

Complex Algebraic Geometry

Based on lectures by Pierre Albin

Notes taken by Rieunity

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Abstract

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1 Introduction

Let $f_i(x_1, \dots, x_n), i \in \{1, \dots, k\}$ be polynomials with coefficient in \mathbb{R} or \mathbb{C} . An *affine algebraic variety* is the common zero of $X = X(f_1, \dots, f_k) = \{x : f_i(x) = 0 \forall i\}$. We incorporate the field into the notation

$$X(\mathbb{C}) = \{x \in \mathbb{C}^n : f_i(x) = 0 \forall i\},$$

$$X(\mathbb{R}) = \{x \in \mathbb{R}^n : f_i(x) = 0 \forall i\} \text{ (} f_i \text{ have real coefficients)}.$$

These can be thought of naturally as topological spaces with the topology they inherit from \mathbb{C}^n or \mathbb{R}^n (Alternately, you can use the “Zariski topology” induced by declaring that zero sets of polynomials are closed).

It is frequently inconvenient that $X(\mathbb{C})$ is essentially never compact. To remedy this, we shift our attention to projective space:

$$\begin{aligned} \mathbb{CP}^n &= \frac{\mathbb{C}^{n+1} \setminus \{0\}}{\text{dilations}} \\ &= \{(z_0, z_1, \dots, z_n) \in \mathbb{C}^{n+1} \setminus \{0\}\} / (z_0, \dots, z_n) \sim (\lambda z_0, \dots, \lambda z_n), \forall \lambda \neq 0 \\ &= \mathbb{S}^{2n+1} / u(1), \end{aligned}$$

hence compact.

Given homogeneous polynomials $F_i(x_0, \dots, x_n)$ we obtain a “projective variety” $X = X(F_1, \dots, F_k) = \{x \in \mathbb{CP}^n : F_i(x) = 0 \forall i\}$. As before, if the polynomial have real coefficients, we have $X(\mathbb{R}) = \{x \in \mathbb{RP}^n : F_i(x) = 0 \forall i\}$.

We can ask about the relation between the topology and geometry of $X(\mathbb{R}), X(\mathbb{C})$ and the algebraic properties of X . For example, say X is the zero set of a single homogeneous polynomial of degree d called F , can we recover d from looking at $X(\mathbb{C}), X(\mathbb{R})$? (Only a sensible question for F irreducible.) It turns out that $X_F(\mathbb{C})$ determines a homology class $[X_F(\mathbb{C})] \in H_{2n-2}(\mathbb{CP}^n; \mathbb{Z})$, and this group is cyclic with generator $[H]$ induced by $\mathbb{CP}^{n-1} \hookrightarrow \mathbb{CP}^n$, and $[X_F(\mathbb{C})] = d \cdot [H]$.

We can recover d from the intrinsic geometry of $X_F(\mathbb{C})$ using the “Chern classes” in $H^*(?)$. Over the real numbers, $H_{n-1}(\mathbb{RP}^n; \mathbb{Z}_2)$ is cyclic with generator $[H]$ and $[X_F(\mathbb{R})] = d \cdot [H]$, so we recover $d \bmod 2$. It is possible to show that $X_F(\mathbb{R})$ does not provide an upper bound for d .

From a different point of view, the Nash embedding theorem shows that any smooth manifold over \mathbb{R} is diffeomorphic to $X(\mathbb{R})$ for some real smooth projective variety. For complex manifolds the analogue statement is false. To be diffeomorphic to a complex projective variety, a manifold must be complex, Kähler, Hodge, and then it will have an embedding into some \mathbb{CP}^n and Chow’s theorem guarantees that it’s algebraic.

2 Holomorphic functions

This section we want to talk about holomorphic functions.

Recall some concepts / theorems from one complex variable. Let $\mathcal{U} \subset \mathbb{C}$, $f : \mathcal{U} \rightarrow \mathbb{C}$, \mathcal{U} open,

- a. f is holomorphic $\Leftrightarrow f$ is analytic: $\forall z_0 \in \mathcal{U}, \exists \varepsilon > 0$ s.t. $f(z) = \sum_{n=0}^{\infty} a_n(z - z_0)^n, \forall z \in B_\varepsilon(z_0)$.
- b. f is holomorphic $\Leftrightarrow f$ satisfies Cauchy integral formula: $f \in \mathbb{C}^1$ and $\forall z_0 \in \mathcal{U}$, ε small enough

$$f(z_0) = \frac{1}{2\pi i} \int_{\partial B_\varepsilon(z_0)} \frac{f(z)}{z - z_0} dz.$$

- c. f is holomorphic $\Leftrightarrow f$ satisfies Cauchy-Riemann equations. Writing $z = x + iy$, $x, y \in \mathbb{R}$, $f(x, y) = u(x, y) + iv(x, y)$, u, v real values, u, v are continuous differentiable and satisfy

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}, \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}.$$

Let's introduce the differential operators:

$$\partial_z = \frac{\partial}{\partial z} = \frac{1}{2}(\partial_x - i\partial_y), \partial_{\bar{z}} = \frac{\partial}{\partial \bar{z}} = \frac{1}{2}(\partial_x + i\partial_y).$$

Then $\partial_z z = 1 = \partial_{\bar{z}} \bar{z}$, $\partial_z \bar{z} = 0 = \partial_{\bar{z}} z$. In these terms Cauchy-Riemann equations are $\partial_{\bar{z}} f = 0$.

