

Modular Forms

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Abstract

This is a learning note about Zhang Yitang's lectures on modular forms.

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1 Modular Group, Congruence Subgroup and Modular Forms

Definition 1.1. The *modular group* is the group of 2-by-2 matrices with integer entries and determinant 1:

$$\mathrm{SL}_2(\mathbb{Z}) := \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} : a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\}.$$

The *principal congruence subgroup of level N* is

$$\Gamma(N) := \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : a \equiv d \equiv 1 \pmod{N}, b \equiv c \equiv 0 \pmod{N} \right\}.$$

Γ is a congruence subgroup if

$$\Gamma(N) \subset \Gamma \subset \mathrm{SL}_2(\mathbb{Z}) \text{ for some } N.$$

Example 1.1. $\forall N \in \mathbb{N}$,

$$\Gamma_0(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : c \equiv 0 \pmod{N} \right\}$$

and

$$\Gamma_1(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : a \equiv d \equiv 1 \pmod{N}, c \equiv 0 \pmod{N} \right\}$$

are congruence subgroups. Their relations are

$$\Gamma(N) \subset \Gamma_1(N) \subset \Gamma_2(N) \subset \mathrm{SL}_2(\mathbb{Z}).$$

Definition 1.2. \mathcal{H} is the *upper half plane* defined by

$$\mathcal{H} := \{\tau \in \mathbb{C} : \mathrm{Im}(\tau) > 0\}.$$

Action of $\mathrm{SL}_2(\mathbb{Z})$ on \mathcal{H} is defined by

$$\gamma(\tau) = \frac{a\tau + b}{c\tau + d}$$

for arbitrary $\gamma \in \mathrm{SL}_2(\mathbb{Z})$ and $\tau \in \mathcal{H}$.

$$\gamma(\tau) = \frac{a\tau + b}{c\tau + d} = \frac{(a\tau + b)(c\bar{\tau} + d)}{|c\tau + d|^2} = \frac{ac|\tau|^2 + bd + ad\tau + bc\bar{\tau}}{|c\tau + d|^2},$$

then

$$\mathrm{Im} \gamma(\tau) = \frac{\mathrm{Im}(ad\tau + bc\bar{\tau})}{|c\tau + d|^2} = \frac{(ad - bc) \mathrm{Im} \tau}{|c\tau + d|^2} > 0.$$

Hence $\gamma(\tau) \in \mathcal{H}$ if $\tau \in \mathcal{H}$.

Let $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ and $\gamma' = \begin{bmatrix} a' & b' \\ c' & d' \end{bmatrix}$, then

$$\gamma\gamma' = \begin{bmatrix} aa' + bc' & ab' + bd' \\ ca' + dc' & cb' + dd' \end{bmatrix}.$$

It's easy to verify

$$\gamma(\gamma'(\tau)) = \gamma\gamma'(\tau).$$

Now we consider actions of $\mathrm{SL}_2(\mathbb{Z})$ on functions $f : \mathcal{H} \rightarrow \mathbb{C}$. Write

$$\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z}), \quad \tau \in \mathcal{H}.$$

$$j(\gamma, \tau) := c\tau + d.$$

For $k \in \mathbb{Z}$, define $[\gamma]_k :=$ the weight- k operator acting on functions $\mathcal{H} \rightarrow \mathbb{C}$ such that

$$(f[\gamma_k])(\tau) = j(\gamma, \tau)^{-k} f(\gamma(\tau)) = (c\tau + d)^{-k} f\left(\frac{a\tau + b}{c\tau + d}\right).$$

Lemma 1.3. $\forall \gamma, \gamma' \in \mathrm{SL}_2(\mathbb{Z}), \forall \tau \in \mathcal{H}$, we have

- a. $j(\gamma\gamma', \tau) = j(\gamma, \gamma'(\tau))j(\gamma', \tau);$
- b. $[\gamma\gamma']_k = [\gamma]_k[\gamma']_k;$
- c. $\frac{d\gamma(\tau)}{d\tau} = \frac{1}{j(\gamma, \tau)^2}.$

Proof.

a.

$$\begin{aligned} \gamma \begin{bmatrix} \tau \\ 1 \end{bmatrix} &= \begin{bmatrix} \gamma(\tau) \\ 1 \end{bmatrix} j(\gamma, \tau) \\ \gamma\gamma' \begin{bmatrix} \tau \\ 1 \end{bmatrix} &= \begin{bmatrix} \gamma\gamma'(\tau) \\ 1 \end{bmatrix} j(\gamma\gamma', \tau) \end{aligned}$$

Also

$$\begin{aligned} \gamma\gamma' \begin{bmatrix} \tau \\ 1 \end{bmatrix} &= \gamma \begin{bmatrix} \gamma'(\tau) \\ 1 \end{bmatrix} j(\gamma', \tau) \\ &= \begin{bmatrix} \gamma\gamma'(\tau) \\ 1 \end{bmatrix} j(\gamma, \gamma'(\tau))j(\gamma', \tau). \\ \Rightarrow j(\gamma\gamma', \tau) &= j(\gamma, \gamma'(\tau))j(\gamma', \tau). \end{aligned}$$

b.

$$\begin{aligned} &(f[\gamma\gamma']_k)(\tau) \\ &= j(\gamma\gamma', \tau)^{-k} f(\gamma\gamma'(\tau)) \\ &= j(\gamma, \gamma'(\tau))^{-k} j(\gamma', \tau)^{-k} f(\gamma\gamma'(\tau)) \\ &= j(\gamma', \tau) f([\gamma]_k)(\gamma'(\tau)) \\ &= (f[\gamma]_k[\gamma']_k)(\tau). \end{aligned}$$

c.

$$\begin{aligned}\frac{d\gamma(\tau)}{d\tau} &= \frac{a(c\tau + d) - (a\tau + b)c}{(c\tau + d)^2} \\ &= \frac{1}{(c\tau + d)^2} \\ &= \frac{1}{j(\gamma, \tau)^2}.\end{aligned}$$

□

Definition 1.4. Let $\Gamma =$ congruence subgroup and $k \in \mathbb{Z}$ $f : \mathcal{H} \rightarrow \mathbb{C}$ is a weakly modular form function of weight k with respect to Γ if f is meromorphic on \mathcal{H} and

$$f[\gamma]_k = f,$$

i.e.,

$$(c\tau + d)^{-k} f\left(\frac{a\tau + b}{c\tau + d}\right) = f.$$

Suppose f is a weakly modular function of weight k with respect to Γ .

$$\Gamma \supset \Gamma(N) \Rightarrow \begin{bmatrix} 1 & N \\ 0 & 1 \end{bmatrix} \in \Gamma$$

$$f \begin{bmatrix} 1 & N \\ 0 & 1 \end{bmatrix}_k = f(\tau + N) = f(\tau)$$

$$\Rightarrow \exists \text{ minimal } h \in \mathbb{N} \text{ such that } f(\tau + h) = f(\tau)$$

$$\Rightarrow f(\tau) = g(e^{2\pi i\tau/h}) \text{ for some } g.$$

$$\tau \in \mathcal{H} \Leftrightarrow |e^{2\pi i\tau/h}| < 1$$

$$\text{Im}(\tau) \rightarrow \infty \Rightarrow e^{2\pi i\tau/h} \rightarrow 0.$$

$g(z)$ is meromorphic on $0 < |z| < 1$.

$$z \rightarrow 0 : g(z) = \sum_{n=-\infty}^{\infty} a_n z^n.$$

$$z = e^{2\pi i\tau/h} \Rightarrow \text{Im}(\tau) \rightarrow \infty$$

$$f(\tau) = g(e^{2\pi i\tau/h}) = \sum_{n=-\infty}^{\infty} a_n e^{2\pi in\tau/h}. \quad (1)$$

We say $f(\tau)$ is holomorphic at ∞ if $a_n = 0$ for all $n < 0$ in (1). In this case we write $f(\infty) = a_0$.

$\forall \sigma \in \text{SL}_2(\mathbb{Z})$, $f[\sigma]_k$ is a weakly modular function of weight k with respect to $\sigma^{-1}\Gamma\sigma$. Indeed, let $\gamma \in \Gamma$,

$$\begin{aligned} f[\gamma]_k(\tau) &= f(\tau) \\ j(\gamma, \tau)^{-k} f(\gamma(\tau)) &= f(\tau) \\ j(\gamma, \sigma(\tau))^{-k} f(\gamma\sigma(\tau)) &= f(\sigma(\tau)) \end{aligned}$$

$$\begin{aligned} ((f[\sigma]_k)[\sigma^{-1}\gamma\sigma]_k)(\tau) &= j(\sigma^{-1}\gamma\sigma, \tau)^{-k} (f[\sigma]_k)(\sigma^{-1}\gamma\sigma(\tau)) \\ &= j(\sigma^{-1}\gamma\sigma, \tau)^{-k} j(\sigma, \sigma^{-1}\gamma\sigma(\tau))^{-k} f(\gamma\sigma(\tau)) \\ &= j(\sigma^{-1}\gamma\sigma, \tau)^{-k} j(\sigma, \sigma^{-1}\gamma\sigma(\tau))^{-k} j(\gamma, \sigma(\tau))^k f(\sigma(\tau)) \\ &= j(\sigma, \tau)^{-k} f(\sigma(\tau)) \\ &= f[\sigma]_k. \end{aligned}$$

Definition 1.5.

- a. $f : \mathcal{H} \rightarrow \mathbb{C}$ is a modular form of weight k with respect to Γ if f is weakly modular function and $f\sigma_k$ is holomorphic at $\infty \forall \sigma \in \text{SL}_2(\mathbb{Z})$.
- b. f is a cusp form if f is a modular form and $f\sigma_k$ vanishes at $\infty \forall \sigma \in \text{SL}_2(\mathbb{Z})$.

Proposition 1.6. Assume $k = \text{odd}$, Then any modular form $f \equiv 0$.

