

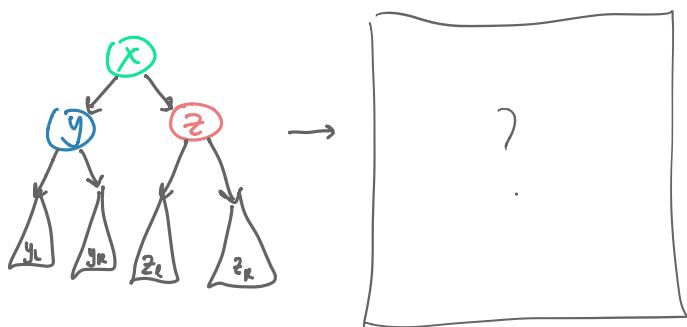
THE ROAD UP TO NOW...

- Recursive data structures (Linked lists, Trees)
- Operations on data structures + Time complexity (Search for number in tree? $O(\log n)$)

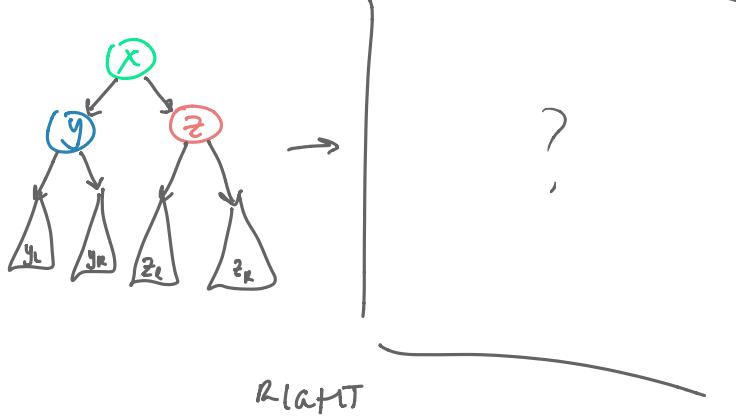
SOME BASIC PRIMITIVES: Rotation.

GOAL - 'reorganize' the tree whilst maintaining the search tree condition, FAST $\rightarrow O(1)$

VARIANTS - left rotation and right rotation.



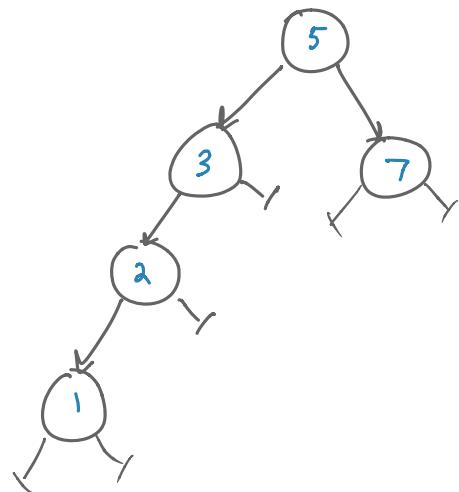
LEFT



RIGHT

Constant number of pointers change in each rotation \Rightarrow CONSTANT TIME.

USEFULNESS? \rightarrow One side is taller than the other, rotate in opposition!



Task: Using ONE rotation height balance the tree.

height balance: for all nodes. $|h_L - h_R| \leq 1$

PRELIMINARY TO SPLAY TREES → INSERT AT ROOT .

YOU KNOW: **INSERT AT LEAF**

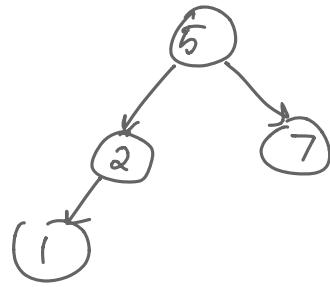
- ↳ Insert a new value into the tree as a leaf following BST condition.

INSERT AT ROOT :

① INSERT VALUE AT LEAF .

TASK : Simulate on insertion of 0.

