A yellow and black rectangle

AI-generated content may be incorrect.

**Initial Screening Report**

|  |
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| **{{title}}** |

{{created\_date}}

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| --- |
| Company Profile |

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | {{company\_name}} | **Location** | {{company\_location}} |
| **Address** | {{company\_address}} | **Website** | {{company\_website}} |
| **Active Status** | {{company\_active\_status}} | **Operation Type** | {{company\_operation\_type}} |
| **Legal Status** | {{company\_legal\_status}} | **National Identifier** | {{company\_national\_identifier}} |
| **Alias** | {{company\_alias}} | **Incorporation Date** | {{company\_incorporation\_date}} |
| **Subsidiaries** | {{company\_subsidiaries}} | **Corporate Group** | {{company\_corporate\_group}} |
| **Shareholders** | {{shareholders}} | **Key Executives** | {{key\_executives}} |
| **Revenue** | {{company\_revenue}} | **Employee** | {{company\_employee}} |

{{overall\_rating}}

|  |
| --- |
| **Summary of findings** |
| **{{overall\_summary}}** |

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|  |
| --- |
| Executive Summary |

The below ratings are assigned:

{{risk\_areas}}

Summary of the findings noted are as under:

|  |  |
| --- | --- |
| **Risk Areas** | **Summary** |
| Sanctions | {{riskAreas\_sanctions}} |
| Anti-Bribery and Anti-Corruption | {{riskAreas\_antiBriberyAndAntiCorruption}} |
| Government Ownership and Political Affiliations | {{riskAreas\_governmentOwnershipAndPoliticalAffiliations}} |
| Financial Indicators | {{riskAreas\_financialIndicators}} |
| Other Adverse Media | {{riskAreas\_otherAdverseMedia}} |
| Additional Indicators | {{riskAreas\_additional\_indicators}} |
| Regulatory & Legal | {{riskAreas\_regulatoryAndLegal}} |

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|  |
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| Detailed Findings |

|  |
| --- |
| Sanctions |

**{{a\_rating}}**

|  |
| --- |
| *This section shall list third parties and related entities of all economic or financial sanctions, sectoral sanctions, secondary sanctions, trade embargoes and anti-terrorism laws, including but not limited to those imposed, administered, or enforced from time to time by US-OFAC, UNSC, the European Union, the United Kingdom, or any other Governmental authorities.* |

*{{sanctions\_findings}}*

|  |
| --- |
| Anti-Bribery and Anti-Corruption |

**{{b\_rating}}**

|  |
| --- |
| *This section shall list third parties and related entities that have been charged or reported to be accused of bribery and corruption.* |

*{{*antiBribery\_findings*}}*

|  |
| --- |
| Government Ownership and Political Affiliations |

**{{c\_rating}}**

|  |
| --- |
| *This section shall list third parties and related entities that are fully or partly owned by the State or the Government of any countries, or by bodies that are owned or controlled by the State or the Government or are related to political influenced individuals meaning entrusted with positions in public functions or relative of such persons.* |

*{{government\_ownership\_and\_political\_affiliations\_findings}}*

|  |
| --- |
| Financial Indicators |

**{{d\_rating}}**

|  |
| --- |
| *This section shall list third parties and related entities in aspects of financial performance and risk, respective ratings, key performance indicators and any negative news around money laundering, tax evasion or financial crime.* |

*{{financial\_indicators\_findings}}*

*{{bankruptcy\_findings}}*

|  |
| --- |
| OtherAdverse Media |

**{{e\_rating}}**

|  |
| --- |
| *This section focuses on third parties that have negative/adverse media coverage on fraud, fair competition, securities exchange violations, tax violations, data privacy, etc.* |

*{{other\_adverse\_media\_findings}}*

|  |
| --- |
| Additional Indicators |

**{{f\_rating}}**

|  |
| --- |
| *This section shall list third parties and related entities involved in data breaches, unauthorized access, malware incidents, regulatory non-compliance, and cybersecurity risks, including but not limited to those identified by NIST, ISO, GDPR, CCPA, and other relevant regulatory authorities. This section will also list third parties and related entities linked to environmental, social, and governance risks, including but not limited to carbon emissions, labor rights violations, corporate governance failures, and non-compliance with ESG frameworks such as UNPRI, GRI, SASB, and other relevant regulatory bodies.* |

*{{web\_findings}}*

*{{cyberSecurity\_findings}}*

*{{esg\_findings}}*

|  |
| --- |
| Regulatory & Legal |

**{{g\_rating}}**

|  |
| --- |
| *This section shall list third parties and related entities linked to environmental, social, and governance risks, including but not limited to carbon emissions, labor rights violations, corporate governance failures, and non-compliance with ESG frameworks such as UNPRI, GRI, SASB, and other relevant regulatory bodies.* |

*{{regularity\_findings}}*

*{{legal\_findings}}*

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| --- |
| Data Sources |

|  |  |
| --- | --- |
| Illustrative watchlists scanned through databases | |
| Bank of England Consolidated List | Specially Designated Nationals (SDN) – Syria |
| Bureau of Industry and Security List | Specially Designated Nationals (SDN) – Russia |
| Consolidated List – Australia | Weapons of Mass Destruction Proliferators (NPWMD) |
| FBI Hijack Suspects | Specially Designated Global Terrorists (SDGT) |
| FBI Top Ten Most Wanted | Specially Designated Narcotic Traffickers (SDNT) |
| Hong Kong Monetary Authority List | Specially Designated Narcotic Traffic Kingpins (SDNTK) |
| Interpol Most Wanted | Specially Designated Terrorists (SDT) |
| Monetary Authority of Singapore List | Blocked Pending Investigation – Patriot Act (BPI-PA) |
| Non-cooperative Countries and Territories | Blocked Pending Investigation – Specially Designated Narcotic Traffickers (BPI-SDNT) |
| OFAC's Specially Designated Nationals & Blocked Persons | Blocked Pending Investigation – Specially Designated Narcotic Traffic Kingpins (BPI-SDNTK) |
| Politically Exposed Persons | Primary Money Laundering Concern Institutions List |
| Terrorist Exclusion List | DFAT (Australia) Consolidated List |
| United Nations Consolidated List | OSFI Consolidated List (Individuals) |
| World Bank Debarred Parties | Canadian OSFI Consolidated List |
| Excluded Parties List System | Canada OSFI Cumulative Warning List |
| Debarred Parties List | EU Consolidated list |
| Other Weapons Proliferation Sanctions | Japan Foreign End Users of Concern |
| Foreign Terrorist Organizations | Singapore MAS Terrorism List |
| EU Combating Terrorism List | UN Security Council Resolution 1737 (2006) Iran List |
| WMD End-Use Control Concerns: Iran | US Federal Reserve Board Enforcement Actions |
| BIS (UK) WMD End-Use Control - Iran List | UN Security Council Resolution 1747 (2007) Iran List |
| CSSF (Luxembourg) Sanctions List | EU Combating Terrorism List |
| SICCFIN (Monaco) Economic Sanctions | WMD End-Use Control Concerns: Iran |
| South Korea Ministry of Strategy and Finance - UN Iran List | BIS (UK) WMD End-Use Control - Iran List |

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