

# Acknowledgements

I need to thank

- Chenyan Wu, Alex Ghitza, Chengjing Zhang
- Bowan Hafey, Oliver and Fei
- Miscellaneous lecturers

for the math that they taught me.

Thanks to my bros for being bros. Thanks to Fei Peng for the thesis template. Arun Ram for helping track down the name for parabolic.

Known issues: Need to add punctuation to all equations, check spelling, fix some formatting of equations,

# Contents

<b>1</b>	<b>Classical Groups</b>	<b>3</b>
1.1	Definition . . . . .	3
1.2	Subgroups . . . . .	4
1.3	Metaplectic Covers . . . . .	12
<b>2</b>	<b>Automorphic Forms</b>	<b>14</b>
2.1	Definition and Role . . . . .	14
2.2	Modular Forms . . . . .	16
<b>3</b>	<b>Automorphic Representations</b>	<b>21</b>
3.1	Local Representation Theory . . . . .	21
3.2	Automorphic Representations . . . . .	23
<b>4</b>	<b>Spectral Decomposition and Eisenstein Series</b>	<b>26</b>
4.1	Eisenstein Series . . . . .	26
4.2	Spectral Decomposition . . . . .	28
4.3	Normalisation and Automorphic L-Functions . . . . .	28
<b>5</b>	<b>Constant Terms</b>	<b>29</b>
5.1	Definition and Role . . . . .	29
5.2	Integration Lemmas . . . . .	30
5.3	Constant Terms of Eisenstein Series . . . . .	31
5.4	Siegel Phi Operator . . . . .	34
<b>6</b>	<b>Poles of Residual Eisenstein Series</b>	<b>41</b>
6.1	The Statements . . . . .	41
6.2	Computing the Constant Term . . . . .	42
6.3	Analysing the Intertwining Operator . . . . .	44
6.4	The Metaplectic Generalisation . . . . .	44
<b>A</b>	<b>Motivation</b>	<b>45</b>

# Notation

fix a global convention

# Chapter 1

## Classical Groups

We will recall a small amount of the theory of linear algebraic groups to fix conventions, for a more detailed treatment one should consult the litany of sources on this matter: For a full treatment see [Mil17][Milb][Mila][Spr98]. Excellent example computations can also be found in [Bui][Mak][MT][Not]. Or for a brief brush up on the main facts consult [BC79, I.I.1]. The purpose of this section is to treat the classical groups and more specifically  $\mathrm{Sp}_{2n}$  as an example and work out some of the details of the general theory, in order to “get our hands dirty” and have some familiarity with this object, to become fundamental in what follows. Because this theory is made up of simple ideas that can often be obscured by the generality we will make several restrictive assumptions for ease of exposition.

### 1.1 Definition

An algebraic group is for us a group scheme that is reduced, of finite type and defined over a field. A linear algebraic group (LAG) is an affine algebraic group. By [Spr98, 2.3.7(i)] then every LAG is (isomorphic to) a (Zariski) closed subgroup of  $\mathrm{GL}_n$ . A group scheme is called linear if it is (isomorphic to) a closed subgroup for  $\mathrm{GL}_n$ . Hence every linear algebraic group is a *linear* algebraic group. Moreover it is a basic fact that a Zariski closed sub-scheme of an affine scheme is affine [Mum99, II.5.T3].

As Milne points out [Mil17, Abstract] these are supposed to be matrix groups defined by polynomials, which are somehow the natural combinations of symbols that matrix multiplication will lead to. This means that they come with the powerful but cumbersome (for the beginner) technology of algebraic geometry. In particular one must be adept at moving between the following equivalences

**Theorem** ([Mila], II.6, III.4). *For  $k$  a field then the following categories are equivalent*

- *Group objects in  $\mathrm{Alg}_k^{\mathrm{op}}$*
- *Representable (in the category of groups) functors  $\mathrm{Alg}_k \rightarrow \mathrm{Group}$*
- *Group object in the category of affine schemes over  $k$*
- *Commutative Hopf algebras*

The exact groups that an author might mean by classical may vary. Here we will follow [Cli, §13] or indeed many other places as defining them as the automorphisms of a vector space with a bilinear form. First let  $V$  be a finite dimensional  $F$  vector space with a bilinear form  $\langle, \rangle$ . An automorphism of this form is a map  $\alpha \in \text{Aut}(V)$  such that

$$\langle \alpha(x), \alpha(y) \rangle = \langle x, y \rangle.$$

Therefore we can consider the space of automorphisms of this form  $\text{Aut}(V, \langle, \rangle)$ . This space, depending on the properties of the bilinear form will define our classical groups.

If the form is trivial, by which we mean,  $\forall x, y \quad \langle x, y \rangle = 0$  then we define

$$\text{GL}(V) := \text{Aut}(V, \langle, \rangle) = \text{Aut}(V).$$

If the form is non-degenerate and symmetric  $\forall x, y \quad \langle x, y \rangle = \langle y, x \rangle$  then we define

$$\text{O}(V) := \text{Aut}(V, \langle, \rangle).$$

Finally if the form is non-degenerate and skew symmetric  $\forall x, y \quad \langle x, y \rangle = -\langle y, x \rangle$  then

$$\text{Sp}(V) := \text{Aut}(V, \langle, \rangle).$$

There are the further classical groups given by the determinant one subgroups,  $\text{SL}(V), \text{SO}(V)$  respectively ( $\text{Sp}(V)$  one can show already implies that the determinant is one). Moreover every  $F$  algebra is an  $F$  vector space and so we have defined a functor from  $F$ -algebras to groups. This is what we will refer to as “classical groups” although we should note the omission of what the unitary groups that would usually be included.

Another way of motivating the importance of these groups is to consider the classification theory of the split reductive groups. Reductive is a representation theoretic condition that means, worse than semi-simple but still manageable, for more detail see [Mil17, 22.138] or hold your breath until 1.2. (Split) Reductive groups over fields have a classification in terms of root datum [Mil17, 22.48] which is a strong parallel of the classification of semi-simple Lie algebras, and in fact is proved largely by bootstrapping from that theory to a more general setting. These correspond to Dynkin diagrams that are listed in [Sha10, Appendix A,B]

is this right

and of which there are four infinite families, which correspond to the classical groups (plus the unitary groups). This is in the way of motivating the notion of classical groups as “almost all” reductive groups.

## 1.2 Subgroups

From now on we restrict to split reductive LAG because these are the natural adjectives for our classical groups over a number field (in particular characteristic 0).

Subgroups with special properties allow us to reduce and break up problems into smaller ones. Here we will briefly review and compute some examples of special subgroups, with a particular

eye on those of  $\mathrm{Sp}$ . The point of these subgroups is two fold. Some of them will help us perform “induction” from smaller simpler groups to larger ones. Others are there essentially as a part of the combinatorial data that classifies the groups we are working with. In particular we will come to understand the following decomposition’s (not direct)

$$G(\mathbb{A}) = M(\mathbb{A})U(\mathbb{A})K = T(\mathbb{A})U(\mathbb{A})K.$$

### 1.2.1 Parabolics, Levis and Unipotents

Parabolic subgroups have two equivalent formulations, both useful.

**Definition 1.1.** A subgroup  $P \subseteq G$  is called **parabolic** if the following equivalent conditions hold

- $G/P$  is a complete variety
- $P$  contains a Borel (see ).

insert the reference to

Completeness is the algebro-geometric analogue of compact, which is always a desirable property. The fact that they contain a Borel gives us an algebraic “parametrisation” of these subgroups, in the case of the classical groups through the use of flags or roots. It is very important to have a parametrisation of the parabolic subgroups when it comes to taking constant terms, as we will want to compare the values along some parabolics.

Parabolics also have the nice property that they split into a semi-direct product where one of the factors is a reductive group  $M$ . For this recall the definition

**Definition 1.2.** A subgroup is **unipotent** if all its elements are unipotent. The maximal closed, connected, unipotent subgroup  $U \subseteq G$  is the **unipotent radical** of  $G$ . A linear algebraic group is **reductive** if its unipotent radical is trivial.

Then we have the following fact / definition:

**Lemma** ([Bor91] 11.22). *There is a split exact sequence (of algebraic groups)*

$$0 \rightarrow U \rightarrow P \rightarrow M \rightarrow 0,$$

where  $U$  is the unipotent radical of  $P$ , and  $M$  is a reductive group known as a **Levi** (unique up to conjugacy).

Thus doing things on a parabolic allows us to induce said actions up to the whole group, whilst maintaining the nice property of being reductive. This is the technique of “parabolic induction” that we wont explicitly talk about here but which is happening secretly in the background in

ref getz and hahn

**Remark** (Bad Etymology). *The origin of the name parabolic is a mystery. Borel in his history [Ess, VI.§2] attributes it to R. Godement in [God]. Godement conjectures that the quotient  $G(\mathbb{A})/G(\mathbb{Q})$  is compact if and only if every element of  $G(\mathbb{Q})$  is semi-simple, as is the case in classical groups.*

ref later section defin subspaces..

*this is probably known by now.*

He says that

*Lorsque n'est pas compact, il est non moins facile de conjecturer qu'on doit pouvoir définir quelque chose d'analogue aux classiques "pointes paraboliques", lesquelles doivent correspondre à des sous-groupes unipotents non triviaux de  $G_{\mathbb{Q}}$*

which roughly (google) translates to that one can also conjecture that non-trivial unipotent elements should correspond to "parabolic points" in a fundamental domain.

In the case of modular forms the fundamental domain is  $\mathcal{H} = \mathrm{SL}_2(\mathbb{R})/\mathrm{SO}_2(\mathbb{R})$  (using orbit stabiliser theorem). We have the classification of elements of  $\mathrm{SL}_2(\mathbb{R}) - \{\pm 1\}$  as in [Bor97, 3.5] via their trace

$$g \text{ is of type } \begin{cases} \text{Elliptic if} & \frac{1}{2}|\mathrm{tr}(g)| < 1 \\ \text{Parabolic if} & \frac{1}{2}|\mathrm{tr}(g)| = 1 . \\ \text{Hyperbolic if} & \frac{1}{2}|\mathrm{tr}(g)| > 1 \end{cases}$$

Being parabolic is equivalent to having eigenvalue 1 hence by the Jordan decomposition we know that parabolics in  $\mathrm{SL}_2$  are conjugate (over  $\mathbb{C}$ ) to

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

Clearly the standard parabolic

$$\begin{pmatrix} a & b \\ & a^{-1} \end{pmatrix} \subseteq \mathrm{SL}_2(\mathbb{R}),$$

contains these matrices, and moreover all parabolics are conjugate to this parabolic. Hence all parabolic elements are contained in a parabolic subgroup. This classification, it seems, relies entirely on the aesthetic connection with the classification of the sections of conics via eccentricity.

To connect this to Godement's concept we have two facts from classical geometry. Proper parabolic subgroups of  $\mathrm{SL}_2(\mathbb{R})$  can be realised as the stabilisers of lines in  $\mathbb{R}^2$  under the standard action of  $\mathrm{SL}_2$  on  $\mathbb{R}^2$  [Bor97, 2.6] and moreover some an element of  $\mathrm{SL}_2(\mathbb{R})$  is parabolic if and only if it has one fixed point on  $\partial\bar{\mathcal{H}}$  and none on  $\mathcal{H}$  [Bor97, 3.5].

The take away is that perhaps the folklore of the name being for "para-Borelic", as in kind of a Borel, is probably a better way of thinking of them.

### The Example of $GL_n$

The parabolic subgroups of  $GL$  are given by stabilisers of flags, [Spr98, Exercise 3.2.16, 6.2.11][Con]. A flag for  $F^n$  is a sequence of subspaces

$$0 \subset W_1 \subset \cdots \subset W_r = F^n,$$

note the strict inclusion. When  $n = r$  it is a complete flag.  $GL_n(F)$  acts on a flag component wise i.e.,

$$g \cdot (W_1, \dots, W_r) := (g.W_1, \dots, g.W_r).$$

If  $F^n$  is given the standard basis of  $e_i = (\delta_i^j)_j$  then the standard (complete) flag is

$$0 \subset Fe_1 \subset Fe_1 \oplus Fe_2 \subset \cdots \subset \oplus_i Fe_i = F^n.$$

Because the stabiliser of a flag is always conjugate to a stabiliser of a sub-flag of the standard flag we allow ourselves from now on to only consider sub-flags of the standard flag.

**Remark.** *It is worth noting that what we do here by fixing a basis of  $F$  is the same as fixing a Borel and then considering only standard parabolics. Thus when we are talking about standard parabolics we are really working up “up to conjugacy”.*

Stabilisers of such sub-flags are (possibly upside down) “staircases”:

$$\begin{pmatrix} A_{11} & & * \\ & \ddots & \\ & & A_{rr} \end{pmatrix} \quad \uparrow \text{ gravity } \uparrow .$$

**Example.** *Consider the flag*

$$0 \subset Fe_1 \subset Fe_1 \oplus Fe_2 \oplus Fe_3 \subset F^4.$$

*Let’s find the stabiliser under the action of  $\mathrm{GL}_4(F)$ . We need to send  $Fe_1$  to itself,*

$$\forall x \in F, \quad \begin{pmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{pmatrix} \begin{pmatrix} x \\ \\ \\ \end{pmatrix} = \begin{pmatrix} ax \\ ex \\ ix \\ mx \end{pmatrix} \in F \begin{pmatrix} 1 \\ \\ \\ \end{pmatrix},$$

*and so  $e = i = m = 0$ . Next we need to send  $Fe_1 \oplus Fe_2 \oplus Fe_3$  to itself (as a subspace),*

$$\forall x, y, z \in F, \quad \begin{pmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ \end{pmatrix} = \begin{pmatrix} ax + by + cz \\ ex + fy + gz \\ iz + jy + kz \\ mx + ny + oz \end{pmatrix} \in \mathrm{Span}_F \left\{ \begin{pmatrix} 1 \\ \\ \\ \end{pmatrix}, \begin{pmatrix} \\ 1 \\ \\ \end{pmatrix}, \begin{pmatrix} \\ \\ 1 \\ \end{pmatrix} \right\} = \begin{pmatrix} * \\ * \\ * \\ * \end{pmatrix}.$$

*So we conclude that  $m = n = o = 0$ . Thus we are left with elements in  $\mathrm{GL}_4(F)$  that look like*

$$\begin{pmatrix} * & * & * & * \\ & * & * & * \\ & & * & * \\ & & & * \end{pmatrix}.$$

So what is happening here, for each piece of the flag you complete the diagonal that the basis vectors are in into the box that they span and you say that everything *directly* below that box must be zero.

refer to the figure in Latex



$$\begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix} \xrightarrow{\text{span}} \begin{pmatrix} \boxed{\begin{matrix} * & & * \\ & \ddots & \\ * & & * \end{matrix}} \\ \end{pmatrix} \xrightarrow{\text{delete}} \begin{pmatrix} \boxed{\begin{matrix} * & & * \\ & \ddots & \\ * & & * \end{matrix}} \\ \boxed{\text{X}} \end{pmatrix}.$$

We can also ask what is the unipotent radical and Levi of these parabolics and we have that in general the staircase

$$\begin{pmatrix} A_{11} & & * \\ & \ddots & \\ & & A_{rr} \end{pmatrix},$$

has unipotent  $N$  and Levi  $M$  given by

$$N = \begin{pmatrix} I_{11} & & * \\ & \ddots & \\ & & I_{rr} \end{pmatrix}, \quad M = \begin{pmatrix} A_{11} & & 0 \\ & \ddots & \\ & & A_{rr} \end{pmatrix}.$$

Then

$$P = M \ltimes U.$$

### The Example of $\mathrm{Sp}_{2n}$

The case of  $\mathrm{Sp}_{2n}$  is very similar to that of  $\mathrm{GL}_n$ , but we will use this later and so we present the details here. Following [Con] and the very explicit calculations in [Bui, §8]. We let  $(V, \langle, \rangle)$  be a symplectic space as above and  $Sp(V)$  is the automorphisms preserving the form.

