Elementary Logic

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Information Update

Five Logicians Walk into a Bar

- Waiter: Do you all want beer?
- 1: I don't know.
- 2: I don't know.
- 3: I don't know.
- 4: I don't know.
- 5: No.

The information content of a formula A is the set $\operatorname{Mod}(A)$ of its models. An update with new information B reduces the current set of models $\operatorname{Mod}(A)$ to the overlap of $\operatorname{Mod}(A)$ and $\operatorname{Mod}(B)$.

Unfaithful Husband Puzzle

Problem (Unfaithful Husband Puzzle)

- 1. Every man in a village of 100 married couples has cheated on his wife.
- 2. Every wife in the village knows about the fidelity of every man in the village except for her own husband.
- 3. One day, the queen visits and announces that at least one husband has been unfaithful, and that any wife who discovers his husband's infidelity must kill him that very day.
- 4. What happens?



After a date, one says to the other: "Would you like to come up to my apartment to see my etchings?"

Test

Guess what 2/3 of the average of your guesses will be, where the numbers are restricted to the real numbers between 0 and 100.

Problem

周迅的前男友窦鹏是窦唯的堂弟;窦唯是王菲的前老公;周迅的前男友宋宁是高原的表弟;高原是窦唯的前任老婆;周迅的前男友李亚鹏是王菲的现任老公;周迅的前男友朴树的音乐制作人是张亚东;张亚东是王菲的前老公窦唯的妹妹窦颖的前老公,也是王菲的音乐制作人;张亚东是李亚鹏前女友瞿颖的现男友。

下列说法不正确的是:

- 1. 王菲周迅是情敌关系
- 2. 瞿颖王菲是情敌关系
- 3. 窦颖周迅是情敌关系
- 4. 瞿颖周迅是情敌关系

Gateway to Heaven

Problem (天堂之路)

- 你面前有左右两人守卫左右两门。
- 一人只说真话, 一人只说假话。
- 一门通天堂,一门通地狱。
- 你只能向其中一人提一个"是/否"的问题。
- 怎么问出去天堂的路?

Hardest Logic Puzzle Ever

Problem (Hardest Logic Puzzle Ever)

- ullet Three gods, A, B, and C are called in some order, T, F, and R.
- T always speaks truly, F always speaks falsely (if he is certain he can; but if he is unable to lie with certainty, he responds like R), but whether R speaks truly or falsely (or whether R speaks at all) is completely random.
- Your task is to determine the identities of A, B, and C by asking 2 (3) yes/no questions; each question must be put to exactly one god.
- The gods understand English, but will answer in their own language, in which the words for 'yes' and 'no' are 'da' and 'ja' in some order. You don't know which word means which.

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Outline

- Critical Thinking ✓
- History
- Term Logic
- Propositional Logic ✓
- Predicate Logic ✓
- Equational Logic
- Set Theory
- Recursion Theory
- Modal Logic

Readings

	1. P. J. Hurley: A Concise Introduction to Logic.	— B
	2. H. de Swart: Pilosophical and Mathematical Logic.	— P
	3. P. Smith: An Introduction to Formal Logic.	— P
	4. P. Smith: Teach Yourself Logic.	— P
	5. J. van Benthem: Logic in Action.	— P
	6. Open Logic Project.	— <i>P</i>
	7. H. Enderton: A Mathematical Introduction to Logic.	— L
	8. H. Ebbinghaus, J. Flum, W. Thomas: Mathematical Logic.	— <i>L</i>
	9. A. Nerode, R. A. Shore: Logic for Applications.	— C
1	0. Yuri Manin: A Course in Mathematical Logic for Mathematicians	.— M

Readings, Movies and More







Hofstadter's Law

It always takes longer than you expect, even when you take into account Hofstadter's Law.

- D. Hofstadter: Gödel, Escher, Bach
- Dangerous Knowledge
- The Imitation Game
- Philosophical Logic
- Philosophy of Logic
- Philosophy of Mathematics

- libgen
- sci-hub
- XX-Net
- ghelper
- Google Cloud
- JJQQKK

Exams and Credits

- Question
- Discussion
- Exercises/Homework ✓
- Presentation
- Paper
- Examination √
- Techniques e.g. LATEX / Coq . . .
- . . .

Homework

Google/Wikipedia/Stanford Encyclopedia/Internet Encyclopedia

- Leibniz, Cantor, Frege, Russell, Hilbert, Gödel, Tarski, Turing.
- finite, infinite, syntax, semantics, formal system, deduction, logical consequence, consistency, satisfiability, validity, soundness, completeness, compactness, decidability
- Philosophy of Logic, Philosophical Logic
- Logicism, Formalism, Intuitionism
- Hilbert's program
- Church-Turing thesis

Aim

- Critical thinking ✓
- Formalization of an argument √
- Demonstration of the validity of an argument √
- Object & Meta-language / Syntax & Semantics / Finite & Infinite /
 Countable & Uncountable / Induction & Recursion / Truth & Proof /
 Axiomatization / Theory / Soundness / Completeness / Compactness /
 Elementary Equivalent & Isomorphism / Representability / Definability /
 Categoricity / Decidability / Complexity / Expressiveness / Succinctness /
 Interpretability . . . √
- Formal Philosophy
- Understanding of the nature of mathematics
- Application in Math / CS / Al / Linguistics / Cognition / Physics / Information Theory / Game Theory / Social Science . . .
- Mathematical Logic

The Music of Reason

How to express your thoughts precisely and succinctly?



The glory of the human spirit!
What are the extent and limits of reason?

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Logic vs other Disciplines

- Logic vs (Analytic) Philosophy. sense & reference / extension & intension / use & mention / truth & provability / mutual vs distributed vs common knowledge / knowledge update / belief revision / preference change / information flow / action & strategy / multi-agent interaction / counterfactual / causation / possible world / cross-world identity / essentialism / induction / ontological commitment / concept analysis / laws of thought / strength & limitation / paradoxes . . . Peirce, Frege, Russell, Wittgenstein, Ramsey, Carnap, Quine, Putnam, Kripke, Chomsky, Gödel, Tarski, Turing . . .
- Logic vs Mathematics.
 Logicism / Formalism / Intuitionism / Constructivism / Finitism /
 Structuralism / Homotopy Type Theory
- Logic vs Computer Science.

$$\frac{\text{Logic}}{\text{Computer Science}} \approx \frac{\text{Calculus}}{\text{Physics}}$$

Branches of Logic

Mathematical Logic

First Order Logic

Set Theory

Model Theory

Proof Theory

Recursion Theory

Computational Logic

- Automata Theory
- Computational Complexity
- Finite Model Theory
- Model Checking
 Theory
- Type Theory
- Lambda Calculus
- Categorical Logic
 Theorem Drawing
- Theorem Proving
- Description Logic
- Dynamic Logic
- Temporal Logic
- Hoare Logic
- Inductive Logic
- Fuzzy Logic
- Non-monotonic Logic
- Computability Logic
- Default Logic
- Situation Calculus

Philosophical LogicIntuitionistic Logic

- Algebraic Logic
- Quantum Logic
- Modal Logic
- Epistemic Logic
- Doxastic Logic
- Preference Logic
- Prevalence Logic
 Provability Logic
- Hybrid Logic
- Free Logic
- Conditional Logic
- Relevance Logic
- Linear Logic
 - Linear Logic
- Paraconsistent Logic
 Intensional Logic
- Intensional Logic
- Partial Logic
- Diagrammatic Logic
- Deontic Logic

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$$\nabla(\odot\!\cdot\!\odot)=\odot\nabla\odot+\odot\nabla\odot$$

Logic is

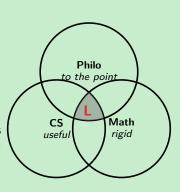
- 1. mainly philosophy by subject matter
- 2. mainly mathematics by methodology
- 3. mainly computer science by applications

Logicians always want to be

- 1. Philosophers of philosophers
- 2. Mathematicians of mathematicians
- 3. Computer scientists of computer scientists

• However, they often end up being

- 1. Mathematicians to philosophers
- 2. Computer scientists to mathematicians
- 3. Philosophers to computer scientists



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Aristotle(384-322 BC) — Term Logic

- Three Modes of Persuasion in Rhetoric: Ethos, Pathos, and Logos.
- Term Logic.
- Aristotle believed that any logical argument can, in principle, be broken down into a series of applications of a small number of syllogisms.
- Four Causes: material/formal/efficient/final



Leibniz 1646-1716

Don't argue. Calculate!

- Principle of Contradiction: Nothing can be and not be, but everything either is or is not.
- Principle of Sufficient Reason: Nothing is without a reason.
- Principle of Perfection: The real world is the best of all possible worlds.

In the beginning was the Logic.

As God calculates, so the world is made.



Leibniz's Dream — Deduction

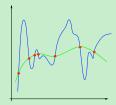
- 1 Characteristica Universalis & Calculus Ratiocinator.
 - i the coordination of knowledge in an encyclopedia collect all present knowledge so we could sift through it for what is fundamental. With the set of ideas that it generated, we could formulate the *characteristica universalis*. (which form the alphabet of human thought).
 - ii *characteristica universalis* a universal ideal language whose rules of composition directly expresses the structure of the world.

sign *⇄* idea

encyclopedia \Rightarrow fundamental principles \Rightarrow primitive notions

- iii *calculus ratiocinator* the arrangement of all true propositions in an axiomatic system.
- iv decision procedure. an algorithm which, when applied to any formula of the *characteristica universalis*, would determine whether or not that formula were true. a procedure for the rapid enlargement of knowledge. replace reasoning by computation. the art of invention. free mind from intuition.
- v a proof that the *calculus ratiocinator* is consistent.

Leibniz's Dream — Induction



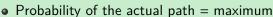
- 2. Compute all descriptions of possible worlds that can be expressed with the primitive notions. And the possible worlds will all have some propensity to exist.
- 3. Compute the probabilities of disputed hypotheses relative to the available data. As we learn more our probability assignments will asymptotically tend to a maximum for the real world, i.e., the possibility with the highest actual propensity.

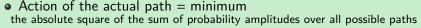
Leibniz's Metaphysics and Quantum Mechanics

Monadology	Path Integral
Amount of existence	Square of probability amplitude
Measure of necessity of individual possibility	Probability
Collision or competition of possibil-	Interference or summation of prob-
ities	ability amplitudes
Coexisting or compatible essences	Superposition of coherent paths
Maximal degree of existence	Observed path

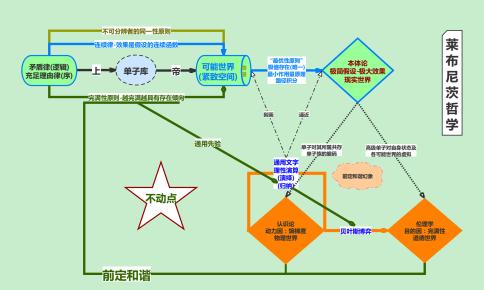
$$P = |\langle q_2, t_2 | q_1, t_1 \rangle|^2 \quad \langle q_2, t_2 | q_1, t_1 \rangle = \int_{q_1}^{q_2} \varphi[q] \mathcal{D}q$$

$$\varphi[q] \propto e^{\frac{i}{\hbar}S[q]} \quad S[q] = \int_{t_1}^{t_2} L[q(t), \dot{q}(t)] dt \quad \delta S = 0$$





Leibniz's Program



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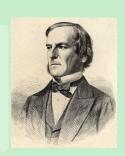
The Rise of Logic

Modal Logic

After Gödel

Boole 1815-1864

- The Laws of Thought.
- Logic as Algebra.
- Propositional Logic.
- Algebra's strength emanates from the fact that the symbols that represent quantities and operations obey a small number of rules.



Cantor 1845-1918

- Mathematics \rightsquigarrow Set Theory.
- Diagonalization.
- There are many different levels of infinity.
- Cantor set.
- Continuum Hypothesis (CH).
 How many points on the line?



Frege 1848-1925 (+Peirce)

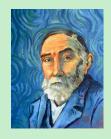
- Begriffsschrift, a formal language of pure thought modelled upon that of arithmetic.
- Predicate Logic. (Relation & Quantification) (Every boy loves some girl.)

$$\frac{\text{subject}}{\text{predicate}} \approx \frac{\text{argument}}{\text{function}}$$

Philosophy of Language.

The evening star is the morning star. (venus)

Logicism Mathematics → Logic.¹



¹Frege: The Foundations of Arithmetic.

Russell 1872-1970

- Russell Paradox.
 (3^{ed} crisis of the Foundations of Mathematics)
- Theory of Descriptions.
 (The present King of France is not bald.)
- Type Theory.
- Principia Mathematica.



No barber shaves exactly those who do not shave themselves.²

```
*54 · 43. \vdash: \alpha, \beta \in 1. \supset: \alpha \cap \beta = \Lambda. \equiv .\alpha \cup \beta \in 2 

Dem.

\vdash .* 54 · 26. \supset \vdash: .\alpha = t'x, \beta = t'y. \supset: \alpha \cup \beta \in 2. \equiv x \neq y.

[*51 · 231] \qquad \equiv .t'x \cap t'y = \Lambda.

[*13 · 12] \qquad \equiv .\alpha \cap \beta = \Lambda \qquad (1)

\vdash .(1). *11 · 11 · 35. \supset

\vdash .(.1). *10. *10. <math>\vdash: .(.1). = t'x, \beta = t'y. \supset: \alpha \cup \beta \in 2. \equiv .\alpha \cap \beta = \Lambda

\vdash .(.1). *10. <math>\vdash: .(.1). = t'x, \beta = t'y. \supset: \alpha \cup \beta \in 2. \equiv .\alpha \cap \beta = \Lambda

\vdash .(.2). *11 · 54. *52 · 1. \supset \vdash. Prop

From this proposition it will follow, when arithmetical addition has been defined, that 1 + 1 = 2.
```

²Russell: On denoting.

Intuitionism

- Impredicativism. (Poincaré, Russell)
 Vicious circle principle: No entity can be defined only in terms of a totality to which this entity belongs.
- Intuitionism Logic → Mathematics → Mental construction.
 (Kronecker, Brouwer, Heyting, Kolmogorov, Weyl)
 - Potential infinity vs actual infinity.
 - To be is to be constructed by intuition.
 - Law of excluded middle.x
 - Non-constructive proof.×

(There exist two irrational numbers x and y s.t. x^y is rational.)

$$\sqrt{2} \quad \log_2 9$$

"God created the integers, all the rest is the work of man."

• Constructive Mathematics. (Bishop, Martin-Löf)









Hilbert 1862-1943

- Formal Axiomatization of Geometry.
 The consistency of geometry relative to arithmetic.
 (Klein: Non-Euclidean relative to Euclidean)
 (natural/integer/rational/real/complex)
- Hilbert's 23/24 problems. (1st, 2nd, 10th, 24th)
- Meta-mathematics Proof Theory.
- Formalism Mathematics → Symbolic Game.
- Axioms are the implicit definitions of the concepts.
- One must be able to say 'table, chair, beer-mug' each time in place of 'point, line, plane'.
- Mathematics is a game played according to certain rules with meaningless marks on paper.
- We hear within us the perpetual call: There is the problem. Seek its solution. You can find it by pure reason, for in mathematics there is *no ignorabimus*.
- We must know; We will know.



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Leibniz & Hilbert — Dream Shattered...





Gödel 1906-1978

"I am unprovable."3

- Completeness.
 I think (consistently), therefore I am.
 (Consistency implies existence.)
- Incompleteness.
 - 1. provable < true
 - 2. un-self-aware
- Consistency of AC and CH.





 $^{^3}$ Gödel: On formally undecidable propositions of Principia Mathematica and related systems.

Tarski 1901-1983

"snow is white" is true iff snow is white.

"I am false."4

Model Theory

Undefinability of Truth

Arithetical truth can't be defined in arithmetic.

The theory of real closed fields / elementary geometry is complete and decidable.

Banach-Tarski Paradox



⁴ Tarski: On the Concept of Truth in Formalized Languages.
Tarski: The Semantic Conception of Truth and the Foundations of Semantics.

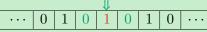
Turing 1912-1954

- Universal Turing Machine.
- Church-Turing Thesis.
- Halting Problem.
- Undecidability.
- Oracle Machine.
- Computable Absolutely Normal Number.
- Turing Test.
- Morphogenesis.
- Good-Turing Smoothing.









What is "effective procedure"?⁵ — Recursion Theory

 $^{^{5}}$ Turing: On computable numbers, with an application to the Entscheidungsproblem.

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Welcome to Cantor's Paradise



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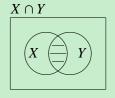
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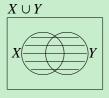
Set Theory
Axioms of ZFC

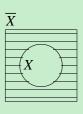
Predicate Logic

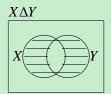
Modal Logic

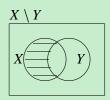












ZFC — Axioms

- $a \in A$ reads: a is an element of A. (Definition? No!)
- Extensionality.

$$X = Y \leftrightarrow \forall u (u \in X \leftrightarrow u \in Y)$$

• Axiom Schema of Comprehension. (x)

For any formula A, there exists a set $Y = \{x : A(x)\}.$

$$R := \{x : x \notin x\} \quad R \in R?$$
 (Russell Paradox)

Separation Schema.

For any formula A, for any X, there exists a set $Y = \{u \in X : A(x)\}.$

$$\forall X \exists Y \forall u (u \in Y \leftrightarrow u \in X \land A(x))$$

ZFC — Axioms

• **Pairing**. For any a and b there exists a set $c = \{a, b\}$.

$$\forall ab \exists c \forall x (x \in c \leftrightarrow x = a \lor x = b)$$

• **Power**. For any X there exists a set $Y = P(X) := \{u : u \subset X\}$.

$$\forall X \exists Y \forall u (u \in Y \leftrightarrow \forall z (z \in u \to z \in X))$$

• Union. For any X there exists a set $Y = \bigcup X$.

$$\forall X \exists Y \forall u (u \in Y \leftrightarrow \exists z (z \in X \land u \in z))$$

$$\bigcup_{i \in I} X_i := \bigcup \{X_i : i \in I\}$$

$$\bigcap X := \{u : \forall z (z \in X \to u \in z)\}$$

Relation

ordered pair.

$$(a,b) \coloneqq \{\{a\}, \{a,b\}\}\}$$

$$(a_1, \dots, a_{n+1}) \coloneqq ((a_1, \dots, a_n), a_{n+1})$$

$$(a_1, \dots, a_n) = (b_1, \dots, b_n) \to a_i = b_i \quad \text{for} \quad 1 \le i \le n$$

$$X \subsetneq Y \quad X \cup Y \quad X \cap Y \quad X \setminus Y \quad X\Delta Y \quad X \times Y \quad \prod_{i=1}^n X_i \quad X^n$$

• n-ary relation R on X_1, \ldots, X_n .

$$R \subset \prod_{i=1}^{n} X_{i}$$

$$R(x_{1}, \dots, x_{n}) := (x_{1}, \dots, x_{n}) \in R$$

Equivalence Relation, Quotient, Partition

- $x \sim x$ (Reflexivity)
- $x \sim y \rightarrow y \sim x$ (Symmetry)
- $x \sim y \wedge y \sim x \rightarrow x \sim z$ (Transitivity)
- equivalence class: $[x] := \{y \in X : x \sim y\}$
- quotient set: $X/\sim := \{[x] : x \in X\}$

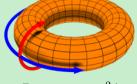


Figure: torus \mathbb{R}^2/\sim

$$(x,y)\sim (x',y')\ \coloneqq\ (x\!-\!x',y\!-\!y')\in \mathbb{Z}^2$$

- we say $\mathcal{P} \subset \mathrm{P}(X)$ is a partition of X if
 - 1. $\forall xy \in \mathcal{P} : x \neq y \rightarrow x \cap y = \emptyset$
 - 2. $\bigcup \mathcal{P} = X$
- X/\sim is a partition of X.
- $R \subset X^2$ is an equivalence relation iff there is a partition \mathcal{P} of X s.t $R(x,y) \iff \exists A \in \mathcal{P}(x,y \in A)$.



Function

• A *n*-ary operation $f: \prod_{i=1}^n X_i \to Y$ is a function if

$$(\mathbf{x}, y) \in f \land (\mathbf{x}, z) \in f \longrightarrow y = z$$

• injection (one-to-one). $f: X \rightarrow Y$

$$f(x) = f(y) \to x = y$$

• surjection (onto). $f: X \rightarrow Y$.

$$\forall y \in Y \exists x \in X (f(x) = y)$$

- bijection. $f: X \rightarrow Y$
- restriction. composition. image. inverse image. inverse function.

$$f \upharpoonright_A := \{(x, y) \in f : x \in A\} \quad (f \circ g)(x) := f(g(x))$$

 $f(A) := \{f(x) : x \in A\} \quad f^{-1}(A) := \{x : f(x) \in A\}$

Exercises

In Set,

$$\begin{array}{cccc} f: X \rightarrowtail Y & \text{iff} & \exists g: Y \to X: gf = 1_X \\ & \text{iff} & \forall Z \forall g_1 g_2: Z \to X: fg_1 = fg_2 \implies g_1 = g_2 \\ f: X \twoheadrightarrow Y & \text{iff} & \exists g: Y \to X: fg = 1_Y \\ & \text{iff} & \forall Z \forall g_1 g_2: Y \to Z: g_1 f = g_2 f \implies g_1 = g_2 \\ & X \xrightarrow{f} Y & Y \xrightarrow{g} X \\ \downarrow g & \downarrow f \\ X & Y & Y \end{array}$$

 $Z \xrightarrow{g_1} X \xrightarrow{} Y$ $f: X \rightarrowtail Y \quad \text{iff the diagram commutes:} \quad X \xrightarrow{f} Y$ $X \xrightarrow{f} Y$

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Ordinal vs Cardinal

 ordinal. ("length") A set is an ordinal if it is transitive and well-ordered by ∈. or equivalently,

$$\mathrm{Ord}(x) \ \coloneqq \ \bigcup x \subset x \land \forall y z (y \in x \land z \in x \to y \in z \lor y = z \lor z \in y)$$

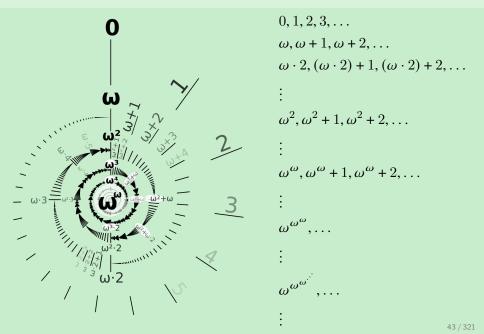
• cardinal. ("size") $\operatorname{Card}(x) := \operatorname{Ord}(x) \land \forall y \in x(|y| \neq |x|)$

$$|M| = |N| := \exists f : M \rightarrowtail N \qquad |M| \le |N| := \exists f : M \rightarrowtail N$$

$$|M| := \min\{\alpha \in \text{Ord} : |\alpha| = |M|\}$$

The infinite ordinal numbers that are cardinals are called alephs.

Ordinal



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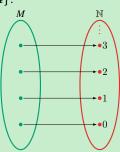
How do we count a finite set?

$$M := \{apple, orange, banana, grape\}$$

What does |M| = 4 mean?

There is a bijection between M and $N \coloneqq \{1, 2, 3, 4\}$.

$$\begin{array}{ccccc} \mathsf{apple} & \longleftrightarrow & 1 \\ \mathsf{orange} & \longleftrightarrow & 2 \\ \mathsf{banana} & \longleftrightarrow & 3 \\ \mathsf{grape} & \longleftrightarrow & 4 \\ \\ |M| = |N| \; \coloneqq \; \exists f : M \rightarrowtail N \end{array}$$



A set A is finite if $\exists n \in \mathbb{N} : |A| = n$.

Does renaming the elements of a set change its size? No! Bijection is nothing more than renaming.

How do we compare the sizes of finite sets?

```
apple
                                                                                         John
                                                                                        Peter
                                                                   orange
      M := \{ apple, orange, banana, grape \}
                                                                   banana
                                                                                          Bell
                                                                                       Emma
     N := \{John, Peter, Bell, Emma, Sam\}
                                                                   grape
                                                                                          Sam
What does |M| \leq |N| mean?
                                                               |M| \leq |N| := \exists f : M \rightarrow N
        apple
                                            John
                                                               |M| \le |N| := \exists f : N \twoheadrightarrow M
                                            Peter
        orange
                    \longleftrightarrow 2 \longleftrightarrow
        banana
                    \longleftrightarrow 3 \longleftrightarrow
                                              Bell
                                                                   apple
                                                                                         John
                             4 \longleftrightarrow \mathsf{Emma}
        grape
                                                                                         Peter
                                                                   orange
                             5 \longleftrightarrow
                                             Sam
                                                                                          Bell
                                                                   banana
                                                                                       Emma
                                                                   grape
                                                                                          Sam
```

The way of comparing the size of finite sets generalizes to infinite sets!

$$|\mathbb{N}| = |\mathbb{Z}|$$

$$0 \longleftrightarrow 0$$

$$1 \longleftrightarrow 1$$

$$2 \longleftrightarrow -1$$

$$3 \longleftrightarrow 2$$

$$4 \longleftrightarrow -2$$

$$5 \longleftrightarrow 3$$

$$6 \longleftrightarrow -3$$

$$7 \longleftrightarrow 4$$

$$8 \longleftrightarrow -4$$

$$\vdots \qquad \vdots$$

Dedekind-Infinite

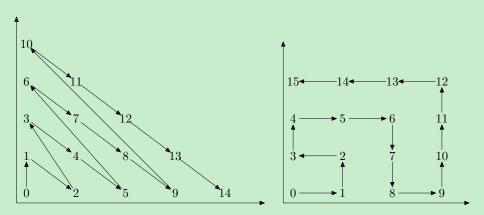
A set A is Dedeking-infinite if some proper subset $B \subsetneq A$ is equinumerous to A.

