

# ALGEBRAIC GEOMETRY - LOTHAR GÖTTSCHE

## LECTURE 13

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**Definition 1** (Finiteness). Let  $A \subset B$  be  $k$ -algebras.  $B$  is called finite over  $A$  if there exist finite many elements  $b_1, \dots, b_n \in B$  such that

$$B = b_1 A + \dots + b_n A := \left\{ \sum b_i a_i \mid a_i \in A \right\}.$$

**Definition 2** ( $R$ -module). Let  $R$ . An abelian group  $B$  together with the composition  $\cdot : R \cdot B \rightarrow B$  is called an  $R$ -module if and only if for arbitrary  $r, r_1, r_2 \in R$  and arbitrary  $b, b_1, b_2 \in B$ , the following conditions are satisfied

- (1)  $(r_1 \cdot r_2) \cdot b = r_1 \cdot (r_2 \cdot b)$ ;
- (2)  $r_1 \cdot (b_1 + b_2) = r_1 \cdot b_1 + r_1 \cdot b_2$ ;
- (3)  $1 \cdot b = b$ .

**Definition 3** (Finitely generated module). An  $R$ -module  $B$  is called finitely generated if there exist  $b_1, \dots, b_n \in B$  such that

$$B = b_1 R + \dots + b_n R.$$

**Example 1.** (1) Let  $R$  be a ring,  $I \subset R$  be an ideal, then  $I$  is an  $R$ -module via multiplication in  $R$ ;  
 (2) If  $I \subset R$  is an ideal and we put  $A = R/I$ , then  $A$  is an  $R$ -module via multiplication in quotient ring;  
 (3) If  $A \subset B$  is a subring, then  $B$  is an  $A$ -module via multiplication in  $B$ ;

If  $A$  and  $B$  are  $k$ -algebras and  $A \subset B$ , then  $B$  is also an  $A$ -module. By definition, it is equivalent between  $B$  is a finite  $A$ -algebra and  $B$  is a finitely generated  $A$ -module. For  $k$ -algebras, it has a different definition from modules about finitely generating.

**Definition 4.** Let  $A \subset B$  and  $A, B$  are  $k$ -algebra. For  $b_1, \dots, b_n \in B$ , if we can denote  $B$  as

$$B = \{g(b_1, \dots, b_n) \mid g \in A[x_1, \dots, x_n]\}$$

then we call  $B$  a finitely generated  $A$ -algebra.

By definition, a finite  $A$ -algebra is a finitely generated  $A$ -algebra, but the converse is not true. For example  $k[x]$  is finitely generated  $k$ -algebra but not finite.

**Proposition 1.** Let  $A, B, C$  be  $k$ -algebras and  $A \subset B \subset C$ , then we have

- (1) if  $B$  is finite over  $A$  and  $C$  is finite over  $B$ , then  $C$  is finite over  $A$ . If  $C$  is finite over  $A$ , then  $C$  is finite over  $B$ ;

- (2) let  $B \supset A$  be a finite  $A$ -algebra and assume  $B$  is an integral domain, then every element  $x \in B$  satisfies a monic equation

$$x^n + a_{n-1}x^{n-1} + \cdots + a_0 = 0$$

with  $a_i \in A$  for  $i = 0, \dots, n-1$ ;

- (3) assume  $b$  satisfies a monic equation over  $A$ , then  $A[b]$  is finite over  $A$ .

*Proof.* (1) We can write  $B = b_1A + \cdots + b_mA$ ,  $b_i \in B$  and  $C = c_1B + \cdots + c_nB$ ,  $c_i \in C$ , then we get  $C = \sum b_ic_jA$ , hence  $C$  is finite over  $A$ . If  $C = c_1A + \cdots + c_mA$ , since  $A \subset B$ , we get  $C = c_1B + \cdots + c_mB$ .

- (2) Assume  $B = \sum_{i=1}^n Ab_i$  for  $b_1, \dots, b_n \in B$ , then for any element  $x$  in  $B$ , we can write  $xb_i$  as

$$xb_i = \sum_{j=1}^n d_{ij}b_j$$

with  $d_{ij} \in A$ . It can be rewritten as  $\sum_{j=1}^n (x\delta_{ij} - d_{ij})b_j = 0$ . Thus  $(b_1, \dots, b_n)^T \in \ker M$  and  $M = (x\delta_{ij} - d_{ij})_{i,j=1}^n$ . Since  $B$  is an integral domain, we can view  $b_i$  as elements in the quotient field  $Q(B)$ , then we get  $\det M = 0$ . Since  $\det M$  is a monic equation for  $x$ , we finish the proof.

- (3) If  $b^n + a_{n-1}b^{n-1} + \cdots + a_0 = 0$  and  $a_i \in A$  for  $i = 0, \dots, n$ , then every power of  $b$  bigger than or equal to  $n$  is a linear combination of  $1, b, \dots, b^{n-1}$ , i.e.,  $A[b] = A + Ab + \cdots + Ab^{n-1}$  is finite. □

**Definition 5.** Let  $X, Y$  be affine varieties. A morphism  $\varphi : X \rightarrow Y$  is called finite if  $A(X)$  is a finite  $\varphi^*(A(Y))$ -algebra.

