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# Substorm activity as a driver of energetic pulsating aurora

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Pulsating aurora are common diffuse-like aurora. Studies have suggested that they contain higher energy particles than other types and are possibly linked to substorm activity. There has yet to be a quantitative statistical study of the variation in pulsating aurora energy content related to substorms. We analyzed the inverted energy content from 53 events using the Poker Flat Incoherent Scatter Radar. To reduce the uncertainty, we split the differential energy flux into low and high energy using the limit of 30 keV. We also analyzed the lower altitude boundary of the electron density profile, characterized by a number density of  $>10^{10} \text{ m}^{-3}$ , and used this as a proxy for high energy. We compared both of these to magnetic local time (MLT), AE index, and temporal proximity to substorm onset. There was a slight trend in MLT, but a much stronger one in relation to both substorm onset and AE index. For higher AE and closer to onset the total energy flux and flux above 30 keV increased. In addition, this higher energy remained enhanced for an hour after substorm onset. Our results confirm the high energy nature of pulsating aurora, demonstrate the connection to substorms, and imply their importance to coupling between the magnetosphere and atmosphere.

## KEYWORDS

pulsating aurora, substorm, incoherent scatter radar, energetic particle precipitation, chorus waves

## 1 Introduction

Pulsating aurora are much different than the bright curtains of discrete aurora that often precede them. Diffuse and barely visible to the naked eye, this type of aurora is most often observed a few hours after magnetic midnight (e.g., [Oguti et al., 1981](#); [Jones et al., 2011](#)). Often lasting for many hours, pulsating aurora can cover large portions of the sky and in some cases expand over entire sections of the auroral region ([Jones et al., 2013](#)). Using SuperDarn and imager data, [Bland et al. \(2021\)](#) found that around half of pulsating aurora events extend between 4 and 5 h of magnetic local time and between 62° and 70° in magnetic latitude. Over this area, auroral patches blink on and off with periods ranging up to around 20 s (e.g., [Davis, 1978](#); [Lessard, 2012](#)). Adding to the auroral display, individual patches can be remarkably

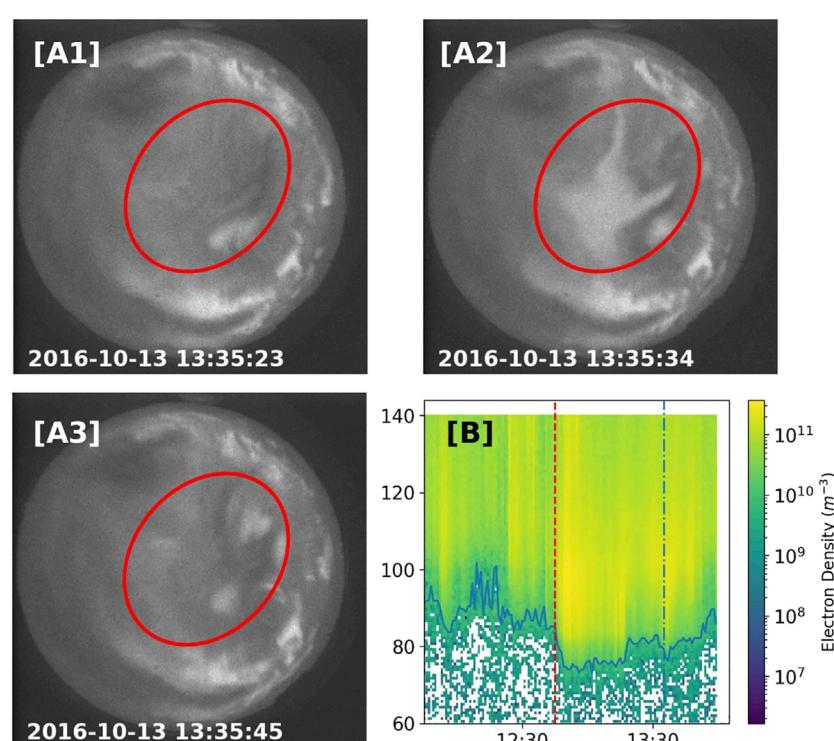
varied with differing periods, shapes, and sizes typically between 10s and 100s of kilometers (Johnstone, 1978; Lessard, 2012). **Figures 1A1–A3** shows a typical example of pulsating aurora that occurred on 13 October 2016 over the Poker Flat Research Range. The red oval highlights a patch that turns on and off during the three images.