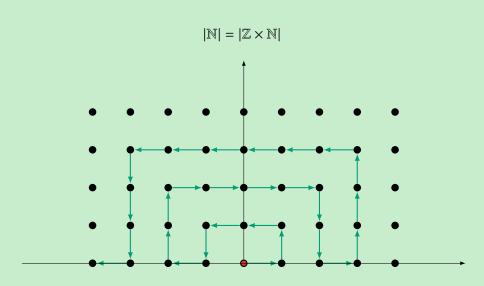


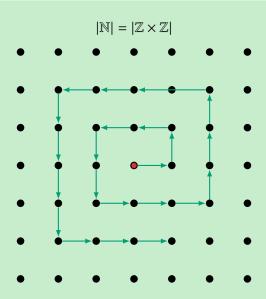
Figure: Dedekind

$\{0,1,2,3,4,\dots\}$	$ \mathbb{N} = 2^{<\omega} $		
$\{1, 3, 5, 7, 9, \dots\}$	Ŭ	\longleftrightarrow	ϵ
$\{0, 2, 4, 6, 8, \dots\}$ $\{0, 1, 4, 9, 16, \dots\}$	2	\longleftrightarrow	0 1
$\{2, 3, 5, 7, 11, \dots\}$	4	\longleftrightarrow	00 01
• A set A is countable iff $ A \leq \mathbb{N} $.	6	\longleftrightarrow	10 11
• Is it possible that A is infinite, but $ A < \mathbb{N} $?	•	\longleftrightarrow	000
• A set A is countably infinite iff $ A = \mathbb{N} $.	:		:





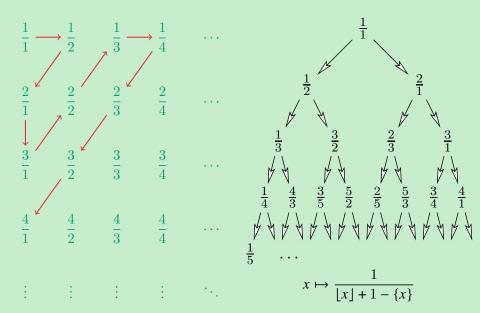




$|\mathbb{N}| = |\mathbb{Q}|$

$$|\mathbb{N}| = |\mathbb{Z}| = |2^{<\omega}| = |\mathbb{N} \times \mathbb{N}| = |\mathbb{Z} \times \mathbb{N}| = |\mathbb{Z} \times \mathbb{Z}| = |\mathbb{Q}|$$

$|\mathbb{N}| = |\mathbb{Q}^+|$



Hilbert's Hotel

Problem (Hilbert's Hotel)

Consider a hypothetical hotel with a countably infinite number of rooms, all of which are occupied.

- 1. Finitely many new guests.
- 2. Infinitely many new guests.
- 3. Infinitely many buses with infinitely many guests each.

⊙ .	$\Delta \odot$	⊙Δ⊙	⊙Δ⊙	⊙Δ⊙	ΟΔΟ	ΟΔΟ	ΟΔΟ	•••
0	ô®	©ô®	©ô [©]	©ô®	©ô®	©ô [⊚]	©ô [⊚]	
0	ô®	©ô®	®ô®	®ô®	°ô°	°ô°	°ô°	
0	ô®	°ô°	©ô [©]	°ô°	°ô°	°ô°	©ô [⊚]	
	:	:	•	:	•	:	:	٠

The set of real numbers is uncountable

Is every set countable?

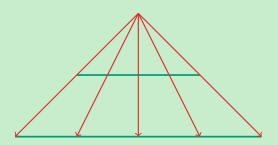
Theorem (Cantor)

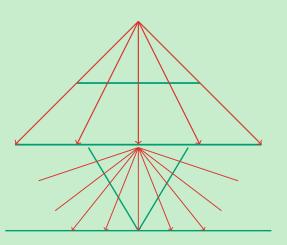
$$|\mathbb{R}| > |\mathbb{N}|$$

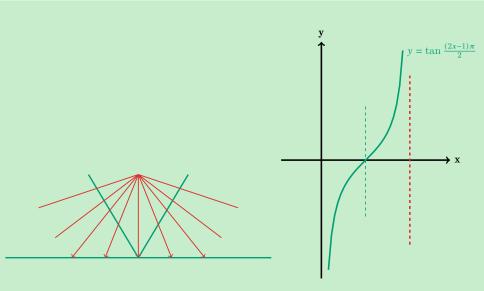
Proof.

Let $d = 0.d_1d_2...$ where

$$d_n = 9 - r_{nn}$$



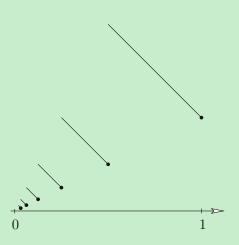


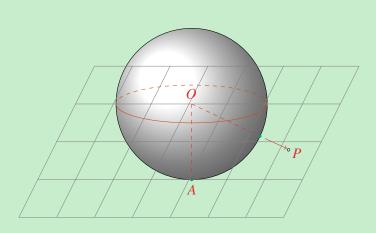


$$f: (0,1] \implies (0,1)$$

$$f(x) := \begin{cases} \frac{3}{2} - x & \text{for } \frac{1}{2} < x \le 1\\ \frac{3}{4} - x & \text{for } \frac{1}{4} < x \le \frac{1}{2}\\ \frac{3}{8} - x & \text{for } \frac{1}{8} < x \le \frac{1}{4}\\ \vdots \end{cases}$$

$$f(x) := \begin{cases} \frac{x}{x+1} & \text{if } \exists n \in \mathbb{N} : x = \frac{1}{n} \\ x & \text{otherwise} \end{cases}$$





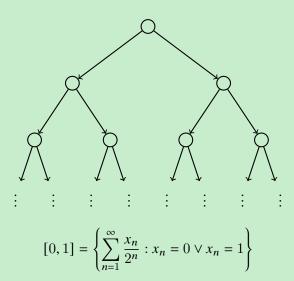
Theorem

$$|\mathbb{R}| = |\mathbb{R} \times \mathbb{R}|$$

Proof.

$$x = 0.3$$
 01 2 007 08...
 $y = 0.009$ 2 05 1 0003...
 $z = 0.3 009 01 2 2 05 007 1 08 0003 ...$

Continuum



Cantor's Continuum Hypothesis

Cantor's Continuum Hypothesis (CH)

$$2^{\aleph_0} \stackrel{?}{=} \aleph_1$$



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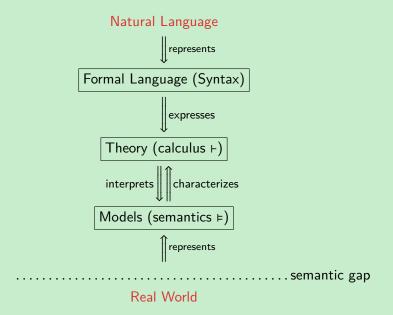
Predicate Logic

Modal Logic

Logic → Truth

Truth points the way for logic, just as beauty does for aesthetics, and goodness for ethics.

Frege transform endow suspend **Meaning**



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Propositional Logic

- Language.
 Building blocks of propositional logic language.
- Syntax.
 Propositional symbols and propositional formulae.
- Semantics.
 Assign "meaning" to propositional formulae by first assigning "meaning" to propositional symbols.
- Calculus.
 Axioms and inference rules.

Syntax

Language

$$\mathcal{L}^0 \coloneqq \{\neg, \land, \lor, \rightarrow, \leftrightarrow, (,)\} \cup \mathcal{P}$$

where $\mathcal{P} \coloneqq \{p_1, \dots, p_n, (\dots)\}.$

Well-Formed Formula wff

$$A := p \mid (\neg A) \mid (A \land A) \mid (A \lor A) \mid (A \to A) \mid (A \leftrightarrow A)$$

- \bullet $\bot := (A \land (\neg A))$
- \bullet $\top := (\neg \bot)$

Example

- Lily is (not) beautiful.
- If wishes are horses, then beggars will ride.
- Lily is beautiful and/or/iff 2 is not a prime number.

Well-Formed Formula

A panda eats, shoots and leaves.



Definition (Formula-Building Operator)

$$\mathcal{E}_{\neg}(A) := (\neg A)$$

$$\mathcal{E}_{\wedge}(A,B) := (A \wedge B)$$

$$\mathcal{E}_{\vee}(A,B) := (A \vee B)$$

$$\mathcal{E}_{\rightarrow}(A,B) := (A \to B)$$

$$\mathcal{E}_{\leftrightarrow}(A,B) := (A \leftrightarrow B)$$

$$\mathcal{E}_{\neg}(A) := \neg A$$

$$\mathcal{E}_{\wedge}(A,B) := \wedge AB$$

$$\mathcal{E}_{\vee}(A,B) := \vee AB$$

$$\mathcal{E}_{\rightarrow}(A,B) := \rightarrow AB$$

$$\mathcal{E}_{\leftrightarrow}(A,B) := \leftrightarrow AB$$

Well-Formed Formula

Definition (Construction Sequence)

A construction sequence (C_1, \ldots, C_n) is a finite sequence of expressions s.t. for each $i \leq n$ we have at least one of

$$C_i = p_i$$
 for some i
$$C_i = (\neg C_j)$$
 for some j
$$C_i = (C_j \star C_k)$$
 for some $j < i, k < i$, where $\star \in \{\land, \lor, \rightarrow, \leftrightarrow\}$.

Definition (Well-Formed Formula)

A formula A is a well-formed formula (wff) iff there is some construction sequence (C_1, \ldots, C_n) and $C_n = A$.

$$\begin{split} & \text{wff}_0 \coloneqq \{p_1, p_2, \dots\} \\ & \text{wff}_{n+1} \coloneqq \text{wff}_n \cup \left\{ (\neg A) : A \in \text{wff}_n \right\} \cup \left\{ (A \to B) : A, B \in \text{wff}_n \right\} \\ & \text{wff}_* \coloneqq \bigcup_{n \in \mathbb{N}} \text{wff}_n \end{split}$$

Generation — Bottom Up vs Top Down

Problem

Given a class $\mathcal F$ of functions over U, how to generate a certain subset of U by starting with some initial elements $B \subset U$?

Bottom Up

$$C_0 := B$$

$$C_{n+1} := C_n \cup \bigcup_{f \in \mathcal{F}} \{ f(\mathbf{x}) : \mathbf{x} \in C_n \} \qquad \deg(\mathbf{x}) := \mu n \left[\mathbf{x} \in C_n \right]$$

$$C_* := \bigcup_{\mathbf{x} \in \mathcal{V}} C_n$$

Top Down

- A set S is closed under a function f if for all $x: x \in S \to f(x) \in S$.
- A set S is inductive if $B \subset S$ and for all $f \in \mathcal{F}$: S is closed under f.
- $C^* := \bigcap \{S : S \text{ is inductive}\}$

Bottom Up vs Top Down

How many bottles of beer can you buy with \$10?

- \$2 can buy 1 bottle of beer.
- 4 bottle caps can be exchanged for 1 bottle of beer.
- 2 empty bottles can be exchanged for 1 bottle of beer.

Generation — Bottom Up vs Top Down

Example

Let
$$B := \{0\}, \mathcal{F} := \{S, P\}, S(x) := x + 1, P(x) := x - 1$$

 $C_* = \{\dots, -2, -1, 0, 1, 2, \dots\}$

There is more than one way of obtaining a member of C_* , e.g. 1 = S(0) = S(P(S(0))).

Theorem (Bottom up and Top down)

$$C_* = C^*$$

Proof.

 $(C^* \subset C_*)$: to show C_* is inductive. $(C_* \subset C^*)$: consider $x \in C_*$ and a construction sequence (x_1, \ldots, x_n) for x. First $x_1 \in B \subset C^*$. If for all j < i we have $x_j \in C^*$, then $x_i \in C^*$. By induction, $x_1, \ldots, x_n \in C^*$.

Induction Principle for wff

Theorem (Induction Principle)

Let P be a property of formulae, satisfying

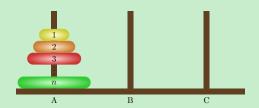
- every atomic formula has property P, and
- ullet property P is closed under all the formula-building operations, then every formula has property P.

Proof.

$$wff_* = wff^* \subset P$$

$$P(0) \land \forall k \in \mathbb{N}(P(k) \to P(k+1)) \to \forall n \in \mathbb{N}P(n)$$
$$P(k) := P(\text{wff}_k)$$

Induction vs Recursion



 $P(n) := "n \text{ rings needs } 2^n - 1 \text{ moves."}$

- 1. If ever you leave milk one day, be sure and leave it the next day as well.
- 2. Leave milk today.

Leave milk today and read this note again tomorrow.

Subformula

Definition (Subformula)

The set $\mathrm{Sub}(A)$ of subformulae of a wff A is the smallest set Γ that satisfies

- 1. $A \in \Gamma$
- 2. $\neg B \in \Gamma \implies B \in \Gamma$
- 3. $B \to C \in \Gamma \implies B, C \in \Gamma$

$$\operatorname{Sub}(A) := \begin{cases} A & \text{if } A = p \\ \{A\} \cup \operatorname{Sub}(B) & \text{if } A = \neg B \\ \{A\} \cup \operatorname{Sub}(B) \cup \operatorname{Sub}(C) & \text{if } A = B \to C \end{cases}$$

Unique Readability, Unique Tree

subformula vs subtree

Omitting Parentheses

- 1. The outermost parentheses need not be explicitly mentioned.
- 2. We order the boolean connectives according to decreasing binding strength: \neg , \wedge , \vee , \rightarrow , \leftrightarrow .
- 3. Where one connective symbol is used repeatedly, grouping is to the right.

$$1 + 2 * 3$$

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Assignment

 \bullet A truth assignment for \mathscr{L}^0 is a function

$$\nu: \mathcal{P} \to \{0,1\}$$

- Such a truth assignment can be uniquely extended to $\overline{\nu}: wff \to \{0,1\}$ satisfying the following condition:
 - 1. $\overline{\nu}(p) = \nu(p)$ for $p \in \mathcal{P}$
 - 2. $\overline{\nu}(\neg A) = 1 \overline{\nu}(A)$
 - 3. $\overline{\nu}(A \wedge B) = \min{\{\overline{\nu}(A), \overline{\nu}(B)\}}$
 - 4. $\overline{v}(A \vee B) = \max{\{\overline{v}(A), \overline{v}(B)\}}$
 - 5. $\overline{\nu}(A \to B) = 1 \overline{\nu}(A) + \overline{\nu}(A) \cdot \overline{\nu}(B)$
 - 6. $\overline{v}(A \leftrightarrow B) = \overline{v}(A) \cdot \overline{v}(B) + (1 \overline{v}(A)) \cdot (1 \overline{v}(B))$

Truth Table & Truth/Boolean Function

p	$\neg p$
0	1
1	0

p	q	$p \wedge q$	$p \lor q$	$p \rightarrow q$	$p \leftrightarrow q$
0	0	0	0	1	1
0	1	0	1	1	0
1	0	0	1	0	0
1	1	1	1	1	1

Example

- If 0 = 1, then Russell is God.
- Snow is white iff 1 + 1 = 2.

Material Implication vs Cognition

Which cards must be turned over to test the idea that if a card shows an even number on one face, then its opposite face is red?



No drinking under 18!

Tautology

If lily is beautiful, then the fact that 2 is a prime number implies lily is beautiful.

\overline{p}	\overline{q}	$q \rightarrow p$	$p \rightarrow q \rightarrow p$
0	0	1	1
0	1	0	1
1	0	1	1
1	1	1	1

 2^n truth assignments for a set of n propositional symbols.

- $\nu \models A$ if $\overline{\nu}(A) = 1$.
- Logical Consequence. $\Gamma \models A$ if for any truth assignment ν s.t. (for all $B \in \Gamma : \nu \models B$) $\Longrightarrow \nu \models A$.
- Tautology. $\models A$ if $\emptyset \models A$.

$$\models (p \to q \to r) \to (p \to q) \to p \to r$$

p	q	r	$q \rightarrow r$	$p \rightarrow q \rightarrow r$	$p \rightarrow q$	$p \rightarrow r$	$(p \rightarrow q) \rightarrow p \rightarrow r$	$(p \to q \to r) \to (p \to q) \to p \to r$
0	0	0						1
0	0	1						1
0	1	0						1
0	1	1						1
1	0	0						1
1	0	1						1
1	1	0						1
1	1	1						1

Truth Table — Simplification for Tautology

Exercises — Translation

- 1. The answer is 3 or 6.
- 2. I am not good at logic.
- 3. If you can't say it clearly, you don't understand it yourself.
- 4. You understand something only if you can formalize it.
- 5. I will go out unless it rains.
- 6. You can pay by credit card or cheque.
- 7. Neither Sarah nor Peter was to blame for the mistake.
- 8. I want to buy either a new desktop computer or a laptop, but I have neither the cash nor the credit I need.
- If I get in the lift then it breaks, and/or if you get in then the lift breaks. (?)
 (Natural language is ambiguous!)
- 10. If we both get in the lift, then the lift breaks.
- 11. $p \lor q \to r \bowtie (p \to r) \land (q \to r)$
- 12. $p \land q \rightarrow r \bowtie (p \rightarrow r) \lor (q \rightarrow r)$



- 1. The programmer's wife tells him: "Run to the store and pick up a loaf of bread. If they have eggs, get a dozen."
- 2. The programmer comes home with 12 loaves of bread.
- 3. "Why did you buy 12 loaves of bread!?", his wife screamed.
- 4. "Because they had eggs!"
- wife.

$$q \land (p \rightarrow r)$$

programmer.

$$(\neg p \rightarrow q) \land (p \rightarrow s)$$

Exercises — Validity

1.
$$p \lor q \bowtie \neg p \rightarrow q \bowtie (p \rightarrow q) \rightarrow q$$

2.
$$p \land q \vDash \neg(p \rightarrow \neg q)$$

3.
$$p \leftrightarrow q \bowtie (p \rightarrow q) \land (q \rightarrow p)$$

4.
$$p \land q \vDash \neg(\neg p \lor \neg q)$$

5.
$$p \rightarrow q \rightarrow r \bowtie (p \land q) \rightarrow r$$

6.
$$p \rightarrow q \bowtie \neg q \rightarrow \neg p$$

7.
$$p \land (q \lor r) \bowtie (p \land q) \lor (p \land r)$$

8.
$$p \lor (q \land r) \bowtie (p \lor q) \land (p \lor r)$$

9.
$$\neg (p \lor q) \vDash \neg p \land \neg q$$

10.
$$\neg (p \land q) \vDash \neg p \lor \neg q$$

11.
$$p \bowtie p \lor (p \land q)$$

12.
$$p \bowtie p \land (p \lor q)$$

1.
$$\neg \neg p \rightarrow p$$

2.
$$p \rightarrow \neg \neg p$$

3.
$$p \vee \neg p$$

4.
$$\neg(p \land \neg p)$$

5.
$$p \land \neg p \rightarrow q$$

6.
$$(p \to q) \land (\neg p \to q) \to q$$

7.
$$(p \rightarrow q) \land (p \rightarrow \neg q) \rightarrow \neg p$$

8.
$$(\neg p \to q) \land (\neg p \to \neg q) \to p$$

9.
$$((p \rightarrow q) \rightarrow p) \rightarrow p$$

1.
$$\Gamma, A \models B \iff \Gamma \models A \to B$$

2.
$$A \bowtie B \iff \models A \leftrightarrow B$$

3.
$$A \lor B$$
, $\neg A \lor C \models B \lor C$

$$\frac{p \to q}{\frac{q}{p}}$$

$$\frac{p \to q}{\neg p}$$

$$\frac{p \vee q}{\neg q}$$

I think, therefore I am
I do not think
Therefore I am not

Mickey is murdered by Tom or Jerry
Tom is the killer

Jerry is innocent

By all means marry; if you get a good wife, you'll be happy. If you get a bad one, you'll become a philosopher.

— Socrates

好货不贱, 贱货不好。

如果把整个太平洋的水倒出,也浇不灭我对你爱情的火焰。整个太平洋的水倒得出吗?不行。所以,我不爱你。

如果把整个浴缸的水倒出,也浇不灭我对你爱情的火焰。整个浴缸的水倒得出吗?可以。所以,是的,我爱你。

- 如果你工作,就能挣钱;如果你赋闲在家,就能悠然自在。你要么工作要么赋闲,总之,你能挣钱或者能悠然自在。
- 如果你工作,就不能悠然自在;如果你赋闲在家,就不能挣钱。你 要么工作要么赋闲,总之,你不能悠然自在或者不能挣钱。

$$p \to r, q \to s \models p \lor q \to r \lor s$$
$$p \to \neg s, q \to \neg r \models p \lor q \to \neg s \lor \neg r$$

- 老婆婆有俩儿子,老大卖阳伞,老二卖雨伞,晴天雨伞不好卖,雨天 阳伞不好卖……
- 被困失火的高楼, 走楼梯会被烧死, 跳窗会摔死.....

诉讼悖论

- 曾有师生签订合同:上学期间不收费,学生毕业打赢第一场官司后交学费。
- 可学生毕业后并未从事律师职业,于是老师威胁起诉学生。
- 老师说:如果我赢了,根据法庭判决,你必须交学费;如果你赢了,根据 合同,你也必须交学费。要么我赢要么你赢,你都必须交学费。
- 学生说:如果我赢了,根据法庭判决,我不用交学费;如果你赢了,根据 合同,我不用交学费。要么我赢要么你赢,我都不用交学费。

$$w \to p, \neg w \to p, w \lor \neg w \models p$$

$$w \land j \to p, \neg w \land c \to p, w \lor \neg w \stackrel{?}{\models} p$$

$$\neg w \land j \to \neg p, w \land c \to \neg p, w \lor \neg w \stackrel{?}{\models} \neg p$$

$$w \land j \to p, \neg w \land c \to p, (w \land j) \lor (\neg w \land c) \models p$$



The Crocodile Dilemma

The Crocodile Dilemma

I will return your child iff you can correctly predict what I will do next.

Gateway to Heaven

Problem (天堂之路)

- 你面前有左右两人守卫左右两门。
- 一人只说真话, 一人只说假话。
- 一门通天堂,一门通地狱。
- 你只能向其中一人提一个"是/否"的问题。
- 怎么问出去天堂的路?

$$x = ? \implies \models (p \rightarrow (x \leftrightarrow q)) \land (\neg p \rightarrow (x \leftrightarrow \neg q))$$

p: 你说真话。

• q: 左门通天堂。

p	q	$(p \land q) \lor (\neg p \land \neg q)$	report	A
0	0	1	0	1
0	1	0	1	1
1	0	0	0	1
1	1	1	1	1

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Why Study Formal System?

Why truth tables are not sufficient?

- Exponential size
 - How many times would you have to fold a piece of paper (0.1mm) onto itself to reach the Moon?
 - Common Ancestors of All Humans
 - (1) Someone alive 1000BC is an ancestor of everyone alive today;
 - (2) Everyone alive 2000BC is either an ancestor of nobody alive today or of everyone alive today;
 - (3) Most of the people you are descended from are no more genetically related to you than strangers are.
 - (4) Even if everyone alive today had exactly the same set of ancestors from 2000BC, the distribution of one's ancestors from that population could be very different.
- Inapplicability beyond Boolean connectives.



Formal System = Axiom + Inference Rule

Axiom Schema

- 1. $A \rightarrow B \rightarrow A$
- 2. $(A \rightarrow B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow A \rightarrow C$
- 3. $(\neg A \rightarrow \neg B) \rightarrow (\neg A \rightarrow B) \rightarrow A$

Inference Rule

$$A \xrightarrow{B} B$$
 [MP]

Deduction / Proof

This sentence can never be proved.

What is "proof"?

Definition (Deduction)

A deduction from Γ is a sequence of wff (C_1, \ldots, C_n) s.t. for $k \leq n$, either

- 1. C_k is an axiom, or
- 2. $C_k \in \Gamma$, or
- 3. for some i < k and j < k, $C_i = C_j \rightarrow C_k$.
 - $\Gamma \vdash A$ if A is the last member of some deduction from Γ .
 - $\bullet \vdash A := \emptyset \vdash A$

A mathematician's house is on fire. His wife puts it out with a bucket of water. Then there is a gas leak. The mathematician lights it on fire.

Theorem

$$\vdash p \rightarrow p$$

Proof.

1.
$$p \to (p \to p) \to p$$

1.
$$p \to (p \to p) \to p$$
 A1
2. $(p \to (p \to p) \to p) \to (p \to p \to p) \to p \to p$ A2

3.
$$(p \to p \to p) \to p \to p$$

4.
$$p \rightarrow p \rightarrow p$$

5.
$$p \rightarrow p$$

3,4 MP

Theorem

$$\vdash (\neg p \to p) \to p$$

Proof.

- 1. $(\neg p \rightarrow \neg p) \rightarrow (\neg p \rightarrow p) \rightarrow p$
- 2. $\neg p \rightarrow \neg p$
- 3. $(\neg p \to p) \to p$

1,2 MP

A3

Theorem

$$p \to q, q \to r \vdash p \to r$$

Proof.

1.
$$(q \rightarrow r) \rightarrow (p \rightarrow q \rightarrow r)$$

2. $q \rightarrow r$

3. $p \rightarrow q \rightarrow r$

4. $(p \rightarrow q \rightarrow r) \rightarrow (p \rightarrow q) \rightarrow p \rightarrow r$

5. $(p \to q) \to p \to r$

6. $p \rightarrow q$

7. $p \rightarrow r$

Premise

1,2 MP

A2

4,3 MP

Premise

5,6 MP

Example — Curry's Paradox [©]ô[©]

If this sentence is true, then God exists.

$$p \leftrightarrow (p \rightarrow q) \vdash q$$

Proof.

- 1. $p \leftrightarrow (p \rightarrow q)$
- 2. $p \rightarrow p \rightarrow q$
- 3. $(p \rightarrow p) \rightarrow p \rightarrow q$
- 4. $p \rightarrow q$
- 5. *p*
- 6. *q*

- 1. 甲: 如果我没说错, 那么上帝存在。
- 2. 乙: 如果你没说错, 那么上帝存在。
- 3. 甲: 你承认我没说错了?
- 4. 乙: 当然。
- 5. 甲:可见我没说错。你已经承认:**如 果我没说错,那么上帝存在。**所以, 上帝存在。

This sentence is false, and God does not exist.

