

# IMPROVING NEURAL CONVERSATIONAL MODELS WITH ENTROPY-BASED DATA FILTERING

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# Introduction

## ■ Takeaways

- *Better responses by **filtering** training data*
- ***Overfitting** = better on automatic **metrics***

Hi, how are you?

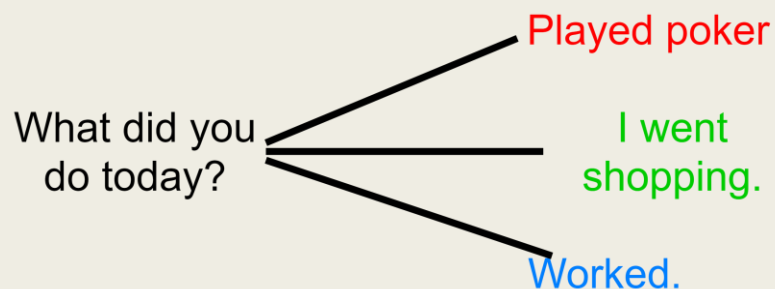
good

What did you do  
today?

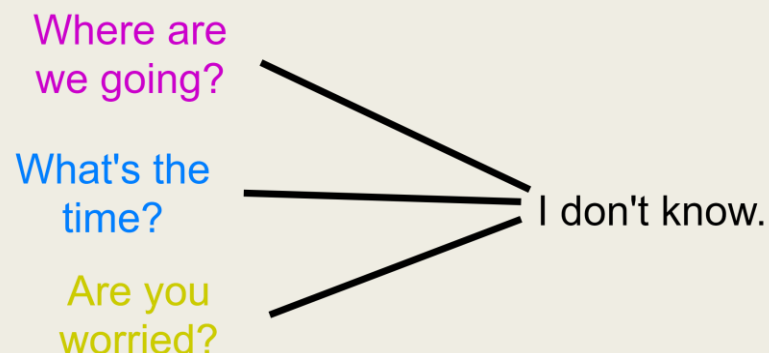
I don't know

# Problem formulation

## One-to-many



## Many-to-one

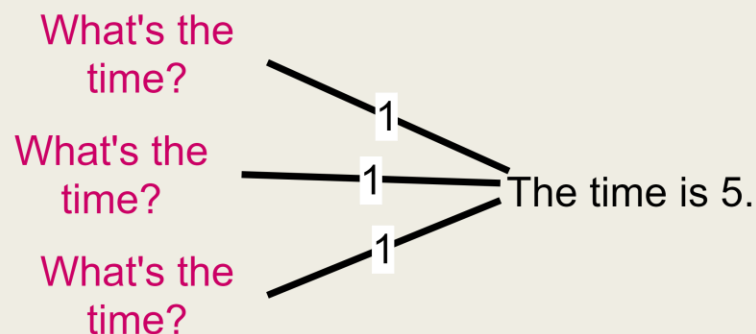
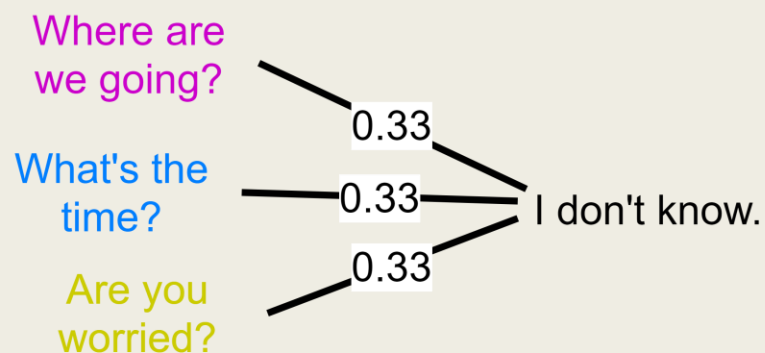
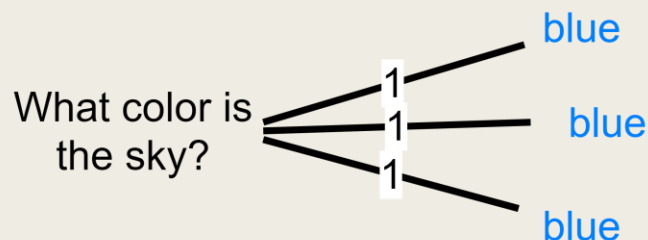
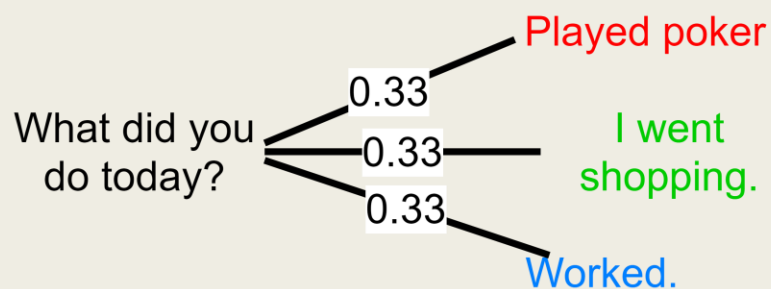