Geometrically, $f : \mathcal{U} \subset \mathbb{C} = \mathbb{R}^2 \rightarrow \mathbb{C} = \mathbb{R}^2, f\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} u \\ v \end{bmatrix}$ induces $D_{z_0} f : T_{z_0} \mathbb{R}^2 \rightarrow T_{f(z_0)} \mathbb{R}^2$ with respect to the standard basis, this is the real Jacobian of f :

$$J_{\mathbb{R}}(f) = \begin{bmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{bmatrix}.$$

Example 2.1.

$$(D_{(x_0, y_0)} f)(\partial_x) = \partial_t|_{t=0} f(x_0 + t, y_0) = \partial_t|_{t=0} \begin{bmatrix} u(x_0 + t, y) \\ v(x_0 + t, y) \end{bmatrix} = \begin{bmatrix} \frac{\partial u}{\partial x} & \frac{\partial v}{\partial x} \end{bmatrix}.$$

After we complexify, $D_{z_0}^{\mathbb{C}} f : T_{z_0} \mathbb{R}^2 \otimes \mathbb{C} \rightarrow T_{f(z)} \mathbb{R}^2 \otimes \mathbb{C}$. We can write this matrix in the basis $\partial_z, \partial_{\bar{z}}$:

$$\begin{bmatrix} \partial_z(u + iv) & \partial_{\bar{z}}(u + iv) \\ \partial_z(u - iv) & \partial_{\bar{z}}(u - iv) \end{bmatrix} = \begin{bmatrix} \partial_z f & \partial_{\bar{z}} f \\ \partial_z \bar{f} & \partial_{\bar{z}} \bar{f} \end{bmatrix}.$$

(note: $\partial_{\bar{z}}\bar{f} = \overline{\partial_z f}$, $\overline{\partial_z \bar{f}} = \partial_z f$, etc.) The function f is holomorphic if and only if this matrix is diagonal. The complex Jacobian for f holomorphic is $J_{\mathbb{C}}f = \begin{bmatrix} \partial_z f & 0 \\ 0 & \partial_{\bar{z}}\bar{f} \end{bmatrix}$.

Holomorphic functions of one variable satisfy some important theorems:

- a. Maximum principle: $\mathcal{U} \subset \mathbb{C}$ open, connected, $f : \mathcal{U} \rightarrow \mathbb{C}$ is holomorphic, nonconstant, then $|f|$ has no local maximum in \mathcal{U} . If \mathcal{U} is bounded and f extends to a continuous $\bar{\mathcal{U}} \rightarrow \mathbb{C}$, then $\max |f|$ occurs on $\partial\bar{\mathcal{U}}$.
- b. Identity theorem: $f, g : \mathcal{U} \rightarrow \mathbb{C}$ holomorphic and \mathcal{U} connected. If

$$\{z \in \mathcal{U} : f(z) = g(z)\}$$

contains an open set then it is all of \mathcal{U} .

- c. Extension theorem: $f : B_{\varepsilon}(z_0) \setminus \{z_0\} \rightarrow \mathbb{C}$ is holomorphic and bounded, then it extends to a holomorphic function $B_{\varepsilon}(z_0) \rightarrow \mathbb{C}$.
- d. Liouville's theorem: $f : \mathbb{C} \rightarrow \mathbb{C}$ holomorphic and bounded implies f is constant.
- e. Riemann mapping theorem: If $\mathcal{U} \subset \mathbb{C}$ is a simply connected, proper open set, then \mathcal{U} is biholomorphic to the unit ball $B_1(0)$.
- f. Residue theorem: If $f : B_{\varepsilon}(0) \setminus \{0\} \rightarrow \mathbb{C}$ is holomorphic and $f(z) = \sum_{j \in \mathbb{Z}} a_j z^j$ is its Laurent series. Then

$$a_{-1} = \frac{1}{2\pi i} \int_{\partial B_{\varepsilon/2}(0)} f(z) dz.$$

Definition 2.1. $\mathcal{U} \subset \mathbb{C}^n$ open, $f : \mathcal{U} \rightarrow \mathbb{C}$ continuously differentiable, then f is holomorphic at $a \in \mathcal{U}$ if for all $j \in \{1, \dots, n\}$ the function of one variable

$$z_j \mapsto f(a_1, \dots, a_{j-1}, z_j, a_{j+1}, \dots, a_n)$$

is holomorphic. i.e., $\partial_{\bar{z}_j} f = 0, \forall j \in \{1, \dots, n\}$.

If we write

$$df = \sum \frac{\partial f}{\partial z_j} dz_j + \sum \frac{\partial f}{\partial \bar{z}_j} d\bar{z}_j.$$

Denote the first term ∂f and the second $\bar{\partial} f$, then f is holomorphic iff $\bar{\partial} f = 0$.

Definition 2.2. For $a \in \mathbb{C}^n$, $R \in (\mathbb{R}^+)^n$, the polydisc around a with multindices R is the set $D(a, R) = \{z \in \mathbb{C}^n : |z_j - a_j| < R_j, \text{ for all } j \in \{1, \dots, n\}\}$. If $R = (1, \dots, 1)$ and $a = 0$ we abbreviate $D(0, 1)$ by \mathbb{D} and refer to it as the unit disc in \mathbb{C}^n .

