \sigma_x}{\sqrt{2}}$

*Proof.* For the singlet state,  $\langle \psi^- | \vec{a} \cdot \vec{\sigma} \otimes \vec{b} \cdot \vec{\sigma} | \psi^- \rangle = -\vec{a} \cdot \vec{b}$ .

With angles  $\theta_{A_0} = 0, \theta_{A_1} = \pi/2, \theta_{B_0} = \pi/4, \theta_{B_1} = -\pi/4$ :

$$E(A_0 B_0) = -\cos(\pi/4) = -\frac{1}{\sqrt{2}} \quad (27)$$

$$E(A_0 B_1) = -\cos(-\pi/4) = -\frac{1}{\sqrt{2}} \quad (28)$$

$$E(A_1 B_0) = -\cos(\pi/2 - \pi/4) = -\frac{1}{\sqrt{2}} \quad (29)$$

$$E(A_1 B_1) = -\cos(\pi/2 + \pi/4) = +\frac{1}{\sqrt{2}} \quad (30)$$

Wait, let me recalculate with the anti-correlation convention. For the singlet:

$$E(A_x B_y) = -\cos(\theta_{A_x} - \theta_{B_y}) \quad (31)$$

With  $\theta_{A_0} = 0, \theta_{A_1} = \pi/2, \theta_{B_0} = \pi/4, \theta_{B_1} = -\pi/4$ :

$$E(A_0 B_0) = -\cos(0 - \pi/4) = -\frac{1}{\sqrt{2}} \quad (32)$$

$$E(A_0 B_1) = -\cos(0 - (-\pi/4)) = -\frac{1}{\sqrt{2}} \quad (33)$$

$$E(A_1 B_0) = -\cos(\pi/2 - \pi/4) = -\frac{1}{\sqrt{2}} \quad (34)$$

$$E(A_1 B_1) = -\cos(\pi/2 - (-\pi/4)) = -\cos(3\pi/4) = +\frac{1}{\sqrt{2}} \quad (35)$$

Thus:

$$S = -\frac{1}{\sqrt{2}} - \frac{1}{\sqrt{2}} - \frac{1}{\sqrt{2}} - \left(+\frac{1}{\sqrt{2}}\right) = -\frac{4}{\sqrt{2}} = -2\sqrt{2} \quad (36)$$

The magnitude is  $|S| = 2\sqrt{2}$ . With a different sign convention or angle choice, we get  $S = +2\sqrt{2}$ .  $\square$

Listing 2: Optimal Quantum Strategy for CHSH

```

1 import numpy as np
2
3 def optimal_chsh_quantum_strategy() -> Dict:
4     """
5     Compute the optimal quantum strategy achieving Tsirelson bound.
6 
```

```

7     Returns state, measurements, and achieved CHSH value.
8     """
9     # Singlet state  $|\psi\rangle = (|01\rangle - |10\rangle)/\sqrt{2}$ 
10    psi = np.array([0, 1, -1, 0], dtype=complex) / np.sqrt(2)
11
12    # Pauli matrices
13    sigma_x = np.array([[0, 1], [1, 0]], dtype=complex)
14    sigma_y = np.array([[0, -1j], [1j, 0]], dtype=complex)
15    sigma_z = np.array([[1, 0], [0, -1]], dtype=complex)
16    I2 = np.eye(2, dtype=complex)
17
18    def measurement_in_xz_plane(theta: float) -> np.ndarray:
19        """Measurement operator at angle theta in XZ plane."""
20        return np.cos(theta) * sigma_z + np.sin(theta) * sigma_x
21
22    # Optimal angles
23    theta_A0 = 0
24    theta_A1 = np.pi / 2
25    theta_B0 = np.pi / 4
26    theta_B1 = -np.pi / 4
27
28    # Measurement operators (eigenvalues +1, -1)
29    A = [measurement_in_xz_plane(theta_A0),
30         measurement_in_xz_plane(theta_A1)]
31    B = [measurement_in_xz_plane(theta_B0),
32         measurement_in_xz_plane(theta_B1)]
33
34    # Compute expectation values  $E(A_x B_y) = \langle \psi | A_x \otimes B_y | \psi \rangle$ 
35    def expectation(x: int, y: int) -> float:
36        op = np.kron(A[x], B[y])
37        return np.real(psi.conj() @ op @ psi)
38
39    E00 = expectation(0, 0)
40    E01 = expectation(0, 1)
41    E10 = expectation(1, 0)
42    E11 = expectation(1, 1)
43
44    S = E00 + E01 + E10 - E11
45
46    # Build full probability distribution  $P[a, b, x, y]$ 
47    P = np.zeros((2, 2, 2, 2))
48    for x in [0, 1]:
49        for y in [0, 1]:
50            for a in [0, 1]:
51                for b in [0, 1]:
52                    # Projectors onto +1, -1 eigenspaces
53                    proj_A = (I2 + ((-1)**a) * A[x]) / 2
54                    proj_B = (I2 + ((-1)**b) * B[y]) / 2
55                    M = np.kron(proj_A, proj_B)
56                    P[a, b, x, y] = np.real(psi.conj() @ M @ psi)
57
58    return {
59        'state': psi,
60        'measurements_A': A,
61        'measurements_B': B,
62        'angles': {'A0': theta_A0, 'A1': theta_A1, 'B0': theta_B0,
63                  'B1': theta_B1},

```

```

61         'expectation_values': {'E00': E00, 'E01': E01, 'E10': E10,
62                                'E11': E11},
63         'chsh_value': S,
64         'tsirelson_bound': 2 * np.sqrt(2),
65         'correlations': P,
66         'achieves_tsirelson': np.isclose(abs(S), 2 * np.sqrt(2))
67     }
68 result = optimal_chsh_quantum_strategy()
69 print(f"Optimal Quantum Strategy:")
70 print(f"  CHSH value S = {result['chsh_value']:.10f}")
71 print(f"  Tsirelson bound = {result['tsirelson_bound']:.10f}")
72 print(f"  Achieves Tsirelson bound: {result['achieves_tsirelson']}")
73 print(f"  Expectation values:
74      E00={result['expectation_values']['E00']:.6f}, " +
75      f"E01={result['expectation_values']['E01']:.6f}, " +
76      f"E10={result['expectation_values']['E10']:.6f}, " +
77      f"E11={result['expectation_values']['E11']:.6f}")

```

## 5 NPA Hierarchy for Quantum Bounds

### 5.1 The Characterization Problem

A fundamental question in quantum information is: given a Bell inequality with coefficients  $\beta$ , what is the maximum quantum value  $\beta_Q$ ? This is the **Tsirelson problem**.

Direct optimization over quantum states and measurements is non-convex and difficult. The **NPA hierarchy** (Navascués-Pironio-Acín, 2007-2008) provides a systematic approach via semidefinite programming.

### 5.2 Moment Matrix Formulation

**Definition 5.1** (Operator Sequence). An *operator sequence* is a formal product of measurement operators:

$$S = A_{a_1, x_1}^{(1)} A_{a_2, x_2}^{(2)} \cdots A_{a_k, x_k}^{(k)} \quad (37)$$

where  $A_{a,x}^{(i)}$  is the projector for party  $i$ , measurement  $x$ , outcome  $a$ .

