

F. L. Nazarov's paper  
Local Estimates of Exponential Polynomials and Their  
Applications to Inequalities of Uncertainty Principle Type

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**Abstract**

This is a learning note about Nazarov's paper(see [\[1\]](#)).

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**Definition 1.** *An exponential polynomial is*

$$p(t) = \sum_{k=1}^n c_k e^{\lambda_k t} \quad (c_k \in \mathbb{C}, \lambda_k \in \mathbb{C}).$$

The main purpose of the first part of the paper is to establish the following inequality

$$\sup_{t \in I} |p(t)| \leq \left( \frac{A\mu(I)}{\mu(E)} \right)^{n-1} \sup_{t \in E} |p(t)|, \quad (1)$$

where  $I \subset \mathbb{R}$  is an interval,  $E \subset I$  is a measurable set of positive Lebesgue measure and  $A$  is an absolute constant.

# 1 The Turan lemma: original form

The following lemma was derived by Turan (see [2]).

**Theorem 1.** *Let  $z_1, \dots, z_n$  be complex numbers,  $|z_j| \geq 1, j = 1, \dots, n$ . Let*

$$b_1, \dots, b_n \in \mathbb{C}, \quad S_m \stackrel{\text{def}}{=} \sum_{k=1}^n b_k z_k^m \quad (m \in \mathbb{Z}).$$

Then

$$|S_0| \leq n \left( \frac{2e(m+n-1)^{n-1}}{n} \right) \max_{k=m+1}^{m+n} |S_k| \leq \left( \frac{4e(m+n-1)}{n} \right)^{n-1} \max_{k=m+1}^{m+n} |S_k| \quad (2)$$

for all  $m \in \mathbb{Z}_+$ .

*Proof.* To prove the lemma, we need to construct a polynomial  $q(z) = 1 + \sum_{k=1}^n \gamma_k z^{m+k}$  such that

(1)  $q(z_j) = 0$  for each  $j = 1, \dots, n$  and

(2)  $\sum_{k=1}^n |\gamma_k| \leq n \left( \frac{2e(m+n-1)}{n} \right)^{n-1}$ .

Let

$$q(z) = \prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right) \sigma_m(z),$$

where  $\sigma_m(z)$  is the  $m$ -th partial sum of the series  $\prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right)^{-1} = \sum_{k=0}^{\infty} \beta_k z^k$ , i.e.

$$\sigma_m(z) = \sum_{k=1}^m \beta_k z^k.$$

By definition, we have

$$1 = \prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right) \sum_{k=0}^{\infty} \beta_k z^k.$$

This identity implies that the  $s$ -th coefficient in the expansion of the right side depends only on  $\beta_{s-n}, \dots, \beta_s$ . Hence the coefficients at the powers  $z, z^2, \dots, z^m$  of  $q(z) = \prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right) \sigma_m(z)$  all vanish (since they only depend on  $\sigma_m(z)$ ). Recalling the Taylor expansion

$$(1-z)^{-n} = \sum_{k=0}^{\infty} \frac{(k+n-1)!}{k!(n-1)!} z^k,$$

hence we have ( by using the condition  $|z_j| \geq 1$  and assuming  $|z| < 1$ )

$$\left| \prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right)^{-1} \right| \leq (1-|z|)^{-n} = \sum_{k=0}^{\infty} \frac{(k+n-1)!}{k!(n-1)!} |z|^k.$$

Thus, all coefficients of  $\sigma_m(z)$  do not exceed<sup>1</sup>

$$\frac{(m+n-1)!}{m!(n-1)!} \leq \left( \frac{e(m+n-1)}{n} \right)^{n-1}.$$

Then we get the estimates

$$|\gamma_k| \leq \left( \frac{e(m+n-1)}{n} \right)^{n-1} \sum_{s=k}^n \binom{n}{s}$$

and

$$\sum_{k=1}^n |\gamma_k| = \frac{1}{2} \sum_{k=1}^n (|\gamma_k| + |\gamma_{n+1-k}|) \leq 2^{n-1} n \left( \frac{e(m+n-1)}{n} \right)^{n-1}.$$

Now we've constructed the desired polynomial  $q(z)$ .

