

F. L. Nazarov's paper  
Local Estimates of Exponential Polynomials and  
Their Applications to Inequalities of Uncertainty  
Pinciple Type

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**Abstract**

This is a learning note about Nazarov's paper(see [2]).

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**Definition 1.** *An exponential polynomial is*

$$p(t) = \sum_{k=1}^n c_k e^{\lambda_k t} \quad (c_k \in \mathbb{C}, \lambda_k \in \mathbb{C}).$$

The main purpose of the first part of the paper is to establish the following inequality

$$\sup_{t \in I} |p(t)| \leq \left( \frac{A\mu(I)}{\mu(E)} \right)^{n-1} \sup_{t \in E} |p(t)|, \quad (1)$$

where  $I \subset \mathbb{R}$  is an interval,  $E \subset I$  is a measurable set of positive Lebesgue measure and  $A$  is an absolute constant.

## 1 The Turan lemma: original form

The following lemma was derived by Turan (see [3]).

**Theorem 1.** *Let  $z_1, \dots, z_n$  be complex numbers,  $|z_j| \geq 1, j = 1, \dots, n$ . Let*

$$b_1, \dots, b_n \in \mathbb{C}, \quad S_m \stackrel{\text{def}}{=} \sum_{k=1}^n b_k z_k^m \quad (m \in \mathbb{Z}).$$

*Then*

$$|S_0| \leq n \left( \frac{2e(m+n-1)^{n-1}}{n} \right) \max_{k=m+1}^{m+n} |S_k| \leq \left( \frac{4e(m+n-1)^{n-1}}{n} \right) \max_{k=m+1}^{m+n} |S_k| \quad (2)$$

*for all  $m \in \mathbb{Z}_+$ .*

*Proof.* To prove the lemma, we need to construct a polynomial  $q(z) = 1 + \sum_{k=1}^n \gamma_k z^{m+k}$  such that

(1)  $q(z_j) = 0$  for each  $j = 1, \dots, n$  and

(2)  $\sum_{k=1}^n |\gamma_k| \leq n \left( \frac{2e(m+n-1)^{n-1}}{n} \right)^{n-1}$ .

Let

$$q(z) = \prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right) \sigma_m(z),$$

where  $\sigma_m(z)$  is the  $m$ -th partial sum of the series  $\prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right)^{-1} = \sum_{k=0}^{\infty} \beta_k z^k$ , i.e.

$$\sigma_m(z) = \sum_{k=1}^m \beta_k z^k.$$

By definition, we have

$$1 = \prod_{k=1}^n \left( 1 - \frac{z}{z_k} \right) \sum_{k=0}^{\infty} \beta_k z^k.$$

This identity implies that the  $s$ -th coefficient in the expansion of the right side depends only on  $\beta_{s-n}, \dots, \beta_s$ . Hence the coefficients at the powers  $z, z^2, \dots, z^m$

of  $q(z) = \prod_{k=1}^n \left(1 - \frac{z}{z_k}\right) \sigma_m(z)$  all vanish (since they only depend on  $\sigma_m(z)$ ). Recalling the Taylor expansion

$$(1 - z)^{-n} = \sum_{k=0}^{\infty} \frac{(k + n - 1)!}{k!(n - 1)!} z^k,$$

hence we have ( by using the condition  $|z_j| \geq 1$  and assuming  $|z| < 1$ )

$$\left| \prod_{k=1}^n \left(1 - \frac{z}{z_k}\right)^{-1} \right| \leq (1 - |z|)^{-n} = \sum_{k=0}^{\infty} \frac{(k + n - 1)!}{k!(n - 1)!} |z|^k.$$

Thus, all coefficients of  $\sigma_m(z)$  do not exceed<sup>1</sup>

$$\frac{(m + n - 1)!}{m!(n - 1)!} \leq \left( \frac{e(m + n - 1)}{n} \right)^{n-1}.$$

Then we get the estimates

$$|\gamma_k| \leq \left( \frac{e(m + n - 1)}{n} \right)^{n-1} \sum_{s=k}^n \binom{n}{s}$$

and

$$\sum_{k=1}^n |\gamma_k| = \frac{1}{2} \sum_{k=1}^n (|\gamma_k| + |\gamma_{n+1-k}|) \leq 2^{n-1} n \left( \frac{e(m + n - 1)}{n} \right)^{n-1}.$$

Now we've constructed the desired polynomial  $q(z)$ .

Since

$$\begin{aligned} S_0 &= b_1 + b_2 + \cdots + b_n \\ &= \sum_{j=1}^n b_j \cdot 1 \\ &= \sum_{j=1}^n \left( - \sum_{k=1}^n \gamma_k z_j^{m+k} \right) \\ &= - \sum_{k=1}^n \gamma_k S_{m+k}. \end{aligned} \tag{3}$$

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<sup>1</sup>Here needs some estimates: we need to prove

$$\binom{n}{k} \leq \left( \frac{en}{k+1} \right)^k.$$

This inequality can be proved by induction.

Hence the estimates above and (3) complete the proof.  $\square$

Recalling the definition of an exponential polynomial

$$p(t) = \sum_{k=1}^n c_k e^{i\lambda_k t},$$

now let  $t_k = t_0 + k\delta$ , we have

$$p(t_k) = \sum_{j=1}^n c_j e^{i\lambda_j(t_0+k\delta)} = \sum_{j=1}^n b_j (e^{i\lambda_j\delta})^k = \sum_{j=1}^n b_j z_j^k,$$

where  $z_j = e^{i\lambda_j\delta}$  and  $b_j = c_j e^{i\lambda_j t_0}$ . Then we can use the lemma directly and get

$$|p(t_0)| \leq \left\{ \frac{4e(m+n-1)}{n} \right\}^{n-1} \max_{k=m+1}^{m+n} |p(t_k)|. \quad (4)$$

Now the inequality (1) for the case where  $E$  is an interval can be derived in an almost immediate way (with the constant  $A=4e$ ).

Using the same idea in

**Theorem 2.** *Let  $I$  be an interval, let  $E \subset I$  be a measurable set of positive Lebesgue measure. Then*

$$\max_{t \in I} |p(t)| \leq 2^n \left( \frac{\mu(I)}{\mu(E)} \right)^{2n^2} \max_{t \in E} |p(t)|. \quad (5)$$

*Proof.* By (4), the following inequality

$$\max_{t \in I} |p(t)| \leq 2^n \max_{t \in E} |p(t)| \quad (6)$$

holds if  $t_0$  is the first term of the arithmetic progression  $t_k = t_0 + k\delta$  ( $k = 0, \dots, n$ ) with all other terms belonging to  $E$ . The point of the proof is to find a set  $E_1$  that is "close" to  $E$  and we can choose a  $\delta$  such that all  $t_k$ 's belongs to  $E$ .

**Step 1.** Let  $J \subset I$  is an open interval and

$$\mu(E \cap J) > \left(1 - \frac{1}{2n}\right) \mu(J).$$

Let  $t_0 \in J$  be any fixed point. Such a point  $t_0$  splits the interval  $J$  into two subintervals  $J_-$  and  $J_+$ . At least one of them, let's say  $J_+$  has the property

$$\mu(E \cap J_+) > \left(1 - \frac{1}{2n}\right) \mu(J).$$

Let  $\varphi(t) = \chi(t)$  be the characteristic function of  $J_+ \setminus E$ , then by applying the lattice averaging lemma we see that the average number of points  $t_k = t_0 + k\delta$  ( $k \in \mathbb{N}$ ) belonging to  $J_+ \setminus E$  as  $\delta$  runs over the interval  $\left(\frac{\mu(J_+)}{2n}, \frac{\mu(J_+)}{n}\right)$  is (here we write  $\frac{\mu(J_+)}{2n}$  as  $s$ )

$$\begin{aligned}
\frac{\int_s^{2s} \sum_{k \in \mathbb{Z} \setminus \{0\}} \varphi(k\delta) d\delta}{\int_s^{2s} d\delta} &= \frac{1}{s} \int_1^2 \sum_{k \in \mathbb{Z} \setminus \{0\}} \varphi\left(k s \frac{\delta}{s}\right) s d\left(\frac{\delta}{s}\right) \\
&= \int_1^2 \sum_{k \in \mathbb{Z} \setminus \{0\}} \varphi(ksv) dv \\
&\leq \frac{1}{s} \int_{\mathbb{R}} \varphi(t) dt \\
&= \frac{2n}{\mu(J_+)} \mu(J_+ \setminus E) \\
&< 1.
\end{aligned} \tag{7}$$

Hence there exists a positive  $\delta < \frac{\mu(J_+)}{n}$  such that none of the points  $t_1, \dots, t_n$  belongs to  $J_+ \setminus E$ . Since  $k\delta < \frac{k\mu(J_+)}{n} \leq 1$  and  $t_0$  is the endpoint of  $J_+$ , all these points lie in  $J_+$  and, consequently, in  $E$ . Since the choice of  $t_0 \in J$  is arbitrary, any points in  $J$  have the property that  $t_k \in E$  for each  $k = 1, \dots, n$ .

