

Writing Grant Proposals: The Art of Scientific Persuasion

ENGR 361: Scientific Research Communication

https://www.csulb.edu

References

Alred, G. J., Brusaw, C. T., & Oliu, W. E.
 (2009). Handbook of technical writing. Macmillan.

 Day, R. A., Sakaduski, N., & Day, N. (2011). Scientific English: A guide for scientists and other professionals. ABC-CLIO.



Research Funding

Companies/Businesses

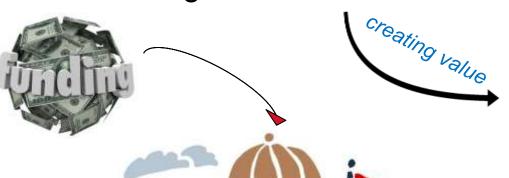
Make/Sell Products & Services

Non-Profits

Provide Services

Colleges/Universities

Create/Disseminate Knowledge



COLLEGE

Educating students
Research
Scholarship



Types of Grants

- Investigator-Initiated
- Request-For-Proposals (RFPs)
- Seed-Money Proposals
- Small Business Initiated Research (SBIR's)
- Training Grants
- Fellowships

— ...















Grants help the Institution

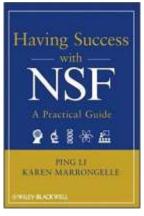
- 1. Funder believes in the work of the institution
- Funder believes in the intellectual work of the PI
- External funding leads to exciting new developments
- Getting grants promotes institution's grantgetting ability

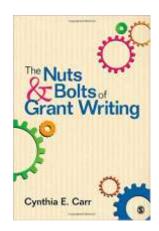
• Grants awarded validates the institution...

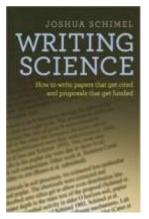


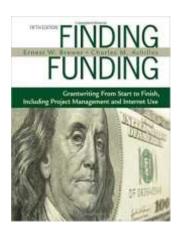
Helpful Resources

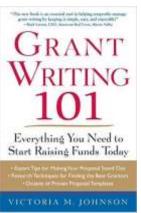
















"Agreed. We fund only those proposals we can understand."



- Request to fund a research project
- Essential Elements:
 - Vision of project
 - The work to be done
 - How much money is needed
 - Expected outcomes





- Key Elements of Proposal Writing
 - CLARITY
 - Clear writing reflect clear thinking
 - Readability is critical
 - All parts—sentence structure, paragraph structure, narrative organization—must be clear and direct





- Key Elements of Proposal Writing
 - PESUASIVENESS
 - Give them no alternative BUT to fund the project!
 - Strictly follow the funders directions about the proposal content & organization
 - Write to make the reviewer your strong advocate





The Grant Proposal

- Proposal Writing will change your life!
 - Perception of research in your field will enlarge

Fitting your research into the field as a whole

Scope and trajectory of your field

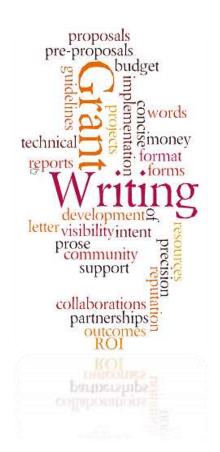
proposals pre-proposals budget Swords technica letter visibility inten prose community support collaborations partnerships

Identify the long-term goals of your research



- Proposal Writing will change your life
 - Grant seekers learn to evaluate project ideas by their appeal to outside audiences
 - You must convince others that your idea is worth pursuing/funding







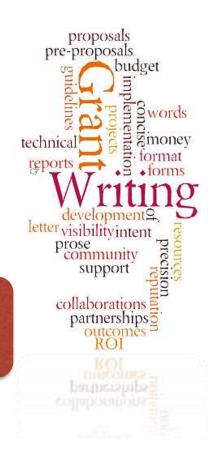
The Grant Proposal

- Proposal Writing will change your life
 - Grant seekers learn to work in teams

Research group (PhDs, techs, students, post-docs)

Collaborators (investigators, specialists)

Administrators/staff





The Grant Proposal

- Proposal Writing will change your life
 - Grant seekers learn to explain their research and administrative expertise

Research plan MUST be clearly articulated





The Grant Proposal

- Proposal Writing will change your life
 - Grant seekers learn to think in terms of what is possible

Time constraints given institutional setting

Number of people working on the problem

Budget: Resources needed for project





- Proposal Writing will change your life
 - How will money be spent?
 - What activities will meet specific aims?
 - How do specific aims progress toward longterm goal?
 - Why am I the right person to do this project?
 - Why is my institution the right place for this project?
 - What expertise do my collaborators bring?