**Definition 5.2** (Moment Matrix). The *moment matrix*  $\Gamma$  is indexed by operator sequences  $S, T$ :

$$\Gamma_{S,T} = \langle \psi | S^\dagger T | \psi \rangle \quad (38)$$

This represents the correlation between sequences  $S$  and  $T$  in the quantum state.

**Definition 5.3** (NPA Level). At *level  $k$*  of the NPA hierarchy, the moment matrix  $\Gamma^{(k)}$  is indexed by all operator sequences of length at most  $k$ . The set of correlations consistent with level  $k$  is denoted  $\mathcal{Q}_k$ .

### 5.3 NPA Constraints

**Theorem 5.4** (NPA Relaxation). The moment matrix must satisfy:

1. **Positivity:**  $\Gamma \succeq 0$  (positive semidefinite)
2. **Normalization:**  $\Gamma_{\emptyset, \emptyset} = 1$
3. **Hermiticity:**  $\Gamma_{S,T} = \overline{\Gamma_{T,S}}$

4. **Commutativity:** If  $S$  and  $T$  involve disjoint parties,  $\Gamma_{ST,U} = \Gamma_{TS,U}$

5. **Projector relations:**  $A^2 = A$ ,  $\sum_a A_a^x = I$

6. **Orthogonality:**  $A_a^x A_{a'}^x = \delta_{a,a'} A_a^x$

**Theorem 5.5** (NPA Convergence). *The NPA hierarchy converges to the quantum set:*

$$\mathcal{Q}_1 \supseteq \mathcal{Q}_2 \supseteq \cdots \supseteq \mathcal{Q} \quad \text{with} \quad \bigcap_{k=1}^{\infty} \mathcal{Q}_k = \mathcal{Q} \quad (39)$$

### Physical Insight

**Key Insight:** At each level  $k$ , maximizing a Bell inequality subject to NPA constraints is a semidefinite program (SDP). SDPs can be solved efficiently to arbitrary precision, giving systematic upper bounds on quantum correlations.

## 5.4 SDP Formulation for CHSH

Listing 3: NPA Hierarchy Implementation for CHSH

```

1 import cvxpy as cp
2 import numpy as np
3 from typing import Dict, List, Tuple, Optional
4
5 def npa_chsh_level1() -> Dict:
6     """
7     NPA level 1 (1+AB) SDP for CHSH inequality.
8
9     Moment matrix indexed by: {I, A0, A1, B0, B1}
10    Plus two-body correlators: {A0B0, A0B1, A1B0, A1B1}
11
12    Returns optimal quantum bound and certificate.
13    """
14    # Variables: correlators and moment matrix
15    # For dichotomic observables (eigenvalues +1, -1):
16    # <A_x> = 2*P(a=0|x) - 1 (expectation of observable)
17    # <A_x B_y> = E(A_x, B_y) = sum_{a,b} (-1)^{a+b} P(ab|xy)
18
19    # At level 1+AB, we have 9 operators: I, A0, A1, B0, B1, A0B0,
20    # A0B1, A1B0, A1B1
21    # Moment matrix is 9x9
22
23    n = 9 # Number of operators at level 1+AB
24
25    # Index mapping
26    idx = {'I': 0, 'A0': 1, 'A1': 2, 'B0': 3, 'B1': 4,
27           'A0B0': 5, 'A0B1': 6, 'A1B0': 7, 'A1B1': 8}
28
29    # Moment matrix variable
30    Gamma = cp.Variable((n, n), symmetric=True)
31
32    constraints = []
33
34    # 1. Positive semidefinite
35    constraints.append(Gamma >> 0)

```

```

35
36 # 2. Normalization:  $\langle I/I \rangle = 1$ 
37 constraints.append(Gamma[idx['I'], idx['I']] == 1)
38
39 # 3. Dichotomic observables:  $A^2 = I \Rightarrow \langle A/A \rangle = \langle I/I \rangle = 1$ 
40 for op in ['A0', 'A1', 'B0', 'B1']:
41     constraints.append(Gamma[idx[op], idx[op]] == 1)
42
43 # 4.  $(AB)^2 = A^2 B^2 = I$  for commuting  $A, B$ 
44 for op in ['AOB0', 'AOB1', 'A1B0', 'A1B1']:
45     constraints.append(Gamma[idx[op], idx[op]] == 1)
46
47 # 5. Commutativity:  $[A, B] = 0 \Rightarrow AB = BA$ 
48 # Already implicit in symmetric matrix with same index for  $AB$ 
49 # and  $BA$ 
50
51 # 6. Product constraints:  $\langle A/AB \rangle = \langle I/B \rangle * \langle A/A \rangle / \langle I/I \rangle = \langle B \rangle$ 
52 # for  $A^2 = I$ 
53 # More precisely:  $\langle A_x / A_x B_y \rangle = \langle I / B_y \rangle$  since  $A_x^2 = I$ 
54 constraints.append(Gamma[idx['A0'], idx['AOB0']] ==
55     Gamma[idx['I'], idx['B0']])
56 constraints.append(Gamma[idx['A0'], idx['AOB1']] ==
57     Gamma[idx['I'], idx['B1']])
58 constraints.append(Gamma[idx['A1'], idx['A1B0']] ==
59     Gamma[idx['I'], idx['B0']])
60 constraints.append(Gamma[idx['A1'], idx['A1B1']] ==
61     Gamma[idx['I'], idx['B1']])
62
63 # Similarly:  $\langle B_y / A_x B_y \rangle = \langle A_x \rangle$ 
64 constraints.append(Gamma[idx['B0'], idx['AOB0']] ==
65     Gamma[idx['I'], idx['A0']])
66 constraints.append(Gamma[idx['B1'], idx['AOB1']] ==
67     Gamma[idx['I'], idx['A0']])
68 constraints.append(Gamma[idx['B0'], idx['A1B0']] ==
69     Gamma[idx['I'], idx['A1']])
70 constraints.append(Gamma[idx['B1'], idx['A1B1']] ==
71     Gamma[idx['I'], idx['A1']])
72
73 # 7.  $\langle A_x B_y / A_{x'} B_{y'} \rangle$  for  $x \neq x'$  or  $y \neq y'$  -- complex
74 # constraints
75 # At level 1+AB, need:  $\langle AOB0 / AOB1 \rangle = \langle B0 / B1 \rangle * \langle A0/A0 \rangle =$ 
76 #  $\langle B0/B1 \rangle$ 
77 constraints.append(Gamma[idx['AOB0'], idx['AOB1']] ==
78     Gamma[idx['B0'], idx['B1']])
79 constraints.append(Gamma[idx['AOB0'], idx['A1B0']] ==
80     Gamma[idx['A0'], idx['A1']])
81 constraints.append(Gamma[idx['AOB1'], idx['A1B1']] ==
82     Gamma[idx['A0'], idx['A1']])
83 constraints.append(Gamma[idx['A1B0'], idx['A1B1']] ==
84     Gamma[idx['B0'], idx['B1']])
85
86 # Cross terms
87 constraints.append(Gamma[idx['AOB0'], idx['A1B1']] ==
88     Gamma[idx['A0'], idx['A1']] * Gamma[idx['B0'],
89     idx['B1']])
90 constraints.append(Gamma[idx['AOB1'], idx['A1B0']] ==
91     Gamma[idx['A0'], idx['A1']] * Gamma[idx['B1'],
92     idx['B0']])

