

Modified Smith Predictor Based Advanced Control Strategies for Purely Integrating Processes with Large Dead Time

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Motivation

Over 95% processes in industry are controlled by (PID) controller.

Provides reasonable compromise between robustness and performance through correct tuning

Large dead time makes PID tuning difficult

Closed loop performance becomes limited

Thesis presents one such strategy

Predictive control strategy required for high performance

Justifies application over dedicated single controller-based loops or other Smith Predictor based control loops.

Objective

- Study of purely integrating processes with large dead time
- Implementation of Modified Smith Predictor (MSP) based control strategy
- Tuning for satisfactory performance indices and mitigation of model abnormalities

Plan of the Work

Familiarisation

Understanding the problem statement - How and why is dead time a concern to industrial processes ?

Research

Taking account of research that has been done on the topic till date
Pinpointing topics relevant to the problem and possible solutions
Understanding the individual components involved

Goal Setting

Setting primary goal based on research– Modified Smith Predictor Based Control
Setting secondary goals based on experimental results- Improved Tuning and Future Scope

Experimental Evaluation

Validating findings through observations
Study of improvements over contemporary works

Future scope

Finding shortcomings - Model Mismatch, Other Process Models
Suggesting improvements – Autotuned Modified Smith Predictor

About Dead Time

Definition

Time interval between start of an event at one point in a system and resulting action at another point

Causes

Mainly caused by the time required to transport mass, energy, or information

Processing time or accumulation of time lags in several simple dynamic systems connected in series

Examples

Arise in physical, chemical, biological and economic systems, and measurement and computation

In a conveyer belt delay is determined as ratio of distance to be travelled to the speed of the material

Implications in a Physical Process

Effect of the control action takes some time to affect the controlled variable

Time delay makes the effect of the perturbations felt only after a considerable time

Control action applied is based on a past error value which is not correlated with the present value.

Interpreted in frequency domain as reduction in the system's phase which decreases stability

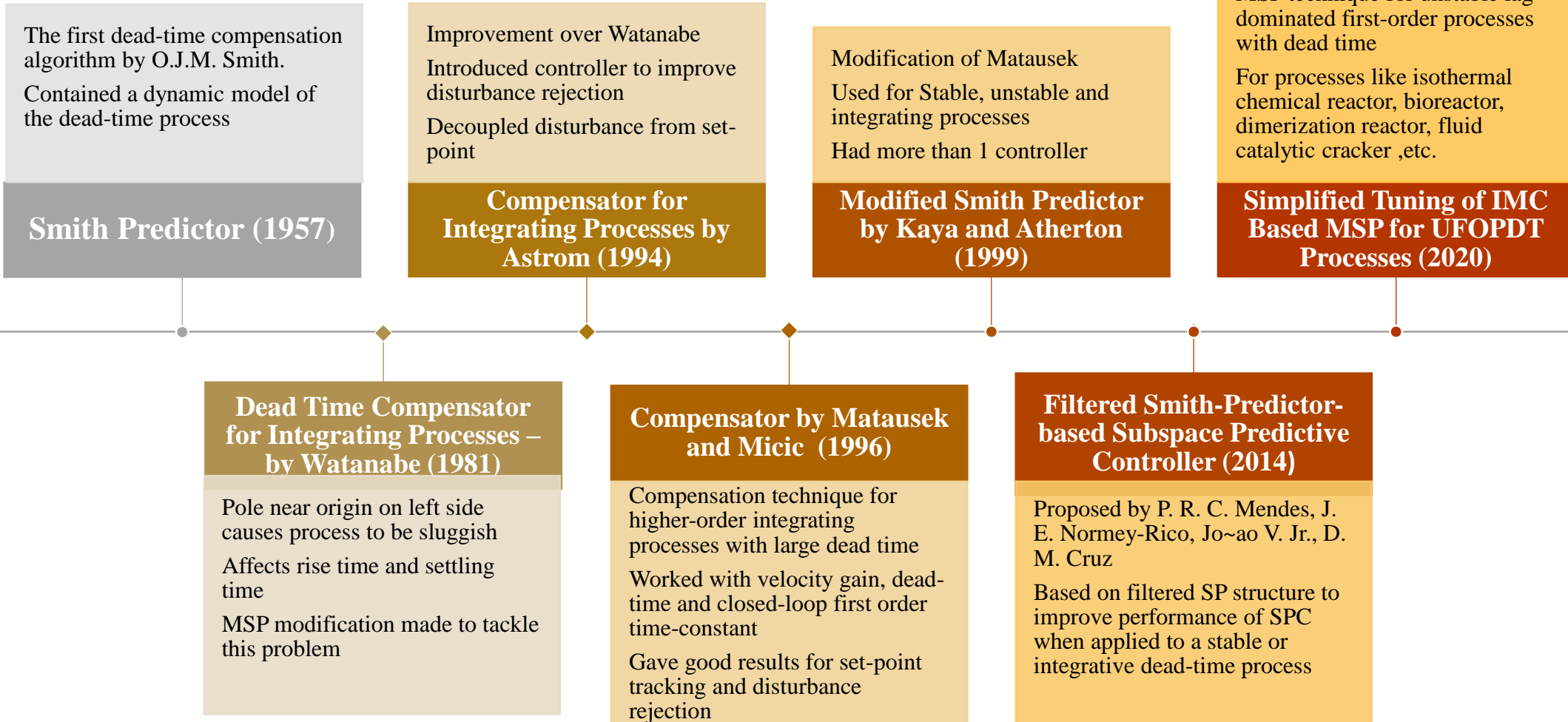
Controlling the process with conventional tuning methods becomes difficult

Solution

Gain must be reduced to maintain stability

Adaptive control techniques implemented to tackle dynamic plant parameters

Literature Review



Limitations of Conventional Tuning Techniques

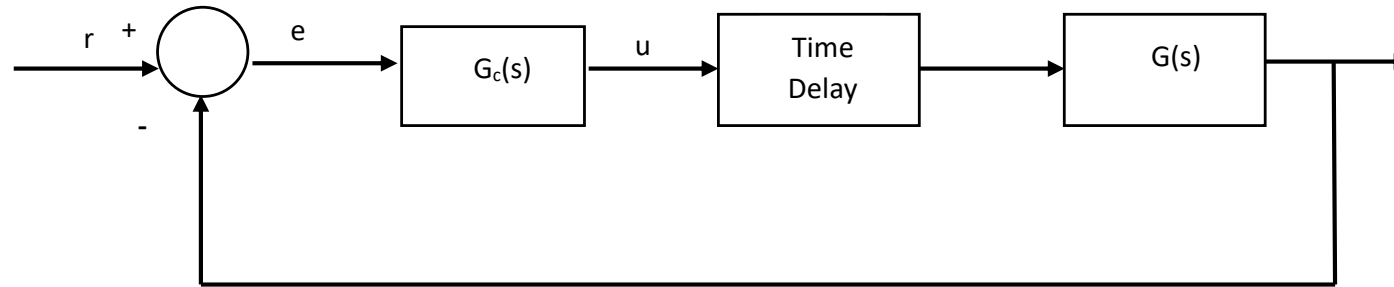


Fig. Feedback Control Structure for Conventional Tuning Techniques

$$U(s) = G_c(s)E(s)$$

$$G_c(s) = K_c \left(1 + \frac{1}{T_i s} + T_d s \right)$$

Model Free Control (Relay Based Tuning)

$$k_c = 0.6 \times Ku$$

$$\tau_I = Pu / 2$$

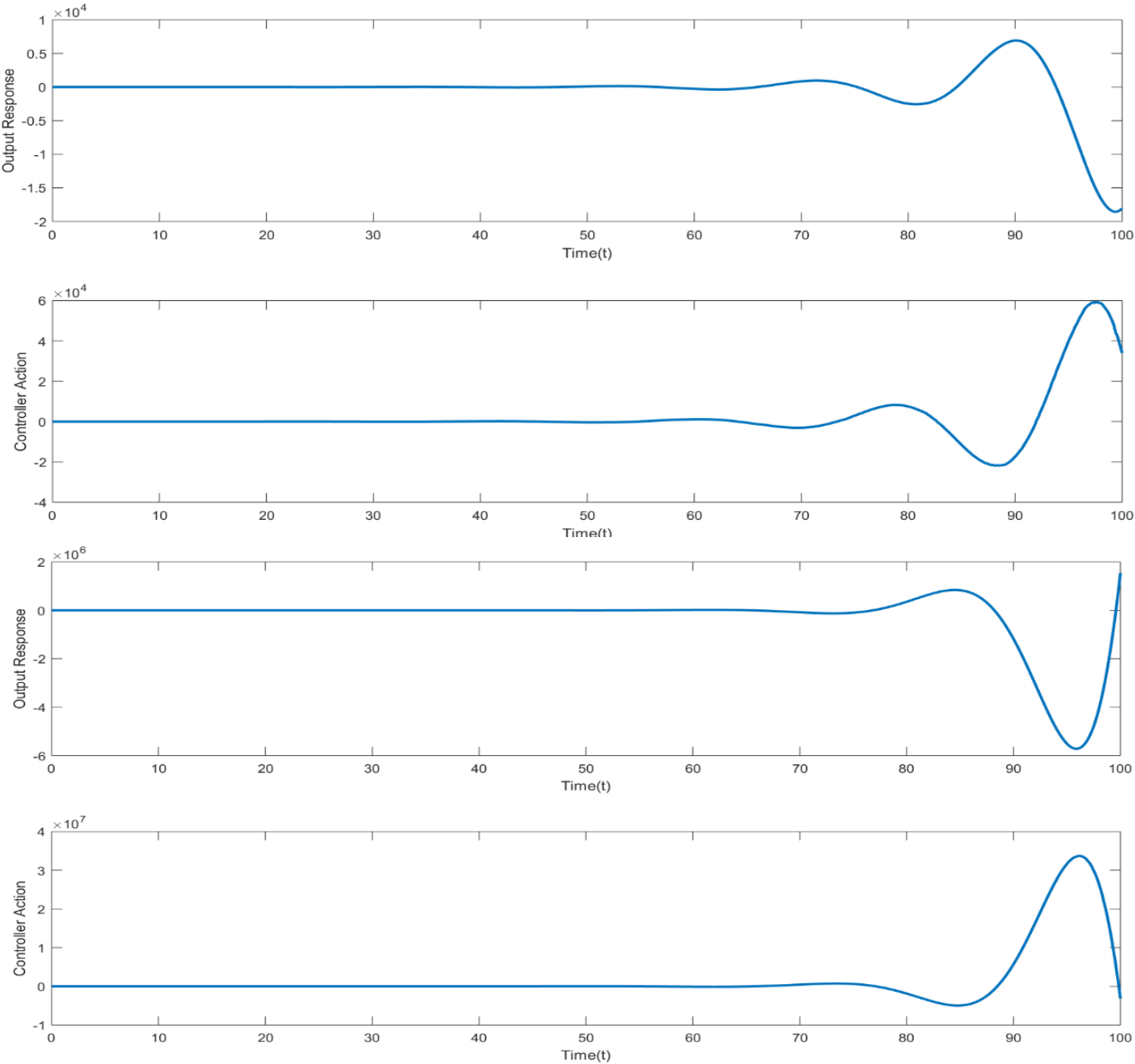
$$\tau_D = Pu / 8$$

Model Based Control (Internal Model Control (IMC))

