



DEPARTMENT OF INFORMATICS

TECHNISCHE UNIVERSITÄT MÜNCHEN

Bachelor's Thesis in Informatics

Spectral Methods to Find Small Expansion Sets on Hypergraphs

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Spektrale Methoden zum Finden kleiner Expansionsmengen auf Hypergraphen

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I confirm that this bachelor's thesis in informatics is my own work and I have documented all sources and material used.

Munich, 15. January 2019

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Abstract

The problem of finding a small Edge Expansion on a graph can also be defined on hypergraphs. In this thesis approximation algorithms for obtaining sets with a small Edge Expansion are discussed and implemented.

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1 Introduction

...

1.1 Simple Graphs

In graph theory a graph $G := (V, E)$ is defined as a set of n vertices $V = \{v_1, \dots, v_n\}$ and a set of m edges $E = \{e_1, \dots, e_m\}$ where each edge $e_i = \{v_k, v_l\} \in E$ connects two vertices $v_k, v_l \in V$. Some of the simplest graphs can be seen in ... (TODO:figure)

1.2 Hypergraphs

This thesis will deal with a generalized form of simple graphs, namely hypergraphs.

A weighted, undirected hypergraph $H = (V, E, w)$ consists of a set of n vertices $V = \{v_1, \dots, v_n\}$ and a set of m (hyper-)edges $E = \{e_1, \dots, e_m \mid \forall i \in [m] : e_i \subseteq V \wedge e_i \neq \emptyset\}$ where every edge e is a non-empty subset of V and has a positive weight $w_e := w(e)$, defined by the weight function $w : E \rightarrow \mathbb{R}_+$.

1.3 Cuts

On such graphs certain properties can be described, which are of theoretical interest but also have influence on the behaviour of a system which is described by such a graph. Some of these properties are so called cuts. A cut is described by its cut-set $\emptyset \neq S \subsetneq V$ a non-empty true subset of the vertices. Interesting cuts are for example the so called Minimum cut or the maximum cut which are defined by the minimum/maximum number of edges (or their added weight for weighted graphs) going between S and $V \setminus S$. Formally $MinCut(G) := \min_{\emptyset \subsetneq S \subsetneq V} \sum_{e \in E : \exists u, v \in e : u \in S \wedge v \in V \setminus S} w_e$. For the min-cut there exists a polynomial time (in the number of vertices) algorithm TODO: find citation The max cut problem is known to be NP hard

Sparse cut: crossing edge weights/ $\min w(S), w(V \setminus S)$ The cut on which this thesis focuses on is the so called Edge Expansion, which is the quotient of the summed weight of the edges crossing S and $V \setminus S$ and the summed weight of all the edges in S .

This is also a np hard problem ? Therefore several approximation algorithms exist, which will be shown in the following Out of Chan's proof that an algorithm with certain properties exists will be used to extract that algorithm. The involved constants will be estimated in a empirical manner by running it multiple times on different random graphs (for which algorithms are evaluated)

TODO: how to work with notation of next chapter here: minium TODO: example graphs (also example dataset?) TODO: Mincut, Sparsest Cut, Edge expansion

For normal graphs Np-Hard [Kai04]

2 Notation

The notation used in this thesis is orientated on [Cha+16].

The weight w_v of a vertex v is defined by summing up the weights of its edges: $w_v = \sum_{e \in E: v \in e} w_e$. Accordingly, a subset $S \subseteq V$ of vertices has weight $w_S := \sum_{v \in S} w_v$ and a subset $F \subseteq E$ of edges has weight $w_F = \sum_{e \in F} w_e$. The set of edges which are cut by S is defined as $\partial S := \{e \in E : e \cap S \neq \emptyset \wedge e \cap V \setminus S \neq \emptyset\}$, which contains all the edges, which have at least one vertex in S and at least one vertex in $V \setminus S$. The edge expansion of a non-empty set of vertices $S \subseteq V$ is defined by

$$\Phi(S) := \frac{w(\partial S)}{w(S)}. \quad (2.1)$$

Observe that $\forall \emptyset \neq S \subset V : 0 \leq \Phi(S) \leq 1$. The first inequality holds because the edge-weights are positive. The second inequality holds because $W(S) \geq W(\partial S)$, as $W(S)$ takes at least every edge (and therefore the corresponding weight), which is also considered by $W(\partial S)$, into account.

