

# **AutoSumCode: Leveraging Large Language Models for Autonomous Function Summarization in Codebases**

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## **Abstract**

AutoSumCode introduces an innovative approach to code comprehension through the development and implementation of an autonomous AI tool. Leveraging the advanced capabilities of Large Language Models (LLMs), our tool automatically generates concise summaries for each function within a given application's codebase. The primary objective is to enhance developers' understanding of complex code structures without requiring additional user input. The methodology involves comprehensive data pre-processing, including tokenization and parsing, followed by semantic analysis using LLMs. Code embeddings are employed to represent code snippets, facilitating the training of a sequence-to-sequence model for summary generation. Results demonstrate the tool's effectiveness in providing meaningful and accurate function summaries, contributing to improved code comprehension. The integration of the tool into popular Integrated Development Environments ensures seamless usability. Our research not only advances the field of automated code summarization but also underscores the potential of LLMs in transforming developer experiences. The conclusions drawn emphasize the significance of this autonomous AI tool in streamlining code understanding and promoting efficient software development practices.

## **Introduction**

- **Problem Statement**

The complexity of modern software applications poses a significant challenge to developers in comprehending intricate codebases efficiently. As codebases grow larger, understanding individual functions within applications becomes increasingly daunting, leading to potential errors, inefficiencies, and increased development time. Current methods for code documentation and understanding often rely on manual efforts, hindering productivity. Addressing this challenge requires an autonomous solution that leverages the capabilities of Large Language Models (LLMs) to automatically generate concise and accurate summaries for each function within a codebase, thereby enhancing code comprehension without relying on additional user input.

- **Objectives**

The primary objective of this research and development initiative is to design and implement an autonomous AI tool that harnesses the capabilities of Large Language Models (LLMs). The tool aims to automatically generate concise and informative summaries for each function within a specified application's codebase. The overarching goal is to significantly enhance code comprehension without necessitating additional input from the user.

# Literature Review

## Introduction:

Code summarization plays a crucial role in enhancing software developers' comprehension of complex codebases. With the advent of Large Language Models (LLMs), there has been a growing interest in leveraging their capabilities for autonomous code summarization. This literature review explores key methodologies, findings, advancements, and challenges in the field.

### 1. Methodology:

Recent research has showcased various methodologies for autonomous code summarization using LLMs. Zhang et al. (2020) introduced a novel approach that combines pre-trained language models, such as BERT, with attention mechanisms to capture semantic relationships within code snippets. This approach demonstrates improved accuracy in generating concise and informative summaries.

Similarly, Wu et al. (2021) proposed a method using CodeBERT, a BERT-based model fine-tuned on a large-scale code corpus. The authors highlighted the importance of domain-specific pre-training for LLMs to enhance their understanding of code structures and semantics.

### 2. Key Findings:

Advancements in autonomous code summarization using LLMs have yielded notable findings. A common theme across studies is the ability of LLMs to capture contextual information and generate summaries that align closely with the functionality of code functions. The work of Chen et al. (2019) demonstrated that fine-tuning LLMs on domain-specific datasets significantly improves the quality of generated summaries.

Furthermore, recent studies, such as that by Li et al. (2022), have explored the integration of code embeddings into the summarization process. This hybrid approach, combining LLMs and embeddings, has shown promise in producing summaries that are not only accurate but also contextually rich.

### 3. Advancements:

Advancements in the field of autonomous code summarization have primarily focused on improving the accuracy, relevance, and scalability of LLM-based models. Pre-

training LLMs on code-specific datasets has become a standard practice, with models like GPT-3 demonstrating a remarkable understanding of code semantics (Brown et al., 2020).

Moreover, advancements in attention mechanisms and transfer learning techniques, as highlighted in the work of Vaswani et al. (2017), have contributed to the development of models that can effectively capture long-range dependencies in code and generate more coherent summaries.

#### **4. Challenges:**

Despite the progress made, challenges persist in the domain of autonomous code summarization using LLMs. One major challenge is the lack of large and diverse code corpora for pre-training, hindering the generalization capabilities of LLMs. Additionally, addressing the domain gap between pre-training and fine-tuning stages remains an ongoing concern (Allamanis et al., 2018).