$$k_c = \frac{(\tau_p + 0.5\theta)}{k_p(\lambda + 0.5\theta)}$$

$$\tau_I = \tau_p + 0.5\theta$$

$$\tau_D = \frac{\tau_p \theta}{2\tau_p + \theta}$$



$$\text{Process Model } G_{p1} = \frac{0.2}{s} e^{-7.4s}$$

**Relay-Based
Tuning**

$$K_u = 03.692$$

$$P_u = 29.50$$

$$K_c = 2.22$$

$$\tau_i = 14.76$$

$$\tau_d = 3.69$$

**Expression of
Controller**

$$(1 + 1/14.76 + 3.96) \times 2.22 e(t)$$

**IMC Based
Tuning**

$$\lambda = 1.85$$

$$K_c = 4.23$$

$$\tau_i = 8.40$$

$$\tau_d = 0.79$$

**Expression of
Controller**

$$(1 + 1/8.40 + 0.79) \times 4.23 e(t)$$

Fig. Performance Analysis of Relay based tuning (above) and IMC based tuning (below)

Smith Predictor (SP -1957)

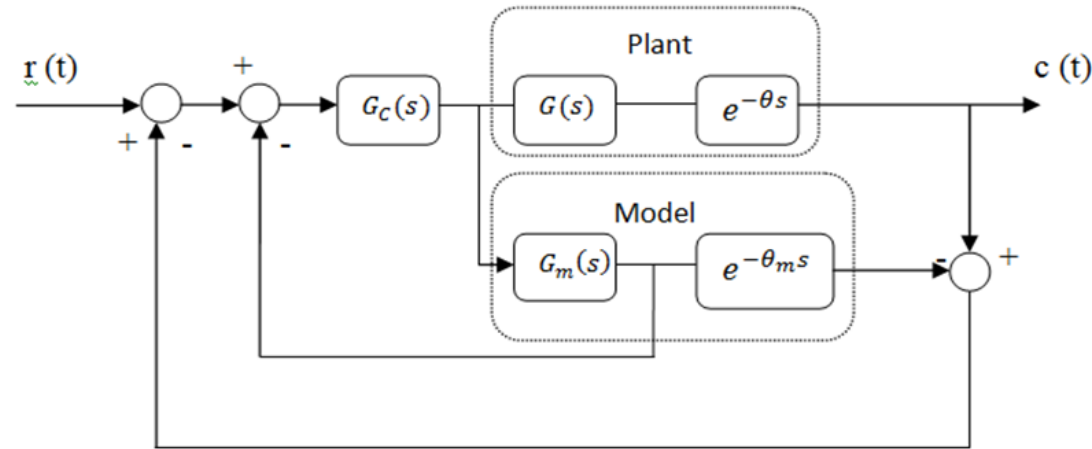


Fig. SP Configuration as proposed by O. J. M. Smith

The closed loop transfer function : $T(s) = \frac{C(s)}{R(s)} = \frac{G_C(s)G(s)e^{-\theta s}}{1+G_C(s)[G_m(s)+G_e(s)]}$,Where, $G_e(s) = G(s)e^{-\theta s} - G_m(s)e^{-\theta_m s}$

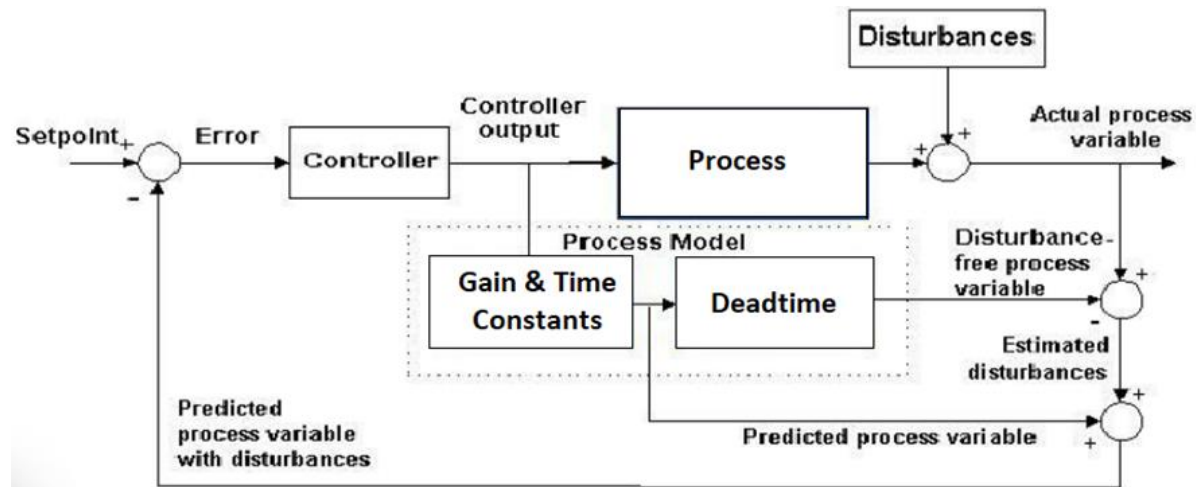


Fig. Mathematical model of SP

Modified Smith Predictor

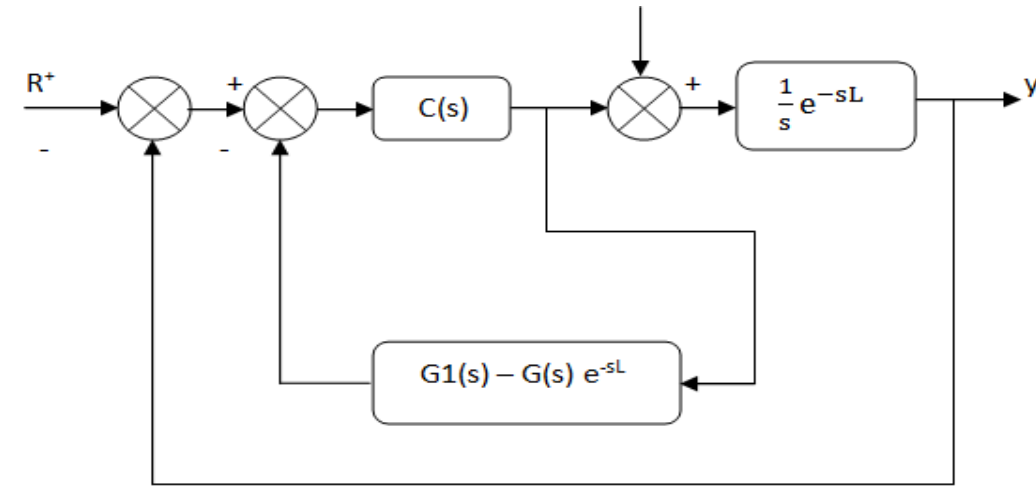


Fig. MSP Structure by proposed by Watanabe (1981)

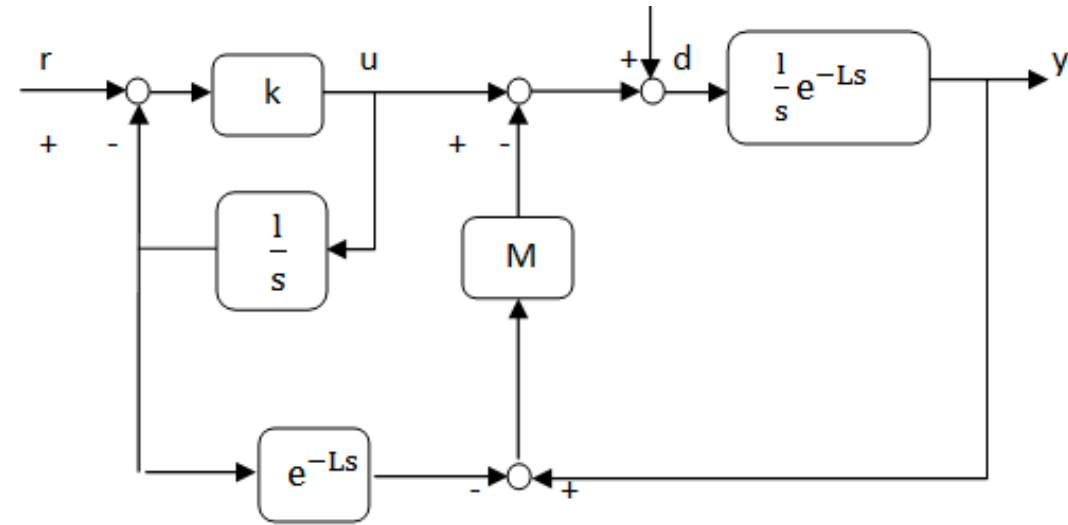


Fig. Astrom's SP (1984)