**Example.**

$$\mathrm{Sp}_{2n} = \{M \in \mathrm{GL}_{2n} : \forall a, b \langle Ma, Mb \rangle = \langle a, b \rangle\},$$

then fixing a basis of the vector space  $V$ ,  $e_i$  allows us to create a matrix from this form, by setting the entries to be  $A_{ij} = \langle e_i, e_j \rangle$ . Then we get

$$\forall a, b \langle Ma, Mb \rangle = (Ma)^T A (Mb) = a^T M^T A M b = a^T A b,$$

and because this is so for all  $a, b$  we get that

$$M^T A M = A.$$

So if we fix a basis of  $V$ , where  $e_i = (\delta_i^j)_j$ , such that the form is given by the matrix

$$\begin{pmatrix} & I_n \\ -I_n & \end{pmatrix}.$$

A subspace is said to be **isotropic** if the form is constantly zero on it (in both variables). A flag is **isotropic** if the proper subspaces in it are isotropic subspaces. A **maximal isotropic** flag is one with exactly  $n$  components (we deal with this in more detail below).

**Example.** Continuing the previous example we can see what the form does on the standard basis vectors as above  $e_i = (\delta_i^j)_j$

$$(e_{n+1})^T \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} e_{n+1} = 0.$$

So the first  $n$  standard basis vectors give a basis for an  $n$  dimensional isotropic subspace, this is called the standard isotropic subspace. An  $n$  dimensional isotropic subspace is maximal and any flag of isotropic subspaces can be considered as a sub-flag of a complete flag of a maximal isotropic subspace (by inspecting the matrix of the form, if your space has a dimension of greater than  $n$  then it cannot be isotropic) [Bui, §8].

The action of  $\mathrm{Sp}$  preserves isotropic flags i.e. it sends an isotropic flag to an isotropic flag. Stabilisers of isotropic flags give parabolics of  $\mathrm{Sp}$  and moreover all parabolics arise in this way (see the above exercises in Springer).

**Example.** Now we have a maximal isotropic flag we can consider sub-flags and find their stabilisers. Let's look at  $\mathrm{Sp}_4$  and the following flag

$$0 \subset Fe_1 \subset Fe_1 \oplus Fe_2 \subset F^4.$$

Re-use our  $\mathrm{GL}$  computations to see that the stabiliser contains exactly matrices in  $\mathrm{Sp}$  of the form

$$\begin{pmatrix} * & * & * & * \\ & * & * & * \\ & & * & x \\ & & * & * \end{pmatrix}.$$

Because these are in  $\mathrm{Sp}$  we can find more relations among the entries than in the  $\mathrm{GL}$  case, we will pursue this further in the maximal case below, but to placate some we remark that  $x = 0$  and this emphasizes the duality between the upper left block and bottom right block.

In particular maximal parabolics of  $\mathrm{Sp}$  are stabilizers of *minimal* (non-trivial flags), i.e. stabilisers of non-zero isotropic subspaces.

$$0 \subset V_\ell \subset V,$$

where  $V_\ell = \mathrm{span}_F(e_1, \dots, e_\ell)$ . Then the stabilizer is

$$\begin{pmatrix} * & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \end{pmatrix},$$

with the sizes of the diagonal blocks being (these numbers square)

$$\begin{pmatrix} \ell & * & * & * \\ 0 & n-\ell & * & * \\ 0 & * & \ell & * \\ 0 & * & * & n-\ell \end{pmatrix}.$$

These sizes clearly determine the sizes of the rest of the matrix. This has Levi

$$\begin{pmatrix} A & & & \\ & a & & b \\ & & (A^T)^{-1} & \\ & c & & d \end{pmatrix}, \quad A \in \mathrm{GL}_\ell(F), \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_{2(n-\ell)}(F),$$

and unipotent

$$\begin{pmatrix} 1 & * & * & * \\ & 1 & * & \\ & & 1 & \\ & & * & 1 \end{pmatrix},$$

with relations among the entries.

### 1.2.2 Borel and Torus

One may find it helpful to understand these subgroups to understand the analogous story for Lie groups and their classification [Hal15], however it is not necessary.

**Definition 1.3.** A **split torus** is an algebraic group that is isomorphic to  $\mathrm{GL}_1^b$  for some  $b \in \mathbb{N}$ .

**Example** (Bad Etymology).  $\mathrm{GL}_1^2$  is a split torus. Notice that

$$\mathrm{GL}_1^2(\mathbb{C}) = \mathbb{C}^* \times \mathbb{C}^*$$

is isomorphic as abstract groups to  $U(1) \times U(1)$  which when  $U(1)$  is realised as  $\{z \in \mathbb{C} : |z| = 1\}$  is topologically equivalent to the torus  $\mathbb{T}^2 = \mathbb{S}^1 \times \mathbb{S}^1$ . Note that it is clear that

$$\mathrm{GL}_1^2(\mathbb{C}) \not\cong \mathbb{T}^2$$

as topological groups, as the right hand side is compact whilst the left is not.

It was pointed out to me that as algebraic groups over  $\mathbb{C}$  we have the following isomorphism

$$\mathrm{GL}_1 \cong U(1) \otimes_{\mathbb{R}} \mathbb{C}$$

*Proof*

Perhaps a more compelling reason to call these Tori is that they play the same role in the classification as the genuine tori,  $\mathbb{T}^2$ , in the theory of Lie groups. A subgroup that is isomorphic to a split torus and is maximal in this respect is called a maximal split torus.

**Example.** The classic example of a maximal split torus is the group of diagonal matrices in  $\mathrm{GL}_n$ .

**Definition 1.4.** A **Borel** is a maximal closed solvable connected subgroup of  $G$ .

**Example.** The standard Borel of  $\mathrm{GL}_n$  is the group of upper triangular matrices. If  $n$  is even and one intersects this Borel with  $\mathrm{Sp}_{2(\frac{1}{2}n)}$  then we get the standard Borel of  $\mathrm{Sp}_{2(\frac{1}{2}n)}$ .

Lets prove this in  $\mathrm{GL}_2$  and then believe that the only complication to going to larger  $n$  is keeping track of indices. So let

$$B = \begin{pmatrix} * & * \\ & * \end{pmatrix},$$

we need to show that the derived series terminates for it to be solvable. So let

$$g = \begin{pmatrix} x & y \\ & z \end{pmatrix}, \quad h = \begin{pmatrix} a & b \\ & c \end{pmatrix},$$

be arbitrary in  $\mathrm{GL}_2$ , there commutator is then

$$g^{-1}h^{-1}gh = (hg)^{-1}gh = \begin{pmatrix} ax & ay + cz \\ & cz \end{pmatrix}^{-1} \begin{pmatrix} ax & bx + cz \\ & cz \end{pmatrix} = \begin{pmatrix} 1 & \frac{bx-ay}{ax} \\ & 1 \end{pmatrix}.$$

Hence

$$[B, B] = \begin{pmatrix} 1 & * \\ & 1 \end{pmatrix}.$$

Commutate two arbitrary elements again

$$\begin{pmatrix} 1 & a \\ & 1 \end{pmatrix}^{-1} \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix}^{-1} \begin{pmatrix} 1 & a \\ & 1 \end{pmatrix} \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} = \begin{pmatrix} 1 & a+x \\ & 1 \end{pmatrix}^{-1} \begin{pmatrix} 1 & x+a \\ & 1 \end{pmatrix} = 1.$$

Hence

$$[[B, B], [B, B]] = 1.$$

For the other conditions, it is clear that this is a closed subgroup because it is itself a linear algebraic group, moreover for LAG we have the algebraic criterion of connectedness given by having the only idempotents in the representing algebra being 0, 1 [GH24, 1.5]. Because  $B = \mathrm{Spec} \mathbb{Z}[x_{i,j} : 1 \leq i, j \leq 2][y]/(\det(x_{ij})y - 1, x_{2,1})$  we can look for idempotents in  $\mathbb{Z}[x_{i,j} : 1 \leq i, j \leq 2][y]/(\det(x_{ij})y - 1, x_{2,1})$ , clearly 0 is idempotent, so assume that  $\begin{pmatrix} a & b \\ & c \end{pmatrix} \neq 0$  then we have that

$$\begin{pmatrix} a & b \\ & c \end{pmatrix}^2 = \begin{pmatrix} a^2 & ab+bc \\ & c^2 \end{pmatrix} = \begin{pmatrix} a & b \\ & c \end{pmatrix} \in B(\mathbb{Z}),$$

so  $a^2 = a, c^2 = c$  implies that  $a = c = 1$  and  $ab+bc = 2b = b$  implies that  $b = 0$ , hence  $\begin{pmatrix} a & b \\ & c \end{pmatrix} = 1$ .

Thus this group is connected.

Finally it is clear that if a subgroup contains this one then it is in fact all of  $\mathrm{GL}_2$  and hence this is maximal. Therefore this is a Borel.

A Borel can be considered to be a parabolic that is minimal with respect to inclusion. The maximal tori then form the Levis of these parabolics. In particular for a Borel  $B$  we have that

$$B = TU$$

for a maximal torus  $T$  and unipotent  $U$ .

We saw that fixing a minimal parabolic is like fixing a basis in the case of classifying parabolics of classical groups. If a Borel  $B$  is fixed, then a parabolic containing this Borel  $B \subseteq P$  is called standard, the unique Levi of a standard parabolic containing this Borel is called the **standard Levi**.

### 1.2.3 Maximal Compact Subgroups

Let our group be defined over the number field  $k$ . We will often need to fix a maximal compact subgroup  $K \subseteq G(\mathbb{A})$ , note that the topology is not the Zariski topology but the one specified in [Con12], this is sometimes known as the “Hausdorff” topology. These maximal compact subgroups are not unique and as such when fixing one it can be arranged to have many nice properties [MW95, I.1.4]. In particular if we have a group  $G$  and a fixed Borel  $B$ :

- First require that

$$K = \prod_{\nu} K_{\nu},$$

where the product is over all places of  $k$  and  $K_{\nu} \subseteq G(k_{\nu})$  is maximal compact.

But is the converse true?

- For almost all places  $\nu$  of  $k$   $G(\mathcal{O}_{k_{\nu}})$  is defined and is maximal compact in  $G(k_{\nu})$  hence we can require  $K_{\nu} = G(\mathcal{O}_{k_{\nu}})$  at these places.
- We require

$$G(\mathbb{A}) = B(\mathbb{A})K.$$

- For every standard parabolic  $P = MU$  we have that

$$P(\mathbb{A}) \cap K = (M(\mathbb{A}) \cap K)(U(\mathbb{A}) \cap K),$$

and  $M(\mathbb{A}) \cap K$  is a maximal compact subgroup of  $M(\mathbb{A})$ .

It is in terms of the third property that we like to think of the maximal compact subgroup, it is the complimentary piece of the Borel. Moreover the fourth property should be thought of as a condition that the maximal compact subgroups are well behaved with the way that we are moving between the bigger and smaller reductive groups.

## 1.3 Metaplectic Covers

We are also be interested in certain covering groups of these LAG's. In particular [MW95, I.1.1] we will be intereseted in  $\mathbf{G}$  some topological group given as a finite central cover of  $G(\mathbb{A})$ . If

$\text{pr} : \mathbf{G} \rightarrow G(\mathbb{A})$  is the projection then to the subgroups listed above we can associate their “lifts” (preimages under  $\text{pr}$ ).

**Example** (Metaplectic Group). *There is a rich history and representation theory of this group, which we make no pretense of understanding, however some hints can be found in [Kud] and the references therein.*

There is an analogue of the Langlands program being developed for such groups a nice introduction to which can be found in [GGW17].

perhaps a first natural question is whether or not these can be given the structure of LAG's. That paper mentions representability. Look

## Chapter 2

# Automorphic Forms

There are different definitions of the words automorphic forms floating around, here we fix a nice one and then explain how they generalize the classical modular forms. Although this has been done in several places, none suit my taste to a tee, and so we briefly present our own take on this. We intend to be terse as this material is somewhat standard.

### 2.1 Definition and Role

The story starts with the classical modular forms, or functions on the upper half plane that satisfy some invariance conditions and differential equations. This evolves into the notions of Maas form on symmetric spaces and eventually reaches its apotheosis in the concept of automorphic form that we will present here.

reference or more detail for the history

We still do not have a good answer as to why the definition below is “the right” definition, from a mathematical perspective, as there are many places in which it could be extended or restricted and we are unable to motivate why one shouldn’t consider such things. Indeed there are varying notions of automorphic form that appear for this reason and I think it is important to stress that this is “the right definition” only in so far as people have been able to prove nice theorems about them, and that when functions appear “in nature” this concept has sufficed to encompass and explain their behavior. It is the representation theoretic properties more than anything that suggest the current definition as is mentioned in [BC79, 1.II.3].

We will present two notions of automorphic form here. In the literature they are both called “automorphic forms” however here we will distinguish those that are defined only on the archimedean points as “archimedean automorphic forms” for clarity.

#### 2.1.1 Archimedean Automorphic Form

Fix a global (number) field  $F$ . Let  $\nu$  be an Archimedean place and let  $\infty$  denote the set of Archimedean places. Then  $F_\nu$  is either  $\mathbb{R}$  or  $\mathbb{C}$ . In particular (the analytification of)  $G(F_\nu)$  is a Lie group and we call a function,  $\varphi : G(F_\nu) \rightarrow \mathbb{C}$ , *smooth* if it is smooth in the sense of manifolds.