Curry's Paradox — How to Flirt with a Beauty $^{⋄}$ ⊚ $^{⋄}$

Smullyan Flirts with a Beauty [♥]⊚[♥]

- 1. "I am to make a statement. If it is true, would you give me your autograph?"
- 2. "I don't see why not."
- 3. "If it is false, do not give me your autograph."
- 4. "Alright."
- 5. Then Smullyan said such a sentence that she have to give him a kiss.

$$x = ? \implies \models (s \leftrightarrow x) \rightarrow k$$

Hi 美女, 问你个问题呗

如果我问你"你能做我女朋友吗",那么你的答案能否和**这个问题本身**的答案一样?

Deduction Theorem

Theorem (Deduction Theorem)

$$\Gamma, A \vdash B \implies \Gamma \vdash A \rightarrow B$$

Proof.

Prove by induction on the length of the deduction sequence (C_1, \ldots, C_n) of B from $\Gamma \cup \{A\}$.

Base step n = 1:

case1. B is an axiom. (use Axiom1.)

case2. $B \in \Gamma$.

case3. B = A.

Inductive step n > 1:

case1. B is either an axiom, or $B \in \Gamma$, or B = A.

case2. $C_i = C_j \rightarrow B$

$$\Gamma, A \vdash C_i \implies \Gamma \vdash A \rightarrow C_i$$

$$\Gamma, A \vdash C_i \rightarrow B \implies \Gamma \vdash A \rightarrow C_i \rightarrow B$$

$$\Gamma \vdash A \longrightarrow B$$

Equivalent Replacement

Theorem

Suppose $B \in \operatorname{Sub}(A)$, and A^* arises from the wff A by replacing one or more occurrences of B in A by C. Then

$$B \leftrightarrow C \vdash A \leftrightarrow A^*$$

Proof.

Prove by induction on the number of connective of A.

°ô°

- 1. A logician's wife is having a baby.
- 2. The doctor immediately hands the newborn to the dad.
- 3. His wife asks impatiently: "So, is it a boy or a girl"?
- 4. The logician replies: "yes".
- wife.

$$p$$
?

logician.

$$\left. \begin{array}{c} p \lor q \\ q \leftrightarrow \neg p \end{array} \right\} \implies p \lor \neg p \qquad \checkmark$$

Formal System — Variant

Axiom Schema

- 1. $A \rightarrow B \rightarrow A$
- 2. $(A \to B \to C) \to (A \to B) \to A \to C$
- 3. $A \wedge B \rightarrow A$
- 4. $A \wedge B \rightarrow B$
- 5. $(A \to B) \to (A \to C) \to A \to B \land C$
- 6. $A \rightarrow A \vee B$
- 7. $B \rightarrow A \vee B$
- 8. $(A \to C) \to (B \to C) \to A \lor B \to C$
- 9. $(A \rightarrow \neg B) \rightarrow (A \rightarrow B) \rightarrow \neg A$
- 10. $\neg A \rightarrow A \rightarrow B$
- 11. $\neg \neg A \rightarrow A$

 $\frac{A \quad A \to B}{B} \text{ [MP]}$

Reference Rule

$$p' := \neg \neg p$$
$$(A \star B)' := \neg \neg (A' \star B')$$

where
$$\star \in \{\land, \lor, \rightarrow\}$$

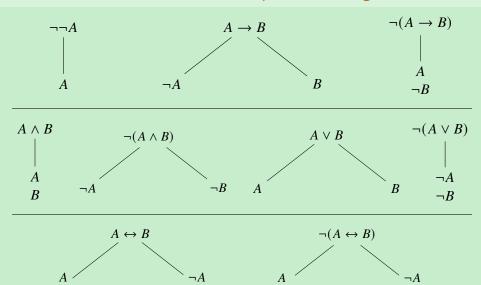
$$\Gamma' \coloneqq \{A' : A \in \Gamma\}$$

$$\Gamma \vdash_{\mathrm{C}} A \iff \Gamma' \vdash_{\mathrm{I}} A'$$

1–8+MP=**P**ositive Calculus **M**+10=**I**ntuitionistic Calculus

P+9=Minimal Calculus I+11=Classical Calculus

Tree Method for Propositional Logic



 $\neg B$

 $\neg B$

Instructions for Tree Construction

- A literal is an atomic formula or its negation.
- ullet When a non-literal wff has been fully unpacked, check it with \checkmark
- 1. Start with premises and the negation of the conclusion.
- 2. Inspect each open path for an occurrance of a wff and its negation. If these occur, close the path with ×.
- 3. If there is no unchecked non-literal wff on any open path, then stop!
- 4. Otherwise, unpack any unchecked non-literal wff on any open path.
- 5. Goto ②.
- Closed branch. A branch is closed if it contains a wff and its negation.
- Closed tree. A tree is closed if all its branches are closed.
- Open branch. A branch is open if it is not closed and no rule can be applied.
- Open tree. A tree is open if it has at least one open branch.

Tactics

- Try to apply "non-branching" rules first, in order to reduce the number of branches.
- Try to close off branches as quickly as possible.

Definition (Deduction)

 $A_1, \ldots, A_n \vdash B$ iff there exists a *closed tree* from $\{A_1, \ldots, A_n, \neg B\}$.

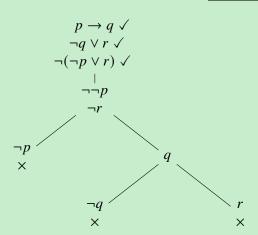
Theorem (Soundness & Completeness Theorem)

$$A_1, \ldots, A_n \vdash B \iff A_1, \ldots, A_n \models B$$

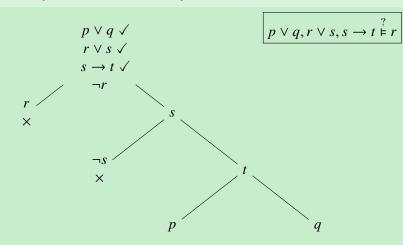
Remark: If an inference with propositional formulae is not valid, then its tree will have at least one open branch. The tree method can generate every counterexample of an invalid inference in propositional logic.

Examples — Tree Method

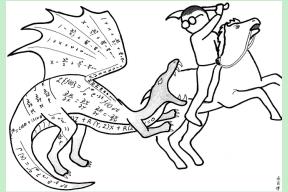
 $p \to q, \neg q \lor r \vdash \neg p \lor r$



An open branch corresponds to a valuation



$$\begin{split} \nu(r) &= 0, \quad \nu(s) = 1, \quad \nu(t) = 1 \quad \nu(p) = 1 \quad \nu(q) = 1 \text{ or } 0 \\ \nu(r) &= 0, \quad \nu(s) = 1, \quad \nu(t) = 1 \quad \nu(q) = 1 \quad \nu(p) = 1 \text{ or } 0 \\ \nu &\models p \lor q, \quad \nu \models r \lor s, \quad \nu \models s \to t, \quad \nu \not\models r \end{split}$$



Don't just read it; fight it! Ask your own questions, look for your own examples, discover your own proofs. Is the hypothesis necessary? Is the converse true? What happens in the classical special case? What about the degenerate cases? Where does the proof use the hypothesis?

Exercises — Tree Method

1.
$$p \to (\neg q \to q) \vdash p \to q$$

2.
$$(p \to r) \land (q \to r) \vdash p \lor q \to r$$

3.
$$(p \rightarrow q) \land (r \rightarrow s) \vdash \neg q \land r \rightarrow \neg q \land s$$

$$4. \ \left(\left((p \to q) \to (\neg r \to \neg s) \right) \to r \right) \to t \vdash (t \to p) \to s \to p$$

5.
$$(p \rightarrow q) \lor (q \rightarrow r)$$

6.
$$(p \rightarrow q) \rightarrow (\neg p \rightarrow q) \rightarrow q$$

7.
$$((p \rightarrow q) \rightarrow p) \rightarrow p$$

8.
$$(p \rightarrow q) \land (r \rightarrow s) \rightarrow p \lor r \rightarrow q \lor s$$

9.
$$(p \rightarrow q) \land r \rightarrow \neg (p \land r) \lor (q \land r)$$

10.
$$(p \leftrightarrow (p \rightarrow q)) \rightarrow q$$

11.
$$\neg(p \leftrightarrow q) \leftrightarrow (\neg p \leftrightarrow q)$$

Exercises — Tree Method

Decide whether the following inferences are valid or not. If not, provide a counterexample.

1.
$$(p \lor q) \land r \stackrel{?}{\models} p \lor (q \land r)$$

2.
$$p \lor (q \land r) \stackrel{?}{\models} (p \lor q) \land r$$

3.
$$p \leftrightarrow (q \rightarrow r) \stackrel{?}{\models} (p \leftrightarrow q) \rightarrow r$$

4.
$$(p \leftrightarrow q) \rightarrow r \stackrel{?}{\models} p \leftrightarrow (q \rightarrow r)$$

5.
$$\neg (p \rightarrow q \land r), r \rightarrow p \land q \stackrel{?}{\models} \neg r$$

6.
$$p \rightarrow (q \land r), \neg (p \lor q \rightarrow r) \stackrel{?}{\models} p$$

7.
$$p \to q, r \to s, p \lor r, \neg(q \land s) \stackrel{?}{\models} (q \to p) \land (s \to r)$$

8. If God does not exist, then it's not the case that *if I pray, my prayers* will be answered; and I don't pray; so God exists.

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Model & Semantic Consequence

$$\bullet \ \operatorname{Mod}(A) \coloneqq \{\nu : \nu \models A\}$$

$$\bullet \ \operatorname{Mod}(\Gamma) \coloneqq \bigcap_{A \in \Gamma} \operatorname{Mod}(A)$$

• Th(
$$\nu$$
) := { $A : \nu \models A$ }

$$\bullet \ \operatorname{Th}(\mathcal{K}) \coloneqq \bigcap_{v \in \mathcal{K}} \operatorname{Th}(v)$$

•
$$\operatorname{Cn}(\Gamma) := \{A : \Gamma \models A\}$$

•
$$\Gamma \subset \Gamma' \implies \operatorname{Mod}(\Gamma') \subset \operatorname{Mod}(\Gamma)$$

$$\bullet \ \mathcal{K} \subset \mathcal{K}' \implies \operatorname{Th}(\mathcal{K}') \subset \operatorname{Th}(\mathcal{K})$$

- $\Gamma \subset \operatorname{Th}(\operatorname{Mod}(\Gamma))$
- $\mathcal{K} \subset \operatorname{Mod}(\operatorname{Th}(\mathcal{K}))$
- $\operatorname{Mod}(\Gamma) = \operatorname{Mod}(\operatorname{Th}(\operatorname{Mod}(\Gamma)))$
- $\bullet \ \operatorname{Th}(\mathcal{K}) = \operatorname{Th}(\operatorname{Mod}(\operatorname{Th}(\mathcal{K})))$
- $\operatorname{Cn}(\Gamma) = \operatorname{Th}(\operatorname{Mod}(\Gamma))$
- $\Gamma \subset \Gamma' \implies \operatorname{Cn}(\Gamma) \subset \operatorname{Cn}(\Gamma')$
- $\operatorname{Cn}(\operatorname{Cn}(\Gamma)) = \operatorname{Cn}(\Gamma)$

Consistency & Satisfiability

- Γ is consistent if $\Gamma \not\vdash \bot$.
- Γ is Post-consistent if there is some wff $A : \Gamma \not\vdash A$.

 Γ is consistent iff it is Post-consistent.

- Γ is maximal if for every wff A, either $A \in \Gamma$ or $\neg A \in \Gamma$.
- \bullet Γ is maximal consistent if it is both consistent and maximal.
- Γ is satisfiable if $\operatorname{Mod}(\Gamma) \neq \emptyset$.
- Γ is finitely satisfiable if every finite subset of Γ is satisfiable.
- If Γ is consistent and $\Gamma \vdash A$, then $\Gamma \cup \{A\}$ is consistent.
- $\Gamma \cup \{\neg A\}$ is inconsistent iff $\Gamma \vdash A$.
- If Γ is maximal consistent, then $A \notin \Gamma \implies \Gamma \cup \{A\}$ is inconsistent.

Soundness Theorem

Theorem (Soundness Theorem)

$$\Gamma \vdash A \implies \Gamma \models A$$

Proof.

Prove by induction on the length of the deduction sequence.

Case1: A is an axiom. (truth table)

Case2: $A \in \Gamma$

Case3:

$$\left.\begin{array}{c}
\Gamma \models C_j \\
\Gamma \models C_j \to A
\end{array}\right\} \implies \Gamma \models A$$

Corollary

Any satisfiable set of wffs is consistent.

Compactness Theorem

Theorem (Compactness Theorem)

A set of wffs is satisfiable iff it is finitely satisfiable.

如果语言可以说无穷析取,则没有紧致性。
$$\left\{igvee_{i=1}^{\infty}p_i, \neg p_1, \neg p_2, \ldots
ight\}$$

Corollary

If $\Gamma \models A$, then there is a finite $\Gamma_0 \subset \Gamma$ s.t. $\Gamma_0 \models A$.

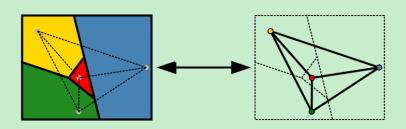
Proof.

$$\Gamma_0 \not\models A$$
 for any $\Gamma_0 \subset \Gamma \implies \Gamma_0 \cup \{\neg A\}$ is satisfiable for any $\Gamma_0 \subset \Gamma$

$$\implies \Gamma \cup \{\neg A\} \text{ is satisfiable}$$

$$\implies \Gamma \not\models A$$

Applications of Compactness



An infinite graph (V,E) is n-colorable iff every finite subgraph of (V,E) is n-colorable.

Proof.

Take $\{p_v^i : v \in V, 1 \le i \le n\}$ as the set of atoms.

$$\Gamma \coloneqq \left\{ p_v^1 \vee \dots \vee p_v^n : v \in V \right\} \cup \left\{ \neg \left(p_v^i \wedge p_v^j \right) : v \in V, 1 \le i < j \le n \right\} \cup \left\{ \neg \left(p_v^i \wedge p_w^i \right) : (v, w) \in E, 1 \le i \le n \right\}$$

Completeness Theorem — Post1921

Theorem (Completeness Theorem)

$$\Gamma \models A \implies \Gamma \vdash A$$

Corollary

Any consistent set of wffs is satisfiable.

$$\Gamma \models A \iff \Gamma \vdash A$$

$$\bigoplus_{\substack{\Gamma \cup \{\neg A\} \\ \text{unsatisfiable}}} \bigoplus_{\substack{\Gamma \cup \{\neg A\} \\ \text{inconsistent}}}$$

Corollary (Compactness Theorem)

A set of wffs is satisfiable iff it is finitely satisfiable.

Proof of Completeness Theorem

Proof.

step1. Extend the consistent set Γ to a maximal consistent set Δ . Let $\langle A_i : i \in \mathbb{N} \rangle$ be a fixed enumeration of the wffs.

$$\Delta_0 \coloneqq \Gamma$$

$$\Delta_{n+1} \coloneqq \begin{cases} \Delta_n \cup \{A_n\} & \text{if } \Delta_n \cup \{A_n\} \text{ is consistent} \\ \Delta_n \cup \{\neg A_n\} & \text{otherwise} \end{cases}$$

$$\Delta \coloneqq \bigcup_{n \in \mathbb{N}} \Delta_n$$

step2. Define a truth assignment that satisfies Γ .

$$\nu(p) \coloneqq \begin{cases} 1 & \text{if } p \in \Delta \\ 0 & \text{otherwise} \end{cases} \implies \left(\nu \models A \iff A \in \Delta\right)$$

Decidability — Post1921

Theorem

There is an effective procedure that, given any expression, will decide whether or not it is a wff.

Theorem

There is an effective procedure that, given a finite set $\Gamma \cup \{A\}$ of wffs, will decide whether or not $\Gamma \models A$.

Theorem

If Γ is a decidable set of wffs, then the set of logical consequences of Γ is recursively enumerable.

Post 1897-1954



- Truth table
- Completeness of propositional logic
- Post machine
- Post canonical system
- Post correspondence problem
- Post problem

Theory & Axiomatization

What is "theory"?

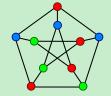
- A set Γ of sentences is a theory if $\Gamma = \operatorname{Cn}(\Gamma)$.
- A theory Γ is complete if for every sentence A, either $A \in \Gamma$ or $\neg A \in \Gamma$.
- A theory Γ is axiomatizable if there is a decidable set Σ of sentences s.t. $\Gamma = \operatorname{Cn}(\Sigma)$.
- A theory Γ is finitely axiomatizable if $\Gamma = \operatorname{Cn}(\Sigma)$ for some finite set Σ of sentences.

Model Checking & Satisfiability Checking & Validity Checking⁶

- Given a model ν and a formula A. Is $\nu \models A$?
- Given a formula A. Is there a model ν s.t. $\nu \models A$?
- Given a sentence A. Is $\models A$?



Figure: Hamiltonian Circle(NPC)



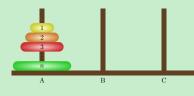


Figure: Graph Coloring(NPC)

Figure: Eulerian Circle(P)

 $^{^{}m 6}$ Aaronson: Why philosophers should care about computational complexity.

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Party and Friends

Problem

- We want to throw a party for Tweety, Gentoo and Tux.
- But they have different circles of friends and dislike some.
- Tweety tells you that he would like to see either his friend Kimmy or not to meet Gentoo's Alice, but not both.
- But Gentoo proposes to invite Alice or Harry or both.
- Tux, however, does not like Harry and Kimmy too much, so he suggests to exclude at least one of them.

Party and Friends

Problem

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- But Gentoo proposes to invite Alice or Harry or both.
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Solution

$$(K \vee \neg A) \wedge \neg (K \wedge \neg A) \wedge (A \vee H) \wedge (\neg H \vee \neg K)$$

Sudoku

	8	6				2	9	
4			1		5			8
7				9				4
1								9
	5						1	
		8				3		
			5		9			
				2				

 $p(i, j, n) \coloneqq$ the cell in row i and column j contains the number n

• Every row/column contains every number.

$$\bigwedge_{i=1}^{9} \bigwedge_{n=1}^{9} \bigvee_{j=1}^{9} p(i, j, n) \qquad \bigwedge_{j=1}^{9} \bigwedge_{n=1}^{9} \bigvee_{i=1}^{9} p(i, j, n)$$

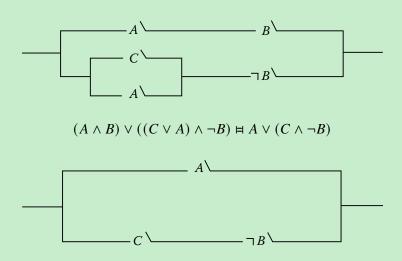
• Every 3×3 block contains every number.

$$\bigwedge_{r=0}^{2} \bigwedge_{s=0}^{2} \bigwedge_{n=1}^{9} \bigvee_{i=1}^{3} \bigvee_{j=1}^{3} p(3r+i,3s+j,n)$$

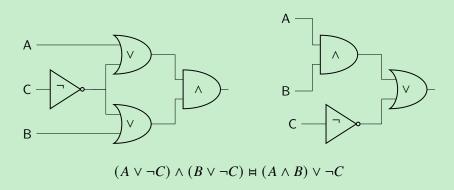
• No cell contains more than one number. for all $1 \le i, j, n, n' \le 9$ and $n \ne n'$:

$$p(i, j, n) \rightarrow \neg p(i, j, n')$$

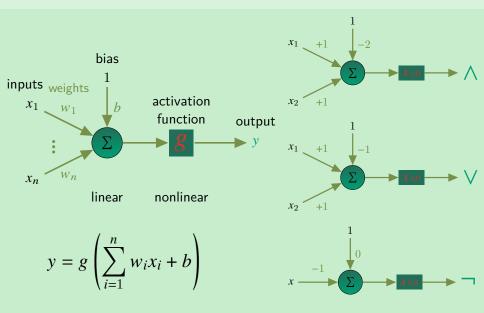
Shannon — Digital Circuit Design



Shannon — Digital Circuit Design



McCulloch-Pitts Artificial Neural Network



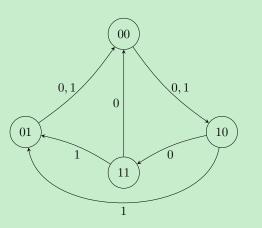
《三体》

- 秦始皇: 朕当然需要预测太阳的运行,但你们让我集结三千万大军, 至少要首先向朕演示一下这种计算如何进行吧。
- 冯诺依曼: 陛下,请给我三个士兵,我将为您演示。……
- 秦始皇: 他们不需要学更多的东西了吗?
- 冯诺依曼:不需要,我们组建一千万个这样的门部件,再将这些部件组合成一个系统,这个系统就能进行我们所需要的运算,解出那些预测太阳运行的微分方程。

p	q	$p \oplus q$	$w_1 \cdot 0 + w_2 \cdot 0 + b < 0$	b < 0
		0	$w_1 \cdot 0 + w_2 \cdot 1 + b \ge 0$	$w_2 + b \ge 0$
		1		-
		1	$w_1 \cdot 1 + w_2 \cdot 0 + b \ge 0$	$w_1 + b \ge 0$
1	1	0	$w_1 \cdot 1 + w_2 \cdot 1 + b < 0$	$w_1 + w_2 + b < 0$

A simple single-layer perception can't solve nonlinearly separable problems.

Finite State Automaton



<i>y</i> ₁	y 2	х	y_1^+	y_2^+
0	0	0	1	0
0	0	1	1	0
0	1	0	0	0
0	1	1	0	0
1	0	0	1	1
1	0	1	0	1
1	1	0	0	0
_1	1	1	0	1

$$y_1^+ = \bar{y}_1 \bar{y}_2 + \bar{x} \bar{y}_2$$

 $y_2^+ = y_1 \bar{y}_2 + x y_1$

Reversible Computing — Fredkin Gate: CSWAP

c	p	q	\boldsymbol{x}	y	z
0	0	0	0	0	0
0	0	1	0	0	1
0	1	0	0	1	0
0	1	1	0	1	1
1	0	0	1	0	0
1	0	1	1	1	0
1	1	0	1	0	1
1	1	1	1	1	1

$$c \longrightarrow c$$

$$p \longrightarrow c \cdot q + \overline{c} \cdot p$$

$$q \longrightarrow c \cdot p + \overline{c} \cdot q$$

Figure: transmit the first bit unchanged and swap the last two bits iff the first bit is 1.

$$f:(c,p,q)\mapsto (c,c\!\cdot\! q\!+\!\overline{c}\!\cdot\! p,c\!\cdot\! p\!+\!\overline{c}\!\cdot\! q)$$

$$\neg p = 0 \& q = 1 \Longrightarrow z = \overline{c}$$

 $\wedge q = 0 \Longrightarrow z = c \cdot p$

Exercise

宝藏在哪里?

你面前有三扇门,只有一扇门后是宝藏。门上各有一句话,只有一扇门 上的是真话。

- 1. 宝藏不在这儿。
- 2. 宝藏不在这儿。
- 3. 宝藏在②号门。
 - ① $\neg t_1$; ② $\neg t_2$; ③ t_2 .
 - 只有一扇门上的是真话。 $(\neg t_1 \land \neg \tau_2 \land \neg t_2) \lor (\neg \neg t_1 \land \neg \tau_2 \land \tau_2) \lor (\neg \neg t_1 \land \neg \tau_2 \land t_2)$
- 只有一扇门后是宝藏。 $(t_1 \wedge \neg t_2 \wedge \neg t_3) \vee (\neg t_1 \wedge t_2 \wedge \neg t_3) \vee (\neg t_1 \wedge \neg t_2 \wedge t_3)$

Exercise

谁是凶手?

- 一起凶杀案有三个嫌疑人: 小白、大黄和老王。
 - 1. 至少有一人是凶手,但不可能三人同时犯罪。
 - 2. 如果小白是凶手, 那么老王是同犯。
 - 3. 如果大黄不是凶手, 那么老王也不是。

谁是窃贼?

- 1. 钱要么是甲偷的要么是乙偷的。
- 2. 如果是甲偷的,则偷窃时间不会在午夜前。
- 3. 如果乙的证词正确,则午夜时灯光未灭。
- 4. 如果乙的证词不正确,则偷窃发生在午夜前。
- 5. 午夜时没有灯光。

Exercise

哪个部落的?

- 一个岛上有 T、F 两个部落, T 部落的居民只说真话, F 部落的居民只说 谎。你在岛上遇到了小白、大黄、老王三个土著。
 - 1. 小白: "如果老王说谎,我或大黄说的就是真话"。
 - 2. 大黄: "只要小白或老王说真话,那么,我们三人中有且只有一人说真话是不可能的"。
 - 3. 老王: "小白或大黄说谎当且仅当小白或我说真话"。

我在做什么?

