

# AUTOMATIC WRITER VERIFICATION ALGORITHM FOR CHINESE CHARACTERS USING SEMI-GLOBAL FEATURES AND ADAPTIVE CLASSIFIER

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## ABSTRACT

Writer verification is to identify whether the script was written by a person himself. However, although there are many advanced machine learning methods now, automatic writer verification is still a very challenging work since the training data in the false case (forged case) is usually very hard to acquire. In practice, to avoid being convicted, a criminal may write scripts that are fully different from what he wrote on the forged document. In this manuscript, we adopt global and semi-global information, including log-Gabor features, advanced moments features, and co-occurrence matrix features for writer verification. In addition, a more flexible classifier is proposed. Though the convolutional neural network is popular, its performance is limited when the training data is not enough. Therefore, another classifier based on the weighted squared Euclidean distance is adopted. Simulations show that the proposed algorithm outperforms other methods and will be very helpful for identifying forged scripts.

**Index Terms**— Handwriting; feature extraction; end-point information; forensic image processing; pattern recognition

## 1. INTRODUCTION

In this paper, we propose advanced feature extraction and classification methods for writer verification. Writer verification is to identify whether the script was written by the litigant himself or the script is forged. It is important for criminal investigation, forensics, signature verification, and security authentication. It is different from character recognition. Character recognition aims to recognize what the character is while writer identification tries to identify whom the handwriting is written by.

Writer verification is one of the pattern recognition and classification problems and the machine learning techniques can be applied. However, compared to other classification problems, writer verification is even more challenging. To apply machine learning, especially the convolutional neural network (CNN) based method, there should be enough number of training data for both the positive and the negative cases. However, for the writer verification problem, in

practice, the training data in the false case is very hard to acquire. To avoid being accused, a suspect may write scripts that are fully different from what he wrote on the forged document. Therefore, one should apply a robust writer verification method that has good performance even if the number of training data is insufficient. To achieve it, an advanced algorithm for feature extraction and selection is required.

There are some ways to extract the features of a character. For example, in [1], they used the 2-D Gabor wavelet to extract the features of characters. The Gabor wavelet can extract the location and direction information of edges and are helpful for identifying the structure of a character. In [2], Bensefia proposed a concept of writer's invariance. In [3], Yilmaz *et al.* came up with the idea of applying the histogram oriented gradient (HoG) and the local binary pattern (LBP). The HoG reflects the gradient orientations of grid zones and the LBP reflects the occurrence of binary patterns. In [4], Jain introduced the K-adjacent segment (KAS) features to represent the relationship between sets of neighboring edges and has the ability to capture discriminative local stroke information. In [5, 6], the scaled invariant feature transform (SIFT) is applied to extract the features of characters.

After extracting the features, a classifier is applied to conclude whether the script is forged. For example, the weighted Euclidean, Manhattan, or Chi-square distances [6, 7], the K-nearest neighbor (KNN) [1], the hidden Markov model [8], the vector quantization [5, 6, 9], and the support vector machine (SVM) [3, 5, 10] can all be used as the classifier for writer verification.

In this manuscript, to well distinguish real and forged scripts, we apply the log-Gabor feature, the Hu, affine and Tsirikolias-Mertzios moment feature, and the gray level co-occurrence matrix features. Moreover, the weighted squared Euclidean distance (WSED) is applied as the classifier. Different from other classifier, it does not require a lot of training data. With the WSED, even if the amount of the training data is less, a high accuracy rate for writer verification can be achieved.

