

# GRAPH THEORY

## Module 4

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# Outline

- 1 Cut set and Cut Vertices
- 2 Fundamental circuits
- 3 Edge connectivity ( $E_c$ ) & Vertex connectivity ( $V_c$ )
- 4 Planar Graphs
- 5 Kuratowski's graphs
- 6 Different representations of planar graphs
- 7 Euler's formula
- 8 Geometric dual of a planar graph

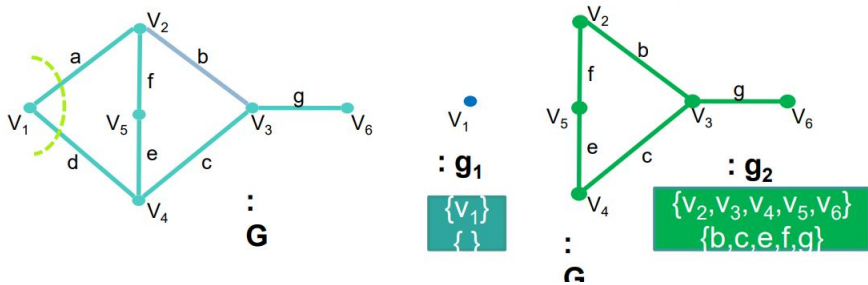
## CUT-SETS

- In a connected graph  $G$ , a cut-set is a set of edges, removal of which leaves the graph disconnected, provided removal of no proper subset of the set disconnects  $G$ .
- A cut-set cuts the graph into two components such that no path exists between the two.
- It is the minimal set of edges removal of which reduces the rank of the graph by one.
- A cut-set is also known as
  - Minimalcut-set
  - Propercut-set
  - Co-cycle

# Cut set

## Example

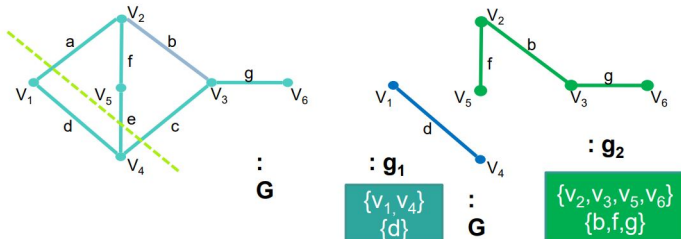
- In graph  $G$ ,  $\{a,d\}$  is a cut-set;  $cs_1 = \{a,d\}$
- Hence  $G - cs_1 = 2$  sub-graphs of  $G$ ,  $g_1$  &  $g_2$
- In graph  $G$ ,  $n=6$ ,  $k=1 \rightarrow \text{rank} = n - k = 5$
- After removing the cut-set,  $n=6, k=2 \rightarrow \text{rank} = n - k = 4$
- Hence removal of a cut-set reduces the rank of the graph by one



# Cut set

## Example

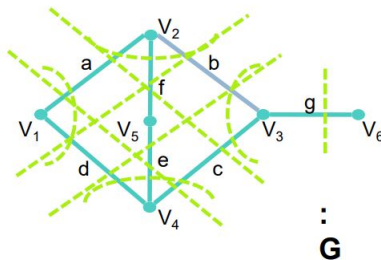
- In graph  $G$ ,  $\{a,e,c\}$  is another cut-set
- $cs_2 = \{a,e,c\}$
- Hence  $G - cs_2 = 2$  sub-graphs of  $G$ ,  $g_1$  &  $g_2$



# Cut set

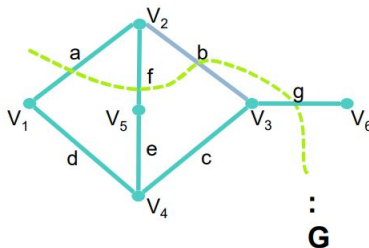
## List all cut -sets

- ☐  $cs_1 = \{a, d\}$
- ☐  $cs_2 = \{a, b, f\}$
- ☐  $cs_3 = \{b, c\}$
- ☐  $cs_4 = \{d, e, c\}$
- ☐  $cs_5 = \{a, e, c\}$
- ☐  $cs_6 = \{a, f, c\}$
- ☐  $cs_7 = \{b, f, d\}$
- ☐  $cs_8 = \{b, e, d\}$
- ☐  $cs_9 = \{g\}$



## Wrong cut-sets

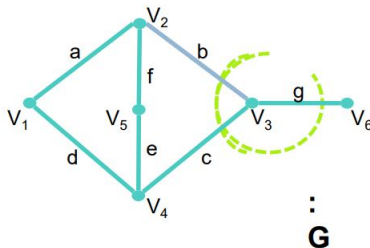
- ❑ Is  $\{a, f, b, g\}$  a cut-set of  $G$  ?
- ❑ No, coz removal of  $\{a, f, b, g\}$  cuts the graph into **three**
- ❑ Also the proper subset  $\{a, f, b\}$  of  $\{a, f, b, g\}$  is itself a cut-set
- ❑ Subset of a cut-set cannot be a cut-set



# Cut set

## Wrong cut-sets

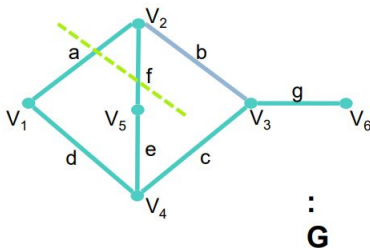
- ❑ Is  $\{b, c, g\}$  a cut-set of  $G$  ?
- ❑ No, coz it cuts the graph into three
- ❑ Moreover, subset  $\{b, c\}$  itself is a cut-set





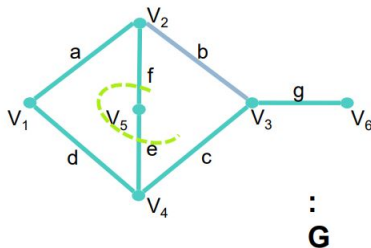
## Wrong cut-sets

- ❑ Is  $\{a, f\}$  a cut-set of  $G$  ?
- ❑ No, coz removal of  $\{a, f\}$  does not cut the graph into two



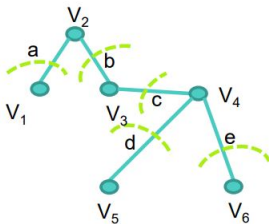
## Right cut-sets

- Is  $\{e, f\}$  a cut-set of  $G$ ?
- Yes, coz  $\{e, f\}$  cuts the graph into two & none of the proper subsets of  $\{e, f\}$  is a cut-set



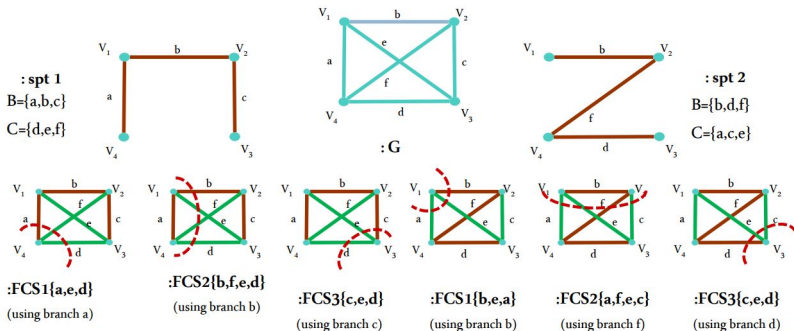
## Cut-set in a tree

- Since removal of any edge in a tree breaks the tree into two, every edge of a tree is a cut-set
- Cut-sets  $\rightarrow \{a\} \{b\} \{c\} \{d\} \{e\}$



## Fundamental cut-set

- W.r.t a given SPT, a cut-set of the graph is said to be fundamental, if it contains exactly one branch of the SPT along with some/all of the chords
- Since each branch can generate 1 cut-set, the no. of fundamental cut-sets possible for a graph is given by the no. of branches in the spanning tree



