# Algebraic Topology

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#### Abstract

This is a learning note about Zhang Yitang's lectures on modular forms.

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| before 1900 Euler formula $V - E + F = 2$ Winding number |    |
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1900 H. Poincaré introduce Homology, Fundamental Group

Aimed to study "spaces"
Topological spaces and continuous mappings
Invariants

 $X \in \{ \text{ Topological spaces } \} \Rightarrow \text{e.g. } G(X) \in \{ \text{ abelian groups} \}$  If  $X \to G(X), \ Y \to G(Y)$  and  $f: X \to Y$ , we wish to get G(f):

$$X \longrightarrow G(X)$$

$$f \downarrow \qquad \qquad \downarrow_{G(f)}$$

$$Y \longrightarrow G(Y)$$

and let G(f) be a homomorphism of groups.

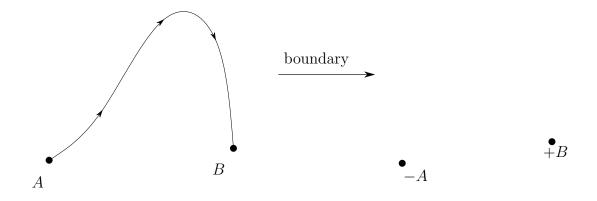


Figure 1: boundary of a segment

Boundary of the boundary +C is 0 in Figure 2.

# 0 Categories, Functors, and Natural Transformations

**Definition 0.1 (categories).** A category C consists of

a. (objects)  $Ob(\mathcal{C})$  consists of the class of objects in  $\mathcal{C}$ .

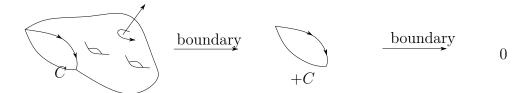
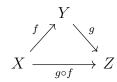


Figure 2: boundary of a surface

- b. (morphisms)  $\forall X, Y \in \text{Ob}(\mathcal{C})$ , we have a set  $\text{Hom}_{\mathcal{C}}(X, Y)$  s.t.  $\text{Hom}_{\mathcal{C}}(X, Y) = \text{Hom}_{\mathcal{C}}(X', Y')$  iff X = X', Y = Y'.
- c. (composition law)  $\forall X, Y, Z \in Ob(\mathcal{C})$ , we have a map:

$$\operatorname{Hom}_{\mathcal{C}}(X,Y) \times \operatorname{Hom}_{\mathcal{C}}(Y,Z) \xrightarrow{\circ} \operatorname{Hom}_{\mathcal{C}}(X,Z)$$
  
 $(f,g) \mapsto g \circ f$ 



which satisfy the following two axioms:

(1) (Associativity) 
$$X \xrightarrow{f} Y, Y \xrightarrow{g} Z, Z \xrightarrow{h} W$$
,

$$h\circ (g\circ f)=(h\circ g)\circ f$$

(2) (Identity)  $\forall X \in \text{Ob}(\mathcal{C}), \exists X \xrightarrow{1_X} X \text{ s.t.}$ 

$$h \circ 1_X = H, 1_X \circ k = k$$

$$\forall X \xrightarrow{h} H, K \xrightarrow{k} X.$$

**Example 0.1.** a.  $C = (\text{set}), (\text{Ab}), (\text{Mod}_R)(R \text{ is a ring}), (\text{Top}), (\text{TopGp}).$ 

b.  $C^{op}$  (the opposite of C):

$$\mathrm{Ob}(\mathcal{C}^{\mathrm{op}}) := \mathrm{Ob}(\mathcal{C})$$
  
 $\mathrm{Hom}_{\mathcal{C}^{\mathrm{op}}}(X, Y) := \mathrm{Hom}_{\mathcal{C}}(Y, X)$ 

$$\operatorname{Hom}_{\mathcal{C}^{\operatorname{op}}}(X,Y) \times \operatorname{Hom}_{\mathcal{C}^{\operatorname{op}}}(Y,Z) \overset{\circ_{\mathcal{C}^{\operatorname{op}}}}{\to} \operatorname{Hom}_{\mathcal{C}^{\operatorname{op}}}(X,Z)$$
$$(f,g) \to g \circ_{\mathcal{C}^{\operatorname{op}}} f$$
$$X \overset{f}{\leftarrow} Y, Y \overset{g}{\leftarrow} Z \quad X \overset{f \circ g}{\leftarrow} Z.$$

**Definition 0.2.**  $X, X' \in \text{Ob}(\mathcal{C}), X \overset{f}{\mathcal{C}} X', f \text{ is an } isomorphism \Leftrightarrow \exists X' \overset{\widetilde{f}}{\rightarrow} X \text{ s.t.}$ 

$$\widetilde{f} \circ f = 1_X$$
  
 $f \circ \widetilde{f} = 1_{X'}$ .