Numerous studies have shown that the electrons responsible for pulsating aurora originate in the equatorial region of the outer Van Allen radiation belt. These electrons are pitch-angle scattered into the upper-atmosphere through wave-particle interactions, most likely with lower-band chorus waves (Nishimura et al., 2010, 2011; Jaynes et al., 2013; Kasahara et al., 2018; Hosokawa et al., 2020). Previous studies have found that the energy range of pulsating aurora electrons is substantially higher than other auroral types, ranging between 10s and 100s of keV (e.g., Whalen et al., 1971; Sandahl et al., 1980). Tesema et al. (2020b) verified this statistically using 253 pulsating aurora events observed by the DMSP, POES, and FAST spacecraft. Within a single event, the total energy flux and hardness can vary. Jones et al. (2009) notes often seeing a decrease in the differential energy flux of

0.5–32.5 keV electrons throughout an event. Hosokawa and Ogawa (2015) found, using the European Incoherent Scatter Radar, that the energy spectrum of pulsating aurora is harder when a patch is “on” versus when it is “off” with only background aurora present.

Some studies have attempted to classify different types of pulsating aurora. For instance, Royrvik and Davis (1977) classified events into patches, arcs, and arc segments. More recently, Grono and Donovan (2018) made a distinction between the quickly varying amorphous pulsating aurora (APA), more regular patchy pulsating (PPA) aurora, and non-pulsating patchy aurora (nPPA). Tesema et al. (2020a) used incoherent scatter radar to look at the electron density profile between APA, PPA, and nPPA. They found that PPA and nPPA were associated with enhanced electron densities below 100 km when compared with APA.

Several papers regarding the height of pulsating aurora indicate that there may be a relation between peak differential energy flux of precipitating electrons and substorm onset. In the two events that Oyama et al. (2017) analyzed, they found a drop to lower altitudes following substorm onset in the atmospheric



**FIGURE 1**

(A1–A3) show a series of 428 nm images from the Poker Flat Research Range All Sky Imager with several pulsating aurora patches of differing sizes. Even though the imaging cadence of 12 s is longer than some pulsating periods, we can still identify pulsating aurora. The red dot indicates the center of each image and thus the approximate location of the vertical PFISR beam. (B) is the PFISR electron number density data for a pulsating aurora event on 13 October 2016. The data is plotted vs. altitude in km and universal time. The dashed red line indicates the start of pulsating aurora. The dashed and dotted blue line indicates when the images were taken. The radar stopped taking data before the pulsating aurora ended. The solid blue line indicates the lowest altitude where  $N_e = 10^{10} \text{ m}^{-3}$ .

electron densities of pulsating aurora. This would indicate an influx of higher energy electrons capable of penetrating further into the atmosphere. These results are similar to the statistical study of [Hosokawa and Ogawa \(2015\)](#) who showed that the electron density profile of pulsating aurora extends lower in altitude during periods with a large AE index ( $>500$  nT). This previous work is a strong indicator of the increase in higher energy electrons, or hardening, during geomagnetic activity that coincides in time with an increase in AE index. However, altitude is only a proxy for energy because the relation between the two is not one-to-one. [Wing et al. \(2013\)](#) did conduct a statistical study of auroral energies associated with substorm onset. They made distinctions between broadband (Alfvén accelerated) electrons, monoenergetic (parallel electric field accelerated) electrons, and diffuse (whistler mode wave scattered) electrons. They found that total energy flux increases in association to substorm onset for all types, with the largest for diffuse electrons. However, they made no distinction between general diffuse and pulsating aurora.

These previous investigations make a strong case for a link between substorm onset and AE index and both the total energy flux and spectral hardness of pulsating aurora. More energetic events seem to occur right after substorm onset and for higher AE indices. However, direct evidence supporting this hypothesis has yet to be established. Providing this evidence will be an important step in understanding how energy is transferred from the magnetosphere to the atmosphere. There are many reasons why this is important, but one which has recently become more apparent is the depletion of ozone due to pulsating aurora produced  $\text{NO}_x$  and  $\text{HO}_x$  ([Turunen et al., 2016](#); [Verronen et al., 2021](#)). In this paper, we provide statistical evidence, using inverted energy content, that substorm onset and AE index are correlated with both a larger and harder differential energy flux during pulsating aurora. The results shown here both confirm the high-energy nature of pulsating aurora and specify how pulsating aurora energies are correlated with substorm onset and AE index.