## Previous approaches:

- Feeding **extra information** to dialog models [1]
- **Augmenting** the **model** or decoding process [2]
- Modifying the **loss** function [3]

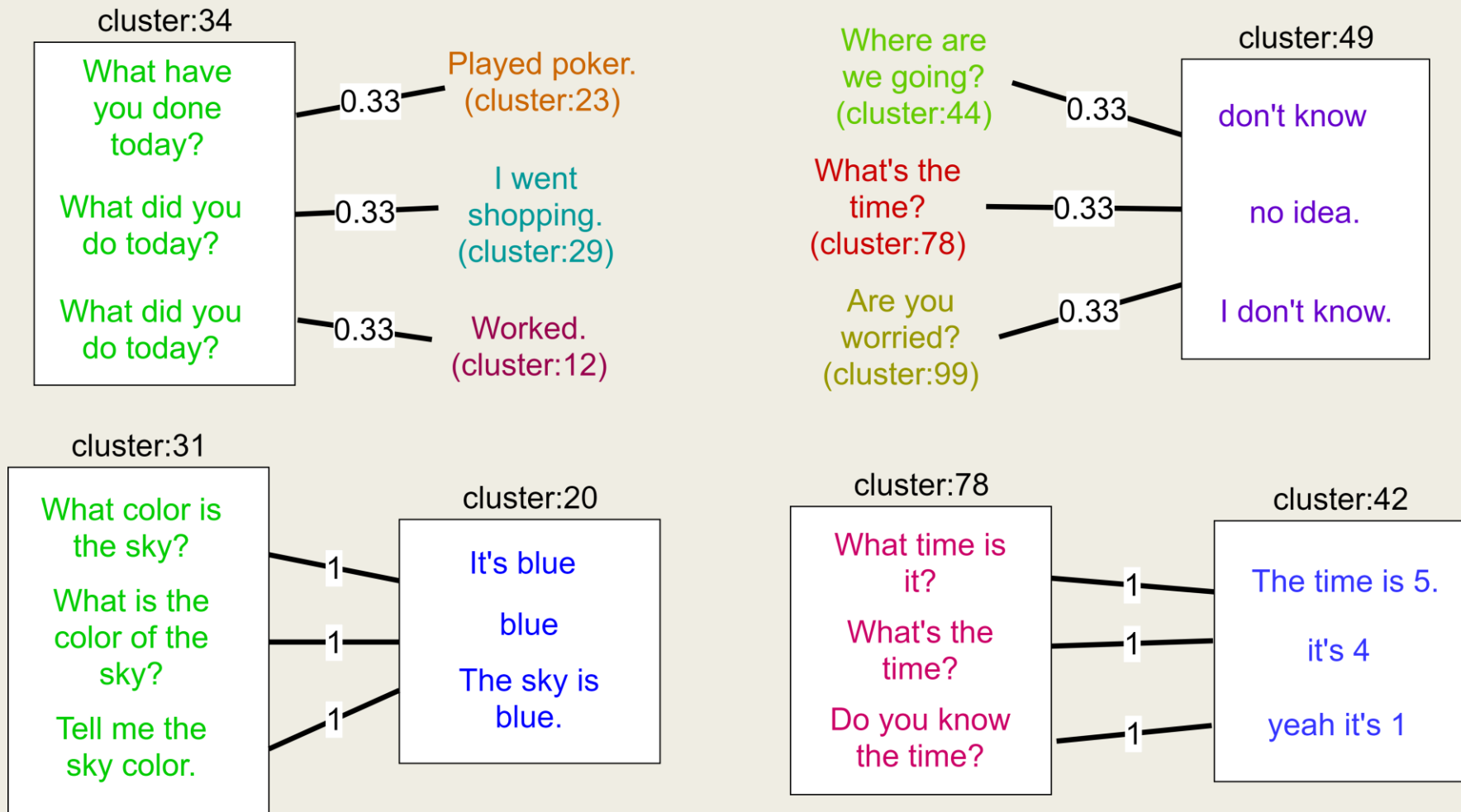
# Methods (Identity)