With this, the expansion of a graph H is defined as

$$\Phi(H) := \min_{\emptyset \subsetneq S \subsetneq V} \max\{\Phi(S), \Phi(V \setminus S)\}. \quad (2.2)$$

Here again, $0 \leq \Phi(H) \leq 1$ holds. For not connected graphs $\Phi(H) = 0$, which can be verified by observing a S which only contains vertices of one connection component. Therefore, only connected graphs shall be of interest here. Observe that for a graph H , which is obtained by connecting two connection components with edge with small weight, $\Phi(H)$ takes a small value. For a fully connected graph with equal edge-weights, ∂S (and therefore $\Phi(S)$) will be big for every $S \subsetneq V$.

The weight matrix can be denoted as

$$W = \begin{pmatrix} w_{v_1} & 0 & 0 & \dots & 0 \\ 0 & w_{v_2} & 0 & \dots & 0 \\ 0 & 0 & w_{v_3} & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & w_{v_n} \end{pmatrix} \in \mathbb{R}_{0+}^{n \times n}$$

The discrepancy ratio of a graph, given a non-zero vector $f \in \mathbb{R}^V$ is defined as

$$D_w(f) := \frac{\sum_{e \in E} w_e \max_{u,v \in e} (f_u - f_v)^2}{\sum_{u \in V} w_u f_u^2}$$

. In the weighted space, in which the discrepancy ratio is defined like above, for two vectors $f, g \in \mathbb{R}^V$ the inner product is defined as $\langle f, g \rangle_w := f^T W g$. Accordingly, the norm is $\|f\|_w = \sqrt{\langle f, f \rangle_w}$. If $\langle f, g \rangle_w = 0$, f and g are said to be orthonormal in the weighted space.

3 Algorithms

In the following chapter different approaches for generating small expansion sets S will be discussed. TODO: why $\phi(S)$ and not $\phi(H)$?

3.1 Brute force

One obvious approach is to brute-force the problem:

Algorithm 1 Brute-force

```

best_S := null
lowest_expansion := inf
for  $\emptyset \neq S \subsetneq V$  do
    expansion :=  $\Phi(S)$ 
    if expansion < lowest_expansion then
        lowest_expansion := expansion
        best_S := S
return best_S

```

Correctness: This as this algorithm iterates over all $\emptyset \neq S \subsetneq V$, it computes $\arg \min_{\emptyset \neq S \subsetneq V} \Phi(S)$.

TODO: what else to prove?

Complexity: There are $2^{|V|} - 2 = 2^n - 2 \in O(2^n)$ combinations for $\emptyset \neq S \subsetneq V$, namely all the $2^{|V|}$ subsets of V excluding the empty set \emptyset and V itself. Therefore, this algorithm is of exponential time complexity in n and is therefore not efficient for larger graphs.

TODO: refine brute-force to only $\phi(S)$ not $\phi(H)$ possibly with $a < |S| < b$

3.2 Orthonormal vectors

As described in [Cha+16], the following algorithm can be used:

Fact 3.2.1 *Theorem 6.6 in [Cha+16] Given an a hypergraph $H = (V, E, w)$ and k vectors f_1, f_2, \dots, f_k which are orthonormal in the weighted space with $\max_{s \in [k]} D_w(f_s) \leq \xi$, the*

Algorithm 2 Small Set Expansion (according to Algorithm 1 in [Cha+16])

```

function SMALLSETEXPANSION( $G := (V, E, w), f_1, \dots, f_k$ )
  assert  $\xi == \max_{s \in [k]} \{D_w(f_s)\}$ 
  assert  $\forall f_i, f_j \in \{f_1, \dots, f_k\} \subset \mathbb{R}^n, i \neq j : f_i$  and  $f_j$  orthonormal in weighted space
  for  $i \in V$  do
    for  $s \in [k]$  do
       $u_i(s) := f_s(i)$ 
  for  $i \in V$  do
     $\tilde{u}_i := \frac{u_i}{\|u_i\|}$ 
   $\hat{S} := \text{ORTHOGONALSEPARATOR}(\{\tilde{u}_i\}_{i \in V}, \beta = \frac{99}{100}, \tau = k)$ 
  for  $i \in \hat{S}$  do
    if  $\tilde{u}_i \in \hat{S}$  then
       $X_i := \|u_i\|^2$ 
    else
       $X_i := 0$ 
   $X := \text{sort list}(\{X_i\}_{i \in V})$ 
   $V := [i]_{\text{in order of } X}$ 
   $S := \arg \min_{\{P: O \text{ is prefix of } V\}} \phi(O)$ 
  return  $S$ 