## 2 Data

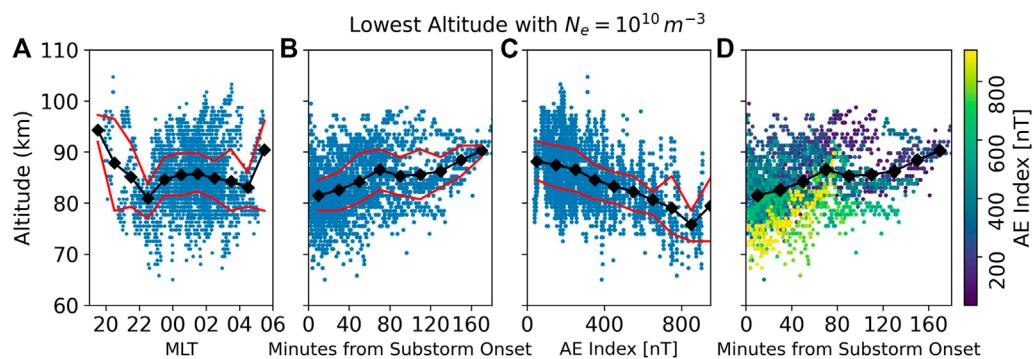
This paper presents a data set of 57 pulsating aurora events between 2012 and 2021, four of which (2015-01-13, 2017-08-17, 2018-12-30, and 2021-01-13) were not usable for our energy inversion. We visually identified pulsating aurora using all sky images and used the classifications of both [Roervik and Davis \(1977\)](#) and [Grono and Donovan \(2018\)](#) when doing so. This data was captured over 51 days with the Poker Flat Research Range All Sky Imager (PFRR ASI). A table with the dates of all 51 days can be found in the supplemental material and the data can be found in [Troyer et al. \(2022\)](#). The PFRR ASI takes an image approximately every 12 s at 428 nm, 557 nm, and 630 nm.

We used the 428 nm images. It is worth noting that despite the 12 s period of the camera, we can still accurately identify pulsating aurora, see [Figures 1A1–A3](#) as an example.

For each of these pulsating aurora events, the Poker Flat Incoherent Scatter Radar (PFISR) was running one of the D-region modes (MSWinds23, MSWinds26, or MSWinds27). For more details about these modes, see [Kaeppeler et al. \(2020\)](#). These modes all use 13-baud Barker codes with  $10\mu\text{s}$  baud, oversampled at  $5\mu\text{s}$  (0.75 km range resolution) to provide electron density as function of range and time over ranges between 40 and 144 km. This study uses 1 min integration times, which means the electron density profiles are averaged over many cycles of the pulsating aurora. These modes all use four beam directions (magnetic zenith, vertical, north-west, and north-east). This study uses the vertical beam data since it is systematically more sensitive than the magnetic zenith direction at PFISR. The magnetic zenith is close to the phased-array antenna grating lobe steering limit. Furthermore, the MSWinds27 modes revisit the beams unevenly such that the vertical beam receives 16 times more pulses than the other beam directions, resulting in a factor of 4 improvement in the statistical uncertainty relative to the other beam directions. The vertical beam is  $<20^\circ$  away from the magnetic zenith direction which is sufficiently small for our inversions to neglect any variations across magnetic field lines. The result of using the 1-min integrated vertical beam is a data set of approximately 3,800 electron density profiles split over the 57 pulsating aurora events. [Supplementary Appendix S2](#) gives additional information on the PFISR experiments and data processing. [Figure 1B](#) shows an example of electron densities measured by PFISR MSWinds23 during a period of typical pulsating aurora on 13 October 2016. This event began less than 15 min after a substorm onset and continued until the end of the PFISR experiment.

## 3 Analysis

In this study, we quantify the differential energy flux of pulsating aurora, in particular, the higher energy portion. Previous investigations have indicated that the energy of pulsating aurora varies significantly both within and between events, and is correlated with substorm activity ([Jones et al., 2009](#); [Wing et al., 2013](#); [Hosokawa and Ogawa, 2015](#)). Based on these results, we chose to examine variations related to magnetic local time (MLT), AE index, and an epoch associated with substorm onset temporal proximity. We set an epoch time of 0 to substorm onsets taken from lists created by [Newell and Gjerloev \(2011\)](#), [Forsyth et al. \(2015\)](#), and [Ohtani and Gjerloev \(2020\)](#). We chose these three lists because they cover a time period that covers the range of dates in our data. Each method identifies substorms in a slightly different way, so by including all three we can identify more events over a broader

**FIGURE 2**

Lowest altitude PFISR measurements during pulsating aurora with  $N_e = 10^{10} \text{ m}^{-3}$  plotted versus magnetic local time (A), time from the nearest substorm onset (B), AE index (C), and combined substorm and AE (D). The black diamonds indicate the average altitude for the surrounding hour, 20 min, 200 nT of AE, and 20 min respectively. The red lines indicate the 25% and 75% quartiles.

range of criteria. To make sure that one method is not biasing the results, we repeated our analysis using each individual list and found that they all had similar behaviors. If a substorm onset is repeated in more than one list, we use the onset closest to the pulsating aurora event. We limited these substorms to those that occurred within  $\pm 15^\circ$  longitude and  $\pm 8^\circ$  latitude of the Poker Flat Research Range. The substorm onset lists may not be as precise as a skilled observer, but due to the statistical nature of this study we were unable to classify by hand. However, we believe any associated error will be smaller than the 20 min time bins that we use. For the AE indices, we used archived 10-min averaged predicted values (Luo et al., 2013). For every 1-min electron density profile we find the closest in time AE index and assign that to the data point.