Parts of a Grant Proposal

- Narrative
- Background & Significance
- Long-term goals
- Project description
- Specific aims

- Methods
- Troubleshooting
- Anticipated outcomes

Budget

- Translating the project into financial language
- Narrative and justification

Sundry Documentation

- Statements of institutional support
- Facilities, instrumentation
- CVs of co-PIs, collaborators





Problem Statement

- A clearly stated problem should permit clear description of overall goals and specific aims
- All hypotheses should be testable





Goals

 Goals reflect a vision of what the research seeks to accomplish in the long run.

– Example:

• 'The long-term goals of these studies are to identify the molecular mechanisms responsible for the occurrence and characteristic features of infantile hemangiomas in humans.'

Objective

The more immediate outcomes of studies

- Example
 - 'The objective of the proposed studies is to obtain insight into the molecular mechanisms responsible for the initial rapid growth and subsequent slow involution of hemangiomas.'

Specific Aims

 Specific aims serve as practical guide posts for daily, weekly and monthly research efforts

Examples

- Aim 1: Screen for genetic loci in familial hemangiomas by linkage mapping and loss-ofheterozygosity (LOH) analyses.
- Aim 2: Screen for candidate gene mutations in sporadic hemangiomas.

Specific Aims

- The aims serve as short-term goals
 - Enable the investigator to reach objectives on the way towards the long-term goals
- Structured so that they are interrelated but not sequentially independent
- Successful completion of one aim should not be prerequisite for starting work on the next aim
- Design grant so that work can be performed on two or three specific aims simultaneously



Specific Aims & Methods

 The aims implicitly prescribe experimental methods for their fulfilment

- Methods and detailed procedures should logically result from specific aims
 - Not to be chosen because the investigator knows how to perform theses procedures

Background & Significance

- Long term goals and specific aims addresses a significant need for better understanding of an topic of investigation
- Requires comprehensive, in-depth, critical analysis of the research literature
- Before starting a research project think about its significance
 - What is scholarly intriguing?
 - What areas are less developed and challenging?
 - What questions have noteworthy biological and medical ramifications?



Experimental Strategies & Methods

- Experimental strategies are crucial for the success of the research project
- Innovative methods can enhance a project
- Include alternatives to the planned procedures
- Any significant finding should be corroborated in different ways by different techniques



In-Class Activity

- Create a group of 3 4 students
- Brainstorm ideas with your group
- Answer the following questions
 - What is the long-term goal?
 - What is the objective?
 - What is your hypothesis?
 - What are your specific aims?
 - What is your proposed method?



In-Class Activity

- The body of the Prospectus should be 250-300 words (double-spaced) and should contain the following parts:
 - Problem Statement (or Needs Assessment, if you prefer)
 - Project Long Term Goal, Hypothesis, and Specific aims (2-3)
 - Project Methods or Design
 - Project Evaluation
 - Outcomes and Impact
 - Budget
 - References







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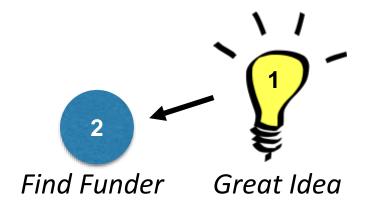


- Poorly-written proposals with good ideas may be funded
- Good writing will not save a weak idea

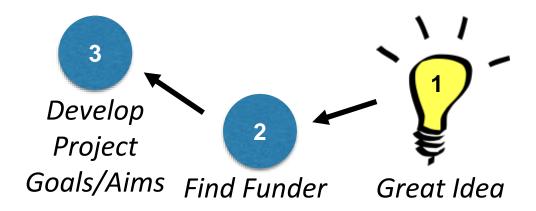




Proposals with a great idea sent to the wrong funder will not be awarded!