- 1. 如果我不在打网球,那就在看网球。
- 2. 如果我不在看网球,那就在读网球杂志。
- 3. 但我不能同时做两件以上的事。

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Propositional Logic Syntax Semantics Formal System Meta-Theorems Application Boolean Algebra

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Logic as Algebra — Boolean Algebra BA

Boolean Algebra $\mathcal{L} = \{0, 1, +, \cdot, ^-\}$

$$\bullet \ x + (y + z) = (x + y) + z$$

$$x \cdot (y \cdot z) = (x \cdot y) \cdot z$$

$$\bullet \ x + y = y + x \quad x \cdot y = y \cdot x$$

$$\bullet \ x + (x \cdot y) = x \quad x \cdot (x + y) = x$$

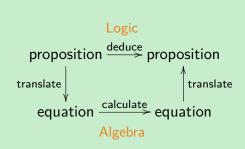
$$\bullet \ x + (y \cdot z) = (x + y) \cdot (x + z)$$
$$x \cdot (y + z) = (x \cdot y) + (x \cdot z)$$

$$\bullet$$
 $\overline{\overline{x}} = x$

$$\bullet$$
 $\overline{x+y} = \overline{x} \cdot \overline{y}$ $\overline{x \cdot y} = \overline{x} + \overline{y}$

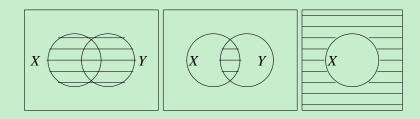
•
$$x + \overline{x} = 1$$
 $x \cdot \overline{x} = 0$ $0 \neq 1$

•
$$x + 0 = x$$
 $x \cdot 0 = 0$
 $x + 1 = 1$ $x \cdot 1 = x$



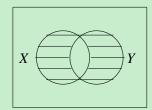
Power Set Algebra

 $(P(A), \emptyset, A, \cup, \cap, \overline{})$



$$x \oplus y := (x \cdot \overline{y}) + (\overline{x} \cdot y)$$

 $x = y \iff x \oplus y = 0$



Propositional Logic vs Boolean Algebra

$$(\bot)^* := 0 \qquad (0)' := \bot$$

$$(\top)^* := 1 \qquad (1)' := \top$$

$$(p)^* := p \qquad (p)' := p$$

$$(\neg A)^* := \overline{A^*} \qquad (\overline{A})' := \neg A'$$

$$(A \lor B)^* := A^* + B^* \qquad (A + B)' := A' \lor B'$$

$$(A \land B)^* := A^* \cdot B^* \qquad (A \cdot B)' := A' \land B'$$

$$A \vdash B \iff BA \vdash A^* \le B^*$$
 $BA \vdash A \le B \iff A' \vdash B'$ where $x \le y \coloneqq x \cdot \overline{y} = 0$

Boolean Algebra vs Propositional Logic

Exercise

Alice, Ben, Charlie, and Diane are considering going to a Halloween party.

- 1. If Alice goes then Ben won't go and Charlie will.
- 2. If Ben and Diane go, then either Alice or Charlie (but not both) will go.
- 3. If Charlie goes and Ben does not, then Diane will go but Alice will not.

$$A \to \neg B \land C$$

$$B \land D \to (A \land \neg C) \lor (\neg A \land C)$$

$$\neg B \land C \to \neg A \land D$$

$$A \cdot (B + \overline{C}) = 0$$

$$B \cdot D \cdot (\overline{A} \cdot \overline{C} + A \cdot C) = 0$$

$$\overline{B} \cdot C \cdot (A + \overline{D}) = 0$$

怎么得大奖?

Problem (怎么得大奖?)

- 说真话得一个大奖或一个小奖。
- 说假话不得奖。
- b: 我会得大奖。
- s: 我会得小奖。

怎么得大奖?

Problem (怎么得大奖?)

- 说真话得一个大奖或一个小奖。
- 说假话不得奖。
- b: 我会得大奖。
- s: 我会得小奖。

$$x = ? \implies \models (x \leftrightarrow b \lor s) \rightarrow b$$

b	S	$(\neg b \land \neg s \leftrightarrow b \lor s) \to b$		$((s \to b) \leftrightarrow b \lor s) \to b$		
0	0	1	1	1		
0	1	1	1	1		
1	0	1	1	1		
1	1	1	1	1		

General Solution?⁷

Exercise — Save Yourself

You can say one sentence. If you lie I will hang you. If you tell the truth I will shoot you.

$$x = ? \implies \models (\neg x \rightarrow h) \land (x \rightarrow s) \land (s \leftrightarrow \neg h) \rightarrow \neg h \land \neg s$$

Problem (General Solution?)

$$x = ? \implies \models A(x)$$

⁷Brown: Boolean Reasoning.

General Solution?

$$x^5 - x - 1 = 0 \implies x = ?$$

There is no solution in radicals to general polynomial equations of degree five or higher with arbitrary coefficients.

$$ax^2 + bx + c = 0 \implies x = ?$$

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

General Solution of Boolean Equation

Theorem (General Solution of Boolean Equation)

Assume f(x) = 0 is consistent (it has at least one solution, i.e., $f(0) \cdot f(1) = 0$), then

$$f(x) = 0$$

Î

$$f(0) \leq x \leq \overline{f(1)}$$

1

$$x = f(0) + \theta \cdot \overline{f(1)}$$

where $\theta \in \{0, 1\}$.

Application — How to Flirt with a Beauty [♥]⊚[♥]

Smullyan Flirts with a Beauty [♥]⊚[♥]

- 1. "I am to make a statement. If it is true, would you give me your autograph?"
- 2. "I don't see why not."
- 3. "If it is false, do not give me your autograph."
- 4. "Alright."
- 5. Then Smullyan said such a sentence that she have to give him a kiss.

$$x = ? \implies \models (s \leftrightarrow x) \rightarrow k$$

Application — How to Flirt with a Beauty [♥]⊚[♥]

Smullyan Flirts with a Beauty [♡]⊚[♡]

- 1. "I am to make a statement. If it is true, would you give me your autograph?"
- 2. "I don't see why not."
- 3. "If it is false, do not give me your autograph."
- 4. "Alright."
- 5. Then Smullyan said such a sentence that she have to give him a kiss.

$$x = ? \implies \models (s \leftrightarrow x) \rightarrow k$$

Solution

$$(s \cdot x + \overline{s} \cdot \overline{x}) \cdot \overline{k} = 0 \implies x = \overline{s} \cdot \overline{k} + \theta \cdot (\overline{s} + k)$$

$$\models (s \leftrightarrow \neg s \land \neg k) \to k$$

$$\models (s \leftrightarrow (s \rightarrow k)) \rightarrow k$$

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Why Study Predicate Logic?

- Propositional logic assumes the world contains facts.
- Predicate logic assumes the world contains
 - Objects: people, houses, numbers, colors, baseball games, wars, ...
 - Relations: red, round, prime, brother of, bigger than, part of, between, fall in love with, . . .
 - Functions: father of, best friend, one more than, plus, ...
- Expressive power.

Example



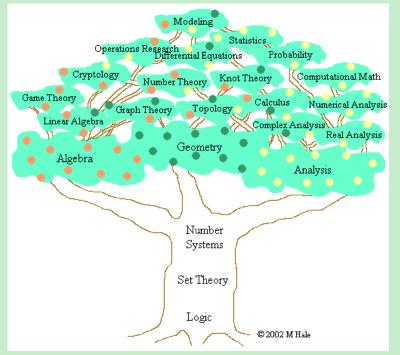
What will a logician choose: an egg or eternal bliss in the afterlife? An egg! Because nothing is better than eternal bliss in the afterlife, and an egg is better than nothing.

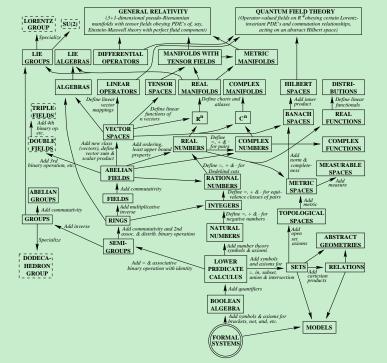
$$b < 0 < e \implies b < e$$

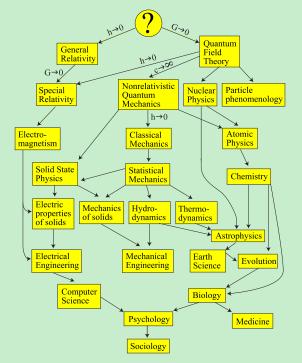
 $\neg \exists x (x > b) \implies 0 \not> b$



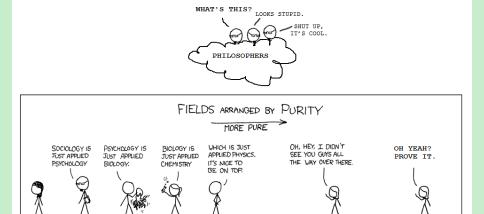
No cat has eight tails. A cat has one tail more than no cat. Therefore, a cat has nine tails.







Reductionism ≠ Emergence



MATHEMATICIANS

SOCIOLOGISTS PSYCHOLOGISTS

BIOLOGISTS

CHEMISTS

PHYSICISTS

LOGICIANS

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$$\mathcal{L}^1 \coloneqq \{\neg, \land, \lor, \rightarrow, \leftrightarrow, \forall, \exists, =, (,)\} \cup \mathcal{V} \cup \overbrace{\mathcal{F} \cup \mathcal{Q}}$$

where

$$\mathcal{V} \coloneqq \{x_i : i \in \mathbb{N}\}$$

$$\mathcal{F} \coloneqq \bigcup_{k \in \mathbb{N}} \mathcal{F}^k \quad \mathcal{F}^k \coloneqq \{f_1^k, \dots, f_n^k, (\dots)\}$$

$$Q \coloneqq \bigcup_{k \in \mathbb{N}} Q^k \quad Q^k \coloneqq \{P_1^k, \dots, P_n^k, (\dots)\}$$

 f^k is a k-place function symbol.

 P^k is a k-place predicate symbol.

A 0-place function symbol f^0 is called constant.

A 0-place predicate symbol P^0 is called (atomic) proposition.

Term & Formula

Term \mathcal{T}

$$t := x \mid c \mid f(t, \dots, t)$$

where $x \in \mathcal{V}$ and $f \in \mathcal{F}$.

ullet $\mathcal T$ is freely generated from $\mathcal V$ by $\mathcal F$.

Well-Formed Formula wff

$$A ::= \overbrace{t = t \mid P(t, \dots, t)} \mid \neg A \mid A \land A \mid A \lor A \mid A \rightarrow A \mid A \leftrightarrow A \mid \forall xA \mid \exists xA$$

where $t \in \mathcal{T}$ and $P \in Q$.

 wff is freely generated from atomic formulae by connective and quantifier operators.

Syntax

- \bullet $A \wedge B := \neg(A \rightarrow \neg B)$
- \bullet $A \lor B := \neg A \to B$
- $\bullet \ A \leftrightarrow B := (A \to B) \land (B \to A)$
- \bullet $\exists x A := \neg \forall x \neg A$
- \bullet $\bot := A \land \neg A$
- T := ¬⊥
- Bottom up and Top down definitions of terms, subterms, wffs and subformulae.
- Induction Principle for terms and wffs.
- Unique readability theorem for terms and wffs.
- Omitting Parenthesis.
 - 1). outermost parentheses. 2). \neg , \forall , \exists , \land , \lor , \rightarrow , \leftrightarrow 3). group to the right.

Freedom & Bondage

$$\frac{1}{\sum_{n=1}^{\infty} \frac{1}{n^{s}}} = \prod_{p \in \mathbb{P}} \frac{1}{1 - \frac{1}{p^{s}}}$$

$$e^{i\theta} = \cos \theta + i \sin \theta$$

$$\left(\sum_{x \in \mathcal{X}} |P(x) - Q(x)|\right)^{2} \le 2 \sum_{x \in \mathcal{X}} P(x) \ln \frac{P(x)}{Q(x)}$$

$$\frac{d}{dx} \int_{a}^{x} f(t) dt = f(x)$$

$$\int_{0}^{t} \frac{1}{\sqrt{2\pi}} e^{-\frac{x^{2}}{2}} dx$$

$$f(x) = \sum_{n=1}^{\infty} \frac{f^{(n)}(a)}{n!} (x - a)^{n}$$

$$\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx$$

Freedom & Bondage

Definition (Free Variable of a Term)

$$\operatorname{Fv}(t) := \begin{cases} x & \text{if } t = x \\ \emptyset & \text{if } t = c \\ \operatorname{Fv}(t_1) \cup \cdots \cup \operatorname{Fv}(t_n) & \text{if } t = f(t_1, \dots, t_n) \end{cases}$$

Definition (Free Variable of a wff)

$$\operatorname{Fv}(A) := \begin{cases} \operatorname{Fv}(t_1) \cup \operatorname{Fv}(t_2) & \text{if } A = t_1 = t_2 \\ \operatorname{Fv}(t_1) \cup \cdots \cup \operatorname{Fv}(t_n) & \text{if } A = P(t_1, \dots, t_n) \\ \operatorname{Fv}(B) & \text{if } A = \neg B \\ \operatorname{Fv}(B) \cup \operatorname{Fv}(C) & \text{if } A = B \to C \\ \operatorname{Fv}(B) \setminus \{x\} & \text{if } A = \forall x B \end{cases}$$

Freedom & Bondage

Definition (Bound Variable)

$$\operatorname{Bv}(A) := \begin{cases} \emptyset & \text{if } A = t_1 = t_2 \\ \emptyset & \text{if } A = P(t_1, \dots, t_n) \end{cases}$$

$$\operatorname{Bv}(B) & \text{if } A = \neg B \\ \operatorname{Bv}(B) \cup \operatorname{Bv}(C) & \text{if } A = B \to C \\ \operatorname{Bv}(B) \cup \{x\} & \text{if } A = \forall x B \end{cases}$$

- t is a ground (closed) term if $Fv(t) = \emptyset$.
- A is a sentence (closed formula) if $Fv(A) = \emptyset$.
- A is an open formula if $Bv(A) = \emptyset$.

Example: c = d is clopen.

Translation

How to 'speak' the language of first order logic?

- 1. **A**: $\forall x(Sx \rightarrow Px)$
- 2. **E**: $\forall x (Sx \rightarrow \neg Px)$
- 3. **I**: $\exists x (Sx \land Px)$
- 4. **O**: $\exists x (Sx \land \neg Px)$
- 5. Every boy loves some girl. $\forall x (Bx \to \exists y (Gy \land Lxy))$
- 6. Whoever has a father has a mother. $\forall x (\exists y F yx \rightarrow \exists y M yx)$
- 7. Grandmother is mother's mother. $\forall xy (Gxy \leftrightarrow \exists z (Mxz \land Mzy))$ or $\forall xy (x = Gy \leftrightarrow \exists z (x = Mz \land z = My))$
- 8. 如果大鱼比小鱼游得快,那么,有最大的鱼就有游得最快的鱼。 $\forall xy(Fx \land Fy \land Bxy \rightarrow Sxy) \rightarrow \exists x(Fx \land \forall y(Fy \rightarrow Bxy)) \rightarrow \exists x(Fx \land \forall y(Fy \rightarrow Sxy))$
- 9. There are n elements. $\exists x_1 \dots x_n \left(\bigwedge_{1 \le i < j \le n} x_i \ne x_j \land \forall x \left(\bigvee_{i=1}^n x_i = x_i \right) \right)$

Translation

1.
$$\operatorname{Cogito}(i) \to \exists x(x=i)$$
 Descartes
2. $\exists x(x=i) \lor \neg \exists x(x=i)$ Shakespeare

3.
$$\forall x \text{ (Month}(x) \to \text{Crueler(april}, x))$$

5.
$$\forall x \pmod{(x)} \rightarrow \text{Crueler}(\text{aprii}, x)$$

$$4. \forall x (\neg \text{Weep}(x) \rightarrow \neg \text{See}(x))$$

4.
$$\forall x (\neg \operatorname{Weep}(x) \to \neg \operatorname{See}(x))$$

5.
$$\forall x \big(\operatorname{Time}(x) \to \operatorname{Better}(t, x) \big) \land \forall x \big(\operatorname{Time}(x) \to \operatorname{Better}(x, t) \big)$$

6.
$$\exists n \mid \text{Child}(n) \land \neg \text{Grow}(n) \land \neg$$

6.
$$\exists p \Big(\operatorname{Child}(p) \land \neg \operatorname{Grow}(p) \land \forall x \Big(\operatorname{Child}(x) \land x \neq p \to \operatorname{Grow}(x) \Big) \Big)$$
 Barrie

6.
$$\exists p \Big(\text{Child}(p) \land \neg \text{Grow}(p) \land \neg \text{Grow}(p) \Big)$$

7.
$$\forall rv(Fr \land Fv \rightarrow (Hr \land Hv \rightarrow Hv \rightarrow Hv))$$

7.
$$\forall xy (Fx \land Fy \rightarrow (Hx \land Hy \rightarrow Axy) \land (\neg Hx \land \neg Hy \rightarrow \neg Axy))$$

8.
$$\exists t \forall x \operatorname{Fool}(x, t) \land \exists x \forall t \operatorname{Fool}(x, t) \land \neg \forall x \forall t \operatorname{Fool}(x, t)$$

9. $\forall x (\operatorname{Problem}(x) \land \operatorname{Philo}(x) \land \operatorname{Serious}(x) \leftrightarrow x = \operatorname{suicide})$

10.
$$\forall x \text{ (Feather}(x) \land \text{Perch}(x, \text{soul}) \leftrightarrow x = \text{hope})$$

12
$$\exists r \forall v (For(v, r) \land For(r, v))$$
?

12.
$$\exists x \forall y (\operatorname{For}(y, x) \wedge \operatorname{For}(x, y))$$
?

13.
$$\exists x (\text{Fear}(\text{we}, x) \land \text{For}(x, y))$$
?

12.
$$\exists x \forall y (\operatorname{For}(y, x) \land \operatorname{For}(x, y))$$
?
13. $\exists x (\operatorname{Fear}(\operatorname{we}, x) \leftrightarrow x = \operatorname{Fear})$?

11.
$$\forall x \Big(\text{Enter}(x) \land \forall x \text{ eleh}(x, \text{sour}) \land \forall x = \text{hope} \Big)$$

13.
$$\exists x (\text{Fear}(\text{we}, x) \leftrightarrow x = \text{Fear})$$
?
14. $\forall x y (Ax \land Ay \rightarrow Exy) \land \exists x y (Ax \land Ay \land [Exx] > [Eyy])$?

Fliot

Hugo

Dickens

Tolstoi

Lincoln

Camus

- 1. Cogito, ergo sum. (I think, therefore I am.) Descartes 2. To be or not to be. Shakespeare 3. April is the cruellest month. **Fliot** 4. Those who do not weep, do not see. Hugo 5. It was the best of times, it was the worst of times. Dickens 6. All Children, except one, grow up. Barrie 7. All happy families are alike; each unhappy family is unhappy in it's Tolstoi own way. 8. You can fool all the people some of the time, and some of the people all the time, but you can't fool all the people all the time. Lincoln 9. There is but one truly serious philosophical problem and that is
 - suicide. Camus
- Dickinson 10. Hope is the thing with feathers that perches in the soul.
- 11. All hope abandon, all you who enter here. Dante 12. One for all and all for one. Dumas
- Roosevelt
- 13. The only thing we have to fear is fear itself.
- 14. All animals are equal, but some animals are more equal than others.

Exercises — Translation

- 1. If you can't solve a problem, then there is an easier problem that you can't solve.
- 2. Men and women are welcome to apply.
- 3. None but ripe bananas are edible.
- 4. Only Socrates and Plato are human.
- 5. All but Socrates and Plato are human.
- 6. Every boy loves at least two girls.
- 7. Adams can't do every job right.
- 8. Adams can't do any job right.
- 9. Not all that glitters are gold.
- 10. Every farmer who owns a donkey is happy.
- 11. Every farmer who owns a donkey beats it.
- 12. All even numbers are divisible by 2, but *only some* are divisible by 4.

Exercises — Translation

- 1. Everyone alive 2000BC is either an ancestor of nobody alive today or of everyone alive today.
- 2. John hates all people who do not hate themselves.
- 3. No barber shaves exactly those who do not shave themselves.
- 4. Andy and Bob have the same maternal grandmother. mother(x, y)
- 5. Anyone who loves two different girls is Tony.
- 6. There is exactly one sun.
- 7. Socrates' wife has a face that only her mother could love.
- 8. If dogs are animals, every head of a dog is the head of an animal.
- 9. Someone *other than the girl* who loves Bob is stupid.
- 10. Morris only loves the girl who loves him.
- 11. The one who loves Alice is the one she loves.
- 12. The shortest English speaker loves the tallest English speaker.

Translation

$$\lim_{n\to\infty}a_n=a\iff\forall\varepsilon>0\exists N\in\mathbb{N}\forall n\geq N\big(|a_n-a|<\varepsilon\big)$$

$$\lim_{x\to c}f(x)\uparrow\iff\forall y\in\mathbb{R}\exists\varepsilon>0\forall\delta>0\exists x\in\mathbb{R}\big(0<|x-c|<\delta\wedge|f(x)-y|\geq\varepsilon\big)$$
 continuity vs uniform continuity

$$\forall x \in \mathbb{R} \forall \varepsilon > 0 \exists \delta > 0 \forall y \in \mathbb{R} (|x - y| < \delta \to |f(x) - f(y)| < \varepsilon)$$
$$\forall \varepsilon > 0 \exists \delta > 0 \forall x y \in \mathbb{R} (|x - y| < \delta \to |f(x) - f(y)| < \varepsilon)$$

Translation

1.
$$\exists x \Big(Gx \land \forall y \Big(By \land \forall z \Big(Gz \land z \neq x \rightarrow \neg Lzy \Big) \rightarrow Lxy \Big) \Big) \rightarrow \forall x \Big(Bx \rightarrow \exists y \Big(Gy \land Lyx \Big) \Big)$$

2.
$$\forall xy \Big((Gx \land \forall y (By \to \neg Lxy)) \land (Gy \land \exists x (Bx \land Lyx)) \to \neg Lxy \Big)$$

Translation

1.
$$\exists x \Big(Gx \land \forall y \Big(By \land \forall z (Gz \land z \neq x \rightarrow \neg Lzy \Big) \rightarrow Lxy \Big) \Big) \rightarrow \forall x \Big(Bx \rightarrow \exists y \Big(Gy \land Lyx \Big) \Big)$$

2. $\forall xy \Big((Gx \land \forall y (By \to \neg Lxy)) \land (Gy \land \exists x (Bx \land Lyx)) \to \neg Lxy \Big)$

安得圣母爱渣男,大庇天下雄性有红颜!

相信我,我肯定能找到一种你不屑于理解的语言来试图跟你对(zhuang)话(B)的。

No girl who does not love a boy loves a girl who loves a boy.

Love a boy loves a boy loves a boy.

Substitution and Substitutable

Definition (Substitution in a term/formula)

$$=, P, \neg, \to \cdots$$

$$(\forall yB)[t/x] := \begin{cases} \forall yB[t/x] & \text{if } y \neq x \\ \forall yB & \text{if } y = x \end{cases}$$

Definition (Substitutable)

t is substitutable for x in A:

$$=, P, \neg, \rightarrow \cdots$$

 $A = \forall yB$ iff either

- 1. $x \notin Fv(A)$ or
- 2. $y \notin Fv(t)$ and t is substitutable for x in B.

Prevent the variables in t from being captured by a quantifier in A.

$$A = \exists y(x \neq y) \quad t = y \quad A[t/x]$$
?

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Philosophy

- No entity without identity.
 Quine's standards of ontological admissibility
- To be is to be the value of a bound variable. Quine's criterion of ontological commitments
- To be is to be constructed by intuition.

— Brouwer— Kolmogorov

• To be true is to be provable.

Taualii'a "T aabawa"

• "p" is true iff p.

— Tarski's "T-schema"

What is "truth" — Are all truths knowable?

- 1. formally correct $\forall x (T(x) \leftrightarrow A(x))$
- 2. materially adequate $A(s) \leftrightarrow p$ where 's' is the name of a sentence of \mathcal{L} , and 'p' is the translation of this sentence in \mathcal{L}' .



Structure

A structure over the signature is a pair $\mathcal{M} := (M, I)$, where M is a non-empty set, and I is a mapping which

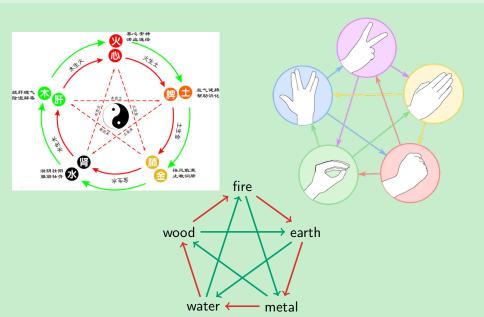
- assigns to each constant symbol c an element $I(c) \in M$,
- \bullet assigns to each function symbol f^k a k-ary function $I(f^k):M^k\to M$,
- ullet assigns to each predicate symbol P^k a k-ary relation $I(P^k)\subset M^k$.

We write $\mathcal{M} = (M, c^{\mathcal{M}}, f^{\mathcal{M}}, P^{\mathcal{M}})$ for convenience.

The 'elements' of the structure have no properties other than those relating them to other 'elements' of the same structure.



Structure

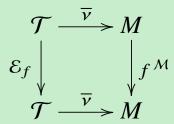


Interpretation

An interpretation (\mathcal{M}, ν) is a structure \mathcal{M} with a variable assignment $\nu: \mathcal{V} \to \mathcal{M}$.

We extend ν to $\overline{\nu}: \mathcal{T} \to M$ by recursion as follows:

- \bullet $\overline{v}(x) := v(x)$
- $\bullet \ \overline{v}(c) \coloneqq c^{\mathcal{M}}$
- $\overline{v}(f(t_1,\ldots,f_n)) := f^{\mathcal{M}}(\overline{v}(t_1),\ldots,\overline{v}(t_n))$



Tarski's Definition of Truth

Definition $(\mathcal{M}, \nu \models A)$

- \mathcal{M} , $v \models t_1 = t_2$ if $\overline{v}(t_1) = \overline{v}(t_2)$
- $\mathcal{M}, \nu \models P(t_1, \ldots, t_n)$ if $(\overline{\nu}(t_1), \ldots, \overline{\nu}(t_n)) \in P^{\mathcal{M}}$
- $\mathcal{M}, \nu \models \neg A \text{ if } \mathcal{M}, \nu \not\models A$
- $\mathcal{M}, \nu \models A \rightarrow B$ if $\mathcal{M}, \nu \not\models A$ or $\mathcal{M}, \nu \models B$
- $\mathcal{M}, v \models \forall x A$ if for every $a \in M : \mathcal{M}, v(a/x) \models A$ where

$$v(a/x)(y) := \begin{cases} v(y) & \text{if } y \neq x \\ a & \text{otherwise} \end{cases}$$

or, $\mathcal{M}, \nu \models \forall x A$ if for all $\nu' \sim_x \nu : \mathcal{M}, \nu' \models A$. where $\nu' \sim_x \nu$ if for all $y \neq x : \nu'(y) = \nu(y)$.

To say of what is that it is not, or of what is not that it is, is false, while to say of what is that it is, or of what is not that it is not, is true.

