## ALGEBRAIC GEOMETRY - LOTHAR GÖTTSCHE LECTURE 03

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**Definition 1.** Let  $\mathfrak{a}$  be an ideal in a ring R. The radical of  $\mathfrak{a}$  is

$$\sqrt{\mathfrak{a}} = \{ r \in R | \exists n > 0, r^n \in \mathfrak{a} \}.$$

 $\sqrt{\mathfrak{a}}$  is an ideal in R.  $\mathfrak{a}$  is called radical ideal if  $\mathfrak{a} = \sqrt{\mathfrak{a}}$ .

Remark. If  $X \subset \mathbb{A}^n$  is an affine algebraic set, then I(X) is a radical ideal.

**Theorem 1** (Nullstallensatz). Let  $\mathfrak{a} \subset k[x_1,\ldots,x_n]$ , then  $I(Z(\mathfrak{a})) = \sqrt{\mathfrak{a}}$ .

**Definition 2.** R is an integral domain, the quotient field Q(R) is the set of equivalent classes of pairs  $(f,g), f,g \in R, g \neq 0$ , which satisfy the equivalent relation

$$(f,g) \cong (f',g') \Leftrightarrow fg' - f'g = 0.$$

We denote it by  $\frac{f}{g}$ .

Remark. Q(R) is a field. We always identify  $r \in R$  with  $\frac{r}{1} \in Q(R)$ , then we can say R is the subring of  $Q(R).Q(k[x_1,\ldots,x_n]) := k(x_1,\ldots,x_n)$  is called field of rational functions in  $x_1,x_2,\ldots,x_n$ .

Now we prove the Nullstellensatz:

Proof of Nullstellensatz. Let  $\mathfrak{a} = \langle f_1, \dots, f_r \rangle, f_i \in \mathfrak{a}$ . Then  $I(Z(\mathfrak{a}))$  is a radical ideal containing  $\mathfrak{a}$ , so we get

$$I(Z(\mathfrak{a})) \supset \sqrt{\mathfrak{a}}.$$

Let  $f \in I(Z(\mathfrak{a}))$ . To show  $\exists N > 0$ , s.t.  $f^N \in \mathfrak{a}$ , we use the weak Nullstellensatz in  $k[x_1, \ldots, x_n]$ .

Let

$$(0.1) \mathfrak{b} := \langle f_1, \dots, f_r, f \cdot t - 1 \rangle \subset k[x_1, \dots, x_n, t]$$

Let  $(p, a) \in \mathbb{A}^{n+1}, p \in \mathbb{A}^n, a \in k$ .

$$(p,a) \in Z(\mathfrak{b}) \Leftrightarrow f_1(p) = \cdots = f_r(p) = 0 \text{ and } f(p) \cdot a = 1.$$

But f(p)=0, so we know  $Z(\mathfrak{b})=\emptyset$ . By the weak Nullstellensatz,  $1\in\mathfrak{b}$ , we can write

(0.2) 
$$1 = g_0 \cdot (ft - 1) + \sum_{i=1}^{r} g_i \cdot f_i$$

Back to  $k[x_1, \ldots, x_n]$  in  $k(x_1, \ldots, x_n)$ , define homomorphism:

$$\begin{array}{ccc} \varphi: k[x_1,\ldots,x_n,t] & \to k(x_1,\ldots,x_n) \\ g(x_1,\ldots,x_n,t) & \to g(x_1,\ldots,x_n,\frac{1}{f}) \end{array}$$

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Use  $\varphi$  to equation 0.2 we get

$$(0.3) 1 = \sum_{i=1}^{r} \varphi(g_i) \cdot f_i$$

where  $\varphi(g_i) = \frac{G_i}{f^{n_i}}$ ,  $G_i \in k[x_1, \dots, x_n]$ . Let  $N := \max_{1 \le i \le r} n_i$ , multiply equation 0.3 by  $f^N$ :

$$(0.4) f^N = \sum_{i=1}^r G_i f^{N-n_i} \cdot f_i \in \mathfrak{a}$$

**Corollary 1.** (1) If  $\mathfrak{a} \subset k[x_1, \dots, x_n]$  is a prime ideal, then  $Z(\mathfrak{a})$  is irreducible; (2) If  $f \in k[x_1, \dots, x_n]$  is irreducible, then Z(f) is irreducible.