Now we fix an embedding  $\iota : G \rightarrow GL_n$  which gives another embedding  $G \rightarrow SL_{2n}$  via

$$g \mapsto \begin{pmatrix} \iota(g) & \\ & (\iota(g))^{-t} \end{pmatrix}$$

A function  $\varphi : G(F_\infty) = G(\prod_{v|\infty} F_\nu) \rightarrow \mathbb{C}$  is of *moderate growth* if there are constants  $(c, r) \in \mathbb{R}_{>0} \times \mathbb{R}$  such that

$$|\varphi(g)| \leq c \|g\|^r = c \left( \prod_{v|\infty} \sup_{1 \leq i, j \leq 2n} |\iota(g)_{i,j,\nu}|_\nu \right)^r$$

this is taking the maximum of the  $2n \times 2n \times |\infty|$  three dimensional matrix.

there is a nice characterization over  $\mathbb{Q}$  for representations and norms as traces of blah in Borel. I like it a lot just need to check its fine for other number fields.

Because  $G(F_\infty)$  is a Lie group we know how to define its Lie algebra and we now denote  $Z(\mathfrak{g})$  the center of the *universal enveloping algebra* of the *complexification* of  $\mathfrak{g}$ , it would be more reasonable to use  $Z(\mathcal{U}(\mathfrak{g}_\mathbb{C}))$  but that is too cumbersome so we follow the tradition. A vector in a  $Z(\mathfrak{g})$  module  $\varphi \in V$  is called  $Z(\mathfrak{g})$ -finite if the space  $Z(\mathfrak{g})\varphi$  is finite dimensional.

Let  $K_\infty \leq G(F_\infty)$  be a maximal compact subgroup. Then again an element of a  $K_\infty$  module is  $K_\infty$  finite if its orbit is a finite dimensional vector space space (we think here of  $\mathbb{C}[K_\infty]$  modules).

To define automorphic forms we look at the representation  $C^\infty(F_\infty)$  with the right regular action. In particular the  $Z(\mathfrak{g})$  module structure is induced from the action of  $\mathfrak{g}$  on  $C^\infty(G(F_\infty))$  by

$$z.F(g) = \frac{\partial}{\partial t} F(ge^{tz})$$

**Definition.** Let  $\Gamma \leq G(F_\infty)$  some arithmetic subgroup, an automorphic form for  $\Gamma$  is a smooth function of moderate growth

$$\varphi : G(F_\infty) \rightarrow \mathbb{C}$$

that is  $K_\infty$  and  $Z(\mathfrak{g})$  finite with a (left)  $\Gamma$  invariance.

Denote the set of these archimedean automorphic forms by  $\mathcal{A}(\Gamma \backslash G(F_\infty))$

Borel 1.6 gives a good explanation of the growth condition.

### 2.1.2 Adelic Automorphic Form

Here we follow [MW95, I.2.17]. Let  $G$  be a reductive group over  $F$ , we fix a Borel  $B$  and a standard parabolic  $P$  with a standard Levi decomposition  $P = MU$ . We let  $K$  be a maximal compact subgroup of  $G(\mathbb{A})$  satisfying the conditions laid out earlier 1.2.3.

**Definition 2.1.** A function  $\varphi : U(\mathbb{A})M(F) \backslash G(\mathbb{A}) \rightarrow \mathbb{C}$  is an automorphic form if it is smooth, moderate growth,  $Z(\mathfrak{g})$  and  $K$  finite.

We will denote the set of these automorphic forms by  $\mathcal{A}(U(\mathbb{A})M(F) \backslash G(\mathbb{A}))$

*Remark 2.2.* It is important that  $M(F)$  is treated as a subgroup of  $M(\mathbb{A})$  via the diagonal embedding.

For  $v \neq \infty$  a non-archimedean place then we say that a function  $f : G(F_\nu) \rightarrow \mathbb{C}$  is smooth if it is locally constant in the induced topology on  $G(F_\nu)$ , the details of this topology are spelled out



in [Con12]. The set of such smooth functions is denoted  $C^\infty(G(F_\nu))$ .

For the non-archimedean places we define smooth functions on the “finite adeles”  $\mathbb{A}_f$  as

$$C^\infty(\mathbb{A}_f) := \bigotimes_{\nu \neq \infty} 'C^\infty(G(F_\nu))$$

And for the archimedean places we define

$$C^\infty(G(F_\infty)) := C^\infty\left(\prod_{\nu|\infty} G(F_\nu)\right)$$

For the full Adele we define

$$C^\infty(\mathbb{A}_F) := C^\infty(G(\mathbb{A}_f)) \otimes C^\infty(G(F_\infty))$$

A function on the adeles is smooth if it is in this set. Notice that a priori the codomain is an infinite tensor product over  $\mathbb{C}$  of copies of  $\mathbb{C}$ , which is isomorphic to  $\mathbb{C}$ . Thus we can conflate a smooth function with its composition along this isomorphism, and think of them as functions into  $\mathbb{C}$ .

We still consider  $Z(\mathfrak{g})$  to be the center of the universal enveloping algebra of the Lie algebra at the infinite places, exactly as before. We define an action by linearly extending

$$z.(f \otimes g) = f \otimes (z.g)$$

i.e. it acts on the archimedean places as in the setting of archimedean automorphic forms.

The definition of moderate growth carries over verbatim, however we change the set of places multiplied over to be all of them now.

*Remark 2.3* ([BC79], 1.II.3). The collection of moderate growth functions is independent of the choices of embedding.

## 2.2 Modular Forms

One might ask if there is a special case in which automorphic forms yield modular forms. In fact no, the space of automorphic forms is larger than just modular forms, however it gives the space of Maas forms (or modular and Maas forms, depending on convention). This is well covered in the literature [Eme][Bum97, 3.2][Boo][Gar16], but so essential to intuiting automorphic forms that we feel it is necessary to present the details here. To be clear we explain modular forms as archimedean automorphic forms as we think it is where the connection is clearest.

Recall the definition of a modular form

**Definition** ([DS05] 1.1.2). *A function*

$$\varphi : \mathcal{H} \rightarrow \mathbb{C},$$

where  $\mathcal{H}$  is the upper half plane in  $\mathbb{C}$ , that is holomorphic, satisfies

$$\varphi(\gamma.z) = (cz + d)^k \varphi(z), \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$$

and extends holomorphically to  $\infty$  is called a modular form of weight  $k$ .

These are modular forms with trivial character and full level.

Now give a function on a set  $X$  and an action of a group  $G$  on  $X$ , there is a general way of associating to  $\mathrm{Hom}(X, Y)$  a family of maps  $\mathrm{Hom}(G, Y)$  indexed by  $X$ . This is a manifestation of the tensor-hom adjunction. Effectively if  $f : X \rightarrow Y$  then we get a map for each  $x \in X$  defined on  $f_x : G \rightarrow Y$  given by  $g \mapsto f(g.x)$ .

So for our purposes we are trying to take some subset of functions  $\mathcal{H} \rightarrow \mathbb{C}$  and shift their domain to the  $\mathbb{Q}_\infty = \mathbb{R}$  points of some reductive group. In particular it would be sufficient to find a reductive group with a well defined action on the upper half plane and in particular we would want to quotient to be transitive.

**Theorem.**

$$\mathcal{H} \cong \mathrm{SL}_2(\mathbb{R}) / \mathrm{SO}_2(\mathbb{R})$$

as topological spaces.

**Proof.** Consider the action

$$\mathrm{SL}_2(\mathbb{R}) \curvearrowright \mathcal{H} : \begin{pmatrix} a & b \\ c & d \end{pmatrix} . z = \frac{az + b}{cz + d}$$

Then look at the orbit of  $i$ , namely

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} . i = \frac{ai + b}{ci + d} = a^2i + ab$$

which letting  $a, b \in \mathbb{R}$  vary is clearly surjective onto the whole upper half plane. So there is one orbit, and hence by the orbit stabiliser we know that

$$\mathcal{H} \cong \mathrm{SL}_2(\mathbb{R}) / \mathrm{stab}(i)$$

so we want to find

$$\mathrm{stab}(i) = \left\{ g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R}) : g.i = i \right\}$$

in particular we solve

$$\begin{aligned} i &= g.i \\ &= \frac{ai + b}{ci + d} \\ &= (c^2 + d^2)^{-1} (ai + b)(d - ci) \\ &= (c^2 + d^2)^{-1} (ac + bd + i \det(g)) \end{aligned}$$

So equating coefficients we have

$$\det g(c^2 + d^2)^{-1} = 1 \implies c^2 + d^2 = \det g = 1$$

on the other hand

$$ac + bd = 0$$

Now the pairs  $c^2 + d^2 = \det g$  are parameterized by  $\theta \in [0, 2\pi)$  using  $c = \sin \theta, d = \cos \theta$  hence subbing this into the above equation

$$\frac{-b}{a} = \tan \theta$$

and so  $b = -k \sin \theta, a = k \cos \theta$  for some  $k \in \mathbb{R}$  but the determinant must be 1 so  $k = 1$ . Hence

$$\text{stab}(i) = \left\{ \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} : \theta \in [0, 2\pi) \right\} = SO_2(\mathbb{R})$$

One then has to check that this is all continuous.

*Remark 2.4.* Sometimes for

what reasons...

this is exhibited as

$$\mathcal{H} \cong \text{GL}_2^+(\mathbb{R}) / A_{\text{GL}_2} SO_2(\mathbb{R})$$

this obscures the connection with the reductive group setting however because it is not obvious that

*Lemma.*  $\text{GL}_2^+$  is a reductive group over  $\mathbb{Q}$ .

**Proof.** It is the connected component of the identity and therefore a closed subgroup. Therefore by Matsushima's criterion [Arz05] we have that  $\text{GL}_2 / \text{GL}_2^+$  is affine iff  $\text{GL}_2^+$  is reductive. But the thing on the left is the constant group scheme  $\mathbb{Z}/2\mathbb{Z}$  which is affine.

$\text{SL}_2$  is a reductive group and  $SO_2(\mathbb{R})$  is its maximal compact subgroup. This decomposition of the upper half plane suggests that function on it might have some invariance along the maximal compact subgroup of the reductive group  $\text{SL}_2$ . Indeed if we were to push our modular forms along this isomorphism it would, with the construction that we outlined earlier in terms of a group action on a set, exhibit this invariance. This is merely *evidence* that if we were to change our modular forms to functions on the reductive group  $\text{SL}_2$  they may preserve *some* of that invariance and indeed be K-finite.

$$\begin{array}{ccc} \begin{pmatrix} y^{1/2} & xy^{-1/2} \\ & y^{-1/2} \end{pmatrix} SO_2(\mathbb{R}) = \text{SL}_2(\mathbb{R}) & \xrightarrow{\text{project}} & \text{SL}_2(\mathbb{R}) / SO_2(\mathbb{R}) \xrightarrow[x \mapsto x \cdot i]{\sim} \mathcal{H} \\ & \searrow \text{descend} & \\ & & \text{SL}_2(\mathbb{Z}) \backslash \text{SL}_2(\mathbb{R}) \end{array}$$

Using something like the universal property of the quotient we can lift a function on  $\text{SL}_2(\mathbb{R}) / SO_2(\mathbb{R})$  to  $\text{SL}_2(\mathbb{R})$  however this is not  $\text{SL}_2(\mathbb{Z})$  invariant, thus we need to add a pre-factor to ensure this in

our associated automorphic form. The algebro-geometric perspective in [Eme] can make this seem slightly less ad hoc.

Finally for  $f$  be a modular form of weight  $k$  then we associate the following function on  $\mathrm{SL}_2(\mathbb{R})$

$$F(g) := (ci + d)^{-k} f(g.i)$$

We take for granted its smoothness. The  $\mathrm{SL}_2(\mathbb{Z})$  invariance is obvious from the modularity condition.

It remains to show the three other properties:

**Lemma.**  $F(g)$  is of moderate growth.

**Proof.** Unraveling the definitions we require two constants such that

$$|F(g)| = |ci + d|^{-k} |f(g.i)| \leq c(\sup_{i,j}(g, g^{-1}))^r$$

A direct computation shows that

$$\mathrm{Im}(g.i) = |ci + d|^{-2}$$

hence we require to show

$$\mathrm{Im}(g.i)^{k/2} |f(g.i)| \leq c(\sup_{i,j}(g, g^{-1}))^r$$

Somehow invoke polynomial growth...? but the modularity condition has the growth condition that  $\lim_{x \rightarrow \infty} f(xi)$  be bounded.

**Lemma.**  $SO_2(\mathbb{R})$  is a maximal compact subgroup inside  $\mathrm{SL}_2(\mathbb{R})$ .  $F$  is an  $SO_2(\mathbb{R})$  finite function.

**Proof.** First take  $\kappa = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in K = SO_2(\mathbb{R})$  then

$$\kappa.i = \frac{i \cos \theta - \sin \theta}{i \sin \theta + \cos \theta} = \frac{-i(-\cos \theta - i \sin \theta)}{e^{i\theta}} = i$$

hence for  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R})$  we have that

$$\begin{aligned} F(g\kappa) &= ((c \cos \theta + d \sin \theta)i - c \sin \theta + d \cos \theta)^{-k} f(g.\kappa.i) \\ &= ((c \cos \theta + d \sin \theta)i - c \sin \theta + d \cos \theta)^{-k} f(gi) \\ &= (ci \cos \theta - c \sin \theta + d \cos \theta + di \sin \theta)^{-k} f(gi) \\ &= (-i^2(ci \cos \theta - c \sin \theta) + de^{i\theta})^{-k} f(gi) \\ &= (ice^{i\theta} + de^{i\theta})^{-k} f(gi) \\ &= (ic + d)^{-k} e^{-ik\theta} f(gi) \\ &= e^{-ik\theta} F(g) \end{aligned}$$

Now this shows that  $F(g)$  is acted on by  $K$  via a one dimensional irreducible representation.

■ In particular it is finite dimensional.