- Filter **high-entropy** utterances
- 3 filtering ways: SOURCE, TARGET, BOTH



# Methods (Clustering)

- SENT2VEC [4] and AVG-EMBEDDING [5]
- **Mean Shift** clustering algorithm [6]



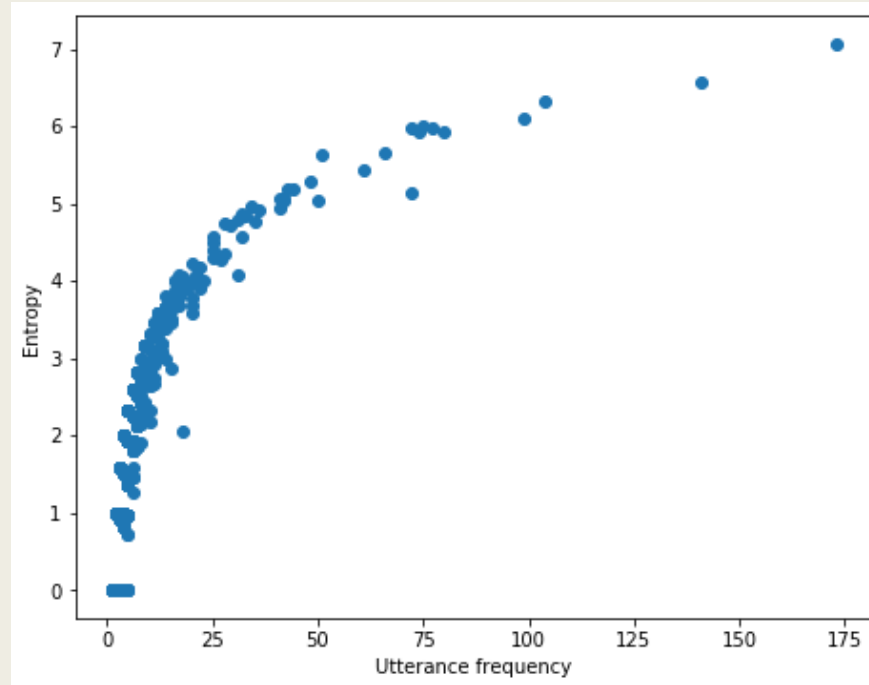
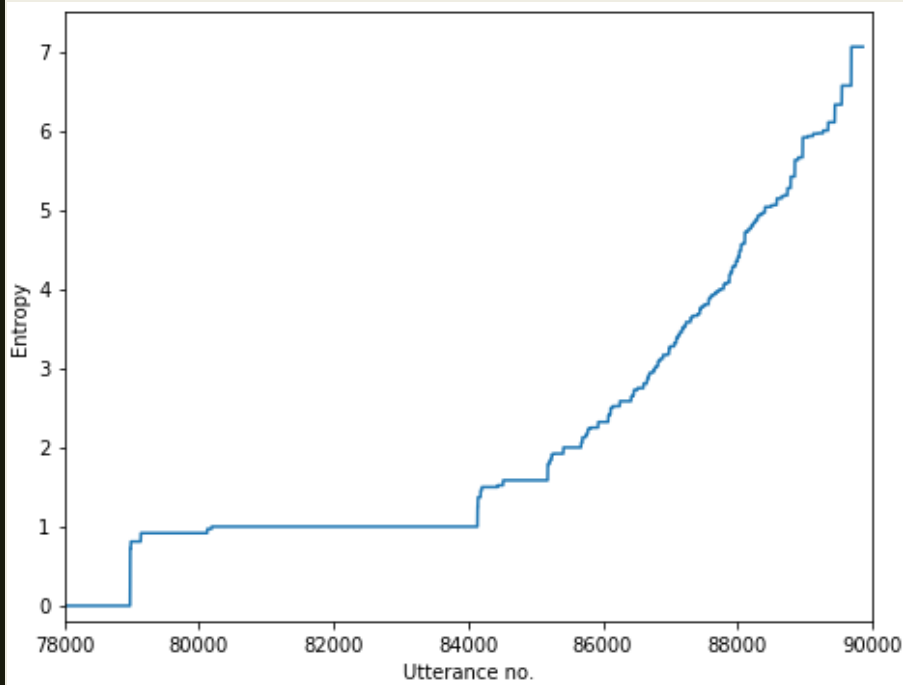
# Data