*Proof.* (1) Set  $X = Z(\mathfrak{a})$ . Prime ideals are radical, so we get  $I(X) = \mathfrak{a}$  and  $\mathfrak{a}$  is prime, use proposition 2 we know that X is irreducible.

(2) Since  $k[x_1, \ldots, x_n]$  is a UFD, we get

 $f \in k[x_1, \ldots, x_n]$  is irreducible  $\Rightarrow \langle f \rangle$  is a prime ideal.

So 
$$Z(f) = Z(\langle f \rangle)$$
 is irreducible.

## 1. Projective Varieties

**Definition 3.** Define an equivalence relation  $\sim$  in  $k^{n+1}\setminus\{0\}$ :

$$(a_0,\ldots,a_n) \sim (b_0,\ldots,b_n) \Leftrightarrow \exists \lambda \in k \setminus \{0\} \text{ s.t.} (a_0,\ldots,a_n) = (\lambda b_0,\ldots,\lambda b_n).$$

Then we call  $k^{n+1}\setminus\{0\}$  with this relation the projective *n*-space and write it as  $(k^{n+1}\setminus\{0\})/\sim=\mathbb{P}^n$ .

**Definition 4.** Let  $U_i := \{[a_0, \ldots, a_n] \in \mathbb{P}^n | a_i \neq 0\}$ .  $\varphi_i : U_i \to \mathbb{A}^n$ ,  $[a_0, \ldots, a_n] \to (\frac{a_0}{a_i}, \ldots, \frac{\hat{a_i}}{a_i}, \ldots, \frac{\hat{a_n}}{a_i})$  is a projection, write inverse  $u_i : \mathbb{A}^n \to U_i$ ,  $(b_0, \ldots, \hat{b_i}, \ldots, b_n) \to [b_0, \ldots, 1, \ldots, b_n]$ .

Usually we fix i = 0, view  $\mathbb{A}^n$  as a subset of  $\mathbb{P}^n$  by identify the point  $(a_1, \dots, a_n) \in \mathbb{A}^n$  with  $[1, a_1, \dots, a_n] \in \mathbb{P}^n$ . With this identification we have

$$(1.1) \mathbb{P}^n = \mathbb{A}^n \cup H_{\infty}$$

where  $H_{\infty} := \{[a_0, \dots, a_n] \in \mathbb{P}^n | a_0 = 0\}$  is called hyperplane at infinity.

*Remark.* Define projective algebraic sets are zero sets of polynomials in  $k[x_0, \ldots, x_n]$ , but  $f \in k[x_0, \ldots, x_n]$  does not define a function on  $\mathbb{P}^n$ :

$$(1.2) f(a_0, \dots, a_n) \neq f(\lambda a_0, \dots, \lambda a_n).$$

However if f is homogeneous we can still see whether  $p \in \mathbb{P}^n$  is a zero point of f or not. f is homogeneous if

(1.3) 
$$f(\lambda a_0, \dots, \lambda a_n) = \lambda^d f(a_0, \dots, a_n).$$

Thus whether f = 0 is decided only on  $[a_0, \ldots, a_n]$ .

**Definition 5.** Let  $g \in k[x_0, \ldots, x_n]$  be homogeneous, a point  $p = [a_0, \ldots, a_n]$  is a zero point of g if  $g(a_0, \ldots, a_n) = 0$ . Let  $S \subset k[x_0, \ldots, x_n]$ ,

(1.4) 
$$Z(S) := \{ p \in \mathbb{P}^n | f(p) = 0 \forall f \in S \}.$$

A subset of  $\mathbb{P}^n$  of the form Z(S) is called a projective algebraic set.