Since

$$\begin{aligned} S_0 &= b_1 + b_2 + \cdots + b_n \\ &= \sum_{j=1}^n b_j \cdot 1 \\ &= \sum_{j=1}^n \left( - \sum_{k=1}^n \gamma_k z_j^{m+k} \right) \\ &= - \sum_{k=1}^n \gamma_k S_{m+k}. \end{aligned} \tag{3}$$

Hence the estimates above and (3) complete the proof. □

Recalling the definition of an exponential polynomial

$$p(t) = \sum_{k=1}^n c_k e^{i\lambda_k t},$$

now let  $t_k = t_0 + k\delta$ , we have

$$p(t_k) = \sum_{j=1}^n c_j e^{i\lambda_j(t_0+k\delta)} = \sum_{j=1}^n b_j (e^{i\lambda_j\delta})^k = \sum_{j=1}^n b_j z_j^k,$$

where  $z_j = e^{i\lambda_j\delta}$  and  $b_j = c_j e^{i\lambda_j t_0}$ . Then we can use the lemma directly and get

$$|p(t_0)| \leq \left\{ \frac{4e(m+n-1)}{n} \right\}^{n-1} \max_{k=m+1}^{m+n} |p(t_k)|. \tag{4}$$

Now the inequality (1) for the case where  $E$  is an interval can be derived in an almost immediate way (with the constant  $A=4e$ ).

Using the same idea in

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<sup>1</sup>Here needs some estimates: we need to prove

$$\binom{n}{k} \leq \left( \frac{en}{k+1} \right)^k.$$

This inequality can be proved by induction.

**Theorem 2.** *Let  $I$  be an interval, let  $E \subset I$  be a measurable set of positive Lebesgue measure. Then*

$$\max_{t \in I} |p(t)| \leq 2^n \left( \frac{\mu(I)}{\mu(E)} \right)^{2n^2} \max_{t \in E} |p(t)|. \quad (5)$$

*Proof.* By (4), the following inequality

$$\max_{t \in I} |p(t)| \leq 2^n \max_{t \in E} |p(t)| \quad (6)$$

holds if  $t_0$  is the first term of the arithmetic progression  $t_k = t_0 + k\delta$  ( $k = 0, \dots, n$ ) with all other terms belonging to  $E$ . The point of the proof is to find a set  $E_1$  that is "close" to  $E$  and we can choose a  $\delta$  such that all  $t_k$ 's belongs to  $E$ .

**Step 1.** Let  $J \subset I$  is an open interval and

$$\mu(E \cap J) > \left(1 - \frac{1}{2n}\right) \mu(J).$$

Let  $t_0 \in J$  be any fixed point. Such a point  $t_0$  splits the interval  $J$  into two subintervals  $J_-$  and  $J_+$ . At least one of them, let's say  $J_+$  has the property

$$\mu(E \cap J_+) > \left(1 - \frac{1}{2n}\right) \mu(J).$$

Let  $\varphi(t) = \chi(t)$  be the characteristic function of  $J_+ \setminus E$ , then by applying the lattice averaging lemma we see that the average number of points  $t_k = t_0 + k\delta$  ( $k \in \mathbb{N}$ ) belonging to  $J_+ \setminus E$  as  $\delta$  runs over the interval  $\left(\frac{\mu(J_+)}{2n}, \frac{\mu(J_+)}{n}\right)$  is (here we write  $\frac{\mu(J_+)}{2n}$  as  $s$ )

$$\begin{aligned} \frac{\int_s^{2s} \sum_{k \in \mathbb{Z} \setminus \{0\}} \varphi(k\delta) d\delta}{\int_s^{2s} d\delta} &= \frac{1}{s} \int_1^2 \sum_{k \in \mathbb{Z} \setminus \{0\}} \varphi\left(k s \frac{\delta}{s}\right) s d\left(\frac{\delta}{s}\right) \\ &= \int_1^2 \sum_{k \in \mathbb{Z} \setminus \{0\}} \varphi(ksv) dv \\ &\leq \frac{1}{s} \int_{\mathbb{R}} \varphi(t) dt \\ &= \frac{2n}{\mu(J_+)} \mu(J_+ \setminus E) \\ &< 1. \end{aligned} \quad (7)$$

Hence there exists a positive  $\delta < \frac{\mu(J_+)}{n}$  such that none of the points  $t_1, \dots, t_n$  belongs to  $J_+ \setminus E$ . Since  $k\delta < \frac{k\mu(J_+)}{n} \leq 1$  and  $t_0$  is the endpoint of  $J_+$ , all these points lie in  $J_+$  and, consequently, in  $E$ . Since the choice of  $t_0 \in J$  is arbitrary, any points in  $J$  have the property that  $t_k \in E$  for each  $k = 1, \dots, n$ .