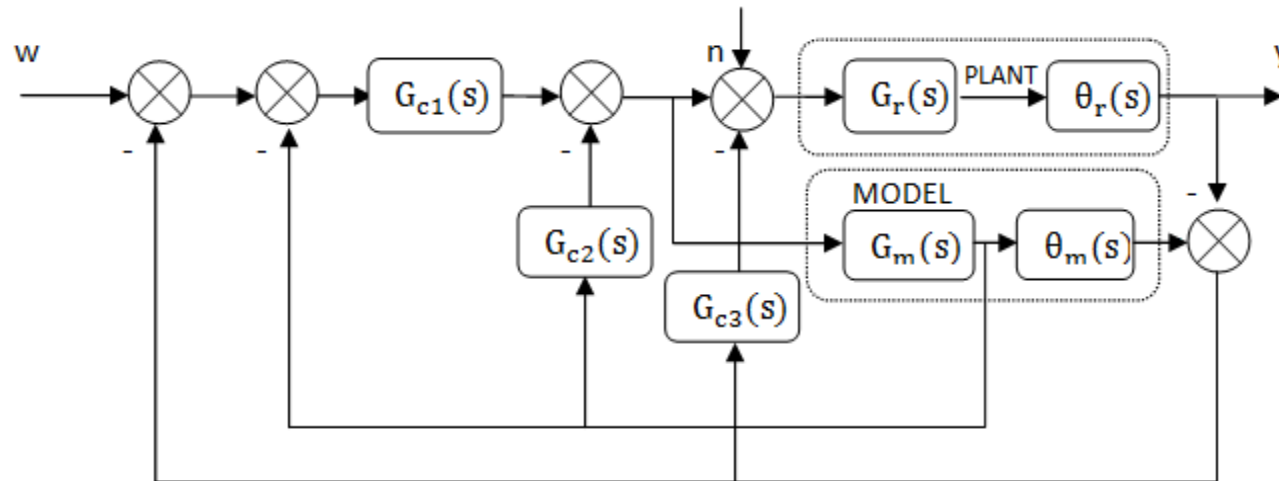


Fig. MSP control structure of Kaya & Atherton (1999)

Implemented Modified Smith Predictor

MSP based control scheme is reported by **Karan and Dey (2021)**

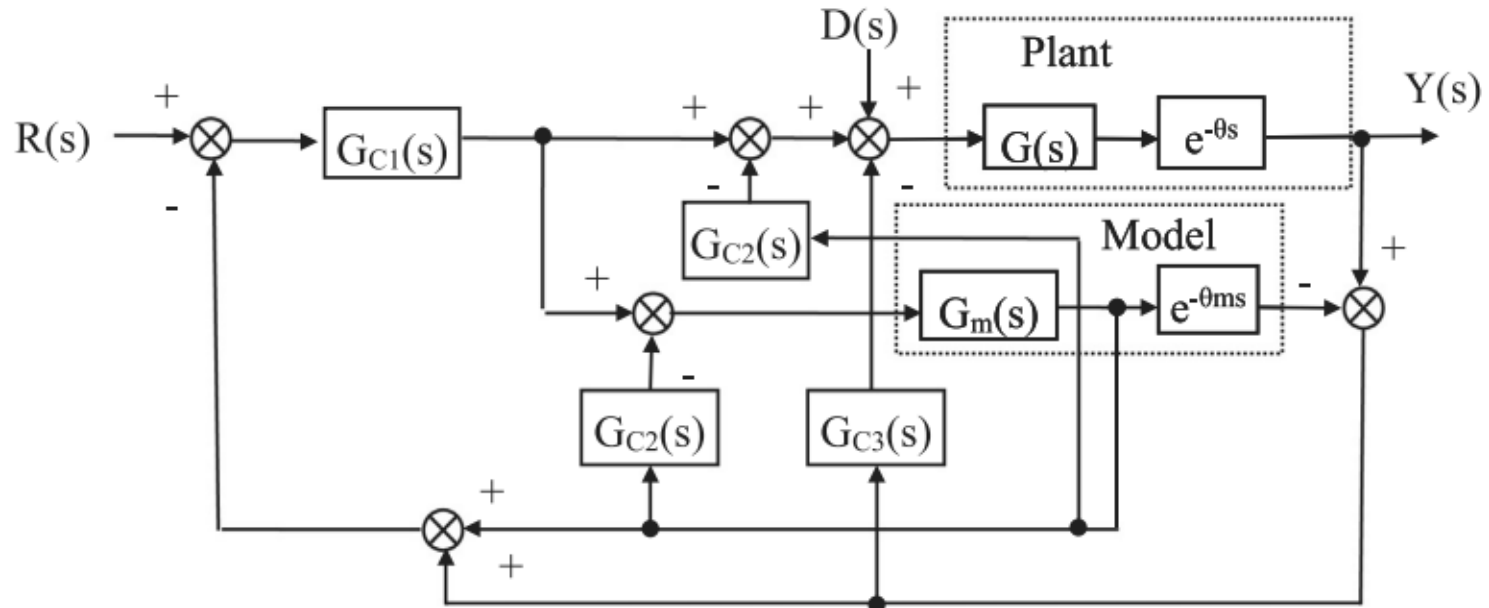


Fig. Implemented MSP Structure

$G_{c1}(s) = K_p \left(1 + \frac{1}{T_i} \right)$ Forward path controller for superior set point tracking

$G_{c2}(s) = \beta K_p$ Overshoot Compensation

$G_{c3}(s) = \gamma K_p (1 + T_d s)$ Disturbance Rejection

Performance Evaluation

Model 1

- Popular IPTD model reported by Kumar and Padma Sree and Goud and Rao
- Signifies behaviour of distillation column
- Realized by $G_{p1} = \frac{0.2}{s} e^{-7.4s}$

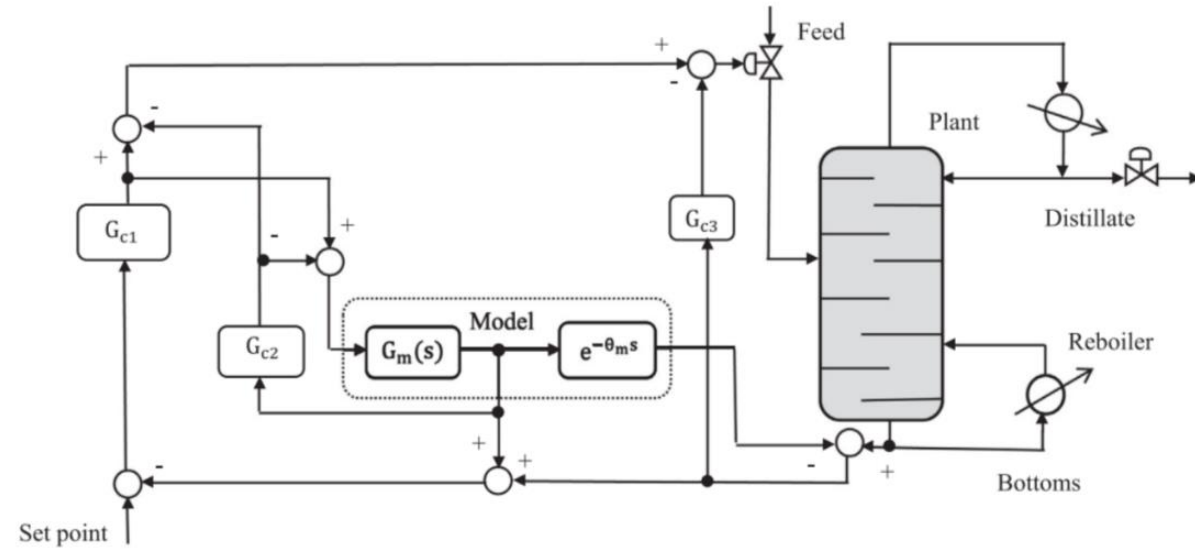


Fig. Industrial Distillation Column (left), Distillation Column Process Model (right)

Model 2

- Reported by Goud and Rao
- Obtained through Reduction of the unstable process model $\frac{5}{100s-1} e^{-6s}$
- Realized by $G_{p2} = \frac{0.05}{s} e^{-6s}$

MSP Controller Tuning Parameters

$$G_{c1}(s) = K_p \left(1 + \frac{1}{T_i} \right)$$

$$G_{c2}(s) = \beta K_p$$

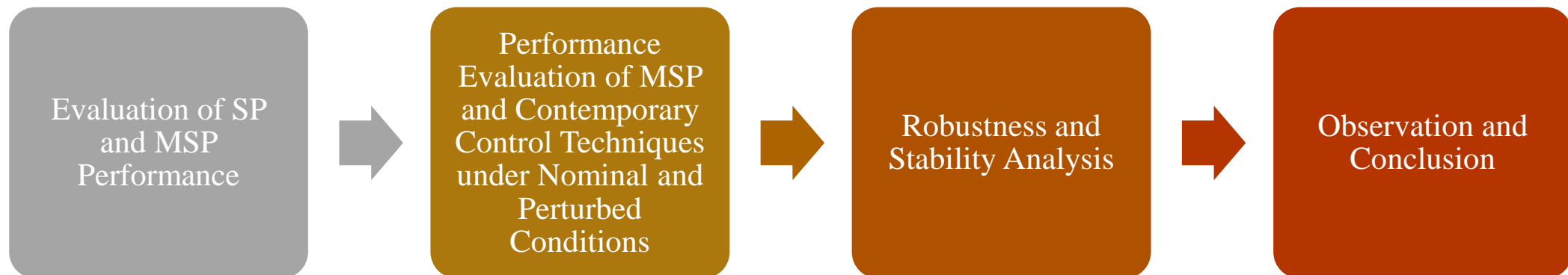
$$G_{c3}(s) = \gamma K_p (1 + T_d s)$$

For the process $\frac{0.2}{s} e^{-7.4s}$, the values obtained are

For the process $\frac{0.05}{s} e^{-6s}$, the values obtained are

G _{c1}	G _{c2}	G _{c3}	G _{c1}	G _{c2}	G _{c3}
K _c = 5.4	K _c = 5.4	K _c = 5.4	K _c = 26.67	K _c = 26.67	K _c = 26.67
τ _i = 3.70	β = 0.25	τ _d = 1.85	τ _i = 3.00	β = 0.25	τ _d = 1.50
		γ = 0.06			γ = 0.06

