

# THE ROAD UP TO NOW...

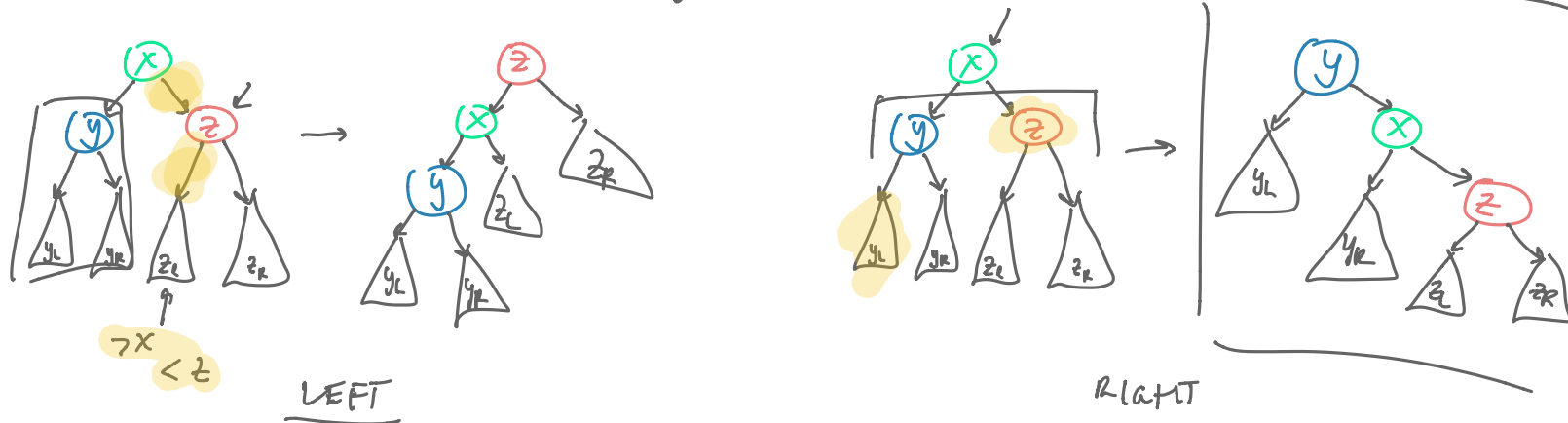
- Recursive data structures (Linked list, Trees)
- Operations on data structures + Time complexity (Search for number in tree?  $O(\log n)$ )

rotateR (TreeNode L)

SOME BASIC PRIMITIVES: Rotation.

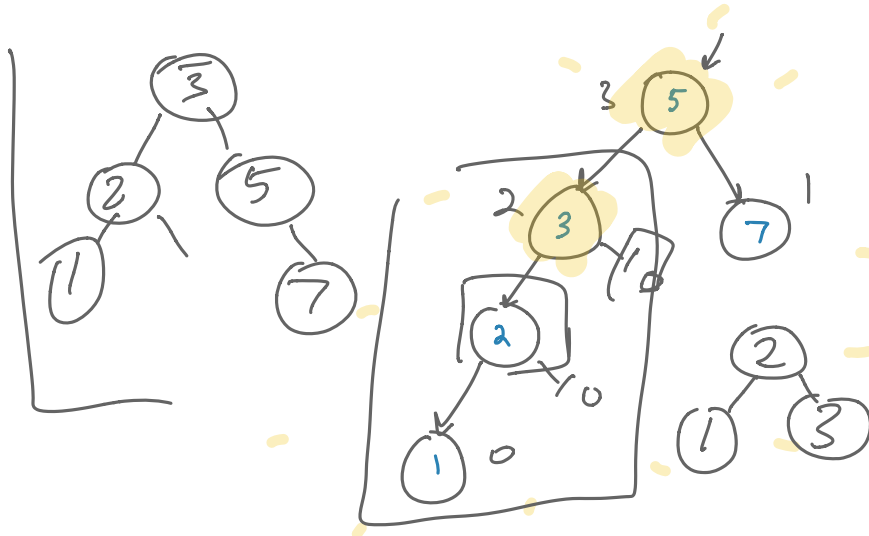
GOAL - 'reorganise' the tree whilst maintaining the search tree condition, FAST  $\rightarrow O(1)$

VARIANTS - left rotation and right rotation.



Constant number of pointers change in each rotation  $\Rightarrow$  CONSTANT TIME.

USEFULNESS?  $\rightarrow$  One side is taller than the other, rotate in opposition!