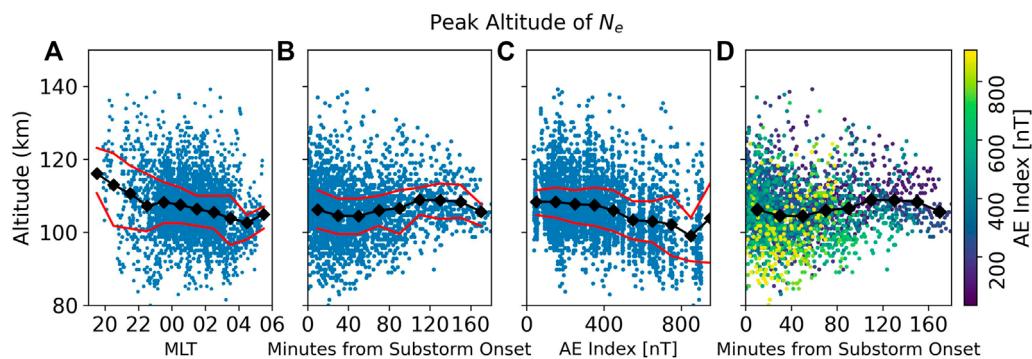
As a proxy for energy, we chose the lower altitude boundary that PFISR measured a number density of  $N_e = 10^{10} \text{ m}^{-3}$  for each 1-min integrated altitude profile. Additionally, to meet this criteria, the associated error had to be less than  $5 \times 10^9 \text{ m}^{-3}$ . We chose these values somewhat arbitrarily given that they are round numbers near the detection limit of PFISR. However, we did test the sensitivity by varying the threshold up to an order of magnitude higher and rerunning the analysis. When doing this, we found that the behavior did not change. Future, more sensitive instruments could use a smaller density threshold and thus detect lower altitudes. Finally, we implemented an outlier-rejection algorithm to remove high power returns that are not consistent with the expected electron density profile from precipitation. The D-region data can be cluttered by range-aliased satellite echoes, airplanes in antenna sidelobes, and various types of interference. Most of these clutter sources appear as localized outliers in the power data confined to one or two range-gates. We expect a realistic electron density profile to extend over 10 s of km in altitude and be monotonically decreasing with altitude. We compute the median electron density over 5 km around a data

point and check that it is less than the median electron density 20 km above that point. Furthermore, we check that there are not any NaN or negative electron density estimates in the 20 km above that point. If any of these checks fails, we continue the search at a higher altitude.

For context, we have also included plots using the altitude of the peak electron density. These are shown in Figure 3. Overall, the trend are similar but less pronounced than in Figure 2, with the exception of magnetic local time.

### 3.1 Magnetic local time

**Figure 2A** shows the altitude boundary values compared to MLT as calculated from the IGRF model for 2020. As we would expect, a majority of the measurements during pulsating aurora occurred several hours after magnetic midnight. Previous studies have shown that this is the most common time for pulsating aurora (Oguti et al., 1981; Jones et al., 2011). The hourly averages shown by the black diamonds centered on each hour indicate that there is little, if any, dependence on MLT. The peak electron density shows a stronger MLT dependence as illustrated in Figure 3. This is in agreement with previous studies of peak electron density altitude and MLT (Hosokawa and Ogawa, 2015; Partamies et al., 2017; Bland et al., 2019; Tesema et al., 2020a; Nanjo et al., 2021). This indicates that the more energetic electrons depend less on MLT and more on substorm activity, while the opposite is true of the lower energy electrons. However, it is also possible that our data is not an accurate representation in relation to MLT due to the wide scatter and limited statistics for several time bins. In addition, we requested instrument runs during the most common time for pulsating aurora, so the data is biased towards that period. It would be interesting to investigate this disparity in future studies.

**FIGURE 3**

Altitude of the peak electron density in PFISR measurements during pulsating aurora plotted versus magnetic local time (A), time from the nearest substorm onset (B), AE index (C), and combined substorm and AE (D). The black diamonds indicate the average altitude for the surrounding hour, 20 min, 200 nT of AE, and 20 min respectively. The red lines indicate the 25% and 75% quartiles.

### 3.2 Substorm onset and AE index

**Figure 2B** shows the altitude boundary with  $N_e = 10^{10} \text{ m}^{-3}$  compared to substorm onset. Here we see that lower altitudes are more common closer to substorm onset, indicating a hardening of the energy content. These results are similar to that of [Oyama et al. \(2017\)](#), who found both an enhancement and lowering of electron densities just after substorm onsets for several case study pulsating aurora events. Our work extends these findings to a statistical dataset.