Evaluation Process



Smith Predictor vs Modified Smith Predictor

Process Model $G_{p1} = \frac{0.2}{s} e^{-7.4s}$

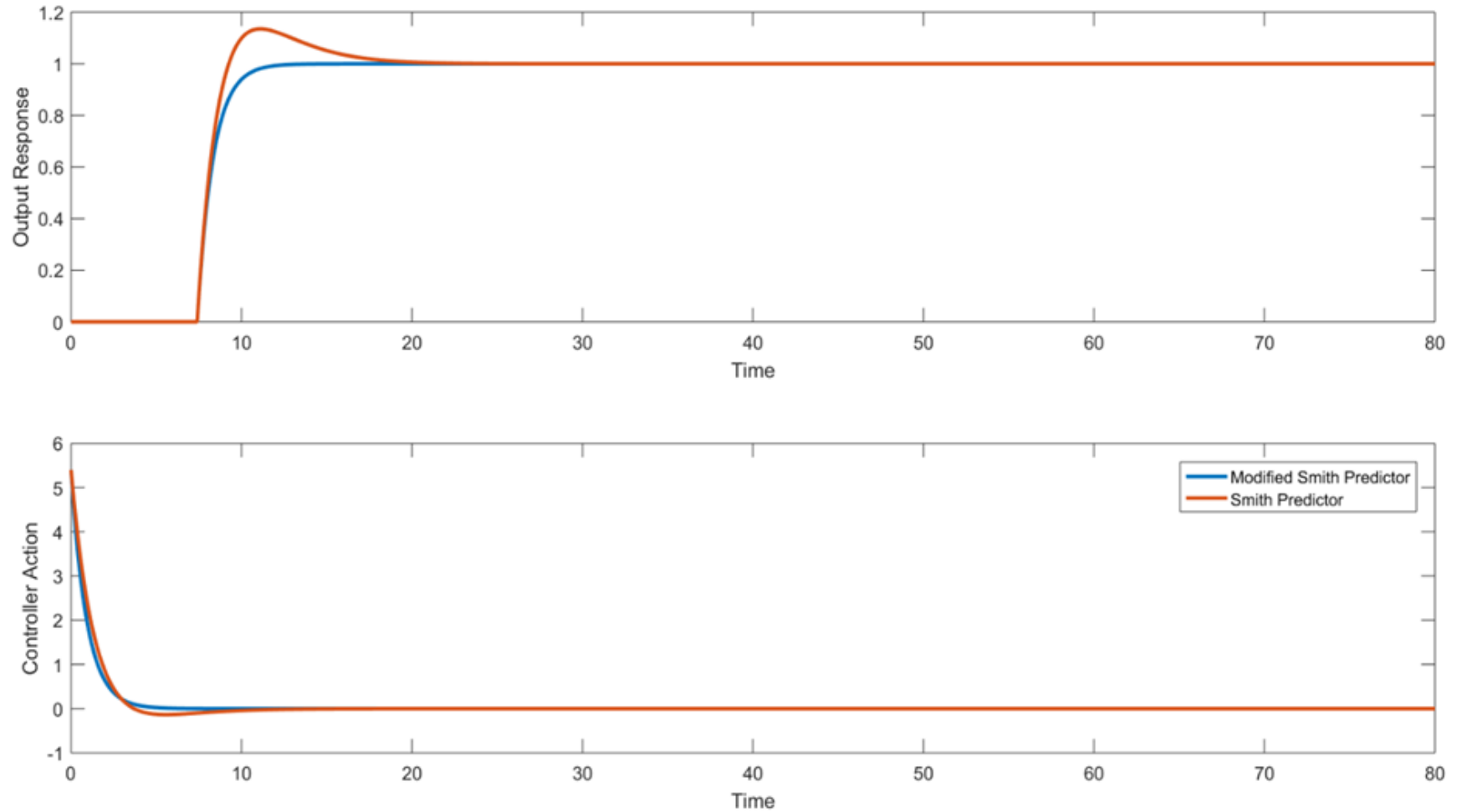


Fig. Performance Index Analysis of MSP and Conventional SP 1

Table Performance Index Analysis of MSP and Conventional SP

Parameter	Modified Smith Predictor	Smith Predictor
Rise Time (Sec)	2.04	1.35
Settling Time (Sec)	11.02	17.39
Settling Min	0.91	0.91
Settling Max	1.00	1.14
Overshoot (%)	0.00	13.53
Undershoot (%)	0.00	0.00
Peak	1.00	1.14
Peak Time (Sec)	40.40	11.10

Observation

- G_{c2} removes output overshoot
- G_{c3} brings down output Magnitude when disturbance is present

Performance Index	Modified Smith Predictor		Smith Predictor	
	Without Load	With Load	Without Load	With Load
ISE	0.46	0.83	0.46	0.53
ITSE	0.21	14.97	0.43	2.67
IAE	0.93	3.78	1.36	2.05
ITAE	0.86	123.30	4.14	28.89
TV	5.00	20.74	6.35	15.12

Model 1 under Nominal Conditions

$$\text{Process Model } G_{p1} = \frac{0.2}{s} e^{-7.4s}$$

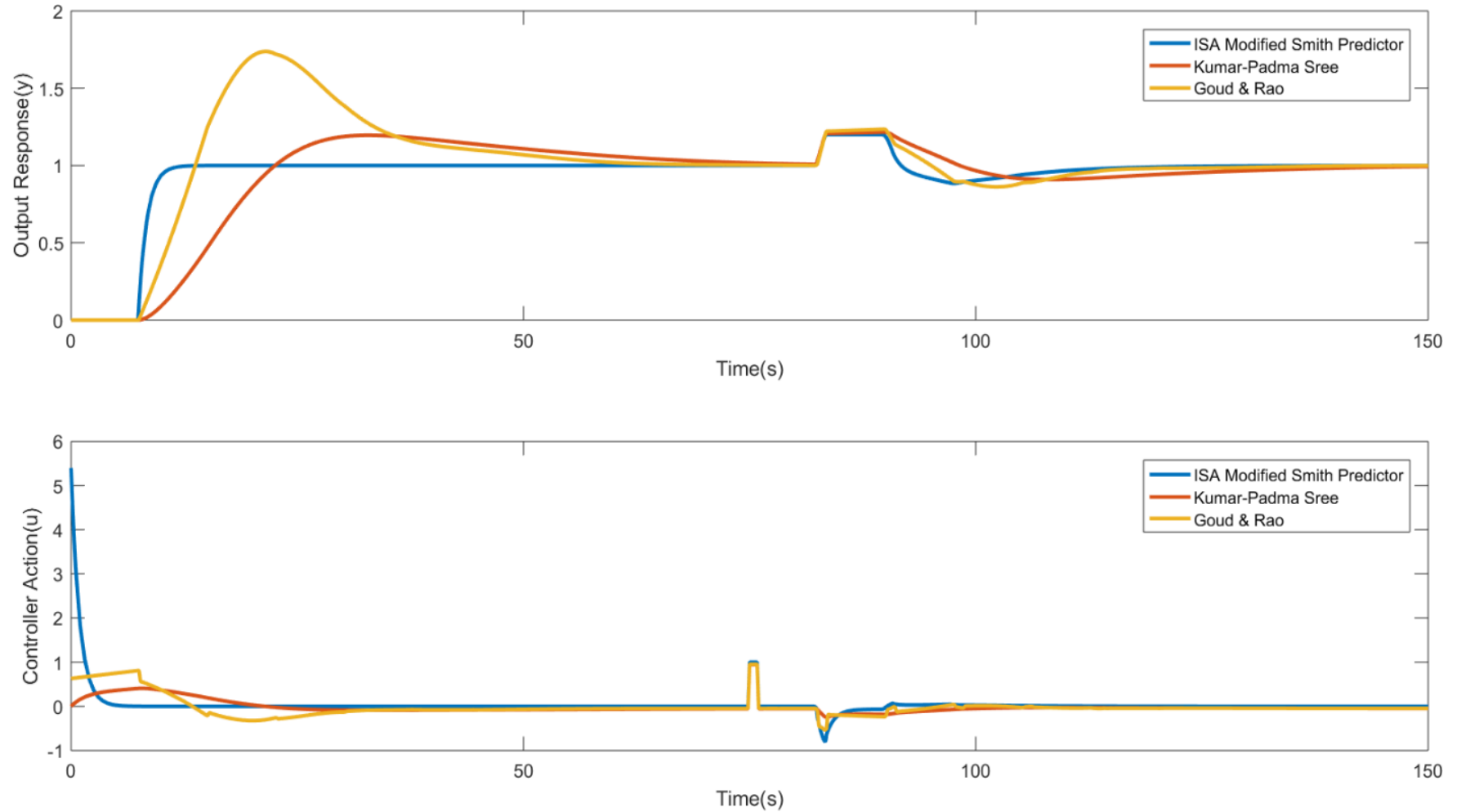


Fig. Performance Index Analysis of the MSP and Recently Published Works for Model 1 under Nominal Conditions

***Table** Performance Index Analysis of the MSP and Recently Published Works for Model 1 under Nominal Conditions*

Parameter	Modified Smith Predictor	Kumar - Padma Sree	Goud & Rao
Rise Time (Sec)	2.06	10.58	4.99
Settling Time (Sec)	11.05	73.12	59.80
Settling Min	0.91	0.91	0.92
Settling Max	1.00	1.20	1.74
Overshoot (%)	0.00	19.58	73.79
Undershoot (%)	0.00	0.00	0.00
Peak	1.00	1.20	1.74
Peak Time (Sec)	45.70	32.89	21.50

Performance Index	Modified Smith Predictor		Kumar - Padma Sree		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.46	0.48	7.76	8.40	15.85	16.45
ITSE	0.21	1.49	137.93	197.37	194.93	251.03
IAE	0.93	1.30	21.10	24.98	23.53	27.70
ITAE	0.86	34.73	626.03	1013.66	414.04	821.35
TV	5.00	8.16	12.72	14.63	17.27	19.34

