

# ALGEBRAIC GEOMETRY

## PART I

WANG YUNLEI

ABSTRACT. It is a note when I study algebraic geometry myself in YouTube from the channel ICTP Math, the speaker of the videos is Lothar Göttsche.

### CONTENTS

1.	Functions And Morphisms	3
2.	Morphisms of Quasi-projective varieties	8
3.	Products of Varieties	11

**Proposition 1.** *Same as an affine space, in a projective space we have the following propositions:*

- (1)  $X \subset Y \subset \mathbb{P}^n$  are projective algebraic sets, then  $I(X) \supset I(Y)$ ;
- (2)  $X \subset \mathbb{P}^n$  is a projective algebraic set, then  $Z(I(X)) = X$ ;
- (3)  $\mathfrak{a} \subset k[x_0, \dots, x_n]$  is a homogeneous ideal, then  $I(Z(\mathfrak{a})) \supset \mathfrak{a}$ ;
- (4) If  $S \subset k[x_0, \dots, x_n]$  is a set of homogeneous polynomials, then  $Z(S) = Z(\langle S \rangle)$ ;
- (5) For a family  $\{S_\alpha\}$  of sets of homogeneous polynomials,  $Z(\bigcup_\alpha S_\alpha) = \bigcap_\alpha Z(S_\alpha)$ ;
- (6) If  $T, S \subset k[x_0, \dots, x_n]$  are sets of homogeneous polynomials, then  $Z(ST) = Z(S) \cup Z(T)$ .

*Remark.* From the proposition (5) and (6) we know that arbitrary intersections and finite unions of projective algebraic sets are projective algebraic sets, then we can define a topology through these two propositions.

**Definition 1.** The Zariski topology on  $\mathbb{P}^n$  is the topology whose closed sets are the projective algebraic sets.

If  $X \subset \mathbb{P}^n$  is a subset, we give it the induced topology, called Zariski topology on  $X$ .

**Definition 2.** A quasi-projective algebraic set is an open subset of a projective algebraic set. For example, let  $U$  and  $V$  be closed subsets, then  $Y = U \setminus V \neq \emptyset$  is a quasi-projective algebraic set.

**Proposition 2.** *We know  $k[x_0, \dots, x_n]$  is noetherian, then follows the same proof as in affine case shows that  $\mathbb{P}^n$  is a noetherian topological space.*

*Remark.* Every subspace of  $\mathbb{P}^n$  is noetherian. In particular, quasi-projective algebraic sets are noetherian, hence have unique decompositions into irreducible components.

**Definition 3.** A quasi-projective variety is an irreducible quasi-projective algebraic set.

*Remark.* If we use the identification  $\mathbb{A}^n = U_0 \subset \mathbb{P}^n$ , then  $\mathbb{A}^n$  is an open set  $\mathbb{A}^n = \mathbb{P}^n \setminus Z(x_0)$ , i.e.  $\mathbb{A}^n$  is a quasi-projective variety.

**Definition 4.** A nonempty algebraic set  $X \subset \mathbb{A}^{n+1}$  is called a cone if for all  $p = (a_0, \dots, a_n) \in X$  and all  $\lambda \in k$ , we have  $(\lambda a_0, \dots, \lambda a_n) = \lambda p \in X$ .

If  $X \subset \mathbb{P}^n$  is a projective algebraic set, its affine cone is

$$(0.1) \quad C(X) := \{(a_0, \dots, a_n) \in \mathbb{A}^{n+1} \mid (a_0, \dots, a_n) \in X\} \cup \{0\}$$

**Lemma 1.** Let  $X \neq \emptyset$  be a projective algebraic set, then :

- (1)  $X = Z_p(\mathfrak{a})$ , for  $\mathfrak{a} \subset k[x_0, \dots, x_n]$  a homogeneous ideal  $\Rightarrow C(X) = Z_a(\mathfrak{a}) \subset \mathbb{A}^{n+1}$ ;
- (2)  $I_a(C(X)) = I_H(X)$ .

**Theorem 1** (Projective Nullstellensatz). Let  $\mathfrak{a} \subset k[x_0, \dots, x_n]$  be a homogeneous ideal:

- (1)  $Z_p(\mathfrak{a}) = \emptyset \Leftrightarrow \mathfrak{a}$  contains all homogeneous polynomials of degree  $N$  for some  $N \in \mathbb{N}$ ;
- (2) If  $Z_p(\mathfrak{a}) \neq \emptyset$ , then  $I_p(Z_p(\mathfrak{a})) = \sqrt{\mathfrak{a}}$ .

*Proof.* Let  $X = Z_p(\mathfrak{a})$ .

- (1)  $X = \emptyset \Leftrightarrow C(X) = \{0\}$ . Since  $C(X) = Z_a(\mathfrak{a}) \cup \{0\}$ , we get

$$X = \emptyset \Leftrightarrow Z_a(\mathfrak{a}) = \emptyset \text{ or } Z_a(\mathfrak{a}) = \{0\}.$$

By affine Nullstellensatz, we get

$$\sqrt{\mathfrak{a}} = k[x_0, \dots, x_n] \text{ or } \sqrt{\mathfrak{a}} = \langle x_0, \dots, x_n \rangle.$$

So  $\sqrt{\mathfrak{a}} \supset \langle x_0, \dots, x_n \rangle$ . Thus for any  $i = 0, \dots, n$ ,  $\exists m_i$  s.t.  $x_i^{m_i} \in \mathfrak{a}$ . Let  $N = m_1 + \dots + m_n$ , then any monomial of degree  $N$  in  $k[x_0, \dots, x_n]$  lies in  $\mathfrak{a}$ .

- (2) Let  $X = Z_p(\mathfrak{a}) \neq \emptyset$ , then

$$(0.2) \quad I_H(X) = I_a(C(X)) = I_a(Z_a(\mathfrak{a})) = \sqrt{\mathfrak{a}}.$$

□

*Remark.*  $\langle x_0, \dots, x_n \rangle$  is called the irrelevant ideal, an ideal different from  $\langle x_0, \dots, x_n \rangle$  is called relevant.

**Corollary 1.** There is a one-to-one correspondence between homogeneous relevant radical ideals and projective algebraic sets:

$$\begin{aligned} Z_p: \text{homogeneous relevant radical ideals in } k[x_0, \dots, x_n] &\rightarrow \text{projective algebraic sets in } \mathbb{P}^n \\ I_H: \text{projective algebraic sets in } \mathbb{P}^n &\rightarrow \text{homogeneous relevant radical ideals in } k[x_0, \dots, x_n]. \end{aligned}$$

*Remark.* We use subscripts to recognize affine spaces and projective spaces, such as  $Z_p(\mathfrak{a})$ ,  $Z_a(\mathfrak{a})$ . Sometimes we can infer the difference from the context, so we usually write briefly as  $Z(\mathfrak{a})$ .

**Proposition 3.** (1) *A projective algebraic set  $X \neq \emptyset \subset \mathbb{P}^n$  is irreducible if and only if  $I = I_H(X)$  is a homogeneous prime ideal;*  
 (2) *If  $f \in k[x_0, \dots, x_n]$  is a homogeneous polynomial and irreducible, then  $Z_p(f)$  is irreducible.*

*Proof.* (1)  $\Leftarrow$ : Assume  $X$  reducible, then  $X = X_1 \cup X_2, X_1, X_2 \subsetneq X$  are closed subsets. Then we get  $C(X) = C(X_1) \cup C(X_2)$ ,  $C(X_1) \subsetneq C(X), C(X_2) \subsetneq C(X)$  are closed, hence  $C(X)$  is reducible,  $I_H(X) = I(C(X))$  is not prime.

$\Rightarrow$ : Assume  $I_H(X)$  not prime, it means  $\exists f, g \in k[x_0, \dots, x_n], fg \in I_H(X)$  and  $f, g \notin I_H(X)$ . Let  $i, j \in \mathbb{Z} \geq 0$  be minimal such that  $f^{(i)} \notin I$  and  $g^{(j)} \notin I$ . Subtract homogeneous components of lower degrees from  $f$  and  $g$ , we can assume  $f$  starts in degree  $i$  and  $g$  starts in degree  $j$ . Thus  $f^{(i)}g^{(j)}$  is homogeneous component of minimal degree in  $fg \in I$ . Because  $I$  is homogeneous, we get  $f^{(i)}g^{(j)} \in I$ . Let  $X_1 := Z(I \cap Z(f^{(i)}))$  and  $X_2 := Z(I \cap Z(g^{(j)}))$ , then  $X_1, X_2 \subsetneq X, X = X_1 \cup X_2$ , thus  $X$  is reducible.

(2) If  $I \subset k[x_0, \dots, x_n]$  is homogeneous and prime with  $Z(I) \neq \emptyset$ , then follow the result from (1) we know  $Z(f)$  is irreducible.  $\square$

## 1. FUNCTIONS AND MORPHISMS

**Definition 5.** Let  $X \subset \mathbb{A}^n$  be an affine algebraic set, the affine coordinate ring of  $X$  is

$$(1.1) \quad A(X) := k[x_0, \dots, x_n]/I(X).$$

It is a ring, also a  $k$ -algebra.