- DailyDialog (~90.000 pairs) [7]

- Remove 5-15% of utterances

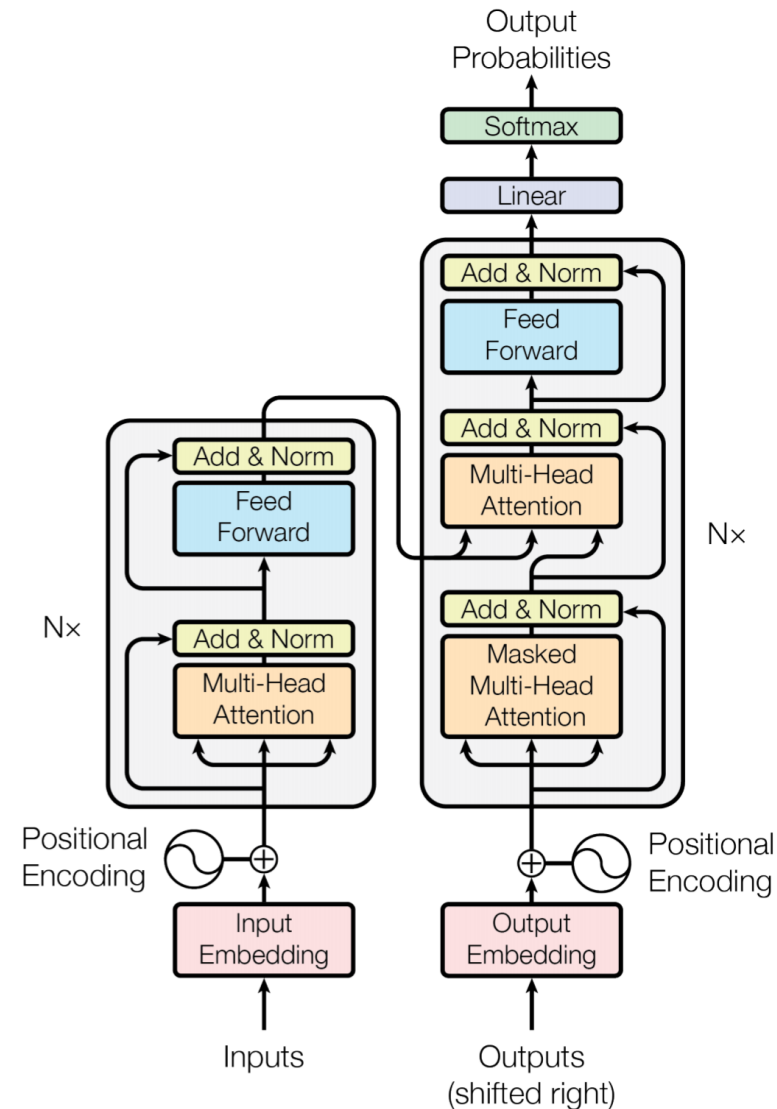
- High entropy utterances:

– *yes* | *thank you* | *why?* | *ok* | *what do you mean?* | *sure*

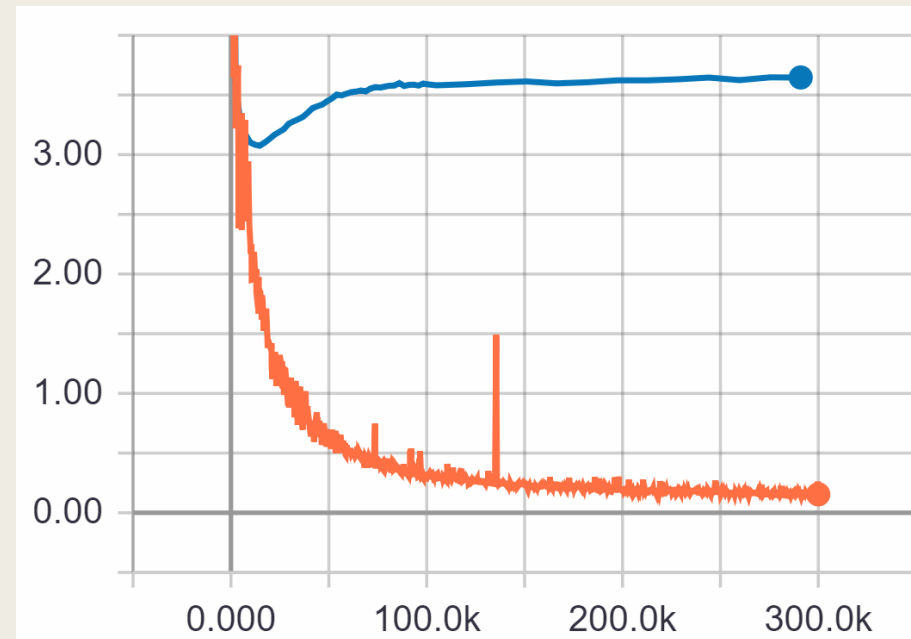
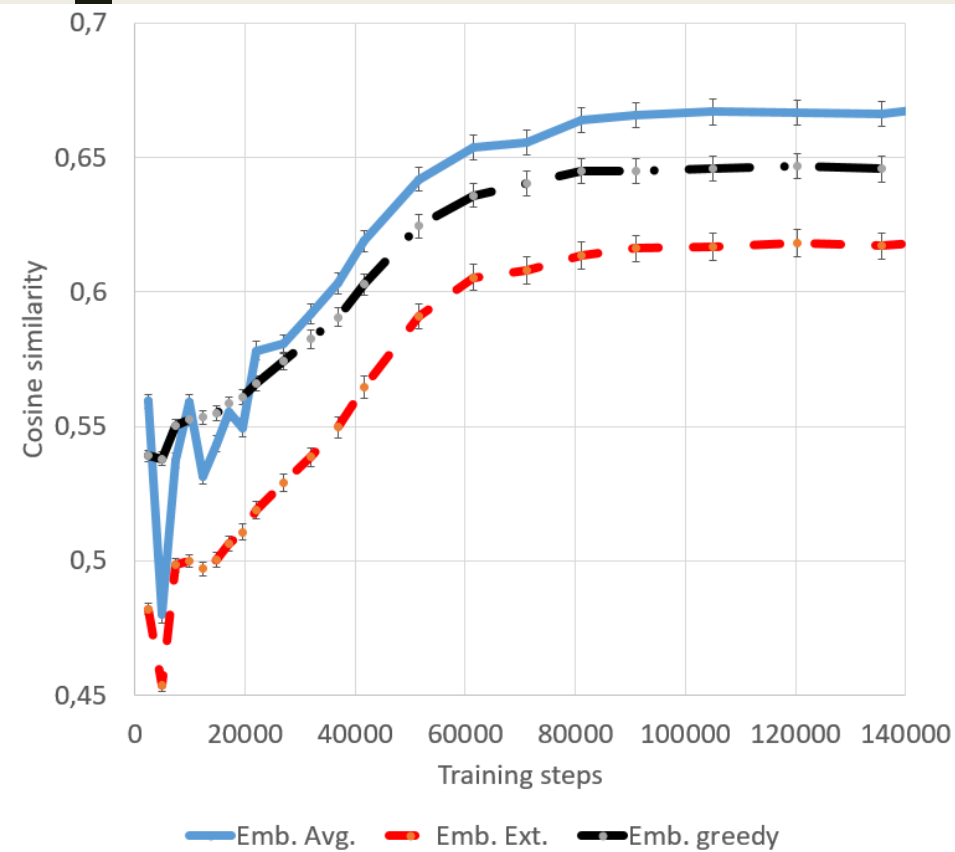


# Setup

- Response length
- Word / utterance entropy [8]
- KL-divergence
- Embedding metrics [9]
- Coherence [10]
- Distinct-1, -2 [11]
- BLEU-1, -2, -3, -4 [12]