**Lemma.** *F is a  $Z(\mathfrak{sl}_2)$  finite function.*

**Proof.** Only a sketch.

The center of the universal enveloping algebra of the complexified Lie algebra is generated by the Casimir operators. From [Gar10] we know that the casimir is

$$\Omega = \frac{1}{2}H^2 + XY + YX$$

we have the coordinates on  $\begin{pmatrix} y^{1/2} & xy^{-1/2} \\ & y^{-1/2} \end{pmatrix} SO_2(\mathbb{R}) = SL_2(\mathbb{R})$  from [Bum97][1.19 pg 139] in which the casimir acts as the differential operator

$$\Delta = y^2 \left( \left( \frac{\partial}{\partial x} \right)^2 + \left( \frac{\partial}{\partial y} \right)^2 \right) - y \frac{\partial^2}{\partial x \partial \theta}$$

[Bum97][1.29 pg 143 ,Prop 2.2.5 pg 155]. Now we claim that F is an eigenfunction for this operator. An element  $(x, y, \theta) := \begin{pmatrix} y^{1/2} & xy^{-1/2} \\ & y^{-1/2} \end{pmatrix} \kappa_\theta \in SL_2(\mathbb{R})$  acts on  $i$  by sending it to  $x + iy$  (elementary computation). The bottom row of the product is  $y^{-1/2} \sin \theta; y^{-1/2} \cos \theta$  which results in

$$F(x, y, \theta) = y^{k/2} e^{-ik\theta} f(x + iy)$$

It is then a calculus exercise to apply  $\Delta$  to this, using the holomorphicity we also get that  $f_{xx} - f_{yy} = 0$  and  $f_y = if_x$  which cancels away terms and we get that

$$\Delta F(x, y, \theta) = \frac{k}{2} \left( \frac{k}{2} - 1 \right) F(x, y, \theta)$$

Therefore the dimension of  $Z(\mathfrak{g})F$  is simply one.

this example makes it clear that the two finiteness conditions for automorphic forms are in some sense functional equations that they must satisfy.

There is a nice explanation of how to lift this to the adelic setting in several places, however it is stated quite clearly in [?, 2.1]

## Chapter 3

# Automorphic Representations

The references that will be most helpful are [BC79, I.II][GH24] for the general theory, we will follow the notation developed in [MW95] as it is somewhat standard. We will discuss some of the details of their representation theory because it is both subtle and needed later. In particular we want to draw attention to what we think of as the “non-algebraic” nature of the representation theory.

### 3.1 Local Representation Theory

#### 3.1.1 At the Archimedean Places

What we have called automorphic forms are sometimes referred to as “smooth  $K$ -finite automorphic forms” [?, 2.2]. We want to consider the right regular action of  $G(\mathbb{A})$  on  $\mathcal{A}()$ , unfortunately this space is not stable under this action. The problem is in the  $K$ -finiteness in the infinite places.

**Example** ([?], 2.3). *If  $\varphi \in \mathcal{A}(\Gamma \backslash G(F_\infty))$  is  $K_\infty$ -finite, then  $g \cdot \varphi$  is  $gK_\infty g^{-1}$ -finite. This is still a maximal compact subgroup, however in the infinite place it will a priori have only the identity in common with the original  $K$ .*

*Consider  $SL_2$  where the maximal compact is  $SO_2$ , if we conjugate we get*

*fill*

*Finally it is worth noting that this is not an issue at the finite places, namely if  $K = K_f K_\infty$  is our maximal compact subgroup of  $G(\mathbb{A})$  then  $K_f$  is also open and hence  $K_f \cap gK_f g^{-1}$  is of finite index in both  $K_f$  and  $gK_f g^{-1}$  and so their notions of  $K$ -finiteness will agree.*

For this reason we will need to talk about  $(\mathfrak{g}, K)$ -modules. This is the solution of Harish-Chandra that we do not yet understand the full significance of.

**Definition 3.1** ([GH24], 4.4.6). Let  $G$  be a Lie group (for example the analytification of the real or complex points of our favourite reductive LAG) and  $K$  be a maximal compact subgroup of  $G$ . Let  $\mathfrak{g}$  be the complexified Lie algebra of  $G$  and  $\mathfrak{k}$  the real Lie algebra of  $K$ .

A  $(\mathfrak{g}, K)$ -module is a complex vector space  $V$  with two representations

$$\tilde{\pi} : \mathfrak{g} \rightarrow \text{End}(V), \quad \pi : K \rightarrow \text{GL}(V)$$

satisfying the following axioms

- $V$  decomposes into a countable direct sum of finite dimensional  $K$  representations.
- The representations should be compatible: For all  $X \in \mathfrak{k}$  and  $v \in V$

$$\tilde{\pi}(X)(v) = \frac{d}{dt} \pi(e^{tX})(v)|_{t=0} = \lim_{t \rightarrow 0} \frac{\pi(e^{tX})(v) - v}{t}$$

In particular the right hand limit exists

- And compatible with the adjoint representation: For  $k \in K$  and  $X \in \mathfrak{g}$

$$\pi(k)\tilde{\pi}(X)\pi(k^{-1})(v) = \tilde{\pi}(Ad(k)(X))(v)$$

*Remark 3.2.* It is common to use the same symbol for both of these representations in the  $(\mathfrak{g}, K)$ -module.

Now one can check

reference

that the space of archimedean automorphic forms is in fact a  $(\mathfrak{g}, K_\infty)$ -module, under the representations that we have already specified; namely the regular action given by  $K$  and the representation that we defined when talking about the center of the enveloping algebra.

link to the other sections

### 3.1.2 At the Non-Archimedean Places

As we noted above the right regular representation on the space of automorphic forms is well defined for the finite places i.e. if  $\varphi(x) \in \mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$  and  $g \in G(\mathbb{A}_f)$  then  $\varphi(xg) \in \mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$ . Hence  $\mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$  is a  $G(\mathbb{A}_f)$ -module. In particular it is a module for  $G(F_\nu)$  for all  $\nu$  non-archimedean.

### 3.1.3 Hecke Algebra

Following [BC79, I.II]. The Hecke algebra  $\mathcal{H}(G(F_\infty), K)$  is the convolution algebra of distributions on  $G(F_\infty)$  that are supported in  $K$

**Theorem.**

Make precise the relation between this hecke algebra and  $g, K$  modules...

From the theory of  $p$ -adic representations we now recall the definition of the local Hecke algebra when  $\nu$  is non-archimedean. For  $G(F_\nu)$  the Hecke algebra  $\mathcal{H}(G(F_\nu))$  is the  $\mathbb{C}$ -algebra of locally constant compactly supported distributions.

**Theorem 3.3** (Bernstein - Representations of  $p$ -Adic groups, Thm 2(3)). *There is an equivalence of categories*

$$\text{Smooth representations of } G(F_\nu) \cong \text{Non-degenerate } \mathcal{H}(G(F_\nu)) \text{ modules}$$

It suffices to remark that, without defining smooth, the above representation is smooth on the local peices. We define the Hecke algebra of the finite Adeles as

$$\mathcal{H}(G(\mathbb{A}_f)) := \bigotimes_{\nu} {}'\mathcal{H}(G(F_{\nu}))$$

fix restricted tensor product

where the product is over the non-archimedean places. This is the restricted tensor product taken over a family of designated idempotents, for the details see

reference

The point of the Hecke algebra, in the context of this work, isnt to “look inside” but simply know that the automorphic representations can be thought of as authentic representations of some commutative algebra. This is merely a convenience for stating definitons in later sections.

## 3.2 Automorphic Representations

Recall that if  $A$  is an  $R$  module and we have the inclusions of  $R$  modules  $C \subseteq B \subseteq A$  then we call  $B/C$  a subquotient of  $A$ . We now think of  $\mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$  as being a  $G(\mathbb{A}_f) \times (\mathfrak{g}, K)$  module. An automorphic representation is then an irreducible subquotient of this representation.

*Remark 3.4.* This is the first place that I beleive we must remark that we really need a set theoretic definition here. The quotient of these modules cannot be considered up to isomorphism but must be the classical set theoretic realisation of this object, defined as equivilence classes of elements of the module.

Because of the equivilences between such representations and the representations of the Hecke algebra we can also define an automorphic representation to be an irreducible subquotient of a representation of the *global* Hecke algebra

$$\mathcal{H}(G(\mathbb{A})) := \mathcal{H}(G(\mathbb{A}_f)) \otimes \mathcal{H}(G(F_{\infty}))$$

It is always good to have multiple perspectives on such things. In particular we think that the  $(\mathfrak{g}, K)$ - module perspective makes it more clear why these representations are interesting, as being the closest thing to the regular representation we can get. On the other hand the fact that the representations of the Hecke algebra are traditional representations makes definitions easier to state as we can import that language.

**Example.** *It is very hard to really write down something explicit. One thing that we can do is take a modular form  $f$ . Then we know how to associate a concrete automorphic form  $\tilde{f}$ . To this (or any fixed automorphic form) we have an automorphic representation given by acting on this vector:*

$$(G(\mathbb{A}_f) \times (\mathfrak{g}, K)) \cdot \tilde{f} \subseteq \mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$$



### 3.2.1 Cuspidal Representations

Recall that an automorphic form  $\varphi \in \mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$  is called cuspidal if all its constant terms vanish, see the next section for more detail 5.1. The space of such automorphic forms is denoted  $\mathcal{A}_0(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$ .

An automorphic representation is called cuspidal if it is an irreducible subquotient of  $\mathcal{A}_0(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))$ .

**Remark.** Here we really mean contained as sets, not isomorphic as / to a subrepresentation.

### 3.2.2 Isotypic Components

Following the convention of [MW95, II.1] we make two cases: Let  $\pi$  be an irreducible subquotient of the space  $\mathcal{A}(M(k)\backslash M(\mathbb{A}))$ , that is *not cuspidal*. Then we denote the  $\pi$  isotypic component of  $\mathcal{A}(M(k)\backslash M(\mathbb{A}))$  by  $\mathcal{A}(M(k)\backslash M(\mathbb{A}))_\pi$ .

If we will also need the space

$$\mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))_\pi := \{\varphi \in \mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A})) : \forall k \in K, \varphi_k \in \mathcal{A}(M(k)\backslash M(\mathbb{A}))_\pi\}$$

where  $\varphi_k : M(\mathbb{A}) \rightarrow \mathbb{C}$  is given by  $\varphi_k(x) = \varphi(xk)$ .

Now if  $\pi$  is cuspidal, we define  $\mathcal{A}(M(k)\backslash M(\mathbb{A}))_\pi$  to be the isotypic component of  $\pi$  in  $\mathcal{A}_0(M(k)\backslash M(\mathbb{A}))$  and similarly we have

$$\mathcal{A}(U(\mathbb{A})M(F)\backslash G(\mathbb{A}))_\pi := \{\varphi \in \mathcal{A}_0(U(\mathbb{A})M(F)\backslash G(\mathbb{A})) : \forall k \in K, \varphi_k \in \mathcal{A}(M(k)\backslash M(\mathbb{A}))_\pi\}$$

AAAAAAAAHHH BUT DO I TAKE THE CUSPIDAL PART OF INSIDE HERE OR NOT AHHHHHHH DEFINE YOU TERMSSSSSS AHHHH

**Remark.** We cannot simply take the isotypic components we need to take the isotypic components after explicitly restricting the spaces. This is a knock on effect from our last remark.

This is also true for things like taking tensor products, direct sums etc of representations. If one wants to say that the tensor of cuspidal representations is cuspidal then you really need to fix what you mean by the tensor, set theoretically. There is a usual construction of a tensor product that is indeed what is meant, but it is important because this thing is only unique up to isomorphism which doesn't preserve cuspidality.

The point is that we want the isotypic component corresponding to a cuspidal representation to be cuspidal, however this just might not be the case. Yamana and Teck Gan have a counter example when one allows unitary groups over division algebras (non-commutative fields). It could be interesting to investigate this example more closely to see if the example can be pulled back to a unitary group over a field. In [?] there is an automorphic representation of the quaternionic unitary group constructed,  $\Pi(V)$ , that according to [?, Rm. 7.12] appears in both the cuspidal and residual spectrum (more details late 4.2). By that Yamana means that up to isomorphism the representation can be seen in both residual and cuspidal spectrum. In particular if one were to take the component that is in the cuspidal spectrum and look at its isotypic component then the versions in the residual spectrum would also occur and hence by definition of residual spectrum would not be cuspidal.

If we restrict to the cases dealt with in for instance [MW95], namely not dealing with quaternions, then we have been told that this is an open problem whether or not this restriction is superfluous.

There is a conjecture by Mœglin and Waldspurger that this is a superfluous conventions ?? reference Chenyan?

## Chapter 4

# Spectral Decomposition and Eisenstein Series

### 4.1 Eisenstein Series

As usual we fix a connected reductive group  $G$  defined over a number field  $F$ , with a Borel  $B$ , a standard parabolic with Levi decomposition  $P = MU$ .

Following the setup in [MW95, I.1.4] we denote  $\mathfrak{a}_M^* = X_M$  the set of ....

Then we define  $X_M^G$  as the ....

**Example.** For the maximal parabolic  $P_r$  with Levi  $M_r$  of  $\mathrm{Sp}_{2n}$  we have that  $X_{M_r}^{\mathrm{Mp}_{2n}(\mathbb{A})}$  is at most a one dimensional  $\mathbb{C}$  vector space.

First of all we have that [MW95, I.1.4]

$$X_{M_r}^{\mathrm{Mp}_{2n}(\mathbb{A})} \subseteq X_{M_r} \cong \mathfrak{a}_{M_r}^* := \mathrm{Rat}(M_r) \otimes_{\mathbb{Z}} \mathbb{C}$$

thus it is clearly sufficient to bound the dimension of  $\mathfrak{a}_{M_r}^*$  as a  $\mathbb{C}$  vector space, moreover this dimension agrees with the dimension of  $\mathrm{Rat}(M_r)$  as a free  $\mathbb{Z}$  module.

Thus we compute  $\dim_{\mathbb{Z}}(\mathrm{Rat}(M_r))$ :

$$\begin{aligned} \mathrm{Rat}(M_r) &= \mathrm{Rat}(\mathrm{GL}_r \times \mathrm{Sp}_{2m}) \\ &= \mathrm{Hom}(\mathrm{GL}_r \times \mathrm{Sp}_{2m}, \mathbb{G}_m) \\ (2) &\cong \mathrm{Hom}(\mathrm{Ab}(\mathrm{GL}_r \times \mathrm{Sp}_{2m}), \mathbb{G}_m) \\ (1) &\cong \mathrm{Hom}(\mathrm{Ab}(\mathrm{GL}_r) \times \mathrm{Ab}(\mathrm{Sp}_{2m}), \mathbb{G}_m) \\ (3) &\cong \mathrm{Hom}(\mathbb{G}_m \times 1, \mathbb{G}_m) \\ &\cong \mathbb{Z} \end{aligned}$$

in (2) we have used the universal property of the abelianization  $\mathrm{Ab}(G) = \mathcal{D}(G) \setminus G = [G, G] \setminus G$  because  $\mathbb{G}_m$  is abelian. (1) is that the abelianization commutes with direct products (citation as comment in Tex). (3) is because  $\mathrm{Sp}$  is a perfect group.

There is the natural map  $m_P$  ...