## Properties of a cut-set

- Every cut-set in a connected graph  $G$  must contain at least one branch of every spanning tree of  $G$
- In a connected graph  $G$ , any minimal set of edges containing at least one branch of every SPT of  $G$  is a cut-set
- Every circuit has an even no. of edges in common with any cut-set

## Theorem

Every cut-set in a connected graph  $G$  must contain at least one branch of every spanning tree of  $G$

## Proof

- Let  $G$  be a connected graph and  $S$  be a cut-set of  $G$
- Assume that we have a SPT  $T$  that does not have any of its branches in  $S$
- Then removing  $S$  from  $G$  does not remove any of the branches from  $G$
- Since the spanning tree remains completely in the graph and any SPT shall contain all the vertices of the graph, removal of  $S$  still leaves the graph connected
- But it is not possible. Removal of any cut-set must leave the graph disconnected
- Hence our assumption cannot be true
- There can be no SPT without any of its branches in any cut-set of  $G$
- Hence the theorem

## Theorem

In a connected graph  $G$ , any minimal set of edges containing at least one branch of every SPT of  $G$  is a cut-set

## Proof

- In a connected graph  $G$ , let  $Q$  be a minimal set of edges containing at least one branch of every SPT of  $G$
- Remove  $Q$  from  $G$ . The remaining graph will not contain any of the SPTs. That means now the graph is disconnected
- Also, since  $Q$  is the minimal set of edges containing branches from all SPTs, returning any one edge to  $G-Q$  will create at least one SPT thereby making the graph connected as well
- Then we can say that  $Q$  is the minimal set of edges removal of which disconnects  $G$ , which is indeed the definition of a cut-set
- Hence  $Q$  is a cut-set

## Theorem

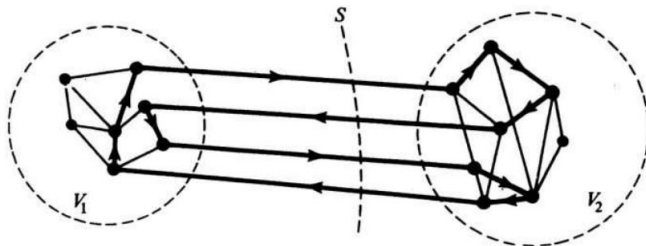
Every circuit has an even no. of edges in common with any cut-set

## Proof

- Consider a cut-set  $S$  in graph  $G$ . let the removal of  $S$  partition the vertices of  $G$  into two disjoint subsets  $V_1$  and  $V_2$ .
- Consider a circuit  $\Gamma$  in  $G$  (before the removal of  $S$ ). If all the vertices of  $\Gamma$  lie entirely within  $V_1$  or entirely within  $V_2$ , then  $S$  will have no edge in common with  $\Gamma$  i.e., zero no. of edges in common (even)
- Whereas if some of the vertices of  $\Gamma$  lie in  $V_1$  and some in  $V_2$ , then in order to traverse the circuit we need to go back and forth between  $V_1$  and  $V_2$  and finally need to reach back at the starting point
- Hence the no. of edges we traverse between  $V_1$  and  $V_2$  must be even. And these edges could be only from  $S$ . Therefore no. of edges common to  $S$  and  $\Gamma$  is even



# Cut set



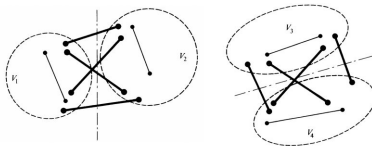
Circuit  $\Gamma$  shown in heavy lines, and is traversed along the direction of the arrows

## Theorem

The ringsum of any two cut-sets in a graph is either a third cut-set or an edge disjoint union of cut-sets

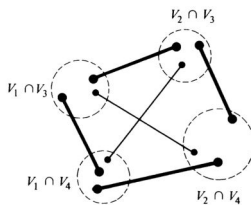
## Proof

- Let  $S_1$  be a cut-set of the graph that partitions the vertex set  $V$  into  $V_1$  and  $V_2$
- Let  $S_2$  be another cut-set of the graph that partitions the  $V$  into  $V_3$  and  $V_4$



- Clearly,
  - $V_1 \cup V_2 = V$  and  $V_1 \cap V_2 = \emptyset$
  - $V_3 \cup V_4 = V$  and  $V_3 \cap V_4 = \emptyset$

## Proof cont..



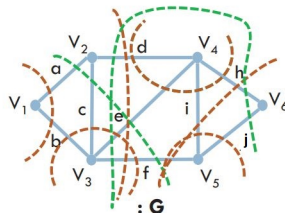
- Now consider the subset  $(V1 \cap V4) \cup (V2 \cap V3)$  as  $V5$  which is in fact  $V1 \oplus V3$ ;
  - similarly consider subset  $(V1 \cap V3) \cup (V2 \cap V4)$  as  $V6$  which is same as  $V2 \oplus V3$

## Proof Cont..

- Now  $S1 \oplus S2$  seem to contain only those edges between  $V5$  and  $V6$ . Also there are no other edges between  $V5$  &  $V6$  which implies  $V5 \cup V6 = V$  and  $V5 \cap V6 = \emptyset$
- Then  $S1 \oplus S2$  is a cut-set of  $G$  if  $V5$  and  $V6$  each remain connected after the removal of  $S1 \oplus S2$  ; otherwise  $S1 \oplus S2$  is the union of cut-sets

## Example

- Eg 1: cut-sets  $S_1 = \{d, e, f\}$  &  $S_2 = \{f, i, h\}$   
 $S_1 \oplus S_2 = (S_1 \cup S_2) - (S_1 \cap S_2)$   
 $= \{d, e, f, i, h\} - \{f\}$   
 $= \{d, e, i, h\}$   
→ again a cut-set
- Eg 2: cut-sets  $S_1 = \{a, b\}$  &  $S_2 = \{b, c, e, f\}$   
 $S_1 \oplus S_2 = \{a, c, e, f\}$   
→ again another cut-set
- Eg 3: cut-sets  $S_1 = \{d, e, i, h\}$  &  $S_2 = \{f, i, j\}$   
 $S_1 \oplus S_2 = \{d, e, f, h, j\}$   
→ union of two cut-sets  $\{d, e, f\}$  and  $\{h, j\}$



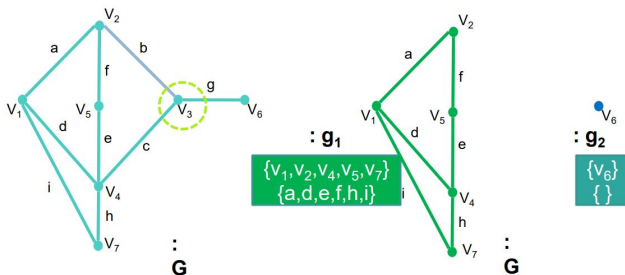
## cut-sets

- Cut-set  $\rightarrow$  set of edges removal of which disconnects the graph
- Edge connectivity  $\rightarrow$  no. of edges in the smallest cut-set
- Cut-set in tree  $\rightarrow$  every edge of a tree is a cut-set
- Edge connectivity of any tree  $\rightarrow$  is always 1
- Fundamental cut-set  $\rightarrow$  a cut-set that contains exactly one branch of the spt
- Number of fundamental cut-sets  $\rightarrow$  no. of branches in the spt
- Fundamental circuit  $\rightarrow$  a circuit that contains exactly one chord
- Number of fundamental circuits  $\rightarrow$  no. of chords in the graph
- Every cut-set will contain at least one branch of every spt

# CUT-VERTEX

## CUT-VERTEX

- In a connected graph  $G$ , a cut-vertex is a set of vertices removal of which leaves the graph disconnected, provided removal of no proper subset of the set disconnects  $G$ .
- A cut-vertex cuts the graph into two or more components, such that no path exists between the components