— Aristotle

Tarski's Definition of Truth

• $\mathcal{M} \models A$ if for all $\nu : \mathcal{M}, \nu \models A$. (True)

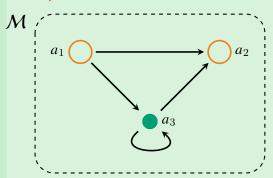
- $\mathcal{M}, \nu \models \Gamma$ if for all $A \in \Gamma : \mathcal{M}, \nu \models A$.
- $\mathcal{M} \models \Gamma$ if for all $A \in \Gamma : \mathcal{M} \models A$.
- $\Gamma \models A$ if for all $\mathcal{M}, \nu : \mathcal{M}, \nu \models \Gamma \implies \mathcal{M}, \nu \models A$.
- $\Gamma \models^* A$ if for all $\mathcal{M} : \mathcal{M} \models \Gamma \implies \mathcal{M} \models A$.
- $\models A \text{ if } \emptyset \models A.$ (Valid)
- A is satisfiable if there exists \mathcal{M}, ν s.t. $\mathcal{M}, \nu \models A$.

 $Px \models \forall x Px$?

 $Px \models^* \forall x Px$?

Example

Example



- $M = \{a_1, a_2, a_3\}$
- $c^{\mathcal{M}} = a_3$
- $P^{\mathcal{M}} = \{a_1, a_2\}$
- $\bullet \ R^{\mathcal{M}} = \{(a_1, a_2), (a_1, a_3), (a_3, a_2), (a_3, a_3)\}\$

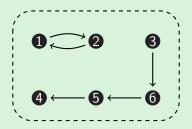
- $c^{\mathcal{M}}$: green point
- $P^{\mathcal{M}}$: yellow circles
- $R^{\mathcal{M}}$: arrows
- M [?] Pc
- $\mathcal{M} \stackrel{?}{\models} Pc \vee Rcc$
- $\bullet \ \mathcal{M} \models \forall x (Px \lor Rxx)$
- $\bullet \ \mathcal{M} \models \exists x \forall y (y = x \lor Rxy)$
- $\mathcal{M}, \nu \models Rxy \rightarrow Rcy$ where $\nu(x) = a_1, \nu(y) = a_3$.

Example

Example

$$\forall xyz(Rxy \land Ryz \rightarrow Rxz)$$

What arrows are missing to make the following a model?



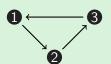
(Add only those arrows that are really needed.)

Counter Model

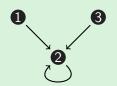
Counter Model

 $\forall x \exists y Rxy \not\models \exists x \forall y Rxy$

 $\forall x \exists y Rxy \not\models \exists y \forall x Rxy$



 $\exists y \forall x R x y \not\models \forall y \exists x R x y$



Is there a finite counter model?

Exercise (Counter Model)

Give a counter model for

- 1. $\forall x \exists y Rxy \land \forall xyz (Rxy \land Ryz \rightarrow Rxz) \not\vDash \exists x Rxx$
- 2. $\forall x \exists y Rxy \land \forall xyz (Rxy \land Ryz \rightarrow Rxz) \not\models \exists xy (Rxy \land Ryx)$

Everybody loves somebody

Everybody loves all persons who are loved by his loved ones

There is at least a pair of persons who love each other

$$(\mathbb{Z},<)$$

Mistakes to Avoid

$$\forall x (Bx \to Sx)$$
$$\exists x (Bx \land Sx)$$

- $\forall x (Bx \land Sx)$ Everyone is a boy and everyone is smart.
- $\exists x(Bx \to Sx)$ It is true if there is anyone who is not a boy.

Coincidence Lemma

Lemma (Coincidence Lemma)

Assume $v_1, v_2 : \mathcal{V} \to M$, and for all $x \in \text{Fv}(A) : v_1(x) = v_2(x)$. Then

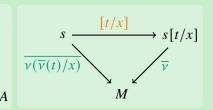
$$\mathcal{M}, \nu_1 \models A \iff \mathcal{M}, \nu_2 \models A$$

- If A is a sentence, then either $\mathcal{M} \models A$ or $\mathcal{M} \models \neg A$.
- $\bullet \ \mathcal{M} \models A \implies \mathcal{M} \models \forall xA$
- Notation: If $\operatorname{Fv}(A) \subset \{x_1, \dots, x_n\}$, then we write $\mathcal{M} \models A[a_1, \dots, a_n]$ to mean $\mathcal{M}, \nu \models A$ for some (equivalently any) assignment ν s.t. $\nu(x_i) = a_i$ for $1 \le i \le n$.

Substitution Lemma

Lemma (Substitution Lemma)

- If the term t is substitutable for the variable x in the wff A, then $\mathcal{M}, v \models A[t/x] \iff \mathcal{M}, v(v(t)/x) \models A$



$$\mathcal{L}_M := \mathcal{L} \cup C_M \text{ where } C_M := \{c_a : a \in M\}$$

$$\mathcal{M}, v \models A[c_a/x] \iff \mathcal{M}, v(a/x) \models A$$

We abbreviate $\mathcal{M}, \nu \models A[c_a/x]$ by $\mathcal{M}, \nu \models A[a]$.

$$\mathcal{M}, v \models \forall x A \iff \text{for every } a \in M : \mathcal{M}, v \models A[a]$$

Equivalent Replacement

Lemma

Suppose $B \in \operatorname{Sub}(A)$, and A^* arises from A by replacing zero or more occurrences of B by C. Then

$$\models B \leftrightarrow C \implies \models A \leftrightarrow A^*$$

Alphabetic Variant

Definition (Alphabetic Variant)

If $y \notin Fv(A)$, and y is substitutable for x in A, we say that $\forall y A[y/x]$ is an alphabetic variant of $\forall x A$.

Theorem

If $\forall y A[y/x]$ is an alphabetic variant of $\forall x A$, then

$$\models \forall x A \leftrightarrow \forall y A [y/x]$$

If $y \notin Fv(A)$, then A[y/x][x/y] = A.

• Convention: When we write A[t/x] we assume that t is substitutable for x in A. — For any formula A and a finite number of variables y_1, \ldots, y_n (occurring in t), we can always find a logically equivalent alphabetic variant A^* of A s.t. y_1, \ldots, y_n do not occur bound in A^* .

Equality and Equivalence

Lemma

Suppose $Fv(t) \cup Fv(s) \subset \{x_1, \dots, x_n\}$, and A^* arises from the wff A by replacing one occurrence of t in A by s. Then

$$\models \forall x_1 \dots x_n (t = s) \to (A \leftrightarrow A^*)$$

$$\mathcal{M} \models t = s \implies \mathcal{M} \models A \leftrightarrow A^*$$

Lemma

Suppose $Fv(B) \cup Fv(C) \subset \{x_1, ..., x_n\}$, and A^* arises from the wff A by replacing one occurrence of B in A by C. Then

$$\models \forall x_1 \dots x_n (B \leftrightarrow C) \rightarrow (A \leftrightarrow A^*)$$

$$\mathcal{M} \models B \leftrightarrow C \implies \mathcal{M} \models A \leftrightarrow A^*$$

Remark

$$\bullet \models \forall x (Px \leftrightarrow Qx) \rightarrow (\forall x Px \leftrightarrow \forall x Qx)$$
$$\not\models (Px \leftrightarrow Qx) \rightarrow (\forall x Px \leftrightarrow \forall x Qx)$$

•
$$\mathcal{M}, v \models t = s \implies \mathcal{M}, v \models A \leftrightarrow A^*$$

•
$$\mathcal{M}, v \models B \leftrightarrow C \implies \mathcal{M}, v \models A \leftrightarrow A^*$$

$$B = Px$$
, $C = Py$, $A = \forall x Px$, $A^* = \forall x Py$

$$\forall xA \to A[t/x]$$

$$\neg \forall xA \leftrightarrow \exists x \neg A$$

$$\forall x(A \land B) \leftrightarrow \forall xA \land \forall xB$$

$$\exists x(A \lor B) \leftrightarrow \exists xA \lor \exists xB$$

$$\forall x(A \to B) \to \forall xA \to \forall xB$$

$$\forall xyA \leftrightarrow \forall yxA$$

$$\exists x \forall yA \to \forall y\exists xA$$

$$\forall x(A \leftrightarrow B) \to (\forall xA \leftrightarrow \forall xB)$$

$$(\forall xA \to \exists xB) \leftrightarrow \exists x(A \to B)$$

$$A[t/x] \to \exists xA$$

$$\neg \exists xA \leftrightarrow \forall x \neg A$$

$$\forall xA \lor \forall xB \to \forall x(A \lor B)$$

$$\exists x(A \land B) \to \exists xA \land \exists xB$$

$$\forall x(A \to B) \to \exists xA \to \exists xB$$

$$\exists xyA \leftrightarrow \exists yxA$$

$x \notin Fv(A)$:

$$A \leftrightarrow \forall xA$$

$$\forall x(A \lor B) \leftrightarrow A \lor \forall xB$$

$$\forall x(A \land B) \leftrightarrow A \land \forall xB$$

$$\forall x(A \land B) \leftrightarrow A \land \forall xB$$

$$\forall x(A \rightarrow B) \leftrightarrow (A \rightarrow \forall xB)$$

$$\forall x(B \rightarrow A) \leftrightarrow (\exists xB \rightarrow A)$$

$$\exists x(B \rightarrow A) \leftrightarrow (\forall xB \rightarrow A)$$

$$\exists x(A \rightarrow \forall xA)$$

$$t = t$$

$$t = s \rightarrow s = t$$

$$t = s \rightarrow s = r \rightarrow t = r$$

$$t_1 = s_1 \rightarrow \cdots \rightarrow t_n = s_n \rightarrow f(t_1, \dots, t_n) = f(s_1, \dots, t_n)$$

$$t_1 = s_1 \rightarrow \cdots \rightarrow t_n = s_n \rightarrow (P(t_1, \dots, t_n) \leftrightarrow P(s_1, \dots, s_n))$$

$$t = s \rightarrow r[t/x] = r[s/x]$$

$$t = s \rightarrow (A[t/x] \leftrightarrow A[s/x])$$

$$x \notin Fv(t)$$
:

$$\exists x(x=t)$$

$$A[t/x] \leftrightarrow \exists x(x=t \land A)$$

$$A[t/x] \leftrightarrow \forall x(x=t \rightarrow A)$$

Application — Game Theory

Theorem (Zermelo's Theorem)

Every finite game of perfect information with no tie is determined.

Proof.

First, color those end nodes black that are wins for player 1, and color the other end nodes white, being the wins for 2. Then

- if player 1 is to move, and at least one child is black, color it black; if all children are white, color it white.
- if player 2 is to move, and at least one child is white, color it white; if all children are black, color it black.

Proof.

$$\exists x_1 \forall y_1 \dots \exists x_n \forall y_n A \vee \forall x_1 \exists y_1 \dots \forall x_n \exists y_n \neg A$$

where A states that a final position is reached where player 1 wins.

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- . . .

Hilbert System = Axiom + Inference Rule

Axiom Schema

- 1. $A \rightarrow B \rightarrow A$
- 2. $(A \rightarrow B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow A \rightarrow C$
- 3. $(\neg A \rightarrow \neg B) \rightarrow (\neg A \rightarrow B) \rightarrow A$
- 4. $\forall x(A \rightarrow B) \rightarrow \forall xA \rightarrow \forall xB$
- 5. $\forall x A \rightarrow A[t/x]$ where t is substitutable for x in A.
- 6. $A \rightarrow \forall x A$ where $x \notin Fv(A)$.
- 7. x = x
- 8. $x = y \rightarrow A \rightarrow A'$ where A is atomic and A' is obtained from A by replacing x in zero or more places by y.
- 9. $\forall x_1 \dots x_n A$ where $n \geq 0$ and A is any axiom of the preceding groups.

Inference Rule

$$\frac{A \quad A \to B}{B}$$
 [MP]

Example

Theorem

$$A \vdash \exists x A$$

Proof.

- 1. $(\forall x \neg A \rightarrow \neg A) \rightarrow A \rightarrow \neg \forall x \neg A$
- 2. $\forall x \neg A \rightarrow \neg A$
- 3. $A \rightarrow \neg \forall x \neg A$
- 4. A
- 5. $\neg \forall x \neg A$
- 6. $\exists x A$

Tautology

A5

1,2 MP

Premise

3,4 MP

Definition of \exists

Deduction Theorem

Theorem (Deduction Theorem1)

$$\Gamma.A \vdash B \implies \Gamma \vdash A \rightarrow B$$

Inference Rule

$$\frac{A}{\forall xA}$$
 [G]

What if we remove Axiom9 and add the rule of generalization to Hilbert System?

Theorem (Deduction Theorem2)

If Γ , $A \vdash B$, where the rule of generalization is not applied to the free variables of A, then $\Gamma \vdash A \rightarrow B$.

Meta-properties

$$\bullet \models A[B_1/p_1,\ldots,B_n/p_n]$$
 where $A \in \mathscr{L}^0$, $B_1,\ldots,B_n \in \mathscr{L}^1$. tautology

$$\bullet \ \Gamma, A \vdash B \land \neg B \implies \Gamma \vdash \neg A$$

$$\bullet$$
 Γ , $\neg A \vdash B \& \Gamma$, $\neg A \vdash \neg B \Longrightarrow \Gamma \vdash A$

•
$$\Gamma, A \vdash \neg B \iff \Gamma, B \vdash \neg A$$

$$\bullet$$
 $t = s + r[t/x] = r[s/x]$

•
$$t = s + A[t/x] \leftrightarrow A[s/x]$$

reductio ad absurdum

proof by contradiction

contraposition

substitution

substitution

ullet $\mapsto B \leftrightarrow C \Longrightarrow \vdash A \leftrightarrow A^*$ where A^* arises from A by replacing one or more occurrences of B in A by C.

 $\bullet \vdash \forall x A \iff \vdash \forall y A[y/x]$

alphabetic variant

Meta-properties

$\bullet \ \Gamma \vdash A[t/x] \implies \Gamma \vdash \exists x A$	$\exists R$
$\bullet \ \Gamma, A[t/x] \vdash B \implies \Gamma, \forall xA \vdash B$	$\forall L$
$\bullet \ \Gamma, A \vdash B \ \& \ x \notin \operatorname{Fv}(\Gamma, B) \implies \Gamma, \exists xA \vdash B$	$\exists L$
$\bullet \ \Gamma \vdash A \ \& \ x \notin \operatorname{Fv}(\Gamma) \implies \Gamma \vdash \forall x A$	$\forall R$
$\bullet \ \Gamma, A[y/x] \vdash B \ \& \ y \notin \operatorname{Fv}(\Gamma, \exists xA, B) \implies \Gamma, \exists xA \vdash B$	$\exists L$
$\bullet \ \Gamma \vdash A[y/x] \& y \notin Fv(\Gamma, \forall xA) \implies \Gamma \vdash \forall xA$	$\forall R$
$\bullet \ \Gamma, A[a/x] \vdash B \ \& \ a \not\in \mathrm{Cst}(\Gamma, \exists xA, B) \implies \Gamma, \exists xA \vdash B$	$\exists L$
$\bullet \ \Gamma \vdash A[a/x] \& \ a \notin \mathrm{Cst}(\Gamma, \forall xA) \implies \Gamma \vdash \forall xA$	$\forall R$

 $\bullet \ \Gamma \vdash A \ \& \ a \not\in \mathrm{Cst}(\Gamma) \ \& \ x \not\in \mathrm{Fv}(A) \implies \Gamma \vdash \forall x A[x/a]$

Alphabetic Variant

Theorem (Existence of Alphabetic Variants)

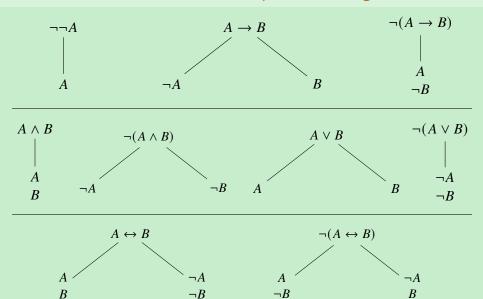
Let A be a formula, t a term, and x a variable. Then we can find a formula A^* which differs from A only in the choice of quantified variables s.t.

- 1. $A \mapsto A^*$
- 2. t is substitutable for x in A^* .

Strategy

- \rightarrow \bullet $\Gamma \vdash A \rightarrow B \iff \Gamma, A \vdash B$
 - \forall 1. if $x \notin Fv(\Gamma)$, $\Gamma \vdash \forall xA \iff \Gamma \vdash A$
 - 2. if $x \in \text{Fv}(\Gamma)$, $\Gamma \vdash \forall xA \iff \Gamma \vdash \forall yA[y/x] \iff \Gamma \vdash A[y/x]$ for some new y.
 - $\neg \quad 1. \ (\neg \rightarrow) \quad \Gamma \vdash \neg (A \rightarrow B) \iff \Gamma \vdash A \& \Gamma \vdash \neg B$
 - 2. $(\neg\neg)$ $\Gamma \vdash \neg\neg A \Longleftarrow \Gamma \vdash A$
 - 3. $(\neg \forall)$ $\Gamma \vdash \neg \forall xA \iff \Gamma \vdash \neg A[t/x]$ Unfortunately this is not always possible. Try contraposition, reductio ad absurdum or prove by contradiction. . .

Tree Method for Propositional Logic



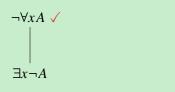
Tree Method for Predicate Logic I

Ground Tree:



where t is a ground term.

where a is a new constant.





Tree Method for Predicate Logic II

Tree Method with Unification:



where x_i is a new variable.

where f is a new function and $\{x_1, \ldots, x_m\} = \operatorname{Fv}(\exists x A)$.





Tree Method with Unification

- when expanding a universally quantified formula, do not choose a specific term but a rigid variable as a placeholder.
- choose the term only when it is clear it allows closing a branch.

rigid variable=same value in the whole tree

- variables can assigned to closed terms, like $x_1 = a$.
- can also be assigned to unclosed terms, like $x_1 = f(x_2)$.
- make literals one the opposite of the other.
- using terms as unspecified as possible Given literals A and $\neg B$ on the same branch, take the most general unifier of A and B.

Unifier

- A substitution σ is a *unifier* for a set Γ of formulae if for every $A, B \in \Gamma : A\sigma = B\sigma$.
- A unifier σ is a most general unifier for Γ if for each unifier θ there exists a substitution λ s.t. $\theta = \sigma \lambda$.

$$\sigma := \{t_1/x_1, \dots, t_m/x_m\} \qquad \lambda := \{s_1/y_1, \dots, s_n/y_n\}$$
$$\sigma \lambda = \{t_1\lambda/x_1, \dots, t_m\lambda/x_m, s_1/y_1, \dots, s_n/y_n\} \setminus \{s_i/y_i : y_i \in \{x_1, \dots, x_m\}\}$$

- $(A\sigma)\lambda = A(\sigma\lambda)$ and $(t\sigma)\lambda = t(\sigma\lambda)$
- $(\sigma \lambda)\theta = \sigma(\lambda \theta)$

Tree Method for Predicate Logic



where A(y) arises from the wff A(x) by replacing one or more occurrences of x by y.

Deduction & Tactics

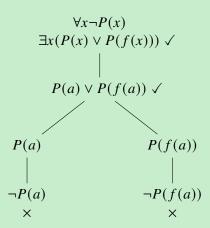
Definition (Deduction)

 $A_1, \ldots, A_n \vdash B$ iff there exists a closed tree from $\{A_1, \ldots, A_n, \neg B\}$.

- Try to apply "non-branching" rules first, in order to reduce the number of branches.
- Try to close off branches as quickly as possible.
- Deal with negated quantifiers first.
- Instantiate existentials before universals.

Example — Ground Tree

 $|\{\forall x \neg P(x), \exists x (P(x) \lor P(f(x)))\}|$ is unsatisfiable.



Example — Tree Method with Unification

 $\left\{ \forall x P(x), \neg Q(f(a)), \forall x (\neg P(f(x)) \lor Q(x)) \right\} \text{ is unsatisfiable}.$

$$\forall x P(x) \checkmark \\ \neg Q(f(a))$$

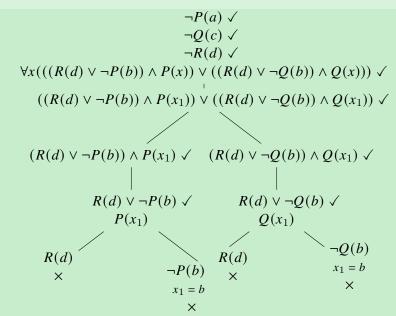
$$\forall x (\neg P(f(x)) \lor Q(x)) \checkmark \\ | \\ P(x_1) \\ | \\ \neg P(f(x_2)) \lor Q(x_2) \checkmark \\ \neg P(f(x_2)) \\ x_1 = f(x_2) \\ \times \qquad \times$$

Unification — Greedy Unification (incomplete)

$$\neg P(a) \checkmark \\ \neg Q(c) \checkmark \\ \neg R(d) \checkmark \\ ((R(d) \lor \neg P(b)) \land P(x)) \lor ((R(d) \lor \neg Q(b)) \land Q(x))) \checkmark \\ ((R(d) \lor \neg P(b)) \land P(x_1)) \lor ((R(d) \lor \neg Q(b)) \land Q(x_1)) \checkmark \\ (R(d) \lor \neg P(b)) \land P(x_1) \checkmark \qquad (R(d) \lor \neg Q(b)) \land Q(a) \checkmark \\ R(d) \lor \neg P(b) \qquad \qquad | \qquad \qquad | \qquad \qquad | \\ R(d) \lor \neg P(b) \qquad \qquad | \qquad \qquad | \\ R(d) \lor \neg Q(b) \checkmark \\ x_1 = a \qquad \qquad Q(a) \\ \times \\ R(d) \qquad \qquad \times \\ R(d) \qquad \qquad \qquad | \qquad \qquad | \\ R(d) \qquad \qquad | \qquad | \\ R(d) \qquad \qquad | \qquad \qquad | \qquad \qquad | \\ R(d) \qquad \qquad | \qquad | \\ R(d) \qquad \qquad | \qquad \qquad | \qquad | \\ R(d) \qquad \qquad | \qquad \qquad | \\ R(d)$$

Applying unification as soon as a branch can be closed by lead to incompleteness.

Unification — Final Closure



Unification is applied only when it closes all open branches at the same time. $_{201/321}$

Example — Unification vs Ground

There is someone such that if he is drinking, then everyone is drinking.

Soundness & Completeness

Theorem (Soundness Theorem)

If the tree closes, the set is unsatisfiable.

Theorem (Completeness Theorem)

If a set is unsatisfiable, there exists a closed tree from it.

$$A_1, \ldots, A_n \vdash B \iff A_1, \ldots, A_n \models B$$

Remark: If an inference with predicate wff is not valid and its counterexample is an infinite model, the tree will not find it. The tree method can't generate every counterexample of an invalid inference in predicate logic.

Exercises — Tree Method

- 1. $\forall x (Px \to Qx) \to \exists x Px \to \exists x Qx$
- 2. $\exists x \forall y Rxy \rightarrow \forall y \exists x Rxy$
- 3. $\exists x (Px \land Qx) \rightarrow \exists x Px \land \exists x Qx$
- 4. $\forall x (A \lor B(x)) \rightarrow A \lor \forall x B(x)$ where $x \notin Fv(A)$
- 5. $\exists x \Big((Px \land \forall y (Py \to y = x)) \land Qx \Big) \vdash \exists x \forall y \Big((Py \leftrightarrow y = x) \land Qx \Big)$
- 6. $\exists x (Px \land \forall y (Py \rightarrow y = x)) \land \exists x (Qx \land \forall y (Qy \rightarrow y = x)) \land \neg \exists x (Px \land Qx) \rightarrow \exists x y (x \neq y \land (Px \lor Qx) \land (Py \lor Qy) \land \forall z (Pz \lor Qz \rightarrow z = x \lor z = y))$

$$1 + 1 = 2$$

Exercises — Tree Method

- 1. Nobody trusts exactly those who have no mutual trust with anybody.
- 2. If dogs are animals, every head of a dog is the head of an animal.
- 3. Every non-analytic, meaningful proposition is either verifiable or falsifiable. Philosophical propositions are neither analytic nor verifiable or falsifiable. Therefore, they are meaningless.
- 4. No girl loves any sexist pig. Caroline is a girl who loves whoever loves her. Henry loves Caroline. Thus Henry isn't a sexist pig.
- 5. The present king of France is bald. Bald men are sexy. Hence whoever is a present King of France is sexy.
- 6. Only Russell is a great philosopher. Wittgenstein is a great philosopher who smokes. So Russell smokes.
- 7. Everyone is afraid of Dracula. Dracula is afraid *only* of me. Therefore, I am Dracula.
- 8. Everyone loves a *lover*(*anyone who loves somebody*). Romeo loves Juliet. Therefore, I love you.
- 9. Everyone loves a *lover(anyone who loves somebody)*; hence if someone is a lover, everyone loves everyone!

Exercises — Tree Method

- I am a philosopher. A philosopher can *only* be appreciated by philosophers. No philosopher is without some eccentricity. I sing rock. Every eccentric rock singer is appreciated by some girl. Eccentrics are conceited. Therefore, some girl is conceited.
- 2. Any philosopher admires some logician. Some students admire *only* film stars. No film stars are logicians. Therefore not all students are philosophers.
- If anyone speaks to anyone, then someone introduces them; no one introduces anyone to anyone unless he knows them both; everyone speaks to Frank; therefore everyone is introduced to Frank by someone who knows him.
- 4. Whoever stole the goods, knew the safe combination. Someone stole the goods, and *only* Jack knew the safe combination. Hence Jack stole the goods.
- 5. No one but Alice and Bette (who are different people) admires Carl. All and only those who admire Carl love him. Hence exactly two people love Carl.

Application — Minesweeper



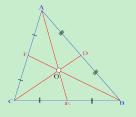
- \bullet There are exactly n mines in the game.
- If a cell contains the number 1, then there is exactly one mine in the adjacent cells.

```
 \forall x (\operatorname{contain}(x,1) \to \\ \exists y (\operatorname{adj}(x,y) \land \operatorname{mine}(y) \land \forall z (\operatorname{adj}(x,z) \land \operatorname{mine}(z) \to z = y)))
```

...