**Step 2.** Let  $E_1 = \bigcup \{J : J \subset I \text{ is open, } \mu(E \cap J) > (1 - \frac{1}{2n}) \mu(J)\}$ . Since  $E_1$  is the union of open sets,  $E_1$  itself is also open, hence, the union of disjoint open intervals. Let  $Q$  be one constituent interval of  $E_1$ , if

$$\mu(E \cap Q) > \left(1 - \frac{1}{2n}\right) \mu(Q)$$

holds, then we can find a larger open interval  $Q'$  such that  $Q' \subset Q \subset E_1$ , this contradicts the chosen of  $Q$ . Hence all the cons constituent intervals of  $E_1$  satisfy the relation

$$\mu(E \cap Q) \leq \left(1 - \frac{1}{2n}\right) \mu(Q).$$

Thus, the set  $E_1$  has the following two properties

$$\sup_{t \in E_1} |p(t)| \leq 2^n \sup_{t \in E} |p(t)|, \quad (8)$$

$$\mu(E_1) \geq \left(1 - \frac{1}{2n}\right)^{-1} \mu(E) \geq e^{\frac{1}{2n}} \mu(E) \text{ or } E_1 = I. \quad (9)$$

**Step 3.** Iterating this procedure we obtain a sequence of sets  $E_1, E_2, \dots$  such that

$$\sup_{t \in E_k} |p(t)| \leq 2^{nk} \sup_{t \in E} |p(t)|, \quad (10)$$

$$\mu(E_k) \geq e^{\frac{k}{2n}} \mu(E) \text{ or } E_k = I. \quad (11)$$

If  $k > 2n \log \frac{\mu(I)}{\mu(E)}$ , then the first case of (11) cannot occur. Therefore we obtain

$$E_{\lceil 2n \log \frac{\mu(I)}{\mu(E)} + 1 \rceil} = I,$$

whence

$$\sup_{t \in I} |p(t)| \leq 2^{(2n \log \frac{\mu(I)}{\mu(E)} + 1)n} \sup_{t \in E} |p(t)| \leq 2^n \left( \frac{\mu(I)}{\mu(E)} \right)^{2n^2} \sup_{t \in E} |p(t)|.$$

□

**Remark.** The proof of Theorem 2 is based on Theorem 1. We can regard Theorem 1 is a discrete version of Theorem 2. From the discrete version to Lebesgue measurable sets, the simplest thought is to find the discrete points which Theorem 1 can be used to. If there exists, then our problem can be solved easily. But unfortunately the arithmetic progression  $t_k$  may not exists in  $E$  for any point in  $I$ . To overcome this difficulty, we need to find an interval close to  $E$  (here the sense of "close" has exact meaning in the proof), and any point fixed  $t_0$  in this interval satisfy the condition  $t_k \in E$  for each  $k = 1, \dots, n$ . Finally, by iterating the procedure, the chosen set becomes strictly larger, and finally equals to  $I$ .

## 2 Two Usefull Lemmas

**Lemma 1.** *If  $P(z)$  is an algebraic polynomial of degree  $n$ , then*

$$\mu \left( \left\{ x \in \mathbb{R} : \left| \frac{d}{dx} \log P(x) \right| > y \right\} \right) \leq \frac{8n}{y}$$

and

$$\mu \left( \left\{ z \in \mathbb{T} : \left| \frac{d}{dz} \log P(z) \right| > y \right\} \right) \leq \frac{8n}{\pi y}.$$

**Lemma 2 (Langer Lemma).** *Let  $p(z) = \sum_{k=1}^n c_k e^{i\lambda_k z}$  ( $0 = \lambda_1 < \lambda_2 < \dots < \lambda_n = \lambda$ ) be an exponential polynomial not vanishing identically. Then the number of complex zeros of  $p(z)$  in an open vertical strip  $x_0 < \operatorname{Re} z < x_0 + \Delta$  of width  $\Delta$  does not exceed  $(n-1) + \frac{\lambda\Delta}{2\pi}$ .*

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<sup>2</sup>Here we use the inequality  $\frac{1}{e} \geq \left(1 - \frac{1}{2n}\right)^{2n}$ .