**Definition 6.** A polynomial function on  $X$  is a function  $f : X \rightarrow k$  s.t.  $f = F|_X$  for  $F \in k[x_0, \dots, x_n]$ . This is the ring with pointwise addition and multiplication:

$$(f + g)(p) = f(p) + g(p), fg(p) = f(p)g(p), \forall p \in X.$$

There is a ring homomorphism:

$$k[x_0, \dots, x_n] \rightarrow \{\text{polynomial functions on } X\} \\ F \mapsto F|_X$$

It is surjective and its kernel is  $I(X)$ . Thus we have the isomorphism:

$$A(X) \cong \{\text{polynomial functions on } X\}.$$

We will not distinguish them.

*Remark.* The zero set of a polynomial function is closed. Let  $X$  be an affine algebraic set,  $f \in A(X)$ , then

$$(1.2) \quad Z(f) = \{p \in X | f(p) = 0\}$$

is closed in  $X$ .  $f \in A(X)$  means  $f = F|_X$  for some  $F \in k[x_1, \dots, x_n]$ , then

$$(1.3) \quad Z(f) = \{p \in X | F(p) = 0\} = X \cap Z(F)$$

so it is closed.

**Definition 7.** Let  $X$  be an affine variety, then  $I(X)$  is prime, then  $A(X)$  is integral. The quotient field  $Q(A(X))$  is a field of rational functions on  $X$  and denoted by  $K(X)$ . Let  $V \subset X$  be a quasi-affine variety, since  $I(V) = I(X)$ , we can denote its field of rational functions by  $K(V) := K(X)$ .

**Definition 8.** Let  $p \in V$ , the local ring of  $V$  at  $p$  is

$$(1.4) \quad \mathcal{O}_{V,p} := \{h \in K(V) \mid \exists f, g \in A(V), \text{ s.t. } h = \frac{f}{g} \text{ and } g(p) \neq 0\}$$

For simplicity in future we can write this:

$$(1.5) \quad \mathcal{O}_{V,p} = \left\{ \frac{f}{g} \in K(V) \mid g(p) \neq 0 \right\}.$$

If  $U \subset V$  is an open subset, the regular functions on  $U$  are defined by

$$(1.6) \quad \mathcal{O}_V(U) = \bigcap_{p \in U} \mathcal{O}_{V,p} \subset K(V).$$

**Proposition 4.** We have an injective ring homomorphism:

$$\mathcal{O}_V(U) \rightarrow \{\text{functions from } U \text{ to } k\}.$$

For  $h \in \mathcal{O}_V(U)$ ,  $p \in U$ , there exists an open subset  $W$  and  $p \in W \subset U$ , s.t.  $h = \frac{f}{g}$  with  $g(p) \neq 0$ . We define the homomorphism by setting  $h(p) = \frac{f(p)}{g(p)}$ , the homomorphism is

$$h \in \mathcal{O}_V(U) \rightarrow h(p) = \frac{f(p)}{g(p)}, p \in U.$$

*Proof.* It is well defined: if  $h = \frac{f}{g} = \frac{f'}{g'}$  with  $g(p) \neq 0, g'(p) \neq 0$ . Then  $fg' = f'g \Rightarrow f(p)g'(p) = f'(p)g(p) \Rightarrow \frac{f(p)}{g(p)} = \frac{f'(p)}{g'(p)}$ .

Injective: Let  $h, h' \in \mathcal{O}_V(U)$  such that  $h(p) = h'(p) \forall p \in U$ . Define  $l = h - h' \in \mathcal{O}_V(U)$ , then  $l(p) = 0, \forall p \in U$ . There exists an open subset  $W$ , s.t.  $l = \frac{f}{g}$  with  $g(p) \neq 0 \forall p \in W$ . For  $p \in W$ ,  $l(p) = \frac{f(p)}{g(p)} = 0 \Rightarrow f(p) = 0 \forall p \in W$ . As zero set  $Z(f)$  of  $f$  is closed, we get  $f = 0 \in A(V)$ , then  $l = 0$  and hence  $h = h'$ .  $\square$

*Remark.* We had called  $\mathcal{O}_{V,p}$  a local ring of  $V$  at  $p$ . The maximal ideal at  $p$  is  $\mathfrak{m}(p) := \{h \in \mathcal{O}_{V,p} \mid h(p) = 0\}$ , this is a maximal ideal in  $\mathcal{O}_{V,p}$ . It is easy to verify that the local ring of a variety is a local ring.

**Proposition 5.** For an affine variety  $X$ , functions which are regular functions everywhere are polynomial functions, i.e.,  $\mathcal{O}_X(X) = A(X)$ .

*Proof.* Obviously,  $A(X) \subset \mathcal{O}_X(X)$ . We have to show the other inclusion. Let  $h \in \mathcal{O}_X(X)$ ,  $\forall p \in X$ ,  $\exists F_p, G_p \in k[x_1, \dots, x_n]$  s.t.  $h = \frac{F_p}{G_p}$  and  $G_p(p) \neq 0$ . It is equivalent to:  $\forall p \in X$ ,  $\exists G_p \in k[x_1, \dots, x_n]$  s.t.  $h \cdot [G_p] \in A(X)$  and  $[G_p(p)] \neq 0$ . Let

$$(1.7) \quad \mathcal{G} := \{G \in k[x_1, \dots, x_n] \mid h \cdot [G] \in A(X)\}$$

$\mathcal{G}$  is an ideal and  $\mathcal{G} \supset I(X)$ , so  $Z(\mathcal{G}) \subset X$ . But  $Z(\mathcal{G}) \cap X = \emptyset$ , so  $Z(\mathcal{G}) = \emptyset$ . By Nullstellensatz  $1 \in \mathcal{G}$ , so  $h = h \cdot 1 \in A(X)$ .  $\square$

**Definition 9.** Let  $X \subset \mathbb{P}^n$  be a projective algebraic set. The homogeneous coordinate ring of  $X$  is defined as

$$(1.8) \quad S(X) := k[x_0, \dots, x_n] / I_H(X)$$

If  $X$  is irreducible, then  $S(X)$  is an integral domain,  $Q(S(X))$  is its quotient field.

*Remark.*  $X \subset \mathbb{P}^n$  is a quasi-projective variety, then polynomial  $F \in k[x_0, \dots, x_n]$  will not define a function  $X \rightarrow k$ . But we can take quotients of homogeneous polynomials of the same degree and get a well defined function.

**Definition 10.** Let  $f = [F] \in S(X)$ ,  $F \in k[x_0, \dots, x_n]$ . The homogeneous part  $f^{(d)}$  of  $f$  is  $[F^{(d)}] \in S(X)$ , and  $S^{(d)}(X) = \{f^{(d)} \in S(X)\}$ .

**Definition 11.**  $X$  is a quasi-projective variety, the field of rational functions on  $X$  (on  $V \subset X$  open subset) is  $K(V) := K(X) := \{\frac{f}{g} \in Q(S(X)) \mid f, g \text{ both in } S^{(d)}(X) \text{ for some } d\}$ . Elements of  $K(X)(K(V))$  are called rational functions on  $X$  (on  $V$ ).

**Definition 12.** Let  $p \in V \subset \mathbb{P}^n$ , the local ring of  $V$  at  $p$  is

$$(1.9) \quad \mathcal{O}_{V,p} := \left\{ \frac{f}{g} \in K(V) \mid g(p) \neq 0 \right\}.$$

If  $U \subset V$  is open, the ring of regular functions on  $U$  is

$$(1.10) \quad \mathcal{O}_V(U) := \bigcap_{p \in U} \mathcal{O}_{V,p}.$$

**Proposition 6.** (1) (*k-algebra*) Constant functions  $a \in k$  are regular on  $U$ . If  $f, g \in \mathcal{O}_V(U)$ , then  $f + g$  and  $fg$  are regular on  $U$ , and if  $g$  has no zero in  $U$ , then  $\frac{f}{g} \in \mathcal{O}_V(U)$ .  
 (2) (*Local*) Let  $(U_i)$  be a open cover of  $U$ . A function  $f : U \rightarrow k$  is regular if and only if  $f|_{U_i}$  is regular for all  $i$ .  
 (3) Regular functions are continuous. i.e., let  $h \in \mathcal{O}_V(U)$ , then  $h : U \rightarrow k = \mathbb{A}^1$  is continuous ( $k = \mathbb{A}^1$  is given Zariski topology).

*Proof.* (1) By definition,  $\mathcal{O}_V(U) = \bigcap_{p \in U} \mathcal{O}_{V,p}$ , thus enough to show if  $f, g \in \mathcal{O}_{V,p}$ , then  $f + g, fg \in \mathcal{O}_{V,p}$ , and it is obvious. Assume  $g$  has no zero on  $U$ , then  $g \frac{1}{g} \in \mathcal{O}_V(U)$ , then  $\frac{f}{g} \in \mathcal{O}_V(U)$ .

(2)  $h : U \rightarrow k$  is regular  $\Leftrightarrow h \in \mathcal{O}_{V,p} \forall p \in U \Leftrightarrow h \in \mathcal{O}_{V,p} \forall p \in U_i \forall i$ .