**Figure 2C** shows the altitude boundary with  $N_e = 10^{10} \text{ m}^{-3}$  compared to the closest in time 10-min averaged AE index. Similar to substorm onset temporal proximity, there is a clear relation between a higher AE value and lower altitudes. This is similar to the results of [Hosokawa and Ogawa \(2015\)](#) who found that the peak altitude of pulsating aurora lowers during higher AE indices. However, our measurements differ in that the peak altitude is a proxy for an average energy flux, whereas our lower altitude boundary is more representative of the energy content hardness.

We combined **Figures 2B,C** to produce **Figure 2D**. Here we have colored the markers of **Figure 2B** based on AE index. This result shows that both substorm onset temporal proximity and AE index play a role in varying the lower altitude boundary. The lowest altitudes tend to occur with both a high AE index and close temporal proximity to a substorm. In regards to the statistics, our events cover a wide range of AE indices with 10 occurring during periods with AE >600 nT and of those 3 with AE >800 nT.

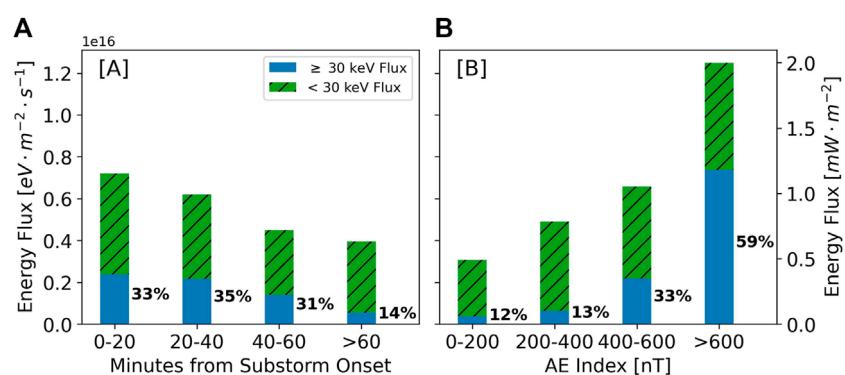
We repeated the above analysis with the AL index and obtained similar results. A more negative AL index corresponded to lower altitudes. We've included this plot in the supplementary materials.

It is more typical for studies to concentrate on the altitude of the peak electron density ([Jones et al., 2009](#); [Hosokawa and](#)

[Ogawa, 2015](#); [Oyama et al., 2017](#)). We chose a different metric as we wanted a proxy that would more closely represent the flux of higher energy particles. This approach is not perfect as a higher flux of slightly lower energy particles could produce a similar boundary to a lower flux of higher energy particles. While systematic changes in the background density dependent on time of day, season, etc. could bias these results, we believe that because the background density is usually below the detection limit of PFISR, this effect will be small enough that it will not have a meaningful impact ([Kaepller et al., 2020](#)). Any altitude metric is still only a proxy for energy, but this one also contains other useful information. One of the main goals of this work is to provide data to help understand the atmospheric effects of pulsating aurora such as ozone depletion. In this regard, atmospheric changes are more important than the original energy flux. For instance, studies of energetic particle precipitation indicate that an energy flux deposited at 100 km may have less of an impact on ozone production than an energy flux deposited at 80 km ([Verronen et al., 2021](#)). Thus, by choosing to measure altitude from a lower boundary instead of the peak electron density, we are able to more closely connect our measurements to possible atmospheric effects. Finally, due to the limitations of a proxy such as this, the reader should view **Figure 2** as additional contextual information. For explicit values, we performed inversions of the full electron density profile to estimate the incoming differential energy flux. See **Section 3.3** for these results.

### 3.3 Energy spectra from electron density inversion

Our analysis of the lower altitude boundary with  $N_e = 10^{10} \text{ m}^{-3}$  indicates that both AE index and substorm onset

**FIGURE 4**

The high ( $\geq 30$  keV) and low ( $<30$  keV) differential energy flux contributions to pulsating aurora events occurring in four temporal bins relative to substorm onset (A) and AE index (B).

have substantial impacts on how hard the pulsating aurora energy content can be. However, this metric is only a proxy for hardness. To investigate further, we solved the inverse problem required to convert the PFISR electron densities into a differential energy flux. To do this, we used the process outlined in [Semeter and Kamalabadi \(2005\)](#). We assumed that the pitch angle distribution was isotropic ([Whalen et al., 1971](#); [Sandahl et al., 1980](#)). We also assumed that the 1-min PFISR integrated electron density varied slowly. While [Hosokawa and Ogawa \(2015\)](#) showed that the electron density can vary between the on and off phases of pulsating aurora, these changes occur at a sub-minute frequency and so will be smoothed over in the PFISR integration. However, if these assumptions are not valid it could change our results. We describe our exact implementation of the inversion process in [Supplementary Appendix S1](#). In an inverse problem such as this, there are multiple spectra that could result in a reasonably good fit of the density profile, making the problem ill-defined. To pick the best fit, we chose the solution that maximized the Berg Entropy. As [Semeter and Kamalabadi \(2005\)](#) states, this solution “may be viewed as the most noncommittal approach with respect to the unavailable information.” Because of these uncertainties that are inherent to the inversion process, it is not useful to look at the finer shape of the differential energy flux. Instead, to provide a more robust analysis, we chose an energy threshold of 30 keV to separate the low and high portions of the differential energy flux and integrated the two regions. This gives us an average low and high energy flux and limits the dependence of our results on the smaller scale details.