### 3 The Turan lemma for polynomials on the unit circumference

Here we shall prove inequality (1) for the case of a 1-periodic exponential polynomial  $p(t) = \sum_{k=1}^n c_k e^{2\pi i m_k t}$ , where  $c_k \in \mathbb{C}$ ,  $m_1 < \dots < m_n \in \mathbb{Z}$ , and for the segment  $I = [0, 1]$ .

**Theorem 3.** *Let  $p(z) = \sum_{k=1}^n c_k z^{m_k}$  ( $c_k \in \mathbb{C}$ ,  $m_1 < \dots < m_n \in \mathbb{Z}$ ) be a trigonometric polynomial on the unit circumference  $T$ , and let  $E$  be a measurable subset of  $\mathbb{T}$ . Then*

$$\|p\|_W \stackrel{\text{def}}{=} \sum_{k=1}^n |c_k| \leq \left( \frac{16e}{\pi} \frac{1}{\mu(E)} \right)^{n-1} \sup_{z \in E} |p(z)| \leq \left( \frac{14}{\mu(E)} \right)^{n-1} \sup_{z \in E} |p(z)|. \quad (12)$$

*Proof.*

**Step 1.** We shall construct by induction a sequence of polynomials  $p_n, p_{n-1}, \dots, p_1$  such that

- (1)  $p_n = p$ ;
- (2)  $\text{ord } p_k = k$  ( $k = 1, \dots, n$ );
- (3)  $\|p_{k-1}\|_W \geq \frac{\pi}{16} \|p_k\|_W$ ;
- (4) the ratio  $\varphi_k \stackrel{\text{def}}{=} \left| \frac{p_{k-1}}{p_k} \right|$  admits the weak type estimate  $\mu(\{z \in \mathbb{T} : \varphi_k(z) > t\}) \leq \frac{1}{t}$  for all  $t > 0$ .

The construction is as follows. Let  $p_n = p$ . The polynomial  $p_k(z) = \sum_{s=1}^k d_s z^{r_s}$  ( $r_1 < r_2 < \dots < r_k \in \mathbb{Z}$  being chosen, we introduce two polynomials

$$\underline{q} \stackrel{\text{def}}{=} \frac{d}{dz} (z^{-r_1} p_k(z))$$

and

$$\bar{q} \stackrel{\text{def}}{=} \frac{d}{dz} (z^{-r_k} p_k(z)).$$

Obviously,  $\text{ord } \underline{q} = \text{ord } \bar{q} = k - 1$ . We have

$$\|\underline{q}\|_W = \sum_{s=1}^k |d_s| (r_s - r_1), \quad \|\bar{q}\|_W = \sum_{s=1}^k |d_s| (r_k - r_s),$$

whence

$$\|\underline{q}\|_W + \|\bar{q}\|_W = (r_k - r_1) \sum_{s=1}^k |d_s| = r \|p_k\|_W,$$

where  $r \stackrel{\text{def}}{=} r_k - r_1$ . Hence at least one of the norms larger than or equal to  $\frac{r}{2} \|p_k\|_W$ . We assume  $\|\bar{q}\|_W \geq \frac{r}{2} \|p_k\|_W$  (the other case is similar). Put  $p_{k-1}(z) = \frac{\pi}{8r} \bar{q}(z)$ , then conditions (2) and (3) are satisfied. It remains to check condition (4). Since  $r_1 < r_2 < \dots < r_k \in \mathbb{Z}$ , let  $g(\frac{1}{z}) = z^{-r_k} p_k(z)$ , then  $g(z)$  is an algebraic polynomial of degree  $r$ . Then

$$\bar{q}(z) = \frac{d}{dz} (z^{-r_k} p_k(z)) = \frac{d}{dz} \left( g \left( \frac{1}{z} \right) \right) = -\frac{1}{z^2} g' \left( \frac{1}{z} \right).$$