```

```

75
76     # Objective: maximize  $S = \langle A_0 B_0 \rangle + \langle A_0 B_1 \rangle + \langle A_1 B_0 \rangle - \langle A_1 B_1 \rangle$ 
77     #  $\langle A x B y \rangle = \text{Gamma}[I, A x B y]$ 
78     S = (Gamma[idx['I'], idx['A0B0']] + Gamma[idx['I'], idx['A0B1']]
79           + Gamma[idx['I'], idx['A1B0']] - Gamma[idx['I'], idx['A1B1']])
80
81     # Solve SDP
82     problem = cp.Problem(cp.Maximize(S), constraints)
83
84     try:
85         problem.solve(solver=cp.SCS, verbose=False)
86     except:
87         problem.solve(solver=cp.ECOS, verbose=False)
88
89     return {
90         'quantum_bound': problem.value,
91         'tsirelson_bound': 2 * np.sqrt(2),
92         'status': problem.status,
93         'gap': abs(problem.value - 2 * np.sqrt(2)),
94         'moment_matrix': Gamma.value,
95         'level': '1+AB'
96     }
97
98 def npa_general(scenario: BellScenario, bell_coeffs: np.ndarray,
99                level: int = 1) -> Dict:
100     """
101     General NPA hierarchy implementation.
102
103     Args:
104         scenario: Bell scenario (N, M, d)
105         bell_coeffs: Coefficients  $\beta[a, x]$  of Bell functional
106         level: NPA hierarchy level
107
108     Returns: Quantum bound and SDP certificate
109     """
110     # Generate operator sequences at given level
111     operators = generate_npa_operators(scenario, level)
112     n_ops = len(operators)
113
114     # Index mapping
115     op_to_idx = {op: i for i, op in enumerate(operators)}
116
117     # Moment matrix
118     Gamma = cp.Variable((n_ops, n_ops), PSD=True)
119
120     constraints = []
121
122     # Normalization
123     constraints.append(Gamma[0, 0] == 1) # Identity operator
124
125     # Add NPA constraints based on operator algebra
126     constraints.extend(generate_npa_constraints(Gamma, operators,
127                                                op_to_idx, scenario))
128
129     # Bell functional objective
130     objective = build_bell_objective(Gamma, bell_coeffs, operators,
131                                     op_to_idx, scenario)

```

```

130
131     problem = cp.Problem(cp.Maximize(objective), constraints)
132     problem.solve(solver=cp.SCS, verbose=False)
133
134     return {
135         'quantum_bound': problem.value,
136         'status': problem.status,
137         'level': level,
138         'n_operators': n_ops,
139         'moment_matrix': Gamma.value
140     }
141
142 def generate_npa_operators(scenario: BellScenario, level: int) ->
List[Tuple]:
143     """Generate operator sequences up to given level."""
144     operators = [()] # Identity
145
146     # Single operators for each party
147     single_ops = []
148     for party in range(scenario.N):
149         for x in range(scenario.M):
150             for a in range(scenario.d - 1): # d-1 projectors (last
determined by sum=I)
151                 single_ops.append(((party, x, a),))
152
153     operators.extend(single_ops)
154
155     # Higher level products
156     if level >= 2:
157         from itertools import combinations_with_replacement
158         for k in range(2, level + 1):
159             for combo in combinations_with_replacement(single_ops,
160                                                         k):
161                 # Flatten and check commutation rules
162                 new_op = tuple(sum([list(op) for op in combo], []))
163                 # Simplify using commutation (different parties
commute)
164                 new_op = simplify_operator(new_op, scenario)
165                 if new_op not in operators:
166                     operators.append(new_op)
167
168     return operators
169
170 def simplify_operator(op: Tuple, scenario: BellScenario) -> Tuple:
171     """Simplify operator sequence using algebra rules."""
172     if len(op) <= 1:
173         return op
174
175     # Sort by party (since different parties commute)
176     op_list = list(op)
177     op_list.sort(key=lambda x: x[0]) # Sort by party index
178
179     # Reduce same-party products:  $A_a^x A_{a'}^x = \delta_{a,a'} A_a^x$ 
180     # This requires more sophisticated handling
181
182     return tuple(op_list)
183
184 # Example usage

```

```

184 result = npa_chsh_level1()
185 print(f"NPA Level 1+AB for CHSH:")
186 print(f"   Quantum bound: {result['quantum_bound']:.10f}")
187 print(f"   Tsirelson bound: {result['tsirelson_bound']:.10f}")
188 print(f"   Gap: {result['gap']:.2e}")
189 print(f"   Status: {result['status']}")

```

## 6 Local Polytope and Facet Enumeration

### 6.1 Polytope Structure

**Definition 6.1** (Local Polytope for  $(2,2,2)$ ). *For the CHSH scenario, the local polytope  $\mathcal{L}$  has:*

- 16 vertices (deterministic strategies)
- 8 facets in the full probability space (excluding normalization)
- 8 facets are the  $\pm$  versions of CHSH and permutations

**Theorem 6.2** (CHSH as Unique Facet Type). *Up to local isometries (relabeling parties, measurements, outcomes), the CHSH inequality is the **only** non-trivial facet of the  $(2,2,2)$  local polytope.*

### 6.2 Vertex Enumeration Algorithm

Listing 4: Local Polytope Vertex and Facet Enumeration

```

1 import numpy as np
2 from scipy.spatial import ConvexHull
3 import sympy as sp
4 from typing import List, Dict
5
6 def enumerate_local_polytope_vertices(scenario: BellScenario) ->
  np.ndarray:
7     """
8     Generate all vertices (deterministic strategies) of local
9     polytope.
10
11     Returns: Array of shape (n_vertices, dim_probability) where each
12              row
13              is a deterministic correlation.
14     """
15     n_strat_per_party = scenario.d ** scenario.M
16     n_vertices = n_strat_per_party ** scenario.N
17
18     # Compute probability space dimension (after removing
19     # normalization)
20     # Full:  $M^N$  inputs,  $d^N$  outputs each
21     # After normalization:  $(d-1) * d^{N-1}$  free probabilities per
22     # input
23     dim_full = (scenario.M ** scenario.N) * (scenario.d **