Repeatedly applying the Cauchy formula in 1-variable, we obtain the following theorem:

Theorem 2.3. $f : D(w, \varepsilon) \rightarrow \mathbb{C}$ holomorphic and $z \in D(w, \varepsilon)$ then

$$f(z) = \frac{1}{(2\pi i)^n} \int_{\partial D(w, \varepsilon)} \frac{f(\zeta_1, \dots, \zeta_n)}{(\zeta_1 - z_1) \cdots (\zeta_n - z_n)} d\zeta_1 \cdots d\zeta_n.$$

Using this we can show that for any point $w \in U$, \exists multi disc $D(w, \varepsilon)$ inside U such that for all $z \in D(w, \varepsilon)$, $f(z) = \sum_{|\alpha|=0}^{\infty} \frac{\partial_z^\alpha f}{\alpha!} (z - w)^\alpha$. Here α is a multi-index $\alpha \in (\mathbb{N}_0)^n$, and

$$\begin{aligned} (z - w)^\alpha &= (z_1 - w_1)^{\alpha_1} \cdots (z_n - w_n)^{\alpha_n} \\ \alpha! &= \alpha_1! \cdots \alpha_n! \\ \partial_z^\alpha f &= \partial_{z_1}^{\alpha_1} \cdots \partial_{z_n}^{\alpha_n} f. \end{aligned}$$

From the list above, the maximum principle, the identity theorem and Liouville's theorem generalize easily. Riemann extension theorem holds but is harder to prove and Riemann mapping definitely fails. There are also phenomenon that do not have analogues in one complex variable.

Theorem 2.4 (Hartogs Extension Theorem). $n \geq 2$, $f : U \setminus K \rightarrow \mathbb{C}$ holomorphic with $U \subset \mathbb{C}^n$ open, $K \subset U$ compact. If $U \setminus K$ is connected, then $\exists! F : U \rightarrow \mathbb{C}$ holomorphic extending f .

3 Solving $\partial u = f$

This section, we will talk about how severable complex variable holomorphic functions are NOT like one complex variable holomorphic functions.

Example 3.1. Consider $H = \{(z, w) \in \mathbb{C}^2 : |z| < 1, \frac{1}{2} < |w| < 1\} \cup \{|z| < \frac{1}{2}, |w| < 1\}$

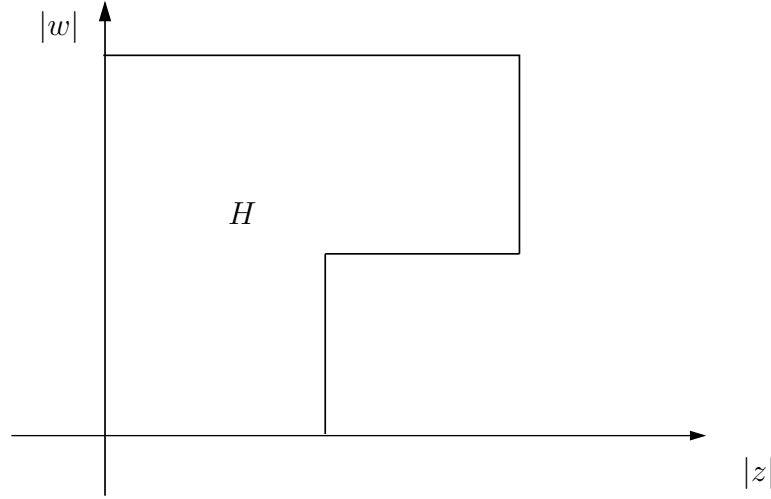


Figure 1:

Let f be holomorphic function on H , then we claim: $\exists F$ holomorphic on $\mathbb{D} = \{|z| < 1, |w| < 1\}$ such that $F|_H = f$. In fact

$$F(z, w) = \frac{1}{2\pi i} \int_{|\zeta|=r} \frac{f(z, \zeta)}{\zeta - w} d\zeta. \quad \frac{1}{2} < r < 1$$

is holomorphic. Indeed,

$$\partial_{\bar{z}} \left(\frac{f(z, \zeta)}{\zeta - w} \right) = 0.$$

For any fixed z with $|z| < \frac{1}{2}$, $w \mapsto f(z, w)$ is holomorphic on all of $\{|w| < 1\}$ and so we have $F(z, w) = f(z, w)$ for any $|z| < \frac{1}{2}, |w| < r$ by the Cauchy integral formula. Hence $F = f$ on all H .

The region $H = \mathbb{D} \setminus \text{some closed subset of } \mathbb{D}$ may not use Hartogs extension theorem directly since $\mathbb{D} \setminus H$ is not compact. We provide it here just to give some intuition of the difference between several variables and one variable condition.

Before the proof of Hartogs extension theorem, we do some preparations.

Lemma 3.1. *Let $f \in C^1(\overline{\Omega})$, then*

$$\int_{\partial\Omega} f dz = \int_{\Omega} \frac{\partial f}{\partial \bar{z}} d\bar{z} dz = 2i \int_{\Omega} \frac{\partial f}{\partial \bar{z}} dx dy.$$

Note: When we write $d\bar{z}dz$, it means $d\bar{z} \wedge dz = 2idx \wedge dy$, the same for $dx dy$, hence not the usual one.