Since  $g\left(\frac{1}{z}\right)$  is an algebraic polynomial of degree  $r$ , we can use Lemma 1 and get <sup>3</sup>

$$\mu(\{z \in \mathbb{T} : \varphi_k(z) > t\}) = \mu\left(\{z \in \mathbb{T} : \left|\frac{g'(1/z)}{g(1/z)}\right| > \frac{8r}{\pi}t\}\right) \leq \frac{1}{t}$$

since

$$\left|\frac{p_{k-1}}{p_k} = \frac{\pi}{8r} \frac{\bar{q}(z)}{p_k}\right| = \left|\frac{\pi}{8r} \frac{g'(1/z)(-1/z^2)}{g(1/z)z^{rk}}\right| = \frac{\pi}{8r} \left|\frac{g'(1/z)}{g(1/z)}\right|.$$

The above inequality also explains how the weird coefficient  $\frac{\pi}{16}$  of condition (3) chooses.

**Step 2.** Before proving the theorem, we first illustrate what the step 2 does. By step 1, we have constructed a sequence of polynomials and they have the relation

$$\|p_{k-1}\|_W \geq \frac{\pi}{16} \|p_k\|_W.$$

Hence we can get

$$\left(\frac{\pi}{16}\right)^{n-1} \|p\|_W \leq \|p_1\|_W.$$

Since  $\text{ord } p_1 = 1$ , the norm of  $p_1$  is equivalent to any  $|p_1(z)|$ . We want to get the inequality (12), that means we may need to establish the inequality between  $|p_1(z)|$  and  $|p(z)|$  for  $z \in E$ . More precisely, we want to find some point  $z_0 \in E$  such that

$$\left|\frac{p_1(z_0)}{p(z_0)}\right| < \text{some large number.} \quad (13)$$

The constant can be chosen large enough so that the measure of points which don't satisfy condition (13) is less than  $\mu(E)$ , hence cannot cover all points of  $E$ , i.e., the point  $z_0 \in E$  satisfies the condition exists.

Now we estimate the measure of the set of all points  $z \in \mathbb{T}$  for which  $|p_1(z)|$  is essentially greater than  $|p_n(z)| = |p(z)|$  (the meaning of "essentially greater" would be clear later). We have

$$\left|\frac{p_1(z)}{p_n(z)}\right| = \prod_{k=2}^n \varphi_k(z) \leq \exp\left(\sum_{k=2}^n \psi_k(z)\right),$$

where  $\psi_k(z) \stackrel{\text{def}}{=} \log_+ \varphi_k(z)$  ( $\log_+ x$  means  $\log_+ x = 0$  if  $\log x < 0$ ). The weak type estimate of  $\varphi_k$  gives the inequality

$$\mu(\psi_k > t) \leq e^{-t}$$

for all  $t > 0$ . Let  $\alpha > 0$ , we decompose  $\psi_k(z)$  into the sum of  $\eta_k(z) \stackrel{\text{def}}{=} \min(\psi_k(z), \alpha)$  and  $\omega_k(z) \stackrel{\text{def}}{=} \psi_k(z) - \eta_k(z)$ . Then  $\sum_{k=2}^n \eta_k(z) \leq \alpha(n-1)$  for all  $z \in \mathbb{T}$ . Since for a nonnegative measurable function in measure space  $(X, \mathcal{M}, \mu)$  we have  $\int f(x) d\mu(x) = \int_0^\infty \mu(f(x) > t) dt$ , we obtain

$$\int_{\mathbb{T}} \omega_k(z) d\mu(z) = \int_\alpha^\infty \mu(\psi_k > t) dt \leq \int_\alpha^\infty e^{-t} dt = e^{-\alpha}.$$

Therefore,

$$\int_{\mathbb{T}} \left(\sum_{k=2}^n \omega_k(z)\right) d\mu(z) \leq e^{-\alpha}(n-1). \quad (14)$$

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<sup>3</sup>In Lemma 1, the term  $\left|\frac{P'(z)}{P(z)}\right|$  can be changed into  $\left|\frac{P'(1/z)}{P(1/z)}\right|$  since the substitution  $z \mapsto 1/z$  preserves Lebesgue measure on the unit circumference.