The largest source of error in the inversion process is likely the assumed atmospheric chemistry that connects PFISR observations to an ionization rate *via* a recombination coefficient. This is still an ongoing area of research, especially for the D-region. As our primary chemistry model, we used the Glukhov-Pasko-Inan (GPI) model ([Glukhov et al., 1992](#); [Lehtinen and Inan, 2007](#)). This has been shown to perform

well for the D-region ([Marshall et al., 2019](#)). For the E-region, we set the coefficient values above 90 km to those calculated by [Gledhill \(1986\)](#) for nighttime aurora. The Gledhill model is suitably close to that of [Vickrey et al. \(1982\)](#) above 90 km and the Vickrey model performs well in this region ([Sivadas et al., 2017](#)). While we could have used the Vickrey model, we believe the Gledhill model is more relevant for this data as it was derived for nighttime auroral activity. However, both models are only rough estimates of the recombination coefficient. We refer to this adjusted model as GPI+. To provide context to our results calculated using GPI+, we inverted each density profile using three additional chemistry models. These results can be found in [Supplementary Appendix S1](#).

After performing the inversions, we found the geometric mean of the differential energy flux for  $\geq 30$  keV and  $<30$  keV electrons in bins relative to substorm onset and AE index. [Figure 4](#) demonstrates the link between energy and substorm activity. It shows how the energy composition of pulsating aurora varies with respect to both substorm onset temporal proximity [A] and AE index [B]. Within an hour of a substorm around a third of the total differential energy flux is carried by  $\geq 30$  keV electrons. At  $>60$  minutes this drops to around a sixth. While the total differential energy flux is higher closer to a substorm onset, the relative energy composition does not change until an hour afterwards. This indicates that the initial substorm “kick” hardens the energy content and it remains hard up to an hour afterwards, even as the total differential energy flux decreases. Some of this behavior could be related to a mixing of expansion and recovery substorm phases in the 20–40 min and 40–60 min bins. However, due to limitations in our data, we were unable to classify based on substorm phase.

The differential energy flux associated with AE index varies even more dramatically. In highly perturbed times of  $AE > 600$  nT over a half of the average differential energy flux is carried by the  $\geq 30$  keV electrons. This again drops to just over a 10th for

quiet periods of  $\text{AE} \leq 200 \text{ nT}$ . We also looked at the differential energy flux relative to  $\text{AL}$  indices, but found no difference to  $\text{AE}$  beyond a few percent.

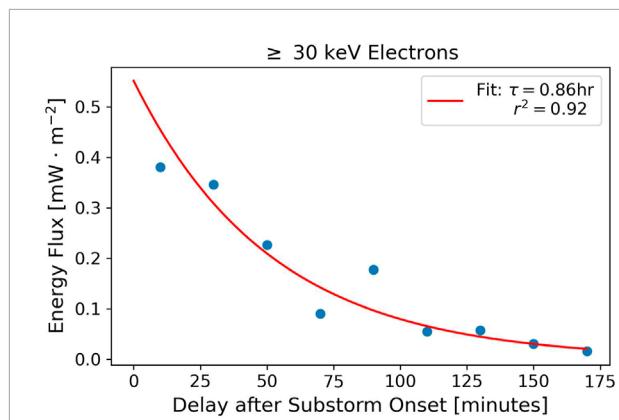
Assumptions about the atmospheric chemistry can vary the absolute differential energy flux, but for every model we found the same relative behavior. While not shown here, the relative behavior was also the same when we used threshold values of 50 keV and 100 keV. For <20 min the high energy contributions were 13.9% and 1.2% respectively. For  $\text{AE} > 600 \text{ nT}$  these were 37.8% and 2.4% respectively. Thus, we conclude that pulsating auroral energy content is varied by both the strength of a substorm as well as temporal proximity to it.

## 4 Discussion

Our work builds on [Wing et al. \(2013\)](#), [Hosokawa and Ogawa \(2015\)](#), and [Oyama et al. \(2017\)](#), whose studies showed that the altitude of pulsating aurora can decrease after substorm onset and for periods of high  $\text{AE}$  index. It also builds on papers such as [Jones et al. \(2009\)](#), which demonstrated that the inverted differential energy flux of particular pulsating aurora events can vary throughout the event. These studies suggest that the total energy flux and spectral hardness of pulsating aurora could be influenced by substorm onset and  $\text{AE}$  index, but does not make a direct statistical connection.