**Step 2.** Let  $E_1 = \bigcup \{J : J \subset I \text{ is open, } \mu(E \cap J) > (1 - \frac{1}{2n}) \mu(J)\}$ . Since  $E_1$  is the union of open sets,  $E_1$  itself is also open, hence, the union of disjoint open intervals. Let  $Q$  be one constituent interval of  $E_1$ , if

$$\mu(E \cap Q) > \left(1 - \frac{1}{2n}\right) \mu(Q)$$

holds, then we can find a larger open interval  $Q'$  such that  $Q' \subset Q \subset E_1$ , this contradicts the chosen of  $Q$ . Hence all the constituent intervals of  $E_1$  satisfy the relation

$$\mu(E \cap Q) \leq \left(1 - \frac{1}{2n}\right) \mu(Q).$$

Thus, the set  $E_1$  has the following two properties

$$\sup_{t \in E_1} |p(t)| \leq 2^n \sup_{t \in E} |p(t)|, \tag{8}$$

$$\mu(E_1) \geq \left(1 - \frac{1}{2n}\right)^{-1} \mu(E) \geq e^{\frac{1}{2n}} \mu(E) \text{ or } E_1 = I. \tag{9}$$

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<sup>2</sup>Here we use the inequality  $\frac{1}{e} \geq (1 - \frac{1}{2n})^{2n}$ .

**Step 3.** Iterating this procedure we obtain a sequence of sets  $E_1, E_2, \dots$  such that

$$\sup_{t \in E_k} |p(t)| \leq 2^{nk} \sup_{t \in E} |p(t)|, \quad (10)$$

$$\mu(E_k) \geq e^{\frac{k}{2n}} \mu(E) \text{ or } E_k = I. \quad (11)$$

If  $k > 2n \log \frac{\mu(I)}{\mu(E)}$ , then the first case of (11) cannot occur. Therefore we obtain

$$E_{[2n \log \frac{\mu(I)}{\mu(E)} + 1]} = I,$$

whence

$$\sup_{t \in I} |p(t)| \leq 2^{(2n \log \frac{\mu(I)}{\mu(E)} + 1)n} \sup_{t \in E} |p(t)| \leq 2^n \left( \frac{\mu(I)}{\mu(E)} \right)^{2n^2} \sup_{t \in E} |p(t)|.$$

□

**Remark.** The proof of Theorem 2 is based on Theorem 1. We can regard Theorem 1 is a discrete version of Theorem 2. From the discrete version to Lebesgue measurable sets, the simplest thought is to find the discrete points which Theorem 1 can be used to. If there exists, then our problem can be solved easily. But unfortunately the arithmetic progression  $t_k$  may not exists in  $E$  for any point in  $I$ . To overcome this difficulty, we need to find an interval close to  $E$  (here the sense of "close" has exact meaning in the proof), and any point fixed  $t_0$  in this interval satisfy the condition  $t_k \in E$  for each  $k = 1, \dots, n$ . Finally, by iterating the procedure, the chosen set becomes strictly larger, and finally equals to  $I$ .

## 2 Two usefull lemmas

**Lemma 1.** *If  $P(z)$  is an algebraic polynomial of degree  $n$ , then*

$$\mu \left( \left\{ x \in \mathbb{R} : \left| \frac{d}{dx} \log P(x) \right| > y \right\} \right) \leq \frac{8n}{y}$$

and

$$\mu \left( \left\{ z \in \mathbb{T} : \left| \frac{d}{dz} \log P(z) \right| > y \right\} \right) \leq \frac{8n}{\pi y}.$$

*Proof.* A First we shall prove the inequality for the real line. Let  $z_1, \dots, z_{n_1}$  and  $\zeta_1, \dots, \zeta_{n_2}$  ( $n_1 + n_2 = n$ ) be complex zeros of the polynomial  $P$  enumerated in such a way that  $\text{Im} z_j \leq 0$  ( $j = 1, \dots, n_1$ ) and  $\text{Im} \zeta_j > 0$  ( $j = 1, \dots, n_2$ ). We have

$$\frac{d}{dz} \log P(z) = \sum_{j=1}^{n_1} \frac{1}{z - z_j} + \sum_{j=1}^{n_2} \frac{1}{z - \zeta_j} = \sum_1(z) + \sum_2(z).$$

The function  $\sum_1(z)$  is analytic in the upper half-plane  $\mathbb{H}$ , and

$$\operatorname{Im} \sum_1(z) = \sum_{j=1}^{n_1} \frac{\operatorname{Im} z_j - \operatorname{Im} z}{|z - z_j|^2} < 0$$

for all  $z \in \mathbb{H}$ .

Let  $h(\xi)$  be the harmonic measure of the set  $\mathbb{R} \setminus [-y, y]$  with respect to the upper-half plane and a point  $\xi \in \mathbb{H}$ . We put  $u(z) \stackrel{\text{def}}{=} h(-\sum_1(z))$ , it is harmonic in  $\mathbb{H}$ .

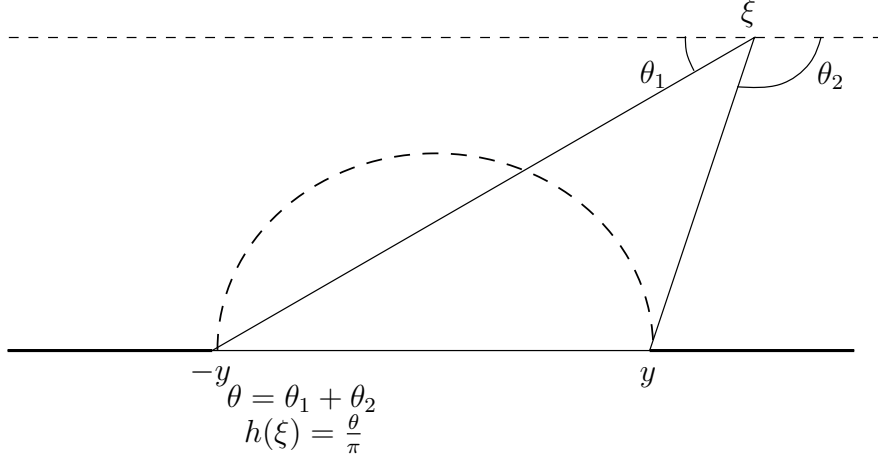


Figure 1: Harmonic function  $h(\xi)$

If  $t \rightarrow +\infty$ , then  $-\sum_1(it) \rightarrow i0^+$  and  $u(it) \rightarrow 0$ . If  $|\sum_1(z)| \geq y$ , then  $u(z) \geq \frac{1}{2}$ .

Hence, we have

$$\lim_{t \rightarrow +\infty} \pi t u(it) = \int_{\mathbb{R}} u(x) dx \geq \frac{1}{2} \mu \left( \left\{ x \in \mathbb{R} : \left| \sum_1(x) \right| > y \right\} \right).$$

On the other hand,

$$\begin{aligned} \lim_{t \rightarrow +\infty} \pi t u(it) &= \lim_{t \rightarrow +\infty} \pi t h \left( \frac{in_1}{t} + \mathcal{O} \left( \frac{1}{t^2} \right) \right) \\ &= \lim_{t \rightarrow +\infty} \pi t \left( 2 \arctan \left( \frac{n_1}{ty} \right) / \pi \right) \\ &= \frac{2n_1}{y}. \end{aligned}$$

Hence

$$\mu \left( \left\{ x \in \mathbb{R} : \left| \sum_1(x) \right| > y \right\} \right) \leq \frac{4n_1}{y}.$$

Similarly

$$\mu \left( \left\{ x \in \mathbb{R} : \left| \sum_2(x) \right| > y \right\} \right) \leq \frac{4n_2}{y}.$$

Combining these estimates, we obtain

$$\begin{aligned} \mu \left( \left\{ x \in \mathbb{R} : \left| \sum(x) \right| > y \right\} \right) &\leq \mu \left( \left\{ x \in R : \left| \sum_1 \right| > \frac{n_1}{n} y \right\} \right) \\ &\quad + \mu \left( \left\{ x \in \mathbb{R} : \left| \sum_1 \right| > \frac{n_2}{n} y \right\} \right) \\ &\leq \frac{8n}{y}. \end{aligned}$$

Now we pass to the case of the circumference. As above, we split the zeros of  $P(z)$  into two groups  $z_1, \dots, z_{n_1} \in \mathbb{D}$  and  $\zeta_1, \dots, \zeta_{n_2} \in \mathbb{C} \setminus \mathbb{D}$ . Then

$$\frac{d}{dz} \log P(z) = \frac{1}{z} \left( \sum_{j=1}^{n_1} \frac{z}{z - z_j} + \sum_{j=1}^{n_2} \frac{z}{z - \zeta_j} \right) = \frac{1}{z} \left( \sum_1(z) + \sum_2(z) \right).$$

The factor  $\frac{1}{z}$  can be disregarded since its absolute value is equal to 1. The estimate for  $\sum_1(z)$  is essentially the same as above: having established the inequality

$$\operatorname{Re} \sum_1(z) = \sum_{j=1}^{n_1} \frac{|z|^2 - \operatorname{Re} z \bar{z}_j}{|z - z_j|^2} \geq 0$$

for  $z \in \mathbb{C} \setminus \mathbb{D}$ , we consider the function  $u(z) \stackrel{\text{def}}{=} h(i \sum_1(z))$ , which is harmonic outside the unit disk, and derive the estimate

$$u(\infty) = \frac{2 \arctan \frac{n_1}{y}}{\pi} = \int_{\mathbb{T}} u(z) d\mu(z) \geq \frac{1}{2} \mu \left( \left\{ z \in \mathbb{T} : \left| \sum_1(z) \right| > y \right\} \right),$$

which implies

$$\mu \left( \left\{ \left| \sum_1(z) \right| > y \right\} \right) \leq \frac{4}{\pi} \arctan \frac{n_1}{y} \leq \frac{4}{\pi} \frac{n_1}{y}.$$



