

# AI539 Spring 2024 Homework IV

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## Task 1.1

For output  $a$  to equal the  $v_j$ , the  $q$  should be large such that its dot product with  $k_j$  is large. The dot product of  $q$  with other  $k$ 's should be zero or at least very small compared to  $k_j$ .

## Task 1.2

$q$  vector will be like:  $q = \beta(k_a + k_b)$ . Here  $\beta$  will be a large constant. So both  $\alpha_a$  and  $\alpha_b$  will be large, and equal, and for all other  $i$ 's  $\alpha$  will be small.

## Task 1.3

Now,  $q$  will be set like

$$\begin{aligned} q &= c(\mu_a \lambda_a + \mu_b \lambda_b) \\ qk_a^T &= c(\mu_a \lambda_a + \mu_b \lambda_b)k_a^T \\ &= c(\mu_a \lambda_a + \mu_b \lambda_b)\mu_a^T \lambda_a \\ &= c((1)\lambda_a \lambda_a + 0) \quad \text{as } \mu_a^T \cdot \mu_a = 1 \text{ and } \mu_b \cdot \mu_a = 0 \end{aligned}$$

Similarly, we can get  $qk_b^T = c\lambda_b^2$ . All the other  $qk_i^T$ 's will be equal to 0 as  $\mu_a \cdot \mu_i = 0$  and  $\mu_b \cdot \mu_i = 0$

If  $\lambda_a$  and  $\lambda_b$  are the same, then the  $\alpha$  values will be the same as in Task 1.2.  $\lambda_i$ 's are sampled randomly, so the values will probably not be the same, although  $q$  will be dominated by  $\alpha_a$  and  $\alpha_b$  values as we have a large  $c$ .

## Task 1.4

It is given that  $a = \frac{1}{2}(a_1 + a_2)$ . So for,  $a \approx \frac{1}{2}(v_a + v_b)$ , we need to make  $\alpha_a \approx 1$  and  $\alpha_b \approx 1$ .

If we have keys like in Task 1.3, then we will have

$$\begin{aligned} q_1 &= c(\mu_a \lambda_a) \\ q_1 k_a^T &= c(\mu_a \lambda_a) k_a^T \\ &= c(\mu_a \lambda_a) \mu_a^T \lambda_a \\ &= c((1) \lambda_a \lambda_a) \quad \text{as } \mu_a^T \cdot \mu_a = 1 \end{aligned}$$

The  $q_2$  will be  $q_1 = c(\mu_a \lambda_a)$  and  $q_1 k_b^T$  will be calculated like above.

The  $a_1$  is only dependent on the  $\alpha_a$ , which depends on  $q_1 k_a^T$ . So to maximize the  $\alpha_a$  we can adjust the value of  $c$  to be large.  $\alpha_b$  will be calculated the same way. Then we will get the desired result.

## Task 2.1

2024-06-01 02:44:44 INFO | Test Loss: 2.349 | Test PPL: 10.476 | Test BLEU 33.54

Figure 1: BLEU score and Perplexity scores

## Task 2.2

Please find the examples of the generated attention graphs. Also the patterns observed in the captions.