Proposition 3.2. *Let $u \in C^1(\overline{\Omega})$, then*

$$u(\zeta) = \frac{1}{2\pi i} \int_{\partial\Omega} \frac{u(z)}{z - \zeta} dz - \frac{1}{\pi} \int_{\Omega} \frac{\frac{\partial u}{\partial \bar{z}}(z)}{z - \zeta} dx dy.$$

Proof. Fix ζ , let $\varepsilon < d(\zeta, \partial\Omega)$ and let $\Omega_\varepsilon = \{z \in \Omega : |z - \zeta| \geq \varepsilon\}$. Applying Lemma to $f(z) = \frac{u(z)}{z - \zeta}$, we obtain

$$\int_{\partial\Omega_\varepsilon} \frac{u(z)}{z - \zeta} dz = 2i \int_{\Omega_\varepsilon} \frac{\partial_z u}{z - \zeta} dx dy.$$

As $\varepsilon \rightarrow 0$, the LHS converges to $\int_{\partial\Omega} \frac{u(z)}{z - \zeta} dz - 2\pi i u(\zeta)$. □

Theorem 3.3. *Let $\phi \in C_c^\infty(\mathbb{C})$. let $u(\zeta) = \frac{1}{\pi} \int_{\mathbb{C}} \frac{\phi(z)}{z - \zeta} dx dy$. Then u is an analytic function outside of the $\text{supp}\phi$ and u is smooth on \mathbb{C} and $\partial_{\bar{z}} u = \phi$.*

Proof. Interchanging derivatives and the integral, we see that $u \in C^\infty(\mathbb{C})$ and by a change variables we have

$$u(\zeta) = -\frac{1}{\pi} \int_{\mathbb{C}} \frac{\phi(\zeta - z)}{z} dx dy.$$

So

$$\partial_{\bar{\zeta}} u(\zeta) = -\frac{1}{\pi} \int_{\mathbb{C}} \frac{\partial_{\bar{\zeta}} \phi(\zeta - z)}{z} dx dy = \frac{1}{\pi} \int_{\mathbb{C}} \frac{\partial_{\bar{z}} u(z)}{\zeta - z} dx dy.$$

Applying the proposition to any disc containing $\text{supp}\phi$, we get that this equals $\phi(\zeta)$, i.e., $\partial_{\bar{\zeta}} u = \phi$. □

Remark. *Even though ϕ has compact support, no solution of $\partial_{\bar{z}} u = \phi$ can have compact support if $\int_{\mathbb{C}} \phi(z) dx dy \neq 0$. Indeed, if $u(\zeta) = 0 \forall |\zeta| > R$ for R sufficiently large, then*

$$0 = \int_{|z|=R} u(z) dz = \int_{|z|<R} \partial_{\bar{z}} u dx dy = 2i \int_{|z|<R} \phi dx dy.$$

Theorem 3.4. Suppose $f_j \in C_c^\infty(\mathbb{C}^n)$, $j \in \{1, \dots, n\}$, $n > 1$ satisfy $\partial_{\bar{z}_j} f_k = \partial_{\bar{z}_k} f_j$, $\forall j, k \in \{1, \dots, n\}$, then there exists a $u \in C_c^\infty(\mathbb{C}^n)$ such that $\partial_{\bar{z}_j} u = f_j$, $\forall j$.

Proof. Define

$$u(z) = \frac{1}{2\pi i} \int_{\mathbb{C}} \frac{f_1(\zeta, z_2, \dots, z_n)}{\zeta - z_1} d\bar{\zeta} d\zeta = \frac{-1}{2\pi i} \int_{\mathbb{C}} \frac{f_1(z_1 - \zeta, z_2, \dots, z_n)}{\zeta} d\bar{\zeta} d\zeta.$$

We note that $u \in C^\infty(\mathbb{C})$ and since f_1 has compact support, u vanishes if $|z_2| + \dots + |z_n|$ large enough. By the previous theorem, $\partial_{\bar{z}_1} u = f_1$. Also differentiating,

$$\partial_{\bar{z}_j} u = \frac{1}{2\pi i} \int_{\mathbb{C}} \frac{\partial_{\bar{z}_1} f_j(\zeta, z_2, \dots, z_n)}{\zeta - z_1} d\bar{\zeta} d\zeta = f_j.$$

Hence u solve the system of equations. Let $K = \bigcup_{j=1}^n \text{supp } f_j$, u is holomorphic on $\mathbb{C}^n \setminus K$ and we know that u is zero if $|z_2| + \dots + |z_n|$ sufficiently large. So by the identity theorem u must vanish on the component of $\mathbb{C}^n \setminus K \Rightarrow u \in C_c^\infty(\mathbb{C}^n)$. \square

4 Proof of Hartogs theorem

Theorem 4.1. *Let \mathcal{U} be a domain in $\mathbb{C}^n, n > 1$ (domain is nonempty connected open set). Let K be a compact subset of \mathcal{U} s.t. $\mathcal{U} \setminus K$ is connected. Then every holomorphic function on $\mathcal{U} \setminus K$ extends to a holomorphic function on \mathcal{U} .*

Proof. Given f analytic on $\mathcal{U} \setminus K$, choose $\theta \in C_c^\infty(\mathcal{U})$ s.t. $\theta|_K \equiv 1$. Define $f_0 \in C^\infty(\mathcal{U})$ by setting

$$f_0(z) = \begin{cases} 0, & z \in K \\ (1 - \theta)f, & z \in U. \end{cases}$$

We shall construct $v \in C^\infty(\mathbb{C}^n)$ s.t. $f_0 + v$ is the required holomorphic extension of f . In order for $f_0 + v$ to be holomorphic we need $\partial_{\bar{z}_j}(f_0 + v) = 0 = \partial_{\bar{z}_j}(1 - \theta)f + \partial_{\bar{z}_j}v = -(\partial_{\bar{z}_j}\theta)f + \partial_{\bar{z}_j}v$. That is, we need

$$\partial_{\bar{z}_j}v = (\partial_{\bar{z}_j}\theta)f, \quad \forall j \in \{1, \dots, n\}.$$