*Remark.* (1) (Definition of finite morphisms for general cases) By definition, we only define the finiteness of morphisms between affine varieties. In general, a morphism  $\varphi : X \rightarrow Y$  of varieties is called finite if and only if  $Y$  has an open affine cover  $U_1, \dots, U_n$ ,  $Y = U_1 \cup \dots \cup U_n$  such that  $\varphi^{-1}(U_i) = W_i$  is affine for  $i = 1, \dots, n$  and the morphism  $\varphi|_{W_i} : W_i \rightarrow U_i$  is finite.

(2) If  $Y$  is a closed subvariety of an affine variety  $X$ , the inclusion  $i : Y \rightarrow X$  is a finite morphism (Because  $i^* : A(X) \rightarrow A(Y)$  is surjective).

(3) Let  $\varphi : X \rightarrow Y$  and  $\psi : Y \rightarrow Z$  be morphisms of affine varieties

- (a) if  $\varphi$  and  $\psi$  are both finite, then the composition  $\psi \circ \varphi$  is finite;
- (b) if  $\psi \circ \varphi$  is finite, then  $\varphi$  is finite. In particular, if  $\varphi : X \rightarrow Y$  is finite and  $\varphi(X)$  is a subset of a closed subvariety  $W$  of  $Y$ , then  $\varphi : X \rightarrow W$  is finite.

**Theorem 1.** *Finite morphisms are closed.*

Before we prove this theorem, we need to prove two lemmas we need to use.

**Lemma 1.** *If  $X$  is an affine variety,  $I \subsetneq A(X)$  is a proper ideal, then  $Z(I) := \{p \in X | f(p) = 0, \forall f \in I\} \neq \emptyset$ .*

*Proof.* Let

$$\pi : k[x_1, \dots, x_n] \rightarrow A(X)$$

be a conanical map, then it is surjective. So  $\pi^{-1}(I)$  is a proper ideal in  $k[x_1, \dots, x_n]$ . By Nullstellensatz we know  $Z(\pi^{-1}(I)) \neq \emptyset$ . By definition,  $Z(I) = Z(\pi^{-1}(I)) \cap X$ , but  $\pi^{-1}(I) \supset I(X)$ , so  $Z(\pi^{-1}(I)) \subset X$ , hence we get  $Z(I) = Z(\pi^{-1}(I)) \neq \emptyset$ .  $\square$

**Lemma 2.** *Let  $B$  be a finite  $A$ -algebra and  $B$  be an integral domain, let  $I \subsetneq A$  be a proper ideal of  $A$ , then  $IB \subsetneq B$  is a proper ideal of  $B$ .*

*Proof.* Assume  $IB = B$ , since  $B$  is finite over  $A$ , we can write  $B = Ab_1 + \dots + Ab_n$ ,  $b_1, \dots, b_n \in B$ . Then  $B = IB = I(Ab_1 + \dots + Ab_n) = Ib_1 + \dots + Ib_n$ . In particular,  $b_i = \sum_{j=1}^n a_{ij}b_j$ ,  $a_{ij} \in I$ . Then we get  $M \cdot (b_1, \dots, b_n)^T = (0, \dots, 0)^T$  with  $M = (\delta_{ij} - a_{ij})_{i,j=1}^n$ . Again view  $M$  as a matrix in  $Q(B)$  we get  $\det M = 0$ , hence

$$0 = \det M = 1 + \sum_l c_l$$

with  $c_l \in I$ , it implies  $1 \in I$  and hence  $I$  is not a proper ideal in  $A$ . By this contradiction we know  $IB \neq B$ .  $\square$

*Proof of theorem 1.* Let  $\varphi : X \rightarrow Y$  be a finite morphism of affine varieties, and let  $W$  be a closed subvariety of  $X$ . We need to show  $\varphi(W)$  closed in  $Y$ . Let  $Z$  be the closure of  $\varphi(W)$  in  $Y$ , then we have to show  $Z = \varphi(W)$ . Replacing  $X$  by  $W$  and  $Y$  by  $Z$ , then our aim has changed to show a finite morphism  $\varphi : X \rightarrow Y$  of varieties with dense image is surjective. As  $\varphi(X)$  is dense in  $Y$ , we have that

$$\varphi^* : A(Y) \rightarrow A(X)$$

is injective, hence we can identify  $A(Y)$  with the image  $\varphi^*(A(Y)) \subset A(X)$ . Let  $Y \subset \mathbb{A}^n$ , we take  $x_1, \dots, x_n$  coordinates on  $\mathbb{A}^n$ . For any element  $p = (a_1, \dots, a_n) \in Y$ , define an ideal in  $A(Y)$

$$M := \langle x_1 - a_1, \dots, x_n - a_n \rangle.$$

Now we identify elements in  $M$  with the corresponding elements in  $A(X)$ , let  $A(X) \cdot M$  be an ideal generated by  $M$  in  $A(X)$ . In addition,

$$\begin{aligned} \varphi^{-1}(p) &= \{q \in X \mid \varphi(q) = p\} \\ &= \{q \in X \mid (x_i - a_i)(f(q)) = 0 \forall i = 1, \dots, n\} \\ &= \{q \in X \mid (x_i - a_i) \circ \varphi(q) = 0, \forall i = 1, \dots, n\} \\ &= \{q \in X \mid \varphi^*(x_i - a_i)(q) = 0, \forall i = 1, \dots, n\} \\ &= Z(A(X) \cdot M). \end{aligned}$$

Thus by lemma 1 we only need to show  $A(X) \cdot M \subsetneq A(X)$ , this is done by lemma 2, hence we finish the proof.  $\square$

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