## 2. PROPOSED ALGORITHM

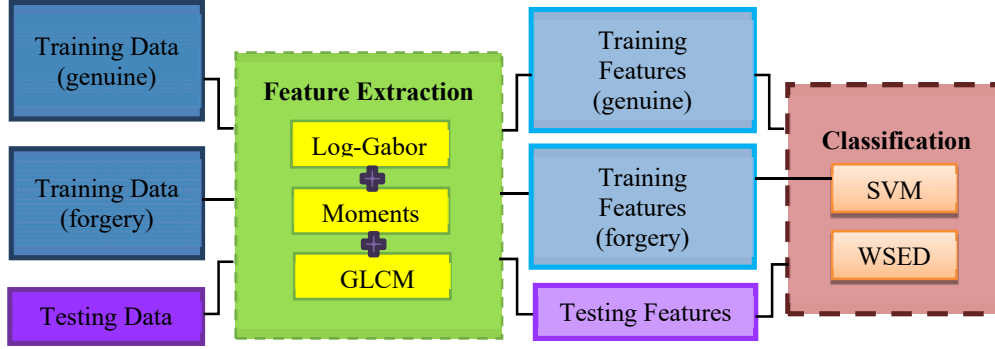


Fig. 1. The framework of the proposed method

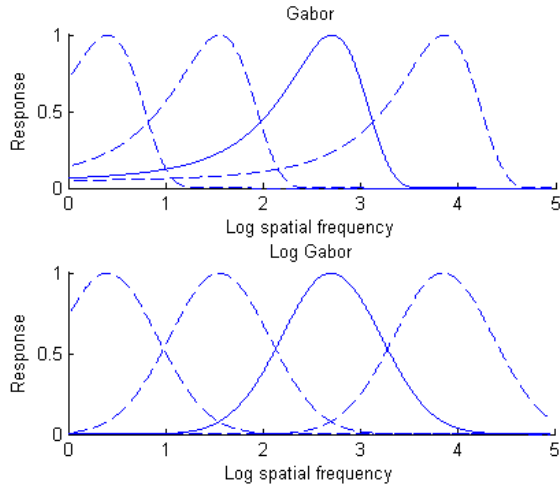


Fig. 2. The Gabor function and the log-Gabor function in the logarithmic spatial frequency.

As shown in Fig. 1, the proposed method can be separated into 2 parts: feature extraction and classification. For feature extraction, 3 types of features are combined. The first type is log-Gabor features because they represent the spatial-frequency response of visual neurons more properly than Gabor features due to the symmetry on the log-axis. The second type is advanced moment features including Hu moment invariants, affine moment invariants, and Tsirikolias-Mertzios moments, which are robust to scale, translation, and rotation. The third type is the features generated from gray level co-occurrence matrices (GLCM), which is related to the information of texture homogeneity, contrast, entropy, and correlation.

Moreover, an adaptive classification is applied. The convolutional neural network (CNN) is more suitable for the condition where the data should be sufficient for both genuine and forgery cases. However, in real-life applications of writer verification, such as forensic justification, the amount of data that can be collected is less, especially for the forgery case. It is hard to ask a suspect to faithfully write the forgery scripts similar to that he wrote in the document.

Therefore, another classification method is designed to use only genuine training data as references based on the weighted squared Euclidean distance.

### 2.1. Log-Gabor Features

The log-Gabor function is a modification of the Gabor function. The Gabor function minimizes the spread in the space and the frequency domains. However, its mathematical property is only pure in Cartesian coordinates where the channels are the same size respectively in frequency and space. The relative spread and overlap of neighboring units would be altered if changed to polar distribution. Log-Gabor function can restore some destructive effects of such polar mapping with frequency response

$$G(f) = \exp\left(-\left(\log\left(\frac{f}{f_0}\right)\right)^2 / 2\left(\log\left(\frac{\sigma}{f_0}\right)\right)^2\right) \quad (1)$$

where  $f_0$  is the central frequency and  $\sigma$  can control the decay rate. A very important property of the log-Gabor function is that its frequency response is symmetric on a log axis, which is the standard method for representing the spatial-frequency response of visual neurons. Though not the best-fitting function, log-Gabor function is a better model for suiting the visual system than Gabor function.