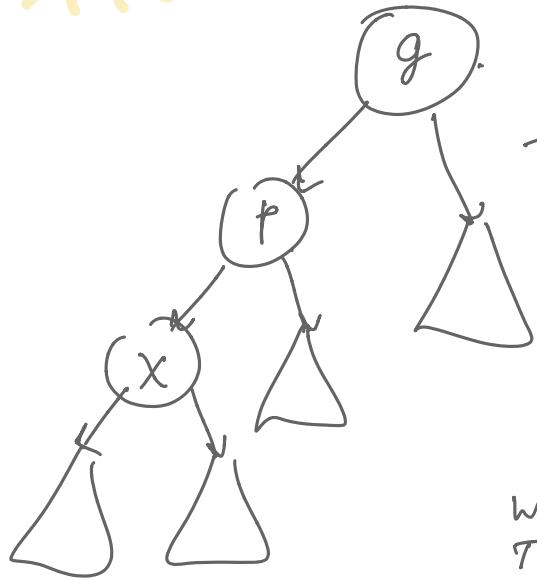
② Rotate in opposition to what side you recursed on e.g. $t \rightarrow \text{left} = \text{insert}(v, t \rightarrow \text{left})$ \Rightarrow ROTATE **RIGHT**!



SPLAY TREES

Same insertion method as insertion at root.

EXCEPT!



THEN

- ① Rotate right on g node (rotates on $g \rightarrow p$ edge)
- ② Rotate right on p node (rotates on $p \rightarrow x$ edge)

What would an insertion at root do?

WE CALL THIS MODIFIED VERSION OF AMENDING THE TREE THE SPLAY OPERATION.

On insertion of a value \rightarrow insert at leaf \rightarrow perform SPLAY.

On finding a node \rightarrow perform SPLAY to closest target.



VS.



- locality "more likely" to search again.
- $\Theta(\log n)$ "amortized" time.
- Suppose you search for nodes in ascending order. Complexity?

AUGMENTING DATA STRUCTURES

- Adding some EXTRA data on an existing structure for efficient implementation of ops.
e.g. DOUBLY LINKED LISTS.

The AVL TREE

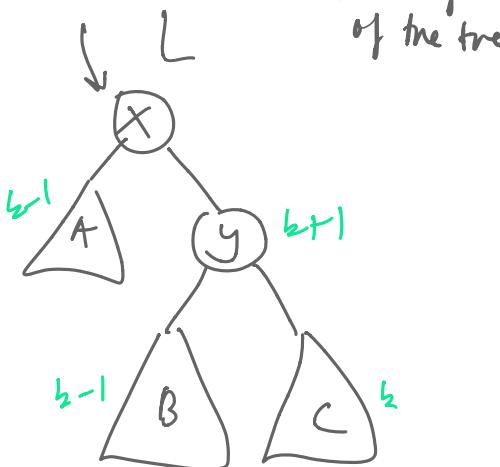
Motivation - if we can keep the height of the tree 'h' bounded above by $\log n$ then subsequent operations on the tree proportional to 'h' are bounded by $\log n$ \Rightarrow worst case $O(\log n)$ operations!

GOAL - Insert $O(h \log n) \leftarrow O(h)$
Search $O(h \log n) \leftarrow O(h)$

OBSERVATION - For all nodes in the tree
let $h_L = \text{height}(\text{node} \rightarrow \text{left})$
 $h_R = \text{height}(\text{node} \rightarrow \text{right})$
then maintain invariant :

$$|h_L - h_R| \leq 1$$

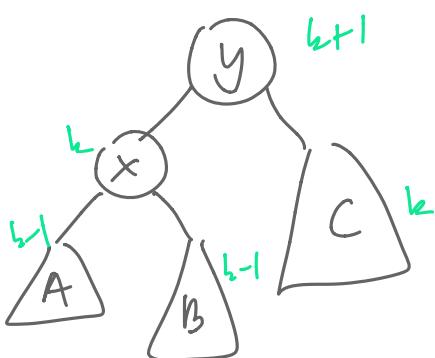
consider tree



h - height of the tree.

