

**ALGEBRAIC GEOMETRY - LOTHAR GÖTTSCHE**  
**LECTURE 15**

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*Remark.* In (2) of theorem 4 we can drop the assumption that  $F$  on  $X$  is irreducible.

**Corollary 1.** *Every affine variety is finite dimensional.*

**Proposition 1.** *Let  $X \subset \mathbb{A}^N$  be an affine variety of dimension  $n$  and  $F \in k[x_1, \dots, x_N] \setminus I(X)$ . If  $Z(F) \cap X \neq \emptyset$ , then  $\dim(Z(F) \cap X) = n - 1$ . ( $Z(F) \cap X$  may not be irreducible).*

*Proof.* We need to show for all irreducible components  $Y_i$  of  $Z(F) \cap X$ ,  $\dim Y_i \leq n - 1$  and there exists a component  $Y_j$  with  $\dim Y_j = n - 1$  (later we will show that all irreducible components have dimension  $n - 1$ ). By Noether normalization theorem, there is a finite surjective morphism  $\Pi : X \rightarrow \mathbb{A}^n$ . Identify  $k[x_1, \dots, x_n]$  with  $\Pi^*(k[x_1, \dots, x_n]) \subset A(X)$ . Let  $\bar{F}$  be the class of  $F$  in  $A(X)$ , there exists a nonzero polynomial

$$H = x_{n+1}^d + \sum_{i=0}^{d-1} a_i x_{n+1}^i$$

with  $a_i \in k[x_1, \dots, x_n]$  such that  $H(x_1, \dots, x_n, \bar{F}) = 0$ . Replacing  $H$  by an irreducible factor if necessary, we can assume  $H$  is irreducible. Let  $\varphi = (\Pi, F) : X \rightarrow \mathbb{A}^{n+1}$ ,  $\Pi = (x_1, \dots, x_n) \circ \varphi$  is finite, thus  $\varphi$  is finite. By definition  $\varphi(X) \subset Z(H)$ , then  $\varphi(X)$  is a closed subvariety of dimension  $n$  in  $Z(H)$ . Thus  $\varphi(X) = Z(H)$ ,  $\varphi : X \rightarrow Z(H)$  is a finite surjective morphism. By definition,  $Z(F) \cap X = \varphi^{-1}(Z(H, x_{n+1})) = \varphi^{-1}(Z(a_0) \times \{0\})$ , thus  $\dim(Z(F) \cap X) = \dim Z(a_0)$  where  $a_0 \in k[x_1, \dots, x_n]$ . If  $a_0$  is constant, then  $Z(F) \cap X = \emptyset$ , contradict with the condition, so drop it. Now we know  $a_0$  is nonconstant polynomial, hence  $\dim Z(a_0) = n - 1$ .  $\square$

**Theorem 1.** *Let  $X$  be a variety,  $\emptyset \neq U \subset X$ ,  $U$  is an open subset of  $X$ . Then  $\dim U = \dim X$ .*

*Proof.* Let  $U_0 \subsetneq U_1 \subsetneq \dots \subsetneq U_n = U$  be a chain in  $U$ , let  $X_i = \bar{U}_i$  the closure of  $U_i$  in  $X$ . By definition  $\bar{U}_i = \bar{U} \cap X_i$ , thus

$$X_0 \subsetneq X_1 \subsetneq \dots \subsetneq X_n = X$$

is a chain in  $X$ , thus  $\dim U \leq \dim X$ .

Let  $X_0 \subsetneq X_1 \subsetneq \dots \subsetneq X_n = X$  be a chain of largest length in  $X$  and  $X_0 = \{x_0\}$  be a point, let  $W \subset X$  be an open subset with  $x_0 \in W$ . Then we set  $W_i = X_i \cap W$  for all  $i$ . Since  $W_{i+1}$  is dense in  $X_{i+1}$ , we have  $W_{i+1} \supsetneq W_i$  for all  $i$ . Thus  $W_0 = \{x_0\} \subsetneq W_1 \subsetneq \dots \subsetneq W_n$  is a chain in  $W$ , we get  $\dim X = \dim W$ . Thus we can replace  $X$  by  $W$  and  $U$  by  $W \cap U$ . Now we reduce to the case  $X$  is affine.