```

following holds. algorithm 2 constructs a random set $S \subsetneq V$ in polynomial time such that with $\Omega(1)$ probability, $|S| \leq \frac{24|V|}{k}$ and

$$\phi(S) \leq C \min\{\sqrt{r \log k}, k \log k \log \log k \sqrt{\log r}\} \cdot \sqrt{\xi},$$

where C is an absolute constant and $r := \max_{e \in E} |e|$.

With the following way to create orthonormal vectors, algorithm ... can be executed. This results in ... according to ...

$$\begin{aligned}
& \underset{g}{\text{minimize}} && \text{SDPval} := \sum_{e \in E} w_e \max_{u, v \in e} \|\vec{g}_u - \vec{g}_v\|^2 \\
& \text{subject to} && \sum_{u \in V} w_u \|\vec{g}_u\|^2 = 1, \\
& && \sum_{u \in V} w_u f_i(u) \vec{g}_u = \vec{0}, \quad \forall i \in [k-1]
\end{aligned} \tag{3.1}$$

SDP

So for a given Graph H we can find a small expansion set with the following algorithm:

Algorithm 3 Orthogonal Separator (combination of Lemma 18 and algorithm Theorem 10 in [LM14] (also Fact 6.7 in [Cha+16]))

```

function ORTHOGONALSEPARATOR( $\{\tilde{u}_i\}_{i \in V}, \beta = \frac{99}{100}, \tau = k$ )
   $l := \lceil \frac{\log_2 k}{1 - \log_2 k} \rceil$ 
   $g \sim \mathcal{N}(0, I_n)$  where each component  $g_i$  is mutually independent and sampled
  from  $\mathcal{N}(0, 1)$ 
   $w := \text{SAMPLEASSIGNMENTS}(l, V, \beta)$ 
  for  $i \in V$  do
     $W(u) := w_1(u)w_2(u) \cdots w_j(u)$ 
  if  $n \geq 2^l$  then
     $word := \text{random}(\{0, 1\}^l)$  uniform
  else
     $words := \text{set}(w(i) : i \in V)$  no multiset
     $words \cup = \{w_1, \dots, w_{|V| - |words|} \in \{0, 1\}^l\}$  random choice
     $word := \text{random}(words)$  uniform
   $r := \text{uniform}(0, 1)$ 
   $S := \{i \in V : \|i\|^2 \geq r \wedge W(u) = word\}$ 
  return  $S$ 

```

Algorithm 4 Sample Assignments (proof of Lemma 18 in [LM14])

```

function SAMPLEASSIGNMENTS( $l, V, \beta$ )
   $\lambda := \frac{1}{\sqrt{\beta}}$ 
  for  $j = 1, 2, \dots, l$  do
    for  $i \in V$  do
       $t_i := \langle g, \tilde{u}_i \rangle$ 
       $\text{poisson\_count}_i := N(t_i, \lambda)$  where  $N$  is a poisson process on  $\mathbb{R}$  (same pro-
      cess for each call)
      if  $\text{poisson\_count}_i \bmod 2 == 0$  then
         $w_j(i) := 1$ 
      else
         $w_j(i) := 0$ 
  return  $w$ 

```

Algorithm 5 Rounding Algorithm for Computing Eigenvalues (Algorithm 3 in [Cha+16])

```

function SAMPLERANDOMVECTORS( $H$ )
  Solve SDP 3.1 to generate vectors  $\vec{g}_v \in \mathbb{R}^n$  for  $v \in V$ 
   $\vec{z} := \text{sample}(\mathcal{N}(0, 1^n))$ 
  for  $v \in V$  do
     $f(v) := \langle \vec{g}_v, \vec{z} \rangle$ 
  return  $f$ 