The function  $\operatorname{Re} \sum_2(z)$  may change sign. Therefore we use another inequality

$$\operatorname{Re} \sum_2(z) = \sum_j^{n_2} \frac{\operatorname{Re} z(\bar{z} - \bar{\zeta}_j)}{|z - \zeta_j|^2} = n_2 - \sum_{j=1}^{n_2} \frac{|\zeta_j|^2 - \operatorname{Re} z \bar{\zeta}_j}{|z - \zeta_j|^2} \leq n_2 \quad (z \in \mathbb{D}).$$

This time we choose the function  $h$  to be harmonic in  $\mathbb{H} - in_2$ . In order to obtain the estimate  $\mu(|\sum_2| > y) \leq \frac{4}{\pi} \frac{n_1}{y}$ , we can restrict ourselves to values  $y > n_2$ . Let  $h(\xi)$  be harmonic measure of  $(\mathbb{R} - in_2) \setminus I$  (where  $I$  is the interval cut off from the line  $\mathbb{R} - in_2$  by the circle centered at 0 and of radius  $y$ ) with respect to the half-plane  $\mathbb{H} - in_2$  and the point  $\xi \in \mathbb{H} - in_2$ . One can easily check that the

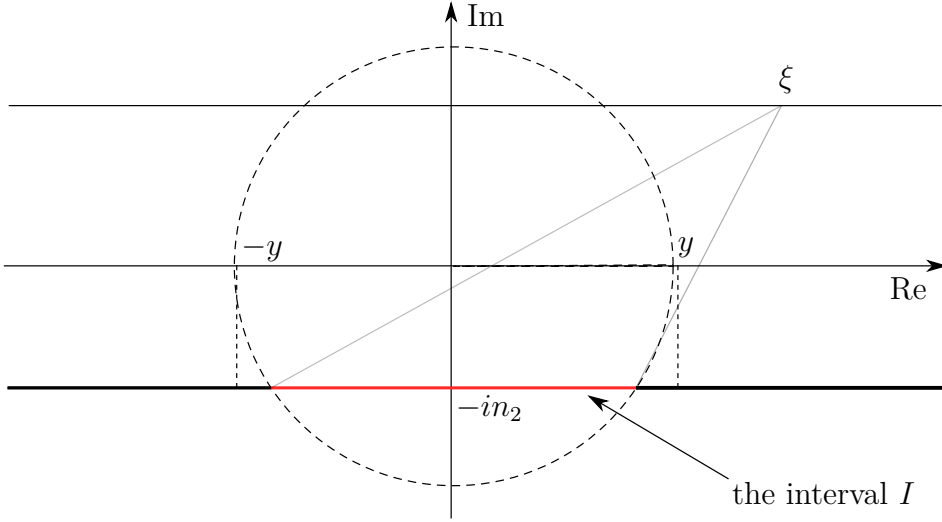


Figure 2: Domain of the harmonic function

function  $u(z) \stackrel{\text{def}}{=} h(-i \sum_2(z))$  is harmonic in  $\mathbb{D}$ ,  $u(z) > \frac{\pi - \arccos \frac{n_2}{y}}{\pi}$  if  $|\sum_2(z)| > y$ , and  $u(0) = h(0) = \frac{2 \arcsin \frac{n_2}{y}}{\pi}$ . Therefore

$$\mu \left( \left\{ \left| \sum_2 \right| > y \right\} \right) \leq \frac{2 \arcsin \frac{n_2}{y}}{\pi - \arccos \frac{n_2}{y}} = \frac{2 \arcsin \frac{n_2}{y}}{\pi + \arcsin \frac{n_2}{y}}.$$

Now, to get the desired estimate it suffices to verify that  $\frac{2\theta}{\theta/2 + \theta} \leq \frac{4}{\pi} \sin \theta$  for each  $\theta \in [0, \frac{\pi}{2}]$ . The last inequality is equivalent to  $\frac{\sin \theta}{\theta} + \frac{2}{\pi} \sin \theta \geq 1$ . Taking into account that  $\sin \theta \geq \theta - \frac{1}{6}\theta^3$  for every  $\theta > 0$  and  $\sin \theta \geq \frac{2}{\pi}\theta$  for every  $\theta \in [0, \frac{\pi}{2}]$ , we have

$$\frac{\sin \theta}{\theta} + \frac{2}{\pi} \sin \theta \geq 1 - \frac{1}{6}\theta^2 + \frac{4}{\pi^2}\theta = 1 + \theta \left( \frac{4}{\pi^2} - \frac{1}{6}\theta \right) \geq 1 + \theta \left( \frac{4}{\pi^2} - \frac{\pi}{12} \right)$$

and it remains to notice that  $\pi^3 \leq 48$ .

As above, the estimat of  $\sum(z)$  results from the estimates of  $\sum_1(z)$  and  $\sum_2(z)$ . Lemma 1 is proved.  $\square$

**Lemma 2 (Langer Lemma).** *Let  $p(z) = \sum_{k=1}^n c_k e^{i\lambda_k z}$  ( $0 = \lambda_1 < \lambda_2 < \dots < \lambda_n = \lambda$ ) be an exponential polynomial not vanishing identically. Then the number of complex zeros of  $p(z)$  in an open vertical strip  $x_0 < \operatorname{Re} z < x_0 + \Delta$  of width  $\Delta$  does not exceed  $(n-1) + \frac{\lambda\Delta}{2\pi}$ .*

*Proof.* Without loss of generality we assume that the coefficients  $c_1$  and  $c_2$  do not vanish and the boundary of the strip  $x_0 < \operatorname{Re} z < x_0 + \Delta$  is free of zeros of the exponential polynomial  $p(z)$ . We make use of the argument principle to estimate the number of zeros of  $p(z)$  in the rectangle  $Q = \{z : x_0 < \operatorname{Re} z < x_0 + \Delta, |\operatorname{Im} z| \leq y\}$ , as  $y \rightarrow +\infty$ .

On the upper edge of  $Q$  we have  $p(z) = c_1 + \mathcal{O}(e^{-\lambda_2 y})$  (recall  $\lambda_1 = 0$  and  $\lambda_i < \lambda_{i+1}$ ). Therefore, the argument increment along this edge tends to 0 as  $y \rightarrow +\infty$ . Similarly, the representation  $p(z) = c_n e^{i\lambda z} (1 + \mathcal{O}(e^{-(\lambda - \lambda_{n-1})y}))$ , which is valid on the lower edge of  $Q$ , implies that the argument increment along the lower edge tends to  $\lambda\Delta$  as  $y \rightarrow +\infty$ .

We show that the argument increment along any vertical segment

$$\{z = x + it : t \in [\alpha, \beta]\}$$

free of zeros of  $p(z)$  does not exceed  $\pi(n-1)$ .

Here we construct a real exponential polynomial out of  $p(z)$ . Let

$$\xi \stackrel{\text{def}}{=} e^{i \arg p(x_0 + i\alpha)}.$$

The function

$$q(t) \stackrel{\text{def}}{=} \operatorname{Im}(\bar{\xi} p(x_0 + it)) = \sum_{k=1}^n a_k e^{-\lambda_k t} \quad (a_k = \operatorname{Im}(\bar{\xi} c_k e^{i\lambda_k x_0}) \in \mathbb{R})$$

is a real exponential polynomial. Actually,  $xi$  is used to rotate  $p(x_0 + it)$  to make the imaginary part of  $p(x_0 + i\alpha)$  be 0, i.e.,  $q(\alpha) = 0$ .