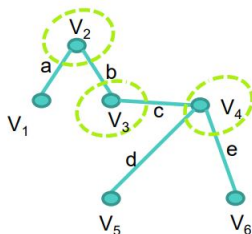


In graph  $G$ ,  $\{V_3\}$  is a cut-vertex;  $cv_1 = \{V_3\}$   
Hence  $G - cv_1 = 2$  sub-graphs of  $G$ ,  $g_1$  &  $g_2$

# CUT-VERTEX

## Cut-vertex in a tree

- Since removal of any vertex other than the pendant vertices breaks the tree, every vertex of a tree is a cut-vertex
- Cut-vertices  $\rightarrow \{V_2\} \{V_3\} \{V_4\}$





## Cut Vertex

- Cut-vertex  $\rightarrow$  set of vertices removal of which disconnects the graph
- Vertex connectivity  $\rightarrow$  no. of vertices in the smallest cut-vertex
- Cut-vertex in tree  $\rightarrow$  Every vertex (other than pendant vertex) in a tree is a cut-vertex
- Vertex connectivity of any tree  $\rightarrow$  always 1
- Separable graph  $\rightarrow$  graph whose vertex connectivity is 1
- Edge connectivity  $\rightarrow$  cannot exceed the smallest degree
- The vertex connectivity  $\rightarrow$  cannot exceed edge connectivity
- A graph is  $K$ -connected  $\rightarrow$  vertex connectivity is  $K$

## Note:-

- Recall the concept of Fundamental circuits which was formed corresponding to a spanning tree by adding a chord to it.
- Recall the concept of Fundamental cutsets containing exactly one branch of a spanning tree.
- Also remember that every circuit has an even number of edges in common with any cut-set.
- Also every cut set in a connected graph  $G$  must contain at least one branch of any spanning tree.
- Number of fundamental cutset = number of branches in the SPT
- Number of fundamental circuit = number of chords in the SPT

## Theorem

W.r.t a given SPT  $T$ , a chord  $c_i$  that determines a fundamental circuit  $\rho$  occurs in every fundamental cut-set associated with the branches in  $\rho$  and in no other

## Proof

- $T$  is the given SPT
  - let  $\rho$  be the fundamental circuit determined by the chord  $c_i$
  - $\rho \text{ in } = \{c_i, b_1, b_2, \dots, b_k\}$
  - Let  $S_1$  be the fundamental cut-set associated with branch  $b_1$
  - $S_1 = \{b_1, c_1, c_2, \dots, c_q\}$
  - Since the number of edges common to  $\rho$  and  $S_1$  must be even,  $c_i$  must be in  $S_1$
  - The same is true for fundamental cut-sets made by branches  $b_2, b_3, \dots, b_k$

## Proof Cont...

- On the other hand suppose that  $c_i$  occurs in some fundamental cut-set  $S_{k+1}$  made by a branch other than  $b_1, b_2, \dots, b_k$ .
  - Since none of the branches is in  $S_{k+1}$ , there is only 1 edge  $c_i$  - common to  $S_{k+1}$  and the fundamental circuit  $\rho$  which is not possible
- Hence the theorem

## Theorem

With respect to a given spanning tree  $T$ , a branch  $b_i$  that determined a fundamental cut-set  $S$  is contained in every fundamental circuit associated with the chord in  $S$ , and in no others

## Proof

- $T$  is the given SPT
  - Let  $S$  be the fundamental cut-set determined by the branch  $b_i$
  - $S = \{b_i, c_1, c_2, \dots, c_q\}$
  - Let  $\rho$  be the fundamental circuit determined by the chord  $C_1$
  - $\rho_1 = \{c_1, b_1, b_2, \dots, b_k\}$
  - Since the no. of edges common to  $S$  and  $\rho_1$  must be even,  $b_i$  must be in  $\rho_1$ .
  - The same is true for the fundamental circuits made by chords  $C_2, C_3 \dots C_q$

## Proof Cont..

- On the other hand, suppose that  $b_i$  occurs in some fundamental circuit  $\rho_{q+1}$  made by a chord other than  $C_1, C_2, \dots, C_q$ . Since none of the chords  $C_1, C_2, \dots, C_q$  is in  $\rho_{q+1}$ , there is only  $\rho_1$  edge  $b_i$  common to a circuit  $\rho_{q+1}$  & cut-set  $S$ , which is not possible
- Hence the theorem

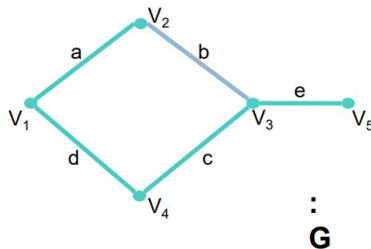
# Edge connectivity ( $E_c$ )

## Edge connectivity ( $E_c$ )

- Minimum no. of edges removal of which disconnects the graph or reduces the rank of the graph by one
- It is given by the size of the smallest cut-set

### □ Cut-sets are

- {a,d}
- {a,b}
- {a,c}
- {b,c}
- {b,d}
- {c,d}
- {e}



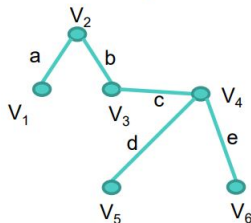
□ Smallest cut-set is {e} → contains one element

□ Hence edge connectivity  $E_c$  of graph  $G$  is 1

# Edge connectivity ( $E_c$ )

## Edge connectivity of a tree

- Since a tree can be broken by the removal of a single edge, edge connectivity of a tree is **always 1**
- Cut-sets of the tree are
- $\{a\}$  ,  $\{b\}$  ,  $\{c\}$  ,  $\{d\}$  ,  $\{e\}$
- Hence  $E_c$  is 1





# Vertex connectivity ( $V_c$ )

## Vertex connectivity ( $V_c$ )

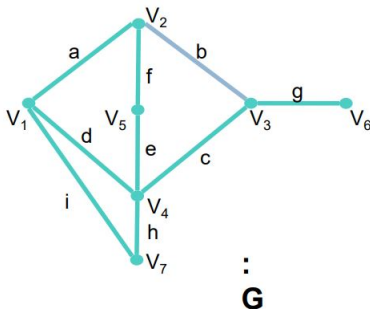
- Minimum no. of vertices removal of which disconnects the graph
- It is given by the size of the smallest cut-vertex

### □ Cut-vertices are

- $\{V_3\}$
- $\{V_1, V_4\}$
- $\{V_2, V_4\}$

### □ Smallest cut-vertex is $\{V_3\}$ → contains one element

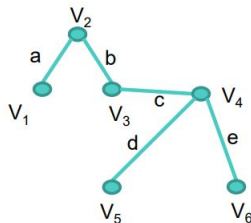
### □ Hence vertex connectivity $V_c$ of graph $G$ is 1



# Vertex connectivity ( $V_c$ )

## Vertex connectivity of a tree

- Since a tree can be broken by the removal of a single non-pendant vertex, vertex connectivity of a tree is **always 1**
- Cut-vertices of the tree are
- $\{V_2\}$  ,  $\{V_3\}$  ,  $\{V_4\}$
- Hence  $V_c$  is 1



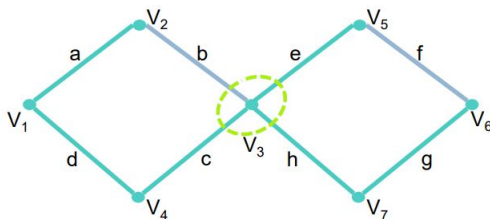
## Separable graph

- ❑ A connected graph is said to be separable if its vertex connectivity is one
- ❑ If removal of a single vertex disconnects the graph, then it is separable
- ❑ The vertex, removal of which disconnects the graph is called an **articulation point** or cut-vertex or cut-node
- ❑ In such a graph, there would be a subgraph  $g$  such that  $g$  &  $\overline{g}$  have only 1 vertex in common