Proof. $\gamma = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix} \in \Gamma$, for arbitrary $\tau \in \mathcal{H}$,

$$j(\gamma, \tau)^{-k} f(\gamma(\tau)) = (-1)^{-k} f\left(\frac{-\tau + 0}{0 + (-1)}\right) = (-1)^{-k} f(\tau) = -f(\tau).$$

□

2 Case $\Gamma = \text{SL}_2(\mathbb{Z})$, Eisenstein Series

In this section assume $\Gamma = \text{SL}_2(\mathbb{Z})$. Γ is generated by $\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$ and $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$.

$$\left(f \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}_k \right) (\tau) = f(\tau + 1)$$

since $j\left(\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \tau\right) = 1$.

$$\left(f \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}_k \right) = \frac{1}{\tau^k} f\left(-\frac{1}{\tau}\right)$$

since $j\left(\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \tau\right) = \tau$. Let f be a modular form of weight k , then

$$\begin{aligned} f(\tau + 1) &= f(\tau) \\ f\left(-\frac{1}{\tau}\right) &= \tau^k f(\tau). \end{aligned}$$

(to find a nontrivial modular form, k must be even.)

Definition 2.1 (Eisenstein Series). Assume $k = \text{even} > 2$. Define

$$G_k(\tau) = \sum_{(c,d) \in \mathbb{Z}^2 \setminus (0,0)} \frac{1}{(c\tau + d)^k}.$$

$G_k(\tau)$ is called Eisenstein series.

$\tau \in \mathcal{H} \Rightarrow G_k(\tau)$ is absolutely convergent and holomorphic.

$$\begin{aligned} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{SL}_2(\mathbb{Z}) &\Rightarrow G_k\left(\frac{a\tau + b}{c\tau + d}\right) \\ &= \sum_{(c',d') \neq (0,0)} \frac{1}{\left(c' \frac{a\tau + b}{c\tau + d} + d'\right)^k} \\ &= (c\tau + d)^k \sum_{(c',d') \neq (0,0)} \frac{1}{((c'a + cd')\tau + (c'b + dd'))^k}. \end{aligned}$$

As (c', d') walks through all $\neq (0, 0)$, so does $(c'a + cd', c'b + dd')$. Hence

$$G_k\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^k G_k(\tau).$$

When $\text{Im}(\tau) \rightarrow \infty$,

$$\frac{1}{(c\tau + d)^k} \rightarrow \begin{cases} 0 & \text{if } c \neq 0, \\ d^{-k} & \text{if } c = 0. \end{cases}$$

$$\begin{aligned} \Rightarrow G_k(\infty) &= \lim_{\text{Im}(\tau) \rightarrow \infty} G_k(\tau) \\ &= \sum_{d=-\infty, d \neq 0}^{\infty} \frac{1}{d^k} = 2\zeta(k). \end{aligned}$$

Assume f is a modular form of weight k , $k = \text{even} > 2$. Define

$$\begin{aligned} D &= \{q \in \mathbb{C} : |q| < 1\} \\ D' &= D - \{0\}. \end{aligned}$$

Construct the mapping

$$\begin{aligned}\mathcal{H} &\rightarrow D' \\ \tau &\mapsto e^{2\pi i\tau} = q\end{aligned}$$

and

$$\begin{aligned}g : D' &\rightarrow \mathbb{C} \\ q &\mapsto f(\log(q)/(2\pi i)).\end{aligned}$$

g is well defined even though the logarithm is only determined up to $2\pi i\mathbb{Z}$. Then

$$f(\tau) = g(e^{2\pi i\tau}).$$

At $\tau = \infty$, $G_k(\tau) = \sum_{n=0}^{\infty} a_n e^{2\pi i n \tau} = 2\zeta(k) \Rightarrow a_0 = 2\zeta(k)$. $a_n = ?$

Proposition 2.2. Let $\tau \in \mathcal{H}$, we have

$$\frac{1}{\tau} + \sum_{d=1}^{\infty} \left(\frac{1}{\tau - d} + \frac{1}{\tau + d} \right) = \pi \cot \pi \tau.$$

Proof.

$$\sin \pi \tau = \pi \tau \prod_{n=1}^{\infty} \left(1 - \frac{\tau^2}{n^2} \right).$$

$$\log \sin \pi \tau = \log \pi + \log \tau + \sum_{n=1}^{\infty} \log \left(1 - \frac{\tau^2}{n^2} \right).$$

Taking the derivative, we obtain

$$\pi \cot \pi \tau = \frac{1}{\tau} + \sum_{n=1}^{\infty} \frac{-2\tau/n^2}{1 - \tau^2/n^2} = \frac{1}{\tau} + \sum_{n=1}^{\infty} \left(\frac{1}{\tau - n} + \frac{1}{\tau + n} \right).$$

□

$$\begin{aligned}\frac{1}{\tau} + \sum_{d=1}^{\infty} \left(\frac{1}{\tau - d} + \frac{1}{\tau + d} \right) &= \pi i \frac{e^{i\pi\tau} + e^{-i\pi\tau}}{e^{i\pi\tau} - e^{-i\pi\tau}} \\ &= -\pi i \frac{1 + e^{2\pi i\tau}}{1 - e^{2\pi i\tau}} \\ &= -\pi i - 2\pi i \sum_{m=0}^{\infty} e^{2\pi i m \tau}.\end{aligned}$$

Differentiating $(k-1)$ times we get

$$\begin{aligned}
(-1)^{k-1}(k-1)! \sum_{d=-\infty}^{\infty} \frac{1}{(\tau+d)^k} &= -2\pi i \sum_{m=0}^{\infty} (2\pi i m)^{k-1} e^{2\pi i m \tau}. \\
\Rightarrow \sum_{d=-\infty}^{\infty} \frac{1}{(\tau+d)^k} - \frac{(2\pi i)^k}{(k-1)!} \sum_{m=1}^{\infty} m^{k-1} e^{2\pi i m \tau} &= 0. \\
\Rightarrow G_k(\tau) &= \sum_{c \neq 0} \sum_{d=-\infty}^{\infty} \frac{1}{(c\tau+d)^k} + \sum_{d \neq 0} \frac{1}{d^k} \\
&= 2 \sum_{c=1}^{\infty} \sum_{d=-\infty}^{\infty} \frac{1}{(c\tau+d)^k} + 2\zeta(k) \\
&= 2 \sum_{c=1}^{\infty} \frac{(2\pi i)^k}{(k-1)!} \sum_{m=1}^{\infty} m^{k-1} e^{2\pi i m c \tau} + 2\zeta(k) \\
&= 2 \sum_{n=1}^{\infty} \frac{(2\pi i)^k}{(k-1)!} \left(\sum_{m|n} m^{k-1} \right) e^{2\pi i n \tau} + 2\zeta(k).
\end{aligned}$$

Then we get the following conclusion:

Proposition 2.3. Let $k = \text{even} > 2$, $G_k(\tau) = \sum_{n=0}^{\infty} a_n e^{2\pi i n \tau}$, then

$$\begin{aligned}
a_0 &= 2\zeta(k) \\
a_n &= \frac{2(2\pi i)^k}{(k-1)!} \sigma_{k-1}(n)
\end{aligned}$$

where $n > 0$ and $\sigma_{k-1}(n) = \sum_{m|n} m^{k-1}$.

A group G acting on a set S gives rise to an equivalent relation \sim on S :

$$s_1 \sim s_2 \Leftrightarrow \exists g \in G \text{ such that } s_2 = gs_1.$$

The quotient space S/G = the set of the equivalent class.

Question. $\text{SL}_2(\mathbb{Z})$ acts on \mathcal{H} , what is the quotient space?

Theorem 2.4. Let $D = \{\tau \in \mathcal{H} : |\text{Re } \tau| \leq \frac{1}{2}, |\tau| \geq 1\}$. Then D is a fundamental domain for $\text{SL}_2(\mathbb{Z})$ in the sense such that

a. $\forall \tau \in \mathcal{H}, \exists \gamma \in \text{SL}_2(\mathbb{Z})$ such that $\gamma(\tau) \in D$;

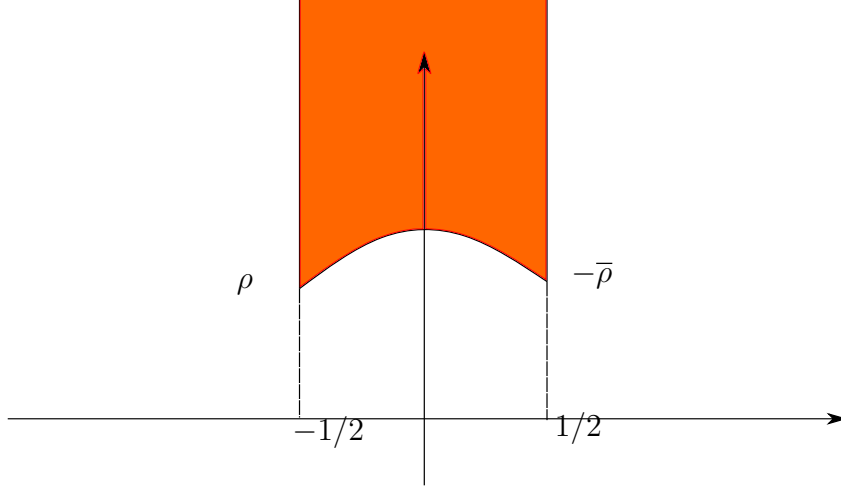


Figure 1: Domain D

- b. If $\tau_1, \tau_2 \in D$, $\tau_1 \neq \tau_2$ and $\tau_2 = \gamma(\tau_1)$ for some $\gamma \in \text{SL}_2(\mathbb{Z})$, then either $\text{Re}(\tau_1) = \pm \frac{1}{2}$, $\tau_2 = \tau_1 \mp 1$ or $|\tau_1| = 1, \tau_2 = -\frac{1}{\tau_1}$.

Proof. Write $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{SL}_2(\mathbb{Z})$, $\gamma_1 = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \in \text{SL}_2(\mathbb{Z})$, $\gamma_2 = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \in \text{SL}_2(\mathbb{Z})$. Then

$$\gamma_1^n(\tau) = \tau + n$$

$$\gamma_2(\tau) = -\frac{1}{\tau}.$$

- a. $\forall \tau \in \mathcal{H}$, $\text{Im } \gamma(\tau) = \frac{\text{Im}(\tau)}{|c\tau + d|^2}$. There exists only finite (c, d) such that $|c\tau + d| <$ a given volume. $\Rightarrow (\exists \gamma \text{ such that } |c\tau + d| = \min \Rightarrow \text{Im } \gamma(\tau) = \max \text{ and } \exists n \in \mathbb{Z} \text{ such that } |\text{Re } \gamma_1^n(\gamma(\tau))| \leq \frac{1}{2})$.