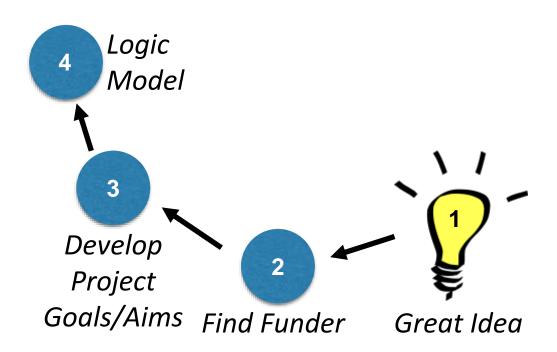




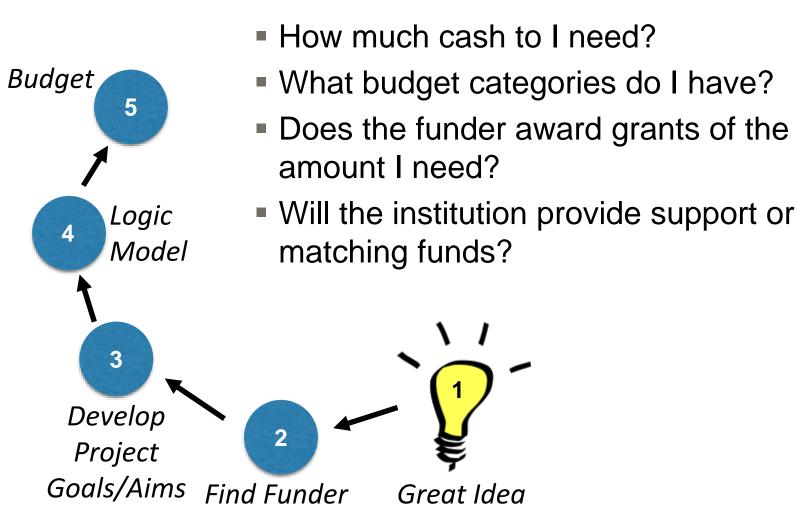




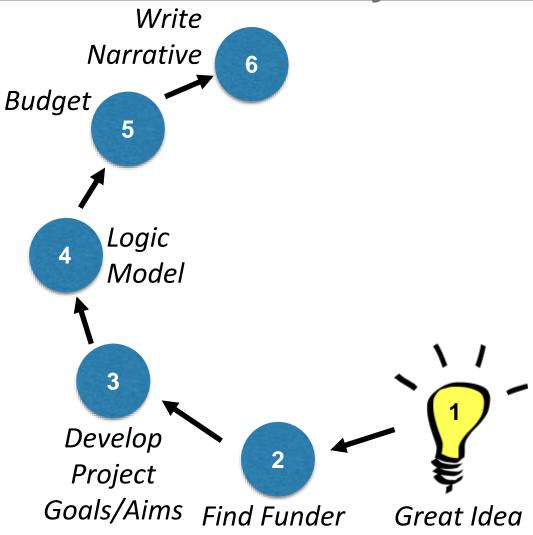
- Will the activities meet proposal's specific aims?
- Do the specific aims advance toward the long-term goal?
- Are the tools/specialists needed available?