Since we have assumed that there are no zeros of  $p(x + it)$  among  $t \in [\alpha, \beta]$ ,  $p(x + it)$  cannot pass through 0. If  $q \equiv 0$ , along with  $p(x + i\alpha) \in \mathbb{R}$  and  $\bar{\xi} p(x + i\alpha) > 0$  by definition, then all values  $p(x_0 + it)$  for  $t \in [\alpha, \beta]$  lie on the ray  $\{\xi y : y > 0\}$ . Therefore  $\Delta_{[\alpha, \beta]} \arg p(x_0 + it) = 0$ . Otherwise, real zeros of  $q(t)$  split the segment  $[\alpha, \beta]$  into at most  $n-1$  intervals  $I_j$  (it is well known that a real exponential polynomial of order  $n$  has at most  $n-1$  zeros). Within each of intervals  $I_j$  ( $q(\alpha) = 0$ , hence there are  $n-1$  intervals not  $n$  intervals), the values  $p(x_0 +$

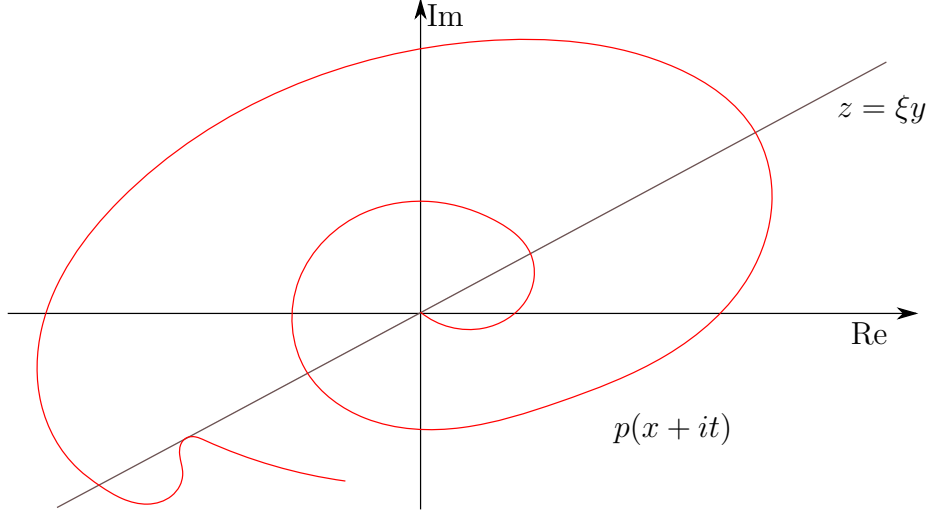


Figure 3: Argument of  $p(x + it)$

$it$ ) lie in one of the two half-planes generated by the line  $\{\xi y : y \in \mathbb{R}\}$ , whence  $|\Delta_{I_j} \arg p(x_0 + it)| \leq \pi$ .

Adding these inequalities, we obtain argument increment along each of the lateral edges of  $Q$  does not exceed  $\pi(n - 1)$ . So the total argument increment of  $p(z)$  along the boundary of  $Q$  traced counter clockwise can be estimated from above by a quantity tending to  $2\pi \left( \frac{\Delta\lambda}{2\pi} + (n - 1) \right)$  as  $y \rightarrow +\infty$ , whence Lemma 2 follows.  $\square$

### 3 The Turan lemma for polynomials on the unit circumference

Here we shall prove inequality (1) for the case of a 1-periodic exponential polynomial  $p(t) = \sum_{k=1}^n c_k e^{2\pi i m_k t}$ , where  $c_k \in \mathbb{C}$ ,  $m_1 < \dots < m_n \in \mathbb{Z}$ , and for the segment  $I = [0, 1]$ .

**Theorem 3.** *Let  $p(z) = \sum_{k=1}^n c_k z^{m_k}$  ( $c_k \in \mathbb{C}$ ,  $m_1 < \dots < m_n \in \mathbb{Z}$ ) be a trigonometric polynomial on the unit circumference  $T$ , and let  $E$  be a measurable subset of  $\mathbb{T}$ . Then*

$$\|p\|_W \stackrel{\text{def}}{=} \sum_{k=1}^n |c_k| \leq \left( \frac{16e}{\pi} \frac{1}{\mu(E)} \right)^{n-1} \sup_{z \in E} |p(z)| \leq \left( \frac{14}{\mu(E)} \right)^{n-1} \sup_{z \in E} |p(z)|. \quad (12)$$

*Proof.*

**Step 1.** We shall construct by induction a sequence of polynomials  $p_n, \dots, p_1$  such that

- (1)  $p_n = p$ ;
- (2)  $\text{ord } p_k = k$  ( $k = 1, \dots, n$ ) ;
- (3)  $\|p_{k-1}\|_W \geq \frac{\pi}{16} \|p_k\|_W$  ;
- (4) the ratio  $\varphi_k \stackrel{\text{def}}{=} \left| \frac{p_{k-1}}{p_k} \right|$  admits the weak type estimate

$$\mu(\{z \in \mathbb{T} : \varphi_k(z) > t\}) \leq \frac{1}{t}$$

for all  $t > 0$ .

The construction is as follows. Let  $p_n = p$ . The polynomial  $p_k(z) = \sum_{s=1}^k d_s z^{r_s}$  ( $r_1 < r_2 < \dots < r_k \in \mathbb{Z}$  being chosen, we introduce two polynomials

$$\underline{q} \stackrel{\text{def}}{=} \frac{d}{dz} (z^{-r_1} p_k(z))$$

and

$$\bar{q} \stackrel{\text{def}}{=} \frac{d}{dz} (z^{-r_k} p_k(z)) .$$

Obviously,  $\text{ord } \underline{q} = \text{ord } \bar{q} = k - 1$ . We have

$$\|\underline{q}\|_W = \sum_{s=1}^k |d_s| (r_s - r_1), \quad \|\bar{q}\|_W = \sum_{s=1}^k |d_s| (r_k - r_s),$$

whence

$$\|\underline{q}\|_W + \|\bar{q}\|_W = (r_k - r_1) \sum_{s=1}^k |d_s| = r \|p_k\|_W,$$

where  $r \stackrel{\text{def}}{=} r_k - r_1$ . Hence at least one of the norms larger than or equal to  $\frac{r}{2} \|p_k\|_W$ . We assume  $\|\bar{q}\|_W \geq \frac{r}{2} \|p_k\|_W$  (the other case is similar). Put  $p_{k-1}(z) = \frac{\pi}{8r} \bar{q}(z)$ , then conditions (2) and (3) are satisfied. It remains to check condition (4). Since  $r_1 < r_2 < \dots < r_k \in \mathbb{Z}$ , let  $g(\frac{1}{z}) = z^{-r_k} p_k(z)$ , then  $g(z)$  is an algebraic polynomial of degree  $r$ . Then

$$\bar{q}(z) = \frac{d}{dz} (z^{-r_k} p_k(z)) = \frac{d}{dz} \left( g \left( \frac{1}{z} \right) \right) = -\frac{1}{z^2} g' \left( \frac{1}{z} \right).$$

Since  $g\left(\frac{1}{z}\right)$  is an algebraic polynomial of degree  $r$ , we can use Lemma 1 and get <sup>3</sup>

$$\mu(\{z \in \mathbb{T} : \varphi_k(z) > t\}) = \mu\left(\{z \in \mathbb{T} : \left|\frac{g'(1/z)}{g(1/z)}\right| > \frac{8r}{\pi}t\}\right) \leq \frac{1}{t}$$

since

$$\left|\frac{p_{k-1}}{p_k} = \frac{\pi}{8r} \frac{\bar{q}(z)}{p_k}\right| = \left|\frac{\pi}{8r} \frac{g'(1/z)(-1/z^2)}{g(1/z)z^{rk}}\right| = \frac{\pi}{8r} \left|\frac{g'(1/z)}{g(1/z)}\right|.$$

The above inequality also explains how the weird coefficient  $\frac{\pi}{16}$  of condition (3) chooses.

**Step 2.** Before proving the theorem, we first illustrate what the step 2 does. By step 1, we have constructed a sequence of polynomials and they have the relation

$$\|p_{k-1}\|_W \geq \frac{\pi}{16} \|p_k\|_W.$$

Hence we can get

$$\left(\frac{\pi}{16}\right)^{n-1} \|p\|_W \leq \|p_1\|_W.$$

Since  $\text{ord } p_1 = 1$ , the norm of  $p_1$  is equivalent to any  $|p_1(z)|$ . We want to get the inequality (12), that means we may need to establish the inequality between  $|p_1(z)|$  and  $|p(z)|$  for  $z \in E$ . More precisely, we want to find some point  $z_0 \in E$  such that

$$\left|\frac{p_1(z_0)}{p(z_0)}\right| < \text{some large number.} \quad (13)$$

The constant can be chosen large enough so that the measure of points which don't satisfy condition (13) is less than  $\mu(E)$ , hence cannot cover all points of  $E$ , i.e., the point  $z_0 \in E$  satisfies the condition exists.

Now we estimate the measure of the set of all points  $z \in \mathbb{T}$  for which  $|p_1(z)|$  is essentially greater than  $|p_n(z)| = |p(z)|$  (the meaning of "essentially greater" would be clear later). We have

$$\left|\frac{p_1(z)}{p_n(z)}\right| = \prod_{k=2}^n \varphi_k(z) \leq \exp\left(\sum_{k=2}^n \psi_k(z)\right),$$

where  $\psi_k(z) \stackrel{\text{def}}{=} \log_+ \varphi_k(z)$  ( $\log_+ x$  means  $\log_+ x = 0$  if  $\log x < 0$ ). The weak type estimate of  $\varphi_k$  gives the inequality

$$\mu(\psi_k > t) \leq e^{-t}$$

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<sup>3</sup>In Lemma 1, the term  $\left|\frac{P'(z)}{P(z)}\right|$  can be changed into  $\left|\frac{P'(1/z)}{P(1/z)}\right|$  since the substitution  $z \mapsto 1/z$  preserves Lebesgue measure on the unit circumference.