Another advantage of log-Gabor is that its bandwidth increases with frequency, meaning that the bandwidths are constant in octaves. As displayed in Fig. 2, the log-Gabor function spreads the information equally in each channel while Gabor function over-represents the low frequencies.

In the proposed system, the log-Gabor filter bank is designed in 4 scales and 6 orientations. The minimum wavelength of scale filter is 3. The scaling factor between successive filters is set to be 1.7 while the ratio of the standard deviation of the Gaussian function describing the transfer function in frequency domain is set to be 0.65. The combination makes the bandwidth in the range of 1-2 octaves.

### 2.2. Moment Features

In the proposed system, Hu moments, affine invariant moments, and Tsirikolias-Mertzios moments are adopted. These moments can be derived from the conventional moments derived as follows. For a two-dimensional (2-D) image, the conventional moment of order  $(p+q)$  is:

$$m_{pq} = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} x^p y^q I(x, y), \quad p, q = 0, 1, 2, \dots, \infty. \quad (2)$$

The central moment of an image is computed as

$$\mu_{pq} = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} (x - \bar{x})^p (y - \bar{y})^q I(x, y) \quad (3)$$

$$\text{where } \bar{x} = m_{10} / m_{00}, \quad \bar{y} = m_{01} / m_{00}. \quad (4)$$

They are invariant to translation and can be normalized as:

$$\eta_{pq} = \mu_{pq} / \mu_{00}^\gamma \quad (5)$$

$$\text{where } \gamma = (p+q) / 2 + 1, \quad p+q = 2, 3, \dots$$

Hu moments are invariant under scale, translation and rotation, which can be derived from the 2<sup>nd</sup> and the 3<sup>rd</sup> order conventional moments.

$$\begin{aligned} \phi_1 &= \eta_{20} + \eta_{02}, & \phi_2 &= (\eta_{20} - \eta_{02})^2 + 4\eta_{11}^2, \\ \phi_3 &= (\eta_{30} - 3\eta_{12})^2 + (3\eta_{21} - \eta_{03})^2, \\ \phi_4 &= (\eta_{30} + 3\eta_{12})^2 + (\eta_{21} + \eta_{03})^2 \\ \phi_5 &= (\eta_{30} - 3\eta_{12})(\eta_{30} + \eta_{12})[(\eta_{30} + \eta_{12})^2 - 3(\eta_{21} + \eta_{03})^2] \\ &\quad + (3\eta_{21} - \eta_{03})(\eta_{21} + \eta_{03})[3(\eta_{30} + \eta_{12})^2 - (\eta_{21} + \eta_{03})^2], \\ \phi_6 &= (\eta_{20} - \eta_{02})[(\eta_{30} + \eta_{12})^2 - (\eta_{21} + \eta_{03})^2] \\ &\quad + 4\eta_{11}(\eta_{30} + \eta_{12})(\eta_{21} + \eta_{03}), \\ \phi_7 &= (3\eta_{21} - \eta_{03})(\eta_{30} + \eta_{12})[(\eta_{30} + \eta_{12})^2 - 3(\eta_{21} + \eta_{03})^2] \\ &\quad + (3\eta_{12} - \eta_{30})(\eta_{21} + \eta_{03})[3(\eta_{30} + \eta_{12})^2 - (\eta_{21} + \eta_{03})^2]. \end{aligned} \quad (6)$$

Affine invariant moments are robust to any affine transformation in the 2-D plane. Its explicit definition is shown in [7]. Tsirikolias-Mertzios moments are normalized by centroids and standard deviations, which are defined as:

$$m_k = \frac{1}{N} \sum_{j=1}^N \left[ \frac{x_j - \text{mean}(x)}{\sigma_x} \right]^k, \quad k = 1, 2, 3, \dots \quad (7)$$