# Evaluation Metrics





# Results (at loss minimum)

		$ U $	$H_w^u$	$H_w^b$	$H_u^u$	$H_u^b$	$D_{kl}^u$	$D_{kl}^b$	AVG	EXT	GRE	COH	d1	d2	b1	b2	b3	b4
ID	TRF	8.6	7.30	12.2	63.6	93	.330	.85	.540	.497	.552	.538	<b>.0290</b>	.149	.142	.135	.130	.119
	B	9.8	7.44	12.3	71.9	105	.315	.77	.559	<b>.506</b>	.555	.572	.0247	.138	.157	.151	.147	.136
	T	<b>10.9</b>	<b>7.67</b>	<b>12.7</b>	<b>83.2</b>	<b>121</b>	<b>.286</b>	<b>.72</b>	<b>.570</b>	<b>.507</b>	.554	<b>.584</b>	.0266	<b>.150</b>	<b>.161</b>	<b>.159</b>	<b>.156</b>	<b>.146</b>
	S	9.4	7.19	11.9	66.4	98	.462	1.08	.540	.495	.553	.538	.0262	.130	.139	.133	.128	.117
AE	B	7.9	7.25	12.0	57.7	83	.447	1.05	.524	.486	.548	.524	.0283	.132	.128	.121	.115	.105
	T	8.6	7.26	12.1	61.4	90	.425	1.12	.526	.492	.548	.529	.0236	.115	.133	.127	.121	.111
	S	9.0	7.21	11.9	65.1	95	.496	1.16	.536	.490	.548	.538	.0232	.109	.134	.130	.126	.116
SC	B	10.0	7.40	12.3	72.6	108	.383	.97	.544	.497	.549	.550	.0257	.131	.145	.142	.138	.128
	T	<b>11.2</b>	7.49	12.4	<b>82.2</b>	<b>122</b>	.391	.97	.565	.500	.552	.572	.0250	.132	.153	.153	.152	.142
	S	<b>11.1</b>	7.15	11.9	74.4	114	.534	1.27	.546	.501	<b>.560</b>	.544	.0213	.102	.144	.139	.135	.125

# Results (after overfitting)

		$ U $	$H_w^u$	$H_w^b$	$H_u^u$	$H_u^b$	$D_{kl}^u$	$D_{kl}^b$	AVG	EXT	GRE	COH	d1	d2	b1	b2	b3	b4
TRF		11.5	7.98	<b>13.4</b>	95	142	.0360	.182	.655	<b>.607</b>	<b>.640</b>	.567	<b>.0465</b>	<b>.297</b>	<b>.333</b>	.333	.328	.315
	B	<b>13.1</b>	<b>8.08</b>	<b>13.6</b>	<b>107</b>	<b>162</b>	.0473	.210	<b>.668</b>	<b>.608</b>	<b>.638</b>	<b>.598</b>	.0410	.275	<b>.334</b>	<b>.340</b>	<b>.339</b>	<b>.328</b>
ID	T	12.2	8.04	<b>13.6</b>	100	150	<b>.0335</b>	<b>.181</b>	<b>.665</b>	<b>.610</b>	<b>.640</b>	.589	.0438	.289	<b>.338</b>	<b>.341</b>	<b>.339</b>	<b>.328</b>
	S	12.3	7.99	<b>13.5</b>	101	153	.0406	.187	.662	<b>.610</b>	<b>.641</b>	.578	.0444	.286	<b>.339</b>	<b>.342</b>	<b>.338</b>	<b>.326</b>
AE	B	11.9	7.98	<b>13.5</b>	98	147	.0395	.197	.649	.600	.628	.574	.0434	.286	.318	.321	.318	.306
	T	12.5	7.99	<b>13.5</b>	102	155	.0436	.204	.656	.602	.634	.580	.0423	.279	.324	.327	.325	.313
	S	12.1	7.93	<b>13.4</b>	99	148	.0368	.186	.658	.605	<b>.636</b>	.578	.0425	.278	.325	.328	.324	.311
SC	B	12.8	<b>8.07</b>	<b>13.6</b>	105	159	.0461	.209	.655	.600	.629	.583	.0435	.282	.322	.328	.327	.316
	T	<b>13.0</b>	<b>8.06</b>	<b>13.6</b>	<b>107</b>	<b>162</b>	.0477	.215	.657	.602	.632	.585	.0425	.279	.324	.330	.329	.318
	S	12.1	7.96	<b>13.4</b>	100	150	.0353	.183	.657	<b>.606</b>	<b>.638</b>	.576	.0443	.286	.331	.333	.329	.317