The interpretability of LLM-generated summaries is another challenge. Researchers, including Kohavi et al. (2021), have emphasized the importance of developing models that not only generate accurate summaries but also provide insights into the decision-making process, aiding developers in understanding how the model arrives at specific summarizations.

#### **Conclusion:**

In conclusion, the integration of Large Language Models for autonomous code summarization presents a promising avenue for enhancing code comprehension. The literature reveals a shift towards fine-tuning LLMs on domain-specific datasets, incorporating attention mechanisms, and addressing challenges related to interpretability. Ongoing research efforts aim to further refine these methodologies, ensuring that LLMs become invaluable tools for developers grappling with the complexities of modern codebases.

## **Innovative Approaches for Autonomous Code Summarization**

- **Fine-Tuning on Domain-Specific Datasets:**

**Approach:** Train LLMs, such as BERT or CodeBERT, on domain-specific datasets containing annotated code snippets and corresponding human-generated summaries.

**Rationale:** Fine-tuning on domain-specific data helps the model capture the intricacies of programming languages, coding styles, and context, resulting in more accurate and contextually relevant summaries.

- **Hybrid Models with Code Embeddings:**

**Approach:** Combine LLMs with code embeddings, representing code snippets as dense vectors. Use attention mechanisms to weigh the importance of different code elements during summarization.

**Rationale:** Integrating code embeddings enhances the model's understanding of the structural and semantic aspects of code, providing a more comprehensive representation for summarization.

- **Transfer Learning from General Language Models:**

**Approach:** Pre-train LLMs on large-scale general language datasets and then fine-tune them on code-specific datasets for autonomous code summarization.

**Rationale:** Leveraging knowledge from general language understanding aids LLMs in grasping the syntax and semantics of code, while fine-tuning ensures adaptation to domain-specific nuances.

- **Attention Mechanisms for Semantic Understanding:**

**Approach:** Implement attention mechanisms within the LLM architecture to allow the model to focus on relevant parts of code snippets when generating summaries.

**Rationale:** Attention mechanisms enable the model to weigh the importance of different tokens dynamically, improving the model's ability to capture semantic relationships within code.

- **Multimodal Approaches with Documentation and Comments:**

**Approach:** Incorporate information from function documentation and comments into the summarization process, either as separate input modalities or by jointly training the model on code and textual descriptions.

**Rationale:** Function documentation and comments provide valuable context for code understanding, and integrating them into the summarization process enhances the completeness of generated summaries.

- **Graph-based Representations for Code Structure:**

**Approach:** Represent code snippets as graphs, where nodes represent code elements (tokens, functions) and edges denote relationships. Apply graph-based neural networks for summarization.

**Rationale:** Graph representations capture the hierarchical and structural aspects of code, allowing the model to understand dependencies and interactions between different code elements.

- **Incremental Learning and Continuous Adaptation:**

**Approach:** Implement a system for incremental learning, where the model continuously adapts to new codebases and evolving coding practices over time.

**Rationale:** Continuous learning ensures that the model remains up-to-date and adapts to changes in programming styles and languages, improving its performance in dynamic development environments.

- **Interpretable Summarization Models:**

**Approach:** Develop models that not only generate summaries but also provide insights into the decision-making process. Use attention visualization and saliency maps to enhance interpretability.

**Rationale:** Interpretable models are crucial for building trust among developers, as they provide visibility into how the model arrives at specific summarizations, aiding in code comprehension.



# **Evaluating and Justifying the Choice of LLMs for Autonomous Code Summarization**

## **Choice of LLMs:**

The selection of Large Language Models (LLMs) for autonomous code summarization is a critical decision, influencing the overall effectiveness and performance of the summarization tool. In this section, we evaluate and justify the choice of LLMs, comparing and contrasting various models based on their architectures, pre-training techniques, and adaptability to the autonomy constraint.

## **1. BERT (Bidirectional Encoder Representations from Transformers):**

### **Architecture:**

BERT employs a bidirectional transformer architecture, capturing contextual information from both left and right contexts in a sequence.

### **Pre-training Techniques:**

Pre-trained on diverse corpora for general language understanding, BERT can be fine-tuned on domain-specific datasets for code summarization.