Model 2 under Nominal Conditions

$$\text{Process Model } G_{p2} = \frac{0.05}{s} e^{-6s}$$

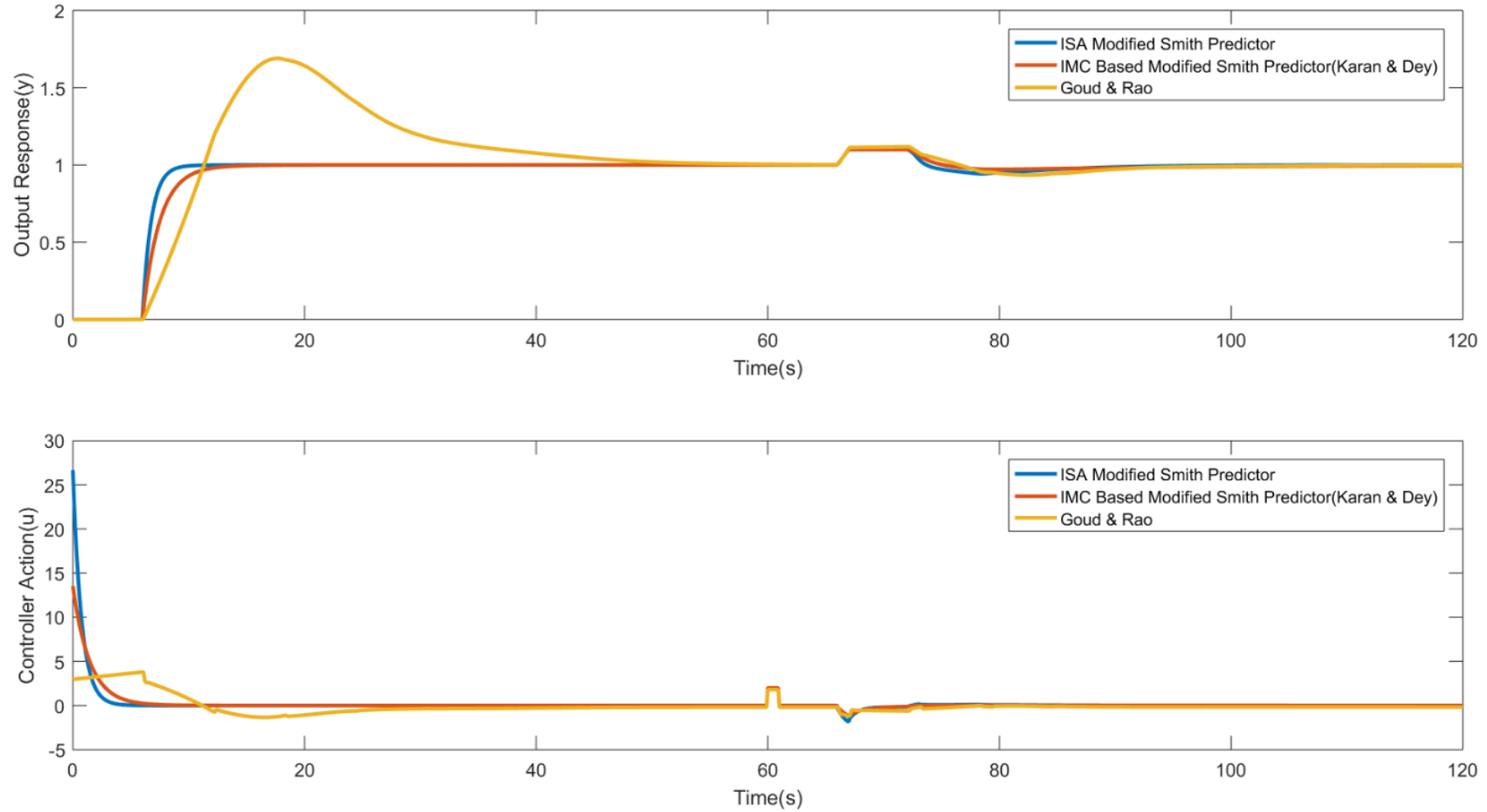


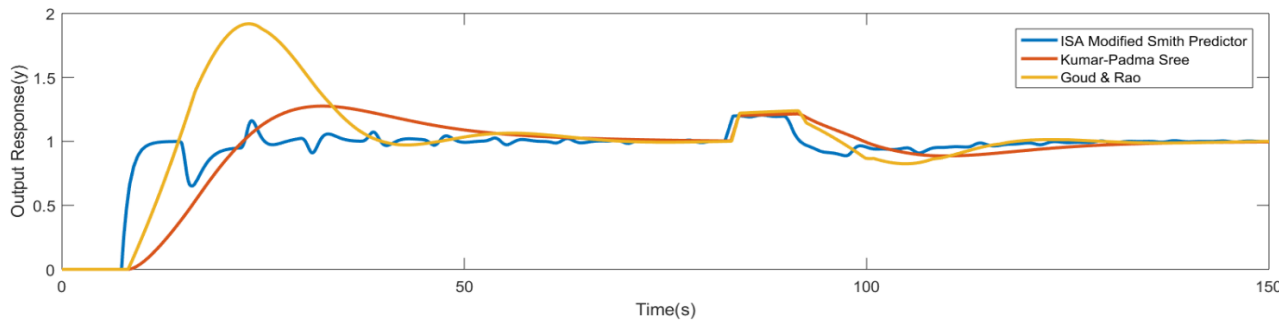
Fig. Performance Index Analysis of the MSP and Recently Published Works for Model 2 under Nominal Conditions

Table Performance Index Analysis of the MSP and Recently Published Works for Model 2 under Nominal Conditions

Parameter	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
Rise Time (Sec)	1.66		3.32		4.20	
Settling Time (Sec)	8.99		12.10		49.84	
Settling Min	0.91		0.90		0.91	
Settling Max	1.00		1.00		1.69	
Overshoot (%)	0.00		0.00		69.00	
Undershoot (%)	0.00		0.00		0.00	
Peak	1.00		1.00		1.69	
Peak Time (Sec)	96.70		103.40		17.70	

Performance Index	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.38	0.38	0.75	0.75	12.56	12.68
ITSE	0.14	0.33	0.56	1.01	124.30	133.50
IAE	0.76	0.91	1.52	1.80	19.06	20.78
ITAE	0.60	11.69	2.41	24.10	277.50	412.9
TV	20.00	26.29	20.00	25.07	61.65	65.28

Perturbations Separately Applied to Model 1



Process Model $G_{p1} = \frac{0.2}{s} e^{-7.4s}$

Perturbed Process Model $G_{p1} = \frac{0.2}{s} e^{-8.14s}$

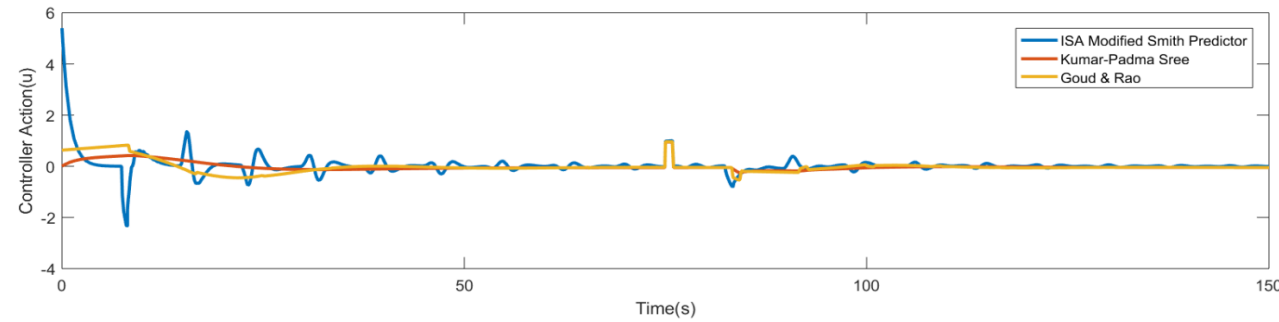
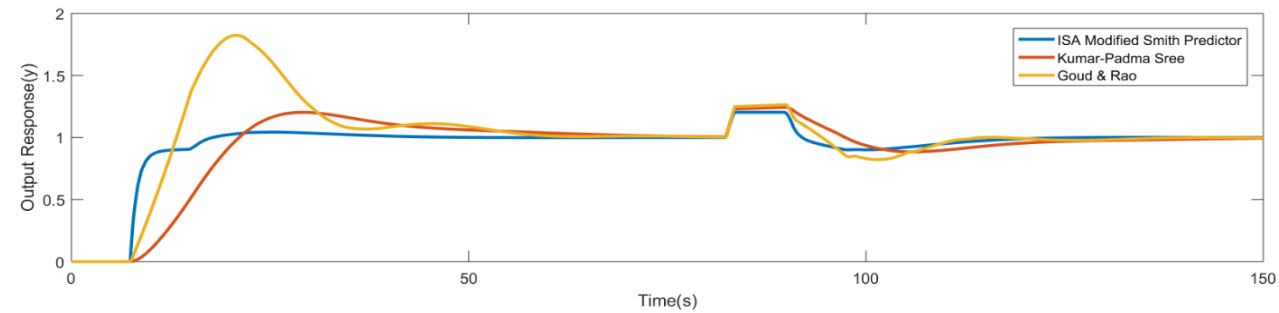


Fig. Performance Analysis of the MSP and Recently Published Works for Model 1 with 10% Time Delay Perturbation