```

Algorithm 6 Find Small Expansion Set

```

function SES( $H$ )
   $f := \text{SAMPLERANDOMVECTORS}(H)$ 
  return SMALLSETEXPANSION( $H, f$ )

```

4 Random Hypergraphs

The initial intention for creating random r -uniform, d -regular, connected hypergraphs in an effective manner which is guaranteed to terminate showed to be not as a trivial task, which is why several different approaches will be used and their resulting graphs also characterized by their edge expansion

To start with, a simple algorithm to generate graphs which follows some of the intentions will be discussed:

Algorithm 7 Generate random graph

```
function GENERATERANDOMGRAPH( $n, rank, numberEdges, weightDistribution$ )  
   $E := \emptyset$   
   $V := \{v_1, \dots, v_n\}$   
  for  $1, \dots, numberEdges$  do  
     $nextEdgeVertices := sample(V, rank)$  ▷ draw without replacement  
     $nextEdgeWeight := sample(weightDistribution)$   
     $nextEdge := (nextEdgeVertices, nextEdgeWeight)$   
     $E := E \cup \{nextEdge\}$ 
```

The algorithm is terminating, quick and the resulting graph is r -uniform and all the possible graphs can be constructed. But it might never sample one vertex $v \in V$, therefore the rank of this vertex will be 0 which does not make the graph regular and also not connected. Also, it does not guarantee to have no doubled edges.

This idea can be improved by ensuring the degree of the vertices do not exceed d :

The algorithm is terminating, quick and the resulting graph is r -uniform and all the possible graphs can be constructed. However it is not guaranteed that this graph is connected and it is possible that some ($< rank$) vertices do not have degree d in the end, because they have not been sampled before. TODO: example Also, it does not guarantee to have no doubled edges.

To overcome these problems, the edges could only be sampled from the vertices with the smallest degrees:

The algorithm is guaranteed to terminate, quick and the resulting graph is r -uniform and d -regular. However, not all the possible graphs can be constructed: This algorithm basically constructs the edges by d r -matchings. But not every graph can be dissembled

Algorithm 8 Generate random graph

```

function GENERATERANDOMGRAPH( $n, rank, d, weightDistribution$ )
   $E := \emptyset$ 
   $V := \{v_1, \dots, v_n\}$ 
  while  $|\{v \in V | deg(v) < d\}| \geq rank$  do
     $nextEdgeVertices := sample(\{v \in V | deg(v) < d\}, rank)$   $\triangleright$  draw without
replacement
     $nextEdgeWeight := sample(weightDistribution)$ 
     $nextEdge := (nextEdgeVertices, nextEdgeWeight)$ 
     $E := E \cup \{nextEdge\}$ 

```

Algorithm 9 Generate random graph

```

function GENERATERANDOMGRAPH( $n, rank, d, weightDistribution$ )
   $E := \emptyset$ 
   $V := \{v_1, \dots, v_n\}$ 
  while  $|\{v \in V | deg(v) < d\}| \geq rank$  do
     $smallestDegreeVertices := \{v \in V | deg(v) = \min_{u \in V} deg(u)\}$ 
    if  $|smallestDegreeVertices| \geq rank$  then
       $nextEdgeVertices := sample(smallestDegreeVertices, rank)$   $\triangleright$  draw without
replacement
    else
       $secondSmallestDegreeVertices := \{v \in V | deg(v) = \min_{u \in V} deg(u) + 1\}$ 
       $nextEdgeVertices := sample(secondSmallestDegreeVertices, rank -$ 
 $|smallestDegreeVertices|)$ 
       $nextEdgeVertices := smallestDegreeVertices \cup nextEdgeVertices$ 
       $nextEdgeWeight := sample(weightDistribution)$ 
       $nextEdge := nextEdgeVertices$ 
       $E := E \cup \{nextEdge\}$ 
       $w(e) := nextEdgeWeight$ 
  return  $G := (V, E, w)$ 

```

into d r -matchings. Again this graph is not necessarily connected and some edges might be doubled.