Russell's Theory of Descriptions

- 1. The substitution of identicals.
 - "The morning star is the evening star."
- 2. The law of the excluded middle.
 - "The present King of France is bald." or "The present King of France is not bald."
- 3. The problem of negative existentials.
 - "The round square is round."



Russell's Theory of Descriptions

$$B(\iota_{x}A) := \exists !xA \wedge \exists x(A \wedge B)$$

$$\exists \exists x \forall y \Big((A(y) \leftrightarrow y = x) \wedge B(x) \Big)$$
The round square does not exist. $B(\iota_{x}A) \vee (\neg B)(\iota_{x}A)$?
$$\exists x \forall y \Big((Ry \wedge Sy \leftrightarrow y = x) \wedge \neg Ex \Big) \quad (\neg B)(\iota_{x}A) ?$$

$$\neg \exists x \forall y \Big((Ry \wedge Sy \leftrightarrow y = x) \wedge Ex \Big) \quad \neg B(\iota_{x}A) ?$$

$$Ex := \exists P(Px \wedge \exists y \neg Py)$$

$$\iota_{x}A = \iota_{x}A ? \quad \forall xB \to B(\iota_{x}A) ?$$

$$B(\iota_{x}^{y}A) := (\exists !xA \to \exists x(A \wedge B)) \wedge (\neg \exists !xA \to B[y/x])$$

$$\vdash \forall xB \to B(\iota_{x}^{y}A)$$

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Definability

What is "definability"?

Berry Paradox

The smallest positive integer not definable in fewer than twelve words.

Definition (Definability)

• $X \subset M^n$ is Y-definable $(X \in \mathrm{Def}(\mathcal{M},Y))$ over \mathcal{M} if there is a wff A and $b_1,\ldots,b_m \in Y^m$ s.t.

$$X = \{(a_1, \ldots, a_n) : \mathcal{M} \models A[a_1, \ldots, a_n, b_1, \ldots, b_m]\}$$

• X is definable in \mathcal{M} if it is \emptyset -definable in \mathcal{M} .

A definition is acceptable only on condition that it implies no contradiction.

— Poincaré

Representability

What is "representability"?

Definition (Representable Functions)

A *n*-ary function $f: \mathbb{N}^n \to \mathbb{N}$ is representable in the theory T iff there is a wff $A(x_1, \ldots, x_n, y)$ s.t. for all a_1, \ldots, a_n ,

$$T \vdash \forall y \Big(A(\underline{a_1}, \dots, \underline{a_n}, y) \leftrightarrow y = \underline{f(a_1, \dots, a_n)} \Big)$$

Definition (Representable Relations)

A *n*-ary relation $R \subset \mathbb{N}^n$ is representable in the theory T iff there is a wff A s.t. for all a_1, \ldots, a_n ,

$$(a_1, \dots, a_n) \in R \implies T \vdash A[a_1, \dots, a_n]$$

 $(a_1, \dots, a_n) \notin R \implies T \vdash \neg A[a_1, \dots, a_n]$

A function/relation is representable in Robinson Q iff it is computable.

Example

• The interval $[0, \infty)$ is definable in $\mathcal{R} = (\mathbb{R}, 0, 1, +, \cdot)$, where the language is $\mathcal{L} = \{0, 1, +, \cdot\}$.

$$\mathcal{R} \models \exists y (x = y \cdot y)[a] \iff a \ge 0$$

• The ordering relation < is definable in $\mathcal{N} = (\mathbb{N}, 0, S, +, \cdot)$, where the language is $\mathcal{L} = \{0, S, +, \cdot\}$.

$$\exists z \big(x + S(z) = y \big)$$

ullet The set of primes is definable in ${\cal N}$ by the formula

$$\exists y \big(x = S(0) + S(y) \big) \land \forall y z \big(x = y \cdot z \longrightarrow y = S(0) \lor z = S(0) \big)$$

• \mathbb{N} is definable in $(\mathbb{Z}, +, \cdot)$ by

$$\exists y_1 y_2 y_3 y_4 \left(x = y_1^2 + y_2^2 + y_3^2 + y_4^2 \right)$$
 (Lagrange four-square theorem)

• Exponentiation $\{(m, n, p) : p = m^n\}$ is definable in \mathcal{N} . (use the Chinese remainder theorem)

Homomorphism & Isomorphism

Definition (Homomorphism)

A homomorphism h of \mathcal{M} into \mathcal{N} is a function $h: M \to N$ s.t.

- For each n-place predicate symbol P and each n-tuple $(a_1, \ldots, a_n) \in M^n$, $(a_1, \ldots, a_n) \in P^M \iff (h(a_1), \ldots, h(a_n)) \in P^N$
- For each n-place function symbol f and each n-tuple $(a_1,\ldots,a_n)\in M^n$, $h:f^{\mathcal{M}}(a_1,\ldots,a_n)\mapsto f^{\mathcal{N}}\big(h(a_1),\ldots,h(a_n)\big)$ In the case of a constant symbol c this becomes $h:c^{\mathcal{M}}\mapsto c^{\mathcal{N}}$.
- An isomorphism (monomorphism/epimorphism) is a bijective (injective/surjective) homomorphism. M ≅ N
- An automorphism (endomorphism) is an isomorphism (homomorphism) from \mathcal{M} to itself.
- A structure \mathcal{M} is rigid if it has no automorphisms other than 1_M .

Homomorphism Theorem

Theorem (Homomorphism Theorem)

Let h be a homomorphism of \mathcal{M} into \mathcal{N} , and $\nu: \mathcal{V} \to M$.

- 1. For any term t, $h(\overline{v}(t)) = \overline{h \circ v}(t)$
- 2. For any open formula A not containing =, $\mathcal{M}, v \models A \iff \mathcal{N}, h \circ v \models A$
- 3. If $h: M \rightarrow N$, we may delete the restriction "not containing =".
- 4. If $h: M \rightarrow N$, we may delete the restriction "open".

Definition (Elementary Equivalence)

$$\mathcal{M} \equiv \mathcal{N}$$
 if for any sentence $A : \mathcal{M} \models A \iff \mathcal{N} \models A$

$$\mathcal{M} \cong \mathcal{N} \implies \mathcal{M} \equiv \mathcal{N}$$

Substructure

Definition (Substructure)

 ${\mathcal M}$ is called a *substructure* of ${\mathcal N}$ (${\mathcal M}\subset {\mathcal N}$) iff

- $M \subset N$
- 1. $P^{\mathcal{M}} = P^{\mathcal{N}} \cap M^n$ for any *n*-ary predicate symbol *P*.
 - 2. $f^{\mathcal{M}} = f^{\mathcal{N}} \upharpoonright_{M^n}$ for any *n*-ary function symbol f.

Suppose $\mathcal{M} \subset \mathcal{N}$. Then

• for any term $t(x_1, \ldots, x_n)$, and any $a_1, \ldots, a_n \in M$,

$$t^{\mathcal{M}}[a_1,\ldots,a_n]=t^{\mathcal{N}}[a_1,\ldots,a_n]$$

• for any open formula $A(x_1, \ldots, x_n)$, and any $a_1, \ldots, a_n \in M$,

$$\mathcal{M} \models A[a_1, \ldots, a_n] \iff \mathcal{N} \models A[a_1, \ldots, a_n]$$

Example

•
$$\mathcal{L} = \{0, 1, +, \cdot\}, \mathcal{N} = (\mathbb{N}, 0, 1, +, \cdot), \mathcal{R} = (\mathbb{R}, 0, 1, +, \cdot)$$

$$\mathcal{N} \subset \mathcal{R}$$

•
$$\mathcal{L} = \{<\}, \mathcal{M} = (\mathbb{N}, <), \mathcal{N} = (\{2n : n \in \mathbb{N}\}, <)$$

$$h: n \mapsto 2n, \quad h: \mathcal{M} \cong \mathcal{N}, \quad \text{but} \quad \mathcal{M} \not\subset \mathcal{N}$$

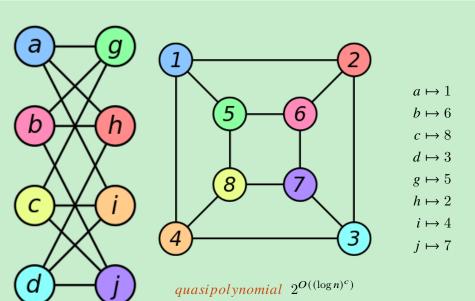
•
$$\mathcal{L} = \{0, +\}$$

$$\mathcal{M} = (\mathbb{N}, 0^{\mathcal{M}}, +^{\mathcal{M}}), \quad \text{where} \quad 0^{\mathcal{M}} = 0, \quad +^{\mathcal{M}}(a, b) = a + b$$

$$\mathcal{N} = (\{2^n : n \in \mathbb{N}\}, 0^{\mathcal{N}}, +^{\mathcal{N}}), \quad \text{where} \quad 0^{\mathcal{N}} = 1, \quad +^{\mathcal{N}}(a, b) = a \cdot b$$

$$h : n \mapsto 2^n, \quad h : \mathcal{M} \cong \mathcal{N} \quad \text{but} \quad \mathcal{M} \not\subset \mathcal{N}.$$

Example



A Joke

I gave a homework problem, "Let G_1 be the group ..., let G_2 be the group Are G_1 and G_2 isomorphic?" and was astonished to get the response, " G_1 is, but G_2 isn't." Are Asaf's story and mine

isomorphic? - Gerry Myerson Jan 31 '11 at 22:39

@Gerry: Asaf's is, but yours isn't. - Nate Eldredge Feb 1 '11 at 1:20

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"Let G_1 be the group ..., and G_2 be the group ... Prove that G_1 and G_2 are isomorphic."

Automorphism & Undefinability

Corollary

Let h be an automorphism $h: M \to M$, and $R \subset M^n$ definable in M. Then for any $a_1, \ldots, a_n \in M$,

$$(a_1,\ldots,a_n)\in R\iff (h(a_1),\ldots,h(a_n))\in R$$

Remark: This corollary is sometimes useful in showing that a given relation is not definable.

The set $\mathbb N$ is not definable in $(\mathbb R,<)$ where $\mathscr L=\{<\}$.

 $h: a \mapsto a^3$ is an automorphism of \mathbb{R} . It maps points outside of \mathbb{N} into \mathbb{N} .

 $\mathbb N$ is not definable in $(\mathbb R,0,1,+,\cdot,<)$

Natural numbers are not definable over the theory of real-closed fields.

Example

Example

The structure $\mathcal{M} \coloneqq \big(\{a,b,c\},\{(a,b),(a,c)\}\big)$ where the language is $\mathcal{L} = \{E\}$.

$$b \bullet \leftarrow \stackrel{a}{\bullet} \to \bullet c$$

- $\{b,c\}$ is definable in \mathcal{M} : $\exists y E(y,x)$
- $\{b\}$ is not definable in \mathcal{M} .

Example

Consider the vector space $\mathcal{E} := (E, +, f_r)_{r \in \mathbb{R}}$, where E is the universe, f_r is the scalar multiplication by r.

- $U := \{ \mathbf{x} \in E : |\mathbf{x}| = 1 \}$ is not definable in \mathcal{E} .
- $h: \mathbf{x} \mapsto 2\mathbf{x}$ is an automorphism but it does not preserve U.

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What is Logic?

- Arithmetic the study of numbers.
- Geometry the study of figures.
- Algebra the study of mathematical symbols.
- Set Theory the study of sets.
- Logic the study of logical notions.
- What is a number?
- What is a line?
- What is a set?
- What is a logical notion?

What is Mathematics?

Mathematics is the art of giving the same name to different things.

— Henri Poincaré

Not substance but invariant form is the carrier of the relevant mathematical information.

— F. William Lawvere Mathematics may be defined as the subject in which we never know

what we are talking about, nor whether what we are saying is true.

— Bertrand Russell

What is Geometry? — Klein's Erlangen Program

What is Geometry?

The study of invariants under a group of transformations.

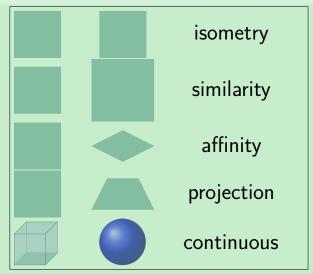




Figure: Felix Klein

What is Geometry? — Klein's Erlangen Program



	isometry	similarity	affine	projective	continuous
location					
length	✓				
area	✓				
perpendicularity	✓	✓			
parallelism	✓	✓	✓		
collinearity	✓	✓	✓	✓	
concurrence	✓	✓	✓	✓	
connectedness	✓	✓	✓	✓	✓

Given a manifold, and a transformation group acting on it, to study its invariants.

— Felix Klein

Klein's Erlangen Program vs Logic

What is Logic?8

Logic is the science that investigates the principles of valid reasoning.

what follows from what

The art of thinking and reasoning in strict accordance with the limitations and incapacities of the human misunderstanding. 60 — The Devil's Dictionary

The study of invariants under all automorphisms (symmetries).

⁸Tarski: What are logical notions?

Logic as permutation-invariant theory

Logic as permutation-invariant theory.

The study of invariants under all automorphisms (symmetries).

A notion is "logical" if it is invariant under all possible one-one transformations of the universe of discourse onto itself.

— Tarski

Logic analyzes the meaning of the concepts common to all the sciences, and establishes the general laws governing the concepts.

— Tarski

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Model & Semantic Consequence

- $\bullet \operatorname{Mod}(A) := \{ \mathcal{M} : \mathcal{M} \models A \}$
- $\operatorname{Mod}(\Gamma) := \bigcap_{A \in \Gamma} \operatorname{Mod}(A)$
- $\operatorname{Th}(\mathcal{M}) := \{A : \mathcal{M} \models A\}$
- $\bullet \ \operatorname{Th}(\mathcal{K}) \coloneqq \bigcap_{\mathcal{M} \in \mathcal{K}} \operatorname{Th}(\mathcal{M})$
- $\operatorname{Cn}(\Gamma) := \{A : \Gamma \models A\}$

- $\Gamma \subset \Gamma' \implies \operatorname{Mod}(\Gamma') \subset \operatorname{Mod}(\Gamma)$
- $\bullet \ \mathcal{K} \subset \mathcal{K}' \implies \operatorname{Th}(\mathcal{K}') \subset \operatorname{Th}(\mathcal{K})$
- $\Gamma \subset \operatorname{Th}(\operatorname{Mod}(\Gamma))$
- $\mathcal{K} \subset \operatorname{Mod}(\operatorname{Th}(\mathcal{K}))$
- $\bullet \ \operatorname{Mod}(\Gamma) = \operatorname{Mod}(\operatorname{Th}(\operatorname{Mod}(\Gamma)))$
- $\bullet \ \operatorname{Th}(\mathcal{K}) = \operatorname{Th}(\operatorname{Mod}(\operatorname{Th}(\mathcal{K})))$
- $\operatorname{Cn}(\Gamma) = \operatorname{Th}(\operatorname{Mod}(\Gamma))$
- $\Gamma \subset \Gamma' \implies \operatorname{Cn}(\Gamma) \subset \operatorname{Cn}(\Gamma')$
- $\operatorname{Cn}(\operatorname{Cn}(\Gamma)) = \operatorname{Cn}(\Gamma)$

Theory & Axiomatization

- A set Γ of sentences is a theory if $\Gamma = Cn(\Gamma)$.
- A theory Γ is complete if for every sentence A, either $A \in \Gamma$ or $\neg A \in \Gamma$.
- A theory Γ is finitely axiomatizable if $\Gamma = \operatorname{Cn}(\Sigma)$ for some finite set Σ of sentences.
- A theory Γ is axiomatizable if there is a decidable set Σ of sentences s.t. $\Gamma = \operatorname{Cn}(\Sigma)$.
- A class \mathcal{K} of structures is an elementary class (EC) if $\mathcal{K} = \operatorname{Mod}(A)$ for some sentence A.
- A class $\mathcal K$ of structures is an elementary class in wider sense (EC_Δ) if $\mathcal K = \operatorname{Mod}(\Sigma)$ for some set Σ of sentences.

Consistency & Satisfiability

- Γ is consistent if $\Gamma \not\vdash \bot$.
- Γ is maximal if for every wff A, either $A \in \Gamma$ or $\neg A \in \Gamma$.
- \bullet Γ is maximal consistent if it is both consistent and maximal.
- Γ is satisfiable if $Mod(\Gamma) \neq \emptyset$.
- ullet Γ is finitely satisfiable if every finite subset of Γ is satisfiable.

Soundness Theorem

Theorem (Soundness Theorem)

$$\Gamma \vdash A \implies \Gamma \models A$$

Proof.

by induction on derivation lengths.

Truth in a model is preserved under making deductions.

Completeness Theorem

$$\Gamma \models A \implies \Gamma \vdash A$$

Corollary

Any consistent set of wffs is satisfiable.

$$\Gamma \models A \iff \Gamma \vdash A$$

$$\uparrow \qquad \qquad \uparrow$$

$$\Gamma \cup \{\neg A\}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\Gamma \cup \{\neg A\}$$

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Compactness Theorem

Theorem (Compactness Theorem)

A set of wffs is satisfiable iff it is finitely satisfiable.

Corollary

If $\Gamma \models A$, then there is a finite $\Gamma_0 \subset \Gamma$ s.t. $\Gamma_0 \models A$.

Corollary

If a set Γ of sentences has arbitrarily large finite models, then it has an infinite model.

Corollary

There is a countable structure $\mathcal{M} \equiv \mathcal{N}$ but $\mathcal{M} \not\cong \mathcal{N}$.

Compactness and Compactification

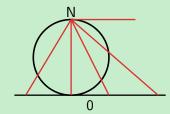
- Extreme value theorem: A continuous real-valued function on a compact space is bounded and attains its maximum and minimum values.
- A subset of a topological space is compact if every open cover of it has a finite subcover.
- Heine-Borel Theorem: A subset of \mathbb{R} is compact iff it is closed and bounded.
- Cantor's Intersection Theorem: A decreasing nested sequence of non-empty, closed and bounded subsets of R has a non-empty intersection.
- Bolzano-Weierstrass Theorem: Every bounded sequence of real numbers has a convergent subsequence.

Compactness

finite \implies infinite local \implies global

Compactification

$$\mathbb{R} \implies \mathbb{R} \cup \{-\infty, +\infty\}$$



$$x \mapsto \left(\frac{x}{1+x^2}, \frac{x^2}{1+x^2}\right)$$

Nonstandard Analysis

Theorem

There is a structure \mathcal{R}^* s.t.

$$\mathcal{R} \equiv \mathcal{R}^* \quad \mathcal{R} \subset \mathcal{R}^*$$

Proof.

 $Th(\mathcal{R}) \cup \{x > c_r : r \in \mathbb{R}\}\$



Figure: Robinson

Nonstandard Analysis

Let U be a nonprinciple ultrafilter on \mathbb{N} .

$$\prod_{i\in\mathbb{N}}\mathcal{R}\big/U\models\operatorname{Th}(\mathcal{R})$$

Let
$$\varepsilon \coloneqq \left[(1, \frac{1}{2}, \frac{1}{3}, \dots) \right] \in \mathbb{R}$$
.
For any $n \in \mathbb{N}$,

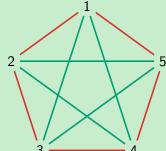
$$\prod_{i\in\mathbb{N}}\mathcal{R}\big/U\models\varepsilon<\frac{1}{n}$$

Applications of Compactness — Ramsey in the Dining Room

Problem (Complete Disorder is Impossible!)

- How many people do you need to invite in a party in order to have that either at least n of them are mutual strangers or at least n of them are mutual acquaintances?
- How may we know that such number exists for any n?







Applications of Compactness

Theorem (Infinite Ramsey Theorem)

If (V, E) is a graph with infinitely many vertices, then it has an infinite clique or an infinite independent set.

Theorem (Finite Ramsey Theorem)

For every $m, n \ge 1$ there is an integer R(m, n) s.t. any graph with at least R(m, n) vertices has a clique with m vertices or an independent set with n vertices.

$$R(m,n) \le R(m-1,n) + R(m,n-1)$$

$$R(m,n) \le \binom{m+n-2}{m-1}$$

Ramsey Number

		_								
m, n	1	2	3	4	5	6	7	8	9	10
1	1	1	1	1	1	1	1	1	1	1
2	1	2	3	4	5	6	7	8	9	10
3	1	3	6	9	14	18	23	28	36	40 - 42
4	1	4	9	18	25	36 - 41	49 - 61	59 - 84	73 – 115	92 - 149
5	1	5	14	25	43 – 48	58 – 87	80 - 143	101 - 216	133 – 316	149 - 442
6	1	6	18	36 - 41	58 - 87	102 - 165	115 - 298	134 - 495	183 – 780	204 - 1171
7	1	7	23	49 - 61	80 - 143	115 - 298	205 - 540	217 - 1031	252 - 1713	292 - 2826
8	1	8	28	59 – 84	101 - 216	134 - 495	217 - 1031	282 - 1870	329 - 3583	343 - 6090
9	1	9	36	73 – 115	133 - 316	183 - 780	252 - 1713	329 - 3583	565 - 6588	581 – 12677
10	1	10	40 – 42	92 - 149	149 – 442	204 - 1171	292 - 2826	343 - 6090	581 - 12677	798 - 23556



Figure: Ramsey 1903-1930



Figure: Erdős 1913-1996

Ramsey Number — Probabilistic Method

Theorem

$$\forall k \ge 2 : R(k,k) \ge 2^{\frac{k}{2}}$$

Proof.

R(2,2)=2, R(3,3)=6. Assume $k\geq 4$. Suppose $N<2^{\frac{k}{2}}$, and consider all random red-blue colorings. Let A be a set of vertices of size k. The probability of the event A_R that the edges in A are all colored red is then $2^{-\binom{k}{2}}$. Hence the probability p_R for some k-set to be colored all red is bounded by

$$p_R = P\left(\bigcup_{|A|=k} A_R\right) \le \sum_{|A|=k} P(A_R) = \binom{N}{k} 2^{-\binom{k}{2}} < \frac{1}{2}$$

By symmetry, $p_B < \frac{1}{2}$. So $p_R + p_B < 1$ for $N < 2^{\frac{k}{2}}$.

Complete Disorder is Impossible!

Theorem (Hales-Jewett Theorem)

For every $k, n \in \mathbb{N}^+$, there is $d \in \mathbb{N}^+$ s.t. if the unit hypercubes in a d-dimensional hypercube n^d are colored in k colors, then there exists at least one row, column or diagonal of n squares, all of the same color.

Theorem (van der Waerden Theorem)

For every $k, m \in \mathbb{N}^+$, there is $n \in \mathbb{N}^+$ s.t. if the numbers from 1 to n are colored in k colors, then there exists at least m numbers in arithmetic progression, all of the same color.

Theorem (Green-Tao Theorem)

A subset of prime numbers A with $\limsup_{n\to\infty} \frac{|A\cap[1,n]|}{\pi(n)} > 0$ contains arbitrarily long arithmetic progressions, where $\pi(n)$ is the number of primes $\leq n$.

Complete Disorder is Impossible!

Theorem (Szemerédi Theorem)

A set $A\subset\mathbb{N}$ with $\limsup_{n\to\infty}\frac{|A\cap[1,n]|}{n}>0$ contains arbitrarily long arithmetic progressions.

Theorem (Furstenberg Multiple Recurrence Theorem)

Let (X, \mathcal{B}, μ, T) be a measure-preserving system and $A \in \mathcal{B}$ with $\mu(A) > 0$. Then,

$$\forall k \in \mathbb{N} : \liminf_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} \mu \left(\bigcap_{j=0}^{k} T^{-jn} A \right) > 0$$

Szemerédi Theorem Furstenberg Multiple Recurrence Theorem

Complete Disorder is Impossible!

Theorem (Poincaré Recurrence Theorem)

Let (X, \mathcal{B}, μ, T) be a measure-preserving system and $A \in \mathcal{B}$ with $\mu(A) > 0$. Then almost every $x \in A$ returns infinitely often to A.

$$\mu\left(\left\{x\in A:\exists N\forall n>N:T^nx\notin A\right\}\right)=0$$

Lemma (Kac's Lemma)

Let (X, \mathcal{B}, μ, T) be a measure-preserving system and $A \in \mathcal{B}$ with $\mu(A) > 0$. Then the recurrence time $\tau_A(x) \coloneqq \min \left\{ k \ge 1 : T^k x \in A \right\}$ satisfies

$$\int_A \tau_A(x) \mathrm{d}\mu(x) = 1$$

Equivalently, the mean recurrence time $\langle \tau_A \rangle \coloneqq \frac{1}{\mu(A)} \int_A \tau_A(x) \mathrm{d}\mu(x) = \frac{1}{\mu(A)}$.

Correlation Supersedes Causation?

- The average recurrence time to a subset A in Poincaré recurrence theorem is the inverse of the probability of A. The probability decrease exponentially with the size (dimension) of the phase space (observables and parameters) and the recurrence time increases exponentially with that size. One can't reliably predict by "analogy" with the past, even in deterministic systems, chaotic or not.
- Given any arbitrary correlation on sets of data, there exists a large enough number such that any data set larger than that size realizes that type of correlation. Every large set of numbers, points or objects necessarily contains a highly regular pattern.
- There is no true randomness. Randomness means unpredictability with respect to some fixed theory.

Correlation Supersedes Causation?

- How to distinguish correlation from causation?
- How to distinguish content-correlations from Ramsey-type correlations?
- Ramsey-type correlations appear in all large enough databases.
- A correlation is spurious if it appears in a "randomly" generated database.
- How "large" is the set of spurious correlations?
- Most strings are algorithmically random.

$$P\left(\left\{x \in X^n : \frac{K(x)}{n} < 1 - \delta\right\}\right) < 2^{-\delta n}$$

- Most correlations are spurious.
- It may be the case that our part of the universe is an oasis of regularity in a maximally random universe.

Complete Disorder is Impossible!