Process Model $G_{p1} = \frac{0.2}{s} e^{-7.4s}$

Perturbed Process Model $G_{p1} = \frac{0.22}{s} e^{-7.4s}$

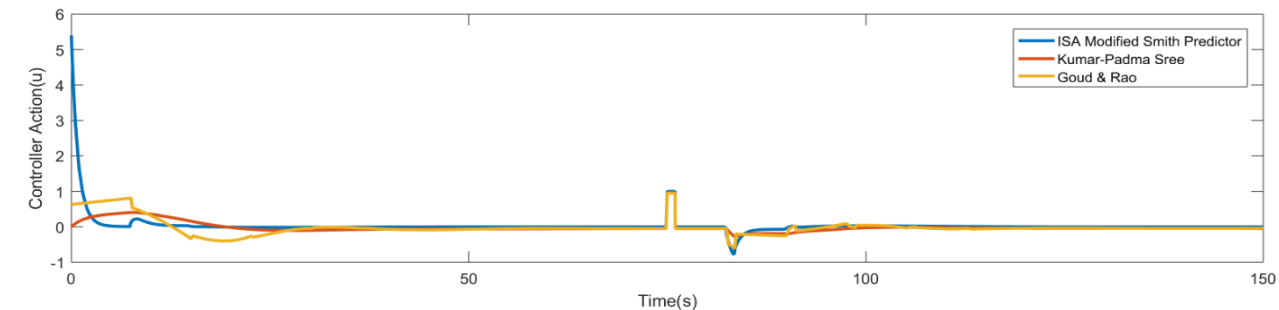


Fig. Performance Analysis of the MSP and Recently Published Works for Model 1 with 10% Process Gain Perturbation

Table Performance Index Analysis of the MSP and Recently Published Works for Model 1 with 10% Time Delay Perturbation

Parameter	Modified Smith Predictor	Kumar - Padma Sree	Goud & Rao
Rise Time (Sec)	2.06	10.11	4.99
Settling Time (Sec)	63.05	67.31	65.33
Settling Min	0.65	0.90	0.90
Settling Max	1.16	1.28	1.92
Overshoot (%)	16.08	27.58	91.94
Undershoot (%)	0.00	0.00	0.00
Peak	1.16	1.28	1.94
Peak Time (Sec)	23.62	32.27	23.22

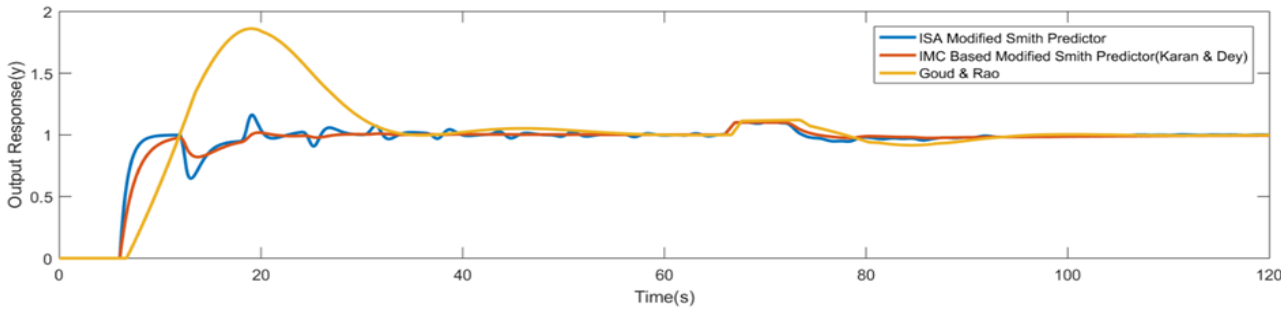
Performance Index	Modified Smith Predictor		Kumar - Padma Sree		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.62	0.64	8.81	9.52	19.38	20.15
ITSE	2.70	4.50	161.48	228.52	272.30	345.40
IAE	2.76	3.25	22.18	26.35	25.40	30.08
ITAE	56.18	104.90	638.88	1054.85	449.63	913.78
TV	16.73	20.95	13.53	15.42	18.77	21.21

Table Performance Index Analysis of the MSP and Recently Published Works for Model 1 with 10% Process Gain Perturbation

Parameter	Modified Smith Predictor	Kumar - Padma Sree	Goud & Rao
Rise Time (Sec)	5.33	9.58	4.59
Settling Time (Sec)	36.08	68.39	58.23
Settling Min	0.90	0.90	0.91
Settling Max	1.04	1.20	1.82
Overshoot (%)	4.30	20.30	82.34
Undershoot (%)	0.00	0.00	0.00
Peak	1.04	1.20	1.82
Peak Time (Sec)	25.41	29.14	20.76

Performance Index	Modified Smith Predictor		Kumar - Padma Sree		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.43	0.45	7.39	8.17	15.95	16.74
ITSE	0.22	1.41	119.99	191.12	188.96	261.81
IAE	1.09	1.46	20.14	24.20	22.81	82.24
ITAE	4.01	38.50	576.44	973.41	387.49	819.51
TV	5.44	8.494	11.82	13.77	16.42	19.00

Perturbations Separately Applied to Model 2



Process Model $G_{p2} = \frac{0.05}{s} e^{-6s}$

Perturbed Process Model $G_{p2} = \frac{0.05}{s} e^{-6.6s}$

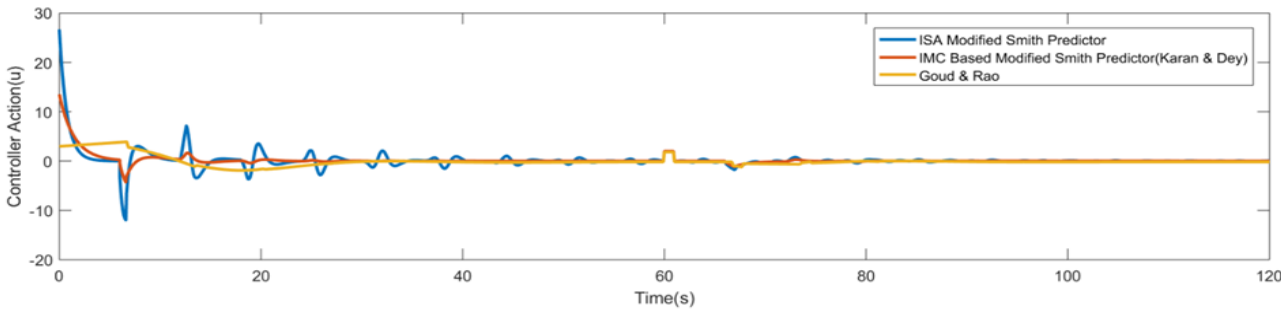
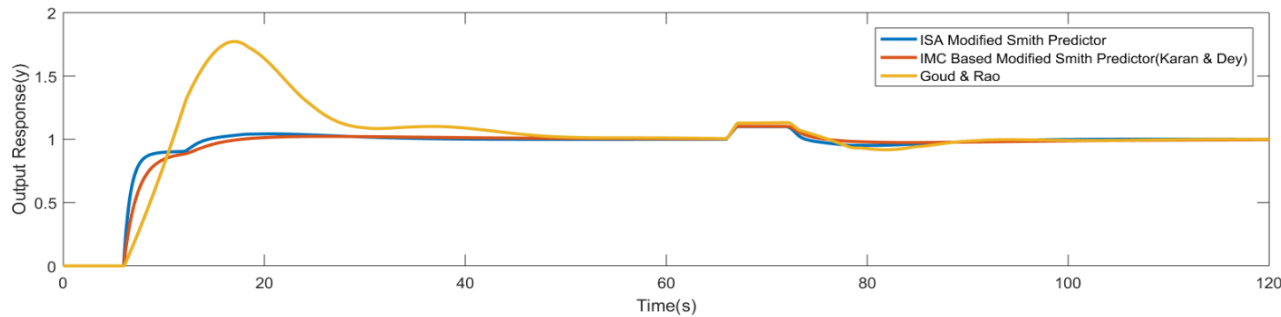


Fig. Performance Analysis of the MSP and Recently Published Works for Model 2 with 10% Time Delay Perturbation



Process Model $G_{p2} = \frac{0.05}{s} e^{-6s}$

Perturbed Process Model $G_{p2} = \frac{0.055}{s} e^{-6s}$

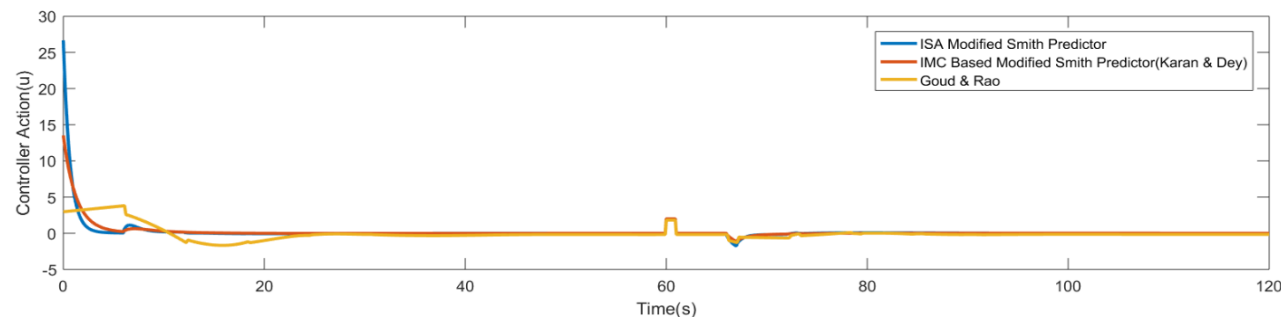


Fig. Performance Analysis of the MSP and Recently Published Works for Model 2 with 10% Process Gain Perturbation

Table Performance Index Analysis of the MSP and Recently Published Works for Model 2 with 10% Time Delay Perturbation

Parameter	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
Rise Time (Sec)	1.66		3.32		4.20	
Settling Time (Sec)	51.13		25.85		53.89	
Settling Min	0.65		0.82		0.91	
Settling Max	1.16		1.02		1.87	
Overshoot (%)	16.36		1.82		86.19	
Undershoot (%)	0.00		0.00		0.00	
Peak	1.16		1.02		1.86	
Peak Time (Sec)	19.10		19.80		19.00	

Performance Index	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.51	0.51	0.80	0.80	15.15	15.31
ITSE	1.84	2.07	0.99	1.46	169.60	181.50
IAE	2.27	3.25	2.10	2.38	20.29	22.18
ITAE	37.86	49.51	10.06	31.88	289.00	438.90
TV	67.60	74.34	28.74	34.30	67.28	70.96