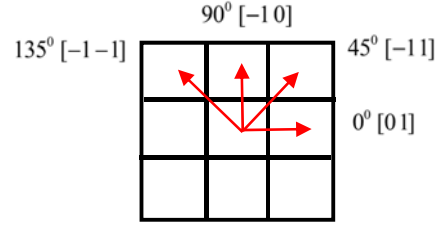
where  $\sigma_x$  is the standard deviation of  $x$ :

$$\sigma = \text{sqr}(\text{VAR}) = \text{sqr} \left[ \frac{1}{N-1} \sum_{j=1}^N (x_j - \bar{x})^2 \right]. \quad (8)$$

One can generalize the moments in (7) into the 2D case:

$$m_{pq} = \frac{1}{LM} \sum_{x=1}^L \sum_{y=1}^M \left[ \frac{x - \bar{x}}{\sigma_x} \right]^p \left[ \frac{y - \bar{y}}{\sigma_y} \right]^q I(x, y) \quad (9)$$

where  $L \times M$  is the size of the image  $I(x, y)$ ,  $(\bar{x}, \bar{y})$  is the centroid, and  $\sigma_x$  and  $\sigma_y$  are the standard deviation of the image



**Fig. 3.** The relationships between pixel of interest and its neighbors specified in both distance and angle.

along  $x$  and  $y$  directions, respectively. The moments  $m_{30}, m_{40}, m_{50}, m_{60}, m_{70}, m_{80}$  and  $m_{03}, m_{04}, m_{05}, m_{06}, m_{07}, m_{08}$  are used in the proposed writer verification system. These moments are invariant under translation and magnification but not under rotation.

### 2.3. Gray Level Co-occurrence Matrix Features

The gray level co-occurrence matrix (GLCM), also called gray-tone spatial-dependence matrix [11, 12]. It is a method that extracts 2<sup>nd</sup> order statistical texture features because it records the value changes between neighboring pixels. For an image  $I(x, y)$  with width  $W_x$  and height  $H_y$ , the gray tone is quantized to  $N_g$  levels. Let  $L_x = \{1, 2, \dots, W_x\}$ ,  $L_y = \{1, 2, \dots, H_y\}$  be the horizontal and the vertical spatial domain, respectively, and  $G = \{1, 2, \dots, N_g\}$  be the quantized gray tones. The image can be mapped from  $H_y \times W_x \rightarrow G^2$  with the function defined as calculating the frequencies of gray-tone transfers between adjacent cells.

As shown in Fig. 3, There are 4 matrices can be generated by accumulating the neighboring gray-tone relations along the 4 directions. Their formulas are as follows where  $\#$  denotes the number of elements in the set.

$$\begin{aligned} P(i, j, d, 0^\circ) &= \# \{((k, l), (m, n)) \in (L_y \times L_x) \times (L_y \times L_x) \mid \\ &\quad m - k = 0, n - l = d, I(k, l) = i, I(m, n) = j\} \\ P(i, j, d, 45^\circ) &= \# \{((k, l), (m, n)) \in (L_y \times L_x) \times (L_y \times L_x) \mid \\ &\quad m - k = -d, n - l = d, I(k, l) = i, I(m, n) = j\} \\ P(i, j, d, 90^\circ) &= \# \{((k, l), (m, n)) \in (L_y \times L_x) \times (L_y \times L_x) \mid \\ &\quad m - k = -d, n - l = 0, I(k, l) = i, I(m, n) = j\} \\ P(i, j, d, 135^\circ) &= \# \{((k, l), (m, n)) \in (L_y \times L_x) \times (L_y \times L_x) \mid \\ &\quad m - k = -d, n - l = -d, I(k, l) = i, I(m, n) = j\} \end{aligned} \quad (10)$$

Though the original GLCM proposed by Haralick was symmetric, we implemented the non-symmetric form here.