TASK: USING ONE rotation height balance the tree.

$$|h_L - h_R| \leq 1$$

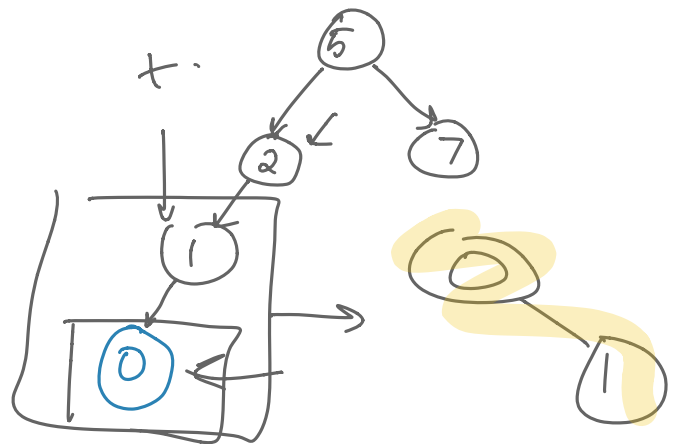
PRELIMINARY TO SPLAY TREES  $\rightarrow$  INSERT AT ROOT.

YOU KNOW: **INSERT AT LEAF**

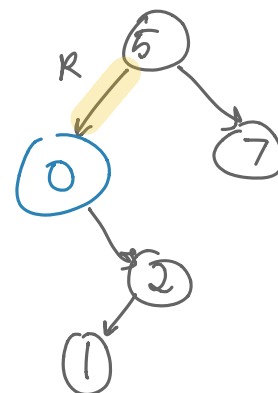
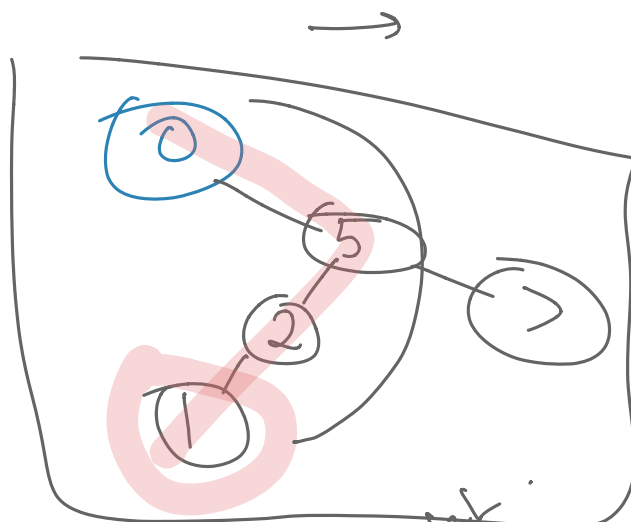
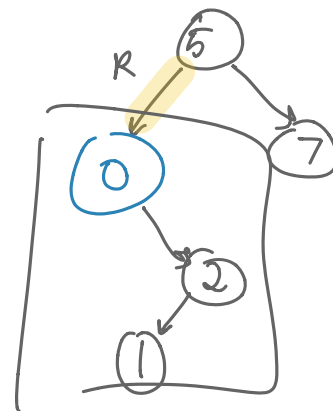
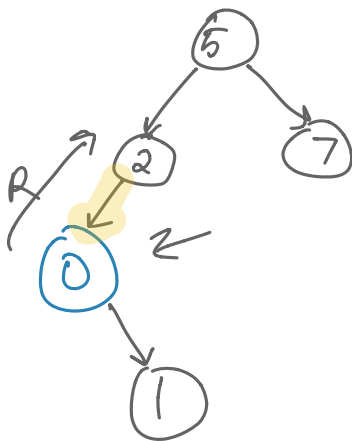
$\hookrightarrow$  Insert a new value into the tree as a leaf following BST condition.

INSERT AT ROOT:

① INSERT VALUE AT LEAF.