Write $\tau_1 = \gamma_1^n(\gamma(\tau)), \tau_2 = \gamma_2(\tau_1)$.

$$\begin{aligned} \text{Im } \tau_2 &= \frac{\text{Im } \tau_1}{|\tau_1|^2} \text{ and } \text{Im } \tau_2 \leq \text{Im } \gamma(\tau) = \text{Im } \tau_1 \\ &\Rightarrow |\tau_1| \geq 1 \Rightarrow \tau_1 \in D. \end{aligned}$$

- b. Suppose $\tau_1, \tau_2 \in D, \tau_1 \neq \tau_2, \gamma(\tau_1) = \tau_2$ for some $\gamma \in \text{SL}_2(\mathbb{Z})$. We assume

$\text{Im } \tau_1 \leq \text{Im } \tau_2$, then

$$\begin{aligned} \text{Im } \tau_1 &\leq \frac{\text{Im } \tau_1}{|c\tau_1 + d|^2} \\ &\Rightarrow |c\tau_1 + d| \leq 1. \end{aligned}$$

Since $\text{Im } \tau_1 \geq \frac{\sqrt{3}}{2}$, then $c = 0, \pm 1$.

Case 1: $c = 0, a = d = \pm 1$

$$\begin{aligned} &\Rightarrow \tau_2 = \tau_1 \pm b \\ &\Rightarrow \begin{cases} \text{Re } \tau_1 = \pm \frac{1}{2} \\ \tau_2 = \tau_1 \mp 1 \end{cases}. \end{aligned}$$

Case 2: $c = 1, |\tau_1 + d| \leq 1$

$$\Rightarrow \begin{cases} d = 0 \Rightarrow |\tau_1| = 1, \tau_2 = -\frac{1}{\tau_1} \\ \text{or } \tau_1 = \rho, d = 1 \\ \text{or } \tau_1 = -\bar{\rho}, d = -1 \end{cases}.$$

Case 3: $c = -1$, similar to Case 2.

Here $\rho := e^{2\pi i/3} = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$. □

The quotient space $\mathcal{H}/\text{SL}_2(\mathbb{Z})$ is obtained by identifying the left side and right side of D and identifying the left and right parts of the bottom circle of D .

Assume f is holomorphic on \mathcal{H} and $\infty(\text{Im } \tau \rightarrow \infty)$.

At $p \in \mathcal{H}$, $m = \text{order of } f \text{ at } p$ means

$$\lim_{\tau \rightarrow p} \frac{f(\tau)}{(\tau - p)^m}$$

exists and not equals 0. We use $v_p(f) = m$ to represent this meaning.

At ∞ , if $a_m \neq 0$ in $f(\tau) = \sum_{n=m}^{\infty} a_n e^{2\pi i n \tau}$, write $v_{\infty}(f) = m$.

If p_1, p_2 are equivalent ($p_2 = \gamma p_1$ for some $\gamma \in \text{SL}_2(\mathbb{Z})$), then $v_{p_1}(f) = v_{p_2}(f)$.

Theorem 2.5. Suppose f is a (non-zero) modular form of weight k (k even), $\rho = e^{\frac{2\pi i}{3}}$. Then

$$v_{\infty}(f) + \frac{1}{2}v_i(f) + \frac{1}{3}v_{\rho}(f) + \sum_{p \in \mathcal{H}/\text{SL}_2(\mathbb{Z})}^* v_p(f) = \frac{k}{12} \quad (2)$$

where \sum^* means the sum is over $p \in \mathcal{H}/\text{SL}_2(\mathbb{Z})$ and $p \not\sim i, \rho$, i.e., $\sum_{p \in \mathcal{H}/\text{SL}_2(\mathbb{Z})}^* := \sum_{p \in D \setminus \{i, \rho\}}$.

$k = 2$: right side $= \frac{1}{6}$, left side either $= 0$ or $\geq \frac{1}{3}$. Hence modular forms of weight 2 don't exist.

$k = 4$: right side $= \frac{1}{3}$, $f = G_4, v_\rho f = 1, v_\infty(f) = v_i(f) = 0, \sum_{p \in \mathcal{H}}^* \mathcal{H} / \text{SL}_2(\mathbb{Z}) v_p(f) = 0$.

$k = 12$: G_4^3, G_6^2, \exists linear combination

$$\Delta = c_1 G_4^3 + c_2 G_6^2, \Delta : \text{weight} = 12$$

such that $\Delta(\infty) = 0$. Since

$$v_\infty(\Delta) + \frac{1}{2}v_i(\Delta) + \frac{1}{3}v_\rho(\Delta) + \sum^* = \frac{12}{12} = 1$$

and $v_\infty(\Delta) = 1$, we have $\Delta(\tau) \neq 0 \forall \tau \in \mathcal{H}$.

Definition 2.6. Define

$\mathcal{M}_k :=$ space of modular forms of weight k

$\mathcal{S}_k :=$ space of cusp ($f(\infty) = 0$) forms of weight k .

If $\dim \mathcal{M}_k > 0$, then

$$\dim \mathcal{S}_k = \dim \mathcal{M}_k - 1.$$

In fact, $\mathcal{M}_k = \mathcal{S}_k \oplus \mathbb{C}$

If $f \in \mathcal{M}_k$, then $\Delta f \in \mathcal{S}_{k+12}$, then we establish an isomorphism

$$\begin{aligned} \mathcal{M}_k &\rightarrow \mathcal{S}_{k+12} \\ f &\mapsto \Delta f. \end{aligned}$$

If we know $\dim \mathcal{M}_k$ for all $k \leq 12$, then we know all the conditions.

Before the proof of Theorem 2.5, we introduce the following lemma in complex analysis:

Lemma 2.7.

$$\frac{1}{2\pi i} \int_C \frac{d\tau}{\tau - \rho} = -\frac{\theta}{2\pi} \quad (3)$$

where C is given by

Proof of Theorem 2.5. Assume $f \neq 0$ on the boundary of D , except at $\tau = \rho, i - \bar{\rho}$, consider the contour L in Figure 3. Residue Theorem \Rightarrow

$$\frac{1}{2\pi i} \int_L \frac{df}{f} = \sum_p^* v_p(f). \quad (4)$$

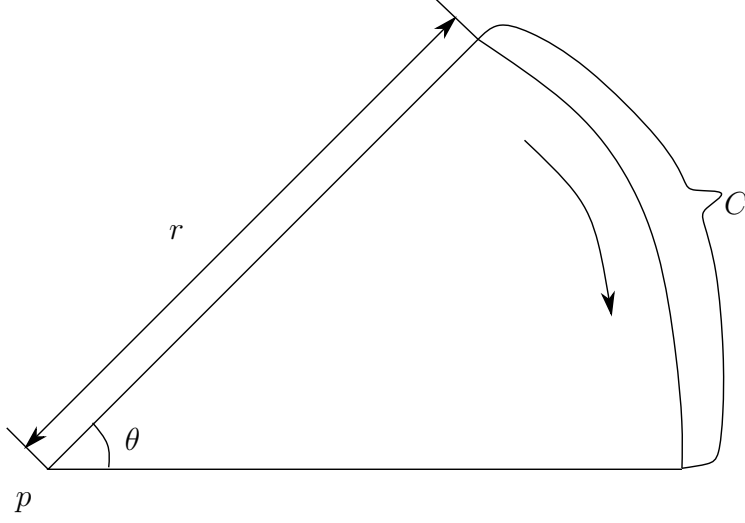


Figure 2: Argument principle

Recall $f(\tau) = g(e^{2\pi i\tau})$ and let $z = e^{2\pi i\tau}$. Set $\text{Im } A' = T$. Then $z = -e^{-2\pi T} \rightarrow -e^{-2\pi T}$ along a circle ω :

$$\frac{1}{2\pi i} \int_{A'}^A \frac{df}{f} = \frac{1}{2\pi i} \int_{\omega} \frac{dg}{g} = -v_{\infty}(f). \quad (5)$$

Since

$$\frac{1}{2\pi i} \int_A^B \frac{df}{f} = \frac{1}{2\pi i} \int_A^B \frac{df(\tau+1)}{f(\tau+1)}$$

and $\tau+1 : A' \rightarrow E'$, we get

$$\frac{1}{2\pi i} \left(\int_A^B + \int_{E'}^{A'} \right) \frac{df(\tau)}{f(\tau)} = 0. \quad (6)$$

$\tau : B \rightarrow B'$:

$$\frac{df}{f} \sim \frac{v_{\rho}(f)}{\tau - \rho},$$

hence

$$\frac{1}{2\pi i} \int_B^{B'} \frac{df}{f} \rightarrow -\frac{1}{6} v_{\rho}(f). \quad (7)$$

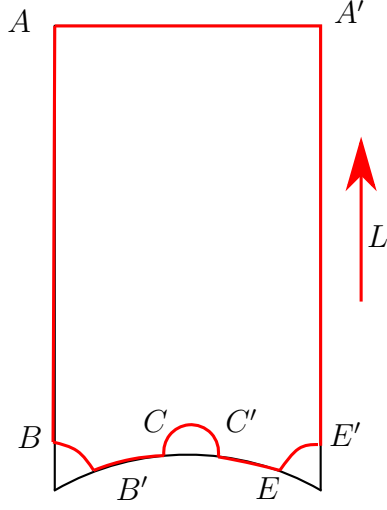


Figure 3: Countour L

$$\tau : B' \rightarrow C \Rightarrow -\frac{1}{\tau} : E \rightarrow C':$$

$$\begin{aligned} \frac{df(\tau)}{f(\tau)} &= \frac{d\tau^{-k}}{\tau^{-k}} + \frac{df(-\frac{1}{\tau})}{f(-\frac{1}{\tau})} \\ &= -k \frac{d\tau}{\tau} + \frac{df(-\frac{1}{\tau})}{f(-\frac{1}{\tau})}. \end{aligned}$$

$$\frac{1}{2\pi i} \int_{B'}^C \frac{df}{f} = \frac{1}{2\pi i} \int_{B'}^C \frac{k}{\tau} d\tau + \frac{1}{2\pi i} \int_E^{C'} \frac{df(\tau)}{f(\tau)} = \frac{k}{12} - \frac{1}{2\pi i} \int_{C'}^E \frac{df(\tau)}{f(\tau)}. \quad (8)$$

$$\tau : C \rightarrow C':$$

$$\frac{df}{f} \sim \frac{v_i(f)}{\tau - i}$$

$$\frac{1}{2\pi i} \int_C^{C'} \frac{df}{f} \rightarrow -\frac{1}{2} v_i(f). \quad (9)$$

$$\tau : E \rightarrow E': \text{ Similarly}$$

$$\frac{1}{2\pi i} \int_E^{E'} \frac{df}{f} \rightarrow -\frac{1}{6} v_\rho(f). \quad (10)$$

By (5) \rightarrow (10) we obtain the conclusion. \square

If f has a zero ($\neq \rho, -\bar{\rho}, i$) on the boundary of D , modify L as Figure 4

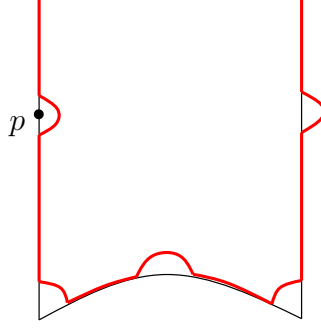


Figure 4: Modified conuntour

As vector space over \mathbb{C} , let

$\mathcal{M}_k =$ the space of modular form of weight k

$\mathcal{S}_k =$ the space of cusp form of weight k .