```



```

24     # Decode strategy
25     functions = decode_deterministic_strategy(scenario,
26         strat_idx)
27
28     # Build probability vector  $P[a_1, \dots, a_N, x_1, \dots, x_N]$ 
29     P = np.zeros(dim_full)
30
31     idx = 0
32     for inputs in itertools.product(range(scenario.M),
33         repeat=scenario.N):
34         for outputs in itertools.product(range(scenario.d),
35             repeat=scenario.N):
36             # Check if outputs match deterministic functions
37             match = all(outputs[i] == functions[i][inputs[i]]
38                 for i in range(scenario.N))
39             P[idx] = 1.0 if match else 0.0
40             idx += 1
41
42     vertices.append(P)
43
44     return np.array(vertices)
45
46 def enumerate_facets(vertices: np.ndarray) -> List[Dict]:
47     """
48     Enumerate all facets of convex hull of vertices.
49
50     Returns: List of facet inequalities as {coefficients, bound}.
51     """
52     # Compute convex hull
53     try:
54         hull = ConvexHull(vertices)
55     except:
56         # Degenerate case: points are not in general position
57         # Add small perturbation
58         vertices_perturbed = vertices + 1e-10 *
59             np.random.randn(*vertices.shape)
60         hull = ConvexHull(vertices_perturbed)
61
62     facets = []
63
64     for i, equation in enumerate(hull.equations):
65         # equation =  $[a_1, a_2, \dots, a_n, b]$  where  $a \cdot x + b \leq 0$ 
66         # Convert to  $a \cdot x \leq -b$ 
67         coeffs = equation[:-1]
68         bound = -equation[-1]
69
70         # Find vertices on this facet
71         distances = vertices @ coeffs + equation[-1]
72         on_facet = np.where(np.abs(distances) < 1e-8)[0]
73
74         # Convert to exact rationals
75         coeffs_rational = [sp.Rational(c).limit_denominator(10**8)
76             for c in coeffs]
77         bound_rational = sp.Rational(bound).limit_denominator(10**8)
78
79         facets.append({
80             'coefficients': coeffs_rational,
81             'bound': bound_rational,

```

```

78         'vertices_on_facet': on_facet.tolist(),
79         'n_vertices_on_facet': len(on_facet)
80     })
81
82     return facets
83
84 def identify_chsh_facets(facets: List[Dict], scenario: BellScenario)
-> List[int]:
85     """
86     Identify which facets correspond to CHSH inequality (up to
      sign/permutation).
87
88     CHSH has coefficients that give  $S = E_{00} + E_{01} + E_{10} - E_{11}$ .
89     """
90     chsh_facet_indices = []
91
92     # Expected structure: 4 non-zero correlator coefficients with
      pattern +1,+1,+1,-1
93     for i, facet in enumerate(facets):
94         coeffs = [float(c) for c in facet['coefficients']]
95
96         # Check if this is a CHSH-type facet
97         # CHSH involves only the correlator terms  $E(A_x B_y)$ 
98         # In probability space,  $E(A_x B_y) = P(00|xy) - P(01|xy) -$ 
       $P(10|xy) + P(11|xy)$ 
99
100        # Simplified check: count pattern of coefficients
101        nonzero = np.sum(np.abs(coeffs) > 1e-6)
102
103        # CHSH-type if pattern matches (this is a heuristic)
104        if nonzero == 4 or nonzero == 8: # Depends on representation
105            chsh_facet_indices.append(i)
106
107    return chsh_facet_indices
108
109 def verify_facet_as_bell_inequality(facet: Dict, scenario:
BellScenario,
110                                     vertices: np.ndarray) -> Dict:
111     """
112     Verify a facet is a valid Bell inequality by checking:
113     1. All vertices satisfy the inequality
114     2. At least  $\dim(L)$  vertices saturate the bound (tight)
115     """
116     coeffs = np.array([float(c) for c in facet['coefficients']])
117     bound = float(facet['bound'])
118
119     values = vertices @ coeffs
120
121     max_value = np.max(values)
122     n_saturating = np.sum(np.abs(values - max_value) < 1e-8)
123
124     # Check local bound matches
125     bound_matches = np.isclose(max_value, bound, rtol=1e-6)
126
127     # Check all vertices satisfy inequality
128     all_satisfy = np.all(values <= bound + 1e-8)
129
130     return {

```

```

131         'is_valid': bound_matches and all_satisfy,
132         'local_bound': max_value,
133         'claimed_bound': bound,
134         'n_vertices_saturating': n_saturating,
135         'all_satisfy': all_satisfy
136     }
137
138     # Example: Enumerate (2,2,2) polytope
139     scenario_222 = BellScenario(N=2, M=2, d=2)
140     vertices = enumerate_local_polytope_vertices(scenario_222)
141     print(f"Local polytope for (2,2,2):")
142     print(f"    Number of vertices: {len(vertices)}")
143     print(f"    Probability space dimension: {vertices.shape[1]}")
144
145     # Note: Full facet enumeration requires proper handling of
146     # the correlation vs probability space representation

```

### 6.3 Correlation Space Representation

**Definition 6.3** (Correlation Space). *For efficiency, work in the **correlation space** rather than probability space. For  $(2, 2, 2)$ :*

$$\vec{c} = (E_{00}, E_{01}, E_{10}, E_{11}) \in [-1, 1]^4 \quad (40)$$

where  $E_{xy} = \langle A_x B_y \rangle$ .

**Theorem 6.4** (Local Polytope in Correlation Space). *In the correlation space, the  $(2, 2, 2)$  local polytope is the hypercube  $[-1, 1]^4$  intersected with 8 CHSH-type half-spaces:*

$$\pm E_{00} \pm E_{01} \pm E_{10} \pm E_{11} \leq 2 \quad (\text{odd number of minus signs}) \quad (41)$$

## 7 Device-Independent Randomness Certification

### 7.1 Motivation

#### Physical Insight

**The Remarkable Implication:** A Bell violation certifies that measurement outcomes are **intrinsically random**—they cannot be predetermined by any hidden variable, even one controlled by an adversary. This is **device-independent**: it holds regardless of how the devices are constructed.

**Definition 7.1** (Min-Entropy). *The **min-entropy** of a random variable  $A$  conditioned on side information  $E$  is:*

$$H_{\min}(A|E) = -\log_2 \max_a P(A = a|E) \quad (42)$$

*This quantifies the unpredictability of  $A$  to an adversary with information  $E$ .*

### 7.2 Randomness from CHSH Violation

**Theorem 7.2** (Acín et al., 2012). *For a CHSH violation  $S$ , the min-entropy of Alice's output  $A_0$  conditioned on any quantum side information  $E$  is bounded by:*

$$H_{\min}(A_0|E) \geq 1 - h\left(\frac{1 + \sqrt{(S/2)^2 - 1}}{2}\right) \quad (43)$$

where  $h(x) = -x \log_2 x - (1-x) \log_2(1-x)$  is the binary entropy.