② Rotate in opposition to what side you recursed on e.g.  $t \rightarrow \text{left} = \text{insert}(v, t \rightarrow \text{left}) \Rightarrow$  **ROTATE RIGHT!**

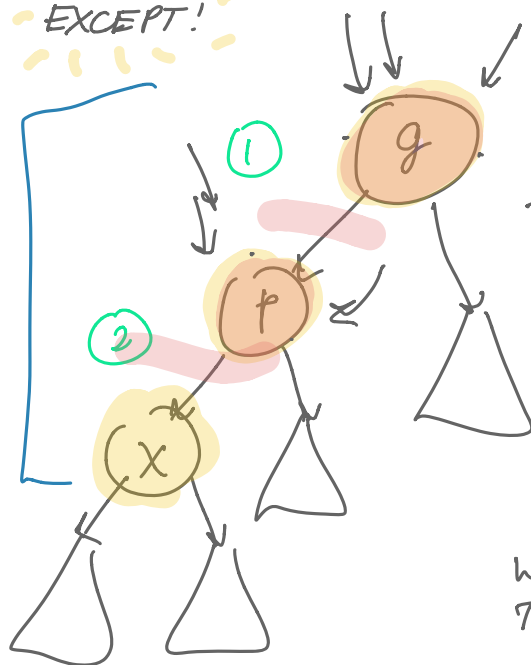


③ Rotate.  
 $\rightarrow$  rotate

## SPLAY TREES

Same insertion method as insertion at root.

EXCEPT!



THEN

① Rotate right on g node (rotates on g to p edge)

② Rotate right on p node (rotates on p to x edge)

What would an insertion at root do?

WE CALL THIS MODIFIED VERSION OF AMENDING THE TREE THE SPLAY OPERATION.

On insertion of a value  $\rightarrow$  insert at leaves  $\rightarrow$  perform SPLAY.

On finding a node  $\rightarrow$  perform SPLAY to closest target.



vs.



- Locality "more likely" to search again.
- $\Theta(\log n)$  "amortized" time.

- Not all cases you get the search for all nodes in a tree in ascending.

# AUGMENTING DATA STRUCTURES

- Adding some EXTRA data on an existing structure for efficient implementation of ops.  
e.g. DOUBLY LINKED LISTS.

## The AVL TREE

Motivation - if we can keep the height of the tree 'h' bounded above by  $\log n$  then subsequent operations on the tree proportional to 'h' are bounded by  $\log n \Rightarrow$  WORST CASE  $O(\log n)$  operations!

Goal - Insert  $O(\log n) \leftarrow O(h)$     OBSERVATION - For all nodes in the tree  
Search  $O(\log n) \leftarrow O(h)$

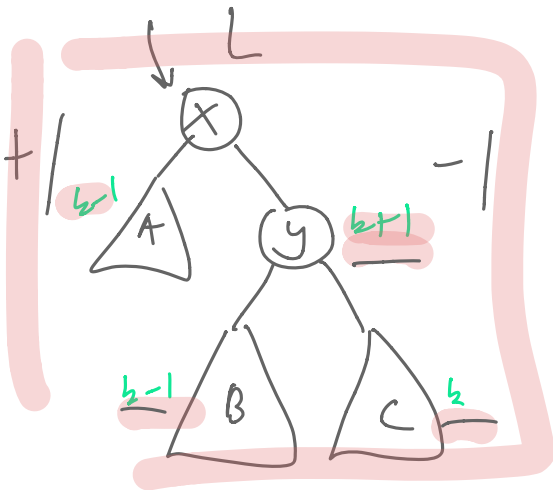
let  $h_l = \text{height}(\text{node} \rightarrow \text{left})$

$h_r = \text{height}(\text{node} \rightarrow \text{right})$

then maintain invariant:

$$|h_l - h_r| \leq 1$$

consider tree



rotate L(X)



① let x be the node that first violates the AVL condition.

② Assume x is 'right heavy' (taller on the right)