As suggested in [11], several classical feature measures can be extracted from the GLCM, including

(i) Texture homogeneity

$$H = \sum_{i=0}^{G-1} \sum_{j=0}^{G-1} (P(i, j))^2. \quad (11)$$

(ii) Texture contrast

$$C = \sum_{n=0}^{G-1} \left( \sum_{i=0}^{G-1} \sum_{j=i \pm n} n^2 P(i, j) \right). \quad (12)$$

(iii) Texture entropy

$$E = \sum_{i=0}^{G-1} \sum_{j=0}^{G-1} P(i, j) \log(P(i, j)). \quad (13)$$

(iv) Texture correlation.

$$O = \sum_{i=0}^{G-1} \sum_{j=0}^{G-1} \frac{ijP(i, j) - (m_i m_j)}{\sigma_i \sigma_j} \quad (14)$$

where  $m_i$  and  $m_j$  are the means and  $\sigma_i$  and  $\sigma_j$  are the standard deviations of the  $i^{\text{th}}$  row and the  $j^{\text{th}}$  column, respectively.

In our implementation,  $G$  is set to be 8 and only values in the range of  $[50, 254]$  are equally quantized. So there are 4 gray level co-occurrence matrices generated for each input image. Since there are 4 directions of GLCM and 4 kinds of measures, we have 16 textural measures in total.

## 2.4. Adaptive Method for Classification

There are two choices for classification, SVM and the WSED. The SVM has good performance if the training data is sufficient and balanced. However, in real-life applications of writer verification, the training data (or reference handwritings) are usually not enough and confined to the genuine cases since it is difficult to collect possible forgeries. So we apply the classifier of the WSED in our system.

In the method of the WSED, only genuine training data are required as reference handwritings for verification. The threshold for determination depends only on the distance values of genuine training data. We proposed a weighted version based on the observation of feature importance. Let the feature vector for each image  $c$  be

$$f^{(c)} = [f_{\log Gabor, i}^{(c)}, f_{moment, j}^{(c)}, f_{GLCM, k}^{(c)}]. \quad (15)$$

Then, for each feature type, we calculate the following distances for genuine training data

$$\begin{aligned} d(m, n)_{\log Gabor} &= \sum_{i=1}^{48} (f_{\log Gabor, i}^{(m)} - f_{\log Gabor, i}^{(n)})^2, \\ d(m, n)_{moment} &= \sum_{j=1}^{23} (f_{moment, j}^{(m)} - f_{moment, j}^{(n)})^2, \\ d(m, n)_{GLCM} &= \sum_{k=1}^8 (f_{GLCM, k}^{(m)} - f_{GLCM, k}^{(n)})^2 \end{aligned} \quad (16)$$

where  $m, n \in \{\text{genuine training data}\}$ . Based on the idea that a good feature should vary more for different labels and vary less when the labels are the same, the weighting for each type of feature is defined as follows where  $std$  means the standard deviation:

$$\begin{aligned} w_{\log Gabor} &= \frac{1 / std(d_{\log Gabor})}{\frac{1}{std(d_{\log Gabor})} + \frac{1}{std(d_{moment})} + \frac{1}{std(d_{GLCM})}} \\ w_{moment} &= \frac{1 / std(d_{moment})}{\frac{1}{std(d_{\log Gabor})} + \frac{1}{std(d_{moment})} + \frac{1}{std(d_{GLCM})}} \\ w_{GLCM} &= \frac{1 / std(d_{GLCM})}{\frac{1}{std(d_{\log Gabor})} + \frac{1}{std(d_{moment})} + \frac{1}{std(d_{GLCM})}} \end{aligned} \quad (17)$$