We know from last section that we can find $v \in C_c^\infty(\mathcal{U})$ solving this system of equations. Since v has compact support and is holomorphic outside of the support of θ , it must vanish on the unbounded component of $\mathbb{C}^n \setminus \text{supp}\theta$. Since $\text{supp}\theta \subset \mathcal{U}$, there is an open set W in $\mathcal{U} \setminus K$ where $v \equiv 0$ and so $f_0 + v = f_0 = f$ in W . But $\mathcal{U} \setminus K$ is connected and $f, f_0 + v$ are holomorphic, so they must coincide on all of $\mathcal{U} \setminus K$. Thus $f_0 + v$ is the desired holomorphic extension of f . \square

Corollary 4.2. *Let $\mathcal{U} \subset \mathbb{C}^n$ domain, $n > 1$, f holomorphic function in \mathcal{U} . The zero set $f^{-1}(0)$ of f is never a compact subset of \mathcal{U} .*

Proof. First assume $\mathcal{U} \setminus K$ connected. If $f^{-1}(0) = K$ were a compact subset of \mathcal{U} , then we could extend $\frac{1}{f}$ from $\mathcal{U} \setminus K$ to \mathcal{U} holomorphically. In particular, we would get some nonzero value at K for $\frac{1}{f}$, which is a contradiction.

Without knowing that $\mathcal{U} \setminus K$ is connected, the previous proof gives us $g_0 + v$ holomorphic with $v \equiv 0$ on a connected component of $\mathcal{U} \setminus K$. It may not agree with g on all of $\mathcal{U} \setminus K$, but this is enough to say that $\frac{1}{f}$ is bounded on some sequence approaching $f^{-1}(0)$ and that's a contradiction. \square

Heuristically, we might expect that the zero set of nontrivial holomorphic function $f : \mathcal{U} \subset \mathbb{C}^n \rightarrow \mathbb{C}$ will have complex codimension 1, i.e., complex dimension $n - 1$. If f is a polynomial of degree 1, then its zero set is an affine subspace of complex dimension $n - 1$. If $D_p f : \mathbb{C}^n \rightarrow \mathbb{C}$ is nonzero at each point $p \in f^{-1}(0)$, then f is “well-approciamted” by its linear approximation and $f^{-1}(0)$ should be locally modelled by open subset of \mathbb{C}^{n-1} . Indeed, the complex version of the implicit

function theorem holds and shows that $f^{-1}(0)$ in this case is a smooth submanifold of complex dimension $n - 1$. (Just like in the \mathbb{R} setting)

Things are more complicated if the derivative vanishes. In one complex variable, we know that if $f(z) = 0$ and $f' \not\equiv 0$, then 0 is a root of a finite order, say p , meaning that there is a holomorphic function g s.t. $g(0) \neq 0$ and $f(z) = z^p g(z)$.

In several complex variables, after a change of coordinates, assume that $F(z_n) = f(0', z_n)$, $0' \in \mathbb{C}^{n-1}$ is non-trivial. So it has a zero of finite order, say p , $F(z_n) = z_n^p g_{0'}(z_n)$. Using the continuity of f we can apply Rouché's theorem from one complex variable and conclude that there is a polydisc $D(0', \varepsilon') \subset \mathbb{C}^{n-1}$ such that for all $z' \in D(0', \varepsilon')$ the function $z \mapsto f(z', z)$ has exactly p zeros in $D(0, \varepsilon_n) \subset \mathbb{C}$. In particular, we see again that the zeros of a holomorphic function of several variables are not isolated.

5 Weierstrass preparation theorem

What does a holomorphic function look like near a zero? In one variable case, we know that if $f(z) = 0$, then $f(z) = z^p g(z)$, $p \in \mathbb{N}$, g holomorphic $g(z) \neq 0$ or $g \equiv 0$.

Suppose we're given a function $f(z_1, \dots, z_{n-1}, w)$ holomorphic near $0 \in \mathbb{C}^n$, $f(0, \dots, 0) = 0$ and w -axis not contained in $f^{-1}(0)$. That is to say, if $f_{z'}(w) = f(z', w)$, $z' \in \mathbb{C}^{n-1}$, then $f_{z'}(w)$ is not identically zero. We know that $f_{z'}(w) = w^p g(w)$, $g(0) \neq 0$, $p \in \mathbb{N}$. Hence there exists $r > 0$ s.t. $|f_{z'}(w)| > \delta > 0$ whenever $|w| = r$, so $\exists \varepsilon > 0$ s.t. $|z'| < \varepsilon$, $|w| = r$ then $|f_{z'}(w)| > \frac{\delta}{2}$.