# Separable graph

## Example

- Smallest cut-vertex is  $\{V_3\}$ ; contains 1 element
- Hence  $G$  is a separable graph
- $V_3$  is the articulation point
- Removal of  $V_3$  disconnects the graph

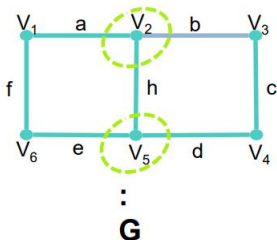


:  
**G**

# Separable graph

## Example

- Smallest cut-vertex is  $\{V_2, V_5\}$ ; contains 2 elements
- Hence  $G$  is a non-separable graph



## Theorem

A vertex  $V$  in a connected graph  $G$  is a cut-vertex iff there exists two vertices  $x$  &  $y$  in  $G$  such that every path between  $x$  &  $y$  passes through  $V$

## Proof

- Let  $V$  be a cut-vertex of graph  $G$ 
  - Then removal of  $V$  from  $G$  must disconnect the graph into two components, such that the components are not empty. Each component must contain at least an isolated vertex
  - Let  $x$  be a vertex from first component &  $y$  from the other component
  - If there exists a path between  $x$  &  $y$ , other than through vertex  $V$ , then removal of  $V$  will not disconnect the graph. But since  $V$  is a cut-vertex, removal of  $V$  must disconnect the graph
  - So there can be no path between  $x$  &  $y$  other than through  $V$

## Proof Cont..

### Conversely

- If  $x$  &  $y$  are two vertices of  $G$  such that all paths between  $x$  &  $y$  are through vertex  $V$ 
  - Then removal of  $V$  from  $G$  makes  $x$  &  $y$  not reachable from each other as all paths between  $x$  &  $y$  have been broken
  - Since no path exists between  $x$  &  $y$ , then  $x$  &  $y$  must be lying in different components, which implies that the graph has been disconnected by the removal of  $V$
  - Any vertex  $V$ , removal of which disconnects a graph is a cut vertex. Hence here,  $V$  is a cut-vertex
  - Hence the theorem

# Edge connectivity ( $E_c$ ) & Vertex connectivity ( $V_c$ )

## Theorem

The edge connectivity of a graph cannot exceed the degree of the vertex with the smallest degree in  $G$

## Proof

- Let  $V_i$  be the vertex with the smallest degree.
  - Let  $d(V_i)$  represent the degree of  $V_i$
  - Vertex  $V_i$  can be separated from the graph by removing all the  $d(V_i)$  edges incident on it
  - Hence  $d(V_i)$  is the edge connectivity of the graph
  - Hence the theorem



# Edge connectivity ( $E_c$ ) & Vertex connectivity ( $V_c$ )

## Theorem

The vertex connectivity of any graph  $G$  can never exceed the edge connectivity of  $G$

## Proof

- Let  $\alpha$  denote the edge connectivity of  $G$ 
  - Then, there must exist a cut-set with  $\alpha$  edges. Let it be  $S$ .
  - $S$  partitions the vertex set of the graph into two. Let them be  $V_1$  &  $V_2$
  - By removing at most  $\alpha$  vertices from  $V_1$  (or  $V_2$ ) on which the  $\alpha$  edges were incident, we can bring the same effect on the graph i.e, we can disconnect the graph in the same way how  $S$  disconnected the graph. However if any other edges were incident on these vertices, they too would get deleted
  - However the vertex connectivity would be  $\alpha$  itself

# Edge connectivity ( $E_c$ ) & Vertex connectivity ( $V_c$ )

## Theorem

The maximum vertex connectivity one can achieve with a graph  $G$  of  $n$  vertices and  $e$  edges ( $e \geq n - 1$ ) is the integral part of the number  $\frac{2e}{n}$  that is,  $\lfloor \frac{2e}{n} \rfloor$

## Proof

- The total degree  $2e$  of the graph is to be distributed among the  $n$  vertices.
- Hence there exist at least one vertex with degree  $\leq \frac{2e}{n}$ 
  - As the edge connectivity and hence vertex connectivity cannot exceed this number, this is an upper bound for the vertex connectivity.

# Edge connectivity ( $E_c$ ) & Vertex connectivity ( $V_c$ )

## Proof cont..

- Now to show this value is actually attainable, first construct a regular graph on  $n$  vertices with degree  $\leq \frac{2e}{n}$  and then add the remaining edges arbitrarily. i.e.  $(e - \frac{n}{2} \lfloor \frac{2e}{n} \rfloor)$
- Now, each vertex is connected to at least  $\lfloor \frac{2e}{n} \rfloor$  other vertices and hence to disconnect even a single vertex  $\lfloor \frac{2e}{n} \rfloor$  needs to be removed
- hence the vertex connectivity of this graph  $= \lfloor \frac{2e}{n} \rfloor$

## K-connected graph

- A graph whose vertex connectivity is  $K$
- Every pair of vertices in a  $k$ -connected graph is joined by at least  $k$  non-intersecting paths

# Planar Graphs

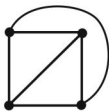
## Planar Graphs

A graph  $G$  is said to be planar if there exists some geometric representation of  $G$  which can be drawn on a plane such that no two of its edges intersect

- A graph that cannot be drawn on a plane without a crossover between its edges is called **nonplanar**
- A drawing of a geometric representation of a graph on any surface such that no edges intersect is called an **embedding** of  $G$ .



Planar graph  $K_4$



Two plane embeddings of  $K_4$



## Properties of Planar Graphs

- For a given graph  $G$ , there can be many embeddings.
- To declare that a graph  $G$  is nonplanar, we have to show that of all possible geometric representations of  $G$  none can be embedded in a plane.
- A geometric graph  $G$  is planar if there exists a graph isomorphic to  $G$  that is embedded in a plane.
- An embedding of a planar graph  $G$  on a plane is called a plane representation of  $G$ .

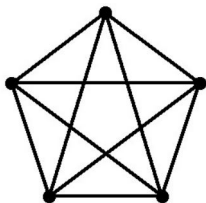
# Kuratowski's graphs

Two specific non planar graphs are called Kuratowski's Graphs, after the polish mathematician kasimir Kuratowski, who discovered their unique property

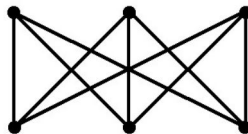
## Kuratowski's graphs

Kuratowski's two graphs are non planar

- 1 Kuratowski's first graph is  $K_5$ , the complete graph on 5 vertices.
- 2 Kuratowski's second graph is the complete bipartite graph  $K_{3,3}$

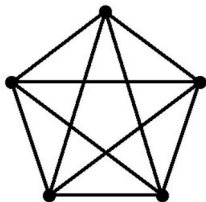


(a)  $K_5$

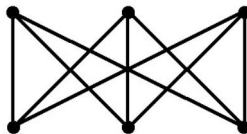


(b)  $K_{3,3}$

# Kuratowski's graph



(a)  $K_5$



(b)  $K_{3,3}$

- Both are regular graphs
- Removal of one vertex or one edge makes the graph planar
- Kuratowski's first graph is the non-planar graph with smallest number of vertices
- Kuratowski's second graph is the non-planar graph with smallest number of edges.
- Thus both are simplest non-planar graphs.