for all  $t > 0$ . Let  $\alpha > 0$ , we decompose  $\psi_k(z)$  into the sum of  $\eta_k(z) \stackrel{\text{def}}{=} \min(\psi_k(z), \alpha)$  and  $\omega_k(z) \stackrel{\text{def}}{=} \psi_k(z) - \eta_k(z)$ . Then  $\sum_{k=2}^n \eta_k(z) \leq \alpha(n-1)$  for all  $z \in \mathbb{T}$ . Since for a nonnegative measurable function in measure space  $(X, \mathcal{M}, \mu)$  we have

$$\int f(x) d\mu(x) = \int_0^\infty \mu(f(x) > t) dt,$$

we obtain

$$\int_{\mathbb{T}} \omega_k(z) d\mu(z) = \int_\alpha^\infty \mu(\psi_k > t) dt \leq \int_\alpha^\infty e^{-t} dt = e^{-\alpha}.$$

Therefore,

$$\int_{\mathbb{T}} \left( \sum_{k=2}^n \omega_k(z) \right) d\mu(z) \leq e^{-\alpha}(n-1). \quad (14)$$

Since

$$\sum_{k=2}^n \omega_k(z) = \sum_{k=2}^n \psi_k(z) - \sum_{k=2}^n \eta_k(z)$$

and  $\sum_{k=2}^n \eta_k(z) \leq \alpha(n-1)$ , we have

$$\mu \left( \left\{ z \in \mathbb{T} : \sum_{k=2}^n \psi_k(z) > (\alpha+1)(n-1) \right\} \right) \leq \mu \left( \left\{ z \in \mathbb{T} : \sum_{k=2}^n \omega_k(z) > n-1 \right\} \right).$$

Let  $F \stackrel{\text{def}}{=} \{z \in \mathbb{T} : \sum_{k=2}^n \omega_k(z) > n-1\}$ , then we have

$$\mu(F) < \frac{1}{n-1} \int_F \sum_{k=2}^n \omega_k(z) d\mu(z) \leq e^{-\alpha}$$

by using (14). Hence

$$\mu \left( \left\{ z \in \mathbb{T} : \sum_{k=2}^n \psi_k(z) > (\alpha+1)(n-1) \right\} \right) < e^{-\alpha}. \quad (15)$$

Let  $\alpha = \log \frac{1}{\mu(E)}$ , then  $e^{-\alpha} = \mu(E)$ . Substitute this into (refexists) then this inequality implies that there exists a point  $z_0 \in E$  for which  $\sum_{k=2}^n \psi_k(z_0) \leq$

$(\alpha + 1)(n - 1)$ . Now we have

$$\begin{aligned}
\left(\frac{\pi}{16}\right)^{n-1} \|p\|_W &\leq \|p_1\|_W^{\text{ord } p_1 = 1!} \|p_1(z_0)\| \\
&\leq \exp\left(\left(1 + \log \frac{1}{\mu(E)}\right)(n-1)\right) |p(z_0)| \\
&= \left(\frac{e}{\mu(E)}\right)^{n-1} |p(z_0)| \\
&\leq \left(\frac{e}{\mu(E)}\right)^{n-1} \sup_{z \in E} |p(z)|,
\end{aligned}$$

and the theorem is proved.  $\square$

**Remark.** We first construct the polynomial sequence  $p_n = p, p_{n-1}, \dots, p_2, p_1$ , and they satisfy  $\|p_{k-1}\|_W \geq \frac{\pi}{16} \|p_k\|_W$ ,  $\text{ord } p_k = k$  and so on. Then we can get

$$\left(\frac{\pi}{16}\right)^{n-1} \|p\|_W \leq \|p_1\|_W.$$

This means we transform the question into the proof of the certain inequality between  $\|p_1\|_W = |p_1(z)| \forall z \in \mathbb{T}$  and  $p = p_n$ . Then we need to find a point  $z_0 \in \mathbb{T}$  such that  $|p_1(z_0)| \leq \exp\left(\left(1 + \log \frac{1}{\mu(E)}\right)(n-1)\right) |p(z_0)|$ , this step needs to estimate the amount or measure of the points that have large function values. If the measure of these points are smaller than  $\mu(E)$ , then we can get a point  $z_0 \in E$  that satisfies the condition.

## 4 The Turan lemma in general form

**Theorem 4.** Let  $p(t) = \sum_{k=1}^n c_k e^{i\lambda_k t}$  where  $c_k \in \mathbb{C}$  and  $\lambda_1 < \dots < \lambda_n \in \mathbb{R}$ . If  $E$  is a measurable subset of the segment  $I = \left[-\frac{1}{2}, \frac{1}{2}\right]$ , then

$$\sup_{t \in I} |p(t)| \leq \left(\frac{316}{\mu(E)}\right)^{n-1} \sup_{t \in E} |p(t)|.$$

Before proving Theorem 4, we first introduce a weak type estimate:

**Lemma 3.** Let  $g(t) = \sum_{k=1}^n c_k e^{i\lambda_k t}$ , ( $c_k \in \mathbb{C}$ ,  $0 = \lambda_1 < \lambda_2 < \dots < \lambda_n = \lambda$ ). If  $\lambda \geq n - 1$ , then

$$\mu\left(\left\{t \in \left[-\frac{1}{2}, \frac{1}{2}\right] : \left|\frac{d}{dt} \log g(t)\right| > y\right\}\right) \leq \frac{29\lambda}{y}$$

for all  $y > 0$ .

*Proof.* Let  $z_j$  be the complex zeros of  $g(z)$  enumerated in the order of increase of  $|\operatorname{Re} z_j|$ . The Langer lemma yields  $|\operatorname{Re} z_j| \geq \pi \frac{j-(n-1)}{(n-1)} \geq \frac{\pi}{\lambda} (j - (n-1))$  (otherwise, there are  $j$  zeros in the strip of width  $\Delta < 2\pi \frac{j-(n-1)}{(n-1)}$ , but by Langer lemma the number of zero points in the strip is less than  $\frac{\lambda \Delta}{2\pi} + n - 1 < \frac{\lambda(j-(n-1))}{(n-1)} + (n-1) = (\frac{j}{n-1} - 1)\lambda + (n-1) \leq (\frac{j}{n-1} - 1)(n-1) + (n-1) = j$ ). We write the Hadamard factorization

$$g(z) = ce^{az} \prod_{j \leq 2\lambda} (z - z_j) \prod_{j > 2\lambda} \left(1 - \frac{z}{z_j}\right) e^{\frac{z}{z_j}} \stackrel{\text{def}}{=} ce^{az} Q(z) R(z).$$

The estimate for  $\left|\frac{d}{dz} \log R(z)\right|$

Notice that  $|\operatorname{Re} z_j| \geq \pi$  if  $j > 2\lambda$ . Let  $|\operatorname{Re} z| < \frac{\pi}{2}$ , then

$$\begin{aligned} \left|\frac{d}{dz} \log R(z)\right| &\leq |z| \sum_{j > 2\lambda} \frac{1}{|\operatorname{Re} z_j| (|\operatorname{Re} z_j| - \pi/2)} \\ &\leq 2|z| \sum_{j > 2\lambda} \frac{1}{|\operatorname{Re} z_j|^2} \\ &\leq 2|z| \sum_{j > 2\lambda} \frac{\lambda^2}{\pi^2 (j - (n-1))^2} \\ &\leq 2\frac{\lambda^2}{\pi^2} |z| \sum_{j > 2\lambda} \int_{j-(n-1)-1/2}^{j-(n-1)+1/2} \frac{dt}{t^2}. \end{aligned}$$

But if  $j > 2\lambda > 2(n-1)$ , then  $j \geq 2n-1$ , and  $j - (n-1) - 1/2 \geq j/2 \geq \lambda$ . Therefore

$$\sum_{j > 2\lambda} \int_{j-(n-1)-1/2}^{j-(n-1)+1/2} \frac{dt}{t^2} \leq \int_{\lambda}^{\infty} \frac{dt}{t^2} = \frac{1}{\lambda}$$

and  $\left|\frac{d}{dz} \log R(z)\right| \leq \frac{2|z|\lambda}{\pi^2}$  if  $|\operatorname{Re} z| < \pi/2$ . In particular,  $\left|\frac{d}{dz} \log R(z)\right| \leq \frac{\lambda}{\pi^2}$  on the interval  $[-\frac{1}{2}, \frac{1}{2}]$ .