(3)  $h : U \rightarrow k$  is continuous  $\Leftrightarrow h|_{U_i}$  is continuous for all  $U_i$  of an open cover of  $U$ . We just replace  $U$  by a suitable  $U_i$  and show  $h$  is continuous in  $U_i$ . From the definition of regular functions, we can simply assume  $h = \frac{f}{g}$ ,  $f, g \in k[x_0, \dots, x_n]$  are homogeneous of the same degree, and  $g$  has no zero on  $U_i$ . Zariski topology on  $\mathbb{A}^1$  has closed subsets  $\emptyset, k$  and finite points subsets. Thus we only have to show  $h^{-1}(a)$  is closed in  $U_i$  for all  $a$  in  $k$ ,

$$(1.11) \quad h^{-1}(a) = \{p \in U_i \mid h(p) = a\} = \{p \in U_i \mid (f - ag)(p) = 0\}.$$

This is the zero set  $Z(f - ag) \cap U$ , hence the inverse of the closed sets are closed, hence  $h$  is continuous in  $U_i \forall i$ , hence continuous in  $U$ .  $\square$

**Definition 13** (Polynomial Map). Let  $X \subset \mathbb{A}^n, Y \subset \mathbb{A}^m$  be affine algebraic sets. A map

$$(F_1, \dots, F_m) : X \rightarrow Y, p \rightarrow (F_1(p), \dots, F_m(p)), F_1, \dots, F_m \in k[x_1, \dots, x_n]$$

is called a polynomial map. A surjective polynomial map whose inverse is also a polynomial map is an isomorphism.

**Example 1.** (1) If  $X$  is an affine algebraic set, the polynomial map  $f : X \rightarrow k$  is the polynomial function in  $A(X)$ .

(2) Let  $X = \mathbb{A}^1, Y = Z(y - x^2) \subset \mathbb{A}^2$ , the polynomial map

$$(t, t^2) : \mathbb{A}^1 \rightarrow Y$$

is isomorphism.

**Definition 14.** Let  $X \subset \mathbb{A}^n$ ,  $Y \subset \mathbb{A}^m$  be affine algebraic sets. Let

$$\varphi : X \rightarrow Y$$

be a polynomial map. The pull back of  $h \in A(Y)$  is  $\varphi^*h := h \circ \varphi \in A(X)$ . If  $h = H|_Y$ ,  $H \in k[y_1, \dots, y_m]$ ,  $\varphi = (F_1, \dots, F_m)$ , then

$$\varphi^*h(a_1, \dots, a_n) = h(F_1(a_1, \dots, a_n), \dots, F_m(a_1, \dots, a_n)).$$

i.e.,

$$\varphi^*h = H(F_1(x_1, \dots, x_n), \dots, F_m(x_1, \dots, x_n))|_X \in A(X).$$

The pull back  $\varphi^* : A(Y) \rightarrow A(X)$  is obviously a ring homomorphism. If  $\varphi : X \rightarrow Y$  is an isomorphism, then  $\varphi^* : A(Y) \rightarrow A(X)$  is an isomorphism of  $k$ -algebra.

**Definition 15.** Let  $X, Y$  be varieties, a map  $\varphi : X \rightarrow Y$  is a morphism (regular map) if :

- (1)  $\varphi$  is continuous;
- (2) for all open subsets  $U \in Y$ , all regular functions  $f \in \mathcal{O}_Y(U)$ , we have

$$\varphi^* := f \circ \varphi \in \mathcal{O}_X(\varphi^{-1}(U)).$$

*Remark.* Thus for each open subset  $U \in Y$ ,

$$\varphi^* : \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(\varphi^{-1}(U))$$

is a  $k$ -algebra homomorphism.  $\varphi$  is called an isomorphism if  $\varphi$  is bijective and  $\varphi^{-1}$  is also a morphism.

- (1)  $\text{id}_X$  is a morphism from  $X$  itself.
- (2) If  $\varphi : X \rightarrow Y, \psi : Y \rightarrow Z$  are morphisms, then

$$(\psi \circ \varphi)^* = \varphi^* \circ \psi^*$$

- (3) If  $\varphi : X \rightarrow Y$  is isomorphism, then  $\varphi^* : \mathcal{O}_Y \rightarrow \mathcal{O}_X(\varphi^{-1}(U))$  is an isomorphism for all  $U \subset Y$ .

**Proposition 7.** (1) Let  $\varphi : X \rightarrow Y$  and  $(U_i)_{i \in I}$  be an open cover of  $X$  s.t.  $\varphi|_{U_i} : U_i \rightarrow Y$  is a morphism. Then  $\varphi$  is a morphism.

- (2) Let  $Z \subset X, W \subset Y$  be varieties, let  $\varphi : X \rightarrow Y$  be a morphism with  $\varphi(Z) \subset W$ . Then  $\varphi|_Z : Z \rightarrow W$  is a morphism.

*Proof.* (1) Let  $W \subset Y$  be open, then we can write  $\varphi^{-1}(W) = \bigcup_{i \in I} (\varphi|_{U_i}^{-1}(W))$ , it is open so  $\varphi$  is continuous. Let  $h \in \mathcal{O}_Y(W)$  then the pull back of regular functions  $h$  from  $\mathcal{O}_Y(W)$  to  $\mathcal{O}_X(U_i \cap \varphi^{-1}(W))$  is  $\varphi|_{U_i}^* h = \varphi^* h|_{U_i \cap \varphi^{-1}(W)}$ , since  $\varphi|_{U_i}$  is a morphism we get that  $U_i \cap \varphi^{-1}(W)$  is open. Then

$$(1.12) \quad \varphi^{-1}(W) = \bigcup_{i \in I} U_i \cap \varphi^{-1}(W)$$

and  $(U_i \cap \varphi^{-1}(W))_{i \in I}$  is an open cover of  $\varphi^{-1}(W)$ , then we can get the conclusion that  $\varphi$  is a morphism by proposition 6.

(2) First,  $\varphi|_Z$  is continuous as a restriction of a continuous map. Let  $U \subset W$  be open, let  $h \in \mathcal{O}_W(U)$ . Replace if necessary  $U$  by a smaller open subset such that we can assume  $h = \frac{F}{G}$ . This quotient also defines a regular function  $H$  on open subset  $\tilde{U} \subset Y$  s.t.  $U \subset \tilde{U}$ , then  $\varphi^*H \in \mathcal{O}_X(\varphi^{-1}(\tilde{U}))$  is regular. Then  $\varphi^*h = \varphi^*H|_{\varphi^{-1}(U) \cap Z}$  is regular on  $\varphi^{-1}(U) \cap Z$ .  $\square$

**Definition 16.** An affine variety is a variety which is isomorphic to irreducible closed subset of some  $\mathbb{A}^n$ .

**Theorem 2.** Let  $X, Y$  be subvarieties, assume  $Y \subset \mathbb{A}^n$ . A map  $\varphi : X \rightarrow Y$  is a morphism if and only if  $\exists f_1, \dots, f_n \in \mathcal{O}_X(X)$  s.t.

$$(1.13) \quad \varphi(p) = (f_1(p), \dots, f_n(p)), \forall p \in X.$$

We can write  $\varphi = (f_1, \dots, f_n)$ .

*Proof.*  $\Rightarrow$ : Let  $\varphi : X \rightarrow Y$  be a morphism. Let  $y_1, \dots, y_n \in \mathcal{O}_Y(Y)$  be restrictions of the coordinates on  $\mathbb{A}^n$  to  $Y$ , i.e., if  $q = (a_1, \dots, a_n) \in Y$ , then  $a_i = y_i(q)$ . The pull back of  $y_i$  is

$$(1.14) \quad f_i := \varphi^* y_i = y_i \circ \varphi \in \mathcal{O}_X(X).$$

Let  $p \in X$ ,  $\varphi(p) = (b_1, \dots, b_n)$ ,  $b_i = y_i(\varphi(p)) = f_i(p)$ , thus

$$\varphi = (f_1, \dots, f_n)$$

where  $f_i \in \mathcal{O}_X(X)$ .

$\Leftarrow$  Let  $\varphi := (f_1, \dots, f_n)$ ,  $f_i \in \mathcal{O}_X(X)$ . First we show  $\varphi$  is continuous. Let  $B \in Y$  be closed, it is equivalent to  $B = Y \cap Z(G_1, \dots, G_m)$  and  $G_i \in k[x_1, \dots, x_n]$ . Since  $G_i \circ \varphi = G(f_1, \dots, f_n) \in \mathcal{O}_X(X)$ , we get  $\varphi^{-1}(B) = Z(G_1 \circ \varphi, \dots, G_m \circ \varphi)$  and it is closed in  $X$ . So  $\varphi$  is continuous. Let  $h \in \mathcal{O}_Y(U)$ , write  $W = \varphi^{-1}(U) \subset Y$ . we need to show  $h \circ \varphi \in \mathcal{O}_X(W)$ . We can always make  $U$  smaller and assume  $h(q) = \frac{F(q)}{G(q)}$ ,  $\forall q \in U$ ,  $F$  and  $G$  are some polynomials and  $G$  has no zero on  $U$ . Then we have

$$(1.15) \quad h \circ \varphi = \frac{F \circ \varphi}{G \circ \varphi} = \frac{F(f_1, \dots, f_n)}{G(f_1, \dots, f_n)}$$

where  $F(f_1, \dots, f_n)$  and  $G(f_1, \dots, f_n)$  are regular on  $\mathcal{O}_X(W)$ . Since  $\varphi(W) = U$  and  $G$  has no zero on  $U$ ,  $G(f_1, \dots, f_n)$  also has no zero on  $W$ , i.e.,  $h \circ \varphi \in \mathcal{O}_X(W)$ .  $\square$

*Remark.* The regular functions on a variety  $X$  are the same as the morphisms  $X \rightarrow \mathbb{A}^1$ .