Table Performance Index Analysis of the MSP and Recently Published Works for Model 2 with 10% Process Gain Perturbation

Parameter	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
Rise Time (Sec)		4.51		6.55		3.86
Settling Time (Sec)		29.31		31.24		49.01
Settling Min		0.90		0.90		0.91
Settling Max		1.04		1.02		1.77
Overshoot (%)		4.29		2.27		77.24
Undershoot (%)		0.00		0.00		0.00
Peak		1.04		1.02		1.77
Peak Time (Sec)		20.70		25.90		17.00

Performance Index	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.35	0.35	0.71	0.71	12.59	12.74
ITSE	0.15	0.32	0.58	1.00	119.30	130.80
IAE	0.89	1.04	1.76	2.022	18.48	20.27
ITAE	2.68	13.78	8.19	29.02	259.50	398.80
TV	21.74	27.73	20.91	25.88	58.77	62.62

Model 1 with both Time Delay and Process Gain Perturbations

Process Model $G_{p1} = \frac{0.2}{s} e^{-7.4s}$

Perturbed Process Model $G_{p1} = \frac{0.22}{s} e^{-8.14s}$

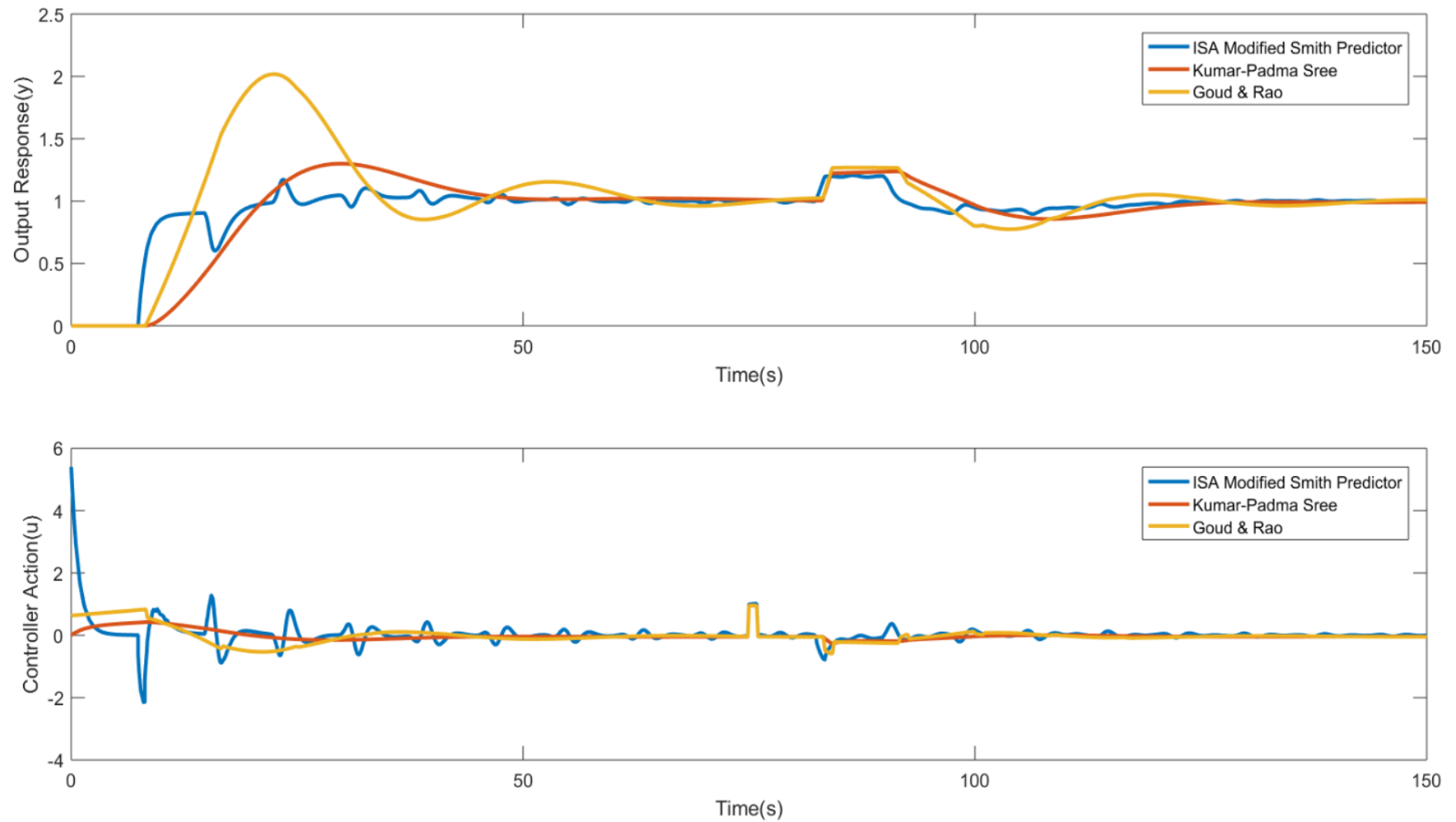


Fig. Performance Index Analysis of the MSP and Recently Published Works for Model 1 for both 10% Time Delay and 10% Process Gain Perturbation

***Table** Performance Index Analysis of the MSP and Recently Published Works for Model 1 for both 10% Time Delay and 10% Process Gain Perturbation*

Parameter	Modified Smith Predictor	Kumar - Padma Sree	Goud & Rao
Rise Time (Sec)	5.44	9.21	4.59
Settling Time (Sec)	62.67	68.70	86.85
Settling Min	0.60	0.90	0.85
Settling Max	1.17	1.30	2.02
Overshoot (%)	17.21	30.02	101.75
Undershoot (%)	0.00	0.00	0.00
Peak	1.17	1.30	2.02
Peak Time (Sec)	23.41	29.77	22.45

Performance Index	Modified Smith Predictor		Kumar - Padma Sree		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.58	0.60	8.54	9.41	20.36	21.46
ITSE	2.74	4.49	145.46	226.69	293.03	397.71
IAE	2.81	3.301	21.23	25.61	27.17	32.83
ITAE	61.15	109.50	589.14	1018.15	546.11	1118.76
TV	16.97	21.18	12.65	14.59	19.35	22.50

Model 2 with both Time Delay and Process Gain Perturbations

Process Model $G_{p2} = \frac{0.05}{s} e^{-6s}$

Perturbed Process Model $G_{p2} = \frac{0.055}{s} e^{-6.6s}$

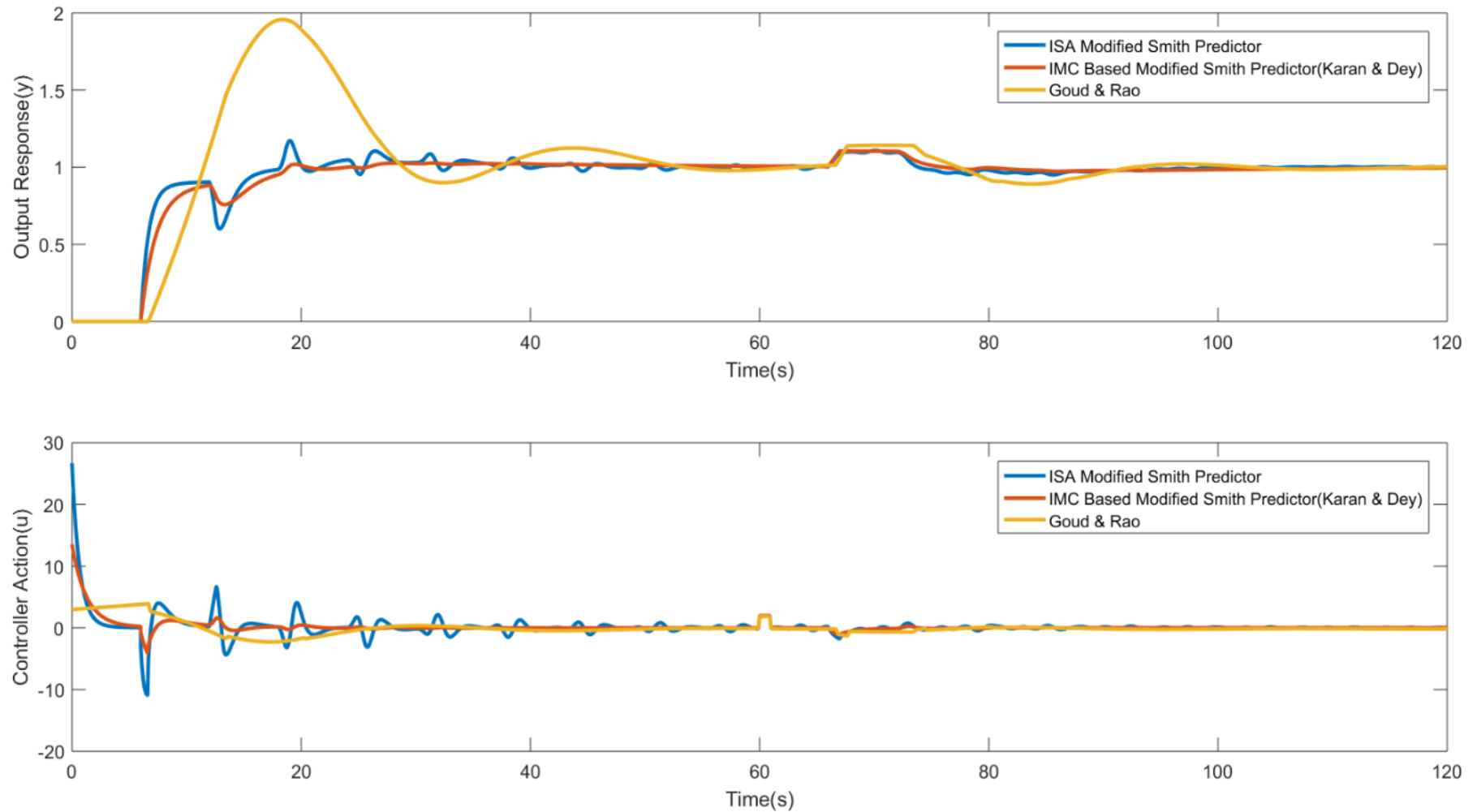


Fig. Performance Index Analysis of the MSP and Recently Published Works for Model 2 for both 10% Time Delay and 10% Process Gain Perturbation