The estimate for  $|a|$

It can be estimated by considering the argument increment of  $g(z)$  along segment  $[-i\omega \frac{\bar{a}}{|a|}, i\omega \frac{\bar{a}}{|a|}]$ , similar to the proof of Case 1 Theorem 4 below. Here we use another approach. Consider an exponential polynomial  $\tilde{g}(t) \stackrel{\text{def}}{=} e^{\lambda t} g\left(-\frac{\bar{a}}{|a|}t\right)$  on the interval  $t \in [-\frac{3}{2}, \frac{3}{2}]$ , then

$$\tilde{g}(t) = e^{\lambda t} \sum_{k=1}^n c_k e^{-i\lambda_k \frac{\bar{a}}{|a|}t} = \sum_{k=1}^n c_k e^{(\lambda - i\lambda_k \frac{\bar{a}}{|a|})t}.$$



Its remarkable property is that the real parts of exponent in its terms are nonnegative ( $\operatorname{Re} \left( \lambda - i\lambda_k \frac{\bar{a}}{|a|} \right) \geq 0$ ), then it satisfies the condition  $|z_j| \geq 1$  of Theorem 1). The reasoning of the first half of Section 1 ensures the estimate

$$\sup_{t \in [-\frac{3}{2}, -\frac{1}{2}]} |\tilde{g}(t)| \leq \sup_{t \in [-\frac{3}{2}, \frac{3}{2}]} |\tilde{g}(t)| \leq (12e)^{n-1} \sup_{t \in [\frac{1}{2}, \frac{3}{2}]} |\tilde{g}(t)| \leq (12e)^\lambda \sup_{t \in [\frac{1}{2}, \frac{3}{2}]} |\tilde{g}(t)|.$$

The function  $Q \left( -\frac{\bar{a}}{|a|} t \right)$  is an algebraic polynomial of degree at most  $2\lambda$ , consequently, it is a limit of exponential polynomials of order at most  $2\lambda + 1$  with purely imaginary exponents<sup>4</sup>. Applying the Turan lemma again, we obtain the inequalities

$$\begin{aligned} \sup_{t \in [-\frac{3}{2}, -\frac{1}{2}]} \left| Q \left( -\frac{\bar{a}}{|a|} t \right) \right| &\geq (12e)^{-2\lambda} \sup_{t \in [-\frac{3}{2}, \frac{3}{2}]} \left| Q \left( -\frac{\bar{a}}{|a|} t \right) \right| \\ &\geq (12e)^{-2\lambda} \sup_{t \in [\frac{1}{2}, \frac{3}{2}]} \left| Q \left( -\frac{\bar{a}}{|a|} t \right) \right| \end{aligned}$$

and

$$\begin{aligned} &\inf_{t \in [-\frac{3}{2}, -\frac{1}{2}]} \left| R \left( -\frac{\bar{a}}{|a|} t \right) \right| \\ &\geq \exp \left( - \int_{-3/2}^{3/2} \left| \frac{d}{dt} \log R \left( -\frac{\bar{a}}{|a|} t \right) \right| dt \right) \sup_{t \in [-\frac{3}{2}, \frac{3}{2}]} \left| R \left( -\frac{\bar{a}}{|a|} t \right) \right| \\ &\geq e^{-\lambda/2} \sup_{t \in [\frac{1}{2}, \frac{3}{2}]} \left| R \left( -\frac{\bar{a}}{|a|} t \right) \right|. \end{aligned}$$

If  $|a| > \lambda$ , then  $\inf_{t \in [-\frac{3}{2}, -\frac{1}{2}]} |ce^{(\lambda-|a|)t}| \geq e^{|a|-\lambda} \sup_{t \in [\frac{1}{2}, \frac{3}{2}]} |ce^{(\lambda-|a|)t}|$ . Since

$$\tilde{g}(t) = ce^{(\lambda-|a|)t} Q \left( -\frac{\bar{a}}{|a|} t \right) R \left( -\frac{\bar{a}}{|a|} t \right),$$

we have

$$\sup_{t \in [-\frac{3}{2}, -\frac{1}{2}]} |\tilde{g}(t)| \geq \sup_{t \in [-\frac{3}{2}, -\frac{1}{2}]} \left| Q \left( -\frac{\bar{a}}{|a|} t \right) \right| \inf_{t \in [-\frac{3}{2}, -\frac{1}{2}]} \left| R \left( -\frac{\bar{a}}{|a|} t \right) \right| \inf_{t \in [-\frac{3}{2}, -\frac{1}{2}]} |ce^{(\lambda-|a|)t}|.$$

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<sup>4</sup>Consider  $g_\lambda(x) = \frac{e^{\lambda x}}{\lambda} - 1$ , it is easy to check that

$$\sup_{x \in [0,1]} |g_\lambda - x| \leq \frac{1}{2}\lambda.$$

These inequalities may hold simultaneously only if  $|a| \leq \lambda(3 \log(12e) + 1/2 + 1) \leq \frac{25}{2}\lambda$ .

The polynomial  $Q(z)$

By Lemma 1, the polynomial  $Q(z)$  satisfies the weak type estimate

$$\mu \left( \left\{ t \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \left| \frac{d}{dt} \log Q(t) \right| > y \right\} \right) \leq \frac{16\lambda}{y}$$

on the segment  $\left[ -\frac{1}{2}, \frac{1}{2} \right]$ .

Combine all the above estimates and make use of the inequality  $\left| \frac{d}{dt} \log g(t) \right| \leq |a| + \left| \frac{d}{dt} \log R(t) \right| + \left| \frac{d}{dt} \log Q(t) \right|$ , we obtain

$$\begin{aligned} & \mu \left( \left\{ t \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \left| \frac{d}{dt} \log g(t) \right| > y \right\} \right) \\ & \leq \mu \left( \left\{ t \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \left| \frac{d}{dt} \log Q(t) \right| > y - 13\lambda \right\} \right) \\ & \leq \frac{16\lambda}{y - 13\lambda} \leq \frac{29\lambda}{y} \end{aligned}$$

for  $y \geq 29\lambda$ . But if  $y < 29\lambda$ , then the corresponding estimate becomes trivial because  $\frac{29\lambda}{y} \geq 1 = \mu \left( \left[ -\frac{1}{2}, \frac{1}{2} \right] \right)$ . Lemma 2 is proved.  $\square$

Now we go back to the proof of Theorem 4.

*Proof of Theorem 4.* Let  $\lambda \stackrel{\text{def}}{=} \lambda_n - \lambda_1$ , we prove the theorem separately in two cases.

**Case**  $\lambda \leq n - 1$ . If  $n = 1$ , the statement is obvious. Let  $n > 1$ , without loss of generality, we assume that  $0 = \lambda_1 < \cdots < \lambda_n = \lambda \leq n - 1$ . By virtue of the Langer lemma, complex zeros of the exponential polynomial  $p(z)$  are well separated, i.e., each vertical strip of width  $\Delta$  contains at most  $\frac{\Delta\lambda}{2\pi} + (n - 1) \leq (1 + \frac{\Delta}{2\pi})(n - 1)$  zeros.

Lets enumerate  $z_j$  in the order of increase of  $|\operatorname{Re} z_j|$ . For every  $j \in \mathbb{N}$ , the inequality  $|\operatorname{Re} z_j| \geq \pi \frac{j-(n-1)}{(n-1)}$  holds (otherwise the zeros  $z_1, \dots, z_j$  would lie in a vertical strip of width  $\Delta < 2\pi \frac{j-(n-1)}{(n-1)}$ , but this strip can contain at most  $(1 + \frac{\Delta}{2\pi})(n - 1) < j$  zeros). Now we write the Hadamard factorization of  $p(z)$  :

$$p(z) = ce^{az} \prod_{j=1}^{2(n-1)} (z - z_j) \prod_{j>2(n-1)} \left( 1 - \frac{z}{z_j} \right) e^{z/z_j} \stackrel{\text{def}}{=} ce^{az} Q(z) R(z).$$

We shall examine the behavior of each of the above three factors separately.

The canonical product  $R(z) = \prod_{j>2(n-1)} \left( 1 - \frac{z}{z_j} \right) e^{z/z_j}$

First of all, we notice that  $|\operatorname{Re} z_j| \geq \pi$  if  $j > 2(n-1)$  (since  $|\operatorname{Re} z_j| \geq \pi \frac{j-(n-1)}{(n-1)} > \pi$ ). We have (since  $|\operatorname{Re} z| \leq 1/2 < \pi$ ):

$$\begin{aligned} \left| \frac{d}{dz} \log R(z) \right| &= \left| \sum_{j>2(n-1)} \left( \frac{1}{z_j} + \frac{1}{z - z_j} \right) \right| \leq |z| \sum_{j>2(n-1)} \frac{1}{|z_j| |z - z_j|} \\ &\leq |z| \sum_{j>2(n-1)} \frac{1}{|\operatorname{Re} z_j| (|\operatorname{Re} z_j| - |\operatorname{Re} z|)}. \end{aligned}$$

whence it follows, since  $z \in [-\frac{1}{2}, \frac{1}{2}]$ , that

$$\begin{aligned} \left| \frac{d}{dz} \log R(z) \right| &\leq |z| \sum_{j>2(n-1)} \frac{1}{|\operatorname{Re} z_j| (|\operatorname{Re} z_j| - \frac{1}{2})} \\ &\leq 2|z| \sum_{j=1}^{\infty} \frac{1}{|\operatorname{Re} z_{2(n-1)+j}|^2} \\ &\leq 2|z| \sum_{j=1}^{\infty} \frac{1}{(\pi + \frac{\pi j}{n-1})^2} \\ &\leq \frac{2(n-1)}{\pi} |z| \int_{\pi}^{\infty} \frac{dt}{t^2} \\ &= \frac{2|z|}{\pi^2} (n-1). \end{aligned}$$

Now,

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \left| \frac{d}{dz} \log R(z) \right| dz \leq \frac{2(n-1)}{\pi^2} \int_{-\frac{1}{2}}^{\frac{1}{2}} |z| dz = \frac{n-1}{2\pi^2},$$

and, therefore

$$\max_{z \in [-\frac{1}{2}, \frac{1}{2}]} |R(z)| \leq \exp \left( \frac{n-1}{2\pi^2} \right) \min_{z \in [-\frac{1}{2}, \frac{1}{2}]} |R(z)|.$$