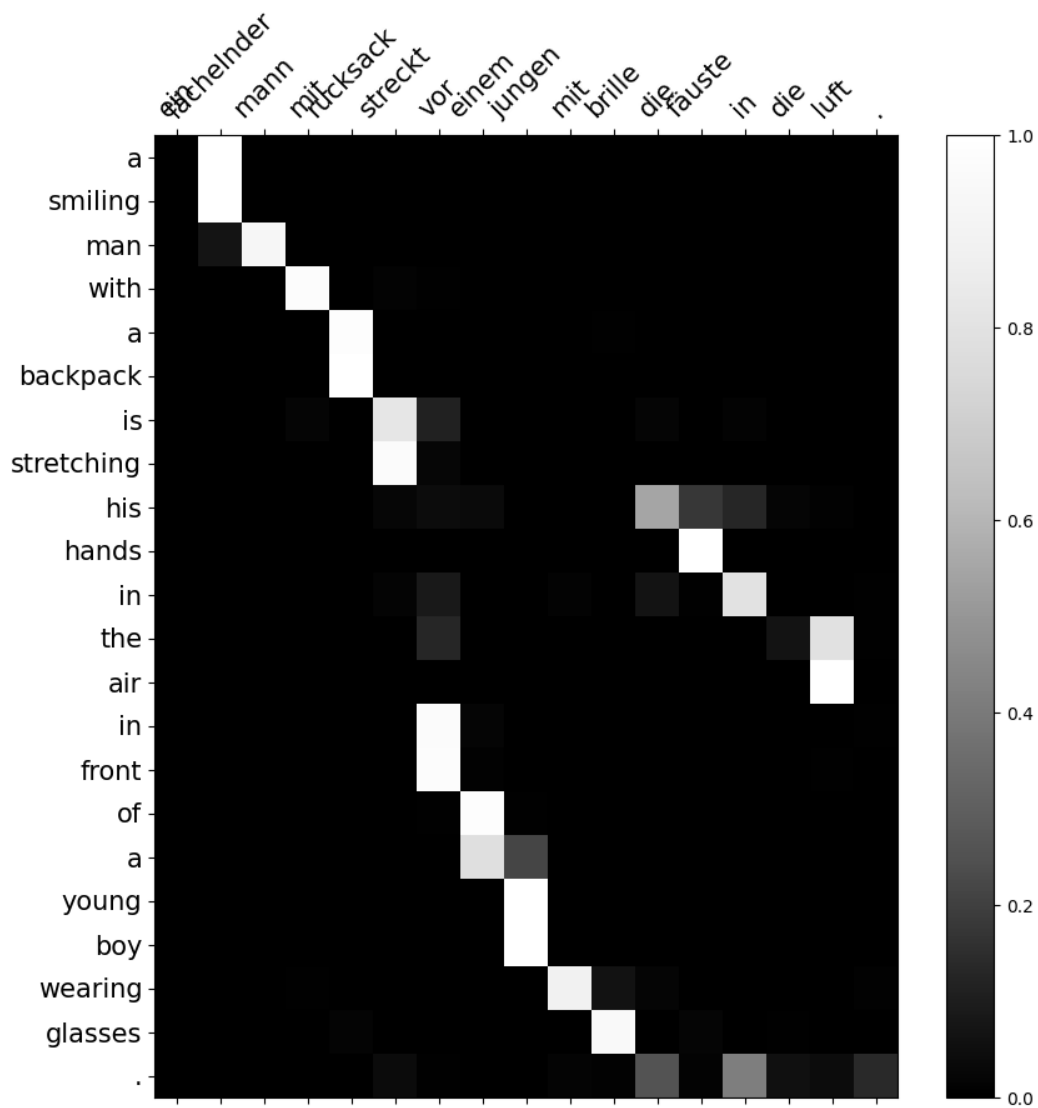


Figure 2: Correct translation. The subject-object-verb pattern in German is being translated into subject-verb-object in English.