Recall: $h(w) = \tilde{h}(w) \prod_i (w - a_i)$, then $\frac{h'(w)}{h(w)} = \frac{\tilde{h}'(w)}{\tilde{h}(w)} + \sum_i \frac{1}{w - a_i}$, so

$$\frac{1}{2\pi i} \int_{\gamma} b(w) \frac{h'(w)}{h(w)} dw = \sum_i b(a_i).$$

Writing $f_{z'}(w) = \tilde{f}_{z'}(w) \prod_{i=1}^p (w - a_i(z'))$, we see that

$$\sum a_i(z')^q = \frac{1}{2\pi i} \int_{|w|=r} w^q \frac{f'_{z'}(w)}{f_{z'}(w)} dw.$$

This shows that the LHS is a holomorphic function of z' . Hence the elementary symmetric functions of the $a_i(z')$ are holomorphic functions of z' . Hence $g_{z'}(w) = w^p - \sigma_1(z')w^{p-1} + \dots + (-1)^d \sigma_d(z') = \prod_i (w - a_i(z'))$ is also a holomorphic function of z' . That is, $g(z', w) = g_{z'}(w)$ is holomorphic on $\{|z'| < \varepsilon, |w| < r\}$ and on this set it has the same zeros as $f(z', w)$. So let's define

$$h(z', w) = \frac{f(z', w)}{g(z', w)}.$$

Clearly it is well-defined and holomorphic off the zero set. Also, for fixed z' , $h_{z'}(w)$ has only removable singularities, so it extends to a function on $D(0', \varepsilon') \times D(0, r)$ which is holomorphic in w for each z' and holomorphic off the zero set. Writing $h(z', w) = \frac{1}{2\pi i} \int_{|u|=r} \frac{h(z', u)}{u - w} du$, we see that h is holomorphic in z' .

A Weierstrass polynomial in w is a polynomial of the form

$$w^p + \alpha_1(z')w^{p-1} + \dots + \alpha_p(z'), \quad \alpha_j(0) = 0, \alpha_j \text{ holomorphic}, j = 1, \dots, p.$$

Theorem 5.1 (Weierstrass Preparation Theorem). *If f is holomorphic near the origin in \mathbb{C}^n such that $f(0) = 0$ and not identically zero on the w -axis, then there is a neighborhood of zero in which f can be written uniquely as*

$$f = g \cdot h$$

where g is a Weierstrass polynomial of degree p and h doesn't vanish at the origin.

Theorem 5.2 (Riemann Extension Theorem). *Suppose $f(z', w)$ not identically zero is holomorphic in a ball $\mathbb{B} \subset \mathbb{C}^n$, and $g(z', w)$ is holomorphic on $\mathbb{B} \setminus f^{-1}(0)$ and bounded. Then g extends to a holomorphic function on \mathbb{B} .*

Proof. WLOG, assume that w -axis is not contained in $f^{-1}(0)$. As before, there are r, ε s.t. $|f(z', w)| > \delta > 0$ whenever $|z'| < \varepsilon, |w| < r$. The 1-variable Riemann extension theorem applies to each $g_{z'}$ and the extension $\tilde{g}_{z'}(w) = \frac{1}{2\pi i} \int_{|w|=r} \frac{g'_{z'}(\theta)}{w-\theta} d\theta$. Hence $\tilde{g}(z', w) = \tilde{g}_{z'}(w)$ is holomorphic in (z', w) for all $|z'| < \varepsilon, |w| < r$. \square

The theorem says A locally bounded holomorphic function on the complement of a “thin” set can be uniquely extended.

Finally, let's discuss the failure of the Riemann mapping theorem in several complex variables.

Example 5.1. Consider $H = \{z \in \mathbb{C}^n : \text{Im } z_1 > 0\}$ and $\mathbb{B}^n = \{z \in \mathbb{C}^n : |z| < 1\}$. If $\varphi : H \rightarrow \mathbb{B}^n$ is holomorphic, then for each z_1 with $\text{Im } z_1 > 0$ the function $(z_2, \dots, z_n) \mapsto \varphi(z_1, z_2, \dots, z_n)$ is holomorphic and bounded on \mathbb{C}^{n-1} , hence by Liouville's theorem it's constant.

Theorem 5.3 (Poincaré). *For $n > 1$, the unit polydisc \mathbb{D}^n and the unit ball \mathbb{B}^n are not biholomorphic.*

Proof. (Simha, Indian mathematician) Assume $\varphi : \mathbb{D}^n \rightarrow \mathbb{B}^n$ is a biholomorphic with $\varphi(0) = 0$. Let $\psi : \mathbb{B}^n \rightarrow \mathbb{D}^n$ be the inverse map.

Claim: We must have $D_0\varphi(\mathbb{D}^n) \subset \mathbb{B}^n$ and $D_0\psi(\mathbb{B}^n) \subset \mathbb{D}^n$.

Given the claim we have $D_0\varphi(\mathbb{D}^n) = \mathbb{B}^n$. In particular $D_0\varphi(\partial\mathbb{D}^n) = \partial\mathbb{B}^n$, but this is impossible since $D_0\varphi$ is linear, $\partial\mathbb{D}^n$ contains linear pieces of positive dimension and $\partial\mathbb{B}^n$ does not.

Proof of the claim:

- a. $D_0\varphi(\mathbb{D}^n) \subset \mathbb{B}^n$. Write $\varphi = (\varphi_1, \dots, \varphi_n)$, $v \in \mathbb{D}^n$, $u = (u_1, \dots, u_n) \in \mathbb{B}^n$. Applying Schwarz's Lemma to the function

$$t \mapsto u_j \varphi_j(tv).$$

We see that $|\langle \bar{u}, (D_0\varphi)(v) \rangle| \leq 1$. As this holds for all $u \in \mathbb{B}^n$, we must have $|D_0\varphi| \leq 1$.