To solve this, there are several options: One could i) resample whole graph (if probability is $> \text{constant}$), losing the terminating property. ii) resample some edges (from different connection components), ideally only strongly connected vertices, also losing the terminating property. Proof: all vertices are strongly connected to their

connection component? iii) creating a spanning tree first and then sampling further
i)

Algorithm 10 Generate random graph

```

function GENERATERANDOMGRAPH( $n, rank, d, weightDistribution$ )
   $G := GENERATERANDOMGRAPH(n, rank, d, weightDistribution)$ 
  while  $\neg \text{Connected}(G)$  or  $\exists e, f \in E. e = f$  do
     $G := GENERATERANDOMGRAPH(n, rank, d, weightDistribution)$ 
  return  $G := (V, E)$ 

```

ii)

Algorithm 11 Generate random graph

```

function GENERATERANDOMGRAPH( $n, rank, d, weightDistribution$ )
   $G := GENERATERANDOMGRAPH(n, rank, d, weightDistribution)$ 
  while  $\neg \text{Connected}(G)$  or  $\exists e, f \in E. e = f$  do
     $e, f := \text{sample}(E, 2)$ 
     $u := \text{sample}(e)$ 
     $v := \text{sample}(f)$ 
     $e := (e \cup \{v\}) \setminus \{u\}$ 
     $f := (f \cup \{u\}) \setminus \{v\}$ 
  return  $G := (V, E, w)$ 

```

iii)

However it is not guaranteed that this graph is connected and it is possible that some ($< rank$) vertices do not have degree d in the end, because they have not been sampled before.

TODO: define quick

TODO: Discuss different approaches of generating, their limitations

TODO: Analyze Φ for different random- classes? (and explain?)

4.1 random edges with discard if not connected

4.2 connected edges with discard if not connected or not regular

Algorithm 12 Generate random graph

```

function GENERATERANDOMGRAPH( $n, rank, d, weightDistribution$ )
   $V := \{v_1, \dots, v_n\}$ 
   $E := choice(V, rank)$ 
  while  $\{v \in V | deg(v) = 0\} \neq \emptyset$  do
    if  $|\{v \in V | deg(v) = 0\}| \geq rank$  then
       $nextEdgeTreeVertex := choice(\{v \in V | deg(v) = 1\})$   $\triangleright$  get one tree node
       $nextEdgeVertices := choice(\{v \in V | deg(v) = 0\}, rank - 1) \cup$ 
 $\{nextEdgeTreeVertex\}$ 
    else
       $nextEdgeVertices := \{v \in V | deg(v) = 0\} \cup choice(\{v \in V | deg(v) >$ 
 $0\}, |\{v \in V | deg(v) = 0\}|)$ 
       $nextEdgeWeight := sample(weightDistribution)$ 
       $nextEdge := nextEdgeVertices$ 
       $E := E \cup \{nextEdge\}$ 
       $w(e) := nextEdgeWeight$ 
    while  $|\{v \in V | deg(v) < d\}| \geq rank$  do
       $smallestDegreeVertices := \{v \in V | deg(v) = \min_{u \in V} deg(u)\}$ 
      if  $|smallestDegreeVertices| \geq rank$  then
         $nextEdgeVertices := sample(smallestDegreeVertices, rank)$   $\triangleright$  draw without
replacement
      else
         $secondSmallestDegreeVertices := \{v \in V | deg(v) = \min_{u \in V} deg(u) + 1\}$ 
         $nextEdgeVertices := sample(secondSmallestDegreeVertices, rank -$ 
 $|smallestDegreeVertices|)$ 
         $nextEdgeVertices := smallestDegreeVertices \cup nextEdgeVertices$ 
         $nextEdgeWeight := sample(weightDistribution)$ 
         $nextEdge := nextEdgeVertices$ 
         $E := E \cup \{nextEdge\}$ 
         $w(e) := nextEdgeWeight$ 
  return  $G := (V, E, w)$ 

```

5 Implementation

The discussed algorithms were implemented in order to verify the results.

5.1 Technologies

The focus of the implementation was less on performance optimization but on demonstrating feasibility. Therefore, Python 3 (todo: citation) in combination with several libraries was used. For vector representation and operations, NumPy proved useful and was therefore used. In order to optimize the SDP (todo: cite), the commonly used SciPy was chosen over tools like cvxpy or cvxopt as their implementation proved problematic due to lack of information about them as they are less known.