Now if we take the collection of irreducible automorphic representations of  $M$ , call it  $\hat{\mathcal{A}}$ , then there is an action of  $X_M^G$  on  $\hat{\mathcal{A}}$  given by tensoring. Then  $\hat{\mathcal{A}}$  decomposes as a disjoint union of its orbits. Consider the orbit  $\mathfrak{P}$  of a cuspidal representation  $\pi_0$ , then by definition  $X_M^G$  acts transitively but it also acts freely [MW95, II.1]. Thus  $\mathfrak{P}$  is in bijection with  $X_M^G$ . Through this bijection we transmit the comultiplicative structure on  $\mathfrak{a}_M^*$  to  $X_M$  then to the quotient  $X_M^G$  and finally to  $\mathfrak{P}$ .

Now we will define an Eisenstein series: Let  $\mathfrak{P}$  be as above, let  $\pi \in \mathfrak{P}$  and  $\varphi_\pi \in \mathcal{A}(U(\mathbb{A})M(k)\backslash G(\mathbb{A}))_\pi$ , then  $\lambda \in X_M^G$  acts on  $\varphi_\pi$  by

$$\lambda.\varphi_\pi = \lambda \circ m_P \varphi_\pi$$

which is then an element of  $\mathcal{A}(U(\mathbb{A})M(k)\backslash G(\mathbb{A}))_{\pi \otimes \lambda}$ . Finally we have the Eisenstein series which is defined by the following sum

$$E(\varphi_\pi, \lambda, g) = \sum_{\gamma \in P(k)\backslash G(k)} \lambda.\varphi_\pi(\gamma g)$$

whenever it is convergent. The first thing to note is that for a fixed  $\varphi$  there is an open set in  $X_M^G$  and a compact subset of  $G(k)\backslash G(\mathbb{A})$  such that the Eisenstein series converges (normally) [MW95, II.1.5].

They say its an automorphic form here but the compact set isnt all of  $G(\mathbb{A})$  so i dont know how to interpret that... $\hat{\mathcal{A}}$

If  $P = MU, P' = M'U'$  are two standard parabolics of  $G$  that are conjugate, i.e. such that for  $w \in G(k)$  we have  $wMw^{-1} = M'$  Then  $w$  maps  $\mathfrak{P}$  to  $w\mathfrak{P}$ , an orbit of an irreducible representations of  $M$  to an orbit of irreducible representations of  $M'$ .

Then the Eisenstein series is closely related (through its constant terms 5.3.3) to the operator

$$M(w, \pi)(\varphi_\pi)(g) = \int_{(U'(k) \cap wU(k)w^{-1}) \backslash U'(\mathbb{A})} \varphi_\pi(w^{-1}ug) du$$

where  $\pi \in \mathfrak{P}$ ,  $g \in G(\mathbb{A})$  and  $\varphi_\pi \in \mathcal{A}(U(\mathbb{A})M(k)\backslash G(\mathbb{A}))_\pi$ .

The key properties of both the Eisenstein series and this operator can be found in [MW95, IV.1.8, IV.1.9, IV.1.10, IV.1.11]. Most importantly as a function of  $\mathfrak{P}$  it can be shown that in the sense of Frechet spaces they both have a meromorphic continuation to all of  $\mathfrak{P}$ . This was also given a second “soft proof” more recently in [BL22], with the spectral decomposition that follows from it also being worked out in [Del21].

We are not really in a position to convey the true importance of these objects in the theory of automorphic forms, however we will make some comments. First some surveys are [Lap22], [Art79], [Kim], [Jia08]. To see the relation to the classical Eisenstein series there is [Gar16]. One thing that Eisenstein series do, as in the theory of modular forms, is that they furnish us with quasi-concrete examples. If we have an automorphic form then the Eisenstein series is on occasion also an automorphic form and so we can multiply our examples. Another reason that these functions are important is through their normalisation and constant terms, in which products of L functions appear. This has been a fruitful method for proving theorems about L-functions as in [Sha10][Pol][Art79].

## 4.2 Spectral Decomposition

### 4.2.1 The Decomposition of the Spectrum

This is another one of the tools that can be used to compartmentalise problems in automorphic forms, by dealing with representations that appear in different parts of the spectrum.

give shahidis conjecture on plancherel measures some time. Make sure to talk about his proof based on a reasonable hypothesis.

[Kim]

### 4.2.2 Residual Representations of $GL_n$

## 4.3 Normalisation and Automorphic L-Functions

# Chapter 5

## Constant Terms

Here we will explain the role of the constant term in our calculation of poles.

### 5.1 Definition and Role

The constant term is an operation defined on a large class of functions and is supposed to generalise the constant term of a fourier expansion, we will see this later, although one may consult [Bum97, 1.6] for some examples as well. In particular [MW95, I.2.6] give the definition as follows: We consider  $P = MU$  a standard parabolic of  $G$  and  $\varphi : U(k) \backslash \mathbf{G} \rightarrow \mathbb{C}$  a measurable and locally  $L^1$  function then its constant term along  $P$  is

$$\begin{aligned}\varphi_P : U(\mathbb{A}) \backslash \mathbf{G} &\rightarrow \mathbb{C} \\ \varphi_P(g) &:= \int_{U(k) \backslash U(\mathbb{A})} \varphi(ug) du\end{aligned}$$

which inherits many of the properties of  $\varphi$  such as smoothness and moderate growth. For instance if  $\varphi$  is an automorphic form on  $\mathbf{G}$  then its constant term is an automorphic form on  $M$  [GH24, 6.5].

This allows us to recall the definition of cuspidal automorphic forms or “cusp forms”. Let  $\varphi$  be an automorphic form on  $U(\mathbb{A})M(k) \backslash \mathbf{G}$  for  $P = MU$  a standard parabolic. Then  $\varphi$  is cuspidal if for all standard parabolics  $P' \subset P$  we have that

$$\varphi_{P'} = 0$$

Cusp forms have a central role in the theory of automorphic forms, this is for several reasons. They appear historically as interesting examples such as the Ramanujan tau function, by a theorem of Ribet [SZS77, T2.3] the Galois representation associated to a cusp form is irreducible and finally they just make formulas easier to work with.

The constant term itself is of central importance. One reason for this is that it controls the growth of the automorphic forms. More precisely we have the following theorem [MW95, I.4.10]

**Theorem.** *Let  $P = MU$  be a standard parabolic of  $G$ ,  $V_P \subseteq \mathcal{A}_0(U(\mathbb{A})M(k) \backslash G)$  a finite dimensional subspace,  $\Gamma_P \subseteq X_M$  a compact subset and  $N_P$  an integer. Let  $n \in \mathbb{Z}$ ,  $D \subseteq \mathbb{C}^n$  open and*

connected,  $f : D \rightarrow \mathbb{C}$  holomorphic and not identically zero. Let  $D' = \{x \in D : f(x) \neq 0\}$ .

If  $\varphi : D' \rightarrow L_{loc}^2(G)$  is a function such that for all  $z \in D'$ ,  $\varphi(z) \in \mathcal{A}((V_P, \Gamma_P, N_P)_{P_0 \subset P \subset G})$  and for all  $P$

$$\begin{aligned}\psi_P : D' &\rightarrow L_{loc}^2(G) \\ z &\mapsto \psi_P(z) = \varphi_P^{cusp}(z)\end{aligned}$$

is a holomorphic function.

Then  $\varphi$  can be analytically continued to a holomorphic function on  $D$  iff  $\psi_P$  can be continued to a holomorphic function on  $D$ .

Unpacking this a bit we see that an Eisenstein series satisfies the hypothesis on  $\varphi$  by [MW95, IV.1.9]

make this more precise

, we think of  $D'$  as the positive open cone on which the Eisenstein series converges, and then  $D = \mathbb{C}^n \setminus S$  as the rest of the cuspidal datum  $\mathfrak{P}$  on which the Eisenstein series is holomorphic ( $S$  is its set of singularities). Therefore the domain on which the Eisenstein series is holomorphic is the same as the domain on which (roughly) its constant term is holomorphic.

## 5.2 Integration Lemmas

**Theorem.** If  $G$  is a locally compact Hausdorff group with a left Haar measure  $\mu$  and if  $\chi : G \rightarrow \mathbb{C}^\times$  is a non-trivial character on  $G$ , then

$$\int_G \chi(g) d\mu(g) = 0.$$

**Proof.** Pick an element  $h$  of  $G$  such that  $\chi(h) \neq 1$ . The equation above then follows from

$$\int_G \chi(g) d\mu(g) = \int_G \chi(hg) d\mu(g) = \int_G \chi(h)\chi(g) d\mu(g) = \chi(h) \int_G \chi(g) d\mu(g). \square$$

Integrating trivial characters gives the volume of the measure space which we typically normalize to be one.

**Theorem** ([Gar] 5.2, [Fol16] Thm 2.49). Let  $H \leq G$  be a closed subgroup. If  $H \setminus G$  has a right  $G$  invariant measure (iff their modular functions agree on  $H$ ) then the integral is unique up to scalar, namely for a given Haar measures  $dh$  on  $H$  and  $dg$  on  $G$  there is a unique invariant measure  $dq$  on  $H \setminus G$  such that for all  $f \in C_c^0(G)$

$$\int_{H \setminus G} \int_H f(hq) dh dq = \int_G f(g) dg$$

Note that this quotient may not be a group, because  $H$  is not required to be normal.

## 5.3 Constant Terms of Eisenstein Series

This computation forms the heart of a well known theorem, [GH24, Prop 10.4.2][MW95, II.1.7][Sha10, 6.2]. I give more detail here than I could find anywhere else.

Notice that the Eisenstein series has a full  $G(k)$  invariance and so we can take its constant terms along *any* standard parabolic.

is it true

### 5.3.1 In General

We will use the following Lemmas to give a simplified expression of the constant term of an Eisenstein series. First fix  $P = MN$  and  $P' = M'N'$  two standard parabolics of suitable group  $G$  over  $F$ , with  $E(x, \varphi, \lambda)$  defined via parabolic induction from  $P$ .

**Lemma.**

$$P(F) \backslash G(F) \cong \coprod_{w \in W_{M'} \backslash W_G / W_M} P'(F) \cap wP(F)w^{-1} \backslash P'(F)$$

**Proof.** Consider the Bruhat decomposition:

$$G(F) = \coprod_{w \in W_{M'} \backslash W_G / W_M} P(F)w^{-1}P'(F)$$

then because the action of  $P(F)$  keeps the disjoint sets disjoint we can move the quotient through and get

$$P(F) \backslash G(F) = \coprod_w P(F) \backslash P(F)w^{-1}P'(F)$$

so we analyse the summands, by the second isomorphism theorem we have a bijection

$$P(F) \backslash P(F)w^{-1}P'(F) \cong P(F) \cap P'(F) \backslash w^{-1}P'(F)$$

now if  $[w^{-1}p] \in P(F) \cap P'(F) \backslash w^{-1}P'(F)$  then its  $pw^{-1}p'$  for some  $p \in P(F) \cap P'(F)$  and hence multiplying by  $w$ , in particular an isomorphism, gives  $wpw^{-1}p' \in wP(F)w^{-1} \times P'(F)$  and so

$$w(P(F) \cap P'(F) \backslash w^{-1}P'(F)) \cong wP(F)w^{-1} \cap P'(F) \backslash P'(F)$$

**Lemma.** Let  $m', n' \in M'(F) \times N'(F)$  then

$$m'n' \in wP(F)w^{-1} \iff m' \in wP(F)w^{-1} \text{ and } n' \in (m')^{-1}wP(F)w^{-1}m'$$

**Proof.** The forward implication is stated in [GH24], the converse follows from some algebra: First let  $m' = wp_1w^{-1}$  and  $n' = (m')^{-1}wp_2w^{-1}m'$  then

$$\begin{aligned} m'n' &= (wp_1w^{-1})^{-1}wp_2w^{-1}wp_1w^{-1} \\ &= wp_1^{-1}w^{-1}wp_2w^{-1}wp_1w^{-1} \\ &= wp_1^{-1}p_2p_1w^{-1} \in wP(F)w^{-1} \end{aligned}$$



Taking the contrapositive of this lemma will be used below. This is because our sums will be over quotients like  $A \setminus B$  and therefore summing over the “elements” in  $B$  that are not in  $A$ ; by our lemma would be the same as summing over two different such quotients. Now consider the computation:

I have lost the lambda in the below computation

$$\begin{aligned}
E_{P'}(x, \varphi, \lambda) &= \int_{N'(F) \setminus N'(\mathbb{A})} E(nx, \varphi, \lambda) dn \\
([N'] &:= N'(F) \setminus N'(\mathbb{A})) &= \int_{[N']} \sum_{\delta \in P(F) \setminus G(F)} \varphi(\delta nx) dn \\
(\text{Lemma 1}) &= \int_{[N']} \sum_{\delta \in \coprod_{w \in W_{M'} \setminus W_G/W_M} P'(F) \cap wP(F)w^{-1} \setminus P'(F)} \varphi(\delta nx) dn \\
&= \sum_{w \in W_{M'} \setminus W_G/W_M} \int_{[N']} \sum_{p' \in P'(F) \cap wP(F)w^{-1} \setminus P'(F)} \varphi(w^{-1}p'nx) dn \\
(\text{Lemma 2}) &= \sum_w \sum_{m' \in M'(F) \cap wP(F)w^{-1} \setminus M'(F)} \int_{[N']} \sum_{n' \in N'(F) \cap (m')^{-1}wP(F)w^{-1}m' \setminus N'(F)} \varphi(w^{-1}m'n'nx) dn \\
(\text{Change Var}) &= \sum_w \sum_{m'} \int_{[N']} \sum_{n' \in N'(F) \cap wP(F)w^{-1} \setminus N'(F)} \varphi(w^{-1}n'nm'x) dn \\
(\text{Unfold}) &= \sum_w \sum_{m'} \int_{N'(F) \cap wP(F)w^{-1} \setminus N'(\mathbb{A})} \varphi(w^{-1}nm'x) dn
\end{aligned}$$

The change of variables is  $(m', n') \mapsto ((m')^{-1}n'm', (m')^{-1}n'm')$ . Again we assume that our  $x$  is sufficiently large so all the integrals converge.

### 5.3.2 Constant Terms of Cuspidal Eisenstein Series

**Lemma (4).** For  $w \in W_{M'} \setminus W_G/W_M$  we have that  $w^{-1}P'w \cap M$  is a standard parabolic of  $M$  with Levi  $w^{-1}M'w \cap M$  and unipotent  $w^{-1}N'w \cap M$ .

**Proof.** This is [GH24, 10.4.1] stated without proof. They give the reference [RS, V.4.6] which is in French. We will return to this if we have time / energy.

**Lemma (5).**

$$w^{-1}U'w \cap P = (w^{-1}U'w \cap M)(w^{-1}U'w \cap U)$$

**Proof.** [GH24, 10.4.1] has some decompositions, as well as the standard decomposition of  $P = MU$  I think I could prove this...