Then, the total WSED is evaluated as

$$\begin{aligned} d'(m, n) &= \sum_{i=1}^{48} w_{\log Gabor} (f_{\log Gabor, i}^{(m)} - f_{\log Gabor, i}^{(n)})^2 + \\ &\sum_{j=1}^{23} w_{moment} (f_{moment, j}^{(m)} - f_{moment, j}^{(n)})^2 + \sum_{k=1}^8 w_{GLCM} (f_{GLCM, k}^{(m)} - f_{GLCM, k}^{(n)})^2 \end{aligned} \quad (18)$$

and threshold for determination  $T$  is

$$T = \text{mean}(d') + 2std(d'). \quad (19)$$

Then, for every testing image  $u$ , the score is calculated as the average of the WSEDs between it and every genuine training image  $n$ :

$$\text{score}_u = \text{mean}(d'(u, n)). \quad (20)$$

If the score is no larger than  $T$ , it is verified as genuine; otherwise forgery.

$$\begin{cases} L_{\text{predict}, u} = 1 \text{ (true)} & \text{if } \text{score}_u \leq T \\ L_{\text{predict}, u} = -1 \text{ (false)} & \text{otherwise.} \end{cases} \quad (21)$$

## 3. SIMULATIONS

In this study, a Chinese handwriting database for writer verification is required. However, there is no public writer verification database for Chinese scripts until now. Therefore, a Chinese handwriting database based on forgery for writer verification is constructed here.

The database was constructed by 10 volunteers. They were asked to be forgery targets and to write down the assigned contents for 50 times each. The assigned content consists of Chinese characters: 6 characters are the names (the name of a person repeated twice), four characters are the numbers written in Chinese, and two characters are complicated Chinese characters for observing how character complexity affects our results. Then, the forgeries were produced by making other 25 individuals to mimic the assigned contents twice.

For each target set, we got 50 pieces of genuine data along with  $25 \times 2 = 50$  forgeries. Since our verification system is character-wise, there are  $(50+50) \times 12 = 1200$  characters per target and  $1200 \times 10 = 12000$  characters in sum. Totally, there are  $10 + 25 = 35$  writers involved.

**Table 1.** Comparison of the performances for genuine and forgery script determination.

Method	FAR (%)	FRR (%)	P(%)	R(%)	Accuracy (%)
<b>Proposed</b>	<b>11.15</b>	<b>3.48</b>	<b>90.16</b>	<b>96.52</b>	<b>92.68</b>
SFH [13]	20.28	19.57	80.59	80.43	80.07
CFC [14]	25.31	20.83	76.35	79.17	76.93
Gabor [15]	14.45	6.01	87.27	93.99	89.77
LBP [16]	14.95	8.53	86.50	91.47	88.26
LDP [16]	15.88	9.78	85.62	90.22	87.17
LDerivP [16]	13.87	8.13	87.37	91.87	89.00
Statistics [17]	21.58	7.76	82.19	92.24	85.33
DoG+SIFT+loc [6]	17.59	7.75	84.51	92.25	87.33

The complete results are listed in Table 1, which records the false acceptance rate (FAR), the false rejection rate (FRR), precision (Precision), recall (Recall) and accuracy (Accuracy) of each method. Table 1 shows that the proposed algorithm has smaller FAR and FRR and higher precision, recall, and accuracy than those of other methods, which prove that the proposed algorithm has high ability to distinguish whether a Chinese script is genuine or forgery.

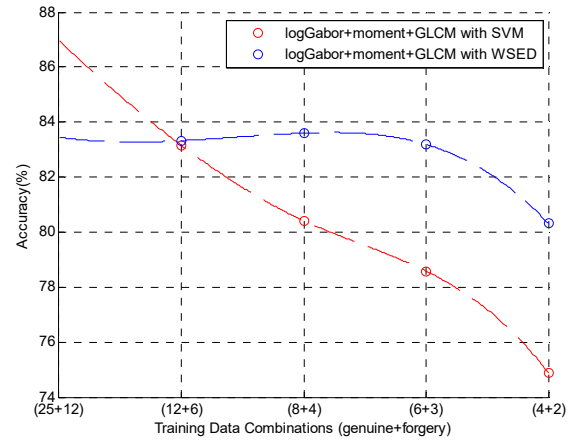
Simulation results show that our proposed method with SVM classification can reach an accuracy of 92.68% on average provided 50 training images (25 genuine + 25 forgery), which towers other methods including Gabor features, the local binary pattern (LBP) [16], the local directional pattern (LDP) [16], the local derived pattern (LDerivP) [16], the stroke fragment histogram (SFH) [13], the curve fragment code (CFC) [14], and the method where the difference of Gaussian (DoG) and the SIFT are applied.