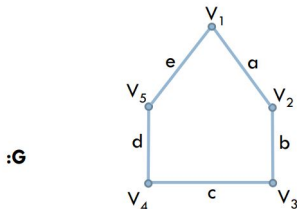
# Kuratowski's graph

## Theorem

The complete graph with 5 vertices ( $K_5$ ) is non planar

### Proof:

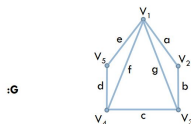
- Let the 5 vertices of the graph be  $V_1, V_2, V_3, V_4$  and  $V_5$
- Since it is a complete graph, every vertex needs to be connected to every other vertex by an edge
- There must be a circuit going from  $V_1$  to  $V_2$  to  $V_3$  to  $V_4$  to  $V_5$  and back to  $V_1$  ; that is a pentagon that divides the region into 2 - inside & outside of the pentago



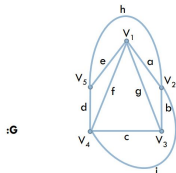
# Kuratowski's graph

## Proof cont..

- Now we need  $V_1$  to be connected to  $V_3$  &  $V_4$ .
  - $V_1$  can be connected to  $V_3$  along an edge inside the pentagon.  
Similarly  $V_1$  can be connected to  $V_4$  also, inside the pentagon



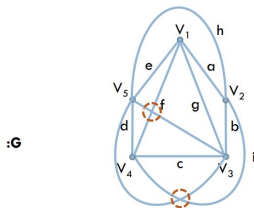
- Next we need  $V_2$  to be connected to  $V_4$  &  $V_5$ 
  - Drawing an edge inside the pentagon is not possible as it will intersect the previously drawn edges.
    - So let us draw these 2 edges along the outside region



# Kuratowski's graph

## Proof cont..

- Now  $V_1$ ,  $V_2$ , &  $V_4$  have degrees 4 each.  $V_3$  &  $V_5$  have degrees 3 each. So the remaining edge to be drawn is between  $V_3$  &  $V_5$ . We cannot draw this edge inside or outside, without intersecting previous edges
- Hence this graph cannot be embedded in a plane
- So it is non-planar



# Kuratowski's graph

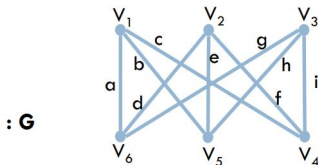
## Bi-partite graph with 6 vertices ( $K_{3,3}$ )

### Theorem

Kuratowski's 2nd graph is non-planar

### Proof:

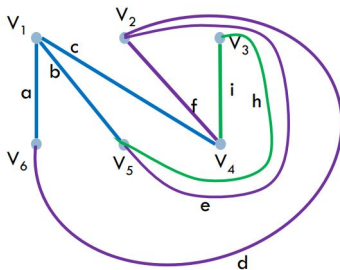
- The graph is bi-partite graph with 6 vertices
- Here the vertex set  $V$  is divided into two  $V'$  &  $V''$
- Every vertex in  $V'$  is connected to every vertex in  $V''$  by an edge
- $V' = \{V_1, V_2, V_3\}$  &  $V'' = \{V_4, V_5, V_6\}$
- $E = \{a, b, c, d, e, f, g, h, i\}$



# Kuratowski's graph

## Proof cont..

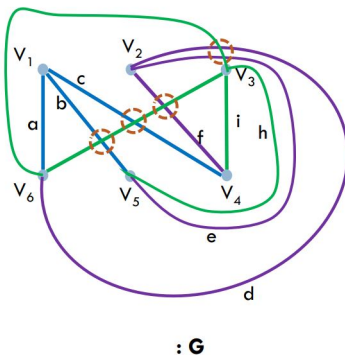
- From vertex  $V_1$ , draw edges towards  $V_4, V_5$  &  $V_6$ . Now  $V_1$  has degree 3
- From vertex  $V_2$ , draw an edge toward  $V_4$
- From  $V_3$  draw an edge towards  $V_4$
- Again at  $V_2$ , draw edge towards  $V_5$  &  $V_6$  using curved lines so as to avoid intersecting
- Also from  $V_3$  to  $V_5$



# Kuratowski's graph

## Proof cont..

- Now 1 more edge is required between  $V_3$  &  $V_6$ . We cannot draw the edge without intersecting previous edges
- Hence the proof



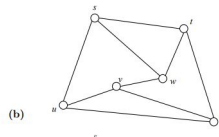
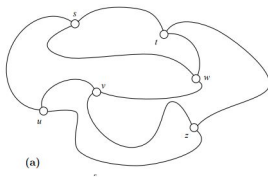
## Different representations of planar graphs

- Straight line Representation
- Plane Representation
- Embedding on a Sphere

# Different representations of planar graphs

## Straight line Representation

- Any simple planar graph can be embedded in a plane such that every edge is drawn as a straight line segment.
- It is necessary for the graph to be simple because a self-loop or one of two parallel edges cannot be drawn by a straight line segment.

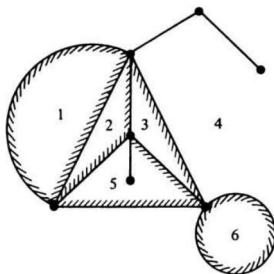




# Different representations of planar graphs

## Plane Representation

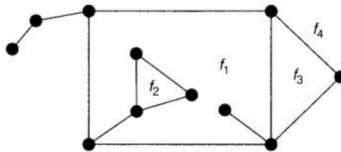
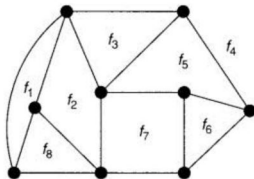
- A plane representation of a graph divides the plane into regions (also called windows, faces, or meshes)



# Different representations of planar graphs

## Regions in a Planar Graph

- If  $G$  is a planar graph, then any plane drawing of  $G$  divides the set of points of the plane not lying on  $G$  into **regions (faces or windows or meshes)**

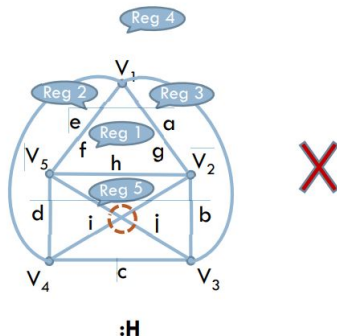


- A region is characterized by the set of edges (or the set of vertices) forming its **boundary**
- Note that a region is not defined in a nonplanar graph or even in a planar graph not embedded in a plane.

# Different representations of planar graphs

## non-planar

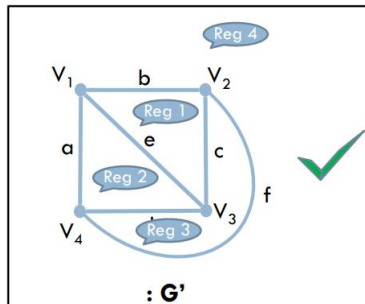
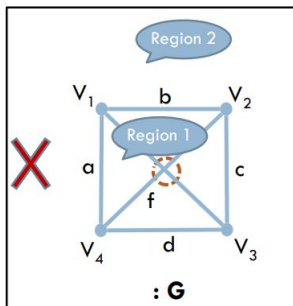
- Since the faces do not have proper boundaries, regions cannot be defined for non-planar graphs



# Different representations of planar graphs

## planar but not an embedding

- Though planar,  $G$  is not an embedding; hence cannot have regions defined
- $G'$  is an embedding of the same planar graph  $G$ ; hence 4 regions can be identified



# Different representations of planar graphs

## Infinite Region

- The portion of the plane lying outside a graph embedded in a plane is called the infinite region
- Also known as unbounded, outer or exterior region
- Also there is nothing special about the infinite region, In fact any region could be made an infinite one by redrawing the planar graph.