For sufficiently large n and any $x \in \mathcal{X}^n$, if $C(x) \ge n - \delta(n)$, then each block of length $\log n - \log \log n - \log(\delta(n) + \log n) - O(1)$ occurs at least once in x.

Löwenheim-Skolem Theorem

Theorem (Downward Löwenheim-Skolem Theorem)

A consistent set of sentences in a language of cardinality λ has a model of cardinality $\leq \lambda$.

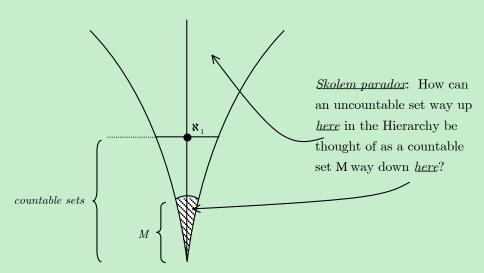
Theorem (Upward Löwenheim-Skolem Theorem)

If a set of sentences in a language of cardinality λ has an infinite model, then it has models of every cardinality $\geq \lambda$.

Skolem Paradox? — Models and Reality

- Cantor: $P(\mathbb{N})$ is uncountable.
- There is a countable model $\mathcal{M} \models ZF \vdash "P(\mathbb{N})$ is uncountable".
- The statement " $P(\mathbb{N})$ is uncountable" is interpreted in \mathcal{M} as within \mathcal{M} , there is a set M_1 that looks like $P(\mathbb{N})$ and M_2 that looks like \mathbb{N} , but there is no set corresponding to the set of pairs of members of M_1 and M_2 ."
- Outside of \mathcal{M} , we can see that all \mathcal{M} -sets are really only countable. The \mathcal{M} -set M_1 that \mathcal{M} says is $P(\mathbb{N})$ really isn't outside \mathcal{M} , M_1 and \mathbb{N} can be paired, but this requires the existence of a "pairing" set that isn't in \mathcal{M} .
- What we think are uncountable sets in our hierarchy may really be countable \mathcal{M}' -sets in the larger hierarchy.
- There is no absolute notion of countability. A set can only be said to be countable or uncountable relative to an interpretation of ZF.

Skolem Paradox? — Models and Reality



Fragments of First Order Logic

- 1. The set of *Horn formulae* is the smallest set containing the set of atomic formulae and closed under \top , \wedge .
- 2. The set of *regular formulae* is the smallest set containing the set of atomic formulae and closed under \top , \wedge , \exists .
- 3. The set of *coherent formulae* is the smallest set containing the set of atomic formulae and closed under \top , \bot , \land , \lor , \exists .
- 4. The set of *first order formulae* is the smallest set containing the set of atomic formulae and closed under $\top, \bot, \neg, \land, \lor, \rightarrow, \exists, \forall$.
- 5. The class of geometric formulae over is the smallest class containing the class of atomic formulae and closed under $\top, \bot, \land, \lor, \exists$ and infinitary disjunction.
- 6. The class of *infinitary first order formulae* is the smallest class containing the class of atomic formulae and closed under T, ⊥, ¬, ∧, ∨, →, ∃, ∀ and infinitary conjunction and infinitary disjunction.

- T is an algebraic theory if its signature has no relation symbols and its axioms are all of the form $\top \vdash_{\mathbf{x}} A$ where A is an atomic formula of the form s = t and \mathbf{x} its canonical context.
- T is a Horn (resp. regular, coherent, geometric) theory if all the sequents in T are Horn (resp. regular, coherent, geometric).
- T is a universal Horn theory if its axioms are all of the form $A \vdash_{\mathbf{x}} B$, where A is a finite conjunction of atomic formulae and B is an atomic formula or the formula \bot .
- ullet T is a propositional theory if it only consists of 0-ary relation symbols.

Identity Axiom
$$A \vdash_{\mathbf{x}} A$$
 Equality $\top \vdash_{\mathbf{x}} x = x$ and $\mathbf{x} = \mathbf{y} \land A \vdash_{\mathbf{z}} A[\mathbf{y}/\mathbf{x}]$ where $\operatorname{Fv}(\mathbf{x}, \mathbf{y}, A) \subset \mathbf{z}$. Substitution $A \vdash_{\mathbf{x}} B$ where $\operatorname{Fv}(\mathbf{t}) \subset \mathbf{y}$.
$$\frac{A \vdash_{\mathbf{x}} B \quad B \vdash_{\mathbf{x}} C}{A \vdash_{\mathbf{x}} C}$$
 Conjunction $A \vdash_{\mathbf{x}} T \quad A \land B \vdash_{\mathbf{x}} A \quad A \land B \vdash_{\mathbf{x}} B \quad \frac{A \vdash_{\mathbf{x}} B \quad A \vdash_{\mathbf{x}} C}{A \vdash_{\mathbf{x}} B \land C}$ Disjunction $A \vdash_{\mathbf{x}} A \quad A \vdash_{\mathbf{x}} A \quad A \vdash_{\mathbf{x}} A \quad A \vdash_{\mathbf{x}} A \vdash_{\mathbf{x}} C$ Implication $A \vdash_{\mathbf{x}} C \quad \frac{A \vdash_{\mathbf{x}} B \quad A \vdash_{\mathbf{x}} C}{A \vdash_{\mathbf{x}} B \rightarrow C}$ Existential Quantification $A \vdash_{\mathbf{x}} B \quad \frac{A \vdash_{\mathbf{x}} B}{A \vdash_{\mathbf{x}} B}$ Universal Quantification $A \vdash_{\mathbf{x}} A \vdash_{\mathbf{x}} A \vdash_{\mathbf{x}} B$ Distributive Axiom $A \land B \lor_{\mathbf{x}} A \vdash_{\mathbf{x}} A \lor_{\mathbf{x}} B$ Where $A \vdash_{\mathbf{x}} A \vdash$

Fragments of First Order Logic

In addition to the usual structural rules (Identity axiom, Equality rules, Substitution rule and Cut rule), our deduction systems consist of the following rules:

Algebraic logic	No additional rule
Horn logic	Finite conjunction
Regular logic	Finite conjunction, existential quantification and
	Frobenius axiom
Coherent logic	Finite conjunction, finite disjunction, existential
	quantification, distributive axiom and Frobenius ax-
	iom
Geometric logic	Finite conjunction, infinitary disjunction, existen-
	tial quantification, 'infinitary' distributive axiom,
	Frobenius axiom
Intuitionistic FOL	All the finitary rules except for the law of excluded
	middle
Classical FOL	All the finitary rules

Intuitionistic Propositional Logic vs Heyting Algebra

A Heyting algebra $(H, \bot, \top, \land, \lor, \rightarrow, \le)$ is a bounded lattice $(H, \bot, \top, \land, \lor, \le)$ equipped with \rightarrow s.t. for all $a, b, c \in H$:

- 1. $a \leq T$
- 2. $a \wedge b \leq a$
- 3. $a \wedge b \leq b$
- 4. $a \le b \& a \le c \implies a \le b \land c$
- 5. $\perp \leq a$
- 6. $a < a \lor b$
- 7. $b \le a \lor b$
- 8. $a \le c \& b \le c \implies a \lor b \le c$
- 9. $a \le b \to c \iff a \land b \le c$

Define $\neg a := a \to \bot$.

Brouwer-Heyting-Kolmogorov Interpretation

- A proof of $A \wedge B$ is a pair (a, b) where a is a proof of A and b is a proof of B.
- A proof of $A \vee B$ is a pair (a, b) where a is 0 and b is a proof of A, or a is 1 and b is a proof of B.
- A proof of $A \to B$ is a function f that converts a proof a of A into a proof f(a) of B.
- There is no proof of \bot .
- A proof of $\exists x A(x)$ is a pair (a,b) where a is an element of the domain of definition, and b is a proof of A(a).
- A proof of $\forall x A(x)$ is a function f that converts an element a of the domain of definition into a proof f(a) of A(a).

Continuity, Metric and Topology

- A function $f: X \to Y$ between metric spaces is *continuous* at point $a \in X$ if $\forall \varepsilon > 0 \exists \delta > 0 \forall x \in X: d_X(x,a) < \delta \to d_Y(f(x),f(a)) < \varepsilon$.
- A metric space (X, d) is a set X with a metric $d: X \times X \to \mathbb{R}$ s.t. for all $x, y, z \in X$:
 - 1. $d(x, y) = 0 \leftrightarrow x = y$
 - 2. d(x, y) = d(y, x)
 - 3. $d(x, z) \le d(x, y) + d(y, z)$

e.g.
$$d(x, y) = \left(\sum_{i=1}^{n} |x_i - y_i|^p\right)^{\frac{1}{p}}$$
 $d(x, y) = \max_{1 \le i \le n} |x_i - y_i|$

- For the definition of continuity ("nearby" points in U go into nearby points in V), the notion of 'open set' is more intrinsic than that of distance.
- A function $f: X \to Y$ between topological spaces is *continuous* if the inverse image $f^{-1}(V)$ of any open subset $V \subset Y$ is an open subset of X.
- Equivalently, f is *continuous* at point $a \in X$ if to each neighborhood V of f(a) there is a neighborhood U of a for which $f(U) \subset V$.

Topological Semantics of Intuitionistic Propositional Logic

- A topological space $(X, \tau X)$ is a set X with a family $\tau X \subset P(X)$ of subsets of X which contains \emptyset and X, and is closed under finite intersections and arbitrary unions.
- The topological *interior* of a subset $S \subset X$ is

$$S^{\circ} := \bigcup \{ U \in \tau X : U \subset S \}$$

• Define for $A, B \in \tau X$ the open set

$$A \to B := ((X \setminus A) \cup B)^{\circ} = \bigcup \{U \in \tau X : U \cap A \subset B\}$$

by definition, for all $U \in \tau X$,

$$U \subset A \to B \iff U \cap A \subset B$$

Define $\neg A := A \rightarrow \bot$. Thus

$$\neg A = (X \setminus A)^{\circ}$$

- $A := (0,1) \cup (1,\infty), \neg A = (-\infty,0), \neg \neg A = (0,\infty), A \cup \neg A \neq \mathbb{R}, A \subsetneq \neg \neg A$.
- A topological model of intuitionistic propositional logic is $(X, \tau X, \nu)$ where $\nu : \mathcal{P} \to \tau X$.

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Paradox of Material Implication

- If God does not exist, then it's not the case that if I pray, my prayers will be answered;
- and I don't pray;
- so God exists!

Why Study Modal Logic?

- Modal languages are simple yet expressive languages for talking about relational structures.
- Modal languages provide an internal, local perspective on relational structures.
- Modal languages are not isolated formal systems.
 - Modal vs classical (FOL,SOL), internal vs external perspective.
 In FOL, structures are described from the top point of view. Each object and relation can be named. In modal logic, relational structures are described from an internal perspective, there is no way to mention objects and relations.
 - Relational structures vs Boolean algebra with operators.
 (Jónsson and Tarski's representation theorem.)
- Decidability.
 (seeking a balance between expressiveness and efficiency/complexity)

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Logics about Modalities

Mary married.

- is possibly (basic modal logic)
- will be (temporal logic)
- is permitted to be (deontic logic)
- is known (to A) to be (epistemic logic)
- is proved to be (provability logic)
- will be (after certain procedure) (dynamic logic)
- can be ensured (by her parents) to be (coalition logic)



Figure: Kripke

Syntax

Language

$$\mathscr{L} \coloneqq \{\neg, \land, \lor, \rightarrow, \leftrightarrow, \Box, \diamondsuit, (,)\} \cup \mathscr{P}$$

where
$$\mathcal{P} := \{p_1, \dots, p_n, (\dots)\}.$$

Well-Formed Formula wff

$$A := p \mid \neg A \mid A \land A \mid A \lor A \mid A \to A \mid A \leftrightarrow A \mid \Box A \mid \Diamond A$$

- It will always be A.
- You ought to do A.
- I know A.
- I believe A.
- A is provable in T.
- After the execution of the program α , A holds.

GA

OA

 K_iA

 B_iA

 $\Box_{\mathrm{T}}A$ $[\alpha]A$

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Possible World Semantics

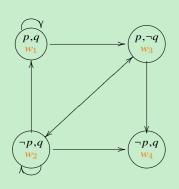
A Kripke frame is a pair $\mathcal{F} := (W, R)$, where

- $W \neq \emptyset$
- $R \subset W \times W$

A Kripke model is $\mathcal{M} := (\mathcal{F}, V) = (W, R, V)$, where $V : \mathcal{P} \to P(W)$.

- $\mathcal{M}, w \Vdash p \text{ if } w \in V(p)$
- $\mathcal{M}, w \Vdash \neg A \text{ if } \mathcal{M} \not\Vdash A$
- $\mathcal{M}, w \Vdash A \land B$ if $\mathcal{M}, w \Vdash A$ and $\mathcal{M}, w \Vdash B$
- $\mathcal{M}, w \Vdash \Box A \text{ if } \forall v \in W : Rwv \implies \mathcal{M}, v \Vdash A$
- $\mathcal{M}, w \Vdash \Diamond A$ if $\exists v \in W : Rwv \& \mathcal{M}, v \Vdash A$

Example



$$\mathcal{M}, w_1 \Vdash p \land \Box p$$
 $\mathcal{M}, w_1 \Vdash q \land \Diamond q$
 $\mathcal{M}, w_1 \Vdash \neg \Box q$
 $\mathcal{M}, w_2 \Vdash q \land \Diamond \neg q$
 $\mathcal{M}, w_3 \Vdash p$
 $\mathcal{M}, w_3 \Vdash \Box \neg p$
 $\mathcal{M}, w_4 \Vdash \Box p \land \neg \Diamond p$

Satisfiability & Validity

- A is satisfiable at \mathcal{M}, w if $\mathcal{M}, w \Vdash A$.
- A is true in \mathcal{M} ($\mathcal{M} \vdash A$) if $\forall w \in W : \mathcal{M}, w \vdash A$
- A is valid in a pointed frame $\mathcal{F}, w \ (\mathcal{F}, w \Vdash A)$ if $\mathcal{M}, w \Vdash A$ for every model \mathcal{M} based on \mathcal{F} .
- A is valid in $\mathcal{F}(\mathcal{F} \Vdash A)$ if $\mathcal{M} \Vdash A$ for every model \mathcal{M} based on \mathcal{F} .
- $\bullet \Vdash A \text{ if } \mathcal{F} \Vdash A \text{ for every } \mathcal{F}.$

Truth is in the eye of the beholder.

Example

$$\Vdash \Box(A \to B) \to \Box A \to \Box B$$

Semantic Consequence

local semantic consequence

$$\Gamma \Vdash_C A := \forall \mathcal{M} \in C \forall w \in W : \mathcal{M}, w \Vdash \Gamma \Longrightarrow \mathcal{M}, w \Vdash A$$

• global semantic consequence

$$\Gamma \Vdash_{C}^{g} A := \forall \mathcal{M} \in C : \mathcal{M} \Vdash \Gamma \implies \mathcal{M} \Vdash A$$

Example

- $\bullet \ p \not\Vdash_C \Box p$
- $\bullet p \Vdash_C^g \Box p$

Material Implication vs Strict Implication

$$p \dashv q \coloneqq \Box(p \to q)$$

- $p \rightarrow q \rightarrow p$?
- $\bullet \ (p \dashv q) \lor (q \dashv r) ?$
- $\neg (p \dashv q) \to (p \land \neg q) ?$
- $(p \land \neg p) \dashv q$
- $p \rightarrow (q \lor \neg q)$
- $\bullet \Box p \dashv q \dashv p$

Accessibility

serial $\forall x \exists y : Rxy$

reflexive $\forall x : Rxx$

symmetric $\forall xy : Rxy \rightarrow Ryx$

transitive $\forall xyz : Rxy \land Ryz \rightarrow Rxz$

euclidean $\forall xyz : Rxy \land Rxz \rightarrow Ryz$

total $\forall xy : Rxy \lor Ryx$

isolation $\exists x \forall y : \neg Rxy \land \neg Ryx$

successor reflexive $\forall x \exists y : Rxy \land Ryy$

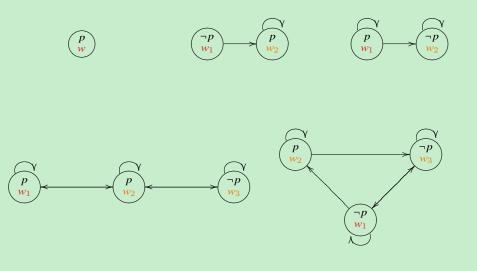
asymmetric $\forall xy : Rxy \rightarrow \neg Ryx$

antisymmetric $\forall xy : Rxy \land Ryx \rightarrow x = y$

Accessibility

Theorem

Counter-model for D,T,B,4,5



Standard Translation

Definition (Standard Translation)

$$T_{x}(p) = P(x) \qquad T_{y}(p) = P(y)$$

$$T_{x}(\neg A) = \neg T_{x}(A) \qquad T_{y}(\neg A) = \neg T_{y}(A)$$

$$T_{x}(A \land B) = T_{x}(A) \land T_{x}(B) \qquad T_{y}(A \land B) = T_{y}(A) \land T_{y}(B)$$

$$T_{x}(\Box A) = \forall y (Rxy \to T_{y}(A)) \qquad T_{y}(\Box A) = \forall x (Ryx \to T_{x}(A))$$

Theorem (Correspondence on Models)

$$\mathcal{M}, w \Vdash A \iff \mathcal{M} \models T_X(A)[w]$$

$$\mathcal{M} \Vdash A \iff \mathcal{M} \models \forall x T_X(A)$$

$$\mathcal{F}, w \Vdash A \iff \mathcal{F} \models \forall P_1, \dots, P_n T_X(A)[w]$$

$$\mathcal{F} \Vdash A \iff \mathcal{F} \models \forall P_1, \dots, P_n \forall x T_X(A)$$

Tree Method for Modal Logic



if *Rww'* is already in the branch.

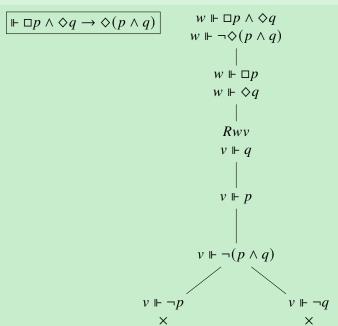
where w' is new in the branch.



where w' is new in the branch.

if Rww' is already in the branch.

Example — Tree Method for Modal Logic



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Formal System = Axiom + Inference Rule

Axiom Schema

tautologies

Dual
$$\Diamond A \leftrightarrow \neg \Box \neg A$$

$$\mathsf{K} \qquad \Box (A \to B) \to \Box A \to \Box B$$

$$T \qquad \Box A \rightarrow A$$

$$\mathsf{B} \qquad A \to \Box \Diamond A$$

$$4 \qquad \Box A \rightarrow \Box \Box A$$

$$5 \qquad \Diamond A \rightarrow \Box \Diamond A$$

$$\square(\square A \to A) \to \square A$$

Inference Rule

$$\frac{A \quad A \to B}{B}$$
 [MP]

$$\frac{A}{\Box A}$$
 [N]

Intuitionistic Logic vs Modal Logic

$$S4 := K + T + 4$$

$$Grz := S4 + \square(\square(A \to \square A) \to A) \to A$$

$$p^* := \square p$$

$$(\neg A)^* := \square \neg A^*$$

$$(A \land B)^* := A^* \land B^*$$

$$(A \lor B)^* := A^* \lor B^*$$

$$(A \to B)^* := \square(A^* \to B^*)$$

$$\vdash_{\mathrm{I}} A \iff \vdash_{\mathrm{S4}} A^* \iff \vdash_{\mathrm{Grz}} A^*$$

$$GL := K + L$$

$$p' := p$$

$$(\neg A)' := \neg A'$$

$$(A \land B)' := A' \land B'$$

$$(A \lor B)' := A' \lor B'$$

$$(A \to B)' := A' \to B'$$

$$(\Box A)' := A' \land \Box A'$$

$$\vdash_{\operatorname{Grz}} A \iff \vdash_{\operatorname{GL}} A'$$
 $\vdash_{\operatorname{I}} A \iff \vdash_{\operatorname{GL}} (A^*)'$

$$\Box(\Box A \to A) \to \Box A \vdash_{\mathrm{GL}} \Box A \to \Box \Box A$$

- \bullet $A \land \Box A \land \Box \Box A \rightarrow A \land \Box A$
- $\bullet A \rightarrow \Box (A \land \Box A) \rightarrow A \land \Box A$

 $\Box(p \land q) \leftrightarrow \Box p \land \Box q$

- $\bullet \ \Box(\Box(A \wedge \Box A) \to A \wedge \Box A) \to \Box(A \wedge \Box A)$
- $\bullet \ \Box A \to \Box (A \land \Box A)$
- $\bullet \Box A \rightarrow \Box \Box A$

Theorem

 $W, R \Vdash \Box(\Box A \to A) \to \Box A \iff R$ is transitive & R is reverse well-founded: there are no chains $w_0 R w_1 R w_2 \dots$

Proof.

Assume Rw_0w_1 and Rw_1w_2 , but not Rw_0w_2 . Setting $V(p) := W \setminus \{w_1, w_2\}$ makes L false at w_0 .

Assume R is transitive, and there is an ascending sequence $w_0Rw_1Rw_2...$ Then $V(p) := W \setminus \{w_0, w_1, w_2,...\}$ refutes L at w_0 .

Conversely, if L fails at w_0 , there must be an infinite upward sequence of $\neg p$ -worlds. This arises by taking any successor of w_0 where p fails, and repeatedly applying the truth of $\Box(\Box p \to p)$ — using the transitivity of the frame.

Remark: transitivity is definable in first order logic, but well-foundedness can't be defined in first order logic. Frame truth is a second order notion.

Provability Logic

Theorem (Craig Interpolation)

If
$$\operatorname{GL} \vdash A \to B$$
, then there is a C with $\operatorname{Var}(C) \subset \operatorname{Var}(A) \cap \operatorname{Var}(B)$ s.t. $\operatorname{GL} \vdash A \to C$ and $\operatorname{GL} \vdash C \to B$

Corollary (Beth Definability)

Assume $\operatorname{GL} \vdash A(p) \land A(q) \to (p \leftrightarrow q)$ where $q \notin \operatorname{Var}(A)$ and A(q) is obtained from A(p) by replacing all occurrences of p by q. Then there exists a formula B with $\operatorname{Var}(B) \subset \operatorname{Var}(A) \setminus \{p\}$ s.t.

$$\operatorname{GL} \vdash A(p) \to (p \leftrightarrow B)$$

Proof.

Let B be an interpolant for $GL \vdash A(p) \land p \rightarrow (A(q) \rightarrow q)$.

Provability Logic

Theorem (Uniqueness of Fixpoint)

If p occurs only boxed in A(p) and $q \notin Var(A)$, then $\operatorname{GL} \vdash \Box \Big(\big(p \leftrightarrow A(p) \big) \land \big(q \leftrightarrow A(q) \big) \Big) \rightarrow (p \leftrightarrow q)$ where $\Box A := A \wedge \Box A$.

Corollary

If p occurs only boxed in A(p), then

$$\operatorname{GL} \vdash B \leftrightarrow A(B) \ \& \ \operatorname{GL} \vdash C \leftrightarrow A(C) \implies \operatorname{GL} \vdash B \leftrightarrow C$$

Theorem (Existence of Fixpoint)

If p occurs only boxed in A(p), then there exists a formula B with $Var(B) \subset Var(A) \setminus \{p\}$ s.t.

$$GL \vdash B \leftrightarrow A(B)$$

Uniqueness of Fixpoint + Beth Definability \implies Existence of Fixpoint

$$GL \vdash \neg \Box \bot \leftrightarrow \neg \Box (\neg \Box \bot)$$
 $GL \vdash \top \leftrightarrow \Box \top$

Soundness & Completeness

Definition (Theorem & Local Syntactic Consequence)

- $\bullet \vdash_S A$
- $\Gamma \vdash_S A$ if $\vdash_S \bigwedge_{i=1}^n B_i \to A$ for some finite subset $\{B_1, \ldots, B_n\} \subset \Gamma$.

Theorem (Soundness & Completeness)

Let S be the normal system $KX_1 ... X_n$ and $C = \bigcap_{i=1}^n C_i$ where each C_i is the corresponding class of frames for axiom schema X_i .

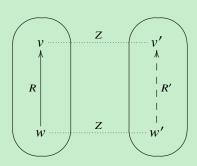
$$\Gamma \vdash_S A \iff \Gamma \Vdash_{\mathcal{C}} A$$

Bisimulation

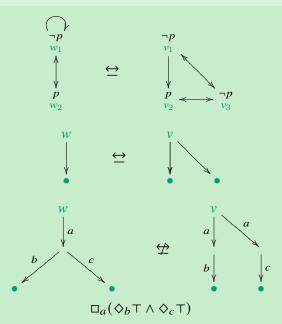
Definition (Bisimulation)

A bisimulation $Z: \mathcal{M} \hookrightarrow \mathcal{M}'$ between Kripke models $\mathcal{M} = (W, R, V)$ and $\mathcal{M}' = (W', R', V')$ is a binary relation $Z \subset W \times W'$ s.t.

- 1. If Zww' then w and w' satisfy the same proposition letters.
- 2. If Zww' and Rwv, then there exists $v' \in W'$ s.t. Zvv' and R'w'v'.
- 3. If Zww' and R'w'v', then there exists $v \in W$ s.t. Zvv' and Rwv.



Bisimulation — Example



Bisimulation

Theorem (van Benthem Characterization Theorem)

Let A(x) be a first order formula. Then A(x) is bisimulation invariant iff it is (equivalent to) the standard translation of a modal formula.

Theorem (van Benthem2007)

An abstract modal logic extending basic modal logic and satisfying compactness and bisimulation invariance is equally expressive as the basic modal logic K.

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Logic of Knowledge

- 什么是密码? 你知我知。
- 微信群是干嘛的? 制造公共知识。
- 邮件密送是干嘛的? 你知他知,他不知你知,且这是你我的公共知识。
- "代我问他好"是干嘛的? 让你知道我尊重他。
- 送什么礼物给太太? 我知道她也知道对她有用的。
- 广告语的意义? 制造带意义的动作传递知识。
- ●《三体》中的黑暗森林法则: 爱好和平的公共知识难以达成。
- 如何建设健康学术环境: 让他知道你知道学术规范。
- 狼人杀? 理性利用别人的不理性。
- 付费知识分享平台: 让你相信你知道很多。
- Would you like to come up to my apartment to see my etchings?
 阿Q: 我想和你困觉!