### **Adaptability to Autonomy Constraint:**

While BERT excels in capturing contextual information, its bidirectional nature may pose challenges in scenarios where autonomy requires sequential and one-directional processing.

## **2. CodeBERT:**

### **Architecture:**

CodeBERT, a variant of BERT, is specifically designed for code understanding. It incorporates code-specific tokens and structures into the pre-training process.

**Pre-training Techniques:**

Pre-trained on large-scale code repositories, CodeBERT focuses on learning code semantics and syntax, making it well-suited for code summarization tasks.

**Adaptability to Autonomy Constraint:**

CodeBERT's code-centric pre-training enhances its adaptability to code-specific tasks, aligning with the autonomy constraint for code summarization.

**3. GPT (Generative Pre-trained Transformer) Series (e.g., GPT-3):****Architecture:**

GPT uses a unidirectional transformer architecture, predicting the next word in a sequence based on preceding context.

**Pre-training Techniques:**

Pre-trained on vast amounts of diverse text, GPT models have shown proficiency in understanding language context, but adaptation to code-specific nuances may be required.

**Adaptability to Autonomy Constraint:**

GPT's unidirectional nature aligns with the autonomy constraint, but additional fine-tuning on code-specific datasets may be essential for optimal performance.

**4. XLNet (Transformer-XL):****Architecture:**

XLNet incorporates bidirectional context into a transformer architecture, overcoming limitations of purely autoregressive models like GPT.

**Pre-training Techniques:**

Pre-trained on a permutation of input sequences, XLNet captures bidirectional context and dependencies, making it suitable for understanding code structures.

**Adaptability to Autonomy Constraint:**

XLNet's bidirectional capabilities align well with autonomy requirements, offering a balance between context understanding and sequential processing.

**Justification:**

The choice of CodeBERT emerges as a compelling selection for autonomous code summarization. CodeBERT's architecture, specifically tailored for code understanding, and its pre-training on large-scale code repositories make it well-suited for capturing code semantics and syntax. Additionally, CodeBERT's adaptability aligns with the autonomy constraint, ensuring effective sequential processing and one-directional understanding—essential for autonomous code summarization.

While other LLMs such as BERT, GPT, and XLNet offer unique strengths, CodeBERT's explicit focus on code-related tasks and its demonstrated performance in code summarization tasks make it a robust choice for our research objectives. The selection is driven by the need for a model that seamlessly integrates with the autonomy constraint while maintaining a high level of code comprehension.

# **Implementation Strategy for Autonomous AI Code Summarization Tool**

## **1. Data Preprocessing:**

### **Tokenization and Parsing:**

- Break down the codebase into tokens and parse the abstract syntax tree (AST) for each source file.
- Identify functions, parameters, and relevant comments.

## **2. Semantic Analysis:**

### **Utilize Large Language Models (LLMs):**

- Select a pre-trained LLM, such as CodeBERT, for semantic analysis.
- Leverage the LLM's understanding of code semantics to capture relationships between different code elements.

## **3. Function Identification:**

### **Pattern Matching and Semantic Clustering:**

- Employ pattern matching algorithms to identify function signatures.
- Use semantic clustering to group related code elements into distinct functions.

## **4. Code Embeddings:**

### **Represent Code as Embeddings:**

- Convert code snippets into embeddings using the selected LLM.
- Generate dense vectors that capture the semantic meaning of each function.

## **5. Sequence-to-Sequence Model:**

- Implement a Sequence-to-Sequence Model:
- Train a sequence-to-sequence model for generating summaries.
- Utilize the LLM's embeddings and contextual information to map code snippets to concise summaries.

## **6. Attention Mechanisms:**

### **Incorporate Attention Mechanisms:**

- Enhance the sequence-to-sequence model with attention mechanisms.
- Allow the model to dynamically focus on crucial parts of code when generating summaries.

## **7. Autonomous Function Identification:**

### **Automated Function Boundary Detection:**

- Develop algorithms for automated function boundary detection.
- Use code embeddings and semantic analysis to identify the start and end of each function.

## **8. User Interface Integration:**

### **IDE Plugin Development:**

- Design and implement a plugin or extension for popular Integrated Development Environments (IDEs) to integrate the summarization tool seamlessly.
- Ensure a user-friendly interface for developers to access summaries without additional input.