Since

$$\sum_{k=2}^n \omega_k(z) = \sum_{k=2}^n \psi_k(z) - \sum_{k=2}^n \eta_k(z)$$

and  $\sum_{k=2}^n \eta_k(z) \leq \alpha(n-1)$ , we have

$$\mu \left( \left\{ z \in \mathbb{T} : \sum_{k=2}^n \psi_k(z) > (\alpha+1)(n-1) \right\} \right) \leq \mu \left( \left\{ z \in \mathbb{T} : \sum_{k=2}^n \omega_k(z) > n-1 \right\} \right).$$

Let  $F \stackrel{\text{def}}{=} \{z \in \mathbb{T} : \sum_{k=2}^n \omega_k(z) > n-1\}$ , then we have

$$\mu(F) < \frac{1}{n-1} \int_F \sum_{k=2}^n \omega_k(z) d\mu(z) \leq e^{-\alpha}$$

by using (14). Hence

$$\mu \left( \left\{ z \in \mathbb{T} : \sum_{k=2}^n \psi_k(z) > (\alpha+1)(n-1) \right\} \right) < e^{-\alpha}. \quad (15)$$

Let  $\alpha = \log \frac{1}{\mu(E)}$ , then  $e^{-\alpha} = \mu(E)$ . Substitute this into (refexists) then this inequality implies that there exists a point  $z_0 \in E$  for which  $\sum_{k=2}^n \psi_k(z_0) \leq (\alpha+1)(n-1)$ . Now we have

$$\begin{aligned} \left(\frac{\pi}{16}\right)^{n-1} \|p\|_W &\leq \|p_1\|_W \stackrel{(\text{ord } p_1=1!)}{=} \|p_1(z_0)\| \\ &\leq \exp \left( \left(1 + \log \frac{1}{\mu(E)}\right) (n-1) \right) |p(z_0)| \\ &= \left(\frac{e}{\mu(E)}\right)^{n-1} |p(z_0)| \\ &\leq \left(\frac{e}{\mu(E)}\right)^{n-1} \sup_{z \in E} |p(z)|, \end{aligned}$$

and the theorem is proved.  $\square$

**Remark.** We first construct the polynomial sequence  $p_n = p, p_{n-1}, \dots, p_2, p_1$ , and they satisfy  $\|p_{k-1}\|_W \geq \frac{\pi}{16} \|p_k\|_W$ ,  $\text{ord } p_k = k$  and so on. Then we can get

$$\left(\frac{\pi}{16}\right)^{n-1} \|p\|_W \leq \|p_1\|_W.$$

This means we transform the question into the proof of the certain inequality between  $\|p_1\|_W = |p_1(z)| \forall z \in \mathbb{T}$  and  $p = p_n$ . Then we need to find a point  $z_0 \in \mathbb{T}$  such that  $|p_1(z_0)| \leq \exp \left( \left(1 + \log \frac{1}{\mu(E)}\right) (n-1) \right) |p(z_0)|$ , this step needs to estimate the amount or measure of the points that have large function values. If the measure of these points are smaller than  $\mu(E)$ , then we can get a point  $z_0 \in E$  that satisfies the condition.



## 4 The Turan lemma in general form

**Theorem 4.** Let  $p(t) = \sum_{k=1}^n c_k e^{i\lambda_k t}$  where  $c_k \in \mathbb{C}$  and  $\lambda_1 < \dots < \lambda_n \in \mathbb{R}$ . If  $E$  is a measurable subset of the segment  $I = \left[-\frac{1}{2}, \frac{1}{2}\right]$ , then

$$\sup_{t \in I} |p(t)| \leq \left( \frac{316}{\mu(E)} \right)^{n-1} \sup_{t \in E} |p(t)|.$$

**Lemma 3.** Let  $g(t) = \sum_{k=1}^n c_k e^{i\lambda_k t}$ , ( $c_k \in \mathbb{C}$ ,  $0 = \lambda_1 < \lambda_2 < \dots < \lambda_n = \lambda$ ). If  $\lambda \geq n - 1$ , then

$$\mu \left( \left\{ t \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \left| \frac{d}{dt} \log g(t) \right| > y \right\} \right) \leq \frac{29\lambda}{y}$$

for all  $y > 0$ .