Table *Performance Index Analysis of the MSP and Recently Published Works for Model 2 for both 10% Time Delay and 10% Process Gain Perturbation*

Parameter	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
Rise Time (Sec)	4.38		10.11		3.86	
Settling Time (Sec)	50.83		40.46		58.75	
Settling Min	0.60		0.90		0.90	
Settling Max	1.17		1.03		1.96	
Overshoot (%)	17.31		2.68		95.63	
Undershoot (%)	0.00		0.00		0.00	
Peak	1.17		1.03		1.96	
Peak Time (Sec)	19.00		31.50		18.40	
Performance Index	Modified Smith Predictor		IMC Based Modified Smith Predictor		Goud & Rao	
	Without Load	With Load	Without Load	With Load	Without Load	With Load
ISE	0.48	0.60	0.75	9.41	15.74	21.46
ITSE	1.83	4.49	0.99	226.69	177.80	397.71
IAE	2.30	3.301	2.20	25.61	21.10	32.83
ITAE	40.82	109.50	14.27	1018.15	325.70	1118.76
TV	68.13	21.18	28.08	14.59	68.32	22.50

Robustness and Stability Analysis

Table Stability Analysis for Model 1 and Model 2

Model	Complementary Sensitivity Function (CSF) $C(j\omega)$	Process gain perturbation (ΔK)	Stability with gain perturbation $\ C(j\omega)\ _{\infty} < \left(\frac{1}{\frac{\Delta K}{K}}\right)$	Time delay perturbation (θ_m)	Stability with time delay perturbation $\ C(j\omega)\ _{\infty} < \left(\frac{1}{\frac{-j\omega\theta_m}{j\omega\frac{\theta_m}{2}+1}}\right)$
$\frac{0.2}{s} e^{-7.4s}$	$\frac{7.44 j\omega + 2}{1.38j\omega^2 + 9.3j\omega + 2}$	0.16 (+80%)	$\ C(j\omega)\ _{\infty} < \left(\frac{1}{0.80}\right)$	0.296 (+%4)	$\ C(j\omega)\ _{\infty} < \left(\frac{j0.148\omega+1}{-j0.296\omega}\right)$
$\frac{0.05}{s} e^{-6s}$	$\frac{6.4 j\omega + 2}{0.256j\omega^2 + 8j\omega + 2}$	0.035 (+70%)	$\ C(j\omega)\ _{\infty} < \left(\frac{1}{0.70}\right)$	0.06 (+%1)	$\ C(j\omega)\ _{\infty} < \left(\frac{j0.3\omega+1}{-j0.06\omega}\right)$

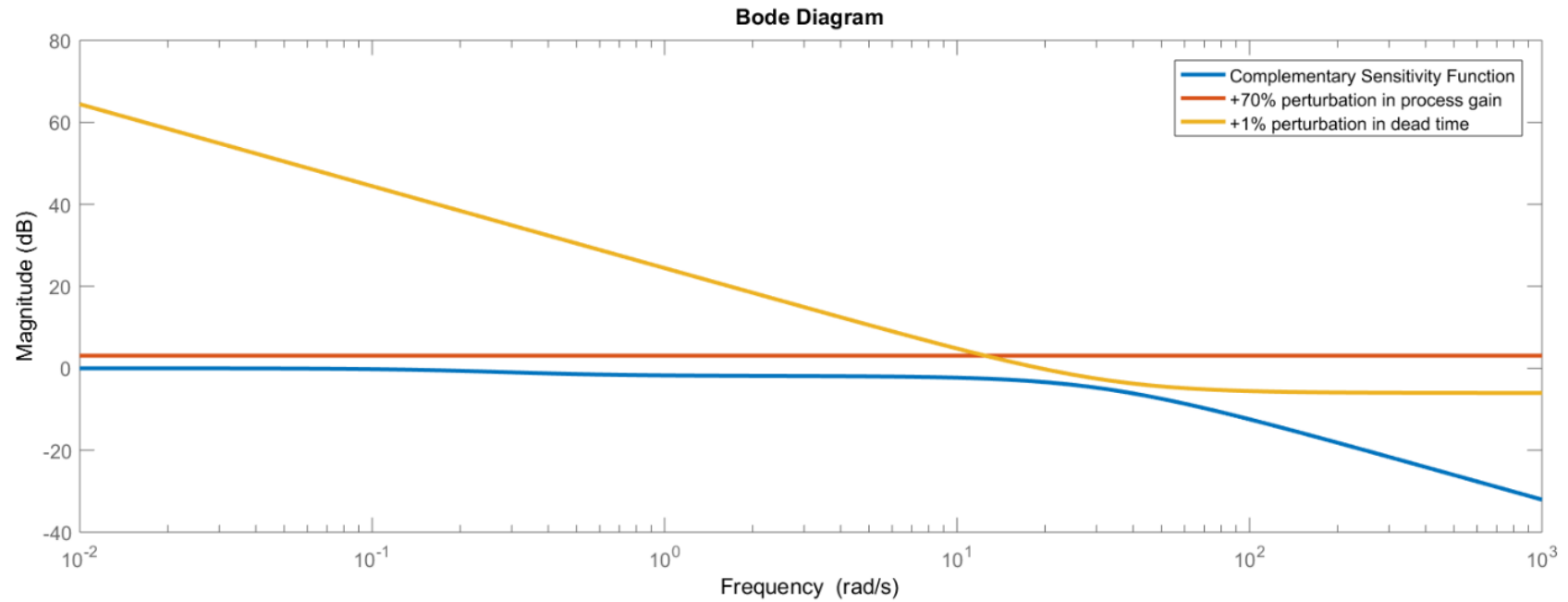
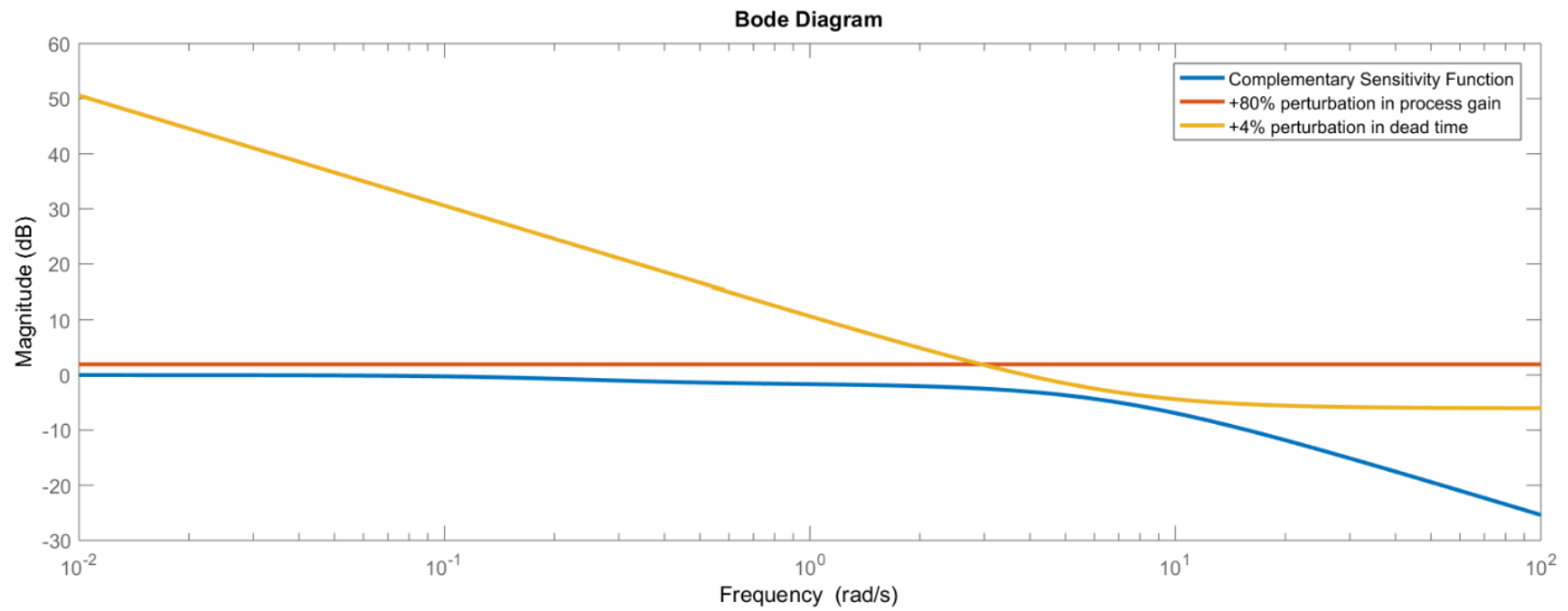


Fig. *Stability Analysis for Model 1 (above) and Model 2 (below)*

Observations and Conclusion

- MSP Based control techniques can be applied to various the integrating and unstable processes where conventional controller tuning techniques cannot be applied.
- MSP Based control techniques are capable of providing better performance than conventional tuning techniques in terms of closed-loop response and disturbance rejection even if the conventional technique was specifically tuned for that particular process.
- Compared to conventional Smith Predictor techniques, MSPs provide better overshoot compensation and disturbance rejection with proper tuning.
- MSP implemented in this project gives a satisfactory result in terms of response time, robustness and process disturbance rejection while being a general purpose technique that can be applied over a wide range of integrating process with large dead time

Future Scope

- Optimisation after evaluating performance with slower process with larger time delays and other types of process models such as Second Order Plus Dead Time Processes, Unstable Processes, Double Integrating Processes, etc.
- Implementation of improvements after performance evaluation in the practical world such as an unstable bioreactor where there exists unknown model uncertainties and disturbances
- Implementation of a system of controller-auto tuning which is a mechanism of tuning controller gains based on a plant model or plant data in real-time

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THANK YOU