**Definition 0.3 (Functors).** C, C': categories. A covariant(contravariant) functor  $F: C \to C'$  ( $C \xrightarrow{F} C'$ ) consists of

- a rule of associating to each  $X \in \mathrm{Ob}(\mathcal{C})$  an object  $F(X) \in \mathrm{Ob}(\mathcal{C}')$ .
- A map  $\operatorname{Hom}_{\mathcal{C}}(X,Y) \xrightarrow{F} \operatorname{Hom}_{\mathcal{C}'}(F(X),F(Y))$  ( $\operatorname{Hom}_{\mathcal{C}'}(F(Y),F(X))$ ) for each pair  $X,Y \in \operatorname{Ob}(\mathcal{C})$  s.t.  $F(1_X) = 1_{F(X)}$  and  $F(g \circ f) = F(g) \circ F(f)(F(g \circ f) = F(f) \circ F(g))$  i.e.

#### Example 0.2.

- (1)  $\mathcal{C} \stackrel{\text{op}}{\to} \mathcal{C}^{\text{op}}, X^{\text{op}} := X$
- (2)  $\forall X \in \mathcal{O} \lfloor (\mathcal{C}), h_X : \mathcal{C} \to (\text{set}),$

$$h_X(Y) := \operatorname{Hom}_{\mathcal{C}}(Y, X), \forall Y \in \operatorname{Ob}(\mathcal{C})$$

$$h_X(f) := \circ f : h_X(Y) \to h_X(Y'), \forall Y' \xrightarrow{f} Y(\to X)$$

 $h_X$  is contravariant.

**Definition 0.4 (Natural Transformations).**  $\mathcal{C} \stackrel{F_1}{\Longrightarrow} \mathcal{C}'$  two functors of the same variance.

a. A natural transformation T form  $F_1$  to  $F_2$  (denoted as  $F_1 \xrightarrow{T} F_2$ ) is a rule of associating to each  $X \in \text{Ob}(\mathcal{C})$  a morphism  $F_1(X) \xrightarrow{T(X)} F_2(X)$  s.t. for each  $X \xrightarrow{f} Y$  we have :

$$F_1(X) \xrightarrow{T(X)} F_2(X)$$

$$F_1(f) \downarrow \qquad \qquad \downarrow F_2(f)$$

$$F_1(Y) \xrightarrow{T(Y)} F_2(Y)$$

b. A natural transformation  $F_1 \xrightarrow{T} F_2$  is called a *natural equivalence* if  $F_1(X) \xrightarrow{T(X)} F_2(X)$  is an isomorphism for each  $X \in \text{Ob}(\mathcal{C})$ .

$$F_1 \xrightarrow{T} F_2, F_2 \xrightarrow{S} F_3 \leadsto S \circ T.$$

### 1 Singular Homolgy Groups

Definition 1.1 (Standard simplexes).  $k \in \mathbb{N} \cup \{0\}$ ,

$$\Delta_k := \left\{ (t_0, \dots, t_k) \in \mathbb{R}^{k+1} : \sum_{i=0}^k t_i = 1, t_i \ge 0, i = 0, \dots, k \right\}.$$

**Definition 1.2** (The *i*-th face inclusion).  $i \le k \in \mathbb{N} \cup \{0\}$ ,

$$\Delta_k \xrightarrow{l_i} \Delta_{k+1}$$
$$(t_0, \dots, t_k) \mapsto (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_k).$$

Definition 1.3 (Singular complexes, ude to Lefschetz-Eilenberg). X: topological space,  $k \in \mathbb{N} \cup \{0\}$ . A (singular) k-simplex in X is a continuous map  $\sigma: \Delta_k \to X$ .