*The factor  $ce^{az}$*

The simplest way to estimate  $|\operatorname{Re} a|$  is to consider the argument increment of  $p(z)$  along a segment  $[-i\omega, i\omega]$  ( $\omega > 0$ ). It follows from the proof of the Langer lemma that  $|\Delta_{[-i\omega, i\omega]} \arg p| \leq \pi(n-1)$ . The argument increment brought in by each of the zeros of  $Q(z)$  does not exceed  $\pi$ . So, we have

$$\begin{aligned} |\Delta_{[-i\omega, i\omega]} \arg Q| &\leq 2\pi(n-1), \\ |\Delta_{[-i\omega, i\omega]} \arg R| &\leq \int_{-\omega}^{\omega} \left| \frac{d}{dz} \log R(it) \right| dt \leq \frac{n-1}{\pi^2} \int_{-\omega}^{\omega} |t| dt = \frac{n-1}{\pi^2} \omega^2, \end{aligned}$$

and

$$\Delta_{[-i\omega, i\omega]} \arg(cc^{az}) = 2\omega \operatorname{Re} a.$$

The identity

$$\Delta_{[-i\omega, i\omega]} \arg = 2\omega \operatorname{Re} a + \Delta_{[-i\omega, i\omega]} \arg Q + \Delta_{[-i\omega, i\omega]} \arg R$$

implies

$$|\operatorname{Re} a| \leq \min_{\omega > 0} \left( \frac{3\pi}{2\omega} + \frac{\omega}{2\pi^2} \right) (n-1) = \sqrt{\frac{3}{\pi}} (n-1).$$

It remains to examine

*The behavior of the polynomial  $Q(z)$ .*

Let  $0 < h < \frac{1}{8}$ . We shall carry out the Cartan lemma construction. Let  $n_1$  be the maximal integer for which there exists a disk  $D_1$  of radius  $\frac{n_1}{n-1}h$  containing at least  $n_1$  zeros of the polynomial  $Q$ . It is clear that  $D_1$  contains exactly  $n_1$  zeros of  $Q$  because otherwise  $n_1$  could be enlarged (the strip of width  $\frac{h}{n-1}$  contains at most 1 point according to Langer lemma). Let  $n_2$  be the maximal integer for which there exists a disk  $D_2$  of radius  $\frac{n_2}{n-1}h$  containing at least  $n_2$  zeros of  $Q$  among those not lying in  $D_1$ , and so on, till all the zeros of  $Q$  are covered. Putting  $D'_k = 2D_k$  (i.e., the disk centered at the same point and of double radius), we obtain the corresponding sequence of integers  $n_1 \geq \dots \geq n_s$  with the sum  $n_1 + \dots + n_s = 2(n-1)$  and the corresponding sequencedisks  $D'_1, \dots, D'_s$  with the sum of radii equal to  $4h$ . We fix a point

$$z \in \left[ -\frac{1}{2}, \frac{1}{2} \right] \setminus \bigcup_{k=1}^s D'_k$$

and enumerate the zeros of  $Q$  in the order of increase of  $|z - z_j|$ . Following Cartan, we shall show that  $|z - z_j| \geq \frac{j}{n-1}h$ . Indeed, if this is not the case, then the disk  $D$  centered at  $z$  and of radius  $\frac{j}{n-1}h$  contains at least  $j$  zeros of  $Q$ . Choose an  $m \in \{1, \dots, s\}$  such that  $n_1 \geq \dots \geq n_m \geq j > n_{m+1} \geq \dots \geq n_s$ . For every  $z \notin \bigcup_{k=1}^s D'_k$  and  $k \leq m$ , we have  $z_j \notin D'_{n_k}$ , hence the distance between  $z$  and the center of  $D_k$  is at least

$$\frac{2n_k}{n-1}h \geq \frac{n_k}{n-1}h + \frac{j}{n-1}h.$$

Hence  $D$  does not intersect any of the disks  $D_1, \dots, D_m$ . But if this were true, the disk  $D$  (or a disk with larger number of zeros) would have been taken instead of  $D_{m+1}$  at the  $m+1$ -th step. This contradiction proves the claim.

Besides, the Langer lemma implies the inequality  $|z - z_j| \geq \pi \frac{j-(n-1)}{(n-1)}$  (otherwise the zeros  $z_1, \dots, z_j$  would lie in a disk of radius strictly less than  $\pi \frac{j-(n-1)}{(n-1)}$ , and,

consequently, in the strip of width  $\Delta < 2\pi^{\frac{j-(n-1)}{(n-1)}}$ . Thus, we have

$$\begin{aligned}
\frac{|Q(z)|}{\max \{|Q(t)| : t \in [-\frac{1}{2}, \frac{1}{2}]\}} &\geq \prod_{j=1}^{2(n-1)} \frac{|z - z_j|}{\max \{|t - z_j| : t \in [-\frac{1}{2}, \frac{1}{2}]\}} \\
&\geq \prod_{j=1}^{2(n-1)} \frac{|z - z_j|}{1 + |z - z_j|} \\
&= \prod_{j=1}^{n-1} \frac{|z - z_j|}{1 + |z - z_j|} \times \prod_{j=1}^{n-1} \frac{|z - z_{n-1+j}|}{1 + |z - z_{n-1+j}|} \\
&\geq \prod_{j=1}^{n-1} \frac{\frac{j}{n-1}h}{1 + \frac{j}{n-1}h} \times \prod_{j=1}^{n-1} \frac{\frac{\pi j}{n-1}}{1 + \frac{\pi j}{n-1}} \\
&\geq \prod_{j=1}^{n-1} \frac{\frac{j}{n-1}h}{1 + \frac{j}{n-1}\frac{1}{8}} \times \prod_{j=1}^{n-1} \frac{\frac{\pi j}{n-1}}{1 + \frac{\pi j}{n-1}} \\
&\geq (8h)^{n-1} \times \prod_{j=1}^{n-1} \frac{\frac{j}{n-1}\frac{1}{8}}{1 + \frac{j}{n-1}\frac{1}{8}} \times \prod_{j=1}^{n-1} \frac{\frac{3j}{n-1}}{1 + \frac{3j}{n-1}}.
\end{aligned}$$

But for each  $\theta > 0$  we have

$$\prod_{j=1}^{n-1} \frac{\frac{j}{n-1}\theta}{1 + \frac{j}{n-1}\theta} \geq \exp \left( (n-1) \int_0^1 \log \frac{\theta t}{1 + \theta t} dt \right) = \left( \frac{\theta}{(1 + \theta)^{1 + \frac{1}{\theta}}} \right)^{n-1},$$

whence it follows that

$$\frac{|Q(z)|}{\max \{|Q(t)| : t \in [-\frac{1}{2}, \frac{1}{2}]\}} \geq (8h)^{n-1} \left( 8 \times \left( \frac{9}{8} \right)^9 \times \frac{4\sqrt[3]{4}}{3} \right)^{-(n-1)} \geq \left( \frac{8h}{32\sqrt[3]{4}} \right)^{n-1}.$$

Observe that the measure of the exceptional set  $[-\frac{1}{2}, \frac{1}{2}] \cap (\bigcup_{k=1}^s D'_k)$  is at most

$8h$ , we can set  $z \in E$  if  $h = \mu(E)/8$ . Combining all these estimates, we find

$$\begin{aligned}
\sup_{t \in I} |p(t)| &\leq \sup_{t \in I} |ce^{at}| \times \sup_{t \in I} |R(t)| \times \sup_{t \in I} |Q(t)| \\
&\leq \left| c \exp \left( \sqrt{\frac{3}{\pi}} (n-1) \right) \right| \times \exp \left( \frac{n-1}{2\pi^2} \right) \min_{t \in I} |R(t)| \times \sup_{t \in I} |Q(t)| \\
&\leq |c| \times \exp \left( \left( \sqrt{\frac{3}{\pi}} + \frac{1}{2\pi^2} \right) (n-1) \right) \min_{t \in I} |R(t)| \times \sup_{t \in I} |Q(t)| \\
&\leq |c| 3^{n-1} \min_{t \in I} |R(t)| \times \left( \frac{32\sqrt[3]{4}}{8h} \right)^{n-1} |Q(z)| \\
&\leq \left( \frac{154}{\mu(E)} \right)^{n-1} \sup_{t \in E} |p(t)|.
\end{aligned}$$

**Case**  $\lambda > n - 1$ . We shall reduce this case to Case 1 in the same way as in Section 3. This is why we need Lemma 3. We can finish the proof by constructing a sequence of exponential polynomials  $p_n, p_{n-1}, \dots, p_s (s \geq 1)$  such that

- (1)  $p_n = p$  ;
- (2)  $\text{ord } p_k = k$  ( $k = s, \dots, n$ ) ;
- (3)  $\|p_{k-1}\|_\infty \geq \frac{1}{58} \|p_k\|_\infty$  ( $k = s+1, \dots, n$ ) ;
- (4) the ratio  $\varphi_k \stackrel{\text{def}}{=} \left| \frac{p_{k-1}}{p_k} \right|$  satisfies the weak type estimate

$$\mu \left( \left\{ x \in \left[ -\frac{1}{2}, \frac{1}{2} : \varphi_k(x) > t \right] \right\} \right) \leq \frac{1}{t}$$

for  $t > 0$  ;

- (5) the difference between the greatest and the smallest exponent of  $p_s$  does not exceed  $s - 1$  (i.e.,  $p_s$  meets the condition of Case 1 investigated above).