**Corollary 2.** Let  $X \subset \mathbb{A}^n$  and  $Y \subset \mathbb{A}^m$  be closed subvarieties. The morphisms

$$\varphi : X \rightarrow Y$$

are precisely the polynomial map.

*Proof.* From theorem 2 we know  $\varphi = (f_1, \dots, f_m)$  and  $f_i \in \mathcal{O}_X(X) \forall i$ . From theorem 5 we know  $f_i \in A(X)$ , so  $\varphi$  is a polynomial map.  $\square$

**Theorem 3.** Let  $X, Y$  be varieties, assume  $Y \subset \mathbb{A}^m$  be a closed affine variety. Then there is a bijection between morphisms  $X \rightarrow Y$  and  $k$ -algebra homomorphisms  $A(Y) \rightarrow \mathcal{O}_X(X)$ :

$$\begin{array}{ccc} \{\text{morphisms } X \rightarrow Y\} & \xrightarrow{\text{bijection}} & \{\text{homomorphisms } A(Y) \rightarrow \mathcal{O}_X(X)\} \\ \varphi & & \longrightarrow \varphi^* \end{array}$$

*Proof.*  $\Rightarrow$ : Let  $\varphi : X \rightarrow Y$  be a morphism, then  $\varphi^* : A(Y) \rightarrow \mathcal{O}_X(X)$  is a  $k$ -algebra homomorphism by definition 15.

$\Leftarrow$ : Let  $\phi : A(Y) \rightarrow \mathcal{O}_X(X)$  be a  $k$ -algebraic homomorphism, let  $y_1, \dots, y_n \in A(Y)$  be the coordinate functions. We set

$$f_i = \phi(y_i) \in \mathcal{O}_X(X).$$

Let  $\varphi = (f_1, \dots, f_m) : X \rightarrow \mathbb{A}^m$ . This is a morphism from  $X$  to  $Y$ . To see it is a morphism we have to show  $\varphi(X) \subset Y$ . Let  $h \in I(Y)$ ,  $h \circ \varphi = h(f_1, \dots, f_m) = h(\phi(y_1), \dots, \phi(y_m)) = \phi(h(y_1, \dots, y_m))$ . The second equality is based on the homomorphic property of  $\phi$ , for example, if  $h(x_1, x_2) = x_1^2 - x_2^3$ , then  $h(\phi(y_1), \phi(y_2)) = \phi(y_1)^2 - \phi(y_2)^3 = \phi(y_1^2 - y_2^3) = \phi(h(y_1, y_2))$ . So  $h(y_1, \dots, y_m) \in A(Y)$ , we choose an arbitrary element  $p = (a_1, \dots, a_m) \in Y$ , then  $h(y_1, \dots, y_m)(p) = h(a_1, \dots, a_m) = 0$  because  $h \in I(Y)$ . So for arbitrary  $h \in I(Y)$ , we get  $h \circ \varphi = 0$ , it implies  $\varphi(X) \subset \cap_{h \in I(Y)} Z(h) = Y$ .  $\square$

**Example 2.** A bijective polynomial map need not to be an isomorphism. For example, let  $X = \mathbb{A}^1$ ,  $Y = Z(x_2^2 - x_1^3) \subset \mathbb{A}^2$ . Then

$$\varphi = (t^2, t^3) : X \rightarrow Y$$

is a morphism and bijective and the inverse is

$$\varphi^{-1}(a, b) = \begin{cases} \frac{b}{a} & \text{if } a \neq 0 \\ 0 & \text{if } (a, b) = 0 \end{cases}$$

$\varphi$  is not an isomorphism ( $\varphi^{-1}$  is not a morphism). To show this we see the pull back:

$$\varphi^* : A(Y) \rightarrow \mathcal{O}_X(X)$$

where  $A(Y) = k[x_1, x_2]/\langle x_2^2 - x_1^3 \rangle$  and  $A(X) = k[t]$ .  $\varphi^*$  makes  $x_1 \rightarrow t^2$  and  $x_2 \rightarrow t^3$ . Since  $\varphi^*$  is not surjective (there is no element maps into  $t$ ),  $\varphi^*$  is not an isomorphism. By theorem 3 we know  $\varphi$  is not an isomorphism. So bijective morphism is not necessary to be an isomorphism.

**Definition 17.** Let  $X \subset \mathbb{A}^n$  be a closed variety,  $F \in k[x_1, \dots, x_n] \setminus I(X)$ . The principal open defined by  $F$  is  $X_F := X \setminus Z(F)$ .

**Proposition 8.**  $X_F$  is an affine variety.

*Proof.* Let  $Z := Z(\langle I(X), F \cdot x_{n+1} - 1 \rangle) \subset \mathbb{A}^{n+1}$ . We need to prove  $Z$  is a closed subvariety of  $\mathbb{A}^{n+1}$  isomorphic to  $X_F$ . Let  $\varphi : (x_1, \dots, x_n, \frac{1}{F}) : X_F \rightarrow \mathbb{A}^{n+1}$ , it is a bijective morphism and  $\varphi(X_F) = Z$ . As  $X_F$  is irreducible,  $Z$  is also irreducible. So  $Z$  is closed variety of  $\mathbb{A}^{n+1}$ . On the other hand, the inverse of  $\varphi$  is

$$\varphi^{-1} = (x_1, \dots, x_n) : Z \rightarrow X_F$$

is a morphism, so  $\varphi$  is an isomorphism.  $\square$

## 2. MORPHISMS OF QUASI-PROJECTIVE VARIETIES

**Definition 18.** Let  $X \subset \mathbb{P}^n, Y \subset \mathbb{P}^m$  be quasi-projective algebraic sets. A map  $\varphi : X \rightarrow Y$  is called a polynomial map if there exists homogeneous polynomials  $F_0, \dots, F_m \in k[x_0, \dots, x_n]$  of the same degree with no common zero on  $X$  s.t.  $\varphi(p) = [F_0(p), \dots, F_m(p)]$ ,  $\forall p \in X$ , write  $\varphi = [F_0, \dots, F_m]$ .

**Definition 19.** The homogenization of  $F \in k[x_0, \dots, x_n]$  is:

$$F_a := F(1, x_1, \dots, x_n).$$

**Theorem 4.**  $\varphi_i = (\frac{x_0}{x_i}, \dots, \frac{\hat{x}_i}{x_i}, \dots, \frac{x_n}{x_i}) : U_i \rightarrow \mathbb{A}^n$  is an isomorphism.



*Proof.* We can assume  $i = 0$ ,  $\varphi := \varphi_0$ ,  $U := U_0$ , then  $\varphi = (\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})$ .  $\frac{x_i}{x_0}$  is a regular function in  $\mathcal{O}_{\mathbb{P}^n}(\mathbb{P}^n)$ , so  $\varphi$  is a morphism. We need to show that  $u = \varphi^{-1}(x_1, \dots, x_n) = [1, x_1, \dots, x_n]$  is a morphism.

(a)  $u = \varphi^{-1}$  is continuous. Let  $W = Z(F_1, \dots, F_m) \cap U$  be closed in  $U$ ,  $F_i \in k[x_0, \dots, x_n]$  are homogeneous, then

$$\begin{aligned} u^{-1}(W) &= \{(a_1, \dots, a_n) \in \mathbb{A}^n \mid [1, a_1, \dots, a_n] \in W\} \\ &= \{(a_1, \dots, a_n) \in \mathbb{A}^n \mid F_i(1, a_1, \dots, a_n) = 0, \forall i = 1, \dots, m\} \\ &= Z(F_{1a}, \dots, F_{ma}) \end{aligned}$$

where  $F_{ia}$  is homogenization of  $F_i$ , it shows that  $u^{-1}(W)$  is closed in  $\mathbb{A}^n$ .