**Definition 1.4 (Faces of a singular simplex).**  $\sigma: \Delta_k \to X$  continuous,  $\sigma_i := \sigma \circ l_i$  where  $l_i: (t_0, \dots, t_{k-1}) \mapsto (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-1}), i = 0, \dots, k$ .

Definition 1.5 (Singular chain groups).  $k \in \mathbb{Z}$ ,

 $S_k(X) :=$  the free abelian group generated by all singular k-simplexes in X

$$= \bigoplus_{\sigma: \Delta_k \to X} \mathbb{Z}\sigma, k \ge 0$$
$$= \{0\}, k < 0.$$

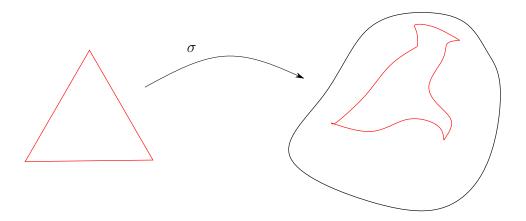


Figure 3: singular complexes

 $X \xrightarrow{f} Y$ , we can define a functor  $S_k : S_k(X) \xrightarrow{S_k(f) = f_\#} S_k(Y)$ :

$$\sigma: \Delta_k \to X \mapsto \begin{array}{c} \Delta_k \xrightarrow{f \circ \sigma} Y \\ & \swarrow \\ X \end{array}.$$

 $S_k: (\mathrm{Top}) \to (\mathrm{Ab})$  is a covariant functor.

**Definition 1.6 (Boundary operation).**  $S_k(X) \xrightarrow{\partial_k} S_{k-1}(X)$ 

$$\partial_k \sigma := \sum_{i=0}^k (-1)^i \sigma_i$$

**Exercise 1.1.** The following two diagrams are commutative:

$$S_k(X) \xrightarrow{f_\#} S_k(Y)$$

$$\partial_k \downarrow \qquad \qquad \downarrow \partial_\#$$

$$S_{k-1}(X) \xrightarrow{f_\#} S_{k-1}(Y)$$

$$\begin{array}{ccc} \Delta_k & \xrightarrow{l_j} & \Delta_{k-1} \\ \downarrow^{l_{i-1}} & & \downarrow^{l_i} \\ \Delta_{k-1} & \xrightarrow{l_j} & \Delta_k \end{array}$$

if  $1 \le j + 1 \le i \le k, k \ge 2$ .

**Definition 1.7 (Singular chain complexes).**  $\sigma: \Delta_k \to X:$  a singular k-simplex in X,

$$\partial_{k-1} (\partial_k \sigma) = \sum_{j=0}^{k-1} \sum_{i=0}^k (-1)^{i+j} (\sigma_i)_j$$

$$= \sum_{k-1 \ge j \ge i \ge 0} (-1)^{i+j} \sigma \circ l_i \circ l_j + \sum_{1 \le j+1 \le i \le k} (-1)^{i+j} \sigma \circ l_i \circ l_j$$

$$= \sum_{k-1 \ge j \ge i \ge 0} (-1)^{i+j} \sigma \circ l_i \circ l_j + \sum_{1 \le j+1 \le i \le k} \sigma \circ l_j \circ l_{i-1}$$

$$= 0.$$

Then, we have the chain complex:

$$\cdots \xrightarrow{\partial_{k+2}} S_{k+1}(X) \xrightarrow{\partial_{k+1}} S_k(X) \xrightarrow{\partial_k} S_{k-1} \xrightarrow{\partial_{k-1}} S_{k-2} \cdots$$

Let X be a topological space  $k \in \mathbb{Z}$ . Recall

$$S_k(X) = \bigoplus_{\sigma: \Delta_k \to X} \mathbb{Z}\sigma, \quad k \ge 0.$$

 $\forall$  set S, define  $\mathbb{Z}^{\oplus S} := \{\phi: S \to \mathbb{Z} | \phi(s) \neq 0 \text{ for only finitely many } s \in S\}$ , it is an abelian group. Write  $\phi = \sum_{s \in S} \phi(s)s$ , define the map

$$S \to \mathbb{Z}^{\oplus S}$$
$$s \mapsto e_s : s' \mapsto \begin{cases} 1, s' = s \\ 0, s' \neq s \end{cases}$$