*Proof.* We proceed like we did in Case 1. □

*Proof of Theorem 4.* Let  $\lambda \stackrel{\text{def}}{=} \lambda_n - \lambda_1$ , we prove the theorem separately in two cases.

**Case**  $\lambda \leq n - 1$ . If  $n = 1$ , the statement is obvious. Let  $n > 1$ , without loss of generality, we assume that  $0 = \lambda_1 < \dots < \lambda_n = \lambda_n = \lambda \leq n - 1$ . By virtue of the Langer lemma, complex zeros of the exponential polynomial  $p(z)$  are well separated, i.e., each vertical strip of width  $\Delta$  contains at most  $\frac{\Delta\lambda}{2\pi} + (n - 1) \leq \left(1 + \frac{\Delta}{2\pi}\right)(n - 1)$  zeros.

Lets enumerate  $z_j$  in the order of increase of  $|\operatorname{Re} z_j|$ . For every  $j \in \mathbb{N}$ , the inequality  $|\operatorname{Re} z_j| \geq \pi \frac{j - (n-1)}{(n-1)}$  holds.

**Case**  $\lambda > n - 1$ . We shall reduce this case to Case 1 in the same way as in Section 3. This is why we need Lemma 3. We can finish the proof by constructing a sequence of exponential polynomials  $p_n, p_{n-1}, \dots, p_s$  ( $s \geq 1$ ) such that

- (1)  $p_n = p$  ;
- (2)  $\operatorname{ord} p_k = k$  ( $k = s, \dots, n$ ) ;
- (3)  $\|p_{k-1}\|_\infty \geq \frac{1}{58} \|p_k\|_\infty$  ( $k = s + 1, \dots, n$ ) ;
- (4) the ratio  $\varphi_k \stackrel{\text{def}}{=} \left| \frac{p_{k-1}}{p_k} \right|$  satisfies the weak type estimate  $\mu \left( \left\{ x \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \varphi_k(x) > t \right\} \right) \leq \frac{1}{t}$  for  $t > 0$  ;
- (5) the difference between the greatest and the smallest exponent of  $p_s$  does not exceed  $s - 1$  (i.e.,  $p_s$  meets the condition of Case 1 investigated above).

The construction is almost the same as in Section 3. The difference is that, firstly, we make use of the identity  $\underline{q}(t) - \bar{q}(t) = i(\rho_k - \rho_1) p_k(t)$ , where

$$p_k(t) \stackrel{\text{def}}{=} \sum_{m=1}^k d_m e^{i\rho_m t} \quad (\rho_1 < \dots < \rho_k \in \mathbb{R}),$$

$$\underline{q}(t) \stackrel{\text{def}}{=} e^{i\rho_1 t} \frac{d}{dt} (e^{-i\rho_1 t} p_k(t)),$$

$$\bar{q}(t) \stackrel{\text{def}}{=} e^{i\rho_k t} \frac{d}{dt} (e^{-i\rho_k t} p_k(t))$$

to estimate the sum of norms  $\|q\|_\infty + \|\bar{q}\|_\infty$  from below, and, secondly, we stop the sequence at the polynomial  $p_s$  satisfying the condition of Case 1, i.e., at that very moment when we cannot apply Lemma 3 to estimate  $\varphi_s$  once more.

Since  $\|p_{k-1}\|_\infty \geq \left(\frac{1}{58}\right) \|p_k\|_\infty$ , we obtain

$$\left(\frac{1}{58}\right)^{n-s} \|p\|_\infty \leq \|p_s\|_\infty. \quad (16)$$

By the construction procedure,  $p_s$  satisfies the condition of Case 1, hance for a measurable set  $F$  we have

$$\|p_s\|_\infty \leq \left(\frac{154}{\mu(F)}\right)^{s-1} \sup_{t \in F} |p_s(t)|. \quad (17)$$