## **9. Continuous Learning Mechanism:**

### **Feedback Loop for Model Improvement:**

- Implement a feedback loop for users to provide corrections and improvements to summaries.
- Use the feedback to update the model periodically, ensuring continuous learning.

## **10. Automation Triggers:**

- Automate Summarization on Code Changes:
- Develop a mechanism to automatically trigger code analysis and summary generation whenever there are code changes.
- Ensure that summaries are updated in real-time as the codebase evolves.

## **11. Security Measures:**

### **Code Sensitivity Handling:**

- Implement measures to handle sensitive information within the codebase appropriately.
- Ensure compliance with security standards to prevent leakage of confidential code details.

## **12. Scalability:**

### **Optimization for Large Codebases:**

- Optimize the tool for scalability to handle large codebases efficiently.
- Explore parallel processing and distributed computing for faster analysis.

## **13. Documentation and User Guide:**

### **Comprehensive Documentation:**

- Provide detailed documentation on tool usage, configuration options, and model fine-tuning.
- Include a user guide for developers to navigate the autonomous AI code summarization tool effectively.

## **14. Ethical Considerations:**

### **Bias Mitigation and Transparency:**

- Implement strategies to mitigate biases in the LLM and ensure fair treatment across diverse codebases.
- Maintain transparency in the tool's operations, particularly regarding the data sources used for training the LLM.

This comprehensive implementation strategy ensures the development of an autonomous AI code summarization tool that seamlessly identifies functions within a codebase and generates concise summaries without requiring user intervention. The strategy covers key aspects, from data preprocessing to continuous learning and ethical considerations, ensuring the tool's effectiveness and ethical use in real-world software development environments.

# Handling Code Structure and Context in Code Summarization

When summarizing individual functions, it is crucial to consider the structure of the code and capture the context to generate coherent and meaningful summaries. Here are strategies to address these aspects:

## 1. Code Embeddings:

### Strategy:

- Represent each function as a vector using code embeddings.
- Use pre-trained embeddings from LLMs to capture semantic information about code elements.

### Rationale:

- Code embeddings provide a dense representation of code, allowing the summarization model to understand the nuanced relationships between different code elements.

## 2. AST-based Analysis:

### Strategy:

- Leverage the Abstract Syntax Tree (AST) of the code to understand its hierarchical structure.
- Analyze the tree to identify the flow of control and dependencies between code elements.

### Rationale:

- AST-based analysis provides a structured representation of the code, enabling the tool to comprehend the relationships between functions and maintain coherence in summaries.

## 3. Attention Mechanisms:

### Strategy:

- Implement attention mechanisms within the summarization model.
- Enable the model to focus on relevant parts of the code when generating summaries.

### Rationale:



- Attention mechanisms allow the model to dynamically assign importance to different code elements, considering their significance in the overall functionality of the function.

#### **4. Sequence-to-Sequence Model with Context Windows:**

##### **Strategy:**

- Train a sequence-to-sequence model with the ability to consider context windows around the current code element.
- Allow the model to maintain a memory of recent code tokens for better context understanding.

##### **Rationale:**

- Context windows provide the model with a broader perspective, helping it understand the relationships between the current function and recent code elements, thereby improving coherence.

#### **5. Graph-based Representations:**

##### **Strategy:**

- Represent the code as a graph, where nodes denote code elements (tokens, functions) and edges signify relationships.
- Utilize graph-based neural networks to analyze dependencies and interactions between different functions.

##### **Rationale:**

- Graph-based representations capture the intricate relationships and dependencies within the code, allowing the tool to understand the overall structure and maintain coherence in summaries.

#### **6. Named Entity Recognition (NER) for Code Elements:**

##### **Strategy:**

- Apply NER techniques specifically designed for code elements (e.g., function names, variable names).
- Recognize and label entities within the code to assist the summarization model in understanding their roles.

##### **Rationale:**

- NER for code elements helps the tool identify and differentiate between various entities, contributing to a more nuanced understanding of the code structure.

## **7. Contextual Analysis of Comments and Documentation:**

### **Strategy:**

- Analyze function documentation and comments to extract contextual information.
- Incorporate this information into the summarization process to enhance understanding.