Universal property: consider any map  $\phi$  and any abelian group A, we have

$$S \xrightarrow{\forall \text{ map } \phi} A$$

$$e \xrightarrow{\exists ! \Phi \text{ group homomorphism}}$$

$$\mathbb{Z}^{\oplus S}$$

**Example 1.1.** Consider  $\operatorname{Hom}_{(\operatorname{Top})}(\Delta_k, X) = \{\text{all singular } k\text{-simplexes in } X\}$ , then we can define  $S_k(X)$  in another way

$$S_k(X) := \mathbb{Z}^{\oplus \operatorname{Hom}_{(\operatorname{top})}(\Delta_k, X)}.$$

Consider the map

$$\mathbb{Z}^{\oplus} : (\operatorname{Set}) \to (\operatorname{Ab})$$

$$S \mapsto \mathbb{Z}^{\oplus S}.$$

$$egin{array}{cccc} S & \mathbb{Z}^{\oplus S} & e_s \ \downarrow_u & \longmapsto & & & \downarrow_{ ext{extend linearly}} \ T & Z^{\oplus T} & e_{u(s)} \ \end{array}$$

Hence we can view  $S_k$  as

$$S_k = \mathbb{Z}^{\oplus} \circ \operatorname{Hom}_{(\operatorname{Top})}(\Delta_k, \cdot) = \mathbb{Z}^{\oplus} \circ_{\Delta_k} h$$

where  $_{\Delta_k}h$  is a covariant functor.

Consider the following diagram:

$$\operatorname{Hom}_{(\operatorname{top})}(\Delta_{k}, X) \xrightarrow{\partial_{k-1} \circ \partial_{k}} S_{k-2(X)}$$

$$\downarrow e \qquad \qquad \downarrow \\ S_{k}(X)$$

$$S_{k-1} \circ \partial_{k} \circ e = 0$$

This diagram explains why  $\partial_{k-1} \circ \partial_k \sigma = 0 \to \partial_{k-1} \circ \partial_k = 0$  through universal property.

**Definition 1.8 (Singular homology groups).** Let X be a toplogical space, we have a singular chain complexes

$$\cdots \xrightarrow{\partial_{k+2}} S_{k+1}(X) \xrightarrow{\partial_{k+1}} S_k(X) \xrightarrow{\partial_k} S_{k-1} \xrightarrow{\partial_{k-1}} S_{k-2} \cdots$$

- a.  $S_k(X)$ : the group of (singular) k-chains in X.
- b.  $Z_k(X) := \ker \partial_k(X)$  the group of k-cycles in X.
- c.  $B_k(X) := \operatorname{im} \partial_{k+1}$  the group of k-boundaries in X.
- d.  $H_k(X) := Z_k(X) / B_k(X)$  the k-th sinular homology group of X.

### 2 Chain complexes

**Definition 2.1 (Chain complexes and chain maps).** A chain complex of abelian groups is a sequence of abelian groups linked by homomorphisms

$$C_{\cdot}:\cdots\xrightarrow{\partial_{k+2}} C_{k+1}\xrightarrow{\partial_{k+1}} C_{k}\xrightarrow{\partial_{k}} C_{k-1}\xrightarrow{\partial_{k-1}}\cdots$$

such that  $\partial_{k-1} \circ \partial_k = 0, \forall k \in \mathbb{Z}$ .

A chain map of  $f: C \to C'$  consists of homomorphisms  $f_k: C_k \to C'_k, k \in \mathbb{Z}$  such that the following diagram commute:

$$C_{k} \xrightarrow{\partial_{k}} C_{k-1}$$

$$\downarrow^{f_{k}} \qquad \downarrow^{f_{k-1}}$$

$$C'_{k} \xrightarrow{\partial'_{k}} C'_{k-1}$$

**Definition 2.2 (Composition of chain maps).** Given two chain maps  $f : \xrightarrow{f_*} C'_*$  and  $C' \xrightarrow{f'} C''_*$ , the composition of chain maps  $(f' \circ f) : C \to C''_*$  is defined by

$$(f' \circ f)_k := f'_k \circ f_k, k \in \mathbb{Z}.$$

All chain complexes and chain maps form a category, we use "(cKom)" to denote it.

**Definition 2.3.** Let  $f: C \to C'$  be a chain map, then we have

$$f_k(Z_k(C_{\cdot})) \subset Z_k(C'_{\cdot})$$
 and  $f_k(B_k(C_{\cdot})) \subset B_k(C_{\cdot})$ .