**Lemma (6).**

$$c \setminus (b \setminus a) = (bc) \setminus a$$

Continuing the computation of the constant term above, we will focus purely on the inner

integral now

$$\begin{aligned}
\int_{N'(F) \cap wP(F)w^{-1} \backslash N'(\mathbb{A})} \varphi(w^{-1}nm'x)dn &= \int_{w^{-1}N'(F)w \cap P(F) \backslash w^{-1}N'(\mathbb{A})w} \varphi(nw^{-1}m'x)dn \\
&\stackrel{(\text{Lemma 5})}{=} \int_{(w^{-1}U'w \cap M)(w^{-1}U'w \cap U)(F) \backslash w^{-1}N'(\mathbb{A})w} \varphi(nw^{-1}m'x)dn \\
&\stackrel{(\text{Unfold} + \text{Lemma 6})}{=} \int_{(w^{-1}U'(\mathbb{A})w \cap M(\mathbb{A})) \backslash A} \int_{w^{-1}U'(F)w \cap M(F) \backslash w^{-1}U'(\mathbb{A})w \cap M(\mathbb{A})} \varphi(n_1n_2w^{-1}m'x)dn_1dn_2
\end{aligned}$$

the first equality is the change of variables  $w^{-1}nw \mapsto n$  and  $A = (w^{-1}U'(F)w \cap U(F)) \backslash w^{-1}N'(\mathbb{A})w$ . Now look at the inner integral here more closely

$$\int_{w^{-1}U'(F)w \cap M(F) \backslash w^{-1}U'(\mathbb{A})w \cap M(\mathbb{A})} \varphi(n_1n_2w^{-1}m'x)dn_1dn_2$$

applying Lemma (6) we see that this is a constant term for a parabolic of  $M$ , of the function  $m \mapsto \varphi(mn_2w^{-1}m'x)$ .

**Lemma.**  $n_2w^{-1}m'x \in K$  with variables as above.

This was all in complete generality as well. If we now assume further that the Eisenstein series was induced from a *cuspidal* automorphic representation, then  $m \mapsto \varphi(mk)$  is a cusp form and therefore this last integral will vanish whenever  $w^{-1}U'w \cap M \neq \{1\}$ , because in that case the inner integral doesn't exist (it's over a point).

### 5.3.3 Constant Term Of Eisenstein Series for Conjugate Levis

If we now assume that  $M' = wMw^{-1}$  and recall the definition of our intertwining operator ?? we can use the following

**Lemma** ([MW95] II.1.7 (6)).

$$U'(k) \cap wP(k)w^{-1} = U'(k) \cap wU(k)w^{-1}$$

to see that

$$\begin{aligned}
E_{P'}(x, \varphi, \lambda) &= \sum_w \sum_{m'} \int_{N'(F) \cap wP(F)w^{-1} \backslash N'(\mathbb{A})} \varphi(w^{-1}nm'x)dn \\
&= \sum_w \sum_{m'} \int_{N'(k) \cap wN(k)w^{-1} \backslash N'(\mathbb{A})} \varphi(w^{-1}nm'x)dn \\
&= \sum_w \sum_{m'} M(w, \pi)(\varphi)(x)
\end{aligned}$$

I have mixed up my N's and U's too much...

In particular we can combine the conjugate and cuspidal cases to get a much simpler expression for some constant terms of some Eisenstein series.

## 5.4 Siegel Phi Operator

Here we give an example of the constant term which connects it to the classical picture. We thank Chengjing Zhang for showing us this example, and present it here because we cannot find it in the literature.

give references for the other interpretations of the constant term, there must be some

We deal only with the classical Siegel modular forms of full level and moreover are less explicit with the steps as they should be clear after exposure to the previous arguments.

Because we are trying to connect this to the classical picture it is most convenient to think of things in the archimedean places, recall the way that modular forms are automorphic forms most naturally in the archimedean sense ([GH24, 6.2]) [Eme][Bum97][Boo]. So for this section alone, by automorphic form we will mean automorphic forms on the archimedean places, and the constant term will be taken only on the archimedean part: i.e. for  $f : G(\mathbb{R}) \rightarrow \mathbb{C}$  and automorphic its constant term along a parabolic of  $G$ , call it  $P = MN$ , is [GH24, 8.6]

$$f(x)_P = \int_{N(\mathbb{Z}) \backslash N(\mathbb{R})} f(xn) dn$$

We assume here for simplicity (and because it will apply to the examples below) that our groups are unimodular.

### 5.4.1 Siegel Modular Forms

We collect some definitions from [BVDGHZ08] to fix notation. Let the Siegel upper half plane be defined as

$$\begin{aligned} \mathcal{H}_g &:= \{ \tau \in M_{g \times g}(\mathbb{C}) : \tau \text{ is symmetric and has positive definite imaginary part} \} \\ &\cong \mathrm{Sp}_{2g}(\mathbb{R}) / U(g) \end{aligned}$$

where the isomorphism is as analytic manifolds and

$$U(g) := \left\{ \begin{pmatrix} A & B \\ -B & D \end{pmatrix} \in \mathrm{Sp}_{2g}(\mathbb{R}) : AA^t + BB^t = 1 \right\}$$

For every  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{Sp}_{2g}(\mathbb{Z})$  and  $\tau \in \mathcal{H}_g$  we have the action

$$\gamma.\tau = (A\tau + B)(C\tau + D)^{-1}$$

We say that a holomorphic function  $f : \mathcal{H}_g \rightarrow \mathbb{C}$  is a (classical) Siegel modular form of weight  $k$  if

$$f(\gamma.\tau) = \det(C\tau + D)^k f(\tau)$$

with the extra condition that if  $g = 1$  it must be holomorphic at  $\infty$ . Because  $\mathrm{Sp}_2 = \mathrm{SL}_2$  this is a strict generalisation of an (elliptic) modular form.

The space of Siegel modular forms of weight  $k$  and genus  $g$  is denoted  $\mathcal{M}_k(\mathrm{Sp}_{2g}(\mathbb{Z}))$ . There is a useful operator known as the Siegel Phi Operator which allows you to lift known modular forms

from lower genus to higher genus [BVDGHZ08, 5]

$$\mathcal{M}_k(\mathrm{Sp}_{2g}(\mathbb{Z})) \xrightarrow{\Phi} \mathcal{M}_k(\mathrm{Sp}_{2(g-1)}(\mathbb{Z}))$$

defined by the limit for  $\tau \in \mathcal{H}_{g-1}$

$$\Phi(f)(\tau) := \lim_{t \rightarrow \infty} f \begin{pmatrix} \tau & \\ & it \end{pmatrix}$$

in this context a cusp form is defined to be a Siegel modular form in the kernel of the Siegel  $\Phi$  operator and so it is natural to wonder if there is a constant term that is being taken here.

### 5.4.2 Automorphising

Given a Siegel modular form  $f \in \mathcal{M}_k(\mathrm{Sp}_{2g}(\mathbb{Z}))$  we can associate an automorphic form

$$\tilde{f} : \mathrm{Sp}_{2g}(\mathbb{R}) \rightarrow \mathbb{C}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \det(ci + d)^{-k} f\left((ai + b)(ci + d)^{-1}\right),$$

where  $a, b, c, d$  are  $g \times g$  matrices such that  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_{2g}(\mathbb{R})$ . Fix the Borel of upper triangular matrices. Now for  $1 \leq r \leq g - 1$  an integer we have the standard maximal parabolic of  $\mathrm{Sp}_{2g}$ ,  $P_r = M_r N_r$  such that

$$M_r \cong \mathrm{GL}_r \times \mathrm{Sp}_{2(g-r)}$$

**Theorem** (Zhang). *If  $f$  is a classical Siegel modular form of weight  $k$  and degree  $g$ , then*

$$\tilde{f}_{P_r}(u\gamma) = \det u^k \cdot (\Phi^r f)^\sim(\gamma) \quad (5.4.1)$$

for every element  $\gamma$  of  $\mathrm{Sp}_{2(g-r)}(\mathbb{R})$  and every element  $u$  of  $\mathrm{GL}_r(\mathbb{R})$ .

In particular

$$\tilde{f}_{P_{g-1}} \begin{pmatrix} a & 0 & b & 0 \\ 0 & 1 & 0 & 0 \\ c & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = (\Phi f)^\sim \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

This shows that perhaps the correct generalisation of the Siegel Phi function is just the constant term that we all know and love. We could also attempt to expand this to Siegel modular forms that are vector valued or not of full level.

The only other work on generalising the Siegel  $\Phi$  operator that we could find appears in [Gre24]. Grenier formulates the  $\Phi$  operator in the language of symmetric spaces [?, Ch. 2] and then shows that the analogous definition in the case of “automorphic forms” in the sense of the symmetric space  $\mathcal{P}_n/\mathrm{GL}_n(\mathbb{Z})$  of symmetric positive definite real matrices [?, 1.5.1] behaves in the same way. Namely his [Gre92, Thm. 2] shows that it sends an automorphic form for  $\mathrm{GL}_n(\mathbb{Z})$  to an automorphic form for  $\mathrm{GL}_{n-1}(\mathbb{Z})$ . The point is that the  $\Phi$  operator can be defined in the generality of symmetric spaces and Grenier shows that at least in one other case it still preserves the relevant notion of automorphic form. This suggests two things that would be interesting to investigate; using the classification of symmetric spaces is it possible to give a uniform definition of the  $\Phi$  operator

following Grenier and does this definition agree with the constant term in the way that the Siegel  $\Phi$  operator does. With my limited knowledge of symmetric spaces this seems to be very tractable.

### 5.4.3 Base Case

The base case is very instructive, it deals with modular forms. So consider  $f$  a (elliptic) modular form of full level and weight  $k$ , which has a fourier expansion given by

$$f(z) = \sum_{n \geq 0} a_n e^{2\pi i n z}$$

Then one can verify that

$$\tilde{f} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = (ci + d)^{-k} f \left( \frac{ai + b}{ci + d} \right)$$

is an automorphic form on  $\mathrm{Sp}_2$ . The only non-trivial parabolic  $P$  is the one of upper triangular matrices, with Levi and unipotent given respectively

$$M = \begin{pmatrix} m & 0 \\ 0 & m^{-1} \end{pmatrix} \cong \mathrm{GL}_1, \quad N = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \cong \mathbb{G}_a$$

along which we can now compute the constant term

$$\begin{aligned} \tilde{f}_P(m) &= \int_{N(\mathbb{Z}) \backslash N(\mathbb{R})} \tilde{f}(mb) db \\ &= \int_{\mathbb{Z} \backslash \mathbb{R}} \tilde{f} \begin{pmatrix} m & mb \\ 0 & m^{-1} \end{pmatrix} db \\ &= \int_{\mathbb{Z} \backslash \mathbb{R}} m^k f(m^2 i + m^2 b) db \\ &= m^k a_0 \end{aligned}$$

We have chosen normalisation to remove the usual factor of  $1/2\pi$  in the constant term of the Fourier series. Moreover we see that

$$\Phi(f) = \lim_{t \rightarrow \infty} f(it) = \lim_{t \rightarrow \infty} \sum_{n \geq 0} a_n e^{-2\pi n t} = a_0$$

### 5.4.4 Simplifying the Constant Term

As we saw in 1.2.1 for  $1 \leq r \leq g-1$  an integer we have the standard maximal parabolic of  $\mathrm{Sp}_{2g}$ ,  $P_r = M_r N_r$  such that

$$M_r \cong \mathrm{GL}_r \times \mathrm{Sp}_{2(g-r)}$$

which can be given the explicit matrix representations

$$m(\gamma, A) := \begin{pmatrix} A & & & \\ & a & & b \\ & & (A^t)^{-1} & \\ & c & & d \end{pmatrix}, \quad A \in \mathrm{GL}_r(F), \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_{2(g-r)}(F)$$

and unipotent

$$n(s; h, k) := \begin{pmatrix} 1 & 0 & 0 & h \\ -k^t & 1 & h^t & s + h^t k \\ 0 & 0 & 1 & k \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad h, k \in \mathrm{Mat}_{(g-r) \times r}(\mathbb{R}) \quad s \in \mathrm{Sym}_r(\mathbb{R})$$

We have the following short exact sequence

prove it

$$1 \rightarrow \mathrm{Sym}_r(\mathbb{R}) \rightarrow N_r(\mathbb{R}) \rightarrow \mathrm{Mat}_{(g-r) \times r}(\mathbb{R}) \times \mathrm{Mat}_{(g-r) \times r}(\mathbb{R}) \rightarrow 1.$$

which we will use to unfold our integral below, for compactness we define  $H_r := \mathrm{Mat}_{(g-r) \times r}$ . We will now denote  $[G] := G(\mathbb{Z}) \backslash G(\mathbb{R})$  and compute the constant term

$$\begin{aligned} \tilde{f}_{P_r}(m(\gamma, A)) &= \int_{[N_r]} \tilde{f}(nm(\gamma, A)) \, dn \\ &= \int_{[H_r \times H_r]} \int_{[\mathrm{Sym}_{g-r}]} \tilde{f}(n(s; h, k)m(\gamma, A)) \, ds \, d(h, k) \\ &= \int_{[H_r]} \int_{[H_r]} \int_{[\mathrm{Sym}_{g-r}]} \tilde{f}(n(s; h, k)m(\gamma, A)) \, ds \, dh \, dk. \end{aligned} \quad (5.4.2)$$