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- (1) If  $X = \mathbb{A}^n$ , let  $x_0$  be a point in  $U$ ,  $X_i$  be affine linear subspaces containing  $X_{i-1}$  for all  $i$ . Put  $U_i = X_i \cap U$ ,  $U_0 \subsetneq U_1 \subsetneq \cdots \subsetneq U_n$  is a chain in  $U$ , then  $\dim U = n = \dim X$ .
- (2) If  $X$  is affine, there exists a finite surjective morphism  $\varphi : X \rightarrow \mathbb{A}^n$ .  $\varphi(X \setminus U) \subsetneq \mathbb{A}^n$  is closed, let  $f \in I(\varphi(X \setminus U))$  and  $V = \mathbb{A}^n \setminus Z(f)$ ,  $V$  is open and dense in  $\mathbb{A}^n$ ,  $\dim V = n$ . Let  $W = \varphi^{-1}(V) \subset X$ , then  $\varphi|_W : W \rightarrow V$  is surjective and closed, thus  $\dim W \geq \dim V = n$ , but  $U \supset W$ , hence  $\dim U \geq \dim W \geq n$ .

□

**Corollary 2.** *All varieties are finite dimensional.*

**Corollary 3.** *If  $X$  and  $Y$  are birational, then  $\dim X = \dim Y$ .*

**Corollary 4.** (1)  $\dim \mathbb{P}^n = n$ .

- (2) If  $F \in k[x_0, \dots, x_n]$  is a homogeneous polynomial of positive degree, then  $\dim Z(F) = n - 1$ .
- (3) If  $X \subset \mathbb{P}^n$  is a closed subvariety of dimension  $n - 1$ , then  $X = Z(F)$  for some homogeneous polynomial  $F \in k[x_0, \dots, x_n]$ .

*Proof.* (1) It is obvious since  $U_i \simeq \mathbb{A}^n$  is open dense in  $\mathbb{P}^n$ .

- (2) By projective transformation we can set  $Z(F) \not\subset H_\infty$ , then  $Z(F) \cap \mathbb{A}^n = Z(F(1, x_1, \dots, x_n))$ . It has dimension  $n - 1$  and is open in  $Z(F)$ , so  $\dim Z(F) = n - 1$ .
- (3) Same as the affine condition in theorem 4.

□

**Theorem 2.** *Let  $X \subset \mathbb{A}^n$  be an affine variety,  $F \in k[x_1, \dots, x_n] \setminus I(X)$ , then every irreducible component (if there is any) of  $Z(F) \cap X$  has dimension  $\dim X - 1$ .*

*Proof.* Let  $Z$  be a irreducible component of  $Z(F) \cap X$ . Take  $W$  be the union of all the other irreducible components of  $Z(F) \cap X$ . Take  $g \in I(W) \setminus I(Z)$  and  $U := X \setminus Z(g)$ , then  $U$  can be viewed as an affine variety in  $\mathbb{A}^{n+1}$ . Since  $Z(g) \supset W$ , we get  $U \subset Z$ . Hence  $U \cap Z(F) = U \cap Z$ . Viewing  $F$  as a polynomial function on  $U$  (since  $U = X \setminus Z(g)$  is open and dense in  $X$ ,  $F$  is not zero in  $U$ , otherwise it is zero in the whole set  $X$ , contradicts with  $F \notin I(X)$ ), then we get  $\dim Z = \dim(Z \cap U) = \dim U - 1 = \dim X - 1$ . The second equality  $\dim(Z \cap U) = \dim U - 1$  is from proposition 1 by viewing it in  $\mathbb{A}^{n+1}$  □

**Proposition 2.** *Let  $\varphi : X \rightarrow Y$  be a morphism of varieties. Assume there exists a nonempty open subset  $U \subset Y$  such that for all  $p \in U$ ,  $\dim(\varphi^{-1}(p)) = n$ , then we have*

$$\dim X = \dim Y + n.$$

**Theorem 3.** *Let  $\varphi : X \rightarrow Y$  be a surjective morphism, assume  $\dim X = \dim Y + n$ , then*

- (1) for all points  $p \in X$ ,  $\dim(\varphi^{-1}(p)) \geq n$ ;
- (2) there is a nonempty open subset  $U \subset Y$  such that for all  $p \in U$ ,  $\dim \varphi^{-1}(p) = n$ .

## 1. CONCLUSIONS WE NEED FROM PREVIOUS LECTURES

In lecture 14:

- Theorem 4.**      (1)  $\dim \mathbb{A}^n = n$ .  
                      (2) Let  $F \in k[x_1, \dots, x_n] \setminus k$  be a irreducible polynomial, then  $\dim Z(F) = n - 1$ .  
                      (3) Conversely any subvariety  $X \subset \mathbb{A}^n$  of dimension  $n - 1$  is a hypersurface,  
                          i.e.  $X = Z(F)$  with  $F$  irreducible.

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