In case $\dim \mathcal{M}_k > 1$ say $d = \dim \mathcal{M}_k$, f_1, f_2, \dots, f_d a basis of \mathcal{M}_k . i.e.,

$$\mathcal{M}_k = \{c_1 f_1 + c_2 f_2 + \dots + c_d f_d : c_1, \dots, c_d \in \mathbb{C}\}.$$

$$\begin{aligned} c_1 f_1 + \dots + c_d f_d \in \mathcal{S}_k &\Leftrightarrow c_1 f_1(\infty) + c_d f_d(\infty) = 0 \\ &\Rightarrow \dim \mathcal{S}_k = \dim \mathcal{M}_k - 1. \end{aligned}$$

In general $\dim \mathcal{M}_k \leq \dim \mathcal{S}_k + 1$.

Write

$$\begin{aligned} g_2 &= 60G_4 \\ g_3 &= 140G_6. \end{aligned}$$

Define $\Delta = g_2^3 - 27g_3^2$, notice that g_2^3 and g_3^2 are both modular forms of weight 12. Then $\Delta \in \mathcal{M}_k$.

$$\Delta(\infty) = 0 \Rightarrow \Delta \in \mathcal{S}_k.$$

$$\begin{aligned}
(2) & \xrightarrow{f=\Delta} v_\infty(\Delta) + \frac{1}{2}v_i(\Delta) + \frac{1}{3}v_\rho(\Delta) + \sum^* v_p(\Delta) = 1 \\
\Delta(\infty) = 0 & \implies v_{oo}(\Delta) \geq 1 \\
\text{combine with the above} & \implies v_\infty(\Delta) = 1, \quad v_i(\Delta) = v_\rho(\Delta) = v_p(\Delta) = 0 \\
& \implies \Delta(\tau) \neq 0, \forall \tau \in \mathcal{H}.
\end{aligned}$$

Theorem 2.8.

- a. When $k < 0$ and $k = 2$, $\mathcal{M}_k = 0$.
- b. The map: $\begin{matrix} \mathcal{M}_k \rightarrow \mathcal{S}_{k+12} \\ f \mapsto \Delta f \end{matrix}$ is an isomorphism.
- c. When $k = 0, 4, 6, 8, 10$, $\dim \mathcal{M}_k = 1$, $\dim S_k = 0$, Their basis are $1, G_4, G_6, G_8, G_{10}$ respectively.

Proof.

- a. Suppose \exists non-zero modular $f \in \mathcal{M}_k$. Left side of (2) $\geq 0 \Rightarrow k \geq 0$.
For $k = 2$, $v_\infty(f), v_i(f), v_\rho(f), v_p(f)$ are non-negative integers. If one of them ≥ 1 , the left side of (2) $\geq \frac{1}{3}$. But the right side $= \frac{1}{6}$.
- b. It suffices to prove that $f \mapsto \Delta f$ is surjective. $\forall g \in S_{k+12}$, consider $h = \frac{g}{\Delta}$.

$$\begin{cases} v_\infty(g) \geq 1 \\ v_\infty(\Delta) = 1 \end{cases} \Rightarrow v_\infty(h) \geq 1 \Rightarrow h \text{ is holomorphic at } \infty.$$

$$\begin{cases} g \text{ is holomorphic on } \mathcal{H} \\ \Delta^{-1} \text{ is holomorphic and } \Delta \neq 0 \text{ on } \mathcal{H} \end{cases} \Rightarrow h \text{ is holomorphic on } \mathcal{H}.$$

$$\begin{aligned}
h\left(\frac{a\tau + b}{c\tau + d}\right) &= \frac{g\left(\frac{a\tau + b}{c\tau + d}\right)}{\Delta\left(\frac{a\tau + b}{c\tau + d}\right)} = \frac{(c\tau + d)^{k+12}g(\tau)}{(c\tau + d)^{12}\Delta(\tau)} = (c\tau + d)^k h(\tau) \\
&\Rightarrow h \in \mathcal{M}_k.
\end{aligned}$$

- c. For $k = 0, 4, 6, 8, 10$, \exists non-zero $f \in \mathcal{M}_k$

$$\left. \begin{aligned} f &\equiv 1 \text{ for } k = 0 \\ f &= G_k \text{ for } k \geq 4 \end{aligned} \right\} \Rightarrow \dim \mathcal{M}_k \geq 1.$$

By b we have $S_k \simeq \mathcal{M}_{k-12}$, but $k - 12 \leq 0 \Rightarrow \mathcal{M}_{k-12} = 0 \Rightarrow S_k = 0$.

□

Corollary 2.9. For $k \geq 0$, we have

$$\dim \mathcal{M}_k = \begin{cases} \left\lfloor \frac{k}{12} \right\rfloor & \text{if } k \equiv 2 \pmod{12}, \\ \left\lfloor \frac{k}{12} \right\rfloor + 1 & \text{if } k \not\equiv 2 \pmod{12}. \end{cases}$$

Proof. $0 \leq k \leq 10$ can be directly verified.

For $k = 12$, $\mathcal{S}_{12} \simeq \mathcal{M}_0 \Rightarrow \dim \mathcal{S}_{12} = 1 \Rightarrow \dim \mathcal{M}_{12} = 2$. By $\mathcal{S}_{k+12} \simeq \mathcal{M}_k$ we get

$$\dim \mathcal{M}_{k+12} = \dim \mathcal{S}_{k+12} + 1 = \dim \mathcal{M}_k + 1,$$

then use induction. □

Corollary 2.10. For $k \geq 12$, the set $\left\{ G_4^\alpha G_6^\beta : 4\alpha + 6\beta = k, \alpha, \beta \geq 0 \right\}$ is a basis of \mathcal{M}_k .

Proof. The elements of $\left\{ G_4^\alpha G_6^\beta : 4\alpha + 6\beta = k, \alpha, \beta \geq 0 \right\}$ are linearly independent and the number of $G_4^\alpha G_6^\beta$ is $\dim \mathcal{M}_k$ by Corollary 2.9. □

3 Complex Tori

A *Riemann surface* is an 1-dimensional connected complex manifold.

Proposition 3.1. If $f : S_1 \rightarrow S_2$ is a holomorphic map of compact Riemann surfaces, then either the image of f is a point, or f is surjective.

Proof. Suppose X and Y are compact Riemann surfaces and $f : X \rightarrow Y$ is holomorphic. Since f is continuous and X is compact and connected, so is the image $f(X)$, making $f(X)$ closed. Unless f is constant f is open by the Open Mapping Theorem of complex analysis, applicable to Riemann surfaces since it is a local result, making $f(X)$ open as well. So $f(X)$ is either a single point or a connected, open, closed subset of the connected set Y , i.e., all of Y . □

Assume $\omega_1, \omega_2 \in \mathbb{C}$, linearly independent over \mathbb{R} (for normalization, set $\text{Im} \frac{\omega_1}{\omega_2} > 0$).

Let $\Lambda = \omega_1 \mathbb{Z} \oplus \omega_2 \mathbb{Z} = \{ \omega_1 n_1 + \omega_2 n_2 : n_1, n_2 \in \mathbb{Z} \}$, Λ is a lattice and a discrete subgroup of \mathbb{C} .

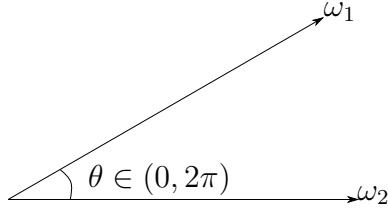


Figure 5: Relation between two numbers

Lemma 3.2. Let

$$\begin{aligned}\Lambda &= \omega_1 \mathbb{Z} \oplus \omega_2 \mathbb{Z}, \\ \Lambda' &= \omega'_1 \mathbb{Z} \oplus \omega'_2 \mathbb{Z}.\end{aligned}$$

Then $\Lambda = \Lambda' \Leftrightarrow \exists \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ such that

$$\begin{bmatrix} \omega'_1 \\ \omega'_2 \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} \omega_1 \\ \omega_2 \end{bmatrix}.$$

Definition 3.3 (Complex Tori). A *complex tori* is a quotient of \mathbb{C} by Λ :

$$\mathbb{C}/\Lambda = \{z + \Lambda : z \in \mathbb{C}\}.$$

In algebra: \mathbb{C}/Λ = an abelian group,

$$(z_1 + \Lambda) + (z_2 + \Lambda) = (z_1 + z_2) + \Lambda.$$

In topology: \mathbb{C}/Λ = the parallelogram on identifying the opposite side (see Figure 6).

$$z_1 + \Lambda = z_2 + \Lambda \Leftrightarrow z_1 - z_2 \in \Lambda.$$

Proposition 3.4. Suppose $\varphi : \mathbb{C}/\Lambda \rightarrow \mathbb{C}/\Lambda'$ is holomorphic. $\exists m, b \in \mathbb{C}$ such that

$$m\Lambda \subset \Lambda', \quad (m\Lambda = \{mz : z \in \Lambda\})$$

and

$$\varphi(z + \Lambda) = mz + b + \Lambda'.$$

φ is invertable $\Leftrightarrow m\Lambda = \Lambda'$.