[Figure 4](#) demonstrates, statistically, that the total differential energy flux of pulsating aurora is highly variable. Second, they show that pulsating aurora events can have large, and in some cases majority, contributions from  $\geq 30 \text{ keV}$  electrons, which represents a hard energy content relative to other auroral types. Third, it shows that these quantities are strongly correlated with substorm onset and  $\text{AE}$  index. These statistical links have never been demonstrated before with inverted differential energy flux and they suggest a process connecting substorms and pulsating aurora.

The link between substorms and pulsating aurora is likely through whistler-mode waves, which are known to drive instances of pulsating aurora ([Nishimura et al., 2010, 2011](#); [Jaynes et al., 2013](#); [Kasahara et al., 2018](#); [Hosokawa et al., 2020](#)). There is a well documented relation between substorm activity post-midnight and whistler-mode wave generation near the equator ([Thorne et al., 1974](#); [Tsurutani and Smith, 1974](#)). The proposed mechanism connecting them is Doppler-shifted cyclotron resonance with 10–100 keV substorm injected electrons ([Dungey, 1963](#); [Kennel and Petschek, 1966](#)). In addition, the amplitude of already present whistler-mode waves can vary with substorm injection. [Meredith et al. \(2000\)](#) showed that between  $3.8 < L < 6$  whistler-mode amplitudes increased after a substorm and then decayed with a timescale of  $\tau \approx 1.1 \text{ h}$ . [Figure 5](#) shows that the timescale associated with the  $\geq 30 \text{ keV}$  electrons after a substorm onset is 0.86 h. That value is very similar to the timescale of [Meredith et al. \(2000\)](#). Given that



**FIGURE 5**

The decay rate of the differential energy flux from electrons with energies  $\geq 30 \text{ keV}$  after a substorm onset.

whistler-mode waves are known to drive pulsating aurora, could this be one likely explanation? We will need to perform additional studies to ascertain how relevant this connection is.

Also, [Figure 4](#) confirms the inherent energetic nature of pulsating aurora that previous case studies have suggested. Understanding the nature of pulsating aurora is important when assessing their atmospheric impact. Pulsating aurora are very common ([Oguti et al., 1981](#)) and can be long-lasting ([Jones et al., 2013](#)), thus they could represent an important transfer of energy between the magnetosphere and lower ionosphere. To assess the subsequent impact, both the total energy flux and the hardness of the spectra are of high relevance. Higher energy electrons reach further into the atmosphere and thus have a higher probability of influencing terrestrial climate through processes like  $\text{NO}_x$  and  $\text{HO}_x$  based ozone depletion ([Turunen et al., 2016](#); [Veronen et al., 2021](#), and references therein). We found that the hardest events occur close in time to substorm onset and for high  $\text{AE}$  indices. In short, our results can be used to more accurately parameterize the atmospheric consequences of pulsating aurora. For instance, combining the results of [Figure 4](#) with those of [Bland et al. \(2021\)](#), we can perform a back-of-the-envelope calculation to estimate the incoming power of a typical pulsating aurora event. We will assume an event extending between  $62^\circ$  and  $70^\circ$  magnetic latitude and 4 h of magnetic local time. Using this, approximately 4.8 gigawatts (GW) of power would be entering the atmosphere during periods with  $\text{AE} > 600 \text{ nT}$  with 2.8 GW coming from  $\geq 30 \text{ keV}$  electrons. For periods <20 minutes after substorm onset and all  $\text{AE}$  indices these values are 2.5 GW and 0.8 GW respectively.

In [Figure 2B](#) it appears that within 20 min of substorm onset, what could be considered the expansion phase, is the most common time for our pulsating aurora. That statistic seems to be in contradiction to [Partamies et al. \(2017\)](#), who found that the most common substorm phase for pulsating aurora was the

recovery phase. While occurrence rates were not the focus of this work, it is worth examining where this difference could come from. There are two potential reasons. One, our search for pulsating aurora differs. Partamies et al. (2017) only identified pulsating aurora when it was the most dominant type, stating that “our event selection criteria favors recovery phases over the expansion phases where brighter aurora plays a major role in the auroral displays.” Our search included pulsating aurora when it was the most visually dominant type of aurora directly overhead, but not necessarily across the entire field of view. Two, Partamies et al. (2017) used a magnetometer at the imager locations while our search was semi local and encompassed a much larger geographic region, so our ratio of substorms to pulsating aurora events was likely higher.