It induces a group homomorphism

#### Exercise 2.1.

$$H_k: (\mathrm{cKom}) \to (\mathrm{Ab})$$

$$C_{\cdot} \to H_k(C_{\cdot})$$

$$C_{\cdot} \xrightarrow{f_{\cdot}} C'_{\cdot} \mapsto H_k(C_{\cdot}) \xrightarrow{f_{*k}} H_k(C'_{\cdot})$$

is a covariant functor.

Let  $\mathcal{C}$  be a category. Giving a functor  $\mathcal{C} \xrightarrow{K}$  (cKom) is equivalent to giving functor  $\mathcal{C} \xrightarrow{K_k}$  (Ab) and natural transformations

$$K_k \xrightarrow{D_k} K_{k-1}, \quad k \in \mathbb{Z}$$

such that

$$D_{k-1} \circ D_k = 0, \quad \forall k \in \mathbb{Z}.$$

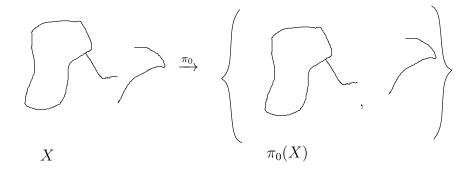


Figure 4:  $\pi_0: X \to \text{the set of path-connected components of } X$ 

**Definition 2.4.** Let X be a topological space,

 $\pi_0(X) :=$  the set of path-connected components of X.

 $\pi_0$  is a functor:

$$X \mapsto \pi_0(X)$$
$$X \xrightarrow{f} Y \mapsto \pi_0(X) \xrightarrow{\pi_0(f)} \pi_0(Y)$$

where  $\pi_0(f)c :=$  the path-connected components containing f(c) for any  $c \in \pi_0(X)$ .

**Exercise 2.2.** For every topological space X, establish a group isomorphism

$$\mathbb{Z}^{\oplus \pi_0(X)} \xrightarrow{T(X)} H_0(X)$$

and show that your T is a natural transformation between  $\mathbb{Z}^{\oplus} \circ \pi_0$  and  $H_0$ .

# 3 The singular homology of a star-shaped

**Definition 3.1 (Star-shaped set).** Let  $0 \in X \subset \mathbb{R}^n$  s.t.

$$\forall p \in X \Rightarrow tp \in X \text{ for any } t \in [0, 1].$$

Then we call X a star-shaped set in  $\mathbb{R}^n$ .

Let  $\sigma: \Delta_k \to X$  be a singular k-simplex in X, then we define

$$H_{\sigma}: \Delta_{k+1} \to X$$

$$(t_0, \dots, t_n) \mapsto \begin{cases} 0, & (t_0, \dots, t_{k+1}) = (1, 0, \dots, 0) \\ (1 - t_0)\sigma\left(\frac{t_1}{t_1 + \dots t_{k+1}}, \dots, \frac{t_{k+1}}{t_1 + \dots t_{k+1}}\right), & \text{others.} \end{cases}$$

It is easy to verify that

$$(H\sigma)_0 = \sigma, (H\sigma)_i = H(\sigma_{i-1}), \quad i = 1, \dots, k+1.$$

Calculate for  $k \geq 1$ 

$$\partial_{k+1}(H_k\sigma) = \sum_{i=0}^{k+1} (-1)^i (H_k\sigma)_i$$

$$= \sigma + \sum_{i=1}^{k+1} (-1)^i H_{k-1}(\sigma_{i-1})$$

$$= \sigma - \sum_{j=0}^{k} (-1)^j H_{k-1}(\sigma_j)$$

$$= \sigma - H_{k-1}\partial_k\sigma.$$

Hence

$$\sigma = (\partial H + H\partial) \sigma.$$

$$\sigma \in Z_k(X) \Leftrightarrow \partial \sigma = 0 \Rightarrow \sigma = \partial (H\sigma) \in B_k(X) \Rightarrow H_k(X) = 0, k \ge 1.$$

For k = 0,  $H_0(X) = \mathbb{Z}$  since a star-shaped set has only one component.

- 4 Chain homotopy vs. Homotopy
  - 5 Acyclic models theorem
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  - 8 Mayer-Vietoris Sequences
- 9 Some variants of singular homology