# Results (other datasets)

## Cornell-Movie Dialog Corpus

		$ U $	$H_w^u$	$H_w^b$	$H_u^u$	$H_u^b$	$D_{kl}^u$	$D_{kl}^b$	AVG	EXT	GRE	COH	d1	d2	b1	b2	b3	b4
TRF		8.1	6.55	10.4	54	75	2.29	3.40	<b>.667</b>	.451	.635	<b>.671</b>	4.7e-4	1.0e-3	<b>.108</b>	.120	.120	.112
ID	B	7.4	<b>6.67</b>	<b>10.8</b>	50	69	<b>1.96</b>	<b>2.91</b>	.627	<b>.455</b>	.633	.637	<b>2.1e-3</b>	<b>7.7e-3</b>	.106	.113	.111	.103
	T	<b>12.0</b>	6.44	10.4	<b>74</b>	<b>106</b>	2.53	3.79	.646	<b>.456</b>	<b>.637</b>	.651	9.8e-4	3.2e-3	<b>.108</b>	<b>.123</b>	<b>.125</b>	<b>.118</b>

## Twitter dataset

		$ U $	$H_w^u$	$H_w^b$	$H_u^u$	$H_u^b$	$D_{kl}^u$	$D_{kl}^b$	AVG	EXT	GRE	COH	d1	d2	b1	b2	b3	b4
TRF		20.6	6.89	<b>11.4</b>	121	177	<b>2.28</b>	<b>3.40</b>	.643	.395	.591	.659	<b>2.1e-3</b>	<b>6.2e-3</b>	.0519	.0666	.0715	.0693
ID	B	20.3	<b>6.95</b>	<b>11.4</b>	119	171	2.36	<b>3.41</b>	<b>.657</b>	.394	.595	<b>.673</b>	1.2e-3	3.4e-3	<b>.0563</b>	<b>.0736</b>	.0795	.0774
	T	<b>29.0</b>	6.48	10.7	<b>157</b>	<b>226</b>	2.68	3.69	.644	<b>.403</b>	<b>.602</b>	.660	1.4e-3	4.6e-3	<b>.0550</b>	<b>.0740</b>	<b>.0819</b>	<b>.0810</b>

# Conclusion

- **Better responses** by **filtering** training data
- **Overfitting** = better on automatic **metrics**

# Thanks for your attention!

- [github.com/ricsinaruto/NeuralChatbots-DataFiltering](https://github.com/ricsinaruto/NeuralChatbots-DataFiltering)
  - *code/utils/filtering\_demo.ipynb*
- [github.com/ricsinaruto/dialog-eval](https://github.com/ricsinaruto/dialog-eval)
- [ricsinaruto.github.io](https://ricsinaruto.github.io)
  - *Paper, Poster, Blog post, Slides*

## References

- [1] Jiwei Li, Michel Galley, Chris Brockett, Georgios P Spithourakis, Jianfeng Gao, and Bill Dolan. 2016. [A persona-based neural conversation model](#).
- [2] Yuanlong Shao, Stephan Gouws, Denny Britz, Anna Goldie, Brian Strope, and Ray Kurzweil. 2017. [Generating high-quality and informative conversation responses with sequence-to-sequence models](#).
- [3] Jiwei Li, Michel Galley, Chris Brockett, Jianfeng Gao, and Bill Dolan. 2016. [A diversity-promoting objective function for neural conversation models](#).
- [4] Matteo Pagliardini, Prakhar Gupta, and Martin Jaggi. 2018. [Unsupervised learning of sentence embeddings using compositional n-gram features](#).
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- [8] Iulian Vlad Serban, Alessandro Sordani, Ryan Lowe, Laurent Charlin, Joelle Pineau, Aaron C Courville, and Yoshua Bengio. 2017. [A hierarchical latent variable encoder-decoder model for generating dialogues](#).
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- [11] Jiwei Li, Michel Galley, Chris Brockett, Jianfeng Gao, and Bill Dolan. 2016. [A diversity-promoting objective function for neural conversation models](#).
- [12] Kishore Papineni, Salim Roukos, Todd Ward, and WeiJing Zhu. 2002. [Bleu: a method for automatic evaluation of machine translation](#).