It is worth describing possible sources of systematic error in our results. One, several previous studies found that the energy content becomes harder during the on phase of pulsating aurora (Whalen et al., 1971; Hosokawa and Ogawa, 2015). Our data is integrated over 1 minute, so these variations will likely be smoothed out, thus reducing the hardness. Two, we are not capturing the full range of the energy flux. Ionization associated with electron energies less than about 1 keV usually peaks above the altitudes that PFISR measures in the D-region mode (Fang et al., 2010). If the energy flux for this portion of the spectrum is significant, we could be overestimating the hardness and underestimating the total energy. Three, the sensitivity of PFISR limits our ability to detect higher energy, lower flux electrons. If populations such as these are present, we could be underestimating the hardness. Four, we only selected pulsating aurora that were in the center of the imager, but we didn't account for times that the PFISR beam wasn't directly on a pulsating patch. If the precipitating flux is highly local, we could be underestimating the energy flux during such periods.

The statistical spread of energies in most of our bins is greater than an order of magnitude. This is an indication of how variable pulsating aurora energies can be, but also suggests other variables are involved than just the ones we looked at. One possibility is the type of pulsating aurora. As Tesema et al. (2020a) found, the electron density profile, and thus likely the energy, varies between the types identified by Grono and Donovan (2018). Given the image cadence of our data, we could not accurately distinguish between the different types. Another possibility is substorm phase. Due to the nature of our substorm onset lists, it was not feasible for us to classify based on substorm phase. However, the length of the expansion and recovery phase of different substorms can vary (Chu et al., 2015). Given that our metric was based only on time from substorm onset, it is likely that some of our bins include events from multiple different phases. If there is a difference in energy content between the expansion and recovery phase, this would be another unaccounted for variable.

We do see possible bimodal distributions in histograms of the  $\geq 30$  keV and  $< 30$  keV energies for the substorm onset bins, which could be evidence of this. We've included these histogram plots in the supplemental materials. Future studies that include pulsating aurora type and substorm phase might see an even stronger correlation.

## 5 Summary

It has been suspected that substorm onset and AE index are linked to variations in the energy flux of incoming electrons responsible for pulsating aurora. Studies investigating proxies for energy, such as the altitude of the peak electron density are the basis of this hypothesis. In this paper, we presented statistical evidence, using inverted energy content, which supports this hypothesis. Pulsating aurora that occur right after substorm onset and/or are associated with high AE values are more likely to have a higher energy flux, and a harder energy spectrum.

- The differential energy flux of pulsating aurora correlates strongly with the substorm onset and AE index.
- In relation to substorm onset the total differential energy flux varies between  $1.15$  and  $0.63 \text{ mW} \cdot \text{m}^{-2}$  for  $\leq 20$  and  $> 60$  minutes. The associated contribution to the total differential energy flux from  $\geq 30$  keV electrons are 33% and 14%.
- In relation to substorms, the differential energy flux remains hard out to 1 h after onset before softening.
- In relation to AE index the total differential energy flux varies between  $2.00$  and  $0.49 \text{ mW} \cdot \text{m}^{-2}$  for  $\text{AE} > 600 \text{ nT}$  and  $\leq 200 \text{ nT}$ . The associated contributions to the total differential energy flux from  $\geq 30$  keV electrons are 59% and 12%.
- We estimate that for a typically pulsating auroral event occurring  $< 20$  min after substorm onset ( $\text{AE} > 600 \text{ nT}$ ), approximately 2.5 (4.8) GW of power enters the atmosphere. The contributions from  $\geq 30$  keV electrons are 0.8 (2.8) GW.

## Data availability statement

Imager data referenced in this paper is available from <https://doi.org/10.5281/zenodo.6878145> and was derived from the University of Alaska Fairbanks Geophysical Institute archive. The incoherent scatter radar data referenced in this paper is available from AMISR: <https://data.amisr.com/database/pfisr/level2/nenotr/0.9.2018.11.22/>. The AE and AL indices referenced in this paper are available from LASP: [https://lasp.colorado.edu/space\\_weather/dsttemerin/archive/dst\\_years.html](https://lasp.colorado.edu/space_weather/dsttemerin/archive/dst_years.html). The substorm onset lists referenced in this paper are available from SuperMAG: <https://supermag.jhuapl.edu/substorms/?tab=download>.

## Author contributions

RT wrote the manuscript and performed the data analysis for the study. AJ helped edit the manuscript and provided feedback and advise for the data analysis. SK wrote a portion of the code used to analyze the data, provided expertise on the PFISR measurements, and helped to edit the manuscript. RV and AR provided expertise and operational support for the PFISR measurements. In addition, RV helped to edit the manuscript, and wrote the PFISR appendix. SJ provided initial feedback and advise on the project and analysis.

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## Conflict of interest

Author AR is employed by LeoLabs Inc.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fspas.2022.1032552/full#supplementary-material>

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