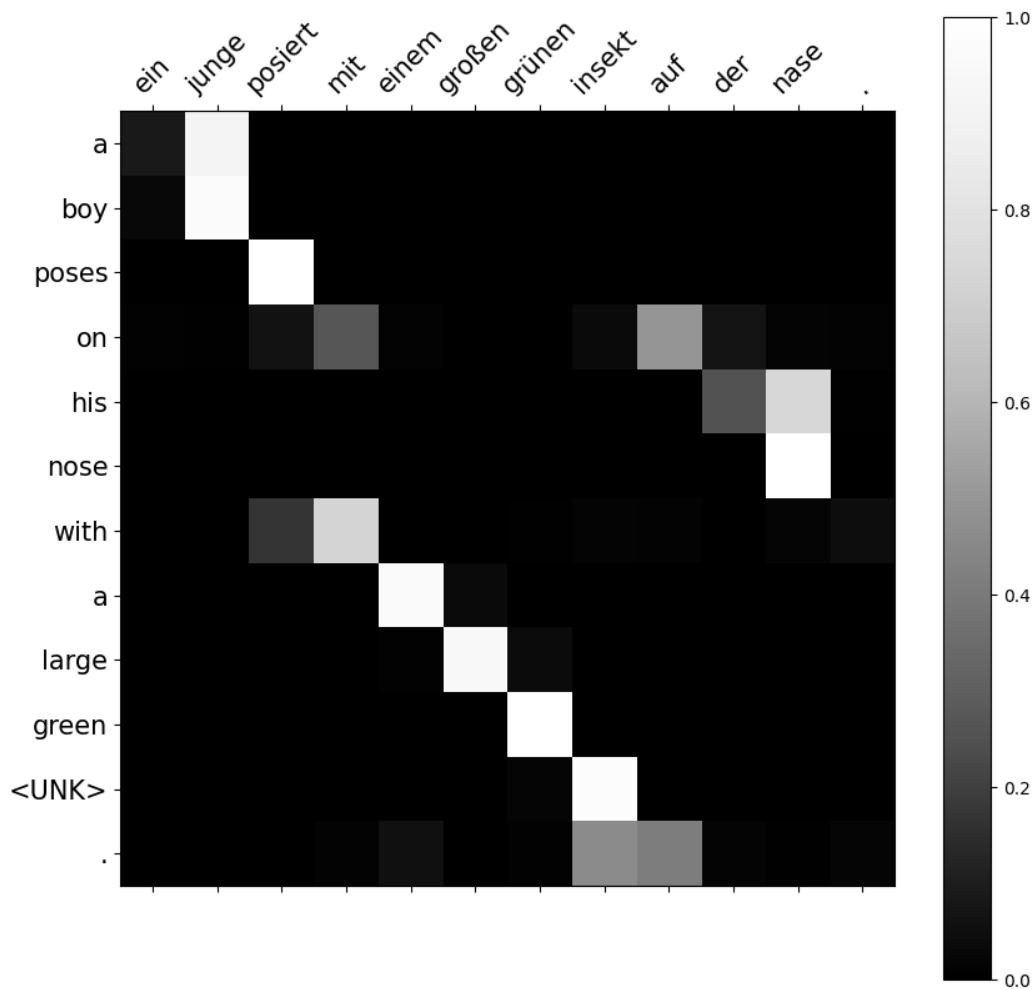


Figure 3: The word insect is not in the vocabulary. The subject-object-verb pattern in German is being translated into subject-verb-object in English.