Now we use the same reasoning as in Section 3 to establish  $\left|\frac{p_s(t)}{p_n(t)}\right| \leq \left(\frac{2e}{\mu(E)}\right)^{n-s}$  outside an exceptional set  $E'$  of measure  $\mu(E') \leq \mu(E)/2$ . We have

$$\left|\frac{p_s(x)}{p_n(x)}\right| = \prod_{k=s+1}^n \varphi_k(x) \leq \exp\left(\sum_{k=s+1}^n \psi_k(x)\right),$$

where  $\psi_k(x) \stackrel{\text{def}}{=} \log_+ \varphi_k(x)$ . The weak type estimate of  $\varphi_k$  gives the inequality  $\mu(\psi_k > t) \leq e^{-t}$  for all  $t > 0$ . Let  $\alpha > 0$ , we decompose  $\psi_k(x)$  into the sum of  $\eta_k(x) \stackrel{\text{def}}{=} \min(\psi_k(x), \alpha)$  and  $\omega_k(x) \stackrel{\text{def}}{=} \psi_k(x) - \eta_k(x)$ . Then  $\sum_{k=s+1}^n \eta_k(x) \leq \alpha(n-s)$  for all  $x \in [-\frac{1}{2}, \frac{1}{2}]$ . We also have

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \omega_k(x) dx = \int_\alpha^\infty \mu(\psi_k > t) dt \leq \int_\alpha^\infty e^{-t} dt = e^{-\alpha}.$$

Therefore,

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \left(\sum_{k=s+1}^n \omega_k(z)\right) d\mu(z) \leq e^{-\alpha}(n-s).$$

Since

$$\sum_{k=s+1}^n \omega_k(x) = \sum_{k=s+1}^n \psi_k(x) - \sum_{k=s+1}^n \eta_k(x)$$

and  $\sum_{k=s+1}^n \eta_k(x) \leq \alpha(n-s)$ , we have

$$\begin{aligned} & \mu\left(\left\{x \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \sum_{k=s+1}^n \psi_k(x) > (\alpha+1)(n-s)\right\}\right) \\ & \leq \mu\left(\left\{x \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \sum_{k=s+1}^n \omega_k(x) > n-s\right\}\right) < e^{-\alpha}. \end{aligned}$$

Let  $\alpha = \log\left(\frac{2}{\mu(E)}\right)$ , then we have

$$\mu\left(\left\{x \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \sum_{k=s+1}^n \psi_k(x) > (\alpha+1)(n-s)\right\}\right) < \frac{\mu(E)}{2}.$$

Thus the measure of the set  $E' = \left\{ x \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \left| \frac{p_s(x)}{p_n(x)} \right| > \left( \frac{2e}{\mu(E)} \right)^{n-s} \right\}$  satisfies

$$\mu(E') < \frac{\mu(E)}{2}$$

and hence

$$\mu(E \setminus E') \geq \frac{\mu(E)}{2}. \quad (18)$$

By definition of the set  $E'$ , we know  $\left| \frac{p_s(x)}{p_n(x)} \right| \leq \left( \frac{2e}{\mu(E)} \right)^{n-s}$  for each  $x \in E \setminus E'$ . By using (17) (let  $F = E \setminus E'$ ), (16) and (18) we obtain

$$\begin{aligned} \left( \frac{1}{58} \right)^{n-s} \|p\|_\infty &\leq \|p_s\|_\infty \leq \left( \frac{154}{\mu(E \setminus E')} \right)^{s-1} \sup_{t \in E \setminus E'} |p_s(t)| \\ &\leq \left( \frac{308}{\mu(E)} \right)^{s-1} \left( \frac{2e}{\mu(E)} \right)^{n-s} \sup_{t \in E} |p(t)|. \end{aligned}$$

Now Theorem (4) easily follows if we take into account the inequality  $116e < 316$ .  $\square$

## 5 Summary: Two important techniques used

- a. Construct a sequence of polynomials like  $p_k, p_{k-1}, \dots, p_1$  to decrease the order of  $p_k$ . In this note, the order is the  $\text{ord } p_k$  of exponential polynomials, it may have different meaning when we solve other problems.
- b. Weak type estimates allow us to get an upper bound of a measure of a set  $A$  that satisfies some property  $P$ , then compare it to the measure of a given set  $B$ . If the latter is strictly larger than the former, then there must be some point in  $B$  which does not meet the property  $P$ .

## References

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