Nash: Could we just go straight to the sex? ✓

Reasoning about Knowledge

- Knowledge is power: act properly to achieve goals;
- Knowledge is time: to make decisions more efficiently;
- Knowledge is money: can be traded;
- Knowledge is responsibility: to prove someone is guilty;
- Knowledge is you: to identify oneself;
- Knowledge is an immune system: to protect you;
- Knowledge satisfies our curiosity.

"The only good is knowledge and the only evil is ignorance." — Socrates

know the unknown from the known

 There are things we know we know. There are things we know we don't know. There are things we don't know we don't know.

$$\exists x KKx \land \exists x K \neg Kx \land \exists x \neg K \neg Kx$$

• 知之为知之,不知为不知,是知也。

$$Kp \to KKp \& \neg Kp \to K\neg Kp$$

"Real knowledge is to know the extent of one's ignorance." — Confucius

Mutual Knowledge:

everybody in G knows p.

• Distributed Knowledge:

everybody in G would know p if agents in G shared all their information.

Common Knowledge:

everybody in G knows p, everybody knows that everybody knows, and so on.

Mutual Knowledge

Suppose a group $G \subset \{1 \dots n\}$ of agents, everyone in G knows A:

$$E_G A := \bigwedge_{i \in G} K_i A$$

$$R_E := \bigcup_{i \in G} R_i$$

 $\mathcal{M}, w \Vdash E_G A$ iff $\forall v \in W : R_E wv \implies \mathcal{M}, v \Vdash A$

Distributed Knowledge

$$R_D := \bigcap_{i \in G} R_i$$

$$\mathcal{M}, w \Vdash D_G A \quad \text{iff} \quad \forall v \in W : R_D wv \implies \mathcal{M}, v \Vdash A$$

$$\downarrow^{12} \qquad \qquad \downarrow^{12} \qquad \qquad \downarrow^{$$

Common Knowledge

$$E_{G}^{1}A := E_{G}A$$

$$E_{G}^{k+1}A := E_{G}E_{G}^{k}A$$

$$C_{G}A := \bigwedge_{k=1}^{\infty} E_{G}^{k}A$$

$$R^{1} := R$$

$$R^{k+1} := R \circ R^{k}$$

$$R \circ S := \{(x, y) : \exists z (Rxz \land Szy)\}$$

$$R^{*} := \bigcup_{k=1}^{\infty} R^{k}$$

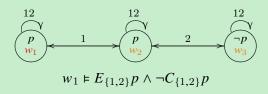
$$R_{C} := \left(\bigcup_{i \in G} R_{i}\right)^{*}$$

$$M, w \Vdash C_{G}A \text{ iff } \forall v \in W : R_{C}wv \implies M, v \Vdash A$$

A Hierarchy of States of Knowledge

$$C_G A \implies \cdots E_G^k A \implies \cdots E_G A \implies \bigvee_{i \in G} K_i A \implies D_G A \implies A$$

Can we easily have full common knowledge?

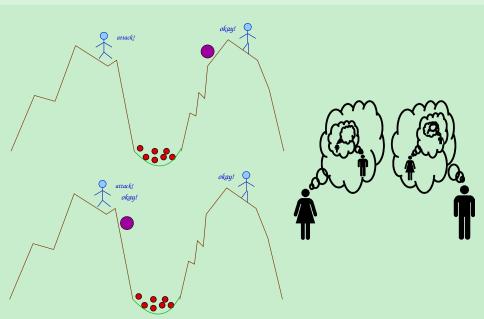


假设 C 秘密的分别给了 A 和 B 两个数字 2 和 3,他只告诉他们俩这两个数字是相邻的自然数。令 p 为"两数字之和小于一千万",请问 p 是 A 和 B 的公共知识么?

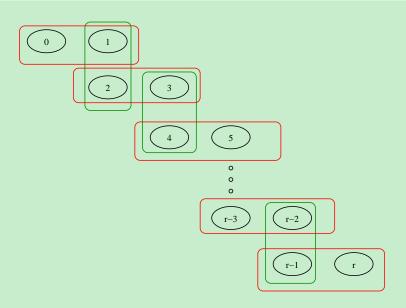
$$(0,1) \stackrel{B}{\longleftrightarrow} (2,1) \stackrel{A}{\longleftrightarrow} \underline{(2,3)} \stackrel{B}{\longleftrightarrow} (4,3) \stackrel{A}{\longleftrightarrow} (4,5) \stackrel{B}{\longleftrightarrow} (6,5) \cdots$$
$$(2,3) \Vdash \neg K_B K_A K_B (x+y \le 10)$$

A and B commonly know that B's number is odd.

Coordinated Attack



Coordinated Attack



《三体》— 黑暗森林

黑暗森林-猜疑链

如果你认为我是善意的,这并不是你感到安全的理由,因为按照第一条公理,善意文明并不能预先把别的文明也想成善意的,所以,你现在还不知道我是怎么认为你的,你不知道我认为你是善意还是恶意;进一步,即使你知道我把你也想象成善意的,我也知道你把我想象成善意的,但是我不知道你是怎么想我怎么想你怎么想我的……

"Every driver must drive on the right."

What kind of knowledge is enough to let people feel safe in driving on the right?

Aumann's Agreement Theorem

Theorem (Aumann's Agreement Theorem)

If two people are genuine Bayesian rationalists with common priors, and their posteriors are common knowledge, then these posteriors are equal.

如果两个人有相同的先验知识,则他们不可能对有分歧的后验知识(经过各自的实验获取私人信息)形成公共知识。简而言之,如果出发点信息是公共知识,则不管怎么根据进一步的私人证据进行充分的更新和交流,大家都不可能最后 agree to disagree!

Epistemic Logic

• Knowledge
$$S5 := K + T + 4 + 5$$

- Belief K + D + 4 + 5
- Common Knowledge

S5
+
$$C_G A \leftrightarrow A \wedge E_G C_G A$$
+
$$A \wedge C_G (A \rightarrow E_G A) \rightarrow C_G A$$

Distributed Knowledge

e S5
$$+$$
 $D_{\{i\}}A \leftrightarrow K_iA$ $+$ $D_GA \rightarrow D_{G'}A$ if $G \subset G'$

Knowledge vs Belief

1.
$$K(p \rightarrow q) \rightarrow Kp \rightarrow Kq$$

2.
$$Kp \rightarrow p$$

3.
$$Kp \rightarrow KKp$$

4.
$$\neg Kp \rightarrow K \neg Kp$$

1.
$$Kp \rightarrow Bp$$

2.
$$Bp \rightarrow BKp$$

3.
$$Bp \rightarrow KBp$$

4.
$$\neg Kp \rightarrow K \neg Bp$$

1.
$$B(p \rightarrow q) \rightarrow Bp \rightarrow Bq$$

2.
$$Bp \rightarrow \neg B \neg p$$

3.
$$Bp \rightarrow Bp$$

4.
$$\neg Bp \rightarrow B \neg Bp$$

$$Bp \leftrightarrow \neg K \neg Kp$$

Fitch's Paradox

All knowable truths are known.

$$\forall p(p \to \Diamond Kp) \vdash \forall p(p \to Kp)$$

1.
$$K(p \land \neg Kp)$$

2.
$$Kp \wedge K \neg Kp$$

4.
$$K \neg Kp$$

6.
$$\neg K(p \land \neg Kp)$$

7.
$$\neg \diamondsuit K(p \land \neg Kp)$$

8.
$$p \land \neg Kp$$

9.
$$\Diamond K(p \land \neg Kp)$$

10.
$$\neg(p \land \neg Kp)$$

11.
$$p \rightarrow Kp$$

Assumption $K(p \wedge q) \rightarrow Kp \wedge Kq$

$$K(p \land q) \to Kp \land Kq$$

$$Kp \rightarrow p$$

$$\vdash \neg p \implies \vdash \neg \Diamond p$$

$$p \to \Diamond Kp$$

Fitch's Paradox

1.	$B(p \wedge \neg Bp)$	Assumption
2.	$Bp \wedge B \neg Bp$	$B(p \wedge q) \to Bp \wedge Bq$
3.	Bp	
4.	BBp	$Bp \to BBp$
5.	$B \neg Bp$	
6.	$BBp \wedge B \neg Bp$	
7.	$\neg (BBp \wedge B \neg Bp)$	$\neg (Bp \land B \neg p)$
8.	$\neg B(p \land \neg Bp)$	

Negative Introspection

- 1. $\neg p \wedge BKp$
- 2. $\neg Kp$
- 3. $K \neg Kp$
- 4. $B \neg Kp$
- 5. *B*⊥

suppose you falsely believes that you know p knowledge implies truth negative introspection knowledge implies belief

Information Update — Muddy Children Problem





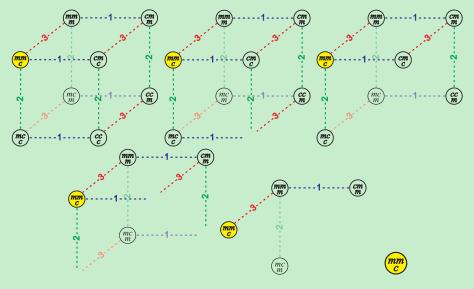


Problem (Muddy Children Problem)

Consider k of n children get mud on their heads. Each child can see the mud on others but can't see his or her own head. Their father says "at least one is muddy." He then asks the following question repeatedly: "does anyone know whether you have mud on your own head?"

Assuming that the children are intelligent, honest, and answer.

Assuming that the children are intelligent, honest, and answer simultaneously, what will happen?



- 1. "At least one is muddy. Does anyone...?" $\neg K_1m_1 \land \neg K_2m_2 \land \neg K_3m_3$
- 2. "Does anyone...?" $K_1m_1 \wedge K_2m_2 \wedge \neg K_3m_3$
- 3. $K_3 \neg m_3$

Public Announcement Logic

$$A := p \mid \neg A \mid A \land A \mid K_i A \mid [A]A$$

$$\mathcal{M}, w \Vdash [B]A \text{ iff } \mathcal{M}, w \Vdash B \implies \mathcal{M} \upharpoonright_B, w \Vdash A$$

$$\mathcal{M}, w \Vdash \langle B \rangle A \text{ iff } \mathcal{M}, w \Vdash B \& \mathcal{M} \upharpoonright_B, w \Vdash A$$

where

$$\mathcal{M} \upharpoonright_B \coloneqq (W', \{R_i'\}_{i \in G}, V')$$

and

$$W' := \{ w \in W : \mathcal{M}, w \Vdash B \} \quad R'_i := R_i \upharpoonright_{W' \times W'} \quad V'(p) := V(p) \cap W'$$

Muddy Children Problem

$$\mathcal{M}, mmc \Vdash m_1 \land m_2 \land \neg m_3$$

$$\mathcal{M}, mmc \Vdash E_{\{1,2,3\}}P$$

$$\mathcal{M}, mmc \Vdash \neg C_{\{1,2,3\}}P$$

$$\mathcal{M}, mmc \Vdash \neg K_1m_1 \wedge K_1m_2$$

$$\mathcal{M}, mmc \Vdash K_1K_3m_2 \wedge K_1 \neg K_2m_2$$

$$\mathcal{M} \upharpoonright_P, mcc \Vdash K_1m_1$$

$$\mathcal{M} \upharpoonright_P, mmc \Vdash \langle \neg Q_1 \land \neg Q_2 \land \neg Q_3 \rangle Q_1 \lor Q_2 \lor Q_3$$

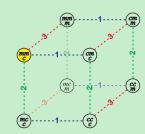
$$\mathcal{M} \upharpoonright_P, mmm \Vdash \langle \neg Q_1 \land \neg Q_2 \land \neg Q_3 \rangle \neg Q_1 \land \neg Q_2 \land \neg Q_3$$

$$\mathcal{M} \upharpoonright_P \upharpoonright_{\neg Q_1 \land \neg Q_2 \land \neg Q_3}, mmm \Vdash \langle \neg Q_1 \land \neg Q_2 \land \neg Q_3 \rangle Q_1 \lor Q_2 \lor Q_3$$

- 1. "At least one is muddy." $P := m_1 \vee m_2 \vee m_3$
- 2. "Does anyone...?" $\neg Q_1 \land \neg Q_2 \land \neg Q_3$ where $Q_i := K_i m_i \lor K_i \neg m_i$
- 3. "Does anyone...?" $Q_1 \wedge Q_2 \wedge \neg Q_3$

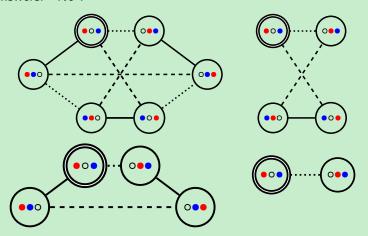
$$\mathcal{M}, mmc \Vdash [P][\neg Q_1 \land \neg Q_2 \land \neg Q_3][Q_1 \land Q_2 \land \neg Q_3](K_1m_1 \land K_2m_2 \land K_3 \neg m_3)$$

$$\mathcal{M}, mmm \Vdash [P][\neg Q_1 \land \neg Q_2 \land \neg Q_3][\neg Q_1 \land \neg Q_2 \land \neg Q_3](K_1m_1 \land K_2m_2 \land K_3m_3)$$



Three Cards Puzzle

- Three cards 'red', 'white', 'blue' are given to three children: 1, 2, 3.
- The children can only see their own cards.
- 2 asks 1: "Do you have the blue card?"
- 1 answers: "No".



Birthday Puzzle

A and B want to know when C's birthday is.

C provides a list of 10 possible dates:

C then tells A and B separately the month and the day of her birthday.

- A: I don't know when C's birthday is, but I know that B also does not know.
- **B:** At first I didn't know, but now I know.
- A: Then I also know it.

Russian Cards

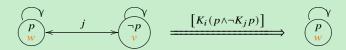
Russian Cards

- From a pack of seven known cards "0123456" A and B each draw three cards and C gets the remaining card.
- How can A and B openly inform each other about their cards, without C learning of any of their cards who holds it?
- Assume A' hand is ijk and the remaining cards is lmno. Choose one from ijk, say i, and choose two from lmno, say lm. Three of the hands are ijk, ilm, ino. From lm choose one, say l, and from no choose one, say n. Two hands are jln, kmo. A announces these five hands.
- B announces C's card.

Unsuccessful Update

Remark: If the goal of the announcing person was to "spread the truth of this formula," then this attempt was clearly unsuccessful.

Unsuccessful Update



$$\mathcal{M}, w \Vdash (p \land \neg K_j p) \land K_i(p \land \neg K_j p) \land \left[K_i(p \land \neg K_j p)\right] K_i K_j p$$

$$\bullet \ \langle B \rangle A \leftrightarrow B \land [B] A$$

•
$$[B](A \to C) \leftrightarrow ([B]A \to [B]C)$$

$$\bullet \ [B]p \leftrightarrow (B \rightarrow p)$$

•
$$[B] \neg A \leftrightarrow (B \rightarrow \neg A)$$
?

•
$$[B] \neg A \leftrightarrow \neg [B] A$$
 ?

$$\bullet \ [B] \neg A \leftrightarrow (B \to \neg [B]A)$$

•
$$[B]K_iA \leftrightarrow (B \to K_i(B \to [B]A))$$

•
$$[B]K_iA \leftrightarrow (B \to K_i[B]A)$$

•
$$[B][C]A \leftrightarrow [B \land C]A$$
?

•
$$[B][C]A \leftrightarrow [B \land [B]C]A$$

$$\bullet \ \frac{A}{[B]A} \qquad \frac{A(p)}{A(B)} ? \qquad \frac{A \leftrightarrow B}{[A]C \leftrightarrow [B]C} \qquad \frac{A \leftrightarrow B}{[C]A \leftrightarrow [C]B}$$

Public Announcement Logic (PAL)

Axiom Schema

- 1. tautologies
- 2. $K_i(A \to B) \to K_iA \to K_iB$
- 3. $[B]p \leftrightarrow (B \rightarrow p)$
- 4. $[B] \neg A \leftrightarrow (B \rightarrow \neg [B]A)$
- 5. $[B](A \wedge C) \leftrightarrow [B]A \wedge [B]C$
- 6. $[B]K_iA \leftrightarrow (B \rightarrow K_i[B]A)$
- 7. $[B][C]A \leftrightarrow [B \land [B]C]A$

Inference Rule

$$\frac{A \quad A \to B}{B}$$
 [MP]

$$\frac{A}{K_i A}$$
 [N]

Expressive Power

Theorem

PAL is equally expressive as basic modal logic.

Proof.

$$t(\top) = \top$$

$$t(p) = p$$

$$t(\neg A) = \neg t(A)$$

$$t(A \land B) = t(A) \land t(B)$$

$$t(K_i A) = K_i t(A)$$

$$t(B] \neg A) = t(B \rightarrow \neg B] A$$

$$t([B] \neg A) = t(B \rightarrow \neg B] A$$

$$t([B] (A \land C)) = t([B] A \land B] C$$

$$t([B] K_i A) = t(B \rightarrow K_i B] A$$

$$t([B] [C] A) = t([B \land B] C] A$$

$$\Vdash A \leftrightarrow t(A)$$

Succinctness

Theorem

PAL is complete w.r.t. the standard semantics of Public Announcement Logic.

Proof.

$$\Vdash A \implies \vdash t(A) \implies \vdash_{K} t(A) \implies \vdash_{PAL} t(A) \implies \vdash_{PAL} A$$

Theorem

PAL is exponentially more succinct than modal logic on arbitrary models.

$$A_0 \coloneqq \top$$

$$A_{n+1} \coloneqq \langle \langle A_n \rangle \diamondsuit_1 \top \rangle \diamondsuit_2 \top$$
 where $\diamondsuit_i A \coloneqq \neg K_i \neg A$ and $\langle B \rangle A \coloneqq \neg [B] \neg A$.

Announcement and Common Knowledge

$$\frac{P \to [Q]A \quad P \land Q \to E_G P}{P \to [Q]C_G A}$$

'Common knowledge induction' is a special case.

Take
$$P := A$$
 and $Q := \top$.

$$C_G(A \to E_G A) \to A \to C_G A$$

Propositional Dynamic Logic

$$A ::= \top \mid p \mid \neg A \mid A \land A \mid [\alpha]A$$

$$\alpha ::= a \mid A? \mid \alpha; \alpha \mid \alpha \cup \alpha \mid \alpha^*$$

$$\mathcal{M}, w \Vdash [\alpha]A \text{ iff } \forall v \in W : R_{\alpha}wv \implies \mathcal{M}, v \Vdash A$$

where

$$R_{A?} := \{(w, w) : \mathcal{M}, w \Vdash A\}$$

$$R_{\alpha;\beta} := \{(w, v) : \exists u (R_{\alpha}wu \land R_{\beta}uv)\}$$

$$R_{\alpha \cup \beta} := R_{\alpha} \cup R_{\beta}$$

$$R_{\alpha^*} := \bigcup_{n=0}^{\infty} R_{\alpha^n}$$

Propositional Dynamic Logic (PDL)

Axiom Schema

- 1. tautologies
- 2. $[\alpha](A \to B) \to [\alpha]A \to [\alpha]B$
- 3. $[B?]A \leftrightarrow (B \rightarrow A)$
- 4. $[\alpha; \beta] A \leftrightarrow [\alpha] [\beta] A$
- 5. $[\alpha \cup \beta]A \leftrightarrow [\alpha]A \wedge [\beta]A$
- 6. $[\alpha^*]A \leftrightarrow A \land [\alpha][\alpha^*]A$
- 7. $A \wedge [\alpha^*](A \to [\alpha]A) \to [\alpha^*]A$

Inference Rule

$$\frac{A \quad A \to B}{B} \text{ [MP]}$$

$$\frac{A}{[\alpha]A}$$
 [N]

PDL is sound and weak complete.

PDL is not compact. $\{\langle a^* \rangle p, \neg p, \neg \langle a \rangle p, \neg \langle a; a \rangle p, \neg \langle a; a; a \rangle p, \dots \}$ Its satisfiability is decidable (in EXPTIME).

First Order Dynamic Logic

Axiom Schema

- 1. FOL
- 2. PDL
- 3. $\langle x := t \rangle A \leftrightarrow A[t/x]$

Inference Rule

$$\frac{A \quad A \to B}{B} \text{ [MP]} \qquad \frac{A \to [\alpha^n]B, \quad n \in \omega}{A \to [\alpha^*]B} \text{ [IC]}$$

$$\frac{A}{[\alpha]A} \text{ [N]} \qquad \frac{A}{\forall xA} \text{ [G]}$$

Application

 $skip := \top?$

 $[(x = m \land y = n)?] \langle (x \neq y?; (x > y?; x \leftarrow x - y) \cup (x < y?; y \leftarrow y - x))^*; x = y? \rangle x = \gcd(m, n)$

```
\mathbf{fail} \coloneqq \bot?
\mathbf{if} \ B \ \mathbf{then} \ \alpha \ \mathbf{else} \ \beta \coloneqq B?; \alpha \cup \neg B?; \beta
\mathbf{while} \ B \ \mathbf{do} \ \alpha \coloneqq (B?; \alpha)^*; \neg B?
\mathbf{repeat} \ \alpha \ \mathbf{until} \ B \coloneqq \alpha; (\neg B?; \alpha)^*; B?
\{A\} \ \alpha \ \{B\} \coloneqq A \to [\alpha]B
```

Hoare Logic

$$\overline{\{P\} \text{ skip } \{P\}}$$

$$\overline{\{P[t/x]\} \ x \coloneqq t \ \{P\}}$$

$$\frac{\{P\} \ \alpha \ \{Q\} \quad \{Q\} \ \beta \ \{R\}}{\{P\} \ \alpha; \beta \ \{R\}}$$

$$\underline{\{B \land P\} \ \alpha \ \{Q\} \quad \{\neg B \land P\} \ \beta \ \{Q\}}$$

$$\overline{\{P\} \text{ if } B \text{ then } \alpha \text{ else } \beta \ \{Q\}}$$

$$\underline{P_1 \rightarrow P_2 \quad \{P_2\} \ \alpha \ \{Q_2\} \quad Q_2 \rightarrow Q_1}$$

$$\overline{\{P_1\} \ \alpha \ \{Q_1\}}$$

$$\underline{\{P \land B\} \ \alpha \ \{P\}}$$

$$\overline{\{P\} \text{ while } B \text{ do } \alpha \ \{\neg B \land P\}}$$

 $\{x = 4 \land y = 3\}$ if x < y then z := x; y := y + 1 else z := y; z := z + 1 $\{x = 4 \land y = 3 \land z = 4\}$

庄子《秋水》

庄子《秋水》

庄子与惠子游干濠梁之上。

- 1. 庄子: 鲦鱼出游从容, 是鱼之乐也。
- 2. 惠子: 子非鱼, 安知鱼之乐?
- 3. 庄子: 子非我, 安知我不知鱼之乐?
- 4. 惠子: 我非子, 固不知子矣; 子固非鱼也, 子之不知鱼之乐, 全矣。
- 5. 庄子:请循其本。子曰'汝安知鱼乐'云者,既已知吾知之而问我。我知之濠上也。

庄子《秋水》

• 惠子: 子非鱼, 安知鱼之乐?

$$\forall xy(K_xHy\vee K_x\neg Hy\to Fy\to Fx)$$

$$\forall x (K_x H f \lor K_x \neg H f \rightarrow x = f)$$

• 庄子: 子非我, 安知我不知鱼之乐?

$$\forall xy(K_xK_yHf\vee K_x\neg K_yHf\to x=y)$$

• 惠子: 我非子,固不知子矣; 子固非鱼也,子之不知鱼之乐,全矣。

For any 'subjective' formula
$$A$$
,
$$\frac{\forall xy \big(K_x A(y) \lor K_x \lnot A(y) \to x = y \big) \quad h \neq z \quad z \neq f}{\lnot K_z H f \land \lnot K_h \lnot K_z H f}$$

Moore's Paradox?

Gödel's Proof of God's Existence

```
Ax.1 Either a property or its negation is positive, but not both. \forall X[P(\neg X) \leftrightarrow \neg P(X)]
```

Ax.2 A property necessarily implied by a positive property is positive.

$$\forall X \forall Y \left[P(X) \land \Box \forall x [X(x) \to Y(x)] \to P(Y) \right]$$

Th.1 Positive properties are possibly exemplified.
$$\forall X[P(X) \rightarrow \Diamond \exists x X(x)]$$

Df.1 A God-like being possesses all positive properties.
$$G(x) := \forall X[P(X) \to X(x)]$$

Ax.3 The property of being God-like is positive.
$$P(G)$$

Th.2 Possibly, God exists.
$$\Diamond \exists x G(x)$$

Ax.4 Positive properties are necessarily positive.
$$\forall X[P(X) \rightarrow \Box P(X)]$$

Df.2 An essence of an individual is a property necessarily implying any of its properties.
$$E(X,x) := X(x) \land \forall Y(Y(x) \to \Box \forall y(X(y) \to Y(y)))$$

se of any God-like being
$$\forall r[G(r) \rightarrow F(G,r)]$$

Th.3 Being God-like is an essence of any God-like being.
$$\forall x [G(x) \rightarrow E(G,x)]$$

Df.3 Necessary existence of an individual is the necessary exemplification of all its essences.
$$N(x) := \forall X [E(X,x) \to \Box \exists y X(y)]$$

Ax.5 Necessary existence is a positive property.
$$P(N)$$

Th.4 Necessarily, God exists.
$$\Box \exists x G(x)$$

Pride and Prejudice

$$C_{\operatorname{Human}} \left(\forall x \Big(\operatorname{Man}(x) \wedge \operatorname{Single}(x) \wedge \operatorname{Fortune}(x) \to \operatorname{Desire}_{x} \Big(\exists y \Big(\operatorname{Woman}(y) \wedge \operatorname{Marry}(x, y) \Big) \Big) \right) \right)$$

- Jane Austen