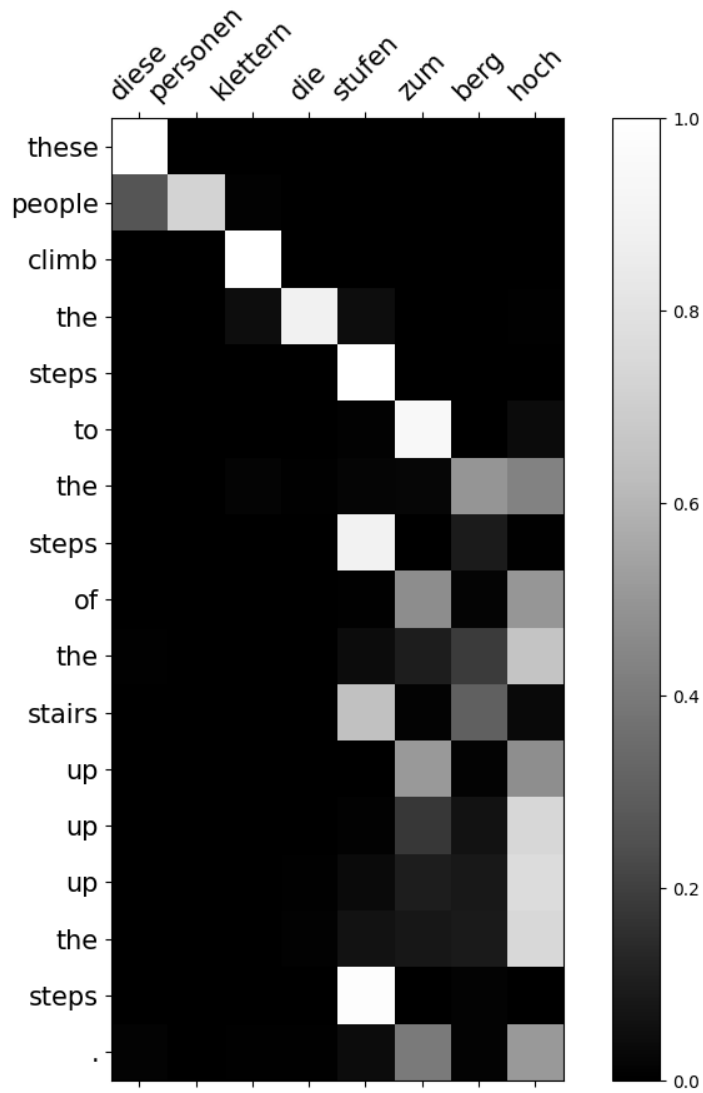


Figure 4: This translation seems wrong. The words 'zum', 'hoch' seem to come from many different words. One German word can carry the meaning of multiple words in English.

## Task 2.3

### 1. Dummy

- (a) PPL Mean: 18.18
- (b) PPL Variance: 0.05

- (c) BLEU Mean: 16.21
- (d) BLEU Variance: 0.28

## 2. Mean Pooling

- (a) PPL Mean: 15.79
- (b) PPL Variance: 0.014
- (c) BLEU Mean: 18.15
- (d) BLEU Variance: 0.033

## 3. SDP

- (a) PPL Mean: 10.57
- (b) PPL Variance: 0.023
- (c) BLEU Mean: 33.36
- (d) BLEU Variance: 1.18

The BLEU scores seem to increase in this order: Dummy, Mean Pooling, and SDP, ranging between 15.71 and 34.06. The PPL values on the other hand are decreasing as we go through Dummy, Mean pooling, and SDP. Observed a negligible variance in the values of BLEU and Perplexity. Overall, the SDP mechanism seems the best for these metrics. Please find the screenshots below.

```
2024-06-01 04:38:03 INFO      | Test Loss: 2.891 | Test PPL:  18.013 | Test BLEU 16.17
```

Figure 5: Dummy() Run 1 Scores

```
2024-06-01 04:46:20 INFO      | Test Loss: 2.896 | Test PPL:  18.108 | Test BLEU 15.71
```

Figure 6: Dummy() Run 2 Scores

```
2024-06-01 04:54:34 INFO      | Test Loss: 2.915 | Test PPL:  18.442 | Test BLEU 16.77
```

Figure 7: Dummy() Run 3 Scores

```
2024-06-01 05:52:48 INFO      | Test Loss: 2.768 | Test PPL:  15.929 | Test BLEU 18.36
```

Figure 8: Mean pool Run 1 Score

2024-06-01 06:48:31 INFO | Test Loss: 2.753 | Test PPL: 15.691 | Test BLEU 18.09

Figure 10: Mean pool Run 3 Score

2024-06-01 06:09:55 INFO | Test Loss: 2.758 | Test PPL: 15.766 | Test BLEU 18.01

Figure 9: Mean pool Run 2 Score

2024-06-01 06:19:23 INFO | Test Loss: 2.342 | Test PPL: 10.397 | Test BLEU 34.06

Figure 11: SDP Run 1 scores

2024-06-01 06:28:50 INFO | Test Loss: 2.365 | Test PPL: 10.641 | Test BLEU 33.92

Figure 12: SDP Run 2 scores

2024-06-01 06:38:29 INFO | Test Loss: 2.368 | Test PPL: 10.680 | Test BLEU 32.11

Figure 13: SDP Run 3 scores