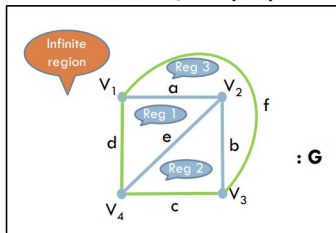
Regions of graph  $G$  are

Region 1 =  $\{a,d,e\}$

Region 2 =  $\{e,b,c\}$

Region 3 =  $\{a,b,f\}$

Infinite region =  $\{c,d,f\}$



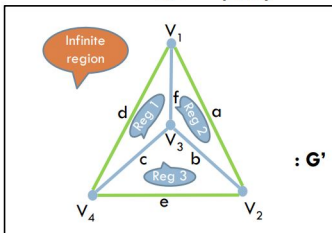
Regions of graph  $G'$  are

Region 1 =  $\{c,d,f\}$

Region 2 =  $\{a,b,f\}$

Region 3 =  $\{e,c,b\}$

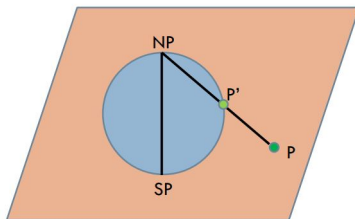
Infinite region =  $\{a,e,d\}$



# Different representations of planar graphs

## Embedding on a Sphere

- To eliminate the distinction between finite & infinite regions, a planar graph can be embedded on the surface of a sphere
- It is done by stereographic projection of a sphere on a plane



# Different representations of planar graphs

## Theorem

A graph can be embedded on the surface of a sphere if and only if it can be embedded on a plane

## Proof:

- Place the sphere on the plane and note the point of contact as SP (south pole)
  - From the point SP, draw a straight line perpendicular to the plane. The point where this line meets the circumference of the sphere is noted as NP
  - For any point P on the plane, there is a corresponding point  $p'$  on the sphere and vice versa
  - To obtain  $P'$ , draw a straight line from P to meet NP. Point where this line intersects the circumference of the sphere is the point  $p'$
  - Thus we can say that there is a one-to-one correspondence between the points on the sphere and the finite points on the plane
  - Points at infinity corresponds to NP
  - Hence the theorem

## Theorem

A planar graph may be embedded in a plane such that any specific region can be made the infinite region

## Proof:

- A planar graph embedded in the surface of a sphere divides the surface into different regions.
  - Each region on the sphere is finite, the infinite region has been mapped on to the point NP
  - Now, it is clear that by suitably rotating the sphere, we can make any specific region to be the infinite region on the plane
  - Hence the theorem



# Euler's formula

## Theorem

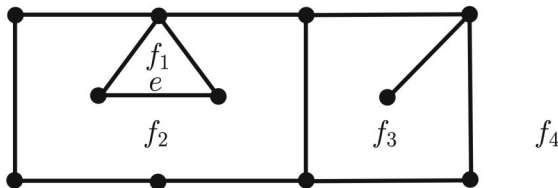
A connected planar graph with  $n$  vertices and  $e$  edges has  $e - n + 2$  regions

## Proof.

- Let  $G$  be a connected planar graph with  $n$  vertices,  $e$  edges and  $f$  regions.
  - To show that  $f = e - n + 2$  or  $n - e + f = 2$
  - We will use induction on  $f$  to prove the result.
- When  $f = 1$ ,  $G$  is a tree and hence  $e = n - 1$ .
  - Hence  $n - e + f = n - (n - 1) + 1 = 2$ .
- Now assume that the result is true for all connected planar graphs with  $f - 1$  regions,  $f \geq 2$ . Suppose  $G$  has  $f$  regions. Since  $f \geq 2$ ,  $G$  is not a tree and hence contains a cycle say  $C$ .

# Euler's formula

## Proof cont..

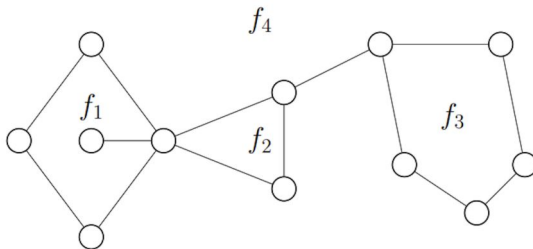


- Let  $e$  be an edge of  $G$ .
  - Then  $e$  belongs to exactly two regions, say  $f_1$  and  $f_2$  of  $G$  and the deletion of  $e$  from  $G$  results in the formation of a single region from  $f_1$  and  $f_2$ .
  - Also, since  $e$  is not a cut edge of  $G$ ;  $G-e$  is connected.
  - Further, the number of regions of  $G-e$  is  $f-1$ .
- So applying induction to  $G-e$ 
  - we get  $n - (e - 1) + (f - 1) = 2$  and this
  - implies that  $n - e + f = 2$ .
- Hence the proof.

# Euler's formula

## Note:

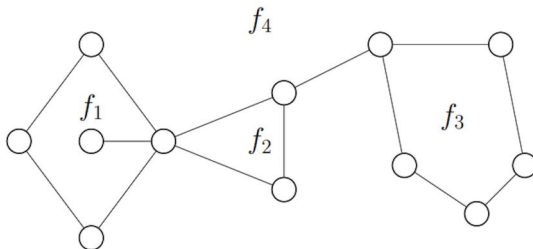
- All plane embeddings of a given planar graph have the same number of faces.
- Let  $G$  be a connected plane graph. Each edge of  $G$  belongs to one or two faces of  $G$ :
- A cut edge of  $G$  belongs to exactly one face, and conversely, if an edge belongs to exactly one face of  $G$ ; it must be a cut edge of  $G$
- An edge of  $G$  that is not a cut edge belongs to exactly two faces and conversely.



# Euler's formula

## Note:

- The union of the vertices and edges of  $G$  incident with a face  $f$  of  $G$  is called the **boundary** of  $f$
- The vertices and edges of a planar graph  $G$  belonging to the boundary of a face of  $G$  are said to be incident with that face.
- The number of edges incident with a face  $f$  is called its **degree**.
- In counting the degree of a face, a **cut edge** is counted twice.



# Euler's formula

## Results from Euler's formula

### Theorem

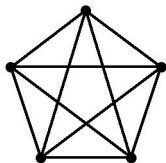
If  $G$  is a simple connected planar graph with at least three vertices, then  $e \leq 3n - 6$  and  $e \geq \frac{3}{2}f$

### Proof.

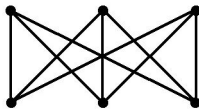
- The sum of the degrees of the regions is equal to twice the number of edges ( $2e$ ). But each region must have degree  $\geq 3$ 
  - (since the graphs discussed here are simple graphs)
- Hence  $2e \geq 3f$  or  $e \geq \frac{3}{2}f$
- Combining with Euler's formula
- $e \geq \frac{3}{2}(e - n + 2)$
- or  $e \leq 3n - 6$
- This result is useful for checking planarity of a given graph.

# Euler's formula

## Problem Non planarity of $K_5$



(a)  $K_5$



(b)  $K_{3,3}$

## Solution

- In  $K_5$ ,  $n = 5$  and  $e = 10$ . Now  $e = 10 \not\leq 3 \times 5 - 6 = 9$ . Hence  $K_5$  is non planar.

This inequality is only a necessary condition for planarity of a graph and not a sufficient condition. This is because  $K_{3,3}$  satisfies the inequality but is still non planar.

## Results from Euler's formula

### Theorem

The number of edges in a planar bipartite graph of order  $n$  is at most  $2n - 4$ , or  $e \leq 2n - 4$

### Proof

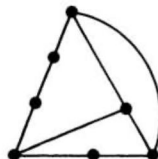
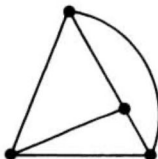
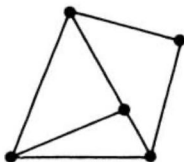
- Let  $G$  be a planar bipartite graph with  $n$  vertices and  $e$  edges.
- Consider a planar embedding of  $G$ .
  - Since,  $G$  is bipartite,  $G$  has no cycle of length three. So, each face in the planar embedding contains at least four edges.
- Hence  $2e \geq 4f$
- and applying Euler's formula
  - $2e \geq 4(e - n + 2) = 4e - 4n + 8$  and this implies  $e \leq 2n - 4$

Using above result, show that  $K_{3,3}$  is non planar.