The construction is almost the same as in Section 3. The difference is that, firstly, we make use of the identity  $\underline{q}(t) - \bar{q}(t) = i(\rho_k - \rho_1) p_k(t)$ , where

$$p_k(t) \stackrel{\text{def}}{=} \sum_{m=1}^k d_m e^{i\rho_m t} \quad (\rho_1 < \dots < \rho_k \in \mathbb{R}),$$

$$\underline{q}(t) \stackrel{\text{def}}{=} e^{i\rho_1 t} \frac{d}{dt} (e^{-i\rho_1 t} p_k(t)),$$

$$\bar{q}(t) \stackrel{\text{def}}{=} e^{i\rho_k t} \frac{d}{dt} (e^{-i\rho_k t} p_k(t))$$

to estimate the sum of norms  $\|\underline{q}\|_\infty + \|\bar{q}\|_\infty$  from below, and, secondly, we stop the sequence at the polynomial  $p_s$  satisfying the condition of Case 1, i.e., at that very moment when we cannot apply Lemma 3 to estimate  $\varphi_s$  once more.

Since  $\|p_{k-1}\|_\infty \geq \left(\frac{1}{58}\right) \|p_k\|_\infty$ , we obtain

$$\left(\frac{1}{58}\right)^{n-s} \|p\|_\infty \leq \|p_s\|_\infty. \quad (16)$$

By the construction procedure,  $p_s$  satisfies the condition of Case 1, hance for a measurable set  $F$  we have

$$\|p_s\|_\infty \leq \left(\frac{154}{\mu(F)}\right)^{s-1} \sup_{t \in F} |p_s(t)|. \quad (17)$$

Now we use the same reasoning as in Section 3 to establish  $\left|\frac{p_s(t)}{p_n(t)}\right| \leq \left(\frac{2e}{\mu(E)}\right)^{n-s}$  outside an exceptional set  $E'$  of measure  $\mu(E') \leq \mu(E)/2$ . We have

$$\left|\frac{p_s(x)}{p_n(x)}\right| = \prod_{k=s+1}^n \varphi_k(z) \leq \exp\left(\sum_{k=s+1}^n \psi_k(x)\right),$$

where  $\psi_k(x) \stackrel{\text{def}}{=} \log_+ \varphi_k(x)$ . The weak type estimate of  $\varphi_k$  gives the inequality  $\mu(\psi_k > t) \leq e^{-t}$  for all  $t > 0$ . Let  $\alpha > 0$ , we decompose  $\psi_k(x)$  into the sum of  $\eta_k(x) \stackrel{\text{def}}{=} \min(\psi_k(x), \alpha)$  and  $\omega_k(x) \stackrel{\text{def}}{=} \psi_k(x) - \eta_k(x)$ . Then  $\sum_{k=s+1}^n \eta_k(x) \leq \alpha(n-s)$  for all  $x \in [-\frac{1}{2}, \frac{1}{2}]$ . We also have

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \omega_k(x) dx = \int_\alpha^\infty \mu(\psi_k > t) dt \leq \int_\alpha^\infty e^{-t} dt = e^{-\alpha}.$$

Therefore,

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} \left(\sum_{k=s+1}^n \omega_k(z)\right) d\mu(z) \leq e^{-\alpha}(n-s).$$

Since

$$\sum_{k=s+1}^n \omega_k(x) = \sum_{k=s+1}^n \psi_k(x) - \sum_{k=s+1}^n \eta_k(x)$$

and  $\sum_{k=s+1}^n \eta_k(x) \leq \alpha(n-s)$ , we have

$$\begin{aligned} & \mu \left( \left\{ x \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \sum_{k=s+1}^n \psi_k(x) > (\alpha+1)(n-s) \right\} \right) \\ & \leq \mu \left( \left\{ x \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \sum_{k=s+1}^n \omega_k(x) > n-s \right\} \right) < e^{-\alpha}. \end{aligned}$$

Let  $\alpha = \log \left( \frac{2}{\mu(E)} \right)$ , then we have

$$\mu \left( \left\{ x \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \sum_{k=s+1}^n \psi_k(x) > (\alpha+1)(n-s) \right\} \right) < \frac{\mu(E)}{2}.$$

Thus the measure of the set  $E' = \left\{ x \in \left[ -\frac{1}{2}, \frac{1}{2} \right] : \left| \frac{p_s(x)}{p_n(x)} \right| > \left( \frac{2e}{\mu(E)} \right)^{n-s} \right\}$  satisfies

$$\mu(E') < \frac{\mu(E)}{2}$$

and hence

$$\mu(E \setminus E') \geq \frac{\mu(E)}{2}. \quad (18)$$

By definition of the set  $E'$ , we know  $\left| \frac{p_s(x)}{p_n(x)} \right| \leq \left( \frac{2e}{\mu(E)} \right)^{n-s}$  for each  $x \in E \setminus E'$ . By using (17) (let  $F = E \setminus E'$ ), (16) and (18) we obtain

$$\begin{aligned} \left( \frac{1}{58} \right)^{n-s} \|p\|_\infty & \leq \|p_s\|_\infty \leq \left( \frac{154}{\mu(E \setminus E')} \right)^{s-1} \sup_{t \in E \setminus E'} |p_s(t)| \\ & \leq \left( \frac{308}{\mu(E)} \right)^{s-1} \left( \frac{2e}{\mu(E)} \right)^{n-s} \sup_{t \in E} |p(t)|. \end{aligned}$$

Now Theorem (4) easily follows if we take into account the inequality  $116e < 316$ .

□

## 5 Summary: Two important techniques used

- Construct a sequence of polynomials like  $p_k, p_{k-1}, \dots, p_1$  to decrease the order of  $p_k$ . In this note, the order is the order of exponential polynomials, it may have different meaning when we solve other problems.
- Weak type estimates allow us to get an upper bound of a measure of a set  $A$  that satisfies some property  $P$ , then compare it to the measure of a given set  $B$ . If the latter is strictly larger than the former, then there must be some point in  $B$  which does not meet the property  $P$ .



## A Harmonic measure

Let  $\mathbb{H}$  be the upper half-plane. Suppose  $a < b$  are real. Then the function

$$\theta = \theta(z) = \arg \left( \frac{z - b}{z - a} \right) = \operatorname{Im} \log \left( \frac{z - b}{z - a} \right)$$

is harmonic on  $\mathbb{H}$ , and  $\theta = \pi$  on  $(a, b)$  and  $\theta = 0$  on  $\mathbb{R} \setminus [a, b]$ .

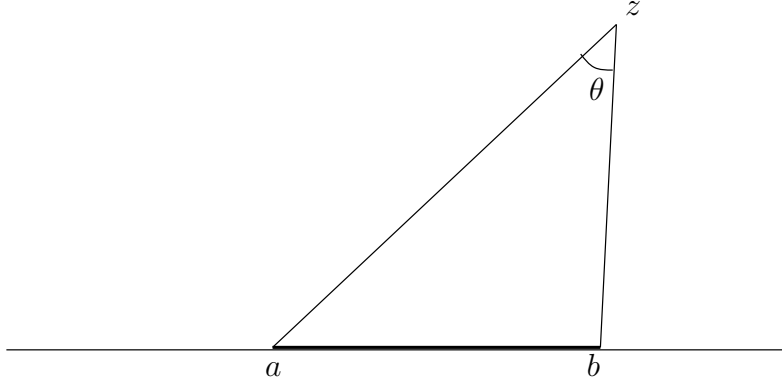


Figure 4: Harmonic function  $\theta(z)$

Viewed geometrically,  $\theta(z) = \operatorname{Re} \varphi(z)$  where  $\varphi(z)$  is any conformal mapping from  $\mathbb{H}$  to the strip  $\{0 < \operatorname{Re} z < \pi\}$  which maps  $(a, b)$  onto  $\{z : \operatorname{Re} z = \pi\}$  and  $\mathbb{R} \setminus [a, b]$  into  $\{z : \operatorname{Re} z = 0\}$ .

**Definition 2.** Let  $E \subset \mathbb{R}$  be a finite union of open intervals and write  $E = \bigcup_{j=1}^n (a_j, b_j)$  with  $b_{j-1} < a_j < b_j$ . Set

$$\theta_j = \theta_j(z) = \arg \left( \frac{z - b_j}{z - a_j} \right).$$

Then the harmonic measure of  $E$  at  $z \in \mathbb{H}$  is

$$\omega(z, E, \mathbb{H}) \stackrel{\text{def}}{=} \sum_{j=1}^n \frac{\theta_j}{\pi}. \tag{19}$$

It satisfies the following properties:

- a.  $0 < \omega(z, E, \mathbb{H}) < 1$  for  $z \in \mathbb{H}$ ,
- b.  $\omega(z, E, \mathbb{H}) \rightarrow 1$  as  $z \rightarrow E$ , and
- c.  $\omega(z, E, \mathbb{H}) \rightarrow 0$  as  $z \rightarrow \mathbb{R} \setminus \overline{E}$ .

The function  $\omega(z, E, \mathbb{H})$  is the unique harmonic function on  $\mathbb{H}$  that satisfies a,b and c. The uniqueness of  $\omega(z, E, \mathbb{H})$  is a consequence of Lindelöf's maximum principle (see [1, p. 2]).

## References

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