

# H1 Disjoint Sets

## Operations

- `union(S1, S2)`
  - return union of  $S_1$  and  $S_2$
- `find(S, x)`
  - find the "representative member" (determined by some rule) of the set that  $x$  belongs to

Let  $\sigma$  be the procedure of  $n - 1$  `union`s and  $m \geq n$  `find`s

## H2 Naive implementations

### H3 Linked List

- one list per set
- for each list, store pointers to head and tail
  - this way, `union` is fast
- finding representative takes  $\mathcal{O}(n)$  time

### H3 Augmented Linked List

- each element stores pointer to its representative
  - this way, `find` is fast
- `union` is slow because it must now change  $\mathcal{O}(\min(|S_1|, |S_2|))$  pointers to the new representative

## H2 Forest (tree-like)

- each set is a tree
- root of a tree is representative of set

- non-root nodes point to a parent node
  - `find` works by ascending the tree until it reaches the root
  - it takes  $\mathcal{O}(h)$  time where  $h$  is height of tree
- `union` is fast because we must only change one parent pointer - make one tree's root point to an element of other tree
  - to support this, record size (number of nodes) for each tree

### H3 Weighted Union

To do `union`, make root of smaller tree point to root of larger tree

### H4 Lemma

With weighted union, any tree  $T$  of height  $h$  created during the execution of  $\sigma$  has  $|T| \geq 2^h$

**Proof.**

*Base case:*

If  $h = 0$ , then the tree has  $1 = 2^0$  node

*Inductive step:*

Suppose lemma holds for some  $h \geq 0$ .

To construct a tree of height  $h + 1$ , we make the root of a tree  $A$  of height  $h$  point to the root of a bigger tree  $B$  (because we are using weighted union)

By IH,  $|A| \geq 2^h$

By WU rule,  $|B| \geq |A| \geq 2^h$

Then resulting tree has number of nodes  $|A| + |B| \geq 2(2^h) = 2^{h+1}$

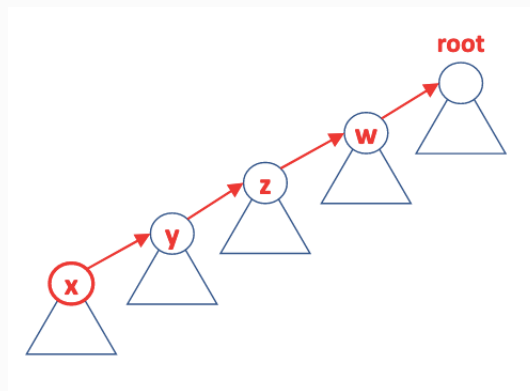
### H3 Path compression

- `find(S, x)` ascends tree until root is reached
- intermediate nodes  $n_1, \dots, n_m$  on way to root do not matter, so after we find `root` we can change `n.parent = root` for each  $n$

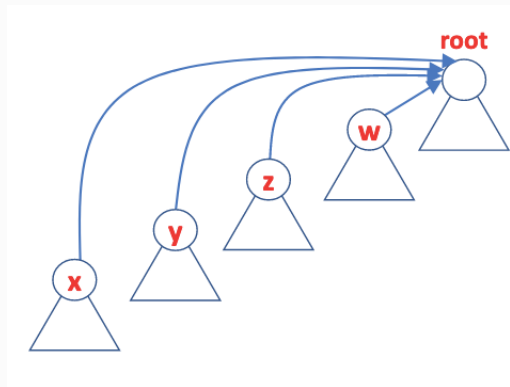
on the way to the root (as well as `x.parent = root`)

Before:

`find(S, x)` traverses from `x` to `root`



After:



- this does not increase complexity, as same nodes must be operated on twice, aka a constant factor difference
- speeds up subsequent calls to `find` on `x` or any of its ancestors
  - becomes constant time
  - does not affect time for `find` on other parts of the tree, does not affect `union` at all

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History: 25 years after publication of weighted union + path compression implementation, proof that  $\sigma$  takes  $\Theta(m \cdot \alpha(m, n))$  time using WU+PC was finally completed (where  $\alpha$  is inverse Ackerman function).

The Ackerman function grows ridiculously quickly so  $\alpha$  grows ridiculously slowly, so for all reasonable (read: physically possible) intents,  $\sigma$  runs in linear time.

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