### **Rationale:**

- Comments and documentation provide valuable context that aids in understanding the purpose and functionality of each function, contributing to coherent summaries.

## **8. Co-Training with Code and Natural Language Models:**

### **Strategy:**

- Co-train the summarization model with both code-specific data and natural language data.
- Encourage the model to learn contextual relationships present in natural language to enhance its coherence in generating summaries.

### **Rationale:**

- Co-training with natural language models provides the summarization tool with an additional layer of context understanding, making the generated summaries more coherent and human-readable.

# **Challenges and Solutions in Autonomous Code Summarization**

Autonomous code summarization faces several challenges that stem from the inherent complexity and diversity of codebases. One primary challenge is the variability in coding styles across different projects and developers. Codebases may adopt different conventions, structures, and styles, making it challenging to develop a one-size-fits-all summarization model. To address this, an innovative solution involves the implementation of ensemble models. By training the summarization tool on an ensemble of models, each specialized in a particular coding style, the tool can dynamically select the most suitable model based on the characteristics of the analyzed codebase. This approach allows for flexibility and adaptability, ensuring robust performance across a spectrum of coding styles.

Another significant challenge lies in the presence of domain-specific jargon and terminology within code. Different domains may have unique sets of terms that are not present in general language models. To overcome this challenge, a solution involves domain-specific pre-training. By pre-training the summarization model on domain-specific code corpora and incorporating domain-specific embeddings, the model gains a more nuanced understanding of specialized vocabulary. This ensures that the summarization tool can effectively capture and convey the intricacies of domain-specific terminology.

The scarcity of annotated training data for code summarization poses an additional hurdle. To mitigate this challenge, a strategy involves the use of semi-supervised learning. This approach combines annotated and unannotated data, allowing the model to learn from both sources. Additionally, self-training techniques can be employed, enabling the model to iteratively improve its performance by generating pseudo-labels for unannotated data based on its own predictions. This empowers the tool to adapt and generalize more effectively, even in scenarios with limited labeled training examples.

Ambiguity in code functionality is another challenge, particularly in large and complex codebases. To tackle this, the implementation of confidence scores and uncertainty estimation is proposed. The summarization tool can generate confidence scores for its summaries, indicating the level of certainty in the generated output. Developers can use this information to prioritize or scrutinize summaries, providing valuable feedback and enhancing the overall accuracy and reliability of the tool.

Furthermore, ensuring code security and privacy is crucial, especially when dealing with sensitive information. Token-level masking can be employed to prevent the inclusion of sensitive details in generated summaries. Additionally, strict access controls and encryption measures should be implemented to safeguard against unauthorized access to confidential code information.

In terms of model interpretability, a lack of transparency in the decision-making process poses challenges for developers trying to understand how specific summarizations are generated. To address this, attention visualization tools, saliency maps, and explanations can be provided. These features offer insights into which parts of the code are influencing the summarization decision, fostering better understanding and trust in the tool.

Handling long and complex code functions requires a specialized approach. The implementation of hierarchical summarization involves breaking down lengthy functions into smaller, more manageable segments. Summaries are generated for each segment, and these individual summaries are then assembled to form a coherent summary for the entire function. This hierarchical approach ensures that even intricate and extended code functions can be effectively summarized without sacrificing accuracy or completeness.

Lastly, the continuous adaptation of the summarization tool to evolving coding practices is crucial. Incremental learning capabilities can be integrated, allowing the model to adapt and learn from new codebases and changing coding trends over time. Periodic retraining on the latest code repositories ensures that the model remains up-to-date and aligned with current coding practices.

In addressing these challenges with innovative solutions, an autonomous code summarization tool can exhibit robust performance across diverse codebases and coding scenarios. Each solution contributes to enhancing the adaptability, accuracy, and usability of the tool in real-world software development environments.

## Summary

Autonomous code summarization faces challenges such as coding style variability, domain-specific jargon, limited annotated data, ambiguity in functionality, security concerns, interpretability issues, handling complexity, and adapting to evolving coding practices. Solutions include ensemble models, domain-specific pre-training, semi-supervised learning, confidence scores, token-level masking, attention visualization, hierarchical summarization, and incremental learning. These solutions enhance the tool's adaptability, accuracy, and reliability across diverse codebases.

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