**Corollary 7.3.** At Tsirelson bound  $S = 2\sqrt{2}$ :

$$H_{\min}(A_0|E) \geq 1 - h\left(\frac{1+1/\sqrt{2}}{2}\right) \approx 0.228 \text{ bits} \quad (44)$$

Listing 5: Device-Independent Randomness Certification

```

1 import numpy as np
2 from typing import Dict
3
4 def binary_entropy(p: float) -> float:
5     """Binary entropy  $h(p) = -p \log_2(p) - (1-p) \log_2(1-p)$ ."""
6     if p <= 0 or p >= 1:
7         return 0.0
8     return -p * np.log2(p) - (1 - p) * np.log2(1 - p)
9
10 def certify_randomness_chsh(S: float) -> Dict:
11     """
12     Certify device-independent randomness from CHSH violation.
13
14     Uses Acin et al. (2012) bound:
15      $H_{\min}(A_0 | E) \geq 1 - h((1 + \sqrt{(S/2)^2 - 1}) / 2)$ 
16
17     Args:
18         S: Observed CHSH value (should be > 2 for randomness)
19
20     Returns: Certified min-entropy and related quantities.
21     """
22     # Check for Bell violation
23     if S <= 2.0:
24         return {
25             'min_entropy': 0.0,
26             'chsh_value': S,
27             'certified': False,
28             'reason': 'No Bell violation (S <= 2)'
29         }
30
31     # Check physical bound
32     if S > 2 * np.sqrt(2) + 1e-6:
33         return {
34             'min_entropy': 0.0,
35             'chsh_value': S,
36             'certified': False,
37             'reason': f'Exceeds Tsirelson bound (S > 2*sqrt(2))'
38         }
39
40     # Compute guessing probability
41     #  $p_{\text{guess}} = (1 + \sqrt{(S/2)^2 - 1}) / 2$ 
42     s_half = S / 2
43     if s_half**2 < 1:
44         return {
45             'min_entropy': 0.0,
46             'chsh_value': S,
47             'certified': False,
48             'reason': 'Insufficient violation'
49         }

```

```

50
51     sqrt_term = np.sqrt(s_half**2 - 1)
52     p_guess = (1 + sqrt_term) / 2
53
54     # Min-entropy bound
55     H_min = 1 - binary_entropy(p_guess)
56
57     # Maximum achievable at Tsirelson bound
58     S_tsirelson = 2 * np.sqrt(2)
59     sqrt_term_max = np.sqrt((S_tsirelson/2)**2 - 1)
60     p_guess_max = (1 + sqrt_term_max) / 2
61     H_min_max = 1 - binary_entropy(p_guess_max)
62
63     return {
64         'min_entropy': H_min,
65         'bits_per_round': H_min,
66         'chsh_value': S,
67         'guessing_probability': p_guess,
68         'certified': True,
69         'max_possible_entropy': H_min_max,
70         'fraction_of_max': H_min / H_min_max if H_min_max > 0 else 0
71     }
72
73 def randomness_rate_vs_violation() -> Dict:
74     """
75     Compute randomness rate as function of CHSH violation.
76     """
77     S_values = np.linspace(2.001, 2 * np.sqrt(2), 100)
78     H_values = []
79
80     for S in S_values:
81         result = certify_randomness_chsh(S)
82         H_values.append(result['min_entropy'])
83
84     return {
85         'S_values': S_values.tolist(),
86         'H_min_values': H_values,
87         'tsirelson_point': (2 * np.sqrt(2),
88                             certify_randomness_chsh(2*np.sqrt(2))['min_entropy'])
89     }
90
91 # Examples
92 print("Device-Independent Randomness Certification:")
93 print("=" * 50)
94
95 test_values = [1.9, 2.0, 2.1, 2.4, 2.6, 2.8, 2*np.sqrt(2)]
96 for S in test_values:
97     result = certify_randomness_chsh(S)
98     if result['certified']:
99         print(f"S = {S:.4f}: H_min = {result['min_entropy']:.4f} bits, " +
100               f"p_guess = {result['guessing_probability']:.4f}")
101     else:
102         print(f"S = {S:.4f}: {result['reason']}")

```

## 8 Entanglement Dimension Certification

### 8.1 Dimension Witnesses

**Definition 8.1** (Schmidt Rank). *The **Schmidt rank** of a bipartite pure state  $|\psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B$  is the number of non-zero coefficients in its Schmidt decomposition:*

$$|\psi\rangle = \sum_{i=1}^r \sqrt{\lambda_i} |i\rangle_A \otimes |i\rangle_B \quad (45)$$

**Definition 8.2** (Entanglement Dimension). *The **entanglement dimension** (or Schmidt number) is the minimum Schmidt rank among all states  $|\psi\rangle$  that can produce the observed correlation.*

**Theorem 8.3** (CHSH and Entanglement). *Any correlation  $P$  with CHSH value  $S > 2$  requires an entangled state. More specifically:*

- $S > 2$  implies Schmidt rank  $\geq 2$  (entanglement required)
- Higher-dimensional Bell inequalities can witness higher Schmidt ranks

Listing 6: Entanglement Dimension Certification

```

1 import numpy as np
2 from typing import Dict
3
4 def certify_entanglement_dimension_chsh(S: float) -> Dict:
5     """
6     Certify minimum entanglement dimension from CHSH violation.
7
8     Args:
9         S: Observed CHSH value
10
11     Returns: Certified minimum Schmidt rank and analysis.
12     """
13     # CHSH violation requires entanglement
14     if abs(S) <= 2.0:
15         return {
16             'min_schmidt_rank': 1,
17             'chsh_value': S,
18             'entangled': False,
19             'reason': 'No Bell violation - separable state possible'
20         }
21
22     # Any CHSH violation certifies Schmidt rank >= 2
23     # Maximum CHSH with Schmidt rank d is 2*sqrt(min(d_A, d_B))
24     # For d = 2 (qubits), max is 2*sqrt(2)
25
26     # Compute minimum dimension required
27     # |S| <= 2*sqrt(d) for Schmidt rank d
28     # So d >= (S/2)^2
29
30     S_abs = abs(S)
31     min_dim_from_chsh = max(2, int(np.ceil((S_abs / 2)**2)))
32
33     return {
34         'min_schmidt_rank': 2, # CHSH can only certify rank 2
35         'min_hilbert_dim': min_dim_from_chsh,
36         'chsh_value': S,

```

```

37         'entangled': True,
38         'violation_over_local': S_abs - 2,
39         'fraction_of_tsirelson': S_abs / (2 * np.sqrt(2))
40     }
41
42 def certify_dimension_cglmp(I_d: float, d: int) -> Dict:
43     """
44     Certify dimension using CGLMP inequality for d-outcome case.
45
46     The CGLMP inequality (Collins-Gisin-Linden-Massar-Popescu)
47     generalizes
48     CHSH to  $d > 2$  outcomes and can witness higher entanglement
49     dimensions.
50
51     Args:
52         I_d: Value of CGLMP functional
53         d: Number of outcomes in the scenario
54
55     Returns: Dimension certification results.
56     """
57     # Local bound for CGLMP_d is 2
58     # Quantum bound for maximally entangled state of Schmidt rank d
59     # approaches  $2 * (1 + 1/(d-1))$  as  $d \rightarrow \infty$ 
60
61     local_bound = 2.0
62
63     if I_d <= local_bound:
64         return {
65             'certified_dimension': 1,
66             'cglmp_value': I_d,
67             'scenario_d': d,
68             'entangled': False
69         }
70
71     # For qutrit (d=3), quantum max is approximately 2.915
72     # General formula:  $I_d^{max} = 2 * \sum_{k=0}^{d-1} (1 - 2k/(d-1)) * \cos(\pi*k/(d*d-d+1))$ 
73
74     return {
75         'certified_dimension': min(d, 2), # Conservative bound
76         'cglmp_value': I_d,
77         'scenario_d': d,
78         'entangled': True,
79         'violation': I_d - local_bound
80     }
81
82 # Example usage
83 print("Entanglement Dimension Certification:")
84 print("=" * 50)
85
86 for S in [1.8, 2.0, 2.2, 2.5, 2.7, 2*np.sqrt(2)]:
87     result = certify_entanglement_dimension_chsh(S)
88     status = "Entangled" if result['entangled'] else "May be
89             separable"
90     print(f"S = {S:.4f}: {status}, min Schmidt rank =
91           {result['min_schmidt_rank']}")

```

## 9 Certificate Generation with SDP Duality

### 9.1 SDP Duality Theory

**Definition 9.1** (Primal SDP). *The primal form of our Bell inequality optimization is:*

$$\text{maximize } \langle C, X \rangle \quad (46)$$

$$\text{subject to } \mathcal{A}(X) = b \quad (47)$$

$$X \succeq 0 \quad (48)$$

where  $X$  is the moment matrix,  $C$  encodes the Bell functional, and  $\mathcal{A}(X) = b$  encodes NPA constraints.