- b. $D_0\psi(\mathbb{B}^n) \subset \mathbb{D}^n$. Write $\psi = (\psi_1, \dots, \psi_n)$, $u = (u_1, \dots, u_n) \in \mathbb{B}^n$. Applying Schwarz's Lemma to the function

$$t \mapsto \psi_j(tu_1, \dots, tu_n).$$

We see that $|\sum u_k \partial_{z_k} \psi_j(0)| \leq 1$ for $1 \leq j \leq n$, hence $D_0\psi(\mathbb{B}^n) \subset \mathbb{D}^n$. \square

6 Complex manifolds

Definition 6.1. Let M be a metrizable topological space. A real (complex) coordinate chart is a homeomorphism $\varphi : \mathcal{U} \rightarrow \mathcal{V}$ between an open subset $\mathcal{U} \subset M$ and an open subset $\mathcal{V} \subset \mathbb{R}^n$ (or \mathbb{C}^n). A smooth (holomorphic) atlas is a collection of charts $\{\varphi_\alpha : \mathcal{U}_\alpha \rightarrow \mathcal{V}_\alpha\}$ s.t. $M = \bigcup_\alpha \mathcal{U}_\alpha$ and whenever $\mathcal{U}_\alpha \cap \mathcal{U}_{\alpha'} \neq \emptyset$ the 'transition map' $\varphi_\alpha \circ \varphi_{\alpha'}^{-1} : \varphi_{\alpha'}(\mathcal{U}_\alpha \cap \mathcal{U}_{\alpha'}) \rightarrow \varphi_\alpha(\mathcal{U}_\alpha \cap \mathcal{U}_{\alpha'})$ between open subsets of \mathbb{R}^n (or \mathbb{C}^n) is smooth (or holomorphic).

Two atlases are equivalent if their union is again an atlas. A smooth (or complex) manifold is a metrizable space M together with an equivalence class of atlases.

We'll assume that all charts use the same dimensional Euclidean space and refer to n as the real dimension of M (or m as the complex dimension of M).

Example 6.1. a. Any open subset of \mathbb{R}^n is an n -dimensional manifold with a single chart and sinimilarly any open subset of \mathbb{C}^m is an m -dimensional complex manifold with a single chart.

b. The sphere \mathbb{S}^2 is a 2-dimensional real manifold and a 1-dimensional complex manifold.

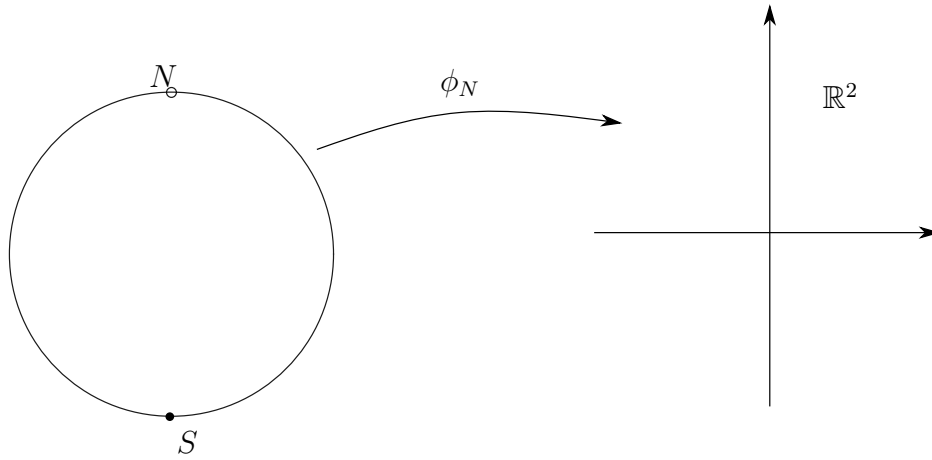


Figure 2: \mathbb{S}^2 and its chart ϕ_N, ϕ_S

The real charts ϕ_N and ϕ_S are (See Figure 2)

$$\begin{aligned}\phi_N : \mathbb{S}^2 \setminus \{N\} &\longrightarrow \mathbb{R}^2 \\ (x, y, z) &\longmapsto \phi_N((x, y, z)) = \left(\frac{x}{1-z}, \frac{y}{1-z} \right),\end{aligned}$$

$$\begin{aligned}\phi_S : \mathbb{S}^2 \setminus \{S\} &\longrightarrow \mathbb{R}^2 \\ (x, y, z) &\longmapsto \phi_S((x, y, z)) = \left(\frac{x}{1+z}, \frac{y}{1+z} \right).\end{aligned}$$

What is ϕ_N^{-1} ?

$(u, v) = \left(\frac{x}{1-z}, \frac{y}{1-z} \right)$, $u^2 + v^2 = \frac{x^2}{(1-z)^2} + \frac{y^2}{(1-z)^2} = \frac{1-z^2}{(1-z)^2}$, so $z = \frac{u^2+v^2-1}{u^2+v^2+1}$. Then

$$\phi_N^{-1}(u, v) = \left(\frac{2u}{1+u^2+v^2}, \frac{2v}{1+u^2+v^2}, \frac{u^2+v^2-1}{u^2+v^2+1} \right).$$

So

$$\phi_S \circ \phi_N^{-1}(u, v) = \left(\frac{u}{u^2+v^2}, \frac{v}{u^2+v^2} \right).$$

This is a smooth atlas.