# Kuratowski's Theorem

## Kuratowski's Theorem

A necessary and sufficient condition for a graph  $G$  to be planar is that  $G$  does not contain either of Kuratowski's two graphs or any graph homeomorphic to either of them.





## Detection of Planarity(Elementary reduction)

In order to check whether a given graph is planar or not the following steps of Elementary reduction can be used

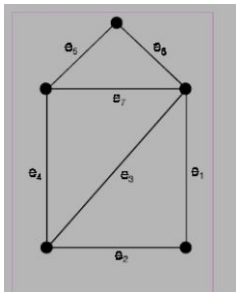
- If the graph is disconnected, we need to check whether each component is planar. If all components are planar, then the disconnected graph is said to be planar
  - If the graph is a separable graph, we need to check whether each block is planar. If all blocks are planar, then the separable graph is planar
  - Now, let our graph  $G = \{G_1, G_2, \dots, G_k\}$
  - Where each  $G_i$  is a non-separable block of  $G$
  - Test each  $G_i$  for planarity
- Remove all self loops as self loops does not affect planarity
- Similarly remove all parallel edges as they too do not have anything to do with planarity
- Merge edges in series, by ignoring their common vertex

## Detection of Planarity(Elementary reduction) cont..

- Repeat step 3 and 4 repeatedly until no more edges can be deleted.
- Now the resulting graph may contain
  - A single edge
  - A complete graph with 4 vertices
  - A non separate graph with  $n \geq 5$  &  $e \geq 7$
- If it is (i) or (ii) then our graph is planar; no need of further clarification
- But if it is (iii) continue to next step
- Check whether  $e \leq 3n - 6$  for the resultant graph
  - If the condition is satisfied, then our graph is planar. But if not, may or may not be planar. So we need to check further
- Check whether the resultant graph contain either of Kuratowski's graphs or their homeomorphic graphs
  - If our graph contains  $K_5$  ,  $K_{3,3}$  or graphs homeomorphic to  $K_5$  and  $K_{3,3}$  , then our graph is certainly non-planar

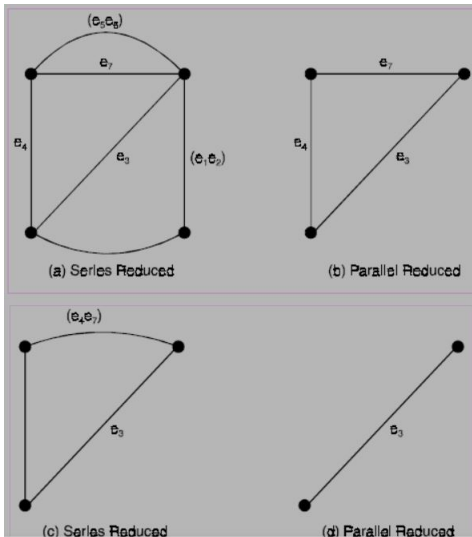
# Detection of Planarity

**Q:** Check whether the given graph is planar by the method of elementary reduction



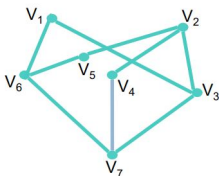
# Detection of Planarity

Ans:



# Detection of Planarity

**Q:** Check whether the given graph is planar by the method of elementary reduction



$\vdots$   
**G**

# Detection of Planarity

**Q:** Check whether the given graph is planar by the method of elementary reduction

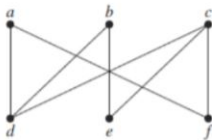


Figure 1: \*

(a)

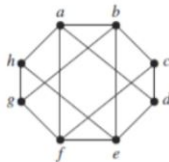


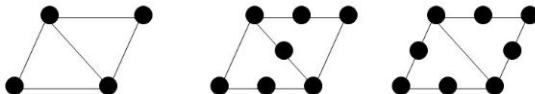
Figure 2: \*

(b)

# Homeomorphic graphs

## Homeomorphic graphs

Two graphs are said to be homeomorphic if one can be obtained from the other by creating edges in series or by merging edges in series



# Geometric dual of a planar graph

## Geometric dual of a planar graph

In order to obtain the geometric dual of a planar graph

- Start with a plane representation of the planar graph (planar embedding)
- Name the regions or faces are  $F_1, F_2, F_3, \dots, F_{e-n+2}$
- Place a point  $P_i$  in each face  $F_i$
- For each edge of  $G$ , draw a line crossing the edge connecting the two faces on either sides; For an edge lying entirely in a region, draw a self loop at the point that passes through the edge
- Name the new graph as  $G^*$  which forms the dual of  $G$



# Geometric dual of a planar graph

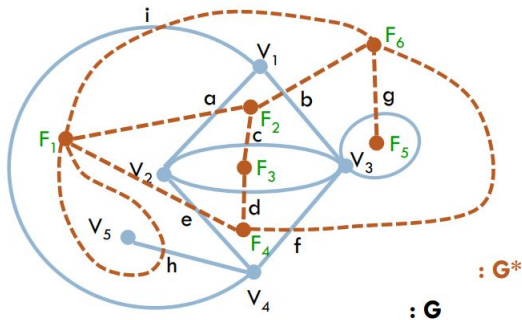
## Example

Let  $G$  be the plane representation of a graph

Draw the planar embedding regions

Point  $P_i$  on each face  $F_i$

For each edge of  $G$ , draw a crossing edge connecting faces



# Geometric dual of a planar graph

## Properties of duals

- An edge forming a self-loop in  $G$  yields a pendant edge in  $G^*$
- A pendant edge in  $G$  yields a self-loop in  $G^*$
- Parallel edges in  $G$  produce edges in series in  $G^*$
- Graph  $G^*$  is also embedded in the plane and is therefore planar.
- Dual of  $G^*$  is  $G$
- If  $n, e, f, r, \mu$  denote as usual the numbers of vertices, edges, regions, rank, and nullity of a connected planar graph  $G$ , and  $n^*, e^*, f^*, r^*, \mu^*$  are the corresponding numbers in dual graph  $G^*$ , then

$$n^* = f, e^* = e, f^* = n$$

and hence

$$r^* = \mu, \mu^* = r$$

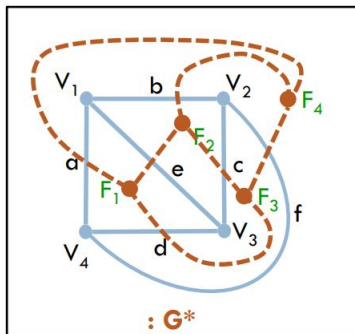
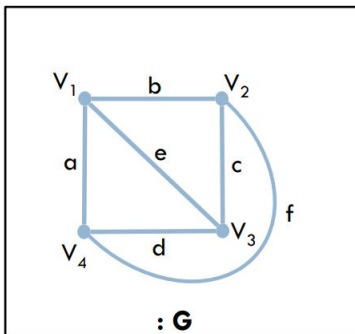
## All duals of $G$

- A planar graph  $G$  may have different planar embeddings. For each planar embedding, we can obtain a corresponding geometric dual
- A planar graph  $G$  will have a unique dual if & only if it has a unique planar embedding
- If  $G$  &  $G'$  are isomorphic, then their corresponding duals  $G^*$  &  $G'^*$  may not be isomorphic

# Geometric dual of a planar graph

## Self dual Graphs

- If a planar graph  $G$  is isomorphic to its own dual, it is called a self dual graph
- Example