**Definition 9.2** (Dual SDP). *The dual problem is:*

$$\text{minimize } b^T y \quad (49)$$

$$\text{subject to } \mathcal{A}^*(y) - C \succeq 0 \quad (50)$$

where  $y$  are dual variables (Lagrange multipliers).

**Theorem 9.3** (Strong Duality). *Under constraint qualification (satisfied for NPA problems), strong duality holds:*

$$\text{primal optimum} = \text{dual optimum} \quad (51)$$

A dual feasible solution provides a **certificate** that the primal value cannot exceed the dual objective.

### 9.2 Certificate Structure

Listing 7: Bell Inequality Certificate Generation

```

1 from dataclasses import dataclass, asdict
2 from typing import List, Dict, Tuple, Optional
3 import json
4 import numpy as np
5
6 @dataclass
7 class BellInequalityCertificate:
8     """
9     Machine-checkable certificate for Bell inequality analysis.
10
11     Contains all information needed to independently verify:
12     1. The Bell inequality (coefficients, local bound)
13     2. The quantum bound (NPA level, SDP solution)
14     3. Optimal quantum strategy (state, measurements)
15     4. Device-independent certifications (randomness, dimension)
16     """
17
18     # Scenario specification
19     scenario: Tuple[int, int, int] # (N, M, d)
20     inequality_name: str
21
22     # Bell inequality
23     coefficients: List[str] # Rational coefficients as strings
24     local_bound: str # Rational number
25     vertex_witness: List[int] # Indices of saturating vertices
26

```



```

27     # Quantum bound
28     quantum_bound: float
29     npa_level: int
30     sdp_solver: str
31     sdp_status: str
32     sdp_duality_gap: float
33
34     # Optimal quantum strategy
35     optimal_state: List[List[float]] # [real, imag] pairs
36     optimal_measurements_A: List[List[List[float]]] # Measurement
37     optimal_measurements_B: List[List[List[float]]]
38     achieved_value: float
39
40     # Derived certifications
41     certified_randomness: float # H_min in bits
42     certified_entanglement_dim: int
43
44     # Verification flags
45     local_bound_verified: bool
46     quantum_bound_verified: bool
47     strategy_verified: bool
48
49     # Metadata
50     timestamp: str
51     computation_time_seconds: float
52
53     def to_json(self) -> str:
54         """Export certificate as JSON."""
55         return json.dumps(asdict(self), indent=2)
56
57     @classmethod
58     def from_json(cls, json_str: str) -> 'BellInequalityCertificate':
59         """Load certificate from JSON."""
60         data = json.loads(json_str)
61         data['scenario'] = tuple(data['scenario'])
62         return cls(**data)
63
64     def generate_chsh_certificate() -> BellInequalityCertificate:
65         """
66         Generate complete certificate for CHSH inequality.
67         """
68         import time
69         from datetime import datetime
70
71         start_time = time.time()
72
73         scenario = BellScenario(N=2, M=2, d=2)
74
75         # Step 1: Verify local bound
76         local_result = verify_chsh_local_bound()
77
78         # Step 2: Compute quantum bound via NPA
79         npa_result = npa_chsh_level1()
80
81         # Step 3: Compute optimal strategy
82         strategy_result = optimal_chsh_quantum_strategy()
83

```

```

84  # Step 4: Certify randomness
85  randomness_result =
      certify_randomness_chsh(abs(strategy_result['chsh_value']))
86
87  # Step 5: Certify entanglement dimension
88  dim_result =
      certify_entanglement_dimension_chsh(abs(strategy_result['chsh_value']))
89
90  # Build certificate
91  # CHSH coefficients in probability space
92  #  $S = E_{00} + E_{01} + E_{10} - E_{11}$ 
93  #  $E_{xy} = P(00|xy) - P(01|xy) - P(10|xy) + P(11|xy)$ 
94  # Full expansion gives coefficients for  $P(ab|xy)$ 
95
96  coeffs_chsh = []
97  for x in [0, 1]:
98      for y in [0, 1]:
99          sign = 1 if (x, y) != (1, 1) else -1
100         for a in [0, 1]:
101             for b in [0, 1]:
102                 coeff = sign * ((-1)**(a + b))
103                 coeffs_chsh.append(str(coeff))
104
105  # Extract measurement matrices (convert complex to [real, imag])
106  def matrix_to_list(M: np.ndarray) -> List[List[float]]:
107      return [[float(M[i, j].real), float(M[i, j].imag)]
108              for i in range(M.shape[0]) for j in
109                  range(M.shape[1])]
110
111  cert = BellInequalityCertificate(
112      scenario=(2, 2, 2),
113      inequality_name="CHSH",
114      coefficients=coeffs_chsh,
115      local_bound="2",
116      vertex_witness=[s[0] for s in
117                      local_result['maximizing_strategies'][:4]],
118      quantum_bound=npa_result['quantum_bound'],
119      npa_level=1,
120      sdp_solver="SCS",
121      sdp_status=npa_result['status'],
122      sdp_duality_gap=npa_result['gap'],
123      optimal_state=[[float(z.real), float(z.imag)]
124                    for z in strategy_result['state']],
125      optimal_measurements_A=[matrix_to_list(M)
126                              for M in
127                              strategy_result['measurements_A']],
128      optimal_measurements_B=[matrix_to_list(M)
129                              for M in
130                              strategy_result['measurements_B']],
131      achieved_value=strategy_result['chsh_value'],
132      certified_randomness=randomness_result.get('min_entropy',
133                                                  0.0),
134      certified_entanglement_dim=dim_result['min_schmidt_rank'],
135      local_bound_verified=local_result['is_exactly_2'],
136      quantum_bound_verified=np.isclose(npa_result['quantum_bound'],
137                                       2*np.sqrt(2), rtol=1e-4),
138      strategy_verified=strategy_result['achieves_tsirelson'],
139      timestamp=datetime.now().isoformat(),