Now we focus on simplifying the integrand. We want an explicit form of the matrix so we can relate it back to the value of the un-lifted Siegel modular form  $f$ ; simply multiply the matrices gives, where (all rings are commutative)  $A^{-t} := (A^t)^{-1}$

$$n(s; h, k)m(\gamma, A) = \begin{pmatrix} a & 0 & b & hA^{-t} \\ -k^t a + h^t c & A & -k^t b + h^t d & sA^{-t} + h^t kA^{-t} \\ c & 0 & d & kA^{-t} \\ 0 & 0 & 0 & A^{-t} \end{pmatrix}.$$

because  $a, b, c, d \in \mathrm{Mat}_{(g-r) \times (g-r)}$ ,  $A \in \mathrm{Mat}_{r \times r}$  we see that the  $g \times g$  blocks that we now need to take the determinant of are the  $4 \times 4$  corners of this picture, hence the matrices below should all be in  $\mathcal{H}_g \subseteq \mathrm{Mat}_{g \times g}$

$$\tilde{f}(n(s; h, k)m(\gamma, A)) = \det \left( \begin{pmatrix} c & 0 \\ 0 & 0 \end{pmatrix} i + \begin{pmatrix} d & kA^{-t} \\ 0 & A^{-t} \end{pmatrix} \right)^{-k}.$$

$$\begin{aligned}
& f \left( \left( \begin{pmatrix} a & 0 \\ -k^t a + h^t c & A \end{pmatrix} i + \begin{pmatrix} b & hA^{-t} \\ -k^t b + h^t d & sA^{-t} + h^t kA^{-t} \end{pmatrix} \right) \left( \begin{pmatrix} c & 0 \\ 0 & 0 \end{pmatrix} i + \begin{pmatrix} d & kA^{-t} \\ 0 & A^{-t} \end{pmatrix} \right)^{-1} \right) \\
&= \det \left( \begin{pmatrix} ic + d & kA^{-t} \\ 0 & A^{-t} \end{pmatrix} \right)^{-k} \\
& f \left( \begin{pmatrix} ia + b & hA^{-t} \\ -k^t(ia + b) + h^t(d + ic) & iA + sA^{-t} + h^t kA^{-t} \end{pmatrix} \begin{pmatrix} ic + d & kA^{-t} \\ 0 & A^{-t} \end{pmatrix}^{-1} \right) \\
&= \left( \frac{\det(ic + d)}{\det(A)} \right)^{-k} \\
& f \left( \begin{pmatrix} ia + b & hA^{-t} \\ -k^t(ia + b) + h^t(d + ic) & iA + sA^{-t} + h^t kA^{-t} \end{pmatrix} \begin{pmatrix} (ci + d)^{-1} & -(ci + d)^{-1}k \\ 0 & A^t \end{pmatrix} \right) \\
&= \left( \frac{\det(A)}{\det(ic + d)} \right)^k f \left( \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix}, \quad \tau := (ai + b)(ci + d)^{-1} \right)
\end{aligned}$$

So we have shown that

$$\begin{aligned}
\tilde{f}_{P_r}(m(\gamma, A)) &= \int_{[H_r]} \int_{[H_r]} \int_{[\text{Sym}_{g-r}]} \left( \frac{\det(A)}{\det(ic + d)} \right)^k f \left( \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} \right) ds dh dk \\
&= \left( \frac{\det(A)}{\det(ic + d)} \right)^k \int_{[H_r]} \int_{[H_r]} \int_{[\text{Sym}_{g-r}]} f \left( \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} \right) ds dh dk
\end{aligned}$$

Again lets focus on this integrand  $f \left( \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} \right)$  and compute its Fourier expansion, see [BVDGHZ08, 3.4]. Recall that a symmetric matrix  $n \in \text{GL}_g(\mathbb{Q})$  is called half integral if  $2n$  is integral with even diagonal entries, then a Siegel modular form has a Fourier expansion of the form

$$f(z) = \sum_{n \text{ half integral}} a(n) e^{2\pi i \text{Tr}(nz)}$$

First the space of half integral  $g \times g$  matrices,  $\text{HI}_g$ , decomposes as a direct sum via the (additive) group isomorphism

prove it

$$\text{HI}_{g-r} \oplus \frac{1}{2} \text{Mat}_{r \times (g-r)}(\mathbb{Z}) \oplus \text{HI}_r \rightarrow \text{HI}_g, \quad (n, m, l) \mapsto \begin{pmatrix} n & m \\ m^t & l \end{pmatrix},$$

thus unfolding the (discrete) integral we get

$$f \left( \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} \right) = \sum_{n \in \text{HI}_{g-r}} \sum_{m \in \frac{1}{2} \text{Mat}_{r \times (g-r)}(\mathbb{Z})} \sum_{l \in \text{HI}_r} a \begin{pmatrix} n & m \\ m^t & l \end{pmatrix}$$

$$\exp \left( 2\pi i \operatorname{Tr} \begin{pmatrix} n & m \\ m^t & l \end{pmatrix} \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} \right)$$

because all the block sizes are compatible we can “block multiply” the inner matrices and because we are taking the trace we can forget about off diagonal entries

$$\begin{pmatrix} n & m \\ m^t & l \end{pmatrix} \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} = \begin{pmatrix} n\tau + m(-k^t \tau + h^t) & * \\ * & m^t(-\tau k + h) + l(k^t \tau k + AA^t i + s) \end{pmatrix}$$

putting this into our Fourier expansion

$$f \left( \begin{pmatrix} \tau & -\tau k + h \\ -k^t \tau + h^t & k^t \tau k + AA^t i + s \end{pmatrix} \right) = \sum_n \sum_m \sum_l a \begin{pmatrix} n & m \\ m^t & l \end{pmatrix} \exp \left( 2\pi i (\operatorname{Tr}(n\tau) + \operatorname{Tr}(m(-k^t \tau + h^t)) + \operatorname{Tr}(m^t(-\tau k + h)) + \operatorname{Tr}(l(k^t \tau k + AA^t i + s))) \right)$$

If we denote  $T_l := \operatorname{Tr}(l(k^t \tau k + AA^t i + s))$  and

my  $T_m$  differs from Chengjing

$$T_m := \operatorname{Tr}(m(-k^t \tau + h^t)) + \operatorname{Tr}(m^t(-\tau k + h)) = \operatorname{Tr}(-mk^t \tau - m^t \tau k) + \operatorname{Tr}(mh^t + m^t h) := T_{m,k} + T_{m,h}$$

we can sub this back into our constant term

Converges uniformly a priori on compact sets, well I dont know if I can swap all these sums haha

$$\begin{aligned} \tilde{f}_{P_r}(m(\gamma, A)) &= \left( \frac{\det(A)}{\det(ic + d)} \right)^k \int_{[H_r]} \int_{[H_r]} \int_{[\operatorname{Sym}_{g-r}]} \sum_n \sum_m \sum_l a \begin{pmatrix} n & m \\ m^t & l \end{pmatrix} \exp(2\pi i (\operatorname{Tr}(n\tau) + T_m + T_l)) \, ds \, dh \, dk \\ &= \left( \frac{\det(A)}{\det(ic + d)} \right)^k \sum_n \sum_m \sum_l a \begin{pmatrix} n & m \\ m^t & l \end{pmatrix} e^{2\pi i \operatorname{Tr}(n\tau)} \int_{[H_r]} \int_{[H_r]} \int_{[\operatorname{Sym}_{g-r}]} e^{2\pi i (T_m + T_l)} \, ds \, dh \, dk \\ &= \left( \frac{\det(A)}{\det(ic + d)} \right)^k \sum_n \sum_m \sum_l a \begin{pmatrix} n & m \\ m^t & l \end{pmatrix} e^{2\pi i \operatorname{Tr}(n\tau)} \int_{[H_r]} \int_{[H_r]} e^{2\pi i T_m} \int_{[\operatorname{Sym}_{g-r}]} e^{2\pi i T_l} \, ds \, dh \, dk \\ &= \left( \frac{\det(A)}{\det(ic + d)} \right)^k \sum_n \sum_m \sum_l a \begin{pmatrix} n & m \\ m^t & l \end{pmatrix} e^{2\pi i \operatorname{Tr}(n\tau)} \int_{[H_r]} e^{2\pi i T_{m,k}} \int_{[H_r]} e^{2\pi i T_{m,h}} \int_{[\operatorname{Sym}_{g-r}]} e^{2\pi i T_l} \, ds \, dh \, dk \end{aligned}$$

Now we use that the integration of unitary characters is very simple 5.2 and the fact that

$$s \mapsto e^{2\pi i T_l}$$



is a non-trivial unitary character of  $\text{Sym}_{g-r}$  whenever  $l \neq 0$  to get that

$$\int_{[\text{Sym}_{g-r}]} e^{2\pi i T_l} ds = \begin{cases} 1, & l = 0 \\ 0, & l \neq 0 \end{cases}$$

we repeat this trick with the second integral, which enforces that  $m = 0$  and end up with

$$\tilde{f}_{P_r}(m(\gamma, A)) = \left( \frac{\det(A)}{\det(ic + d)} \right)^k \sum_{n \in \text{HI}_{g-r}} a \begin{pmatrix} n & 0 \\ 0 & 0 \end{pmatrix} e^{2\pi i \text{Tr}(n\tau)}$$

but by [BVDGHZ08, 3.5] we know that the Fourier expansion of the Siegel Phi operator is

$$(\Phi^r f)(\tau) = \sum_{n \in \text{HI}_{g-r}} a \begin{pmatrix} n & 0 \\ 0 & 0 \end{pmatrix} e^{2\pi i \text{Tr}(n\tau)}.$$

hence

$$\begin{aligned} \tilde{f}_{P_r}(m(\gamma, A)) &= \left( \frac{\det(A)}{\det(ic + d)} \right)^k \Phi^r(f)(\tau) \\ &= \det(A)^k (\Phi^r(f))^\sim(\gamma) \end{aligned}$$

which concludes the proof.

□

## Chapter 6

# Poles of Residual Eisenstein Series

Our goal here is to exposit and survey the work in papers such as [Bre09][JLZ13][GS21] and perhaps give a trivial extension of them.

### 6.1 The Statements

[Bre09] gave an analysis of the residual poles of Eisenstein series attached to  $\mathrm{Sp}_{2n}$ , there were some minor errors that were corrected in [JLZ13] where they give essentially the same proof; theirs however works for the other classical groups. For our purposes, the case of  $\mathrm{Sp}_{2n}$ , as a group defined over  $F$  a number field, is most relevant, and we shall therefore focus exclusively on this case, however it should be noted that this limitation in the non-covering case is artificial, although it does simplify things a little by removing some casework, and we hope also in the covering case to be able to remove it in future work.

We fix an  $n \in \mathbb{N}$  and the Borel of upper triangular matrices in  $\mathrm{Sp}_{2n}$ , then we look at partitions of  $n = r + m$ , where

what are the ranges of these

. Then as we saw in 1.2.1 there corresponds a maximal standard parabolic of  $\mathrm{Sp}_{2n}$ , which we denote  $P_r = M_r N_r$ , such that the Levi component is

$$\mathrm{GL}_r \times \mathrm{Sp}_{2m}$$

As we saw in

explain this in the Eisenstein series section

— the space of characters  $X_{M_r}^{\mathrm{Sp}_{2n}}$  is one dimensional by the maximality of  $P_r$ . If we look at the divisors of  $r = ab$

check the exact ranges, will depend on the range of  $r$

and fix a  $\tau$ , an irreducible unitary cuspidal automorphic representation of  $\mathrm{GL}_a$ , then from

link to the residual representation section

— we know that  $\Delta(\tau, b)$  is a residual representation of  $\mathrm{GL}_{ab} = \mathrm{GL}_r$ . Now we take an irreducible generic cuspidal automorphic representation  $\sigma$  of  $\mathrm{Sp}_{2m}$ , and so their tensor product  $\Delta(\tau, b) \otimes \sigma$  gives a representation of  $\mathrm{GL}_r \times \mathrm{Sp}_{2m}$  and hence of the Levi  $M_r$ . We now consider the Eisenstein

series attached to this representation, namely if

$$\varphi \in \mathcal{A}(N_r(\mathbb{A})M_r(F) \setminus \mathrm{Sp}_{2n}(\mathbb{A}))_{\Delta(\tau,b) \otimes \sigma}$$

then we have the Eisenstein series

$$E(\varphi, s)(g) = \sum_{\gamma \in P_r(F) \backslash \mathrm{Sp}_{2n}(F)} s \cdot \varphi(\gamma g)$$

explain the  $s$  action in Eisenstein series section.

for  $g \in \mathrm{Sp}_{2n}(F) \setminus \mathrm{Sp}_{2n}(\mathbb{A})$ . Because it is induced from the residual representation  $\Delta(\tau, b)$  we call these residual Eisenstein series. The main theorem is then a statement that after some normalisation the poles of this Eisenstein series are limited to a particular set and that they are simple. Details will be given later.

state theorem 4.1 and 4.2

**Theorem.**

A similar result was given for the Siegel parabolic and the metaplectic cover of  $\mathrm{Sp}$  in [GS21]

**Theorem.**

## 6.2 Computing the Constant Term

The representation is supposed to be of the covering of the Levi///? need to fix this

We want to give some of the details of the proof in the  $b = 1$  case, the base case for the induction. This will then be mirrored in the metaplectic case, when we extend the result in [GS21] to non-siegel parabolics. The first step is to compute a constant term

We here consider the case that  $b = 1$ , hence  $n = a + m$ . Then fixing a standard parabolic of  $\mathrm{Sp}_{2n}$  we have the maximal standard parabolic  $P_a = M_a N_a$  where  $M_a = \mathrm{GL}_a \times \mathrm{Sp}_{2m}$ . Now if  $\tau$  is irreducible unitary cuspidal automorphic representation of  $\mathrm{GL}_a$  then by definition

Brenner..

$$\Delta(\tau, 1)(\varphi)(g) = E(\varphi, s)(g) = s \cdot \varphi(g)$$

where the Eisenstein series is defined via the parabolic induction from the Levi  $(\mathrm{GL}_a)^{\times b}$  to  $\mathrm{GL}_{ab}$ . Thus we have  $\Delta(\tau, 1) = \tau$ . So for the appropriate  $\sigma$  a rep of  $\mathrm{Sp}_{2m}$  we get a rep of the Levi of  $\mathrm{Sp}_{2n}$ ,  $M_r = M_a = \mathrm{GL}_a \times \mathrm{Sp}_{2m}$  given by  $\tau \otimes \sigma$ . To this we associate the Eisenstein series for  $\varphi \in \mathcal{A}(N_r(\mathbb{A})M_r(F) \setminus \mathrm{Mp}_{2n}(\mathbb{A}))_{\tau \otimes \sigma}$   $E(\varphi, s)$  as usual. Now we proceed to calculate the constant term of this Eisenstein series along the parabolic  $P_a = MN$ .

M+W II.1.7, all others are zero..?

By our calculations

red

, the cuspidality of the tensor

ref

and [JLZ13] we know that

$$E(\varphi, s)_P = \sum_w \sum_{m'} \int_{(w^{-1}N(\mathbb{A})w \cap M(\mathbb{A})) \setminus A} \int_{w^{-1}N(F)w \cap M(F) \setminus w^{-1}N(\mathbb{A})w \cap M(\mathbb{A})} \varphi(n_1 n_2 w^{-1} m' x) dn_1 dn_2$$

and the inner integral vanishes for all  $w \neq id, \omega$  ( $\omega$  as in [JLZ13]). Hence the first sum becomes over two elements and we have

$$E(\varphi, s)_P = E(\varphi, s)_{P, id} + E(\varphi, s)_{P, \omega}$$

where

$$E(\varphi, s)_{P, w} = \sum_{m' \in M(F) \cap wP(F)w^{-1} \setminus M(F)} \int_{N(F) \cap wP(F)w^{-1} \setminus N(\mathbb{A})} \varphi(w^{-1} nm' x) dn$$

First the identity term simplifies

$$\begin{aligned} E(\varphi, s)(x)_{P, id} &= \sum_{m' \in M(F) \cap P(F) \setminus M(F)} \int_{N(F) \cap P(F) \setminus N(\mathbb{A})} \varphi(nm' x) dn \\ &= \sum_{m' \in M(F) \setminus M(F)} \int_{N(F) \setminus N(\mathbb{A})} \varphi(nm' x) dn \\ &= \int_{N(F) \setminus N(\mathbb{A})} \varphi(nx) dn \\ &= \varphi(x)_P \end{aligned}$$

I really need to fix this s thing that I dropped in the constant term computations.