For storing the results of the evaluation, Pickle was used. In order to create graphs, Matplotlib, in special PyPlot was utilized.

5.2 Code

For representing hypergraphs, a(n?) own implementation was created in order to comfortably being able to implement the graph creation algorithms as well as the small expansion algorithms. Therefore, several classes were used to represent the graph.

In order to represent the vertices, the class `*vertex*` is used. As important attributes, it contains the set of edges it belongs to and the vertex's weight w_v for a vertex v (defined like equation ...). Except for its constructor, the method `*add_to_edge*` is used by the construction algorithms when a new edge, containing v , is added. Additionally, for the resampling in algorithm ..., the method `*recompute_weights_degrees*` is needed to update the attributes of the vertex after an edge is changed.

Edges are represented by the class `*edge*`, which contains an attribute `*weight*` to represent the weight w_e of an edge e and the set of vertices.

A whole Graph H is encapsuled by the class `*graph*`, which contains sets of the vertices as well as edges and as needed for the graph construction algorithm ... also a set of the connection components of the graph.

Connection components are represented by the class `*ConnectionComponent*`, which contains the set of vertices in that component.

Furthermore, to generate small expansions, a static poisson process in positive as well as negative time is required. Therefore, the class **Poisson_Process** was created. The constructor takes λ and then calculates the times when the events happened after as well as before the time 0. With the method **get_number_events_happened_until_t**, the number of events which happened between $t_0 = 0$ and the given t is returned.

The implementation can be found on ...

6 Evaluation

6.1 Graph size

For evaluating the implementation of the algorithm ... (chan's) in comparison to the brute-force solution, the maximal size of graphs (in n) which can be brute-forced in a reasonable time is determined. In general it is favourable to generate as large as possible graphs, for not needing to extrapolate ... However, the brute forcing time correlates with around n^8 (see ...), so with the available resources, it already takes ...s for $n=...$

For estimating the constant in theorem ..., the a plot of as many graphsh as possible seems to be ideal. So not only for brute-forcing but also for executing algorithm ... oftenly (...), the graph shouldn't be too big, as the (improveable) time complexity of the implementation shows to be at around ... $n...$

As the graphs generated by the algorithms ... have regular? ranks and uniform degrees, it needs to be determined which combination of r and d should be chosen. For easier evaluation of performance depending on the number of vertices, the rank and degree were chosen to be $r = 3$ and $d = 3$. This can't be chosen freely, as For other combinations no r -uniform d -regular graphs exist on .. vertices, as $nd = mr$. This can be verified in the following way: If a "connection" is defined to be the where an edge and a vertex connect, one can count these connections from the vertices' perspective by summing up the degrees of all the vertices (which equals to $n * r$ for uniform/regular graphs). But one can also consider the count of connections from the edges perspective by summing up the ranks of the edges, which equates to $m * r$ for uniform graphs. (todo: source) As all of the variables in equation... need to be non-negative integers, one can ensure to never violate that constraint for any n by setting $d = r$.

What time is reasonable is influenced by the following trade-off: either one wants to know the expansion of

in conclusion 1 minute seems to be a reasinable time for one run of the algorithm.

So the size of the graph is fixed to 20.

In order to not generate very dense graphs but also demonstrate the hypergraph property, the rank of edges is set to 3 and the degree of vertices is set to be ...

6.2 random Generation methods

As the expansion for not connected graphs is always 0, only algorithms which guarantee connected graphs will be considered.

As it proved difficult to re-generate graphs... only the three algorithms ... , ... and ... will be used. The expansion of the graphs will be evaluated against each other via brute-force and using the approximation alorithm as well.

The edge-weight distribution is always set to be a uniform distribution on $[0.1, 1.1]$

6.3 title

TODO: find constants by analyzing quality? Analyze runtime of code?

7 Applications

TODO: groups in social network discussions (how to cite discussion?) Learning?

Rummikub: which stones fit to others (creating a hypergraph)

8 Resume and Further Work

Improve implementation time complexity (use different solver?) Estimate constant more efficiently Implement and compare to other algorithms Evaluate on other graphs size, denser / less dense, weights, different way of generating

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