```

```

135     computation_time_seconds=time.time() - start_time
136 )
137
138 return cert
139
140 def verify_certificate(cert: BellInequalityCertificate) -> Dict[str,
141 bool]:
142     """
143     Independent verification of Bell inequality certificate.
144
145     Checks all claimed properties against recomputed values.
146     """
147     checks = {}
148
149     # 1. Verify local bound
150     # Recompute by checking all deterministic strategies
151     scenario = BellScenario(*cert.scenario)
152     local_result = verify_chsh_local_bound()
153     checks['local_bound_correct'] = np.isclose(
154         local_result['local_bound'],
155         float(cert.local_bound),
156         rtol=1e-6
157     )
158
159     # 2. Verify vertex witnesses saturate bound
160     vertices = enumerate_local_polytope_vertices(scenario)
161     coeffs = np.array([float(c) for c in cert.coefficients])
162
163     for v_idx in cert.vertex_witness:
164         v_value = np.abs(np.dot(vertices[v_idx], coeffs))
165         checks[f'vertex_{v_idx}_saturates'] = np.isclose(
166             v_value,
167             float(cert.local_bound),
168             atol=1e-6
169         )
170
171     # 3. Verify quantum bound is achievable
172     checks['quantum_achievable'] = cert.achieved_value <=
173         cert.quantum_bound + 1e-6
174
175     # 4. Verify state normalization
176     state = np.array([complex(r, i) for r, i in cert.optimal_state])
177     checks['state_normalized'] = np.isclose(np.linalg.norm(state),
178         1.0, atol=1e-8)
179
180     # 5. Verify SDP duality gap is small
181     checks['sdp_converged'] = cert.sdp_duality_gap < 1e-4
182
183     # 6. Verify randomness certification is consistent
184     randomness_recomputed =
185         certify_randomness_chsh(abs(cert.achieved_value))
186     checks['randomness_consistent'] = np.isclose(
187         cert.certified_randomness,
188         randomness_recomputed.get('min_entropy', 0.0),
189         atol=1e-6
190     )
191
192     # Overall verification

```

```

189     checks['all_passed'] = all(v for k, v in checks.items() if k !=
190                                'all_passed')
191     return checks
192
193     # Generate and verify certificate
194     print("Generating CHSH Certificate...")
195     certificate = generate_chsh_certificate()
196
197     print("\nCertificate Summary:")
198     print(f"   Scenario: {certificate.scenario}")
199     print(f"   Local bound: {certificate.local_bound}")
200     print(f"   Quantum bound: {certificate.quantum_bound:.10f}")
201     print(f"   Achieved value: {certificate.achieved_value:.10f}")
202     print(f"   Certified randomness:
203           {certificate.certified_randomness:.4f} bits")
204     print(f"   Certified entanglement dimension:
205           {certificate.certified_entanglement_dim}")
206
207     print("\nVerifying Certificate...")
208     verification = verify_certificate(certificate)
209     for check, passed in verification.items():
210         status = "PASS" if passed else "FAIL"
211         print(f"   [{status}] {check}")

```

## 10 Success Criteria and Verification Protocols

### 10.1 Minimum Viable Result (Months 1-3)

- CHSH inequality implemented and local bound  $S \leq 2$  verified exhaustively
- Optimal quantum strategy computed: singlet state achieving  $S = 2\sqrt{2}$
- NPA level 1 operational, bound within  $10^{-4}$  of Tsirelson
- Basic certificate generation for CHSH

#### Deliverables:

- `chsh_verification.py`: Exhaustive check of 16 deterministic strategies
- `chsh_quantum.py`: Optimal state and measurements
- `chsh_certificate.json`: Complete machine-checkable certificate

### 10.2 Strong Result (Months 4-6)

- NPA hierarchy at levels 1, 2, 3 for general Bell inequalities
- All facets of  $(2, 2, 2)$  local polytope enumerated
- Device-independent randomness certification operational
- Extension to  $(2, 3, 2)$  scenario (Collins-Gisin inequalities)

#### Deliverables:

- `npa_general.py`: NPA at arbitrary level for arbitrary scenario

- `facet_enumeration.py`: Complete facet database for  $(2, 2, 2)$ ,  $(2, 3, 2)$
- `di_randomness.py`: Certified randomness from arbitrary correlations
- `bell_database.json`: All inequalities with quantum bounds

### 10.3 Publication-Quality Result (Months 7-9)

- Extension to multipartite:  $(3, 2, 2)$  Mermin-GHZ inequality
- Tight quantum bounds for all small-scenario facets
- Comparison with published experimental data
- Novel dimension witnesses for  $d > 2$

#### Deliverables:

- `mermin_ghz.py`: Multipartite Bell inequalities
- `experimental_analysis.py`: Statistical analysis of loophole-free tests
- Research paper: “Systematic Classification of Bell Inequalities via NPA”

### 10.4 Quality Metrics

Metric	Target	Verification
CHSH local bound	$= 2.0$ (exact)	Exhaustive enumeration
CHSH quantum bound	$= 2\sqrt{2} \pm 10^{-8}$	NPA + Tsirelson proof
NPA convergence	Gap $< 10^{-6}$ at level 3	SDP duality
Randomness at Tsirelson	$\geq 0.22$ bits	Acín et al. formula
$(2, 2, 2)$ facets	8 total (up to symmetry)	Convex hull + literature
Certificate verification	All checks pass	Independent recomputation

## 11 Verification Protocol

Listing 8: Complete Verification Suite

```

1 def run_bell_verification_suite() -> Dict[str, bool]:
2     """
3     Comprehensive verification of Bell inequality implementation.
4     """
5     print("=" * 60)
6     print("BELL INEQUALITY VERIFICATION SUITE")
7     print("=" * 60)
8
9     results = {}
10
11     # Test 1: Local bound verification
12     print("\n[Test 1] CHSH Local Bound")
13     local = verify_chsh_local_bound()
14     results['local_bound'] = local['is_exactly_2']

```

```

15     print(f"    Max S over deterministic strategies:
           {local['local_bound']}")
16     print(f"    Verified S <= 2: {results['local_bound']}")
17
18     # Test 2: Quantum optimal strategy
19     print("\n[Test 2] Quantum Optimal Strategy")
20     quantum = optimal_chsh_quantum_strategy()
21     results['tsirelson_achieved'] = quantum['achieves_tsirelson']
22     print(f"    Achieved S = {quantum['chsh_value']:.10f}")
23     print(f"    Tsirelson bound = {quantum['tsirelson_bound']:.10f}")
24     print(f"    Achieves bound: {results['tsirelson_achieved']}")
25
26     # Test 3: NPA hierarchy
27     print("\n[Test 3] NPA Hierarchy")
28     npa = npa_chsh_level1()
29     results['npa_convergence'] = npa['gap'] < 1e-4
30     print(f"    NPA level 1+AB bound: {npa['quantum_bound']:.10f}")
31     print(f"    Gap from Tsirelson: {npa['gap']:.2e}")
32     print(f"    Converged: {results['npa_convergence']}")
33
34     # Test 4: Randomness certification
35     print("\n[Test 4] Device-Independent Randomness")
36     S_test = 2.7
37     rand = certify_randomness_chsh(S_test)
38     results['randomness_positive'] = rand['certified'] and
           rand['min_entropy'] > 0
39     print(f"    For S = {S_test}:")
40     print(f"    Certified H_min = {rand.get('min_entropy', 0):.4f}
           bits")
41     print(f"    Randomness certified:
           {results['randomness_positive']}")
42
43     # Test 5: Entanglement certification
44     print("\n[Test 5] Entanglement Dimension")
45     dim = certify_entanglement_dimension_chsh(S_test)
46     results['entanglement_detected'] = dim['entangled']
47     print(f"    For S = {S_test}:")
48     print(f"    Entangled: {dim['entangled']}")
49     print(f"    Min Schmidt rank: {dim['min_schmidt_rank']}")
50
51     # Test 6: Certificate generation and verification
52     print("\n[Test 6] Certificate Generation")
53     cert = generate_chsh_certificate()
54     verification = verify_certificate(cert)
55     results['certificate_valid'] = verification['all_passed']
56     print(f"    Certificate generated: {cert.inequality_name}")
57     print(f"    All verifications passed:
           {results['certificate_valid']}")
58
59     # Summary
60     print("\n" + "=" * 60)
61     all_passed = all(results.values())
62     print(f"ALL TESTS {'PASSED' if all_passed else 'FAILED'}")
63     print("=" * 60)
64
65     return results
66
67 # Run verification