(b) Let  $V \subset U$  be open,  $h \in \mathcal{O}_U(V)$ , we need to show  $u^*h \in \mathcal{O}_{\mathbb{A}^n}(u^{-1}(V))$ . Making  $V$  smaller necessary, we can assume  $h = \frac{F}{G}$ ,  $F, G \in k[x_0, \dots, x_n]$  are homogeneous polynomials of the same degree.

$$u^*h = h \circ u = \frac{F \circ u}{G \circ u} = \frac{F(1, x_1, \dots, x_n)}{G(1, x_1, \dots, x_n)}.$$

Thus  $u^*h \in \mathcal{O}_{\mathbb{A}^n}(u^{-1}(V))$ ,  $\varphi : \mathbb{A}^n \rightarrow u$  is an isomorphism.  $\square$

*Remark.* From theorem 4 we find that if we identify  $\mathbb{A}^n$  with  $u_0 \subset \mathbb{P}^n$ , the Zariski topology on  $\mathbb{A}^n$  is equivalent to the induced topology of  $u_0$  from  $\mathbb{P}^n$ .

**Corollary 3.** (1) *Every variety is isomorphic to a quasi-projective variety.*  
 (2) *Every variety has an open cover by affine varieties.*

*Proof.* (1) Let  $X$  be a variety, if  $X$  is locally closed in  $\mathbb{P}^n$ , then it is a quasi-projective variety, so we only need to consider the condition in  $\mathbb{A}^n$ . Assume  $X$  be locally closed in  $\mathbb{A}^n$ .  $Y = \varphi_0^{-1}(X) \subset \mathbb{P}^n$  is locally closed subvariety and  $\varphi_0^{-1} : X \rightarrow Y$  is an isomorphism.

(2) For varieties in  $\mathbb{A}^n$ , it is trivial. Let  $X \subset \mathbb{P}^n$  be a quasi-projective variety, then  $X = \bigcup_{i=0}^n X \cap U_i$ .  $X \cap U_i$  is isomorphic to locally closed subvariety in  $\mathbb{A}^n$ . We can regard  $X \cap U_i$  simply as  $X \subset \mathbb{A}^n$ , where  $X$  is locally closed. It is equivalent to prove:

For every point  $p \in X$ , there exists a neighborhood  $U \subset X$  and  $U$  is an affine variety.

Since  $X$  is locally closed, there exist  $Y, Z \subset \mathbb{A}^n$  closed in  $\mathbb{A}^n$  s.t.  $X = Y \setminus Z$ . For any point  $p \in X$ ,  $\exists F_p \in I(Z)$  with  $F_p(p) \neq 0$ . Then we have  $Y_{F_p} = Y \setminus Z(F_p) \subset X$ . According to proposition 8,  $Y_{F_p}$  is an affine variety.  $\square$

**Theorem 5.** *Let  $X \subset \mathbb{P}^m$ ,  $Y \subset \mathbb{P}^n$  be quasi-projective varieties. Let  $\varphi : X \rightarrow Y$  be a map. The following conditions are equivalent:*

- (1)  $\varphi$  is a morphism;
- (2)  $\varphi$  is locally given by regular functions, i.e., for all  $p \in X$ , there exists a neighborhood  $U \subset X$ ,  $h_0, \dots, h_n \in \mathcal{O}_X(U)$  with no common zero on  $U$ , s.t.

$$\varphi(q) = [h_0(q), \dots, h_n(q)], \quad \forall q \in U.$$

We write  $\varphi = [h_0, \dots, h_n]$  on  $U$ ;

- (3)  $\varphi$  is locally a polynomial map, i.e.:

$\forall p \in X, \exists$  open neighborhood  $U \subset X, F_0, \dots, F_n \in k[x_0, \dots, x_n]$   
homogeneous of the same degree with no common zero s.t.

$$\varphi(q) = [F(q), \dots, F_n(q)] \quad \forall q \in U.$$

We write  $\varphi = [F_0, \dots, F_n]$  on  $U$ .

*Proof.* (1)  $\Rightarrow$  (2): If  $\varphi : X \rightarrow \mathbb{P}^n$  is an isomorphism, then  $\forall p \in X, \exists i$ , s.t.  $\varphi(p) \in U_i$ . Assume  $i = 0$  and then  $\varphi(p) \in U_0$ . Let  $U$  be an open neighborhood of  $p$  in  $X$  s.t.  $\varphi(U) \subset U_0$ . Then  $\varphi_0 \circ \varphi : U \rightarrow \mathbb{A}^n$  is a morphism, so  $\varphi_0 \circ \varphi = (h_1, \dots, h_n)$  with  $h_i \in \mathcal{O}_X(U)$ . Since the inverse of  $\varphi_0$  is  $u_0$  we get

$$(2.1) \quad \varphi = u_0 \circ \varphi_0 \circ \varphi = [1, h_1, \dots, h_n].$$

(2)  $\Rightarrow$  (3): Assume  $\varphi = [h_0, \dots, h_n]$  on  $U \subset X$ , where  $h_i \in \mathcal{O}_X(U)$  with no common zeros on  $U$ . By making  $U$  possibly smaller we can further assume  $h_i = \frac{F_i}{G_i}$ ,  $F_i, G_i \in k[x_0, \dots, x_n]$  are homogeneous of the same degree ( $F_i$  and  $G_i$  are of the same degree, it is not necessary that  $F_i$  and  $G_j$  are of the same degree for  $i \neq j$ ),  $G_i$  has no zeros on  $U$ . Let  $L_i = F_i \cdot G_0 \cdot \hat{G}_i \cdot G_n$ ,  $L_i$  are homogeneous of the same degree, we get

$$(2.2) \quad \varphi = [h_0, \dots, h_n] = [L_0, \dots, L_n].$$

(3)  $\Rightarrow$  (1): Let  $\varphi|_U = [L_0, \dots, L_n]$ ,  $L_i \in k[x_0, \dots, x_n]$  are homogeneous of the same degree with no common zero. Making  $U$  smaller, we can assume one of  $L_i$  (say  $L_0$ ) has no zero in  $U$ . Then for  $i = 1, \dots, n$ , let  $h_i = \frac{L_i}{L_0} \in \mathcal{O}_X(U)$ . Rewrite the map as

$$(2.3) \quad \varphi = [1, h_1, \dots, h_n]$$

$$(2.4) \quad \Rightarrow \varphi_0 \circ \varphi = (h_1, \dots, h_n).$$

So  $\varphi_0 \circ \varphi$  is an isomorphism, then  $\varphi = u_0 \circ \varphi_0 \circ \varphi$  is a morphism.  $\square$

**Definition 20** (Projective Transformation). Let

$$(2.5) \quad A = \begin{bmatrix} a_{00} & a_{01} & \cdots & a_{0n} \\ a_{10} & a_{11} & \cdots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n0} & a_{n1} & \cdots & a_{nn} \end{bmatrix}$$

be a  $(n+1) \times (n+1)$  matrix in  $k$ , then we can construct a map from  $\mathbb{P}^n \rightarrow \mathbb{P}^n$ :

$$[A] : [b_0, \dots, b_n] \rightarrow [b_0, \dots, b_n] \begin{bmatrix} a_{00} & a_{01} & \cdots & a_{0n} \\ a_{10} & a_{11} & \cdots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n0} & a_{n1} & \cdots & a_{nn} \end{bmatrix}^T.$$

It is called a projective transformation. This is a morphism and if  $A$  is invertible then it is an isomorphism.

*Remark.* All automorphisms of  $\mathbb{P}^n$  are projective transformations. It is not so easy to prove.

**Definition 21** (Projection). Let  $X \subset \mathbb{P}^n$  be a variety,  $W \subset \mathbb{P}^n$  be a projective subspace of  $\mathbb{P}^n$  of  $\dim W = k$ . Assume  $X \cap W = \emptyset$  and there exist linear forms  $H_0, \dots, H_{n-k-1}$  such that  $W = Z(H_0, \dots, H_{n-k-1})$ . The projection from  $W$  is

$$\Pi_W = [H_0, \dots, H_{n-k-1}] : X \rightarrow \mathbb{P}^{n-k-1}.$$

This is a morphism( $H_i$  have no common zero on  $X$  because  $W \cap X = \emptyset$ ).

*Remark.*  $\Pi_W$  depends on  $H_0, \dots, H_{n-k-1}$ , but if we have another relation  $W = Z(L_0, \dots, L_{n-k-1})$ , then there exists a projection transformation  $[A] : \mathbb{P}^{n-k-1} \rightarrow \mathbb{P}^{n-k-1}$ . In particular, if  $p \in \mathbb{P}^n \setminus X$ , for example,  $p = [0, \dots, 0, 1]$ , then  $\Pi_p = [x_0, \dots, x_{n-1}] : X \rightarrow \mathbb{P}^{n-1}$ .

### 3. PRODUCTS OF VARIETIES

**Theorem 6** (Products of Affine Varieties). *If  $X \subset \mathbb{A}^n, Y \subset \mathbb{A}^m$  are closed subvarieties, then  $X \times Y \subset \mathbb{A}^n \times \mathbb{A}^m = \mathbb{A}^{n+m}$  is a closed subvariety.*

Before we prove it, we need to prove a conclusion in topology.