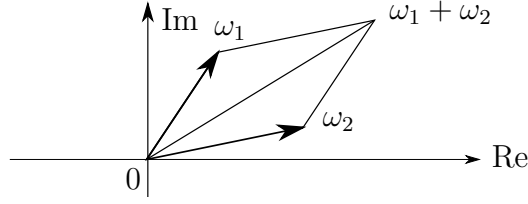


Figure 6: The parallelogram \mathbb{C}/Λ

Proof. Let

$$\begin{aligned} p : \mathbb{C} &\rightarrow \mathbb{C}/\Lambda \\ z &\mapsto z + \Lambda \end{aligned}$$

and

$$\begin{aligned} p' : \mathbb{C} &\rightarrow \mathbb{C}/\Lambda' \\ z &\mapsto z + \Lambda' \end{aligned}$$

By universal cover lifting: $\exists \tilde{\varphi} : \mathbb{C} \rightarrow \mathbb{C}$ holomorphic such that the diagram

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{\tilde{\varphi}} & \mathbb{C} \\ p \downarrow & & \downarrow p' \\ \mathbb{C}/\Lambda & \xrightarrow{\varphi} & \mathbb{C}/\Lambda' \end{array}$$

is commutative: $p' \circ \tilde{\varphi} = \varphi \circ p$. $\forall \lambda \in \Lambda$,

$$\begin{aligned} & p'(\tilde{\varphi}(z + \lambda) - \tilde{\varphi}(z)) \\ &= \varphi(p(z + \lambda)) - \varphi(p(z)) \\ &= \varphi(p(z)) - \varphi(p(z)) \\ &= 0 + \Lambda' \\ &\Rightarrow \tilde{\varphi}(z + \lambda) - \tilde{\varphi}(z) \in \Lambda'. \end{aligned}$$

Left side = holomorphic function in z taking values in discrete $\Lambda' \Rightarrow$ it is constant

$$\Rightarrow \tilde{\varphi}'(z + \lambda) - \tilde{\varphi}'(z) = 0 \text{ (here the prime symbol means taking derivative)}$$

$$\tilde{\varphi}' = \text{entire function and period} = \lambda \in \Lambda$$

$$\Rightarrow \tilde{\varphi}' \text{ is bounded}$$

$$\Rightarrow \tilde{\varphi}' = \text{constant by Liouville's Theorem}$$

$$\Rightarrow \tilde{\varphi} = mz + b \text{ for some } m, b \in \mathbb{C}$$

$$\Rightarrow \varphi(z + \Lambda) = mz + b + \Lambda'.$$

To prove $m\Lambda \subset \Lambda'$, $\forall z \in \Lambda$,

$$\left. \begin{aligned} \varphi(z + \Lambda) &= mz + b + \Lambda' \\ \varphi(0 + \Lambda) &= b + \Lambda' \end{aligned} \right\} \Rightarrow mz \in \Lambda' \text{ since } \varphi(z + \Lambda) = \varphi(0 + \Lambda).$$

φ is invertable \Leftrightarrow directly verified. \square

Corollary 3.5. Suppose $\varphi : \mathbb{C}/\Lambda \rightarrow \mathbb{C}/\Lambda'$ is holomorphic:

$$\varphi(z + \Lambda) = mz + b + \Lambda', \quad m\Lambda \subset \Lambda'.$$

Then φ is a group homomorphism $\Leftrightarrow b \in \Lambda'$.

Definition 3.6. A nonzero holomorphic homomorphism:

$$\mathbb{C}/\Lambda \rightarrow \mathbb{C}/\Lambda'$$

is called an *isogeny*.

Isogeny is surjective, its kernel is finite (it is discrete, otherwise the map is zero).

A *curve* C (in \mathbb{R}^2) means \exists polynomial $F(x, y)$ such that

$$(x, y) \in C \Leftrightarrow F(x, y) = 0.$$

Assume $\Lambda = \omega_1\mathbb{Z} \oplus \omega_2\mathbb{Z}$, then \mathbb{C}/Λ = a complex curve, why?

Define (Weierstrass- p function)

$$p = p_\Lambda : \mathbb{C} \rightarrow \mathbb{C} \cup \{\infty\}$$

by

$$p(z) = \frac{1}{z^2} + \sum_{\substack{\omega \in \Lambda \\ \omega \neq 0}} \left(\frac{1}{(z + \omega)^2} - \frac{1}{\omega^2} \right).$$

At each $z \in \Lambda$, $p(z)$ has a double pole, otherwise $p(z)$ is holomorphic.

$\forall \lambda \in \Lambda$, $\lambda \neq 0$,

$$p(z - \lambda) = \frac{1}{(z - \lambda)^2} + \sum_{\substack{\omega \in \Lambda \\ \omega \neq 0 \\ \omega \neq -\lambda}} \left(\frac{1}{(z - \lambda - \omega)^2} - \frac{1}{\omega^2} \right) + \left(\frac{1}{z^2} - \frac{1}{\lambda^2} \right).$$

By the virtue of

$$\lim_{z \rightarrow \infty} \left(\sum_{\substack{\omega \in \Lambda \\ |\omega| < z \\ \omega \neq 0, -\lambda}} \frac{1}{\omega^2} - \sum_{\substack{\omega \in \Lambda \\ |\omega| < z \\ \omega \neq 0, -\lambda}} \frac{1}{(\omega + \lambda)^2} \right) = 0$$

$\Rightarrow p(z)$ is Λ -periodical,

i.e., $p(z - \lambda) = p(z), \forall \lambda \in \Lambda$.

$$p'(z) = -2 \sum_{\omega \in \Lambda} \frac{1}{(z - \omega)^3}, p'(z - \lambda) = p'(z), \forall \lambda \in \Lambda.$$

Identify \mathbb{C}/Λ with the parallelogram (see Figure 6), consider

$$\begin{aligned} \left\{ \frac{\mathbb{C}}{\Lambda} \right\} &\rightarrow \mathbb{C}^2 \\ z &\mapsto (p(z), p'(z)). \end{aligned}$$

For $k = \text{even} > 2$,

$$G_k(\Lambda) = \sum_{\substack{\omega \in \Lambda \\ \omega \neq 0}} \frac{1}{\omega^k} = \sum_{(c,d) \neq (0,0)} \frac{1}{(c\omega_1 + d\omega_2)^k}.$$

Laurent expansion of $p(z)$ and $p'(z)$ at $z = 0, \omega \neq 0, \omega \in \Lambda, |z| < |\omega| \Rightarrow \frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} = \frac{1}{\omega^2} \left(\frac{1}{(1-\frac{z}{\omega})^2} - 1 \right) = \dots \Rightarrow$ when $z \rightarrow 0$:

$$p(z) = \frac{1}{z^2} + 3G_4(\Lambda)z^2 + 5G_6(\Lambda)z^4 + \mathcal{O}(|z|^6) \quad (11)$$

$$p'(z) = -\frac{2}{z^3} + 6G_4(\Lambda)z + 20G_6(\Lambda)z^3 + \mathcal{O}(|z|^5). \quad (12)$$

Write

$$\begin{aligned} g_2(\Lambda) &= 60G_4(\Lambda) \\ g_3(\Lambda) &= 140G_6(\Lambda). \end{aligned}$$

(11),(12) \Rightarrow the function

$$F(z) = p'^2(z) - [4p^3(z) - g_2(\Lambda)p(z) - g_3(\Lambda)]$$

is holomorphic and $F(0) = 0 \Rightarrow F(z) \equiv 0$ (bounded entire function) \Rightarrow the point $(p(z), p'(z))$ lies in the curve

$$E : y^2 = 4x^3 - g_2(\Lambda)x - g_3(\Lambda). \quad (13)$$

Proposition 3.7. The map $\varphi : \frac{\mathbb{C}}{\Lambda} \setminus \{0\} \rightarrow \begin{matrix} E \\ \mapsto (p(z), p'(z)) \end{matrix}$ is bijective.

Proof. (i) We prove $\forall s \in \mathbb{C}$, the function $p(z) - s$ has exactly two roots on \mathbb{C}/Λ . First assume $\varphi(z) - s \neq 0$ on boundary of \mathbb{C}/Λ .

$$\# \text{ of roots} = \frac{1}{2\pi i} \int_L \frac{p'(z)}{p(z) - s} ds$$

where L is the countour in Figure 7.

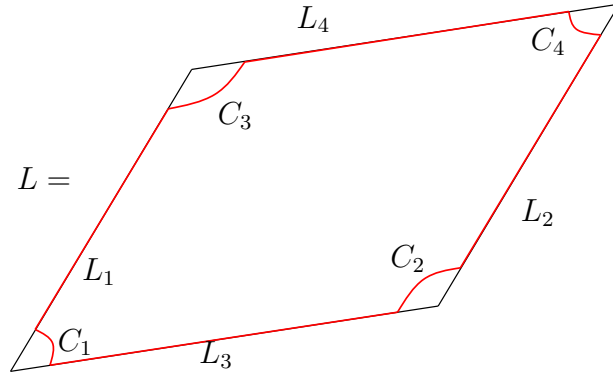


Figure 7: Countour L on boundary of \mathbb{C}/Λ

$$\begin{aligned} p(z + \omega_2) = p(z) &\Rightarrow \int_{L_1} + \int_{L_2} = 0, \\ p(z + \omega_1) = p(z) &\Rightarrow \int_{L_3} + \int_{L_4} = 0. \end{aligned}$$

$$z \rightarrow 0, \begin{aligned} \frac{p(z) - s}{p'(z)} &\sim \frac{\frac{1}{z^2}}{-\frac{2}{z^3}} \Rightarrow \frac{p'(z)}{p(z) - s} \sim -\frac{2}{z} \end{aligned}$$

$$\Rightarrow \frac{1}{2\pi i} \int_{C_1} \rightarrow -2 \frac{-\theta}{2\pi} = \frac{\theta}{\pi}.$$

Similarly,

$$\frac{1}{2\pi i} \int_{C_3} \rightarrow \frac{\pi - \theta}{\pi}.$$

$$\Rightarrow \frac{1}{2\pi i} \left(\int_{C_1} + \int_{C_3} \right) \rightarrow 1.$$

Similarly,

$$\frac{1}{2\pi i} \left(\int_{C_2} + \int_{C_4} \right) \rightarrow 1.$$

Hence

$$\frac{1}{2\pi i} \int_L \frac{p'(z)}{p(z) - s} ds = 2.$$

Remark. If

$$\begin{aligned} p(z) - s &= 0 \\ z &\neq -z + \Lambda \in \mathbb{C}/\Lambda \end{aligned}$$

then $p(z) - s = 0$ has two distinct roots (if z is the root of $p(z) - s = 0$, then $-z + \lambda, \lambda \in \Lambda$ is also the root).