- ① let x be the node that first violates the AVL conditions.
- ② Assume x is 'right heavy' (taller on the right)
- ③ Perform left rotation to counteract.
- ④ Similar idea if x is 'left heavy' (symmetric)

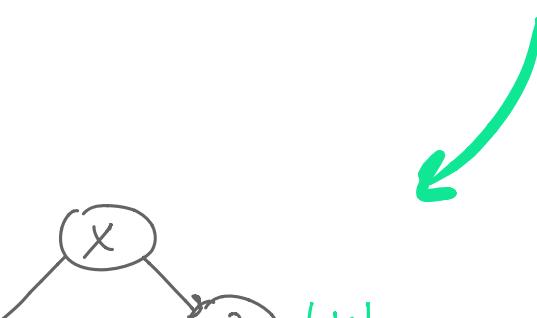
rotateL(x)

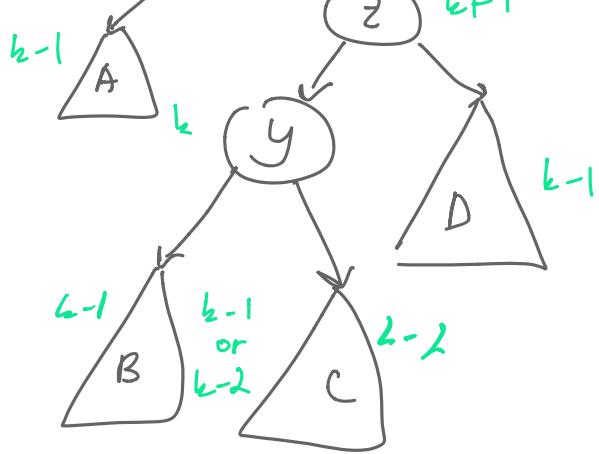


HANG ON...

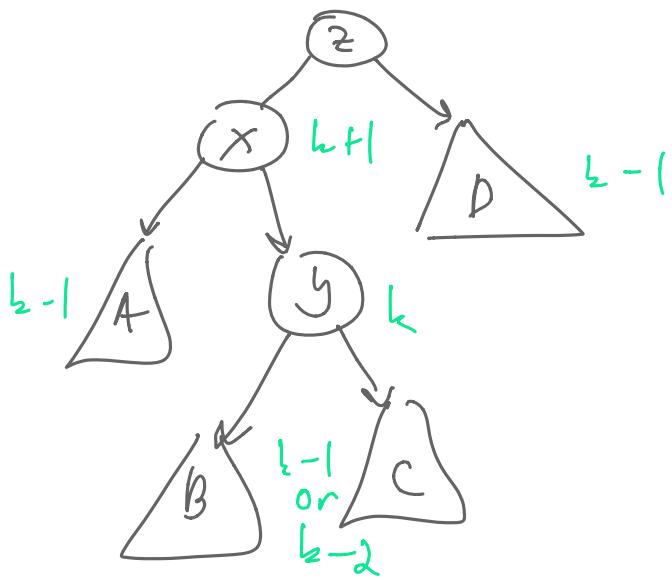
Do we just rotate in opposition to the side that's taller?

→ most cases yes, but consider...





Ok... I just wrote left+... right?



Why did this happen?

Before we were right-right
now we're right-left+!

↳ rotate to be right-right.

Notice before we rotate left, it would be good to rebalance the $z \rightarrow y$ edge e. Here ① rotateR(z)

② rotateL(x)



OKAY, TWO DOWN
ONE TO GO!



Ok... does this do anything?

Worst case when every node has height and height differ by 1. Let N_H be number of nodes in height- h AVL tree.

$$\underset{\text{root}}{\nearrow} N_h = N_{h-1} + N_{h-2} + 1 \underset{\text{differ by 1.}}{\nearrow} \text{root node}$$

$$> 2N_{h-2} \quad (\text{solve the recurrence})$$

$$N_H > 2^{h/2}$$

$$\log(N_H) > \log(2^{h/2})$$

$$2\log(N_H) > h \Rightarrow \frac{h < 2\log(N_H)}{\log(n)}$$

which is n!!

Okay... last balanced tree!

2 - 3 - 4 TREES

Motivation: Construct a height balanced tree that performs well w.r.t. cache utilization.

Applications: Linux ext3/4 Filesystem, Database implementation, Dis. FS (systems!)

(new document)