Note that if we use $\phi_N : \mathbb{S}^2 \setminus \{N\} \rightarrow \mathbb{C}, (x, y, z) \mapsto \frac{x+iy}{1-z}$ and $\phi_S : \mathbb{S}^2 \setminus \{S\} \rightarrow \mathbb{C}, (x, y, z) \mapsto \frac{x+iy}{1+z}$, then

$$\phi_S \circ \phi_N^{-1}(u + iv) = \frac{u + iv}{u^2 + v^2} = \frac{1}{u - iv},$$

i.e., $w \mapsto \frac{1}{\bar{w}}$ and this map is not holomorphic. Simple fix: replace ϕ_S with $\tilde{\phi}_S : \mathbb{S}^2 \setminus \{S\} \rightarrow \mathbb{C}, (x, y, z) \mapsto \frac{x-iy}{1+z}$. Then $\tilde{\phi}_S \circ \phi_N^{-1}(w) = \frac{1}{w} : \mathbb{C} \setminus \{0\} \rightarrow \mathbb{C} \setminus \{0\}$ and this map is holomorphic. \mathbb{S}^2 together with the equivalence class of the atlas $\{\phi_N : \mathbb{S}^2 \setminus \{N\} \rightarrow \mathbb{C}, \phi_S : \mathbb{S}^2 \setminus \{S\} \rightarrow \mathbb{C}\}$ is a complex manifold called the Riemann sphere.

Definition 6.2. If M is a smooth (complex) manifold, a function $M \rightarrow \mathbb{R}$ (or $M \rightarrow \mathbb{C}$) is smooth at $\zeta \in M$ (holomorphic at $\zeta \in M$) if there is a chart $\varphi : \mathcal{U} \rightarrow \mathcal{V}$ with \mathcal{U} a neighborhood s.t. $f \circ \varphi^{-1} : \mathcal{V} \rightarrow \mathbb{R}$ is smooth ($f \circ \varphi^{-1} : \mathcal{V} \rightarrow \mathbb{C}$ is holomorphic).

If N is another smooth (complex) manifold, then a function $f : M \rightarrow N$ is smooth (or holomorphic) at $\zeta \in M$ if there are charts $\varphi : \mathcal{U} \rightarrow \mathcal{V}, \varphi' : \mathcal{U}' \rightarrow \mathcal{V}'$ with \mathcal{U} a neighborhood of ζ in M and \mathcal{U}' a neighborhood of $f(\zeta)$ in N and $\varphi' \circ f \circ \varphi^{-1} : \mathcal{V} \rightarrow \mathcal{V}'$ is smooth at $\varphi(\zeta)$ (or holomorphic at $\varphi(\zeta)$).

Example 6.2. \mathbb{CP}^n = the space of all complex lines in \mathbb{C}^{n+1} through the origin = $(\mathbb{C}^{n+1} \setminus \{0\}) / \mathbb{C}^\times$. There is a natural surjective map $\pi : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{CP}^n, z \mapsto [z]$. Here $[z]$ is the equivalence class of $\{\lambda z : \lambda \in \mathbb{C}^\times\}$. Topologize \mathbb{CP}^n through π , i.e., we declare $\pi(\mathcal{U}) \subset \mathbb{CP}^n$ open for all $\mathcal{U} \subset \mathbb{C}^{n+1} \setminus \{0\}$ open. For any point $z = (z_0, z_1, \dots, z_n)$, we denote $\pi(z)$ by $(z_0 : z_1 : \dots : z_n)$, and call these “homogeneous coordinates”. Note $(z_0 : z_1 : \dots : z_n) = (\lambda z_0 : \lambda z_1 : \dots : \lambda z_n) \forall \lambda \in \mathbb{C}^\times$.

For $0 \leq j \leq n$, denote $\mathcal{U}_j = \{(z_0 : z_1 : \dots : z_n) \in \mathbb{CP}^n : z_j \neq 0\}$. These form the “standard open cover of \mathbb{CP}^n ”. Define coordinate charts $\varphi_j : \mathcal{U}_j \rightarrow \mathbb{C}^n, (z_0 : z_1 : \dots : z_n) \mapsto \frac{1}{z_j}(z_0, \dots, \hat{z}_j, \dots, z_n)$. These are homeomorphisms with $\varphi_j^{-1} : \mathbb{C}^n \rightarrow \mathcal{U}_j, (w_1, \dots, w_n) \mapsto (w_1 : \dots : 1 : \dots : w_n)$ by putting 1 in the j spot. $\varphi_j(\mathcal{U}_j \cap \mathcal{U}_k) = \{(w_1, \dots, w_n) \in \mathbb{C}^n : w_k \neq 0 \text{ if } j < k \text{ or } w_{k+1} \neq 0 \text{ if } j > k\}$. Assume $j < k$, then

$$\begin{aligned} \varphi_k \circ \varphi_j^{-1} : \mathcal{U}_j \cap \mathcal{U}_k &\longrightarrow \varphi_k(\mathcal{U}_j \cap \mathcal{U}_k) \\ (w_1, \dots, w_n) &\longmapsto \frac{1}{w_k} (w_1, \dots, w_{j-1}, 1, w_j, \dots, \hat{w}_k, \dots, w_n). \end{aligned}$$

This is holomorphic, so the atlas of $\{\varphi_j : \mathcal{U}_j \rightarrow \mathbb{C}^n\}$ gives \mathbb{CP}^n the structure of a complex manifold of complex dimension n .

As smooth manifolds, $\mathbb{CP}^n \simeq \mathbb{S}^{2n+1} / \mathbb{S}^1, \mathbb{S}^{2n+1} \subset \mathbb{C}^{n+1}, \mathbb{S}^1 \subset \mathbb{C}$. Hence \mathbb{CP}^{n+1} is compact.

7 More examples of complex manifolds