If $z = \frac{\omega_1}{2}, \frac{\omega_2}{2}, \frac{\omega_1 + \omega_2}{2}$, then

$$\begin{aligned} -z + \Lambda &= z \\ \Rightarrow p'(z) &= p'(-z) = -p'(z) \\ \Rightarrow p'(z) &= 0 \\ \Rightarrow z &\text{ is a double root.} \end{aligned}$$

If $p(z) = 0$ on the boundary, then modify L .

(ii) φ is surjective.

$\forall (x, y) \in E, (i) \Rightarrow \exists z$ such that $p(z) = x$. Let $y' = p'(z)$, then

$$(x, y) \in E, (x, y') \in E \Rightarrow y'^2 = y^2 \Rightarrow y' = \pm y.$$

If $y' = -y \neq 0$, then:

$\exists \lambda \in \Lambda$ such that $-z + \lambda \neq z \Rightarrow$ and :

$$\begin{cases} p(-z + \lambda) = x, \\ p'(-z + \lambda) = -y' = y \quad (\text{recall } p'(-z + \lambda) = -p'(z)). \end{cases}$$

(iii) φ is injective.

Suppose $\varphi(z_1) = \varphi(z_2) = (x, y)$

$$\Rightarrow p(z_1) = p(z_2) = x \Rightarrow z_2 = z_1 \text{ or } z_2 = -z_1 + \lambda.$$

In case $z_2 = -z_1 + \lambda$,

$$\begin{aligned} \Rightarrow y &= p'(z_2) = p'(-z_1 + \lambda) = -p'(z_1) = -y \\ \Rightarrow p'(z_1) &= 0 \end{aligned}$$

$\Rightarrow p'(z_1) = 0$, z_1 is a double root of $p(z) - x = 0 \Rightarrow z_2 = z_1$. □

Recall

$$G_k(\Lambda) = \sum_{(c,d) \neq (0,0)} \frac{1}{(c\omega_1 + d\omega_2)^k}$$

and

$$\begin{aligned} G_k(\tau) &= \sum_{(c,d) \neq (0,0)} \frac{1}{c\tau + d} \\ \Rightarrow G_k(\Lambda) &= \frac{1}{\omega_2^k} G_k\left(\frac{\omega_1}{\omega_2}\right). \end{aligned}$$

$$\begin{aligned} \delta(\tau) &= g_2^3(\tau) - 27g_3^2(\tau) \\ \Rightarrow g_2^3(\Lambda) - 27g_3^2(\Lambda) &= \delta\left(\frac{\omega_1}{\omega_2}\right) \frac{1}{\omega_2^{12}} \neq 0. \text{ (Recall } \delta(\infty) = 0, \delta(\tau) \neq 0 \forall \tau \in \mathcal{H} \text{)} \end{aligned}$$

Definition 3.8. Suppose $C : F(x, y)$ is a curve. If $\forall (x_0, y_0) \in C$ we have

$$\frac{\partial F}{\partial x}|_{(x_0, y_0)} \neq 0 \text{ or } \frac{\partial F}{\partial y}|_{(x_0, y_0)} \neq 0,$$

then C is a non-singular curve.

Let $E : y^2 - (4x^3 - g_2(\Lambda)x - g_3(\Lambda)) = 0$, then

$$\begin{aligned} E \text{ is non-singular} &\Leftrightarrow 4x^3 - g_2(\Lambda)x - g_3(\Lambda) = 0 \text{ has no multiple roots} \\ &\Leftrightarrow g_2^3(\tau) - 27g_3^2(\tau) \neq 0. \end{aligned}$$

Given $E : y = 4x^3 - C_2x - C_3$, $\Delta = C_2^3 - C_3^2$. If $\exists \Lambda$ such that

$$\begin{cases} C_2 = g_2(\Lambda) \\ C_3 = g_3(\Lambda) \end{cases}$$

then $\Delta \neq 0$, E is a non-singular curve. Let

$$j(\tau) := \frac{1728g_2^3(\tau)}{\Delta(\tau)}. \tag{14}$$

It is easy to verify that

$$j\left(\frac{a\tau + b}{c\tau + d}\right) = j(\tau), \forall \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z}),$$

$j(\tau)$ is holomorphic on \mathcal{H} ($\Delta(\tau) \neq 0$ on \mathcal{H}) and $j(\tau)$ has a simple pole at ∞ .

Lemma 3.9. The map $\begin{matrix} \mathcal{H} & \rightarrow & \mathbb{C} \\ \tau & \mapsto & j(\tau) \end{matrix}$ is surjective.

Proof. $\forall s \in \mathbb{C}$, let $f = f_s = 1728g_2^3 - s\Delta$, i.e.,

$$f(\tau) = 1728g_2^3(\tau) - s\Delta(\tau).$$

f is of weight 12 modular form.

$$\begin{aligned} f(\infty) &\neq 0 \\ \Rightarrow \frac{1}{2}v_i(f) + \frac{1}{3}v_\rho(f) + \sum^* v_p(f) &= 1 \\ \Rightarrow \text{one of } v_i(f), v_\rho(f), v_p(f) &> 0. \end{aligned}$$

□

Proposition 3.10. If $a_2^3 - 27a_3^2 \neq 0$ then $\exists \Lambda$ such that

$$\begin{cases} g_2(\Lambda) = a_2 \\ g_3(\Lambda) = a_3. \end{cases}$$

Proof. Lemma 3.9 $\Rightarrow \exists \tau \in \mathcal{H}$ such that

$$\begin{aligned} j(\tau) &= \frac{1728g_2^3(\tau)}{\Delta(\tau)} \\ \Rightarrow \frac{g_2(\tau)^3}{g_2^3(\tau) - 27g_3^2(\tau)} &= \frac{a_2^3}{a_2^3 - 27a_3^2} \\ \Rightarrow \frac{a_2^3}{g_2^3(\tau)} &= \frac{a_3^2}{g_3^2(\tau)}. \end{aligned}$$

Choose $\omega_2 \neq 0$ such that $\frac{a_2}{g_2(\tau)} = \omega_2^4$, let $\omega_1 = \tau\omega_2 \Rightarrow \frac{a_2}{g_2(\Lambda)} = \frac{a_2}{g_2(\tau)\omega_2^4} = 1 \Rightarrow \frac{a_3^2}{g_3^2(\Lambda)} = \frac{a_3^2}{g_3^2(\tau)\omega_2^6} = 1 \Rightarrow \frac{a_3}{g_3(\Lambda)} = \pm 1$. Replace ω_2 by $i\omega_2$ if necessary $\Rightarrow \frac{a_3}{g_3(\Lambda)} = 1$. □

Remark. \exists a surjection between { complex tori } and { curves $E : y^2 = 4x^3 - a_2x - a_3, a_2^3 - 27a_3^2 \neq 0$ }. Write

$$\begin{aligned}\Lambda &= \omega_1\mathbb{Z} \oplus \omega_2\mathbb{Z} \quad \tau = \frac{\omega_1}{\omega_2} \in \mathcal{H}, \\ \Lambda' &= \omega'_1\mathbb{Z} \oplus \omega'_2\mathbb{Z} \quad \tau' = \frac{\omega'_1}{\omega'_2} \in \mathcal{H}.\end{aligned}$$

Recall that

$\varphi : \mathbb{C}/\Lambda \rightarrow \mathbb{C}/\Lambda'$ is holomorphically group-homomorphism $\Leftrightarrow \exists m \in \mathbb{C}$ such that $\varphi(z + \Lambda) = mz + \Lambda', m\Lambda \subset \Lambda'$.

φ is isomorphic $\Leftrightarrow m\Lambda = \Lambda'$.

$\mathbb{C}/\Lambda \simeq \mathbb{C}/\Lambda' \Leftrightarrow m \in \mathbb{C}$ such that $m\Lambda = \Lambda' \Leftrightarrow \begin{bmatrix} m\omega_1 \\ m\omega_2 \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} \omega'_1 \\ \omega'_2 \end{bmatrix}$ for some

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{SL}_2(\mathbb{Z})$$

$$\begin{aligned}\frac{m\omega_1}{m\omega_2} &= \frac{a\omega'_1 + b\omega'_2}{c\omega'_1 + d\omega'_2} \\ \Leftrightarrow \tau &= \frac{a\tau' + b}{c\tau' + d} \\ \Leftrightarrow \tau, \tau' &\text{ are } \text{SL}_2(\mathbb{Z})\text{-equivalent}\end{aligned}$$

.

$\Rightarrow \exists$ a bijection between { isomorphism class of \mathbb{C}/Λ } and { $\text{SL}_2(\mathbb{Z})$ -equivalence class of \mathcal{H} }.

$$\mathbb{C}/\Lambda \rightarrow \tau.$$

4 The Congruence Subgroup Case: Basic Results

Let

$$\begin{aligned}\Gamma &:= \text{a congruence subgroup,} \\ s, s' &\in \mathbb{Q} \cup \{\infty\}, \infty := \lim_{\text{Im } \tau \rightarrow \infty} \tau, \tau \in \mathcal{H},\end{aligned}$$

$$\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma$$

$$\gamma(s) := \frac{as + b}{cs + d}.$$

Then

$$\gamma(\infty) = \begin{cases} \frac{a}{c} & \text{if } c \neq 0 \\ \infty & \text{if } c = 0 \end{cases} \quad \text{and} \quad \gamma(s) = \infty \text{ if } cs + d = 0, s \in \mathcal{H}.$$

If $s' = \gamma(s), \gamma \in \Gamma, s' \neq s$ are Γ -equivalent, denoted by $s' \sim s$.

Definition 4.1. A *cusps* of Γ is a Γ -equivalence class of points in $\mathbb{Q} \cup \{\infty\}$.

Exercise 4.1.

- a. Let $\Gamma = \mathrm{SL}_2(\mathbb{Z})$, only one cusp $= \{\infty\}$.
- b. Let p be prime, $\Gamma_0(p) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : c \equiv 0 \pmod{p} \right\}$. How many cusps?

Solution. The first is obvious. Consider $\frac{m}{n} \in \mathbb{Q}, (m, n) = 1, n > 0$.

$$\begin{aligned} \frac{m}{n} &\sim \infty \\ \Leftrightarrow c \frac{m}{n} + d &= 0 \Leftrightarrow n \equiv 0 \pmod{p}. \end{aligned}$$

Hence one cusp $= \left\{ \frac{m}{n}, n \equiv 0 \pmod{p} \right\} \cup \{\infty\}$ and another cusp $= \left\{ \frac{m}{n} : (m, n) = (n, p) = 1 \right\}$.