**Lemma 2.** *Let  $X, Y$  be irreducible topological spaces. Assume we have a topology on the product  $X \times Y$  s.t.:*

$$\begin{aligned} y_p : Y &\rightarrow X \times Y, & q &\rightarrow (p, q) \text{ is continuous } \forall p \in X; \\ l_q : X &\rightarrow X \times Y, & p &\rightarrow (p, q) \text{ is continuous } \forall q \in Y. \end{aligned}$$

*Then  $X \times Y$  is irreducible.*

*Proof.* Assume  $X \times Y = S_1 \cup S_2$ ,  $S_i \subsetneq X \times Y$  are closed. For  $i = 1, 2$ , set  $T_i = \bigcap_{q \in Y} l_q^{-1}(S_i) = \{p \in X \mid (p, q) \in S_i \forall q \in Y\}$ . It is the same as  $T_i = \{p \in X \mid \{p\} \times Y \subset S_i\}$ . Since  $y_p$  is continuous and  $Y$  is irreducible, we get  $y_p(Y) = \{p\} \times Y$  is irreducible. So we get  $\{p\} \times Y \subset S_1$  or  $\{p\} \times Y \subset S_2 \forall p \in X$  (it implies  $T_1 \cap T_2 = \emptyset$  and  $T_i \subsetneq X$ ). Hence  $X = T_1 \cup T_2$ . Since  $l_q$  is continuous,  $T_i$  are closed, then  $X$  is reducible.  $\square$

*Proof of Theorem 6.* Let  $X \subset \mathbb{A}^n, Y \subset \mathbb{A}^m$  be closed subvarieties, the product of  $X$  and  $Y$  is just

$$X \times Y = \{(p, q) \in \mathbb{A}^n \times \mathbb{A}^m = \mathbb{A}^{n+m} \mid p \in X \text{ and } q \in Y\}.$$

Let  $x_1, \dots, x_n$  be coordinates in  $\mathbb{A}^n$  and  $y_1, \dots, y_m$  be coordinates in  $\mathbb{A}^m$ , we can assume  $X = Z(F_1, \dots, F_k)$  and  $Y = Z(G_1, \dots, G_l)$  where  $F_i \in k[x_1, \dots, x_n], G_j \in k[y_1, \dots, y_m]$ . Then

$$(3.1) \quad X \times Y = Z(F_1, \dots, F_k, G_1, \dots, G_l) \subset \mathbb{A}^{n+m}$$

is a closed subset. By lemma 2 we only need to check  $\forall q \in Y$ ,  $l_q : X \rightarrow Y$  is continuous. Write  $q = (b_1, \dots, b_m)$ , then  $l_q = (x_1, \dots, x_n, b_1, \dots, b_m)$ . It is a morphism, so it is continuous, thus we finish the whole proof.

**Proposition 9** (Universal Property). *Let  $X \subset \mathbb{A}^n, Y \subset \mathbb{A}^m$  be varieties, then*

(1) *The projections*

$$\begin{aligned} p_1 &= (x_1, \dots, x_n) : X \times Y \rightarrow X \\ p_2 &= (y_1, \dots, y_m) : X \times Y \rightarrow Y \end{aligned}$$

*are morphisms.*

(2) Let  $Z$  be a variety. The morphism  $\varphi : Z \rightarrow X \times Y$  are precisely the

$$(f, g) : Z \rightarrow X \times Y, \quad p \rightarrow (f(p), g(p)) \quad \forall p \in Z$$

where  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  are morphisms. In other words,  $\varphi : Z \rightarrow X \times Y$  is a morphism if and only if both  $p_1 \circ \varphi$  and  $p_2 \circ \varphi$  are morphisms.

*Proof.* The first is obvious, we only check the second.

$\Rightarrow$ : Let  $\varphi : Z \rightarrow X \times Y$  be a morphism, then  $f = p_1 \circ \varphi$  and  $g = p_2 \circ \varphi$  are morphisms and  $\varphi = (f, g)$ .

$\Leftarrow$ : Assume  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  are both morphisms. then there exist  $f_1, \dots, f_n \in \mathcal{O}_Z(Z)$  and  $g_1, \dots, g_m \in \mathcal{O}_Z(Z)$  s.t.  $f = (f_1, \dots, f_n)$ ,  $g = (g_1, \dots, g_m)$ . Then  $(f, g) = (f_1, \dots, f_n, g_1, \dots, g_m)$  is a morphism.  $\square$

*Remark.* Let  $X \subset \mathbb{P}^n, Y \subset \mathbb{P}^m$  be subvarieties,  $X \times Y$  does not lie rationally in some projective space. Thus we need to find an embedding  $\sigma : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^N$  to denote the products of quasi-projective varieties.

**Definition 22** ([Segre Embedding].) We put  $N := (n+1) \cdot (m+1) - 1$ , let  $x_0, \dots, x_n$  be coordinates on  $\mathbb{P}^n$ ,  $y_0, \dots, y_m$  be coordinates on  $\mathbb{P}^m$ . Let  $z_{ij}, i = 0, \dots, n, j = 0, \dots, m$  be coordinates on  $\mathbb{P}^N$ . Define a map

$$\begin{aligned} \sigma : \mathbb{P}^n \times \mathbb{P}^m &\rightarrow \mathbb{P}^N \\ ([x_0, \dots, x_n], [y_0, \dots, y_m]) &\rightarrow [z_{ij}] = [x_i y_j] \end{aligned}$$

$\sigma$  is called the Segre embedding.

**Definition 23.** We define the image of  $\sigma$  as

$$\Sigma := \sigma(\mathbb{P}^n \times \mathbb{P}^m) \subset \mathbb{P}^N.$$

For  $i = 0, \dots, n$ , put

$$U_i := \{[x_0, \dots, x_n] \in \mathbb{P}^n \mid x_i \neq 0\}.$$

For  $j = 0, \dots, m$ , put

$$U_j := \{[y_0, \dots, y_m] \in \mathbb{P}^m \mid y_j \neq 0\}.$$

And for  $i = 0, \dots, n, j = 0, \dots, m$ , put

$$U_{ij} := \{[z_{kl}] \in \mathbb{P}^N \mid z_{ij} \neq 0\}.$$

there are isomorphisms:

$$\begin{aligned} \mathbb{A}^n &\xrightarrow{u_i} U_i \\ &\downarrow \varphi_i \\ \mathbb{A}^m &\xrightarrow{u_j} U_j \\ &\downarrow \varphi_j \\ \mathbb{A}^N &\xrightarrow{u_{ij}} U_{ij}. \\ &\downarrow \varphi_{ij} \end{aligned}$$

Since  $\mathbb{P}^N = \cup_{i,j} U_{ij}$ , we get  $\Sigma = \cup_{i,j} (\Sigma \cap U_{ij})$ , define

$$\Sigma^{ij} = \Sigma \cap U_{ij}.$$

Define the map  $\sigma^{ij}$

$$\begin{aligned} \sigma^{ij} : \mathbb{A}^{n+m} &\rightarrow U_{ij} \\ (p, q) &\rightarrow \sigma(u_i(p), u_j(q)). \end{aligned}$$

By definition we know  $\sigma^{ij}(\mathbb{A}^{n+m}) = \Sigma^{ij}$ .

**Theorem 7.** (1)  $\sigma : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^N$  is injective and  $\Sigma$  is closed in  $\mathbb{P}^N$ :

$$(3.2) \quad \Sigma = Z \left( \left\{ \begin{array}{ll} z_{ij}z_{kl} - z_{il}z_{kj} & \begin{matrix} i, k = 0, \dots, n \\ j, l = 0, \dots, m \end{matrix} \end{array} \right\} \right).$$

(2)  $\sigma^{ij} : \mathbb{A}^{n+m} \rightarrow \Sigma^{ij}$  is an isomorphism.

(3)  $\forall q \in \mathbb{P}^m$ , the map

$$\begin{array}{ccc} \bar{i}_q : \mathbb{P}^n & \rightarrow & \mathbb{P}^N \\ p & \rightarrow & \sigma(p, q) \end{array}$$

is a morphism. Similarly,  $j_p = \sigma(p, q) : \mathbb{P}^m \rightarrow \mathbb{P}^N$  is a morphism.

(4) Let  $X \subset \mathbb{P}^n, Y \subset \mathbb{P}^m$  be quasi-projective varieties, then  $\sigma(X \times Y) \subset \mathbb{P}^N$  is also a quasi-projective variety. What's more, if  $X$  and  $Y$  are both projective varieties, then  $\sigma(X \times Y)$  is a projective variety.

*Proof.* (1) If  $\sigma([a_0, \dots, a_n], [b_0, \dots, b_m]) = \sigma([a'_0, \dots, a'_n], [b'_0, \dots, b'_m])$ , then  $\exists \lambda \in k \setminus \{0\}$ , s.t.  $\lambda a'_i b'_j = \lambda a_i b_j \forall i, j$ . Choose  $i_0, j_0$  s.t.  $a_{i_0} b_{j_0} \neq 0$ , then  $\forall i = 0, \dots, n$ ,  $a_i b_{j_0} = \lambda a'_i b'_{j_0} \Rightarrow a_i = \left( \frac{\lambda b'_{j_0}}{b_{j_0}} \right) a'_i \Rightarrow [a_0, \dots, a_n] = [a'_0, \dots, a'_n]$ . The same way can be used to prove  $[b_0, \dots, b_m] = [b'_0, \dots, b'_m]$ . Let  $W$  be the zero set on the right hand side of the equation 3.2, clearly we have the relation  $\Sigma \subset W$ . Now let  $[a_{ij}] \in W$ , choose  $i_0, j_0$  s.t.  $a_{i_0 j_0} \neq 0$ , then we get  $[a_{ij}] = [a_{i_0 j_0} a_{ij}] = [a_{i_0 j} a_{i j_0}] = [a_{i j_0} a_{i_0 j}] = \sigma([a_{0 j_0}, \dots, a_{n j_0}], [a_{i_0 0}, \dots, a_{i_0 m}]) \subset \Sigma$ .