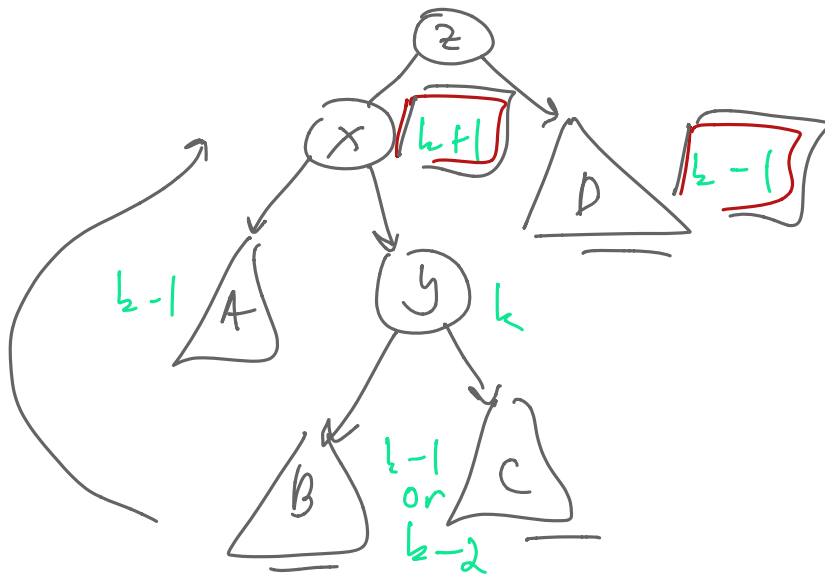
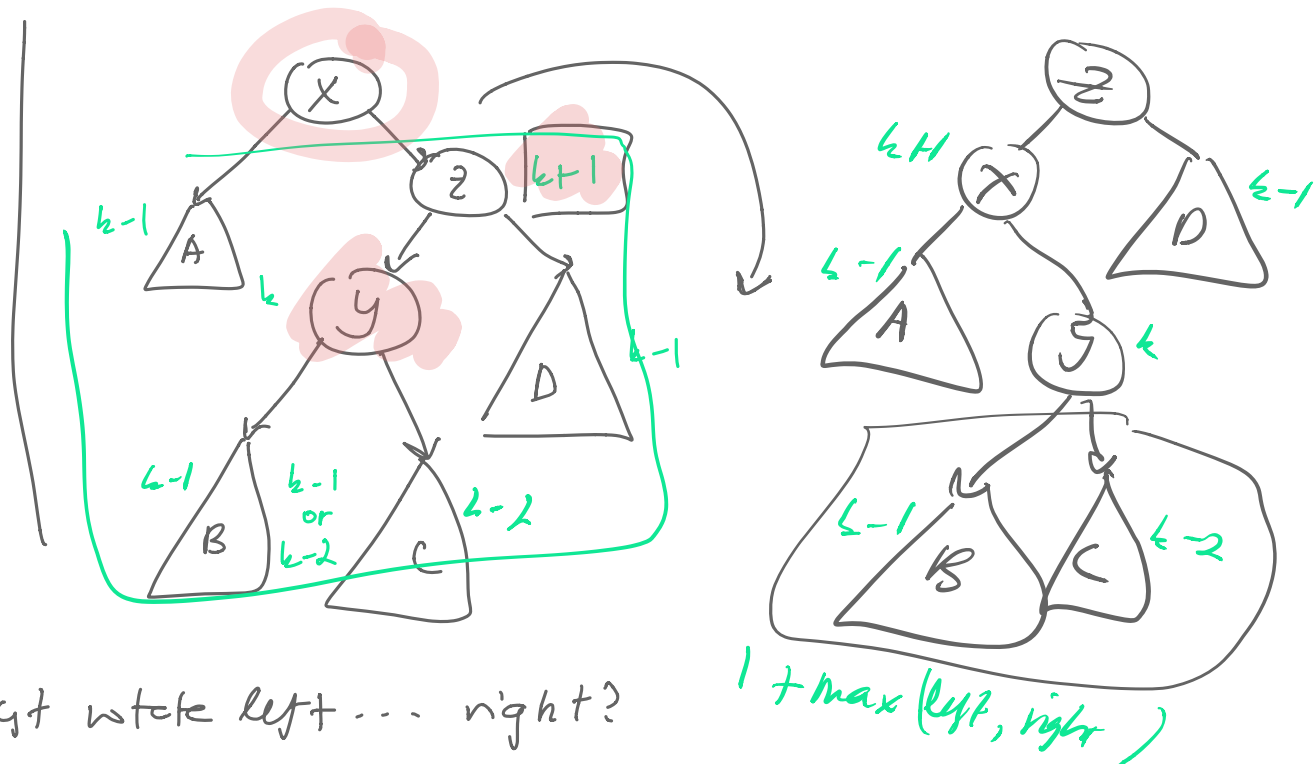
③ perform left rotation to counteract

④ Similar idea if x is 'left heavy' (symmetric)

HANG ON...

Do we just rotate in opposition to the side that's taller?

→ most cases yes, but consider...



- ① rotate R (z)
- ② rotate L (x)

Ok... does this do anything?

worst case when every node has  $h_l$  and  $h_r$  differ by 1. let  $N_h$  be number of nodes in height- $h$  AVL tree.

$$N_h = N_{h-1} + N_{h-2} + 1$$

↖ root
↖ diff by 1.
↖ root node

$> 2 N_{h-1}$  (solve the recurrence)

$$N_H > 2^{h/2}$$

$$\log(N_H) > \log(2^{h/2})$$

$$2 \log(N_H) > h \Rightarrow \frac{h < 2 \log(N_H)}{\log(n)}$$

which is  $n!!!$