To test our adaptive WSED classification method, the experiment is set up differently here. For each character, the training data is not 50 pieces of handwriting (25 genuine + 25 forgery) anymore. Though the testing data still remains 50 pieces (25 genuine + 25 forgery) for fair comparison, several combinations of training data would be examined. These combinations are set to be unbalanced and would decrease in amount. To sustain the reliability, every character still goes through 5 times of verification processes and then the average accuracy is obtained.

There are 5 kinds of combinations to be tested: 25 genuine + 12 forgery cases, 12 genuine + 6 forgery cases, 8 genuine + 4 forgery cases, 6 genuine + 3 forgery cases, and 4 genuine + 2 forgery cases. Note that in each combination, though some forgery data is provided, the adaptive WSED method only requires the genuine training data. On the contrary, the typical SVM method requires both genuine and forgery training data for classification.

**Table 2.** Comparing the performances of the SVM and the proposed classifier of the WSED when the amount of forgery training data is less.

Proposed Method	P(%)		R(%)		Accuracy(%)	
	SVM	WSED	SVM	WSED	SVM	WSED
25 genuine + 12 forgery	77.47	78.60	98.01	97.50	86.94	83.45
12 genuine + 6 forgery	75.83	79.45	96.54	95.62	83.15	83.32
8 genuine + 4 forgery	73.64	80.97	96.28	91.15	80.41	83.60
6 genuine + 3 forgery	72.08	82.84	95.83	87.20	78.58	83.19
4 genuine + 2 forgery	68.80	86.11	94.97	76.24	74.89	80.31



**Fig. 4.** The verification accuracy of different combinations. The curve is generated by cubic spline interpolation from the accuracy provided by Table 2.

Fig. 4 shows the accuracy for each combination and Table 2 compares the statistical results with the classifiers of the SVM and the WSED.

From Fig. 4, it is observed that WSED classification leads to a 4% lower accuracy at first when the training data is 25 genuine and 12 forgery cases. However, as the size of the training data decreases, the accuracy of the SVM also decreases prominently. When the training data is reduced to 6 genuine and 3 forgery cases, the accuracy of the SVM already drops about 8% while the accuracy of the WSED only drops 0.2%. Besides, for the combination of 4 genuine and 2 forgery cases, the FAR of the SVM increases to 44.35% while the WSED separates some of its increases to the FRR, which is more balanced for FP/FN. Thus, we can prove from the simulations that the adaptive WSED classifier is applicable for our proposed system when the training data is inadequate or in short of forgery references.

#### 4. CONCLUSION

In this work, a writer verification system based on global features and adaptive classification method is proposed. The framework can be decomposed into feature extraction and classification. For feature extraction, log-Gabor features, advanced moments and features from the GLCM are combined. Log-Gabor features analyze the textures of the handwriting images from different scales and orientations. Advanced moments, including Hu, affine and Tsirikolias-Mertzios moments provide invariance properties under translation, rotation or scaling. Homogeneity, contrast, entropy and correlation calculated from GLCM are also helpful measures. The combination makes the feature vector representing each image discriminative and thus appropriate for writer verification. For classification, the CNN and the SVM outperform other techniques when the training data is adequate and stable. However, an adaptive classification based on the weighted squared Euclidean distance measure is proposed for real applications. This classification method requires no forgery training data and can provide a more robust result when the training data is insufficient. When it is reduced to only 4 pieces of genuine training data, the system could still keep an average accuracy of 80.31%.

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