(2) Assume  $i = j = 0$ , then

$$\begin{aligned} \varphi_{00} \circ \sigma^{00}(a_1, \dots, a_n, b_1, \dots, b_m) &= \varphi_{00}(\sigma([1, a_1, \dots, a_n], [1, b_1, \dots, b_m])) \\ &= (z_{ij})_{(i,j) \neq (0,0)} \end{aligned}$$

where  $z_{i0} = a_i$  for  $i = 1, \dots, n$ ,  $z_{0j} = b_j$  for  $j = 1, \dots, m$ ,  $z_{ij} = a_i b_j$  for  $i, j \geq 1$ . These are all regular functions, so  $\varphi_{00} \circ \sigma^{00}$  is a morphism, so  $\sigma^{00}$  is a morphism. Finally,  $\sigma^{00}$  is an isomorphism because the inverse map is

$$(\sigma^{00})^{-1} = \left( \frac{z_{10}}{z_{00}}, \dots, \frac{z_{n0}}{z_{00}}, \frac{z_{01}}{z_{00}}, \dots, \frac{z_{0m}}{z_{00}} \right).$$

*Remark.* In fact,  $\Sigma^{ij}$  is a quasi-projective variety. Because  $\mathbb{A}^{n+m}$  is irreducible,  $\Sigma^{ij}$  is irreducible, hence a quasi-projective variety.

(3) Let  $q = [b_0, \dots, b_m]$ , then  $i_q = [x_i b_j]$ ,  $x_i b_j$ 's are homogeneous polynomials, so by theorem 5 we know it is a morphism.

(4) Let  $X \subset \mathbb{P}^n, Y \subset \mathbb{P}^m$  be projective varieties. We can decompose the map into the following:

$$\begin{aligned} \sigma(X \times Y) &= \bigcup_{i,j} \sigma(X \times Y) \cap U_{ij} \\ &= \bigcup_{i,j} \sigma^{ij}(\varphi_i(X \cap U_i) \times \varphi_j(Y \cap U_j)) \end{aligned}$$

$\varphi_i(X \cap U_i)$  and  $\varphi_j(Y \cap U_j)$  are closed subsets of  $\mathbb{A}^n$  and  $\mathbb{A}^m$  respectively. By the theorem 6  $\varphi_i(X \cap U_i) \times \varphi_j(Y \cap U_j)$  is closed in  $\mathbb{A}^{n+m}$ . Since  $\sigma^{ij}$  is an isomorphism, then  $\sigma^{ij}(\varphi_i(X \cap U_i) \times \varphi_j(Y \cap U_j))$  is closed in  $\Sigma^{ij} = \Sigma \cap U_{ij}$ . So  $\sigma(X \times Y)$  is closed in  $\Sigma$ , hence closed in  $\mathbb{P}^N$  because  $\Sigma$  itself is closed. To show its irreducible, we use the lemma 2. Since  $\sigma$  is injective we can endow  $\mathbb{P}^n \times \mathbb{P}^m$  with the topological structure of  $\mathbb{P}^N$ , hence we can identify  $\mathbb{P}^n \times \mathbb{P}^m$  with  $\Sigma$  provided with the topology induced from  $\mathbb{P}^N$ . Now we can use the lemma 2, we have known  $i_q$  and  $j_p$  are continuous, so  $\sigma(X \times Y)$  is irreducible. For quasi-projective conditions, we just get the conclusion by simply difference two projective varieties.  $\square$

*Remark.* For  $X \subset \mathbb{P}^n$  and  $Y \subset \mathbb{P}^m$  we can now identify  $X \times Y$  with  $\sigma(X \times Y) \subset \mathbb{P}^N$ . In particular we can identify  $\mathbb{P}^n \times \mathbb{P}^m$  with  $\Sigma$ .

From this perspective, part (2) of the theorem just says  $U_i \times U_j \subset \mathbb{P}^n \times \mathbb{P}^m$  is open and  $\varphi_i \times \varphi_j : U_i \times U_j \rightarrow \mathbb{A}^{n+m}$  is an isomorphism.

**Proposition 10** (Universal Property). *Let  $X, Y$  be quasi-projective varieties, then*

(1) *The projections*

$$\begin{aligned} p_1 &= (x_1, \dots, x_n) : X \times Y \rightarrow X \\ p_2 &= (y_1, \dots, y_m) : X \times Y \rightarrow Y \end{aligned}$$

*are morphisms.*

(2) *Let  $Z$  be a variety. The morphism  $\varphi : Z \rightarrow X \times Y$  are precisely the*

$$(f, g) : Z \rightarrow X \times Y, \quad p \rightarrow (f(p), g(p)) \quad \forall p \in Z$$

*where  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  are morphisms. In other words,  $\varphi : Z \rightarrow X \times Y$  is a morphism if and only if both  $p_1 \circ \varphi$  and  $p_2 \circ \varphi$  are morphisms.*

*Proof.* (1) It is enough to show  $p_1|_{U_i \times U_j}$  is a morphism from  $U_i \times U_j$  to  $U_i$ . Identify  $U_i \times U_j$  with  $\mathbb{A}^{n+m}$  and  $U_i$  with  $\mathbb{A}^n$ , then we can see that  $p_1$  is the same as the projection defined by the proposition 9, so it is a morphism.

(2)  $\Rightarrow$ : Let  $\varphi : Z \rightarrow X \times Y$  be a morphism. Then  $f := p_1 \circ \varphi$  and  $g := p_2 \circ \varphi$  are morphisms.

$\Leftarrow$ : Let  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  be morphisms. Define

$$Z^{ij} := f^{-1}(U_i) \cap g^{-1}(U_j).$$

Then  $(f, g)$  is a morphism  $\Leftrightarrow (f, g)|_{Z^{ij}}$  is a morphism for  $i = 1, \dots, n, j = 1, \dots, m$ . Consider the following mapping chain

$$Z^{ij} \xrightarrow{(f, g)} (X \times Y) \cap (U_i \times U_j) \xrightarrow{\varphi_i \times \varphi_j} \varphi_i(X \cap U_i) \times \varphi_j(Y \cap U_j) \subset \mathbb{A}^{n+m}.$$

the whole chain  $(\varphi_i \circ f, \varphi_j \circ g) : Z^{ij} \rightarrow \mathbb{A}^{n+m}$  is a morphism, so  $(f, g)$  is a morphism.  $\square$

**Corollary 4.** *Let  $X_1, X_2, Y_1, Y_2$  be varieties. If  $f : X_1 \rightarrow Y_1$  and  $X_2 \rightarrow Y_2$  are morphisms, then the map:*

$$\begin{aligned} f \times g : X_1 \times X_2 &\rightarrow Y_1 \times Y_2 \\ (p, q) &\rightarrow (f(p), g(q)) \end{aligned}$$

*is a morphism. In particular, if  $X_1$  is isomorphic to  $Y_1$  and  $X_2$  is isomorphic to  $Y_2$ , then  $X_1 \times X_2$  is isomorphic to  $Y_1 \times Y_2$*

*Proof.* We can write  $f \times g$  as  $f \circ p_1$  and  $g \circ p_2$ , both  $f \circ p_1$  and  $g \circ p_2$  are morphisms, so  $f \times g = (f \circ p_1, g \circ p_2)$  is a morphism.  $\square$

**Lemma 3.** *The closed subset in  $\mathbb{P}^n \times \mathbb{P}^m$  is the zero set of sets of polynomials of  $f_k(x_0, \dots, x_n, y_0, \dots, y_m)$  for  $k = 1, \dots, r$  which are homogeneous in  $x_i$  and  $y_j$ , and the degree in  $x_i$  is equal to the degree in  $y_j$ , we called it behomogeneous.*

*Proof.* Let  $W \subset \mathbb{P}^n \times \mathbb{P}^m$  be closed.  $W = \sigma^{-1}(A)$ , for  $A \subset \mathbb{P}^N$  closed. Then  $A$  is the zero set of homogeneous polynomials in  $z_{ij}$ , write it as  $A = (f_1(z_{ij}), \dots, f_r(z_{ij}))$ . Then we get  $W = (f_1(x_i y_j), \dots, f_r(x_i y_j))$ . For  $k = 1, \dots, r$ ,  $f_k(x_i y_j)$  are bihomogeneous. Conversely, assume

$$W = Z(g_1(x_0, \dots, x_n, y_0, \dots, y_m), \dots, g_l(x_0, \dots, x_n, y_0, \dots, y_m))$$

where  $g_k$  are bihomogeneous. Then

$(\varphi_i \times \varphi_j)(W \cap (U_i \times U_j)) = Z(g_1(x_0, \dots, x_i = 1, \dots, x_n, y_0, \dots, y_j = 1, \dots, y_m), \dots, g_l(x_0, \dots, x_i = 1, \dots, x_n, y_0, \dots, y_m))$ . So  $W \cap (U_i \times U_j)$  are closed in  $U_i \times U_j$ .  $U_i \times U_j$  form a finite open cover of  $\mathbb{P}^n \times \mathbb{P}^m$ , so  $W$  is closed.  $\square$

