

Problem:

Write the algorithm to solve Roothan-Hall matrix equation!

Answer:

The algorithm to solve this Roothan-Hall matrix equation is called self-consistent field (SCF) method.

### 1. Preprocessing

The input of this equation is one-electron and two electron integral, in detail such as:

- nuclear repulsion energy ( $E_{nuc}$ )
- overlap integrals ( $S_{\mu\nu}$ )
- one-electron kinetic energy integrals ( $T_{\mu\nu}$ )
- one-electron nuclear repulsion integrals ( $V_{\mu\nu}$ )  $\rightarrow$  with  $T_{\mu\nu}$  form the core Hamiltonian matrix ( $H_{\mu\nu}^{core} = T_{\mu\nu} + V_{\mu\nu}$ )
- two-electron repulsion integrals ( $\mu\nu | \lambda\sigma$ )

### 2. Orthogonalization of the basis set: the $S^{-1/2}$ matrix

a. Diagonalize the overlap matrix,  $S$ ,

$$SL_S = L_S \Lambda_S$$

where  $L_S$  is the matrix of eigenvectors and  $\Lambda_S$  is a diagonal matrix (eigenvalues)

b. Build the symmetric orthogonalization matrix,  $S^{-1/2}$ , defined as

$$S^{-1/2} = L_S \Lambda_S^{-1/2} \tilde{L}_S$$

$\tilde{L}_S$  is transpose of the matrix

### 3. The initial (guess) of density matrix

a. Form an initial Fock matrix  $F'_0$ , in the orthonormal basis using the core Hamiltonian

$$F'_0 = S^{-1/2} H^{core} S^{-1/2}$$

b. Diagonalize  $F'_0$ , such that

$$F'_0 C'_0 = C'_0 \epsilon_0$$

c. Transform the resulting eigenvectors into the original (non-orthonormal) basis,

$$C_0 = S^{-1/2} C'_0$$

d. Construct the initial-guess density matrix from  $C_0$

$$D_{\mu\nu}^0 = \sum_m^{occ} (C_0)_\mu^m (C_0)_\nu^m$$

where m is indexes column of  $C_0$  and the summation includes only occupied (spatial) molecular orbitals.

e. Compute the electronic and total (Born-Oppenheimer) energies

$$E_{elec}^0 = \sum_{\mu\nu}^{AO} D_{\mu\nu}^0 (H_{\mu\nu}^{core} + F_{\mu\nu}) \quad \& \quad E_{total}^0 = E_{elec}^0 + E_{nuc}$$

where 0 denotes the initial SCF energy.

#### 4. The SCF iteration

after the initial guess we can iterate the same procedure, after check the convergence if the energy and density matrix result.

- a. For a new matrix,  $F$ , that includes the two-electron integral contributions,

$$F_{\mu\nu} = H_{\mu\nu} + \sum_{\lambda\sigma}^{AO} D_{\lambda\sigma} [2(\mu\nu|\lambda\sigma) - (\mu\lambda|\nu\sigma)]$$

where the double summation runs over all the basis functions.

- b. Transform the new Fock matrix to the orthonormal basis

$$F' = S^{-1/2} F S^{-1/2}$$

- c. Diagonalize the transformed Fock matrix

$$F' C' = C' \epsilon$$

- d. Transform the resulting eigenvectors into the original basis

$$C = S^{-1/2} C'$$

- e. Construct the new density matrix  $C$

$$D_{\mu\nu}^i = \sum_m^{occ} (C)_{\mu}^m (C)_{\nu}^m$$

where  $i$  denotes the current iteration

- f. Compute the new electronic and total energies

$$E_{elec}^i = \sum_{\mu\nu}^{AO} D_{\mu\nu}^i (H_{\mu\nu}^{core} + F_{\mu\nu}) \quad \& \quad E_{total}^i = E_{elec}^i + E_{nuc}$$

- g. Test convergence of the density matrix and the electronic energy

$$rms_D = [\sum_{\mu\nu}^{AO} (D_{\mu\nu}^i - D_{\mu\nu}^{i-1})^2]^{1/2} < \delta_1 \quad \& \quad \Delta E = E_{elec}^i - E_{elec}^{i-1} < \delta_2$$

if the root-mean-square ( $rms_D$ ) difference in the densities and/or the change in the electronic energy does not fall below the chosen thresholds, then return to the start this SCF iteration.

#### 5. Diagram of SCF

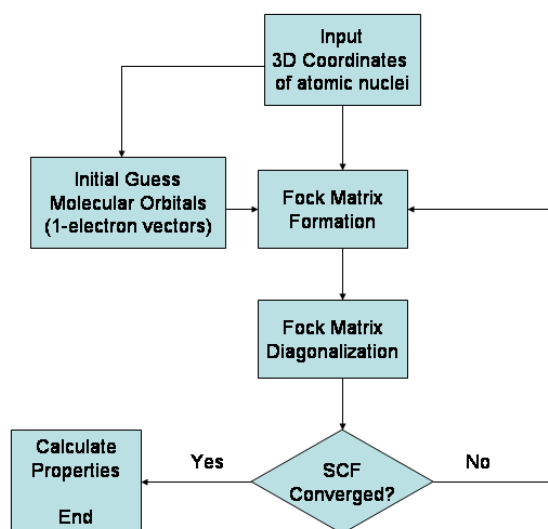


Image source: wikipedia

Ref: lecture notes Dr. Yukio Yamaguchi