```

```
68 results = run_bell_verification_suite()
```

## 12 Advanced Topics

### 12.1 The No-Signaling Set

**Definition 12.1** (No-Signaling Correlation). *A correlation  $P$  is **no-signaling** if marginals do not depend on distant measurement choices:*

$$\sum_b P(a, b|x, y) = \sum_b P(a, b|x, y') \quad \forall a, x, y, y' \quad (52)$$

$$\sum_a P(a, b|x, y) = \sum_a P(a, b|x', y) \quad \forall b, x, x', y \quad (53)$$

The no-signaling set  $\mathcal{NS}$  is a polytope containing  $\mathcal{Q}$  as a proper subset. The maximum CHSH value in  $\mathcal{NS}$  is  $S = 4$  (achieved by the “PR box”).

### 12.2 Multipartite Bell Inequalities

**Definition 12.2** (Mermin Inequality). *For  $N$  parties with binary measurements, the Mermin inequality is:*

$$M_N = \frac{1}{2^{N-1}} \sum_{\text{even parity}} \prod_{i=1}^N E(A_{x_i}^{(i)}) \quad (54)$$

with local bound  $M_N \leq 1$  and quantum bound  $M_N \leq 2^{(N-1)/2}$ .

The GHZ state  $|GHZ_N\rangle = (|0\rangle^{\otimes N} + |1\rangle^{\otimes N})/\sqrt{2}$  achieves the quantum maximum.

### 12.3 Self-Testing

**Theorem 12.3** (CHSH Self-Testing). *If a correlation achieves  $S = 2\sqrt{2}$ , then up to local isometries, the state must be the singlet  $|\psi^-\rangle$  and measurements must be optimal CHSH observables.*

Self-testing enables device-independent certification of specific quantum states and measurements—a remarkable application of Bell inequality violations.

## 13 Conclusion

Bell inequalities provide a rigorous mathematical framework for testing the foundations of quantum mechanics and enabling device-independent quantum information protocols. This report has developed:

1. **Complete CHSH Analysis:** Local bound verification, Tsirelson bound proof, optimal quantum strategy
2. **NPA Hierarchy:** Systematic SDP-based computation of quantum bounds
3. **Facet Enumeration:** Vertex and facet structure of local polytope
4. **Device-Independent Certification:** Randomness and entanglement dimension from Bell violations
5. **Machine-Checkable Certificates:** SDP duality proofs and verification protocols

### Pure Thought Challenge

#### Future Directions:

- Extension to multipartite scenarios ( $N > 2$ )
- Higher-dimensional generalizations (CGLMP inequalities)
- Network Bell inequalities for quantum networks
- Self-testing protocols for specific quantum states
- Integration with quantum cryptography protocols

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## A Mathematical Derivations

### A.1 Tsirelson Bound via Sum-of-Squares

An elegant proof of the Tsirelson bound uses the sum-of-squares (SOS) method:



**Theorem A.1.** *For dichotomic observables  $A_0, A_1, B_0, B_1$  with  $A_i^2 = B_j^2 = I$  and  $[A_i, B_j] = 0$ :*

$$(A_0 \otimes (B_0 + B_1) + A_1 \otimes (B_0 - B_1))^2 = 4I \otimes I + [A_0, A_1] \otimes [B_0, B_1] \quad (55)$$

Since  $\|[A_0, A_1]\| \leq 2\|A_0\|\|A_1\| = 2$  and similarly for Bob, the operator norm of the CHSH operator is bounded by  $\sqrt{8} = 2\sqrt{2}$ .

## A.2 NPA Constraint Derivation

The NPA constraints arise from the algebraic properties of quantum measurements:

1. **Projector property:**  $M_a^x M_{a'}^x = \delta_{a,a'} M_a^x$
2. **Completeness:**  $\sum_a M_a^x = I$
3. **Commutativity:**  $[M_a^x, N_b^y] = 0$  for different parties

These translate to moment matrix constraints:

$$\Gamma_{M_a^x M_{a'}^x, S} = \delta_{a,a'} \Gamma_{M_a^x, S} \quad (56)$$

$$\sum_a \Gamma_{M_a^x, S} = \Gamma_{I, S} \quad (57)$$

$$\Gamma_{M_a^x N_b^y, S} = \Gamma_{N_b^y M_a^x, S} \quad (58)$$

## B Complete Code Repository Structure

Listing 9: Project Structure

```

1 bell_inequalities/
2 |-- src/
3 |   |-- __init__.py
4 |   |-- scenario.py           # BellScenario class
5 |   |-- local_polytope.py     # Vertex/facet enumeration
6 |   |-- npa_hierarchy.py      # NPA SDP implementation
7 |   |-- optimal_strategy.py   # Quantum strategy optimization
8 |   |-- randomness.py         # DI randomness certification
9 |   |-- dimension.py          # Entanglement dimension
10 |   |-- certificates.py       # Certificate generation/verification
11 |-- tests/
12 |   |-- test_chsh.py
13 |   |-- test_npa.py
14 |   |-- test_certificates.py
15 |-- data/
16 |   |-- chsh_certificate.json
17 |   |-- facets_222.json
18 |   |-- facets_232.json
19 |-- notebooks/
20 |   |-- 01_chsh_tutorial.ipynb
21 |   |-- 02_npa_hierarchy.ipynb
22 |   |-- 03_device_independent.ipynb
23 |-- requirements.txt
24 |-- README.md

```

## C Known Results for Reference

Inequality	Scenario	Local Bound	Quantum Bound	NS Bound
CHSH	(2, 2, 2)	2	$2\sqrt{2} \approx 2.828$	4
I3322	(2, 3, 2)	4	$\approx 5.013$	6
CGLMP <sub>3</sub>	(2, 2, 3)	2	$\approx 2.915$	4
Mermin <sub>3</sub>	(3, 2, 2)	2	4	4
Mermin <sub>4</sub>	(4, 2, 2)	4	8	8