**Definition 24.** Let  $X$  be a variety, the diagonal is

$$\Delta_X := \{(p, p) \in X \times X \mid p \in X\} \subset X \times X.$$

The diagonal morphism is

$$\begin{array}{ccc} \delta_X : X & \rightarrow & \Delta_X \subset X \times X \\ p & \mapsto & (p, p). \end{array}$$

**Lemma 4.**  $\Delta_X$  is closed in  $X \times X$  and  $\delta_X : X \rightarrow \Delta_X$  is an isomorphism.

*Proof.* Any variety  $X$  is isomorphic to a locally closed subvariety of some projective space, so we can assume  $X \subset \mathbb{P}^n$  is locally closed, then

$$\Delta_X = \Delta_{\mathbb{P}^n} \cap (X \times X).$$

Thus we know if  $\Delta_{\mathbb{P}^n}$  is closed then  $\Delta_X$  is closed in  $X \times X$ . In fact  $\Delta_{\mathbb{P}^n} = Z(\{x_i y_j - x_j y_i \mid i, j = 0, \dots, n\})$  is closed.

$\delta_X : X \rightarrow \Delta_X$  is isomorphic because  $p_1 : \Delta_X \rightarrow X$  is its inverse morphism.  $\square$

*Remark.* The fact that  $\Delta_X \subset X \times X$  is closed replaces for us the Hausdorff property in topology.

**Definition 25.** A variety is called separated if  $\Delta_X \subset X \times X$  is closed. By the lemma 4 all varieties are separated.

**Corollary 5.** Let  $\varphi, \psi : X \rightarrow Y$  be morphisms of varieties, then  $W = \{p \in X \mid \varphi(p) = \psi(p)\}$  is closed in  $X$ . In particular, if  $\varphi|_U = \psi|_U$  for an open subset of  $X$ , then  $\varphi = \psi$ .

*Proof.* See the following chain

$$X \xrightarrow{\delta_X} \Delta_X \xrightarrow{\varphi \times \psi} Y \times Y.$$

So  $W = \delta^{-1}((\varphi \times \psi)^{-1}(\Delta_Y))$  is closed. Because varieties are irreducible, the open set  $U$  is dense in  $X$ , let  $\omega = \varphi - \psi$  and we get  $l(x) = 0$  in  $U$ , hence  $l = 0$  in  $X$  because of the continuity of  $l$ , hence  $\varphi = \psi$ .  $\square$

**Definition 26.** Let  $\varphi : X \rightarrow Y$  be a morphism of varieties. The graph of  $\varphi$  is defined as

$$(3.3) \quad \Gamma_\varphi := \{(p, \varphi(p)) \mid p \in X\} \subset X \times Y.$$

**Corollary 6.**  $\Gamma_\varphi$  is closed in  $X \times Y$ .

*Proof.* Define the map

$$\begin{array}{ccc} \varphi \times \text{id}_Y : X \times Y & \rightarrow & Y \times Y \\ (p, q) & \mapsto & (\varphi(p), q). \end{array}$$

Then we have  $\Gamma_\varphi = (\varphi \times \text{id}_Y)^{-1}(\Delta_Y)$ , so it is closed. In fact  $\Gamma_\varphi$  is isomorphic to  $X$ .  $\square$

**Definition 27.** A map  $\varphi : X \rightarrow Y$  of topological spaces is called closed if  $\varphi(Z)$  is closed in  $Y$  for all closed subsets  $Z \subset X$ .

**Definition 28.** A variety complete if the projection  $p_2 : X \times Y \rightarrow Y$  is a closed map for all varieties  $Y$ .

*Remark.* Completeness replaces for us compactness in topology.

**Example 3.**  $\mathbb{A}^1$  is not complete. Let  $Z = Z(x_1 y_1 - 1) \subset \mathbb{A}^2 = \mathbb{A}^1 \times \mathbb{A}^1$ , then  $p_2(Z) = \mathbb{A}^1 \setminus \{0\}$  is not closed in  $\mathbb{A}^1$ .

**Proposition 11.** Let  $X$  be a complete variety,  $\varphi : X \rightarrow Y$  be a morphism of varieties. Then  $\varphi(X)$  is closed in  $Y$ .

*Proof.* Since  $\Gamma_\varphi \subset X \times Y$  is closed and  $\varphi(X) = p_2(\Gamma_\varphi)$ , thus if  $X$  is complete,  $\varphi(X)$  is closed in  $Y$ .  $\square$

**Theorem 8.** All projective varieties are complete.

*Proof.* We finish the proof by two steps.

(1) Main step to show  $p_2 : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$  is closed. Let  $X \subset \mathbb{P}^n \times \mathbb{P}^m$  be closed, we can write it as

$$X = Z(f_1(x, y), \dots, f_r(x, y))$$

where  $f_i$  is bihomogeneous,  $x = (x_0, \dots, x_n), y = (y_0, \dots, y_m)$ . We can assume all  $f_i$  have the same degree  $d$  in  $y$ . If  $f_j$  has a lower degree  $l$ , we can replace it by polynomials  $y_0^{d-l} f_j, y_1^{d-l} f_j, \dots, y_n^{d-l} f_j$ . Fix a point  $q \in \mathbb{P}^m$ , then  $q \in p_2(X) \Leftrightarrow Z(f_1(x, q), \dots, f_r(x, q)) \neq \emptyset$ . By the projective Nullstellensatz, this is equivalent to:

$$\forall s > 0, (*) \text{ } \mathfrak{a} := \langle f_1(x, q), \dots, f_r(x, q) \rangle \text{ does not contain} \\ \text{all monomials of degree } s \text{ in } x.$$

It is trivial for  $s < d$ , so it is enough to show:

$$\forall s \geq d, \text{ the set } X_s := \{q \in \mathbb{P}^m | q \text{ satisfies the condition } (*)\} \\ \text{is closed in } \mathbb{P}^m. \text{ Hence } p_2(X) = \bigcap_{s \geq d} X_s \text{ is closed in } \mathbb{P}^m.$$

Denote monomials in  $x$  of degree  $s$  with  $M_i(x), i = 1, \dots, \binom{n+s}{n}$ . Denote monomials in  $x$  of degree  $s - d$  with  $N_j(x), j = 1, \dots, \binom{n+s-d}{n}$ . The elements of degree  $s$  in  $\mathfrak{a}$  are the linear span of  $\{N_i(x) f_j(x, q) | i = 1, \dots, \binom{n+s-d}{n}, j = 0, \dots, r\}$ . Define all  $\{N_i(x) f_j(x, q)\}$  by  $\{G_k(x, y), k = 1, \dots, t\}$ . The condition  $(*)$  is equivalent to:

$$\{G_k(x, q)\} \text{ does not equal to the whole space of degree } s \text{ in } x.$$

We can write  $G_k(x, y) = \sum_{i=1}^{\binom{n+s}{n}} A_{ik}(y) M_i(x)$ . The dimension of the linear span of  $\{G_k(x, q), k = 1, \dots, t\}$  is the rank of the matrix  $A := (A_{ik}(q))$ . Thus the condition  $(*)$  is equivalent to  $\text{rank}(A) < \binom{n+s}{n}$ . Thus

$$\{q \in \mathbb{P}^m | q \text{ satisfies the condition } (*)\} = \text{zero set of all } \binom{n+s}{n} \times \binom{n+s}{n} \text{ minors of } A.$$

Thus  $p_2(X)$  is closed in  $\mathbb{P}^m$ .

(2) General case. First show  $\mathbb{P}^n$  is completed. Let  $Y$  be a variety, we can assume  $Y \subset \mathbb{P}^m$  is locally closed subvariety. Let  $Z \subset \mathbb{P}^n \times Y$  be closed in  $\mathbb{P}^n \times Y$ ,  $\bar{Z}$  be the closure of  $Z$  in  $\mathbb{P}^n \times \mathbb{P}^m$ . Then  $p_2(\bar{Z})$  is closed in  $\mathbb{P}^m$ , hence  $p_2(Z) = p_2(\bar{Z} \cap (\mathbb{P}^n \times Y)) = p_2(\bar{Z}) \cap Y$  is closed in  $Y$ . Finally, let  $X \subset \mathbb{P}^n$  be closed



subvariety,  $Z \subset X \times Y$  be closed, it follows that  $Z$  is also closed in  $\mathbb{P}^n \times Y$ , therefore by trival step  $p_2(Z)$  is closed in  $Y$ .  $\square$

*Email address:* `wcghdpwy1@126.com`