Proposition 4.2. Let Γ be a congruent subgroup, $\mathbb{C}/\Lambda, \Gamma \backslash \mathcal{H}$ be the quotient space of Γ acting on \mathcal{H} . Let

$$X(\Gamma) = \Gamma \backslash \mathcal{H} \cup [\text{cusps of } \Gamma].$$

Then $X(\Gamma)$ has a natural structure as a compact Riemann surface.

Definition 4.3 (Elliptic points w.r.t Γ). $\tau \in \mathcal{H}$ is an *elliptic point* of Γ if $\exists \gamma \in \Gamma, \gamma \neq \pm I$ such that $\gamma(\tau) = \tau$.

$$\gamma(\tau) = \tau \Leftrightarrow \sigma \gamma \sigma^{-1}(\sigma(\tau)) = \sigma(\tau).$$

γ is an elliptic point of $\Gamma \Rightarrow \tau$ is an elliptic point of $\mathrm{SL}_2(\mathbb{Z})$.

Lemma 4.4. Let $\gamma \in \mathrm{SL}_2(\mathbb{Z})$.

- a. If $\gamma^2 = -I$, then γ is conjugate to $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}^{\pm 1}$, i.e.,

$$\sigma \gamma \sigma^{-1} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}^{\pm 1}.$$

- b. If $\gamma^2 + \gamma + I = 0$, then γ is conjugate to $\begin{bmatrix} 0 & 1 \\ -1 & -1 \end{bmatrix}^{\pm 1}$.

c. If $\gamma^2 - \gamma + I = 0$, then γ is conjugate to $\begin{bmatrix} 0 & -1 \\ 1 & 1 \end{bmatrix}^{\pm 1}$.

Lemma 4.5. Any elliptic point of $\text{SL}_2(\mathbb{Z})$ is equivalent to i or $\rho = e^{\frac{2\pi i}{3}}$.

Proof. For $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \neq \pm I$,

$$\begin{aligned} \gamma(\tau) = \tau &\Leftrightarrow a\tau + b = c\tau^2 + d\tau \\ &\Leftrightarrow c\tau^2 + (d - a)\tau - b = 0. \end{aligned}$$

If $c = 0$, then $a = d \Rightarrow \gamma = \pm I$. Assume $c \neq 0$, $\tau \notin \mathbb{R} \Rightarrow (d - a)^2 + 4bc < 0$

$$\begin{aligned} &\Rightarrow (d + a)^2 + 4(bc - ad) < 0 \\ &\Rightarrow (d + a)^2 - 4 < 0 \\ &\Rightarrow |d + a| < 2 \\ &\Rightarrow \left| \begin{matrix} a - x & b \\ c & d - x \end{matrix} \right| = x^2 + 1 \text{ or } x^2 \pm x + 1 \\ &\Rightarrow \gamma^2 + I = 0 \text{ or } \gamma^2 \pm \gamma + I = 0. \end{aligned}$$

By Lemma 4.4:

$$\begin{aligned} \gamma^2 + I = 0 &\Rightarrow \gamma = \sigma \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}^{\pm 1} \sigma^{-1} \\ \gamma(\tau) = \tau &\Rightarrow \tau \sim i. \end{aligned}$$

Similarly $\gamma^2 \pm \gamma + I = 0 \Rightarrow \tau \sim \rho$. □

Let

$$\begin{aligned} \mathcal{M}_k(\Gamma) &= \text{space of weight } k \text{ modular forms w.r.t. } \Gamma, \\ \mathcal{S}_k(\Gamma) &= \text{space of weight } k \text{ cusp forms w.r.t. } \Gamma, \\ g &= \text{genus of } X(\Gamma). \end{aligned}$$

Theorem 4.6. Suppose k is even,

$$\begin{aligned} \varepsilon_2 &= \text{number of elliptic points of } \Gamma \text{ which are } \text{SL}_2(\mathbb{Z}) \sim i, \\ \varepsilon_3 &= \text{number of elliptic points of } \Gamma \text{ which are } \text{SL}_2(\mathbb{Z}) \sim \rho, \\ \varepsilon_\infty &= \text{number of cusps of } \Gamma. \end{aligned}$$

Then

$$\dim \mathcal{M}_k(\Gamma) = \begin{cases} (k-1)(g-1) + \left[\frac{k}{4}\right] \varepsilon_2 + \left[\frac{k}{3}\right] \varepsilon_3 + \frac{k}{2} \varepsilon_\infty & k \geq 2, \\ 1 & k = 0, \\ 0 & k < 0. \end{cases}$$

$$\dim \mathcal{S}_k(\Gamma) = \begin{cases} (k-1)(g-1) + \left[\frac{k}{4}\right] \varepsilon_2 + \left[\frac{k}{3}\right] \varepsilon_3 + \left(\frac{k}{2} - 1\right) \varepsilon_\infty & k \geq 4, \\ g & k = 2, \\ 0 & k \leq 0. \end{cases}$$

$X(\Gamma)$ $\stackrel{\text{called}}{=}$ a modular curve.

Modularity Theorem (Version $X_{\mathbb{C}}$). Suppose \mathbb{C}/Λ is a complex elliptic curve with $j(\Lambda) \in \mathbb{Q}$. Then for some $N \in \mathbb{N}$, there exists a surjective holomorphic function $X(\Gamma_0(N)) \rightarrow \mathbb{C}/\Lambda$.

5 Hecke Operators

Let Γ_1 and Γ_2 be congruence subgroups,

$$\mathrm{GL}_2^+(\mathbb{Q}) := \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} : a, b, c, d \in \mathbb{Q}, ad - bc > 0 \right\}.$$

Let $\alpha \in \mathrm{GL}_2^+(\mathbb{Q})$, write

$$\Gamma_1 \alpha \Gamma_2 := \{ \gamma_1 \alpha \gamma_2 : \gamma_1 \in \Gamma_1, \gamma_2 \in \Gamma_2 \}.$$

$\Gamma_1 \alpha \Gamma_2$ is called a double coset in $\mathrm{GL}_2^+(\mathbb{Q})$.

Remark. Let G = group, S = set, then G acts on S is denoted by $G \backslash S = \{ \text{orbits of } G \text{ on } S \} = \{Gs : s \in S\}$. Indeed, if S = group $G \triangleleft S \Rightarrow G \backslash S = \{Gs : s \in S\} = S/G$.

Fact: $\Gamma_1 \backslash \Gamma_1 \alpha \Gamma_2 = \{ \Gamma_1 \alpha \gamma_2 : \gamma_2 \in \Gamma_2 \}$ is finite.

Γ = congruence subgroup $\Rightarrow [\mathrm{SL}_2(\mathbb{Z})_2(\mathbb{Z}) : \Gamma] < \infty$.

Lemma 5.1. Let Γ be a congruence subgroup and $\alpha \in \mathrm{GL}_2^+(\mathbb{Q})$, then $\alpha^{-1} \Gamma \alpha \cap \mathrm{GL}_2^+(\mathbb{Q})$ is a congruence subgroup.

Proof. $\exists \tilde{N} \in \mathbb{N}$ such that $\Gamma(\tilde{N}) \subset \Gamma$ and

$$\tilde{N} \alpha \in M_2(\mathbb{Z}) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} : a, b, c, d \in \mathbb{Z} \right\}, \tilde{N} \alpha^{-1} \in M_2(\mathbb{Z}).$$

Let $N = \tilde{N}^3$,

$$\begin{aligned}\alpha\Gamma(N)\alpha^{-1} &\subset \alpha(I + NM_2(\mathbb{Z}))\alpha^{-1} \\ &= I + \tilde{N}\tilde{N}\alpha M_2(\mathbb{Z})\tilde{N}\alpha^{-1} \\ &\subset I + \tilde{N}M_2(\mathbb{Z})\end{aligned}$$

$$\begin{aligned}\Rightarrow \alpha\Gamma(N)\alpha^{-1} &\subset \mathrm{SL}_2(\mathbb{Z}) \cap (I + \tilde{N}M_2(\mathbb{Z})) = \Gamma(\tilde{N}) \\ \Leftrightarrow \alpha\Gamma(N)\alpha^{-1} &\subset \Gamma(\tilde{N}) \\ \Rightarrow \Gamma(N) &\subset \alpha^{-1}\Gamma(\tilde{N})\alpha \subset \alpha^{-1}\Gamma\alpha \\ \Rightarrow \Gamma(N) &\subset \alpha^{-1}\Gamma\alpha \cap \mathrm{SL}_2(\mathbb{Z}) \\ \Rightarrow \alpha^{-1}\Gamma\alpha \cap \mathrm{SL}_2(\mathbb{Z}) &\text{ is a congruence sub group.}\end{aligned}$$

□

Lemma 5.2. Write $\Gamma_3 = \alpha^{-1}\Gamma_1\alpha \cap \Gamma_2$ ($\Gamma_3 \subset \Gamma_2$),

$$\Gamma_1 \backslash \Gamma_1\alpha\Gamma_2 = \{\Gamma_1\alpha\gamma_2 : \gamma_2 \in \Gamma_2\}.$$

The map $\varphi : \begin{array}{ccc} \Gamma_2 & \rightarrow & \Gamma_1 \backslash \Gamma_1\alpha\Gamma_2 \\ \gamma_2 & \mapsto & \Gamma_1\alpha\gamma_2 \end{array}$ induces a bijection

$$\Gamma_3 \backslash \Gamma_2 \rightarrow \Gamma_1 \backslash \Gamma_1\alpha\Gamma_2.$$

Proof. It is obvious that φ is surjective. Suppose $\varphi(\gamma_2) = \varphi(\gamma'_2)$,

$$\begin{aligned}\Gamma_1\alpha\gamma_2 &= \Gamma_1\alpha\gamma'_2 \\ \Leftrightarrow \Gamma_1\alpha\gamma_2\gamma'^{-1}_2 &= \Gamma_1\alpha \\ \Leftrightarrow \alpha(\gamma_2\gamma'^{-1}_2)\alpha^{-1} &\in \Gamma_1 \\ \Leftrightarrow \gamma_2\gamma'^{-1}_2 &\in \alpha^{-1}\Gamma_1\alpha \\ \Leftrightarrow \gamma_2\gamma'^{-1}_2 &\in \Gamma_3.\end{aligned}$$