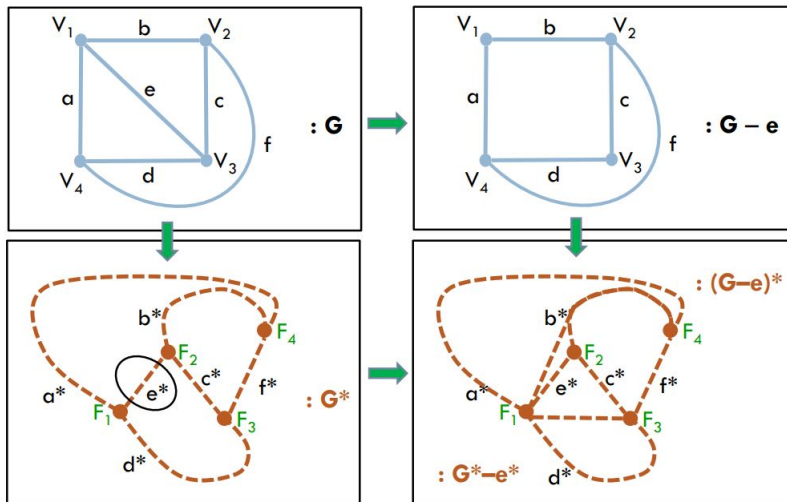


## Dual of a Subgraph

- Let  $G^*$  be the dual of  $G$
- Let 'a' be an edge in  $G$  &  $a^*$ , the corresponding edge in  $G^*$
- To find the dual of  $G-a$ ; that is the dual of the graph  $G$  after deleting the edge 'a' i.e,  $(G-a)^*$
- This can be directly obtained from  $G^*$
- If 'a' was a boundary of 2 regions in  $G$ , then by deleting  $a^*$  from  $G^*$ , we can obtain  $(G-a)^*$  ; deleting the edge will require to fuse the end vertices
- Else if 'a' was a not any boundary in  $G$ , then  $a^*$  would be a self loop in  $G^*$ . Then deleting the self loop yields  $(G-a)^*$
- $G - q$

# Geometric dual of a planar graph

## Dual of a Subgraph



# Geometric dual of a planar graph

## Dual of a homeomorphic graph

- Let  $G^*$  be the dual of  $G$
- Let 'a' be an edge in  $G$  &  $a^*$ , the corresponding edge in  $G^*$
- Suppose we create a new vertex in  $G$  by introducing a vertex of degree 2 on edge  $a$ . This will create a new edge as well. Let it be  $b$ . Now the dual of  $G+b$  will contain a new edge  $b^*$  which appears as an edge parallel to  $a^*$
- Similarly merging 2 edges in series will simply eliminate one of the corresponding parallel edges in  $G^*$
- Thus dual of a homeomorphic graph of  $G$  can be obtained from  $G^*$

## Combinatorial Dual

- $G^*$  is said to be combinatorial dual of  $G$  if there is a one to one correspondence between the edges of  $G$  &  $G^*$  such that if  $g$  is any subgraph of  $G$  &  $h$  is the corresponding subgraph of  $G^*$  then
  - $\text{Rank}(G^*-h) = \text{rank}(G^*) - \text{nullity}(g)$

# Geometric dual of a planar graph

## Theorem

A necessary and sufficient condition for two planar graphs  $G_1$  &  $G_2$  to be duals of each other is that, there is a one-to-one correspondence between the edges of  $G_1$  &  $G_2$  such that a set of edges in  $G_1$  forms a circuit if & only if the corresponding set in  $G_2$  forms a cut-set

## Proof:

- Since every edge of  $G$  will be intersected by exactly one edge of  $G^*$ , there must be a one to one correspondence between the edges of  $G_1$  &  $G_2$
- Now, consider a planar representation of  $G$  & its dual  $G^*$ . Let  $\rho$  be an arbitrary circuit in  $G$ .  $\rho$  will form some simple closed curve in  $G$ , dividing the plane into 2 areas, one inside  $\rho$  & the other outside
- Now the vertices of  $G^*$  can be viewed as two non empty disjoint subsets, those vertices that represent regions inside  $\rho$  & those that represent regions outside  $\rho$  and this partition is brought by the set of edges in  $\rho^*$ . Hence  $\rho^*$  is a cut-set in  $G^*$



# Geometric dual of a planar graph

## Proof cont...

- Similarly every cut-set  $S^*$  in  $G^*$  will have a unique circuit  $S$  in  $G$
- **Conversely:** Suppose there are two planar graphs  $G$  &  $G'$  such that there is one to one correspondence between their edges and also one to one correspondence between the cut-sets of  $G$  & the circuits of  $G'$  and vice versa
- Let  $G^*$  be a dual of  $G$
- Then there is a one to one correspondence between the cut-sets of  $G$  & the circuits of  $G'$  & also between the cut-sets of  $G$  & the circuits of  $G^*$
- Therefore there is a one to one correspondence between the circuits of  $G'$  &  $G^*$  implying that  $G'$  &  $G^*$  are 2-isomorphic. Then  $G'$  must be a dual of  $G$
- (Based on the theorem: Two graphs are 2- isomorphic if & only if they have circuit correspondence)

# Geometric dual of a planar graph

## Theorem

A graph has a dual if and only if it is planar

## Proof:

- **Let us prove that a non planar graph does not have a dual**
- Let  $G$  be a non-planar graph. Then according to Kuratowski's theorem,  $G$  contains either  $K_5$  or  $K_{3,3}$  or a graph homeomorphic to them
- Any graph can have a dual only if every subgraph of that graph & every graph homeomorphic to that graph has a dual
- From the above 2 statements, we can say that if  $K_5$  and  $K_{3,3}$  cannot have a dual then none of the non-planar graphs can have a dual

# Geometric dual of a planar graph

## Proof cont..

- To prove that  $K_{3,3}$  do not have a dual, assume the contradiction that  $K_{3,3}$  has a dual  $D$
- Since  $K_{3,3}$  has 9 edges, so must be  $D$
- All cut-sets in  $K_{3,3}$  must have corresponding circuits in  $D$  & vice versa
- Since  $K_{3,3}$  do not have any cut-set containing 2 edges,  $D$  cannot have any circuit containing 2 edges. That means  $D$  cannot contain any parallel edges
- Since every circuit in  $K_{3,3}$  is of length 4 or 6,  $D$  cannot have any cut set with less than 4 edges, which implies every vertex in  $D$  has degree of at least 4
- Since  $D$  has no parallel edges & every vertex has degree of minimum 4,  $D$  must contain at least 5 vertices, each of degree 4 or  $D$  may contain more than 5 vertices with larger degrees

# Geometric dual of a planar graph

## Proof cont..

- D must then at least contain  $\frac{5 \cdot 4}{2} = 10$  edges, contradicting to the fact that D has only 9 edges
- So there can be no such D. Hence  $K_{3,3}$  cannot have a dual
- Similarly we can prove that  $K_5$  do not have a dual
- Assume the contradiction that  $K_5$  has a dual, H
- Since  $K_5$  has 10 edges, H must also have 10 edges
- All cut-sets in  $K_5$  must have corresponding circuits in H vice versa
- Since  $K_5$  do not have any cut-set with 2 edges, it cannot have a circuit with 2 edges. That means H has no parallel edges
- Since every cut-set in  $K_5$  contains 4 or 6 edges, H can have circuits of length 4 or 6 only

# Geometric dual of a planar graph

## Proof cont..

- Consider a circuit of length 6 (hexagon) in  $H$ . Now, we cannot add the remaining 4 edges, without creating parallel edges or circuits of length three
- So in order to add the remaining 4 edges without violating the rules (parallel edge & circuits of length 3) we assume  $H$  to have 7 vertices, with degree at least 3
- Then  $H$  must have  $\frac{7*3}{2} = 11$  edges, contradicting that  $H$  has 10 edges
- So there can be no such dual for  $K_5$