**Example 1.** (1)  $\emptyset = Z(1), \mathbb{P}^n = Z(\emptyset);$ 

(2) Any point  $p = [a_0, \dots, a_n] \in \mathbb{P}^n$  is a projective algebraic set

$$\{p\} = Z(a_1x_0 - a_0x_1, a_2x_0 - a_0x_2, \dots, a_nx_0 - a_0x_n, a_2x_1 - a_1x_2, \dots, a_nx_1 - a_1x_n, \dots).$$

**Definition 6.** A polynomial  $f \in k[x_0, ..., x_n]$  cab be written uniquely as  $f = f^{(0)} + f^{(1)} + \cdots + f^{(d)}$ , with  $f^{(i)}$  homoegeneous of degree i.  $f^{(i)}$  is called homogeneous component if f.

An ideal  $\mathfrak{a} \subset k[x_0,\ldots,x_n]$  is called homogeneous if for every  $f \in \mathfrak{a}$  all homogeneous components  $f^{(i)}$  are in  $\mathfrak{a}$ .

**Proposition 1.** An ideal  $\mathfrak{a} \subset k[x_0, \ldots, x_n]$  is homogeneous  $\Leftrightarrow$  It is generated by the homogeneous polynomials.

*Proof.*  $\Rightarrow$ : Assume I homogeneous, let  $(f_{\alpha})_{\alpha}$  be a set of generators, then  $(f_{\alpha}^{(i)})_{\alpha,i}$  is a set of homogeneous generators.

 $\Leftarrow$ : Let  $\mathfrak{a} = \langle g_i \rangle$  and  $g_i$  be homogeneous. Let  $f \in \mathfrak{a}$ , then we can write

$$(1.5) f = \sum_{i} a_i g_i.$$

Note  $g_i$  is homogeneous, thus the homogeneous part of  $a_ig_i$  of degree d is just  $a_i^{(d-deg(g_i))}g_i$ , so

(1.6) 
$$f^{(d)} = \sum_{i} a_i^{(d-deg(g_i))} g_i.$$

Since  $g_i \in \mathfrak{a}$  we get  $f^{(d)} \in \mathfrak{a}$ .

**Definition 7.** Let  $\mathfrak{a} \subset k[x_0,\ldots,x_n]$  be a homogeneous ideal, the zero set of  $\mathfrak{a}$  is written as

(1.7)  $Z(\mathfrak{a}) := \{ p \in \mathbb{P}^n | f(p) = 0 \text{ for all homogeneous elements } f \in \mathfrak{a} \}.$ 

For a subset  $X \subset \mathbb{P}^n$ , the homogeneous ideal of X is

(1.8) 
$$I(X) := \text{ ideal generated by } \{f \in k[x_0, \dots, x_n] | f$$
 be homogeneous and  $f(p) = 0 \forall p \in X\}$ 

By definition this is a homogeneous ideal.

Remark. If  $f \in k[x_0, ..., x_n]$  is not homogeneous, we can define

(1.9)  $Z(f) := \{ p \in \mathbb{P}^n | f(a_0, \dots, a_n) = 0 \text{ for all representative } (a_0, \dots, a_n) \text{ of } p \}$ In fact, if  $f = f^{(0)} + f^{(1)} \cdots + f^{(d)}$ , then we have

(1.10) 
$$Z(f) = \bigcap_{i=0}^{d} Z(f^{(i)})$$

With this property, if  $\mathfrak{a} \subset k[x_0, \dots, x_n]$  is a homogeneous ideal then formula 1.7 can be written as

(1.11) 
$$Z(\mathfrak{a}) = \{ p \in \mathbb{P}^n | f(p) = 0 \forall f \in \mathfrak{a} \}$$

and formula 1.8 can be written as

$$(1.12) I(X) = \{ f \in k[x_0, \dots, x_n] | f(p) = 0 \forall p \in X \}$$

## 2. Conclusions We Need From Previous Lectures

In lecture 02:

**Proposition 2.**  $X \subset \mathbb{A}^n$  is an affine algebraic set. Then we have the following equivalent relations:

- (1) X is irreducible;
- (2) I(X) is a prime ideal.

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