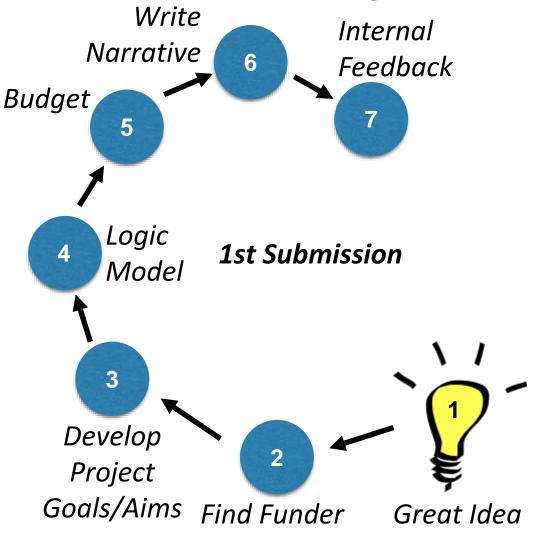




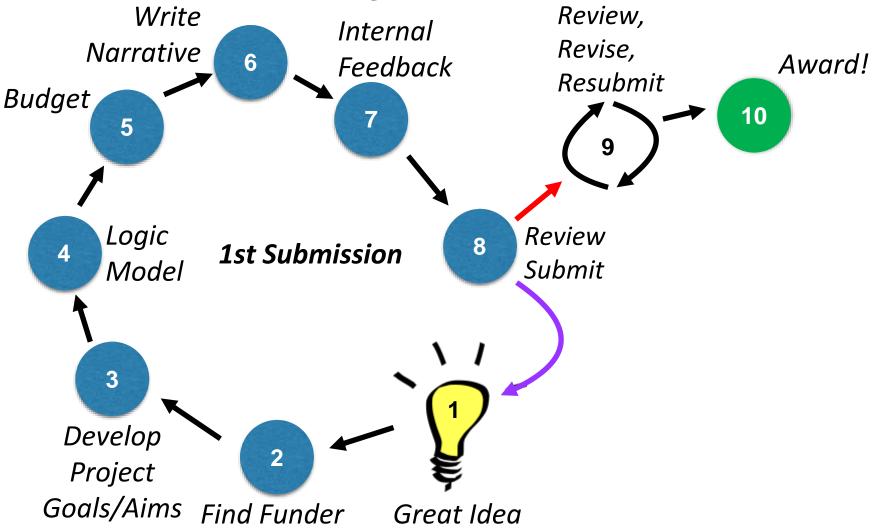




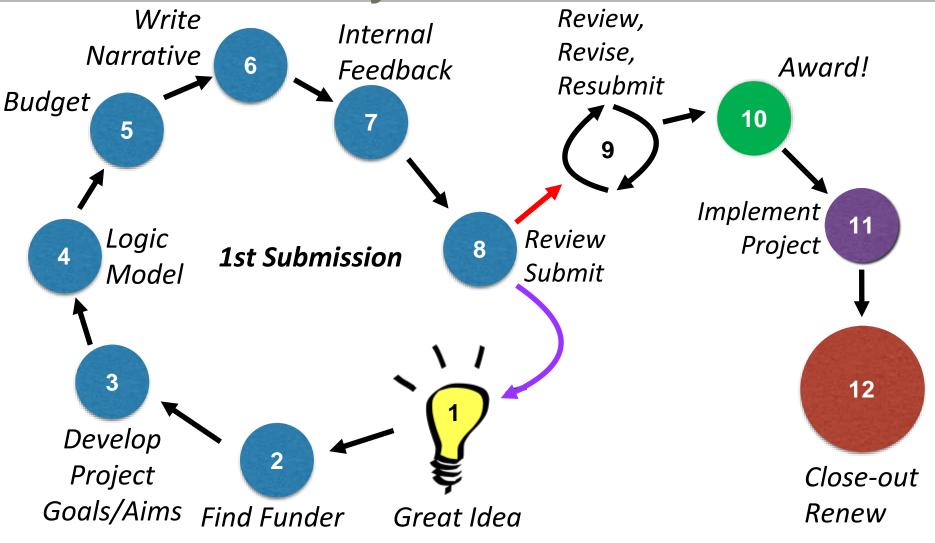




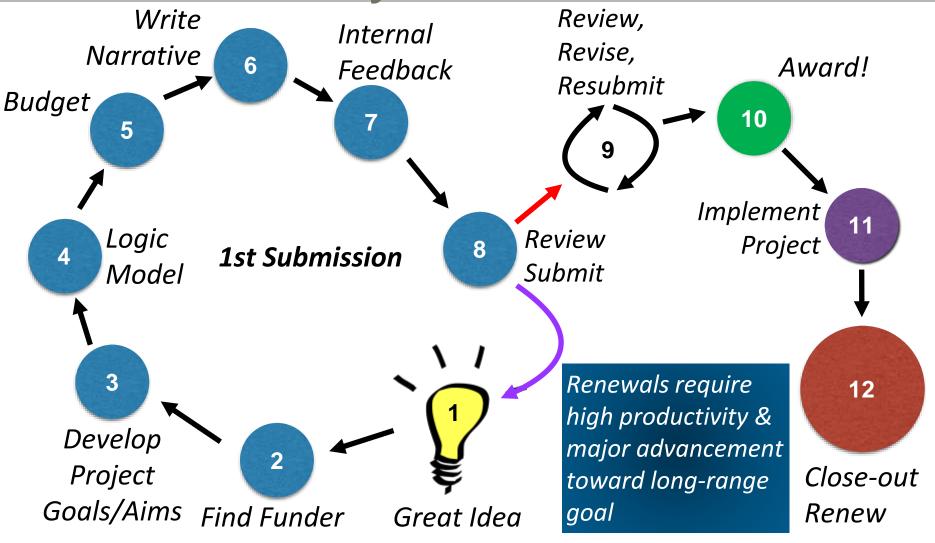












Funders

- Sending your proposal to the right funder is crucial
- Foundations
 - Private
 - Semi-private/public
 - Philanthropists/Corporations
- Governments (local, state, fed)
 - Funded through tax revenues
 - Allow collaborations
 - Allow multi-year funding
 - Finding comprehensive
 - Peer-reviewed

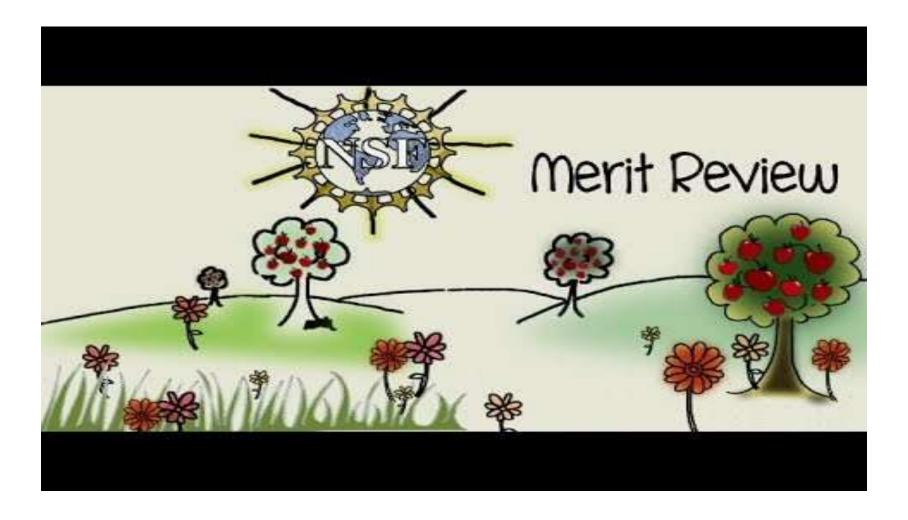
Science Associations

 Funded through private donations

Colleges/Universities

Smaller amounts via intramural grants (seed money)





Question to ask before submitting a Proposal

- Is the work novel, exciting, and necessary?
- Are you repeating experiments already done by others?
- Do you have preliminary data or evidence to support the feasibility of the proposed studies?
- Are the experiments that you are proposing appropriate?



Common Problems with Research Proposals

- Problem not important
- Shaky hypothesis/ alternative hypotheses not considered
- Unsuitable methods
- Controls not included
- Failure to realize the complexity of the problem
- To little detail
- No recognition of potential pitfalls
- Overambitious research plan
- Lack of focus
- Rationale for experiments not provided
- No consideration of statistical power



Proposal Abstract

- Proposal Summary
- Problem Statement
- Project Objectives
- Project Methods and Design
- Project Evaluation
- Outcomes and Impact
- References

Proposal

- Project Abstract
- Research Question (hypothesis)
- Specific Aims (prepare 2 aims)
- Background/Significance
- Methods/Research Plan
 - Design
 - Sample/Sample Size
 - Setting
 - Protocol
 - Analysis plan
- Outcomes and Impact
- Timeline
- Budget
- References



NIH Peer Review Process Revealed



https://www.youtube.com/watch?v=fBDxl6l4dOA