□

Zeta function is defined by

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_p \left(1 - \frac{1}{p^s}\right)^{-1}, \text{ Re } s > 1.$$

Let $f = \text{cusp form at } \infty : f(\tau) = \sum_{n=1}^{\infty} a_n(f)e^{2\pi n\tau}$. Define

$$L(f, s) = \sum_{n=1}^{\infty} a_n(f)n^{-s}$$

for some f . Then

$$L(f, s) = \prod_p \left(1 - \frac{a_p(f)}{p^s} + \frac{1}{p^{2s}} \right)^{-1}.$$

5.1 How to draw the fundamental domain for some types of congruence subgroup Γ (by a student in class)

1. Principle

Γ = congruence subgroup, $\exists \min h > 0$ s.t. $\begin{bmatrix} 1 & h \\ 0 & 1 \end{bmatrix} \in \Gamma$. Recall

$$\text{Im } \gamma(\tau) = \frac{\text{Im}(\tau)}{|c\tau + d|^2}$$

$$\begin{aligned} D &:= \{ \tau \in \mathcal{H} : 0 \leq \text{Re}(\tau) \leq h, \text{Im}(\tau) \text{ max on } \Gamma\tau \} \\ &= \left\{ \tau \in \mathcal{H} : 0 \leq \text{Re}(\tau) \leq h, \forall \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma, |c\tau + d| \geq 1 \right\}. \end{aligned}$$

Proposition 5.3. D is a fundamental domain for $\Gamma \backslash \mathcal{H}$.

Proof.

- a. $\forall \tau \in \mathcal{H}, \exists \gamma \in \Gamma$ s.t. $\gamma(\tau) \in D$
- b. If $\tau \in D$, $\Gamma\tau \cap D = \{\tau\}$.

□

2. An example

$\Gamma = \Gamma_0(13)$

$$\begin{bmatrix} a' & b' \\ c & d \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix}^{-1} = \begin{bmatrix} a' & b' \\ c & d \end{bmatrix} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} = \begin{bmatrix} 1 & \alpha \\ 0 & 1 \end{bmatrix}.$$

$\gamma \in \text{SL}_2(\mathbb{Z})$ maintain

$$ds^2 = \frac{dx^2 + dy^2}{y^2}.$$

Circles in this metric are geodesics, hence γ maps circles to circles or vertical lines (See Figure 8).

Then we can draw the fundamental domain D (See Figure 9).

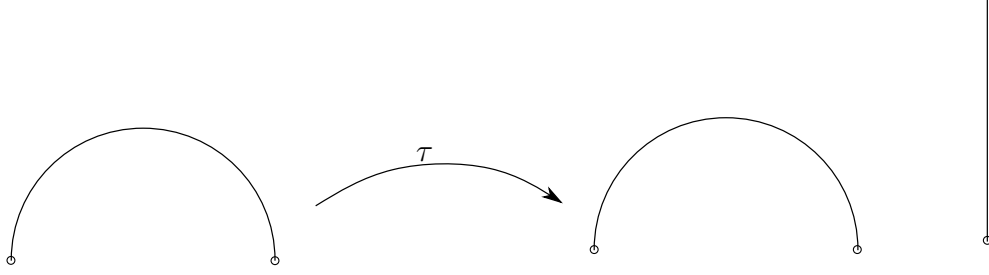


Figure 8: transform of circles

$$\textcircled{1} \quad \gamma = \begin{bmatrix} 1 & 0 \\ -13 & 1 \end{bmatrix} : \begin{matrix} 0 \\ \frac{2}{13} \end{matrix} \rightarrow \begin{matrix} 0 \\ -\frac{2}{13} \end{matrix} \rightarrow \begin{matrix} 1 \\ \frac{11}{13} \end{matrix}$$

$$\textcircled{2} \quad \gamma = \begin{bmatrix} -6 & 1 \\ -13 & 2 \end{bmatrix} : \begin{matrix} \frac{1}{13} \\ \frac{3}{13} \end{matrix} \rightarrow \begin{matrix} \frac{7}{13} \\ \frac{5}{13} \end{matrix}$$

...

3. What can we see from the fundamental domain

$$d := [\mathrm{SL}_2(\mathbb{Z}) : \{\pm I\} \Gamma].$$

Let $D_0 = \Gamma \backslash \mathcal{H}$, then $d = \frac{|D|}{|D_0|}$.

$$\begin{aligned} S &= \iint_S \frac{dx dy}{y^2} \\ &= \int_{r \cos \alpha}^{r \cos \beta} \int_{\sqrt{r^2 - x^2}}^{\infty} \frac{dy}{y^2} dx \\ &= \int_{r \cos \alpha}^{r \cos \beta} \frac{1}{\sqrt{r^2 - x^2}} dx \\ &= \beta - \alpha. \end{aligned}$$

We can also calculate it by Gauss-Bonnet Theorem.

$$d = (\Gamma_0(13)) = 14.$$

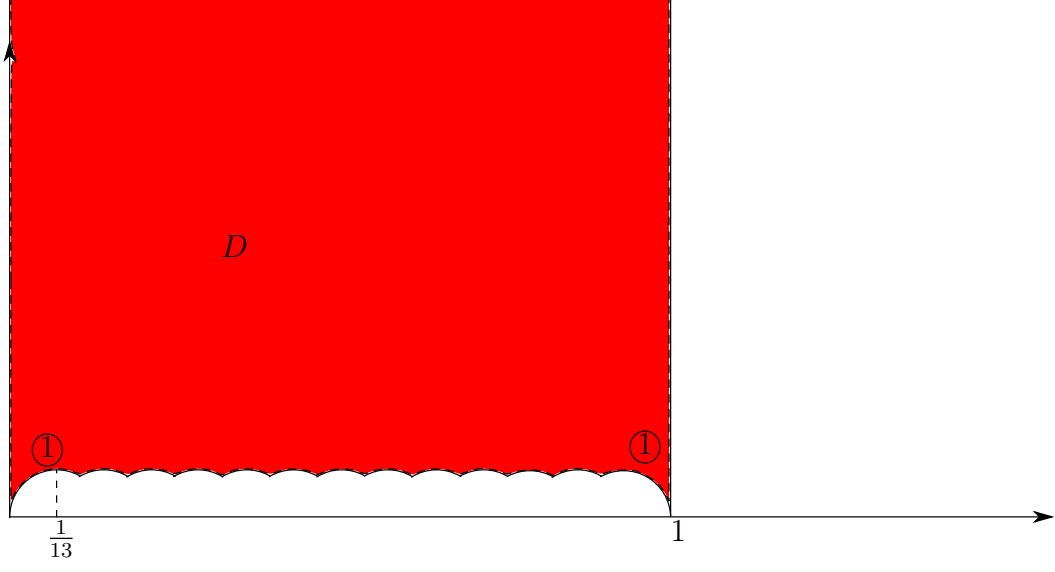


Figure 9: fundamental domain of $\Gamma_0(13)$

We can easily calculate $\varepsilon_2 = 2, \varepsilon_3 = 2, \varepsilon_\infty = 2$. Since

$$2 - 2g = V - E + F,$$

and $V = 8, E = 7, F = 1$, we get $g = 0$.

Proposition 5.4 (Genus formula).

$$g = 1 + \frac{d}{12} - \frac{\varepsilon_\infty}{2} - \frac{\varepsilon_3}{3} - \frac{\varepsilon_2}{4}$$

Proof. Cusp points: n_1, n_2, \dots, n_t ,

$$|D| = \pi(n_1 + \dots + n_t) + \frac{\pi}{3}(\varepsilon_3 + 3(V - (\varepsilon_\infty - 1) - \varepsilon_2 - \varepsilon_3))$$

On the other hand,

$$\begin{aligned} |D| &= d \frac{\pi}{3}. \\ \Rightarrow d &= 3V - 3\varepsilon_2 - 2\varepsilon_3 + 3\delta \\ V &= \frac{d}{3} + \varepsilon_2 + \frac{2}{3}\varepsilon_3 - \delta \end{aligned}$$

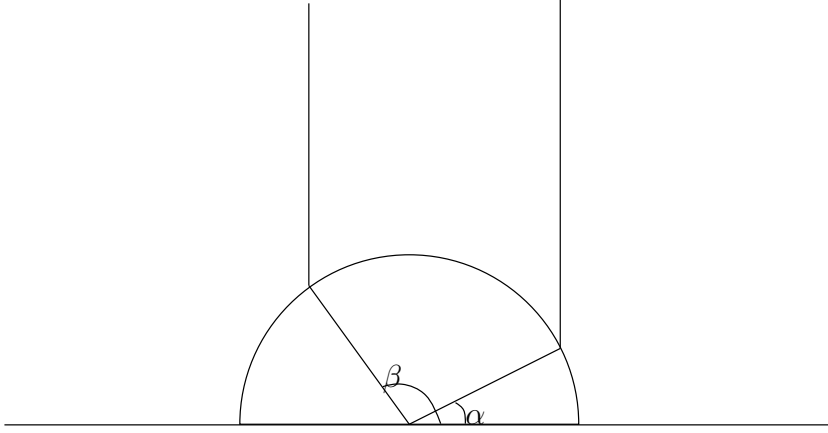


Figure 10: area of the domain

where $\delta = (n_1 + \cdots + n_t) - (\varepsilon_\infty - 1)$.

$$\begin{aligned}
 2E &= 3(V - (\varepsilon_\infty - 1) - \varepsilon_2 - \varepsilon_3) + (n_1 + \cdots + n_t) + \varepsilon_2 + \varepsilon_3 \\
 \Rightarrow E &= \frac{3V}{2} - (\varepsilon_\infty - 1) - \varepsilon_2 - \varepsilon_3 + \frac{\delta}{2} \\
 &= \frac{d}{2} - (\varepsilon_\infty - 1) + \frac{\varepsilon_2}{2} - \delta.
 \end{aligned}$$

$$F = 1.$$

□

Proposition 5.5. Let $0 \neq f \in \mathcal{M}_k(\Gamma)$, then

$$\left(\sum_{p \in \text{cusp}} v_p(f) + \frac{1}{2} \left(\sum_{p \in \#2} v_p(f) \right) + \frac{1}{3} \left(\sum_{p \in \#3} v_p(f) \right) \right) + \sum^* v_p(f) = \frac{dk}{12}$$