Considering now the  $\omega$  term

$$E(\varphi, s)_{P, \omega} = \sum_{m' \in M(F) \cap \omega P(F)\omega^{-1} \setminus M(F)} \int_{N(F) \cap \omega P(F)\omega^{-1} \setminus N(\mathbb{A})} \varphi(\omega^{-1} nm' x) dn$$

by [JLZ13, 2C]  $M(F) \cap \omega P(F)\omega^{-1} \setminus M(F)$  is isomorphic to

this is not clear in their paper im just guessing this is what they mean

$P_0 \setminus \mathrm{Sp}_{2(n-a)}$  where  $P_0 \setminus \mathrm{Sp}_{2(n-a)}$  by definition, but  $P_0$  has Levi  $M_0 = \mathrm{Sp}_{2(n-a)}$  by definition and hence is itself  $\mathrm{Sp}_{2(n-a)}$ . Thus the sum is over  $\mathrm{Sp}_{2(n-a)}(F) \setminus \mathrm{Sp}_{2(n-a)}(F)$  and hence is over a point. Therefore we get by definition of the intertwining operator

$$E(\varphi, s)_{P, \omega} = \int_{N(F) \cap \omega P(F)\omega^{-1} \setminus N(\mathbb{A})} \varphi(\omega^{-1} nx) dn = M(\omega, -)(\varphi)(x)$$

becuase we took the constant term along the same parabolic as the definition of the Eisenstein series we know that the Levis are (the same) conjugate. Thus we have shown that

$$E(\varphi, s)_P(x) = \varphi(x)_P + M(\omega, -)(\varphi)(x)$$

Notice that the computation takes place completely at the level of the terminals which are independent of the fact that we have taken a covering group, hence we have really only reused work from [JLZ13].

### 6.3 Analysing the Intertwining Operator

For classical groups it has been known for a while that the intertwining operator has a normalization in terms of ratios of L-functions. The point is then that the normalised operator is holomorphic and so the poles of the constant term depend entirely on the poles of the L-functions.

In detail the results are due to Langlands-Shahidi-Ginzberg et al

Thus the result follows

### 6.4 The Metaplectic Generalisation

In [GS21, ] this setup is also carried out for the Siegel parabolic induced up to the metaplectic group. Here we investigate the literature to hopefully conclude the same result for all maximal parabolics.

# Appendix A

## Motivation

We have tried to motivate the individual objects as we went, how they would help get us to the result that we were looking for. Here we try to provide a birds eye view of whats going on.

We do have to preface that much like the motivation throughout we have only understood a small piece of the story here, however we still feel obligated to communicate what we can.

### A.0.1 Reminders — Remove Later

Paul Garrett said to me something along the lines of it being arguably more important to understand the *role* of the Eisenstein series in the theory of automorphic forms rather than the technical details of its proof of meromorphicity. I think to some extent he is correct, not to say that the proof isnt important, only that its importance is given to it by its role and is hence subservient to it.

Try to elaborate the role of each introduced item in the theory, not just its technical properties.

# Todo list

<input type="checkbox"/>	fix a global convention . . . . .	2
<input type="checkbox"/>	is this right . . . . .	4
<input type="checkbox"/>	insert the reference to below . . . . .	5
<input type="checkbox"/>	ref getz and hahn . . . . .	5
<input type="checkbox"/>	ref later section definig isotypic subspaces.. . . .	5
<input type="checkbox"/>	this is probably known by now. . . . .	5
<input type="checkbox"/>	refer to the figure in Latex . . . . .	7
<input type="checkbox"/>	Proof . . . . .	10
<input type="checkbox"/>	But is the converse true? . . . . .	12
<input type="checkbox"/>	perhaps a first natural question is whether or not these things can be given the structure of LAG's. That paper mentions representability. Look into it. . . . .	13
<input type="checkbox"/>	reference or more detail for the history . . . . .	14
<input type="checkbox"/>	there is a nice characterization over $\mathbb{Q}$ for representations and norms as traces of blah in Borel. I like it a lot just need to check its fine for other number fields. . . . .	15
<input type="checkbox"/>	Borel 1.6 gives a good explanation of the growth condition. . . . .	15
<input type="checkbox"/>	what reasons... . . . .	18
<input type="checkbox"/>	fill . . . . .	21
<input type="checkbox"/>	reference . . . . .	22
<input type="checkbox"/>	linnk to the other sections . . . . .	22
<input type="checkbox"/>	Make precise teh realtion between this hecke algebra and $g,K$ modules... . . . .	22
<input type="checkbox"/>	fix restricted tensor product . . . . .	23
<input type="checkbox"/>	reference . . . . .	23
<input type="checkbox"/>	AHHHHHHHHH BUT DO I TAKE THE CUSPIDAL PART OF INSIDE HERE OR NOT AHHHHHHH DEFINE YOU TERMSSSSSS AHHHH . . . . .	24
<input type="checkbox"/>	There is a conjecture by Mœglin and Waldspurger that this is a superflous conventions ?? reference Chenyan? . . . . .	25
<input type="checkbox"/>	. . . . .	26
<input type="checkbox"/>	. . . . .	26
<input type="checkbox"/>	They say its an automorphic form here but the compact set isnt all of $G(\mathbb{A})$ so i dont know how to interpret that...¿ . . . . .	27
<input type="checkbox"/>	give shahidis conjecture on plancherel measures some time. Make sure to talk about his proof based on a reasonable hypothesis. . . . .	28
<input type="checkbox"/>	make this more precise . . . . .	30
<input type="checkbox"/>	is it true . . . . .	31

□ I have lost the lambda in the below computation . . . . .	32
□ I have mixed up my N's and U's too much... . . . .	33
□ give references for the other interpretations of the constant term, there must be some . . .	34
□ prove it . . . . .	37
□ prove it . . . . .	38
□ my $T_m$ differs from Chengjing . . . . .	39
□ Converges uniformly a priori on compact sets, well I dont know if I can swap all these sums haha . . . . .	39
□ what are the ranges of these . . . . .	41
□ explain this in the Eisenstein series section . . . . .	41
□ check the exact ranges, will depend on the range of $r$ . . . . .	41
□ link to the residual representation section . . . . .	41
□ explain the $s$ action in Eisenstein series section. . . . .	42
□ state theorem 4.1 and 4.2 . . . . .	42
□ The representation is supposed to be of the covering of the Levi///? need to fix this . .	42
□ Brenner.. . . .	42
□ M+W II.1.7, all others are zero..? . . . . .	42
□ red . . . . .	42
□ ref . . . . .	42
□ I really need to fix this $s$ thing that I dropped in the constant term computations. . . .	43
□ this is not clear in their paper im just guessing this is what they mean . . . . .	43



# Bibliography

- [Art79] James Arthur. Eisenstein series and the trace formula. In A. Borel and W. Casselman, editors, *Proceedings of Symposia in Pure Mathematics*, volume 33.1, pages 253–274. American Mathematical Society, Providence, Rhode Island, 1979.
- [Arz05] Ivan V. Arzhantsev. Invariant Ideals and Matsushima’s Criterion, June 2005.
- [BC79] Armand Borel and W. Casselman. *Automorphic Forms, Representations, and L-functions*. Number Volume 33 in Proceedings of Symposia in Pure Mathematics. American Mathematical Society, Providence (Rhode Island), 1979.
- [BL22] Joseph Bernstein and Erez Lapid. On the meromorphic continuation of Eisenstein series, February 2022.
- [Boo] Jeremy Booher. VIEWING MODULAR FORMS AS AUTOMORPHIC REPRESENTATIONS.
- [Bor91] Armand Borel. *Linear Algebraic Groups*, volume 126 of *Graduate Texts in Mathematics*. Springer New York, New York, NY, 1991.
- [Bor97] Armand Borel. *Automorphic Forms on  $SL_2(\mathbb{R})$* . Cambridge Tracts in Mathematics. Cambridge University Press, Cambridge, 1997.
- [Bre09] Eliot Brenner. Notes on Analytic Properties of Residual Eisenstein Series, I, August 2009.
- [Bui] Buildings and Classical Groups - Garrett, Paul B. | 9780412063312 | Amazon.com.au | Books. <https://www.amazon.com.au/Buildings-Classical-Groups-Paul-Garrett/dp/041206331X>.
- [Bum97] Daniel Bump. *Automorphic Forms and Representations*. Cambridge Studies in Advanced Mathematics. Cambridge University Press, Cambridge, 1997.
- [BVDGHZ08] Jan Hendrik Bruinier, Gerard Van Der Geer, Günter Harder, and Don Zagier. *The 1-2-3 of Modular Forms*. Springer Berlin Heidelberg, Berlin, Heidelberg, 2008.
- [Cli] Clifford Algebras and the Classical Groups. <https://www.cambridge.org/core/books/clifford-algebras-and-the-classical-groups/F14B7362F52D08E4F2118B28B7B22D30>.
- [Con] Brian Conrad. Standard parabolic subgroups: Theory and examples.

- [Con12] Brian Conrad. Weil and Grothendieck approaches to adelic points. *L'Enseignement Mathématique*, 58(1):61–97, June 2012.
- [Del21] Patrick Delorme. On the spectral Theorem of Langlands, April 2021.
- [DS05] Fred Diamond and Jerry Michael Shurman. *A First Course in Modular Forms*. Number 228 in Graduate Texts in Mathematics. Springer, New York, 2005.
- [Eme] Matthew Emerton. CLASSICAL MODULAR FORMS AS AUTOMORPHIC FORMS.
- [Ess] Essays in the History of Lie Groups and Algebraic Groups. <https://bookstore.ams.org/view?ProductCode=HMATH/21>.
- [Fol16] Gerald B. Folland. *A Course in Abstract Harmonic Analysis*. Chapman and Hall/CRC, 0 edition, February 2016.
- [Gar] Paul Garrett. Modern analysis of automorphic forms by examples.
- [Gar10] Paul Garrett. Invariant differential operators. 2010.
- [Gar16] Paul Garrett. Transition: Eisenstein series on adèle groups. 2016.
- [GGW17] Wee Teck Gan, Fan Gao, and Martin H. Weissman. L-groups and the Langlands program for covering groups: A historical introduction, May 2017.
- [GH24] Jayce R. Getz and Heekyoung Hahn. *An Introduction to Automorphic Representations: With a View toward Trace Formulae*, volume 300 of *Graduate Texts in Mathematics*. Springer International Publishing, Cham, 2024.
- [God] Roger Godement. Groupes linéaires algébriques sur un corps parfait.
- [Gre92] Douglas Grenier. An Analogue of Siegel’s  $\phi$ -Operator for Automorphic Forms for  $GL_n(\mathbb{Z})$ . *Transactions of the American Mathematical Society*, 333(1):463–477, 1992.
- [Gre24] Douglas Grenier. AN ANALOGUE OF SIEGEL’S X-OPERATOR FOR AUTOMORPHIC FORMS FOR  $GL_n(\mathbb{Z})$ . 2024.
- [GS21] David Ginzburg and David Soudry. Top Fourier coefficients of residual Eisenstein series on symplectic or metaplectic groups, induced from Speh representations. *Research in Number Theory*, 8(1):10, December 2021.
- [Hal15] Brian C. Hall. *Lie Groups, Lie Algebras, and Representations: An Elementary Introduction*, volume 222 of *Graduate Texts in Mathematics*. Springer International Publishing, Cham, 2015.
- [Jia08] Dihua Jiang. Residues of Eisenstein Series and Related Problems. In Wee Teck Gan, Stephen S. Kudla, and Yuri Tschinkel, editors, *Eisenstein Series and Applications*, volume 258, pages 187–204. Birkhäuser Boston, Boston, MA, 2008.
- [JLZ13] Dihua Jiang, Baiying Liu, and Lei Zhang. Poles of certain residual Eisenstein series of classical groups. *Pacific Journal of Mathematics*, 264(1):83–123, July 2013.

- [Kim] Henry H Kim. EISENSTEIN SERIES AND THEIR APPLICATIONS.
- [Kud] Stephen S Kudla. NOTES ON THE LOCAL THETA CORRESPONDENCE.
- [Lap22] Erez Lapid. Some perspectives on Eisenstein series, April 2022.
- [Mak] Shotaro Makisumi. Structure Theory of Reductive Groups through Examples.
- [Mila] J S Milne. Basic Theory of Affine Group Schemes.
- [Milb] J S Milne. Lie Algebras, Algebraic Groups, and Lie Groups.
- [Mil17] J. S. Milne. *Algebraic Groups: The Theory of Group Schemes of Finite Type over a Field*. Cambridge University Press, 1 edition, September 2017.
- [MT] Gunter Malle and Donna Testerman. Linear Algebraic Groups and Finite Groups of Lie Type.
- [Mum99] David Mumford. *The Red Book of Varieties and Schemes*, volume 1358 of *Lecture Notes in Mathematics*. Springer, Berlin, Heidelberg, 1999.
- [MW95] C. Moeglin and J. L. Waldspurger. *Spectral Decomposition and Eisenstein Series: A Paraphrase of the Scriptures*. Cambridge Tracts in Mathematics. Cambridge University Press, Cambridge, 1995.
- [Not] Notes for class on algebraic groups.pdf. <https://users.math.msu.edu/users/ruiterj2/Math/Documents/S>
- [Pol] Aaron Pollack. THE RANKIN-SELBERG METHOD: A USER’S GUIDE.
- [RS] David Renard and Laurent Schwartz. REPRÉSENTATIONS DES GROUPES RÉDUCTIFS  $p$ -ADIQUES.
- [Sha10] Freydoon Shahidi. *Eisenstein Series and Automorphic  $L$ -Functions*, volume 58 of *Colloquium Publications*. American Mathematical Society, Providence, Rhode Island, September 2010.
- [Spr98] T. A. Springer. *Linear Algebraic Groups*. Birkhäuser, Boston, MA, 1998.
- [SZS77] Jean-Pierre Serre, Don Zagier, and Sonderforschungsbereich Theoretische Mathematik, editors. *Proceedings International Conference, University of Bonn, Sonderforschungsbereich Theoretische Mathematik, July 2-14, 1976: Ed. by J.-P. Serre and D. B. Zagier*. Number 601 in Lecture Notes in Mathematics. Springer, Berlin, 1977.